

## **Surgical Site Infection (SSI) Event**

**Introduction:** In 2010, an estimated 16 million operative procedures were performed in acute care hospitals in the United States [1]. A recent prevalence study found that SSIs were the most common healthcare-associated infection, accounting for 31% of all HAIs among hospitalized patients [2]. NHSN data for 2006-2008 (16,147 SSIs following 849,659 operative procedures) showed an overall SSI rate of 1.9% [3].

While advances have been made in infection control practices, including improved operating room ventilation, sterilization methods, barriers, surgical technique, and availability of antimicrobial prophylaxis, SSIs remain a substantial cause of morbidity, prolonged hospitalization, and death. SSI is associated with a mortality rate of 3%, and 75% of SSI-associated deaths are directly attributable to the SSI [4].

Surveillance of SSI with feedback of appropriate data to surgeons has been shown to be an important component of strategies to reduce SSI risk [5-8]. A successful surveillance program includes the use of epidemiologically-sound infection definitions and effective surveillance methods, stratification of SSI rates according to risk factors associated with SSI development, and data feedback [6, 7]. A new CDC and Healthcare Infection Control Practices Advisory Committee guideline for the prevention of surgical site infection is scheduled for publication in 2014, and will replace the previous *Guideline for Prevention of Surgical Site Infection*, 1999 [8].

**Settings:** Surveillance of surgical patients will occur in any inpatient and/or outpatient setting where the selected NHSN operative procedure(s) are performed.

**Requirements:** Perform surveillance for SSI following at least one NHSN operative procedure category (<u>Table 1</u>) as indicated in the *Patient Safety Monthly Reporting Plan* (<u>CDC 57.106</u>). Collect SSI (numerator) and operative procedure category (denominator) data on all procedures included in the selected procedure categories for at least one month to meet NHSN requirements, or as otherwise specified by state or federal reporting requirements. A procedure must meet the NHSN definition of an operative procedure in order to be included in the surveillance.

SSI monitoring requires active, patient-based, prospective surveillance. Post-discharge and ante-discharge surveillance methods should be used to detect SSIs following inpatient and outpatient operative procedures. These methods include 1) direct examination of patients' wounds during follow-up visits to either surgery clinics or physicians' offices, 2) review of medical records or surgery clinic patient records, 3) surgeon surveys by mail or telephone, and 4) patient surveys by mail or telephone (though patients may have a difficult time assessing their infections). Any combination of these methods is acceptable for use; however, CDC criteria for SSI must be used. To minimize Infection Preventionists' (IPs) workload of collecting denominator data, operating room data may be downloaded (see file specifications at: http://www.cdc.gov/nhsn/PDFs/ImportingProcedureData\_current.pdf).



An SSI will be associated with a particular NHSN operative procedure and the facility in which that procedure was performed. Refer to the NHSN application's Help system for instruction on linking an SSI to an operative procedure.

The International Classification of Diseases, 9th Revision Clinical Modifications (ICD-9-CM) codes, which are defined by the ICD-9 Coordination and Maintenance Committee of the National Center for Health Statistics and the Centers for Medicare and Medicaid Services (CMS), are developed as a tool for classification of morbidity data. The wide use enables the grouping of surgery types for the purpose of determining SSI rates. ICD-9-CM codes are updated annually in October and NHSN operative procedure categories are subsequently updated and changes shared with NHSN users. Table 1 lists NHSN operative procedure category groupings by ICD-9-CM codes. Because ambulatory surgery centers and hospital outpatient surgery departments may not use ICD-9-CM procedure codes, Table 1 provides Current Procedural Terminology (CPT) code mapping for certain NHSN operative procedure categories to assist users in determining the correct NHSN code to report for outpatient surgery cases. However, when available, ICD-9-CM codes take precedence over CPTcodes when determining the appropriate NHSN operative procedure category for inpatient surgery cases. Table 1 also includes a general description of the types of operations contained in the NHSN operative procedure categories. NHSN will provide updates as needed regarding the planned transition from ICD-9-CM to ICD-10 procedure terminology by late 2014.

### **Definition of an NHSN operative procedure:**

An NHSN operative procedure is a procedure

- that is included in <u>Table 1</u>
- takes place during an operation where at least one incision (including laporoscopic approach) is made through the skin or mucous membrane, or reoperation via an incision that was left open during a prior operative procedure and
- takes place in an operating room [OR], defined as a patient care area that met the Facilities Guidelines Institute's (FGI) or American Institute of Architects' (AIA) criteria for an operating room when it was constructed or renovated [9]. This may include an operating room, C-section room, interventional radiology room, or a cardiac catheterization lab.

Exclusions: Otherwise eligible procedures that are assigned an ASA score of 6 are not eligible for NHSN SSI surveillance

**NOTE:** As of 2014, incisional closure is NO LONGER a part of the NHSN operative procedure definition; all otherwise eligible procedures are included, regardless of closure type.



**Table 1.** *NHSN Operative Procedure Category Mappings to ICD-9-CM Codes and CPT Codes* (NHSN will provide updates as needed concerning the transition from ICD-9-CM to ICD-10 procedure coding)

When available, ICD-9-CM codes take precedence over CPT codes when determining the appropriate NHSN operative procedure category for inpatient surgery cases.

Legacy Code	Operative Procedure	Description	ICD-9-CM Codes / CPT Codes
AAA	Abdominal aortic aneurysm repair	Resection of abdominal aorta with anastomosis or replacement	38.34, 38.44, 38.64
AMP	Limb amputation	Total or partial amputation or disarticulation of the upper or lower limbs, including digits	84.00-84.19, 84.91
APPY	Appendix surgery	Operation of appendix (not incidental to another procedure)	47.01, 47.09, 47.2, 47.91, 47.92, 47.99
AVSD	Shunt for dialysis	Arteriovenostomy for renal dialysis	39.27, 39.42
BILI	Bile duct, liver or pancreatic surgery	Excision of bile ducts or operative procedures on the biliary tract, liver or pancreas (does not include operations only on gallbladder)	50.0, 50.12, 50.14, 50.21-50.23, 50.25, 50.26, 50.29, 50.3, 50.4, 50.61, 50.69, 51.31-51.37, 51.39, 51.41-51.43, 51.49, 51.51, 51.59, 51.61-51.63, 51.69, 51.71, 51.72, 51.79, 51.81-51.83, 51.89, 51.91- 51.95, 51.99, 52.09, 52.12, 52.22, 52.3, 52.4, 52.51-52.53, 52.59- 52.6, 52.7, 52.92, 52.95, 52.96, 52.99
BRST	Breast surgery	Excision of lesion or tissue of breast including radical, modified, or quadrant resection, lumpectomy, incisional biopsy, or mammoplasty	85.12, 85.20-85.23, 85.31-85.36, 85.41-85.48, 85.50, 85.53-85.55, 85.6, 85.70-85.76, 85.79, 85.93- 85.96  19101, 19112, 19120, 19125, 19126, 19300, 19301, 19302, 19303, 19304, 19305, 19306, 19307, 19316, 19318, 19324, 19325, 19328, 19330, 19340, 19342, 19350, 19355, 19357, 19361, 19364, 19366, 19367, 19368, 19369, 19370, 19371, 19380



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Legacy Code	Operative Procedure	Description	ICD-9-CM Codes / CPT Codes	
CARD	Cardiac surgery	Procedures on the heart; includes valves or septum; does not include coronary artery bypass graft, surgery on vessels, heart transplantation, or pacemaker implantation	35.00-35.04, 35.06, 35.08, 35.10- 35.14, 35.20-35.28, 35.31-35.35, 35.39, 35.42, 35.50, 35.51, 35.53, 35.54, 35.60-35.63, 35.70-35.73, 35.81-35.84, 35.91-35.95, 35.98- 35.99, 37.10-37.12, 37.31-37.33, 37.35-37.37, 37.41, 37.49, 37.60	
CEA	Carotid endarterectomy	Endarterectomy on vessels of head and neck (includes carotid artery and jugular vein)	38.12	
CBGB	Coronary artery bypass graft with <b>both</b> chest and donor site incisions	Chest procedure to perform direct revascularization of the heart; includes obtaining suitable vein from donor site for grafting	36.10-36.14, 36.19	
CBGC	Coronary artery bypass graft with chest incision only	Chest procedure to perform direct vascularization of the heart using, for example the internal mammary (thoracic) artery	36.15-36.17, 36.2	
CHOL	Gallbladder surgery	Cholecystectomy and cholecystotomy	51.03, 51.04, 51.13, 51.21-51.24 47480, 47562, 47563, 47564, 47600, 47605, 47610, 47612, 47620	
COLO	Colon surgery	Incision, resection, or anastomosis of the large intestine; includes large-to- small and small-to-large bowel anastomosis; does not include rectal operations	17.31-17.36, 17.39, 45.03, 45.26, 45.41, 45.49, 45.52, 45.71-45.76, 45.79, 45.81-45.83, 45.92-45.95, 46.03, 46.04, 46.10, 46.11, 46.13, 46.14, 46.43, 46.52, 46.75, 46.76, 46.94 44140, 44141, 44143, 44144, 44145, 44146, 44147, 44150, 44151, 44160, 44204, 44205, 44206, 44207, 44208, 44210	
CRAN	Craniotomy	Excision repair, or exploration of the brain or meninges; does not include taps or punctures	01.12, 01.14, 01.20-01.25, 01.28, 01.29, 01.31, 01.32, 01.39, 01.41, 01.42, 01.51-01.53, 01.59, 02.11-02.14, 02.91-02.93, 07.51-07.54, 07.59, 07.61-07.65, 07.68, 07.69, 07.71, 07.72, 07.79, 38.01, 38.11, 38.31, 38.41, 38.51, 38.61, 38.81, 39.28	



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Legacy Code	Operative Procedure	Description	ICD-9-CM Codes / CPT Codes
CSEC	Cesarean section	Obstetrical delivery by Cesarean section	74.0, 74.1, 74.2, 74.4, 74.91, 74.99
FUSN	Spinal fusion	Immobilization of spinal column	81.00-81.08
FX	Open reduction of fracture	Open reduction of fracture or dislocation of long bones with or without internal or external fixation; does not include placement of joint prosthesis	79.21, 79.22, 79.25, 79.26, 79.31, 79.32, 79.35, 79.36, 79.51, 79.52, 79.55, 79.56  23615, 23616, 23630, 23670, 23680, 24515, 24516, 24538, 24545, 24546, 24575, 24579, 24586, 24587, 24635, 24665, 24666, 24685, 25337, 25515, 25525, 25526, 25545, 25574, 25575, 25607, 25608, 25609, 25652, 27236, 27244, 27245, 27248, 27254, 27269, 27283, 27506, 27507, 27511, 27513, 27514, 27535, 27536, 27540, 27758, 27759, 27766, 27769, 27784, 27792, 27814, 27822, 27826, 27827, 27828
GAST	Gastric surgery	Incision or excision of stomach; includes subtotal or total gastrectomy; does not include vagotomy and fundoplication	43.0, 43.42, 43.49, 43.5, 43.6, 43.7, 43.81, 43.82, 43.89, 43.91, 43.99, 44.15, 44.21, 44.29, 44.31, 44.38-44.42, 44.49, 44.5, 44.61- 44.65, 44.68-44.69, 44.95-44.98
HER	Herniorrhaphy	Repair of inguinal, femoral, umbilical, or anterior abdominal wall hernia; does not include repair of diaphragmatic or hiatal hernia or hernias at other body sites	17.11-17.13, 17.21-17.24, 53.00-53.05, 53.10-53.17, 53.21, 53.29, 53.31, 53.39, 53.41-53.43, 53.49, 53.51, 53.59, 53.61-53.63, 53.69  49491, 49492, 49495, 49496, 49500, 49501, 49505, 49507, 49520, 49521, 49525, 49550, 49553, 49555, 49557, 49560, 49561, 49565, 49566, 49568, 49570, 49572, 49580, 49582, 49585, 49587, 49590, 49650, 49651, 49652, 49653, 49654, 49655, 49656, 49657, 49659, 55540
HPRO	Hip prosthesis	Arthroplasty of hip	00.70-00.73, 00.85-00.87, 81.51- 81.53 27125, 27130, 27132, 27134, 27137, 27138, 27236, 27299



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Legacy Code	Operative Procedure	Description	ICD-9-CM Codes / CPT Codes
НТР	Heart transplant	Transplantation of heart	37.51-37.55
HYST	Abdominal hysterectomy	Abdominal hysterectomy; includes that by laparoscope	68.31, 68.39, 68.41, 68.49, 68.61, 68.69 58150, 58152, 58180, 58200, 58210, 58541, 58542, 58543, 58544, 58548, 58570, 58571, 58572, 58573, 58951, 58953, 58954, 58956
KPRO	Knee prosthesis	Arthroplasty of knee	00.80-00.84, 81.54, 81.55 27438, 27440, 27441, 27442, 27443, 27445, 27446, 27447, 27486, 27487
KTP	Kidney transplant	Transplantation of kidney	55.61, 55.69
LAM	Laminectomy	Exploration or decompression of spinal cord through excision or incision into vertebral structures	03.01, 03.02, 03.09, 80.50, 80.51, 80.53, 80.54, 80.59, 84.60-84.69, 84.80-84.85
LTP	Liver transplant	Transplantation of liver	50.51, 50.59
NECK	Neck surgery	Major excision or incision of the larynx and radical neck dissection; does not include thyroid and parathyroid operations	30.1, 30.21, 30.22, 30.29, 30.3, 30.4, 31.45, 40.40-40.42
NEPH	Kidney surgery	Resection or manipulation of the kidney with or without removal of related structures	55.01, 55.02, 55.11, 55.12, 55.24, 55.31, 55.32, 55.34, 55.35, 55.39, 55.4, 55.51, 55.52, 55.54, 55.91
OVRY	Ovarian surgery	Operations on ovary and related structures	65.01, 65.09, 65.12, 65.13, 65.21- 65.25, 65.29, 65.31, 65.39, 65.41, 65.49, 65.51-65.54, 65.61-65.64, 65.71-65.76, 65.79, 65.81, 65.89, 65.92-65.95, 65.99
PACE	Pacemaker surgery	Insertion, manipulation or replacement of pacemaker	00.50-00.54, 17.51, 17.52, 37.70- 37.77, 37.79-37.83, 37.85-37.87, 37.89, 37.94-37.99
PRST	Prostate surgery	Suprapubic, retropubic, radical, or perineal excision of the prostate; does not include transurethral	60.12, 60.3, 60.4, 60.5, 60.61, 60.69



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Legacy Code	Operative Procedure	Description	ICD-9-CM Codes / CPT Codes	
		resection of the prostate		
PVBY	Peripheral vascular bypass surgery	Bypass operations on peripheral arteries	39.29	
REC	Rectal surgery	Operations on rectum	48.25, 48.35, 48.40, 48.42, 48.43, 48.49-48.52, 48.59, 48.61-48.65, 48.69, 48.74	
RFUSN	Refusion of spine	Refusion of spine	81.30-81.39	
SB	Small bowel surgery	Incision or resection of the small intestine; does not include small-to-large bowel anastomosis	45.01, 45.02, 45.15, 45.31-45.34, 45.51, 45.61-45.63, 45.91, 46.01, 46.02, 46.20-46.24, 46.31, 46.39, 46.41, 46.51, 46.71-46.74, 46.93	
SPLE	Spleen surgery	Resection or manipulation of spleen	41.2, 41.33, 41.41-41.43, 41.5, 41.93, 41.95, 41.99	
THOR	Thoracic surgery	Noncardiac, nonvascular thoracic surgery; includes pneumonectomy and hiatal hernia repair or diaphragmatic hernia repair (except through abdominal approach)	32.09, 32.1, 32.20-32.23, 32.25, 32.26, 32.29, 32.30, 32.39, 32.41, 32.49, 32.50, 32.59, 32.6, 32.9, 33.0, 33.1, 33.20, 33.25, 33.28, 33.31-33.34, 33.39, 33.41-33.43, 33.48, 33.49, 33.98, 33.99, 34.01-34.03, 34.06, 34.1, 34.20, 34.26, 34.3, 34.4, 34.51, 34.52, 34.59, 34.6, 34.81-34.84, 34.89, 34.93, 34.99, 53.80-53.84	
THYR	Thyroid and/or parathyroid surgery	Resection or manipulation of thyroid and/or parathyroid	06.02, 06.09, 06.12, 06.2, 06.31, 06.39, 06.4, 06.50-06.52, 06.6, 06.7, 06.81, 06.89, 06.91-06.95, 06.98, 06.99	
VHYS	Vaginal hysterectomy	Vaginal hysterectomy; includes that by laparoscope	68.51, 68.59, 68.71, 68.79	
VSHN	Ventricular shunt	Ventricular shunt operations, including revision and removal of shunt	02.21, 02.22, 02.31-02.35, 02.39, 02.42, 02.43, 54.95 <sup>†</sup>	
XLAP	Exploratory laparotomy	Abdominal operations not involving the gastrointestinal tract or biliary system; includes diaphragmatic hernia repair through abdominal approach	53.71, 53.72, 53.75, 54.0, 54.11, 54.12, 54.19, 54.3, 54.4, 54.51, 54.59, 54.61, 54.63, 54.64, 54.71-54.75, 54.92, 54.93	



†Include only if this procedure involves ventricular shunt (i.e., is not a Ladd procedure to repair malrotation of intestines).

For a complete list of all ICD-9-CM codes mapped to their assignment as an NHSN operative procedure category, a surgical procedure other than an NHSN operative procedure (OTH), or a non-operative procedure (NO), see ICD-9-CM Procedure Code Mapping to NHSN Operative Procedure Categories at <a href="http://www.cdc.gov/nhsn/XLS/ICD-9-cmCODEScurrent.xlsx">http://www.cdc.gov/nhsn/XLS/ICD-9-cmCODEScurrent.xlsx</a>.

#### **Denominator for Procedure Definitions:**

<u>ASA physical status</u>: Assessment by the anesthesiologist of the patient's preoperative physical condition using the American Society of Anesthesiologists' (ASA) Classification of Physical Status [10, 11]. Patient is assigned one of the following:

- 1. A normally healthy patient
- 2. A patient with mild systemic disease
- 3. A patient with severe systemic disease
- 4. A patient with severe systemic disease that is a constant threat to life
- 5. A moribund patient who is not expected to survive without the operation.

NOTE: Do NOT report procedures with an ASA physical status of 6 (a declared brain-dead patient whose organs are being removed for donor purposes) to NHSN.

<u>Date of event</u>: For an SSI the date of event is the date when the <u>last</u> element used to meet the SSI infection criterion occurred. Synonym: infection date.

<u>Diabetes</u>: The NHSN, SSI surveillance definition of diabetes indicates that the patient has a diagnosis of diabetes requiring management with insulin or a non-insulin anti-diabetic agent. This includes patients with "insulin resistance" who are on management with anti-diabetic agents. This also includes patients with a diagnosis of diabetes who are noncompliant with their diabetes medications. The NHSN definition excludes patients with no diagnosis of diabetes, or a diagnosis of diabetes that is controlled by diet alone. The definition excludes patients who receive insulin for perioperative control of hyperglycemia but have no diagnosis of diabetes. Note: See December 2013 NHSN newsletter for interim reporting guidance.

<u>Duration of operative procedure</u>: The interval in hours and minutes between the Procedure/Surgery Start Time, and the Procedure/Surgery Finish Time, as defined by the Association of Anesthesia Clinical Directors (AACD) [12]:

- Procedure/Surgery Start Time (PST): Time when the procedure is begun (*e.g.*, incision for a surgical procedure).
- Procedure/Surgery Finish (PF): Time when all instrument and sponge counts are completed and verified as correct, all postoperative radiologic studies to be done in the OR are completed, all dressings and drains are secured, and the physicians/surgeons have completed all procedure-related activities on the patient.



Emergency operative procedure: A nonelective, unscheduled operative procedure. Emergency operative procedures are those that do not allow for the standard immediate preoperative preparation normally done within the facility for a scheduled operation (e.g., stable vital signs, adequate antiseptic skin preparation, colon decontamination in advance of colon surgery, etc.).

<u>General anesthesia</u>: The administration of drugs or gases that enter the general circulation and affect the central nervous system to render the patient pain free, amnesic, unconscious, and often paralyzed with relaxed muscles.

<u>Height</u>: The patient's most recent height documented in the medical record in feet (ft) and inches (in), or meters (m).

NHSN Inpatient: A patient whose date of admission to the healthcare facility and the date of discharge are different calendar days.

<u>NHSN Outpatient</u>: A patient whose date of admission to the healthcare facility and date of discharge are the same calendar day.

Non-primary Closure is defined as closure that is other than primary and includes surgeries in which the superficial layers are left completely open during the original surgery and therefore cannot be classified as having primary closure. For surgeries with non-primary closure, the deep tissue layers may be closed by some means (with the superficial layers left open), or the deep and superficial layers may both be left completely open. An example of a surgery with non-primary closure would be a laparotomy in which the incision was closed to the level of the deep tissue layers, sometimes called "fascial layers" or "deep fascia," but the superficial layers are left open. Another example would be an "open abdomen" case in which the abdomen is left completely open after the surgery. If the deep fascial levels of an incision are left open but the skin is closed, this is considered a non-primary closure since the incision was not closed at all tissue levels. Wounds that are "closed secondarily" at some later date, or described as "healing by secondary intention" should also be classified as having non-primary closure. Wounds with non-primary closure may or may not be described as "packed" with gauze or other material, and may or may not be covered with plastic, "wound vacs," or other synthetic devices or materials.

**NOTE:** Assign the surgical wound closure that applies when the patient leaves the OR from the principal operative procedure. This instruction should be followed in scenarios where a patient leaves the OR with non-primary closure, but returns to the OR for a subsequent procedure that results in primary closure of the procedure.

\* <u>Primary Closure</u> is defined as closure of all tissue levels during the original surgery, regardless of the presence of wires, wicks, drains, or other devices or objects extruding through the incision. This category includes surgeries where the skin is closed by some means, including incisions that are described as being "loosely closed" at the skin level. Thus, if any portion of the incision is closed at the skin level, by any manner, a designation of primary closure should be assigned to the surgery.



**NOTE:** Assign the surgical wound closure that applies when the patient leaves the OR from the principal operative procedure. This instruction should be followed in scenarios where a patient leaves the OR with non-primary closure, but returns to the OR for a subsequent procedure that results in primary closure of the procedure.

<u>Scope</u>: An instrument used to visualize the interior of a body cavity or organ. In the context of an NHSN operative procedure, use of a scope involves creation of several small incisions to perform or assist in the performance of an operation rather than use of a traditional larger incision (i.e., open approach). Robotic assistance is considered equivalent to use of a scope for NHSN SSI surveillance. See also <u>Instructions for Completion of *Denominator for Procedure*</u> Form and both <u>Numerator Data</u> and <u>Denominator Data</u> reporting instructions in this chapter.

<u>Trauma</u>: Blunt or penetrating injury.

<u>Weight</u>: The patient's most recent weight documented in the medical record in pounds (lbs) or kilograms (kg) prior to or otherwise closest to the procedure.

<u>Wound class</u>: An assessment of the degree of contamination of a surgical wound at the time of the operation. Wound class should be assigned by a person involved in the surgical procedure, e.g., surgeon, circulating nurse, etc. The wound class system used in NHSN is an adaptation of the American College of Surgeons wound classification schema<sup>8</sup>.

Wounds are divided into four classes:

Clean: An uninfected operative wound in which no inflammation is encountered and the respiratory, alimentary, genital, or uninfected urinary tracts are not entered. In addition, clean wounds are primarily closed and, if necessary, drained with closed drainage. Operative incisional wounds that follow nonpenetrating (blunt) trauma should be included in this category if they meet the criteria. **NOTE:** The clean wound classification level will not be available for denominator data entry for the following NHSN operative procedure categories: APPY, BILI, CHOL, COLO, REC, SB, and VHYS

*Clean-Contaminated*: Operative wounds in which the respiratory, alimentary, genital, or urinary tracts are entered under controlled conditions and without unusual contamination. Specifically, operations involving the biliary tract, appendix, vagina, and oropharynx are included in this category, provided no evidence of infection or major break in technique is encountered.

Contaminated: Open, fresh, accidental wounds. In addition, operations with major breaks in sterile technique (e.g., open cardiac massage) or gross spillage from the gastrointestinal tract, and incisions in which acute, nonpurulent inflammation is encountered including necrotic tissue without evidence of purulent drainage (e.g., dry gangrene) are included in this category.

*Dirty or Infected*: Includes old traumatic wounds with retained devitalized tissue and those that involve existing clinical infection or perforated viscera. This definition suggests that the organisms causing postoperative infection were present in the operative field before the operation.



Table 2. Surgical Site Infection Criteria

Criterion	Surgical Site Infection (SSI)		
	Superficial incisional SSI		
	Must meet the following criteria:		
	Infection occurs within 30 days after any NHSN operative procedure (where		
	day 1 = the procedure date), including those coded as 'OTH'*		
	and		
	involves only skin and subcutaneous tissue of the incision		
	and		
	patient has at least one of the following:		
	a. purulent drainage from the superficial incision.		
	b. organisms isolated from an aseptically-obtained culture of fluid or		
	tissue from the superficial incision.		
	c. superficial incision that is deliberately opened by a surgeon, attending		
	physician** or other designee and is culture positive or not		
	cultured		
	and		
	patient has at least one of the following signs or symptoms: pain or		
	tenderness; localized swelling; redness; or heat. A culture negative		
	finding does not meet this criterion.		
	d. diagnosis of a superficial incisional SSI by the surgeon or attending		
	physician** or other designee.		
	*http://www.cdc.gov/nhsn/XLS/ICD-9-cmCODEScurrent.xlsx		
	** The term attending physician for the purposes of application of the NHSN		
	SSI criteria may be interpreted to mean the surgeon(s), infectious disease,		
	other physician on the case, emergency physician or physician's designee		
	(nurse practitioner or physician's assistant).		
Comments	There are two specific types of superficial incisional SSIs:		
<b>C C C C C C C C C C</b>	1. Superficial Incisional Primary (SIP) – a superficial incisional SSI that		
	is identified in the primary incision in a patient that has had an		
	operation with one or more incisions (e.g., C-section incision or chest		
	incision for CBGB)		
	2. Superficial Incisional Secondary (SIS) – a superficial incisional SSI		
	that is identified in the secondary incision in a patient that has had an		
	operation with more than one incision (e.g., donor site incision for		
	CBGB)		
REPORTING	The following do not qualify as criteria for meeting the NHSN definition		
INSTRUCTIONS			
for Superficial	A stitch abscess alone (minimal inflammation and discharge confined to		
SSI	the points of suture penetration)		
	• A localized stab wound or pin site infection. While it would be considered		
	either a skin (SKIN) or soft tissue (ST) infection, depending on its depth, it		
	is not reportable under this module.		



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	Diagnosis of "cellulitis", by itself, does not meet criterion d for superficial incisional SSI.
	Circumcision is not an NHSN operative procedure. An infected
	circumcision site in newborns is classified as CIRC and is not reportable
	under this module.
	An infected burn wound is classified as BURN and is not reportable under
	this module.
	Deep incisional SSI
	Must meet the following criteria:
	Infection occurs within 30 or 90 days after the NHSN operative procedure
	(where day 1 = the procedure date) according to the list in $\frac{\text{Table } 3}{\text{and}}$
	involves deep soft tissues of the incision (e.g., fascial and muscle layers)
	and
	patient has at least one of the following:
	a. purulent drainage from the deep incision.
	b. a deep incision that spontaneously dehisces or is deliberately opened
	by a surgeon, attending physician** or other designee and is culture-
	positive or not cultured
	and
	patient has at least one of the following signs or symptoms: fever
	(>38°C); localized pain or tenderness. A culture-negative finding
	does not meet this criterion.
	c. an abscess or other evidence of infection involving the deep incision
	that is detected on direct examination, during invasive procedure, or by
	histopathologic examination or imaging test.
	** The term attending physician for the purposes of application of the NHSN
	SSI criteria may be interpreted to mean the surgeon(s), infectious disease,
	other physician on the case, emergency physician or physician's designee
C	(nurse practitioner or physician's assistant).
Comments	There are two specific types of deep incisional SSIs:
	1. Deep Incisional Primary (DIP) – a deep incisional SSI that is identified
	in a primary incision in a patient that has had an operation with one or
	more incisions (e.g., C-section incision or chest incision for CBGB)
	2. Deep Incisional Secondary (DIS) – a deep incisional SSI that is
	identified in the secondary incision in a patient that has had an
	operation with more than one incision (e.g., donor site incision for
	CBGB)



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	Organ/Space SSI		
	Must meet the following criteria:		
	Infection occurs within 30 or 90 days after the NHSN operative procedure		
	(where day $1 =$ the procedure date) according to the list in <u>Table 3</u>		
	and infection involves any part of the body, excluding the skin incision, fascia, or		
	muscle layers, that is opened or manipulated during the operative procedure		
	and		
	patient has at least one of the following:		
	a. purulent drainage from a drain that is placed into the organ/space		
	b. organisms isolated from an aseptically-obtained culture of fluid or		
	tissue in the organ/space		
	c. an abscess or other evidence of infection involving the organ/space that		
	is detected on direct examination, during invasive procedure, or by		
	histopathologic examination or imaging test		
	and		
	meets at least one criterion for a specific organ/space infection site listed in		
	Table 4.		
Comments	Because an organ/space SSI involves any part of the body, excluding the skin		
	incision, fascia, or muscle layers, that is opened or manipulated during the		
	operative procedure, the criterion for infection at these body sites must be met		
	in addition to the organ/space SSI criteria. For example, an appendectomy		
	with subsequent subdiaphragmatic abscess would be reported as an		
	organ/space SSI at the intraabdominal specific site (SSI-IAB) when both		
	organ/space SSI and IAB criteria are met. <u>Table 4</u> list the specific sites that		
	must be used to differentiate organ/space SSI. These criteria are in the		
	Surveillance Definitions for Specific Types of Infections chapter.		
REPORTING	• If a patient has an infection in the organ/space being operated on,		
INSTRUCTIONS	subsequent continuation of this infection type during the remainder of the		
	surveillance period is considered an organ/space SSI, if organ/space SSI		
	and site-specific infection criteria are met.		
	Report mediastinitis following cardiac surgery that is accompanied by  ORLAND  OR		
	osteomyelitis as SSI-MED rather than SSI-BONE.		
	• If meningitis (MEN) and a brain abscess (IC) are present together after		
	operation, report as SSI-IC. Similarly, if meningitis and spinal abscess		
	(SA) are present together after an operation, report as SSI-SA.		
	• Report CSF shunt infection as SSI-MEN if it occurs within 90 days of		
	placement; if later or after manipulation/access, it is considered CNS-MEN		
	and is not reportable under this module.		



Table 3. Surveillance Period for Deep Incisional or Organ/Space SSI Following Selected NHSN Operative Procedure Categories. Day 1 = the date of the procedure.

30-day Surveillance				
Code	Operative Procedure	Code	Operative Procedure	
AAA	Abdominal aortic aneurysm repair	LAM	Laminectomy	
AMP	Limb amputation	LTP	Liver transplant	
APPY	Appendix surgery	NECK	Neck surgery	
AVSD	Shunt for dialysis	NEPH	Kidney surgery	
BILI	Bile duct, liver or pancreatic surgery	OVRY	Ovarian surgery	
CEA	Carotid endarterectomy	PRST	Prostate surgery	
CHOL	Gallbladder surgery	REC	Rectal surgery	
COLO	Colon surgery	SB	Small bowel surgery	
CSEC	Cesarean section	SPLE	Spleen surgery	
GAST	Gastric surgery	THOR	Thoracic surgery	
HTP	Heart transplant	THYR	Thyroid and/or parathyroid	
			surgery	
HYST	Abdominal hysterectomy	VHYS	Vaginal hysterectomy	
KTP	Kidney transplant	XLAP	Exploratory Laparotomy	
		OTH	Other operative procedures not	
	included in the NHSN categories			
	90-day Surveillance			
Code	Operative Procedure			
BRST	Breast surgery			
CARD	Cardiac surgery			
CBGB	Coronary artery bypass graft with both			
CBGC	Coronary artery bypass graft with chest incision only			
CRAN	Craniotomy			
FUSN	Spinal fusion			
FX	Open reduction of fracture			
HER	Herniorrhaphy			
HPRO	Hip prosthesis			
KPRO	Knee prosthesis			
PACE	Pacemaker surgery			
PVBY	Peripheral vascular bypass surgery			
RFUSN	Refusion of spine			
VSHN	Ventricular shunt			

**NOTE:** Superficial incisional SSIs are only followed for a 30-day period for all procedure types.



**Table 4.** *Specific Sites of an Organ/Space SSI*. Criteria for these sites can be found in the NHSN Help system (must be logged in to NHSN) or the <u>Surveillance Definitions</u> for Specific Types of Infections chapter.

Code	Site	Code	Site
BONE	Osteomyelitis	LUNG	Other infections of the respiratory
			tract
BRST	Breast abscess or mastitis	MED	Mediastinitis
CARD	Myocarditis or pericarditis	MEN	Meningitis or ventriculitis
DISC	Disc space	ORAL	Oral cavity (mouth, tongue, or gums)
EAR	Ear, mastoid	OREP	Other infections of the male or female
			reproductive tract
EMET	Endometritis	OUTI	Other infections of the urinary tract
ENDO	Endocarditis	PJI	Periprosthetic Joint Infection
EYE	Eye, other than conjunctivitis	SA	Spinal abscess without meningitis
GIT	GI tract	SINU	Sinusitis
HEP	Hepatitis	UR	Upper respiratory tract
IAB	Intraabdominal, not specified	VASC	Arterial or venous infection
IC	Intracranial, brain abscess or dura	VCUF	Vaginal cuff
JNT	Joint or bursa		

**Numerator Data:** All patients having any of the procedures included in the selected NHSN operative procedure category(s) are monitored for signs of SSI. The *Surgical Site Infection (SSI)* form is completed for each such patient found to have an SSI. If no SSI events are identified during the surveillance month, check the "Report No Events" field in the Missing PA Events tab of the Incomplete/Missing List.

The <u>Instructions for Completion of the Surgical Site Infection</u> form include brief instructions for collection and entry of each data element on the form. The <u>SSI form</u> includes patient demographic information and information about the operative procedure, including the date and type of procedure. Information about the SSI includes the date of SSI, specific criteria met for identifying the SSI, when/how the SSI was detected, whether the patient developed a secondary bloodstream infection, whether the patient died, and the organisms isolated from cultures and the organisms' antimicrobial susceptibilities.

#### SSI EVENT REPORTING INSTRUCTIONS:

- 1. Multiple tissue levels are involved in the infection: The type of SSI (superficial incisional, deep incisional, or organ/space) reported should reflect the deepest tissue layer involved in the infection:
  - a) Report infection that involves the organ/space as an organ/space SSI, whether or not it also involves the superficial or deep incision sites.
  - b) Report infection that involves the superficial and deep incisional sites as a deep incisional SSI.



- 2. Attributing SSI to a NHSN procedure when several are performed on different dates: If a patient has several NHSN operative procedures performed on different dates prior to an infection, report the operative procedure code of the operation that was performed most closely in time prior to the infection date, unless there is evidence that the infection was associated with a different operation. Note: for multiple NHSN operative procedures performed within a 24 hour period, see Denominator Reporting Instruction 7.
- 3. Attributing SSI to NHSN procedures that involve multiple primary incision sites: If multiple primary incision sites of the same NHSN operative procedure become infected, only report as a single SSI, and assign the type of SSI (superficial incisional, deep incisional, or organ/space) that represents the deepest tissue level involved at any of the infected sites. For example:
  - a) If one laparoscopic incision meets criteria for a superficial incisional SSI and another meets criteria for a deep incisional SSI, only report one deep incisional SSI.
  - b) If one or more laparoscopic incision sites meet criteria for superficial incisional SSI but the patient also has an organ/space SSI related to the laparoscopic procedure, only report one organ/space SSI.
  - c) If an operative procedure is limited to a single breast and involves multiple incisions in that breast that become infected, only report a single SSI.
  - d) In a colostomy formation or reversal (take down) procedure, the stoma and other abdominal incision sites are considered primary incisions. If both the stoma and another abdominal incision site develop superficial incisional SSI, report only as one SSI (SIP).
- 4. **Attributing SSI to NHSN procedures that have secondary incision sites**: Certain procedures can involve secondary incisions, when applicable, including BRST, CBGB, CEA, FUSN, REC, PVBY, RFUSN. The superficial incisionaland deep incisional SSI surveillance periods for any secondary incision site are 30 days, regardless of the required deep incisional or organ/space SSI surveillance period for the primary incision site(s) (Table 3). Procedures meeting this designation are reported as only one operative procedure. For example:
  - a) A saphenous vein harvest incision site in a CBGB procedure is considered the secondary incision. One CBGB procedure is reported, the saphenous vein harvest site is monitored for 30 days after surgery for SSI, and the chest incision is monitored for 90 days.
  - b) A tissue harvest site (e.g., Transverse Rectus Abdominis Myocutaneous [TRAM] flap) in a BRST procedure is considered the secondary incision site. One BRST procedure is reported, and if the secondary incision gets infected, report as either SIS or DIS as appropriate.
- 5. **SSI detected at another facility:** It is required that if an SSI is detected at a facility other than the one in which the operation was performed, notify the IP of the index facility with enough detail so the infection can be reported to NHSN. When reporting the SSI, the index facility should indicate that Detected = RO.



- 6. **SSI Attribution after Surgical Multiple types of NHSN procedures are performed during a single trip to the OR:** If more than one NHSN operative procedure category was performed through a single incision during a single trip to the operating room, attribute the SSI to the procedure that is thought to be associated with the infection. If it is not clear, as is often the case when the infection is an incisional SSI, use the NHSN Principal Operative Procedure Category Selection Lists (<u>Table 5</u>) to select the operative procedure to which the SSI should be attributed. For example, if a patient develops SSI after a single trip to the OR in which both a COLO and SB were performed, and the source of the SSI is not apparent, assign the SSI to the COLO procedure.
- 7. **SSI following invasive manipulation/accession of the operative site:** If during the post-operative period the surgical site has an invasive manipulation/accession for diagnostic or therapeutic purposes (e.g., needle aspiration), and following this manipulation/accession an SSI develops, the infection is not attributed to the operation. This reporting instruction does NOT apply to closed manipulation (e.g., closed reduction of a dislocated hip after an orthopedic procedure). Invasive manipulation does not include wound packing, or changing of wound packing materials as part of postoperative care.
- 8. **Reporting instructions for specific post-operative infection scenarios:** As of 2014, an SSI that otherwise meets the NHSN definitions should be reported to NHSN without regard to post-operative accidents, falls, inappropriate showering or bathing practices, or other occurrences that may or may not be attributable to patients' intentional or unintentional postoperative actions. Also, SSI should also be reported regardless of the presence of certain skin conditions (e.g., dermatitis, blister, impetigo) that occur near an incision, and regardless of the possible occurrence of a "seeding" event from an unrelated procedure (e.g., dental work). This revised instruction concerning various postoperative circumstances is necessary to reduce subjectivity and data collection burden associated with because the previously exempted scenarios.



# Table 5. NHSN Principal Operative Procedure Category Selection Lists

The following lists are derived from the operative procedures listed in <u>Table 1</u>. The categories with the highest risk of SSI are listed before those with lower risks.

Priority	Code	Abdominal Operations	
1	LTP	Liver transplant	
2	COLO	Colon surgery	
3	BILI	Bile duct, liver or pancreatic surgery	
4	SB	Small bowel surgery	
5	REC	Rectal surgery	
6	KTP	Kidney transplant	
7	GAST	Gastric surgery	
8	AAA	Abdominal aortic aneurysm repair	
9	HYST	Abdominal hysterectomy	
10	CSEC	Cesarean section	
11	XLAP	Laparotomy	
12	APPY	Appendix surgery	
13	HER	Herniorrhaphy	
14	NEPH	Kidney surgery	
15	VHYS	Vaginal Hysterectomy	
16	SPLE	Spleen surgery	
17	CHOL	Gall bladder surgery	
18	OVRY	Ovarian surgery	
Priority	Code	Thoracic Operations	
1	HTP	Heart transplant	
2	CBGB	Coronary artery bypass graft with donor incision(s)	
3	CBGC	Coronary artery bypass graft, chest incision only	
4	CARD	Cardiac surgery	
5	THOR	Thoracic surgery	
Priority	Code	Neurosurgical (Brain/Spine) Operations	
1	VSHN	Ventricular shunt	
2	RFUSN	Refusion of spine	
3	CRAN	Craniotomy	
4	FUSN	Spinal fusion	
5	LAM	Laminectomy	
Priority	Code	Neck Operations	
1	NECK	Neck surgery	
2	THYR	Thyroid and or parathyroid surgery	



**Denominator Data:** For all patients having any of the procedures included in the NHSN Operative Procedure category(s) selected for surveillance during the month, complete the <u>Denominator for Procedure</u> form. The data are collected individually for each operative procedure performed during the month specified on the <u>Patient Safety Monthly Reporting Plan</u>. The <u>Instructions for Completion of the Denominator for Procedure</u> Form include brief instructions for collection and entry of each data element on the form.

#### **DENOMINATOR REPORTING INSTRUCTIONS:**

- 1. **Closure type**: As of 2014, incisional closure is NO LONGER a part of the NHSN operative procedure definition; all otherwise eligible procedures are included in the denominator reporting, regardless of closure type. The closure technique is entered for each denominator for procedure. Note: See December 2013 NHSN newsletter for interim reporting guidance.
- Wound class: A wound class is not an exclusion for denominator reporting. If the procedure
  meets the definition of an NHSN operative procedure it should be reported in the denominator
  data regardless of wound class. NHSN will use the wound class for risk adjustment, as
  appropriate.
- 3. **Different operative procedure categories performed during same trip to the OR:** If procedures in more than one NHSN operative procedure category are performed during the same trip to the operating room through the same or different incisions, a *Denominator for Procedure* form is reported for each NHSN operative procedure category being monitored. For example, if a CARD and CBGC are done through the same incision, a *Denominator for Procedure* form is reported for each. In another example, if following a motor vehicle accident, a patient has an open reduction of fracture (FX) and splenectomy (SPLE) performed during the same trip to the operating room and both procedure categories are being monitored, complete a *Denominator for Procedure* form for each.

EXCEPTION: If a patient has both a CBGC and CBGB during the same trip to the operating room, report only as a CBGB. Only report as a CBGC when there is a chest incision only. CBGB and CBGC are never reported for the same patient for the same trip to the operating room. The time from chest incision to chest primary closure is reported as the duration of the procedure.

4. **Duration of the procedure when more than one category of NHSN operative procedure is done through the same incision:** If more than one NHSN operative procedure category is performed through the same incision during the same trip to the operating room, record the combined duration of all procedures, which is the time from procedure/surgery start time to procedure/surgery finish time. For example, if a CBGC and a CARD are performed on a patient during the same trip to the operating room, the time from start time to finish time is reported for both operative procedures.



- 5. Same operative procedure category but different ICD-9-CM codes during same trip to the OR: If procedures of different ICD-9-CM codes from the same NHSN operative procedure category are performed through the same incision, record only one procedure for that category. For example, a facility is performing surveillance for CARD procedures. A patient undergoes a replacement of both the mitral and tricuspid valves (35.23 and 35.27, both CARD) during the same trip to the operating room. Complete one CARD *Denominator for Procedure* form because ICD-9-CM codes 35.23 and 35.27 fall in the same operative procedure category [CARD] (see <u>Table 1</u>).
- 6. **Bilateral procedures:** For operative procedures that can be performed bilaterally during same trip to operating room (e.g., KPRO, HPRO, BRST), two separate *Denominator for Procedure* forms are completed. To document the duration of the procedures, indicate the procedure/surgery start time to procedure/surgery finish time for each procedure separately or, alternatively, take the total time for both procedures and split it evenly between the two.
- 7. More than one operative procedure through same incision within 24 hours: If a patient goes to the operating room more than once during the same admission and another procedure of the same or different NHSN procedure category is performed through the same incision and the start time of the second procedure is within 24 hours of the finish time of the original operative incision, report only one *Denominator for Procedure* form for the original procedure, combining the durations for both procedures based on the procedure start times and finish times for both procedures. For example, a patient has a CBGB lasting 4 hours. He returns to the OR six hours later to correct a bleeding vessel (OTH). The second operation has a duration of 1.5 hours. Record the operative procedure as one CBGB and the duration of operation as 5 hour 30 minutes. If the wound class has changed, report the higher wound class. If the ASA class has changed, report the higher ASA class. Do not report an 'OTH' record.
- 8. **Patient expires in the OR:** If a patient expires in the operating room, do not complete a *Denominator for Procedure* form. This operative procedure is excluded from the denominator.
- 9. Laparoscopic hysterectomy HYST or VHYS: When assigning the correct ICD-9-CM hysterectomy procedure code, a trained coder must determine what structures were detached and how they were detached based on the medical record documentation. The code assignment is based on the surgical technique or approach used for the detachment of those structures, not on the location of where the structures were physically removed from the patient's body. Therefore, a total laparoscopic HYST procedure will have detachment of the entire uterus and cervix from the surrounding supporting structures via the laparoscopic technique. A laparoscopically-assisted VHYS involves detachment of the uterus and upper supporting structures via laparoscope but the lower supporting structures and cervix are detached via vaginal incision.
- 10. **Incidental appendectomy reporting instruction change:** Any appendectomy (APPY) should be reported regardless of whether it is incidental.



11. **XLAP – reporting instruction change:** Any exploratory laparotomy (XLAP) should be reported regardless of whether it results in a procedure from another category being performed.

**Data Analyses:** The Standardized Infection Ratio (SIR) is calculated by dividing the number of observed infections by the number of predicted infections. The number of predicted infections is calculated using SSI probabilities estimated from multivariate logistic regression models constructed from NHSN data during a baseline time period, which represents a standard population's SSI experience [3].

There are three SSI SIR models available from NHSN, each briefly described in the table below.

All SSI SIR Model	<ul> <li>Includes Superficial, Deep &amp; Organ/Space SSIs</li> <li>Superficial &amp; Deep incisional SSIs limited to primary incisional SSIs only</li> <li>Includes SSIs identified on admission, readmission &amp; via post-discharge surveillance</li> </ul>
Complex A/R SSI Model	<ul> <li>Includes <u>only</u> Deep incisional primary SSIs &amp; Organ/Space SSIs</li> <li>Includes <u>only</u> SSIs identified on Admission/Readmission to</li> </ul>
	<ul> <li>facility where procedure was performed</li> <li>Includes <u>only</u> inpatient procedures</li> <li>Used for the National SIR Report, published annually</li> </ul>
Complex 30-day SSI model (used for CMS IPPS)	<ul> <li>Includes only in-plan, inpatient COLO and HYST procedures in adult patients (i.e., ≥ 18 years of age)</li> <li>Includes only deep incisional primary SSIs and organ/space SSIs with an event date within 30 days of the procedure</li> <li>Uses only age and ASA to determine risk</li> <li>Used only for CMS IPPS reporting and for public reporting on Hospital Compare</li> </ul>

**NOTE:** The SIR will be calculated only if the number of expected HAIs (numExp) is  $\geq 1$  to help enforce a minimum precision criterion .

**NOTE:** In the NHSN application, "predicted" is referred to as "expected".

**NOTE**: All of the SSI SIRs that utilize the 2006-2008 SSI baseline data will include only those procedures that were reported with a primary closure method.<sup>3</sup>

 $SIR = \underline{Observed (O) HAIs}$ Expected (E) HAIs



While the SSI SIR can be calculated for single procedure categories and for specific surgeons, the measure also allows you to summarize your data across multiple procedure categories while adjusting for differences in the estimated probability of infection among the patients included across the procedure categories. For example, you will be able to obtain one SSI SIR adjusting for all procedures reported. Alternatively, you can obtain one SSI SIR for all colon surgeries (COLO) only within your facility.

**NOTE:** SSIs will be included in the numerator of an SIR based on the date of procedure, not the date of event.

SSI rates per 100 operative procedures are calculated by dividing the number of SSIs by the number of specific operative procedures and multiplying the results by 100. SSIs will be included in the numerator of a rate based on the date of procedure, not the date of event. Using the advanced analysis feature of the NHSN application, SSI rate calculations can be performed separately for the different types of operative procedures and stratified by the basic risk index.

Descriptive analysis options of numerator and denominator data are available in the NHSN application, such as line listings, frequency tables, and bar and pie charts. SIRs and SSI rates and run charts are also available. Guides on using NHSN analysis features are available from: <a href="http://www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html">http://www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html</a>.

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