

A guide to your 2018 Consolidated IRS Form1099

Important information about tax reporting for 2018

About this guide

This comprehensive reference guide is designed to help you in filing your federal income tax return. It provides detailed explanations and examples of the tax reporting statement you may receive, depending on the type of reportable income you have in your account.

The Consolidated Form 1099 reflects information that is reported to the Internal Revenue Service (IRS). In most situations, you must report the income shown on Form 1099 when filing your federal income tax return.

Please note:

- Your Consolidated Form 1099 (rather than your December statement) is the official document for tax reporting purposes.
- Various issuers may provide additional information after year-end; therefore, amounts shown on your monthly statement may be different from your Consolidated Form 1099.
- Attempting to reconcile the December statement against your Consolidated Form 1099 may be difficult, as the amounts will likely be different.

Disclosure

This guide is not intended to be used or relied upon for the purposes of tax advice. As tax laws are complex and subject to interpretation, please consult your tax advisor with any tax questions you may have regarding the preparation and filing of your federal income tax return(s).

Table of contents

Important dates and deadlines	3
What is included on your Consolidated Form 1099	
Changes and Enhancements to Forms 1099	
Delayed reporting message	
General information	
Structured Products	13
Additional product specific information	15
Limited partnerships	17
State reporting and withholding	18
Form 1099-DIV, Dividends and Distributions	19
Form 1099-OID, Original Issue Discount	22
Form 1099-INT, Interest Income	26
Form 1099-MISC, Miscellaneous Income	29
Form 1099-B, Proceeds from Broker and Barter Exchange Transactions	31
Frequently Asked Questions (FAQ)	35
Additional resources and information	39

Important dates and deadlines

As you prepare for 2018 tax reporting, please note these anticipated mailing dates for UBS forms and information.

Form	Account type	Description	available on UBS Online Services by
Year-End Valuation (YEV)	Retirement	The December Statement provides the client with the year-end value of their IRA(s). Additionally, for clients who are 70 $\frac{1}{2}$ or older, the YEV will provide a Required Minimum Distribution notification.	January 9
1099-R	Retirement	Reports the taxable and non-taxable distributions from qualified Individual Retirement Accounts (IRAs) and Qualified Plans for which UBS Financial Services Inc. is the Trustee or Custodian.	January 31
1099-Q	Retirement	Reports all distributions for the Coverdell Education Savings Accounts (ESAs), for which UBS Financial Services Inc. is the acting Trustee.	January 31
Year-End Valuation Statement	Retirement	If the client's December Statement value is revised, or if they have reached the Required Mandatory Distribution (RMD) age, a separate Year-End Valuation Statement will be provided.	January 31
1099 Consolidated Statement	Retail accounts- First Mailing	The Consolidated Form 1099 is the collection of all applicable Forms 1099 merged into one document. It reflects information that is reported to the IRS and is designed to assist you with filing your federal income tax return. Specifically, it includes the following Forms: 1099-DIV, 1099-INT, 1099-B, 1099-OID and 1099-MISC.	February 14 Please note: If an account owner has multiple accounts with UBS, Forms
		The first mailing includes Consolidated Forms 1099 that we do not anticipate will have any additional updates or issuer-driven reclassifications (i.e., reclassifications made by issuers such as mutual fund and Unit Investment Trust (UIT) companies).	1099 may be mailed on different dates.
	All accounts- Final Mailing	All pending Consolidated Forms 1099—This mailing includes all remaining accounts that were held pending issuer reclassifications and available MBS reporting information, including information for REMICs and mortgage pools.	February 28
		Mortgage-Backed Securities (MBS) 1099—The MBS 1099 income reporting information, which also includes information related to Real Estate Mortgage Investment Conduits (REMICs) and mortgage pools, will be included with the Consolidated Forms 1099 if it is available at the time of the final mailing date. However, as noted below, if this information is not available in the final mailing, it will be sent at a later date as a Revised Form 1099.	
Informational Tax Statement (note: not an IRS Form)	Retail accounts- exempt from 1099 reporting	This is provided for informational purposes only for accounts that do not require a 2018 Form 1099 (e.g., corporate accounts).	February 28
480.6A, 480.6B, 480.6C, and 480.6D	Retail—Puerto Rico Tax Reporting	480.6A—Reporting for Puerto Rico (PR) individual residents who receive income from PR-taxable securities that was not subject to withholding at time of payment. This form also includes reporting information for gross proceeds and redemptions from all securities.	February 28
		480.6B—Reporting for Puerto Rico residents who hold Puerto Rico equities and bonds that are subject to withholding (PTAX).	
		480.6C—Reporting for non-residents of Puerto Rico who hold Puerto Rico-based securities in their account(s) for which taxes were withheld on gross income.	
		480.6D—Reporting for Puerto Rico residents on tax-exempt income that may be subject to Alternate Basic Tax (ABT). The type of tax-exempt income reported on this form includes the following: Collateralized Mortgage Obligations (CMOs), Municipal Bond Funds, interest from Puerto Rico and U.S. government/state/territory obligations and other tax-exempt income.	
Revised Form(s) 1099	Retail	Late issuer reclassifications that are made to accounts that previously received a form, which may include any of the following: ordinary income or capital gains income, MBS income reporting information and any other updates, such as SSN/TIN or cost basis.	Beginning March 8
1042-S	NRA	Reports payments to nonresident alien (NRA) clients of all U.S. source income and associated U.S. tax (NRA tax) withheld on that income within a given calendar year.	March 15
2439	Retail	Notice to Shareholders of undistributed long-term capital gains and taxes paid by regulated investment companies.	March 15
K-1 (Limited Partnership Income)	All	Distributed by and from the Limited Partnership (LP). Because Forms K-1 are processed and mailed by LPs directly, UBS Financial Services Inc. cannot control mail dates, which may vary.	Various
5498-ESA	Retirement	Reports all contributions made to your Coverdell Education Savings Accounts (ESAs).	May 1
5498	Retirement	Reports individual and rollover contributions made to IRAs and SIMPLE IRAs, as well as Employee and Employer contributions for Simplified Employee Pensions (SEPs), and Cash or Deferred Arrangement (CODA) SEPs.	May 31

Postmarked/

What is included on your Consolidated Form 1099

Your Consolidated Form 1099 reflects tax reporting information that UBS Financial Services Inc. (UBS) provides to you and to the IRS on:

- Form 1099-B
- Form 1099-DIV
- Form 1099-INT
- Form 1099-OID
- Form 1099-MISC

Your Consolidated Form1099 includes all Forms regardless of your specific tax reporting situation; those Forms that are not applicable to your particular circumstance will show zeroes.

UBS reports all payments, distributions and Original Issue Discount (OID) to the IRS and to you. We also must report the gross proceeds on certain sales transactions and the cost basis of covered securities.

For your protection, this form will show only the last four digits of your Social Security Number (SSN), Individual Taxpayer Identification Number (ITIN), Adoption Taxpayer Identification Number (ATIN) or Employer Identification Number (EIN). However, UBS has reported your complete identification number to the IRS and, where applicable, to state and/or local tax authorities.

In addition to the information provided to the IRS, certain states require that additional tax information be reported directly to them by UBS for residents of those states. Refer to the "State Reporting and Withholding" section on page 18 for further information regarding these special reporting requirements.

Important note: Your Consolidated Form 1099 (rather than your December statement) is the official document for tax reporting purposes.

Changes and Enhancements to Forms 1099

2018 Consolidated Form 1099 Income Detail Pages

As a result of the new IRS reporting regulations, we have revised the Consolidated Form 1099 and made several enhancements to the Consolidated 1099 Statement in order to provide you with a more detailed view of your Forms 1099. This guide will help you identify the various tax year 2018 Form changes.

Form 1099-DIV changes

The following changes have been made to Form 1099-DIV to comply with IRS requirements:

Line 5 Section 199A dividends, has been added to the Form 1099-DIV to include dividends eligible for the 20% qualified business income deduction under Section 199A of the Tax Cuts and Jobs Act.

This deduction is being applied to qualified REIT dividends, which are referred to as Section 199A dividends. A qualified REIT dividend is any dividend from a real estate investment trust received during the taxable year that is not a capital gain dividend, and is not qualified dividend income, as defined in section 1(h)(11) of the Internal Revenue Code. The amount that will be displayed on Line 5 will also be included in the "Total ordinary dividends" (Line 1a of Form 1099-DIV).

The addition of the new Line 5 to the 1099-DIV has required the shifting of previous line 5 and all higher numbered lines. For instance: Line 6 (Investment expenses) will be derived from last year's line 5, line 7 (Foreign tax paid) will be derived from last year's line 6, etc.

DIVIDENDS AND DISTRIBUTIONS 2	018 1099-DIV*	OMB No. 1545-0110
1a- Total ordinary dividends (includes lines 1b, 5)		152,739.30
1b- Qualified dividends		119,629.52
2a- Total capital gain distributions (includes lines 2b,	, 2c, 2d)	17,227.28
2b- Unrecaptured Section 1250 gain	•	402.70
2c- Section 1202 gain		0.00
2d- Collectibles (28%) gain		0.00
3- Nondividend distributions		11,583.07
4- Federal income tax withheld		-2,094.25
5- Section 199A dividends		0.00
6- Investment expenses		123.20
8- Foreign country or US possession: See detail	7- Foreign tax paid:	2,343.71
9- Cash liquidation distributions		0.00
10- Noncash liquidation distributions		0.00
11- Exempt-interest dividends (includes line 12)		27,409.89
12- Specified private activity bond interest dividends	(AMT)	215.63

Consolidated Form 1099 Enhancements

An enhancement has been made to the presentation of mortgage backed securities, specifically to the combination class REMIC securities on the Consolidated Form 1099.

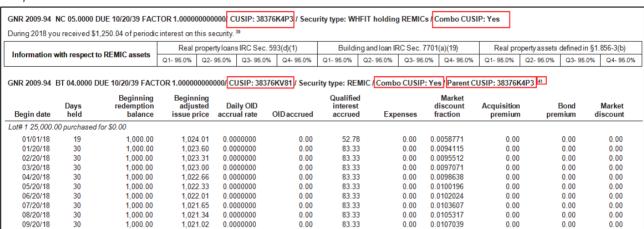
In the section "Detail for REMICs & Widely Held Fixed Investment Trusts", each Combination (Combo) CUSIP will be identified as such. In addition, the parent CUSIP will also be provided for reference back to the original holding.

The parent CUSIP is a CUSIP with one or more underlying CUSIPs, while the underlying CUSIPs are those on which income is required to be reported.

Each combination CUSIP will be referenced by a newly added end note (end note number 41) which is located in the End Notes section of the Consolidated Form 1099. The End Note states "This CUSIP is part of a combination class REMIC. Reporting is required to be completed under this CUSIP. Parent CUSIP is included for your reference."

In the below example you can see the detail presented for CUSIP: 38376KV81, the Combo CUSIP designation is "Yes" and there is a reference to Parent CUSIP: 38376K4P3.

Sample of the above referenced enhancements



Sample of the above referenced End Note

UBS FINANCIAL SERVICES INC.	2018 End Notes for Account XX 12345
41 This CUSIP is part of a combination class REMIC. Reporting is	required to be completed under this CUSIP. Parent CUSIP is included for your reference.

Delayed reporting message

The Consolidated Form 1099 reflects information that is reported to the IRS. In most situations, you must report the income shown on Form 1099 when filing your taxes.

Revised ("Corrected") Consolidated Form 1099 due to special investments

Special reporting requirements for certain securities, such as REMICs, CDOs, CMOs, WHFITs and WHMTs, which are explained in this guide, may require us to issue a Revised Consolidated Form 1099. The Revised 1099 would reflect any late or revised mortgage backed securities information that was previously provided on the Consolidated Form 1099 Statement mailed to you in February. This Revised Consolidated Form 1099 will replace any prior Consolidated Form 1099 you may have received.

The reporting date is delayed for these types of securities because payments in December, January and February impact the Form 1099 information that we have to provide to you. Additionally, the reporting may contain various types of supplemental information that have to be included in your reporting; however, they are unable to provide such information until January or February after the original Consolidated Forms 1099 have already been issued. Your Consolidated Form 1099 may contain the following message if you invested in any of these types of securities:

You have been identified as owning one of the following types of securities; REMICs (Real Estate Mortgage Investment Conduits), CMOs (Collateralized Mortgage Obligations), CDOs (Collateralized Debt Obligations) and/or WHMTs (Widely Held Mortgage Trusts). These securities require special tax reporting and because most issuers are generally unable to provide this tax reporting until mid-March, you may receive a Corrected Consolidated Form 1099 in late March. You should consider waiting for this Corrected Consolidated Form 1099 Statement before filing your taxes.

We have prepared a guidebook to assist you in reporting income from these products on your federal income tax return. Please visit our website, ubs.com/REMICinformation for a copy of the guide. Please note this guide is not intended to serve as specific tax advice. Please consult your tax advisor.

General information

Corporate Account Reporting

Generally, payments to corporations are not reportable. However, we are required to report payments to corporations for the following:

- The credits for qualified tax credit bonds treated as interest and reported on Form 1099-INT
- Substitute payments in lieu of dividends and tax-exempt interest on Form 1099-MISC
- Payments of attorneys' fees and gross proceeds paid to attorneys (if applicable) on Form 1099-MISC
- Withheld federal income tax or foreign tax

Tax Credit Bonds Paid to Corporate Accounts

UBS is required to report the credits for qualified tax credit bonds treated as interest on Form 1099-INT. Previously, UBS was permitted to exempt U.S. Corporations from backup withholding and reporting. Effective 2011, a corporate account received a Form 1099-INT if it received "income" from a Tax Credit Bond in excess of \$10.

Starting in 2012, sales of covered securities by an S-Corporation(S-Corp) and presumed S-Corps are reportable to the IRS on Form 1099-B. For sale events to be reportable on Form 1099-B, the covered securities have to be acquired after 2011 (i.e., starting on January 1, 2012). Covered securities acquired prior to 2012 are not reportable on Form 1099-B for S-Corps or presumed S-Corps. Undocumented corporate accounts (presumed S-Corps) are also subject to 24% backup withholding on covered sales.

Substitute Payments

Corporate accounts will also receive a Form 1099-MISC if they receive substitute payments in lieu of dividends in excess of \$10.

Undocumented corporate accounts are also subject to 24% backup withholding on any substitute payments received.

Corporate accounts will continue to receive a combined statement titled "2018 Consolidated Form 1099" if they had IRS-reportable 1099 activity. A combined statement will be sent if you received income from Tax Credit Bonds (Form 1099-INT), substitute payments (Form 1099-MISC), 1099-B reportable transactions or withheld federal income tax or foreign tax paid. The IRS-reportable 1099 section of the statement will appear first within the combined statement, followed by the supplemental, non-reportable, information-only part of the statement.

An account with IRS-reportable 1099 activity will receive a combined statement, including Form(s) 1099 and an Informational Statement

New for 2018: Informational Tax Statements (Info Statements) will be available automatically via Online Services (OLS) for all domestic corporate accounts. Previously, Info Statements were provided only upon request. Information-Only Tax Statements will be sent to you based on your elected method of delivery. A request for an informational tax statement no longer needs to be submitted.

Foreign Tax Paid

As required by IRS regulations, if you had foreign tax withheld, a Form 1099 will be generated showing this withholding. Please consult your tax advisor.

e-Delivery

Instead of receiving paper forms in the mail, UBS Online Services clients can view and print a PDF version of their 1099, delivered via an e-mail link or by logging on to UBS Online Services and downloading the document. Only UBS clients can enroll in UBS Online Services. Please note: your Tax Identification Number (TIN) will be masked on all e-Delivery tax statements.

Tax data downloading process

You will need to use your UBS account number and unique Document ID (without spaces) printed on each tax form as your credentials for downloading your tax data for each account. All tax documents are available for download without

having to sign up or request access. The UBS account number and Document ID are provided to you whether you receive your tax documents by mail or through e-Delivery.

Important note: In order to download tax data for multiple accounts, you must download each account separately using the UBS Account Number and Document ID (without spaces) provided on the tax document for each account.

The download will include the complete Consolidated Form 1099, including Mortgage Backed Securities (MBS) reporting information, which in previous years was sent as a separate MBS Form 1099 statement.

The sample below shows where the account number and Document ID can be found on the 1099 statement



The following tax software can be used to view your UBS tax data: Turbo Tax, H&R Block, Tax Form Drop-off (Lacerte), Tax ACT and CCH.

Federal Income Tax Withholding (Backup Withholding)

We are required by law to withhold 24% of federal income tax from all reportable dividends, interest and gross proceeds paid to certain U.S. persons (including trusts and partnerships) who fail to furnish a valid TIN or appropriate certification (IRS Form W-9). This is called "backup withholding." If you are exempt from backup withholding because you are an exempt recipient (for instance, a Qualified Retirement Plan (QRP) or a tax-exempt organization), please provide your Financial Advisor with an executed IRS Form W-9, including the applicable withholding exemption. If you are exempt from backup withholding because you are a foreign person; please furnish appropriate certification, such as an IRS Form W-8BEN.

Please note: We report federal income tax withheld separately on your Form(s) 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B.

Exempt Accounts

Certain accounts are exempt from IRS Form 1099 reporting and backup withholding requirements. These accounts generally include accounts for C-Corporations, QRPs, IRAs, certain WHFITs, charitable organizations, foreign accounts, and most federal, state and local government accounts.

The Instructions to IRS Form W-9 (Request for Taxpayer Identification Number and Certification) will contain a more complete list of exemption criteria. If your account is exempt from IRS Form 1099 reporting and you receive a Consolidated Form 1099, please refer to the Corporate Account Reporting topic of the General Information section within this guide. Please contact your Financial Advisor with any additional guestions you may have.

Taxpayer Identification Number

The IRS allows filers of Forms 1099 to truncate a recipient identification number (Social Security Number (SSN), Individual Taxpayer Identification Number (ITIN), Adoption Taxpayer Identification Number (ATIN), or Employer Identification Number (EIN) on the payee statement. These identification numbers are displayed on your Tax Information Statement in the following format: XXX-XX-1234 or XX-XXX-1234.

Please note: Previously the IRS did not allow for the truncation of Employer Identification Numbers (EINs), however final regulations eliminate this restriction. EINs will now be truncated on the Consolidated Forms 1099. In addition, if the Consolidated Forms are delivered electronically via e-Delivery, tax statement will mask your Tax Identification Number (TIN).

Please check your name and Taxpayer Identification Number (or truncated number) displayed on your Tax Information Statement. If it is either missing or incorrect, promptly provide an executed IRS Form W-9 (Request for Taxpayer Identification Number and Certification) to your Financial Advisor. If more than one name is shown, please ensure that the SSN on the Tax Information Statement belongs to the individual whose name is listed first. If that is not the case,

please contact your Financial Advisor. To avoid backup withholding, it is important to ensure that your name and Taxpayer Identification Number are correct. If the information is not correct, or does not match the records of the IRS or Social Security Administration, then, upon notice from the IRS, as required by its "B-Notice Program," we may be required to do backup withholding.

Trade date reporting

We report all Form 1099-B proceeds on a trade date basis. This means that all reportable gross proceeds transactions occurring through December 31, 2018, will appear on the 2018 Consolidated Form 1099.

An exception to this rule is a short sale; short sales are reported in the year they are closed, not the year of the sale. For example, if a short sale is sold and closed in tax year 2018, it will be reported on the Form 1099 in tax year 2018. If a short sale is sold in 2018 but closed in 2019, it will be reported on the Consolidated Form 1099 in the 2019 tax year.

CUSIP numbers are provided in all detail sections to help you identify individual securities. On the "Proceeds from Broker Transactions," the CUSIP and Symbol are on the same line as the Description (Box 1a) of the security.

Short-term Instruments

Short-term instrument securities that redeemed within 365 days of the issue date (i.e., CDs and Treasury Bills) will not be reported on the 1099-B. Interest related to the transaction will be reported on Form 1099-INT.

Stock registered in your name or held in safekeeping/custody

The Consolidated Form 1099 does not include dividends received on any stock registered in your name or held in safekeeping or custody on your behalf. This information is reported to you directly by the paying agent.

Mutual fund income

We must report dividends declared by a mutual fund with a record date in October, November or December of a given tax year on that tax year's Form 1099-DIV, even if the distribution is paid in January of the following year.

For example, a dividend with a record date in 2018 but paid in January 2019 is reported as 2018 income. On your 2018 Form 1099-DIV, this amount is labeled "2019" under the column "Date" (date of payment). This amount is also included in the net total for Box 1a–Ordinary dividends and/or Box 2a–Total capital gain distributions.

Unit Investments Trusts (UITs)

Some Unit Investment Trusts (UITs) use a grantor trust structure whereby each unit holder is considered an owner of a pro rata portion of the underlying assets of the trust. This means that income and gains of the trust will be taxable to the unit holder in the tax year the income or gains are recognized by the trust, **regardless of whether they were distributed to the unit holder**. Therefore, the amount reported as income or proceeds on the Form 1099 for a grantor trust may not match the amount that was actually received by an investor.

Income or any gains that have not been distributed may have been used to pay fund expenses or may be part of a future distribution. These amounts are added to the total income or dividends distributed during the tax year as a separate "end-year adjustment." In the following year, we will subtract the amount distributed but already taxed in the previous tax year. This adjustment avoids double counting and is referred to as a "begin-year adjustment." Grantor trusts in existence for more than one year may have both a begin-year and end-year adjustment.

A unit holder's portion of any fund expenses incurred by the trust will be reported separately on the Form 1099 and may be deductible as a miscellaneous expense. Returns of principal are reported as "non-taxable distributions" on Form 1099 and reduce your cost basis in your investment. Unlike regulated investment companies, grantor trusts are not required to make capital gains distributions each year.

In order to determine if your trust is a grantor trust and whether the following information applies, check the trust's prospectus or contact your tax advisor.

For an investor in a grantor trust, the information reported on Form 1099-INT or DIV reflects the investor's share of the gross income of the trust and not the distribution(s) actually received in 2018, as shown on your month-end account statement. Although circumstances may be different for particular trusts, generally the distributions received in 2018 and the amounts reported on Form 1099 will differ for the following three reasons:

- The distribution (all or part) that you will receive in the first quarter of 2019 is included on the 2018 Form 1099-INT or 1099-DIV because it is from 2018 taxable earnings of the trust
- The distribution (all or part) received in the first quarter of 2018 is included on 2018 Form 1099-INT or 1099-DIV as
 a negative number because it was from 2017 earnings of the trust and was included on Form 1099 for 2017.
- Certain investment expenses (see the following section) representing an allocation of the trust's expenses (which will also be reported on Form 1099-INT or DIV) may be used to reduce your taxable income. These expenses do not appear on your December 2018 account statement.

Grantor trust investment expenses

You will be required to include trustee fees and other expenses as part of your gross income amounts. However, you may be able to deduct those expenses as a miscellaneous itemized deduction, subject to an overall limitation of 2% of your adjusted gross income on your personal income tax return.

For example:

\$100 gross income earned, minus \$2 trustee fees and expenses = \$98 distribution received. You would be responsible for reporting the entire \$100 as income, not just the \$98 you actually received as income. The \$2 fee may be deductible subject to certain limitations:

- For equity trusts, this will appear in the detail of Form 1099-DIV, Box 1a-Ordinary dividends
- For bond trusts, this will be in the detail in Box 1-Interest income of Form 1099-INT
- Investment expenses related to tax-exempt income are not included on Forms 1099-DIV or INT. These investment expenses will appear in the non-reportable "Fees & Expenses" section of the Consolidated Form 1099
- Organizational Expenses are presented in the non-reportable "Fees & Expenses" section of the Consolidated Form 1099. These represent the start-up costs/expenses associated with the trust

Accrued interest

Accrued interest received on the sale of a debt instrument is included on Form 1099-INT.

To help you prepare your tax return, we display the accrued interest paid in the "Detail for Interest Income" section of your Consolidated Form 1099 and summarize it in the section directly below the Form 1099-INT located on the second Summary Information page.

Sample of the accrued interest paid in the "Detail for Interest Income" section

		etail for Interest Inc	come		
2018				02/14/2019	
This section of your tax information state recognize it currently rather than at the tir they are totaled and presented beneath ti	ne of sale or maturitý. Bond premium an	d market discount for covered			
To provide a complete picture of activity interest. Other amounts, such as federal Some amounts shown as Agency interes	, state and foreign tax withheld and inves	tment expenses are shown as	negative amounts bu	t do not net against the reportable income	totals.
Some amounts shown as Agency interes	ттау ве ехетрі поті зіліє ілх. Той та	y wish to consult with your tax	advisor, the into or yo	nui state tax authority regarding the prope	treatment.
Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AMER EXPRESS CRDT CORP NOTE	0258M0DC0	07/01/18	-56.08	Accrued interest pd	
RATE 2.80% MATURES 09/09/2023		09/20/18	98.00	Interest	
		_	98.00	Total Interest	
	•		-56.08	Total Accrued interest paid	

The tax-exempt accrued interest paid is displayed in the "Detail for Tax-Exempt Interest" section and is summarized on the second Summary Information page in the section directly below the Form 1099-INT.

Sample of the accrued interest paid in the "Detail for Tax-Exempt Interest Income" section

		De	tail for Tax	c-Exempt I	nterest	
2018						02/14/2019
	e time of sale or maturity. Man	ket discount	income is NOT f	ederally tax-exe	ated bond premium. Market discount will be mpt. Bond premium and market discount for 1099-INT.	
					ch as accrued interest paid on purchases and amounts but do not net against the reportabl	
Income and adjustment totals at the elstate taxation.	nd of this section are categoriz	ed by your s	tate of residence	e, US possession	ns and other states. Note that income is sho	wn as "in state" only if it is exempt from
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction Type	Notes
					_	
ST OF CA VAR GEN OBLI BN BE/R/	13063BRE4	CA	01/20/18	-3,450.42	Tax-exempt accrued interest pd	
.250 020130 DTD 030812			01/31/18	-178.20	Bd prem covered	
			02/01/18	3,675.00	Tax-exempt interest	
			02/01/18 07/31/18			
				3,675.00	Tax-exempt interest	
			07/31/18	3,675.00 -2,292.70	Tax-exempt interest Bd prem covered	
			07/31/18	3,675.00 -2,292.70 3,675.00	Tax-exempt interest Bd prem covered Tax-exempt interest	

Please consult your tax advisor regarding a possible deduction of any accrued interest you paid on purchases of taxable debt instruments against interest income you may have received.

We do not report accrued interest paid to the IRS. Accrued interest paid is generally a reduction of interest income in the year in which the related interest income is reported. Taxable amounts of accrued interest paid should be reflected on IRS Form 1040 Schedule B, line 1, as a reduction of interest income; it should be identified as accrued interest. Nontaxable amounts should reduce the appropriate nontaxable income categories.

For accrued interest paid or received in relation to Unit Investment Trusts (UITs) where the security is organized as Regulated Investment Companies (RICs), the accrued interest paid or received is included in the sale amount or the purchase amount.

Alternative Minimum Tax (AMT)

This information is reported in Box 9 of Form 1099-INT titled, "Specified private activity bond interest (AMT)" and in Box 12 of Form 1099-DIV titled, "Specified private activity bond interest dividends (AMT)." Please consult your tax advisor to determine whether the Alternative Minimum Tax (AMT) applies to your specific tax situation.

Return of Principal

Return of principal payments for each security is individually broken down to provide you with details that may be helpful when filing your tax return. These entries will also be broken out separately in the supplemental information section of the Consolidated Form 1099. See "Widely Held Mortgage Trusts" on page 13.

Interest on U.S. Treasury bills and other short-term obligations

Interest on short-term discount obligations redeemed at maturity are reported in Box 3-Interest on U.S. Savings Bonds and Treasury obligations of Form 1099-INT or in Box 1-Interest income (other short-term discount obligations) and not on Form 1099-B.

- If the purchase price can be determined, the amount reported will be the difference between the acquisition cost and the maturity value.
- If the purchase price cannot be determined through our internal records, the interest is calculated by using the obligation's original issue price, obtained from IRS Publication 1212, and the maturity value.

Short-term discount obligations sold before maturity date will appear on Form 1099-B.

Treasury Inflation Protection Securities (TIPS)

Treasury Inflation Protection Securities (TIPS), designed to protect an investor's capital against inflation, are issued at a constant par value; the principal value is adjusted to reflect changes in the level of inflation, as indicated by the Consumer Price Index—Urban (CPI-U).

If inflation, as measured by the CPI-U, increases, the inflation-adjusted principal amount is returned to the investor at maturity. As inflation rises, changes in the principal balance are reported as an accrual of original issue discount (OID) on Form 1099-OID. In the case of deflation, a negative accrual, which may be used to offset current ordinary income, is reported.

Interest is paid semiannually by applying a fixed rate to the inflation-adjusted principal value, not par value. Interest is taxable and is reflected on Form 1099-INT. For additional information, refer to *IRS Publication 1212*.

Structured Products

REMICs, CMOs and CDOs

For clients holding REMICs (real estate mortgage investment conduits), CMOs (collateralized mortgage obligations) and CDOs (collateralized debt obligations) issued after December 31, 1986, please read this section carefully.

Reporting for mortgage backed securities specific to your REMIC, WHFIT, and WHMT securities will be included in your Consolidated Form 1099. The reportable income is reflected on your applicable Form(s) 1099-INT and 1099-OID, while any additional supporting details will be provided as part of "Original Issue Discount and Adjustments"," Detail for Interest Income", and Detail for Tax-Exempt Interest" sections. The detailed supplemental information is provided in the "Detail for REMICs & Widely Held Fixed Investment Trusts" and "Detail for Widely Held Mortgage Trusts" sections.

Owners of REMICs, CMOs, and CDOs issued after December 31, 1986, will receive a Consolidated Form 1099 in one of the February mailings, which will include any Mortgage Backed Securities information available at this time. For additional information please see the detailed reference guide at <a href="https://doi.org/10.2016/nc.2016/n

Widely Held Fixed Investment Trusts (WHFITs)

If you held an interest in a Unit Investment Trust, Mortgage Backed Security, Royalty Trust, HOLDR Trust or Commodities Trust, we are required to report certain details regarding transactions for these securities on IRS Form 1099 because these products are considered Widely Held Fixed Investment Trusts (WHFITs).

We will provide details for WHFITs in the Consolidated Form 1099 Statement, which will be mailed to you in one of the February mailings. The supplemental information, which sets forth details regarding income and expenses for WHFITs, is provided only to you, and not to the IRS. However, you need this additional information to complete your tax return.

Widely Held Mortgage Trusts (WHMTs)

For mortgage backed securities that are issued by FHLMC (Freddie Mac), FNMA (Fannie Mae) or GNMA (Ginnie Mae), the 1099 Consolidated Statement will provide additional information you may use in preparing your tax returns. As is the case with WHFITs, this information is provided only to you, and not to the IRS. However, you need this additional information to complete your tax return.

Record-based reporting

Payments must be reported based on the record date instead of the payment date. If the trustee had funds with a record date of December 15, 2018, and did not distribute those payments until January 15, 2019, we are required to report the income based on the December 15, 2018 date. For many WHMT securities, payments made through February 15, 2019, reflect a record date in the prior tax year.

Payments received in January and February 2018 will not be included in 2018 tax information, as they were included with the 2017 Form 1099-INT or 2017 Form 1099-B information.

Supplemental information not provided to the IRS are listed below

Interest payment details

Interest payments (either a scheduled payment or accrued interest on a sale) may be grossed up to include any expense factors, and are reportable based on the record date, not the payment date. Only the total interest amount for each security is reported to the IRS. The detailed information provided per payment period (as it appeared on the client statement) and the expense factors that were applied for each period, as well as the record date, are provided on the "Detail for REMICs and Widely Held Fixed Investment Trusts".

Return of principal details

Return of principal payments may be grossed up to include any expense factors, and are reportable based on the record date instead of the payment date. Each individual principal payment for each security is reported to the IRS on Form 1099-B.

Monthly pool/principal balance factor

The "monthly pool factor" or "principal balance factor" is the ratio of (1) the amount of the aggregate outstanding principal balance of the WHMT as of the first business day of the month to (2) the amount of the aggregate outstanding principal balance of the WHMT as of the start-up date of the trust.

Monthly expense factor

The "monthly expense factor" is the ratio of (1) the gross amount for the month of each item of expense to (2) the amount that represents the aggregate outstanding principal balance of the WHMT as of the start-up date, divided by 1,000.

Adjusted basis percentage ratio

The adjusted basis percentage is the ratio of the period's principal distribution over the principal balance at the start of the period.

Additional product specific information

Return of principal

Return of principal (ROP) indicates a partial retirement of a debt instrument and is reflected on Form 1099-B, if held at URS

Fixed rate capital securities

- COPrS (Canadian original preferred securities)
- MIDS (Monthly income debt securities)
- MIPS (Monthly income preferred securities)
- QUICS (Quarterly income capital securities)
- QUIDS (Quarterly income debt securities)
- QUIPS (Quarterly income preferred securities)
- TOPrS (Trust originated preferred securities)
- Certain preferred capital securities

These issues are debt-like equities that pay nonqualified stated interest (NQSI). While this type of payment is reflected as a dividend on the client monthly statement, it must be reported as interest for income tax purposes.

For most of these securities issued before August 13, 1996, the holder, if applicable, is required to recognize OID taxable income on an accrual basis. The income will be accrued for the entire period the security is held by you at the firm and is reflected on Form 1099-OID. The Original Issue Discount (OID) is the excess of a debt instrument's stated redemption price at maturity over its issue price. The OID, reflected on this form, is your taxable income and is determined by the characteristics of the instrument on the original issue date.

OID is reported in lieu of cash income distributed in 2018; therefore, you will receive a Form 1099-OID and not a Form 1099-DIV for such distributions received in 2018. Accrued OID reported to the IRS increases cost basis; cash distributions reduce cost basis. You should adjust your cost basis annually using the information provided on the Form 1099-OID and your client monthly statements.

The IRS requires income of \$10 or more to be reported.

Taxable spinoffs, cash-in-lieu and other distributions

Certain distributions of stock, stock rights and distributions from a taxable spinoff (including certain cash-in-lieu payments) may be required to be reported as taxable dividends. These amounts (including noncash distributions) will be reported on Form 1099-DIV. Distributing corporations generally express an opinion as to the tax treatment of distributions and the fair market value of taxable noncash distributions, which we take into account in reporting on Form 1099.

Changes in corporate control and substantial changes in capital structure

Changes in corporate control or substantial changes in capital structure will be reported on Form 1099-B. This relates to any acquisition transaction where cash and other property (including stock of the acquirer) are issued to shareholders of the acquired corporation and where a gain may be recognized. We post the fair market value (FMV) of cash and shares received that are taxable to Form 1099-B; however, this value is not displayed on your statement.

If available, this information will be included with the first original February Form 1099 mailing. Clients who have had these transactions in 2018 should consider deferring the filing of their tax return until after this information is received.

Section 1256 Option Transactions

Section 1256 Options which follow the mark-to-market rules for federal tax purposes, are required to be reported as of tax year 2014 on the Regulated Futures Contracts on Form 1099-B. Under the mark-to-market rules, all section 1256 contracts are treated as if sold for the market value that appears on your UBS December 2018 account statement.

Foreign Tax

Dividends, interest, income subject to original issue discount, and miscellaneous income received by U.S. taxpayers from foreign corporations are reported on your Consolidated Form 1099 Statement reflecting the gross dividend/ interest income amount and an entry reflecting the foreign tax withheld at the source if any. The gross amount is reported in Box 1a—Total ordinary dividends of Form 1099-DIV or Box 1—Interest Income of Form 1099-INT. The withheld tax is reported respectively in Box 7—Form 1099-DIV or Box 6—Form 1099-INT. In addition, please refer to the new "Foreign Income and Taxes Summary Page" section of your Consolidated Form 1099 Statement, as it provides all the foreign income earned and the foreign tax imposed by country.

Foreign Tax Paid

If you had foreign tax withheld, a Form 1099 will be generated showing this withholding. The income and the foreign tax withheld from the income is considered reportable. Please consult your tax advisor for questions regarding your particular situation.

Limited partnerships

Limited Partnerships (LPs) and Master Limited Partnerships (MLPs)

Your share of taxable income or loss from a Limited Partnership (LP) or a publicly traded Master Limited Partnership (MLP), which is treated as a partnership for tax purposes, is reported to you on Schedule K-1 of Form 1065, along with your distributive share of other partnership items. This information is sent to you directly from the general partner, usually in mid-March. We have also displayed "Partnership Distributions" income information in the "Other Receipts and Reconciliations" section of your statement for informational purposes only (this information does not represent the amounts to be reported on your tax return). Please consult your tax advisor regarding the proper treatment of your share of partnership items.

Please note: Escrow interest earned during the formation of certain limited partnerships and other private investments is reportable in Box 1-Interest income of Form 1099-INT. If you do not receive a K-1 or have questions regarding your Schedule K-1, you should contact the partnership directly.

Please refer to https://www.taxpackagesupport.com and https://partnerdatalink.com for partnership contact information.

State reporting and withholding

State reporting information

In addition to the information provided by the IRS, certain states require that additional tax information be reported directly by UBS for residents of that state. The following summarizes the additional information required by these states:

State(s)	Additional information
California	Proceeds of Sales, (same information reported to the IRS on Form 1099-B). Interest earned from bonds of any state or local municipality (includes municipal bond mutual funds, municipal unit investment trusts, money market funds, and accrued interest on all sales of state or local bonds).
Connecticut, Massachusetts, Minnesota and New York	Interest earned from bonds of any state or local municipality (includes municipal bond mutual funds, municipal unit investment trusts, money market funds, and accrued interest on all sales of state or local bonds).
Maine, Massachusetts, Montana and Oklahoma	The same information reported to the IRS on Form 1099.
Montana	Interest earned from bonds of any state or local municipality (excluding accrued or OID interest).
North Dakota	Proceeds of Sales (same information reported to the IRS on Form 1099-B).
Oklahoma	Dividends and interest earned (\$100 or more), Proceeds of Sales (\$25,000 or more).

State withholding information

For residents of California and Maine who have not provided their Form W-9, we are required to withhold 7% and 5%, respectively. This is in addition to 24% federal backup withholding.

If you are subject to State Backup Withholding for CA or ME, we include a State Tax Withholding statement as part of your Consolidated Form 1099.

Form 1099-DIV, Dividends and Distributions

Box-by-box explanations and instructions

For your reference, Box-by-box explanations and instructions for the five types of 1099 documents that may comprise your Consolidated Form 1099 follow.

Your Consolidated Form 1099 includes all forms regardless of your specific tax reporting situation. Those forms not applicable to you will show all zeroes in the amount column(s).

[] FATCA filing	requirement (see instructions)	Summa	ary Information			
DIVIDENDS	AND DISTRIBUTIONS 2018 1099-D	IV* OMB No. 1545-0110	MISCELI	LANEOUS INCOME	2018 1099-MIS	C* OMB No. 1545-01
1b- Qualified d 2a- Total capitz 2b- Unrecaptur 2c- Section 12/ 2d- Collectibles 3- Nondivider 4- Federal in- 5- Section 19/ 6- Investment 8- Foreign co/ 9- Cash liquid 10- Noncash li 11- Exempt-int	al gain distributions (includes lines 2b, 2c, 2d) red Section 1250 gain 02 gain s (28%) gain id distributions come tax withheld 9A dividends	0.00 0.00 27,409.89 215.63	8- Substit SECTION 8- Profit o 9- Unreali 10- Unreali 11- Aggreg If applic detailed	ncome Il income tax withheld ute payments in lieu of di N 1256 CONTRACTS or (loss) realized in 2018 of ized profit or (loss) on op- ized profit or (loss) on op- ized profit or (loss) on cor- able, proceeds from sale In subsequent sections of	2018 1099-B* on closed contracts en contracts-12/31/2017 en contracts-12/31/2018 attracts tracts of this document.	258,083.75 189,900.17 0.00 100,605.42 OMB No. 1545-07 -13,778.75 10,296.50 -5,995.25 -30,070.50 d below and are
Refer to the 1099-	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD B and Proceeds not reported to the IRS pages to ensure to	JUSTMENTS AND WI	this income is taxable a THHOLDING s and to determine the correct	t gains and losses. The amo	unts shown below are for information	
Refer to the 1099- Term	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD B and Proceeds not reported to the IRS pages to ensure t Form 8949 type	JUSTMENTS AND WI hat you consider all relevant item Proceeds	this income is taxable a THHOLDING a and to determine the correct Cost basis	t gains and losses. The amo	unts shown below are for informations Wash sale loss disallowed	Net gain or loss(-)
Refer to the 1099-	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD B and Proceeds not reported to the IRS pages to ensure to	JUSTMENTS AND WI	this income is taxable a THHOLDING s and to determine the correct	t gains and losses. The amo	unts shown below are for information	
Refer to the 1099- Term Short Short	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD B and Proceeds not reported to the IRS pages to ensure t Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received)	JUSTMENTS AND WI hat you consider all relevant item Proceeds 13,913,833.91 242,461.30 0.00	this income is taxable at THHOLDING and to determine the correct Cost basis 14,760,995.92 342,888.71 0.00	t gains and losses. The amo Market discount 0.00 0.00 0.00	unts shown below are for information. Wash sale loss disallowed 64,386.23 0.00 0.00	Net gain or loss(-) -782,775.78 -100,427.41 0.00
Refer to the 1099- Term Short Short Short Long Long	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD B and Proceeds not reported to the IRS pages to ensure to Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received) Total Short-term D (basis reported to the IRS) E (basis not reported to the IRS)	JUSTMENTS AND WI hat you consider all relevant item Proceeds 13,913,833,91 242,461,30 0.00 14,156,295,21 1,238,748,69 398,519,70	this income is taxable a THHOLDING and to determine the correct Cost basis 14,760,995.92 342,888.71 0.00 15,103,884.63 1,206,736.68 415,003.80	t gains and losses. The amo Market discount 0.00 0.00 0.00 0.00 0.00 0.00 276.50	unts shown below are for information. Wash sale loss disallowed 64,386.23 0.00 0.00 64,386.23 22,150.08 0.00	Net gain or loss(-) -782,775.78 -100,427.41 0.00 -883,203.19 92,462.09 -16,760.60
Refer to the 1099- Term Short Short Short Long Long	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD -B and Proceeds not reported to the IRS pages to ensure t Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received) Total Short-term D (basis reported to the IRS) E (basis not reported to the IRS) F (Form 1099-B not received)	JUSTMENTS AND WI hat you consider all relevant item Proceeds 13,913,833.91 242,461.30 0.00 14,156,295.21 1,238,748.69 398,519,70 0.00	this income is taxable a ITHHOLDING a and to determine the correc Cost basis 14,760,995.92 342,888.71 0.00 15,103,884.63 1,206,736.68 415,003.80 0.00	t gains and losses. The amo Market discount 0.00 0.00 0.00 0.00 0.00 276.50 0.00	unts shown below are for information. Wash sale loss disallowed 64,386.23 0.00 0.00 64,386.23 22,150.08 0.00 0.00	Net gain or loss(-) -782,775.78 -100,427.41 0.00 -883,203.19 92,462.09 -16,760.60 0.00
Refer to the 1009- Term Short Short Short Long Long Long Undetermined	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD B and Proceeds not reported to the IRS pages to ensure t Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received) Total Short-term D (basis reported to the IRS) E (basis not reported to the IRS) F (Form 1099-B not received) Total Long-term B or E (basis not reported to the IRS)	JUSTMENTS AND WI hat you consider all relevant item Proceeds 13,913,833,91 242,461,30 0,00 14,156,95,21 1,238,748,69 398,519,70 0,00 1,637,268,39 18,811,14	this income is taxable a THHOLDING a and to determine the correct Cost basis 14,760,995.92 342,888.71 0.00 15,103,884.63 1,206,736.68 415,003.80 0.00 1,621,740.48 0.00	t gains and losses. The amo Market discount 0.00 0.00 0.00 0.00 0.00 276.50 0.00 276.50 0.00	unts shown below are for information. Wash sale loss disallowed 64,386.23 0,00 0,00 64,386.23 22,150.08 0,00 0,00 22,150.08 0,00	Net gain or loss(-) -782,775.78 -100,427.41 0.00 -883,203.19 92,462.09 -16,760.60 0.00 75,701.49 0.00
Refer to the 1009- Term Short Short Short Long Long Long Undetermined	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD -B and Proceeds not reported to the IRS pages to ensure t Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received) Total Short-term D (basis reported to the IRS) E (basis not reported to the IRS) F (Form 1099-B not received) Total Long-term B or E (basis not reported to the IRS) C or F (Form 1099-B not received)	JUSTMENTS AND WI hat you consider all relevant item Proceeds 13,913,833,91 242,461,30 0.00 14,156,295,21 1,238,748,69 398,519,70 0.00 1,637,268,39 18,811,14 0.00	this income is taxable at ITHOLDING a and to determine the correct Cost basis 14,760,995.92 342,888.71 0.00 15,103,884.63 1,206,736.68 415,003.80 0.00 1,621,740.48 0.00 0.00	t gains and losses. The amo Market discount 0.00 0.00 0.00 0.00 0.00 276.50 0.00 276.50 0.00 0.00	untz shown below are for information. Wash sale loss disallowed 64,386,23 0,00 0,00 64,386,23 22,150,08 0,00 0,00 22,150,08 0,00 0,00	Net gain or loss(-) -782,775,78 -100,427,41 0.00 -883,203.19 92,462.00 -16,760.60 0.00 75,701.49 0.00
Refer to the 1009- Term Short Short Short Long Long Long Undetermined	a negligence penalty or other sanction OF PROCEEDS, GAINS & LOSSES, AD -B and Proceeds not reported to the IRS pages to ensure t Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received) Total Short-term D (basis reported to the IRS) E (basis not reported to the IRS) E (basis not reported to the IRS) F (Form 1099-B not received) Total Long-term B or E (basis not reported to the IRS) C or F (Form 1099-B not received) Total Undetermined-term	JUSTMENTS AND WI hat you consider all relevant item Proceeds 13,913,833,91 242,461,30 0.00 14,156,295,21 1,238,748,69 398,519,70 0.00 1,637,268,39 18,811,14 0.00 18,811,14	this income is taxable at ITHOLDING a and to determine the correct Cost basis 14,760,995.92 342,888.71 0.00 15,103,884.63 1,206,736.68 415,003.80 0.00 1,621,740.48 0.00 0.00 0.00 0.00	t gains and losses. The amo Market discount 0.00 0.00 0.00 0.00 0.00 276.50 0.00 276.50 0.00 0.00 0.00	wash sale loss disallowed 64,386.23 0.00 0.00 64,386.23 22,150.08 0.00 22,150.08 0.00 0.00	Net gain or loss(-) -782,775,78 -100,427,41 -0.00 -883,203,19 -92,462.09 -16,760,60 -0.00 -75,701,49 -0.00 -0.00 -0.00

FATCA Filing Requirement

If this box is checked, then UBS is reporting to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. More detail on this filing requirement is provided in the Instructions to Form 8938. Please contact your tax advisor for questions regarding your specific situation.

Box 1a. Total ordinary dividends

The amount appearing in this box is the total dividends paid to your account with us. It includes any short-term capital gain distributions and distributions of gains attributable to accrued market discount realized by Mutual Funds and other Regulated Investment Companies. Ordinary dividends are fully taxable. The gross amount of dividends from foreign corporations that withhold tax at the source is included in this box. The foreign tax paid on dividends (withheld at the source) is shown in Box 6–Foreign tax paid.

This amount does not include distributions from Mutual Funds and other RICs or Unit Investment Trusts that were received in January 2018 but were taxable in 2017. Conversely, this amount does include distributions from the above entities received in January 2019 that are taxable in 2018.

Box 1b. Qualified dividends

This box shows the portion of the amount in Box 1a that may be eligible for reduced capital gains rates. Shareholders will receive "qualified dividends" on corporate stock dividends of most domestic corporations as well as foreign corporations if their stocks trade on an established securities market in the U.S. or are otherwise qualified foreign corporations. To qualify to be taxed at the lower maximum rate on dividends, you had to have held the stock for at least 61 days out of the 121-day period beginning 60 days before the stock's ex-dividend date.

Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.

Box 2a. Total capital gain distributions

This amount is your share of long-term capital gain distributions from Mutual Funds, other RICs or Real Estate Investment Trusts (REITs). Amounts shown in Boxes 2b, 2c and 2d are included in Box 2a.

Box 2b. Unrecaptured Section 1250 gain

This amount represents the Unrecaptured Section 1250 gain information from certain depreciable real property.

Box 2c. Section 1202 gain

This amount represents Section 1202 gain information from certain small business stocks that may be subject to an exclusion.

Box 2d. Collectibles (28%) gain

This amount represents the capital gain (maximum rate of 28%) from the sales or exchanges of collectibles that are capital assets.

Box 3. Nondividend distributions

This amount represents a nontaxable return of capital, which reduces the cost basis of stock you hold. If your basis has been reduced to zero, you must report additional distributions as a capital gain even though the payer lists them as nontaxable. For more information, see Publication 550, Investment Income and Expenses.

Box 4. Federal income tax withheld

This amount represents any backup withholding, which is the amount of federal income tax withheld (24%) if either:

- You did not certify your TIN or SSN with a signed Form W-9
- You were sent a B-Notice and did not respond in accordance with its instructions
- UBS was instructed by the IRS to withhold because of payee underreporting (mandatory withholding)

Please note that backup withholding must be reported in the tax year in which the income was reported, regardless of when the backup withholding was paid to the IRS.

Box 5. Section 199A dividends

The amount represents dividends eligible for the 20% qualified business income deduction under section 199A of the Tax Cuts and Jobs Act. This deduction is being applied to qualified REIT dividends, which are referred to as Section 199A dividends. A qualified REIT dividend is any dividend from a real estate investment trust received during the taxable year that is not a capital gain dividend, and is not qualified dividend income, as defined in section 1(h)(11) of the Internal Revenue Code. The amount that will be displayed on Line 5 will also be included in the "Total ordinary dividends" (Line 1a of Form 1099-DIV). See the Instructions for Form 1040.

Box 6. Investment expenses

The amount shown is your share of the expenses of a non-publicly offered RIC, included as a dividend in Box 1a. The expenses shown in Box 5 are deductible on the "Other Expenses" box of Form 1040 Schedule A, subject to the 2% limit. Generally, the amount you should have received or had credited to you is the amount shown in Box 1a minus the amount shown in Box 5.

Box 7. Foreign tax paid

The amount shown in this box, which is reported in U.S. dollars, represents the foreign tax withheld on dividends and other distributions or passed through by a RIC. You may choose to claim this amount as a deduction or a credit on your federal income tax return. See IRS Publication 514, Foreign Tax Credit for Individuals.

Box 8. Foreign country or U.S. possession

"See detail" refers to Box 6 for taxes withheld from dividend payments from various foreign countries or U.S. possessions.

Box 9. Cash liquidation distributions

This amount is the cash distribution received in complete or partial liquidation of a corporation and is not included in Box 1a-Total Ordinary Dividends.

Box 10. Noncash liquidation distributions

This amount is the fair market value of non-cash distributions received in complete or partial liquidation of a corporation. This amount is not included in Box 1a-Total Ordinary Dividends.

Box 11. Exempt-interest dividends

The amount shown in this box is exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year.

Box 12. Specified private activity bond interest dividends

The amount shown in this box is exempt-interest dividends subject to the alternative minimum tax. This amount is included in Box 11. See the instructions for Form 6251.

Form 1099-OID, Original Issue Discount

Your Consolidated Form 1099 includes all forms regardless of your specific tax reporting situation. Those forms not applicable to you will show all zeroes in the amount column(s).

	Summary Inform	mation	
2018	(continued)	02/14/2019	
INTEREST INCOME 2018 1099-INT This is important tax information and is being furnished to the Inter If you are required to file a return, a negligence penalty or other san imposed on you if this income is taxable and the IRS determines the	ction may be	ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS Use bond-by-bond details from the Form 1099-OID page(s) to determine an Original Issue Discount income for your income tax return(s). The amounts section are for your reference when preparing your income tax return(s).	
reported. 1- Interest income (not included in line 3) 2- Early withdrawal penalty 3- Interest on US Savings Bonds & Treasury obligations 4- Federal income tax withheld 5- Investment expenses 7- Foreign country or US possession: 6- Foreign tax paid: 8- Tax-exempt interest (includes line 9) 9- Specified private activity bond interest (AMT) 10- Market discount (covered lots) 11- Bond premium (covered lots) 12- Bond premium on Treasury obligations (covered lots) 13- Bond premium on tax-exempt bonds (categorized below)	51,316.48 0.00 298.36 20,217.44 0.00 0.00 174,112.52 2,375.00 0.00 2,334.51 4,74 46,495.79 46,495.79 46,495.79 0.00 See detail	Original issue discount for 2018 Acquisition premium (covered lots) Acquisition premium (noncovered lots) Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots) Acquisition premium, Treasury obligations (noncovered lots) Tax-exempt OID Tax-exempt OID (lots not reported) Acquisition premium (covered) Acquisition premium (lots not reported) Tax-exempt OID on private activity bonds Tax-exempt OID on private activity bonds (lots not reported) Acquisition premium (AMT, covered) Acquisition premium (AMT, lots not reported) Market discount (all lots) Early withdrawal penalty Investment expenses	484.36 0.00 1,293.57 0.00 1,795.55 1,231.94 142.97 441.82 0.00 0.00 0.00 0.00 0.00
The following amounts are not reported to the IRS. They are presented her when preparing your tax return(s). Taxable accrued interest paid	re for your reference	RECONCILIATIONS, FEES, EXPENSES AND EXPENDITE The amounts in this section are not reported to the IRS. They are presented	
Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid Tax-exempt accrued nonqualified interest Tax-exempt noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots) Market discount (noncovered lots)	0.00 27,162,23 0.00 0.00 0.00 0.00 0.00 1,562,50 0.00 667,00 0.00 14,674,60 0.00 0.00	reference when preparing your income tax return(s). Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid-partnership Other Receipts & Reconciliations- Deferred income payment Other Receipts & Reconciliations- Deferred income payment Other Receipts & Reconciliations- Deferred income payment Other Receipts & Reconciliations- Income acrual- UIT Other Receipts & Reconciliations- Foreign tax pd beyond treaty Fees & Expenses- Margin interest Fees & Expenses- Dividends paid on short position Fees & Expenses- Non reportable distribution expense Fees & Expenses- Severance tax Fees & Expenses- Severance tax Fees & Expenses- Organizational expense	36,942.51 0.00 0.00 1,142.79 0.00 87.55 -29.47 0.00 153,844.86 40,235.51 0.00 0.29.34 0.25
STATE TAX WITHHELD Use the details of the State Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the approximation of the state Tax Withholding page(s) to determine the s	opriate amounts for	Fees & Expenses- Miscellaneous fees Fees & Expenses- Tax-exempt investment expense	1,723.21 21.51
your income tax return(s). The amounts shown in this section are for your i	reference.	Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00
1099-DIV total withheld 1099-INT total withheld 1099-OID total withheld 1099-MISC total withheld 1099-B lotal withheld	0.00 0.00 0.00 0.00 7,755.09		

The Original Issue Discounts and Adjustments box highlighted above is not the official Form 1099-OID being reported to the IRS, it is a summary provided for informational purposes only.

Sample of the official Form 1099-OID that is being reported to the IRS

Original Issue Discount									
2018 1099-OID* OMB No.	1545-0117						02/14/2019		
Original Issue Discount (OID) inco security description headings whi Subtotals are provided for each b	ch include the C	USIP numbers. Wh	ere information is available, ta	x lots are identified	by the original co	ost and date of purci	hase and/or a uniq		
If tax-exempt OID was reported to OID, current regulations do not re column titled "Tax-exempt OID No	quire that all tax	exempt OID be rep							
Columns are also included for ma maturity. Wherever the letter "N" is purchase.									
Totals for OID income and related section, detail of all interest trans-							tments. For secur	ities presented in this	
Income and adjustment totals at t exempt from state taxation.	he end of the ta	x-exempt sections a	re categorized by your state of	residence, US pos	sessions and oth	er states. Note that	t income is shown	as "in state" only if it is	
FATCA filing requirement []									
NON TREASURY ORIGIN	AL ISSUE D	ISCOUNT							
7- Description:				2 Faults					
Tax lot identifier or Cost and date acquired	Quantity	Begin date	1- Original issue discount for 2018	3- Early withdrawal penalty	5- Market discount	6- Acquisition premium	9- Investment expenses	Notes	
MV OIL TRUST TR UNIT UBI/ CU									
		12/31/18	290.31	0.00	0.00	0.00	0.00		
		07/14/18	68.75	0.00	0.00	0.00	0.00		
		04/14/18 x lot totals:	125.30 484.36	0.00	0.00	0.00 0.00	0.00		
	10	in for totaldi	404.30	0.00	0.00	0.00	0.00		
		Non Treasury Tot	als						
		Original is	sue discount		48	34.36			

			Original Iss	ue Discount				
2018 1099-OID* OMB No. 15	45-0117		(conti	nued)			02/14/2019	
FREASURY ORIGINAL ISS	SUE DISCO	UNT						
7- Description:				3- Early				
Tax lot identifier or Cost and date acquired	Quantity	Begin date	8- Original issue discount on US Treasury obligations	withdrawal penalty	5- Market discount	6- Acquisition premium	9- Investment expenses	Notes
J S TREASURY INFL NOTE BILL \$969.05	1,000.00	04/17/18	7.34	0.00	0.00	0.00	0.00	
		10/24/18	16.61	0.00	0.00	0.00	0.00	
\$969.04	1,000.00	x lot totals: 08/30/18 10/25/18	23.95 14.13 16.75	0.00 0.00 0.00	0.00 0.00 0.00	0.00 0.00 0.00	0.00 0.00 0.00	
JNTS PATHFINDERS TREASURY	Ta	x lot totals:	30.88 / CUSIP: 90348B461	0.00	0.00	0.00	0.00	
SITIOT ATTITUDENCE TREASURE	a onomin	01/01/18	1,238.74	0.00	0.00	0.00	0.00	
	Ta	x lot totals:	1,238.74	0.00	0.00	0.00	0.00	
		Treasury Tota	ls					
		OID on	US Treasury obligations		1.2	93.57		
TAX-EXEMPT ORIGINAL	ISSUE DIS		oo noada, oongaada		,,2.	33.31		
7- Description:	ISSUE DIS	COUNT	oo noaday cangadon					
	ISSUE DISO	COUNT	11- Tax-exempt OID	Tax-exemp not reported to	t OID	5- Market discount	6- Acquisition premium	Notes
7- Description: Tax lot identifier or Cost and date acquired	Quantity	Begin date BE/R/ 3.125 02		not reported to	t OID D IRS	5- Market		Notes
7- Description: Tax lot identifier or Cost and date acquired	Quantity A OID98.177 50,000.00	Begin date BE/R/ 3.125 02	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758	not reported to	t OID D IRS	5- Market discount	premium	Notes
7- Description: Tax lot identifier or Cost and date acquired BRYAN TX ISD U/L SCH BLD SR /	Quantity A OID98.177 50,000.00 Tax R A OID99.688	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758 35.18 35.18 100133 DTD 052407/ CUSIP: 130	not reported to 3ZQ0 State: TX	t OID OIRS	5- Market discount 0.00 0.00	premium 19.46 19.46	Notes
7- Description: Tax lot identifier or Cost and date acquired BRYAN TX ISD U/L SCH BLD SR /	Quantity A OID98.177 50,000.00 Tax R A OID99.688 100,000.00	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500 01/01/18	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758: 35.18 35.18	not reported to 3ZQ0 State: TX	t OID b IRS (0.00	5- Market discount	premium 19.46	Notes
7- Description: Tax lot identifier or Cost and date acquired BRYAN TX ISD U/L SCH BLD SR / CALIFORNIA EDL FACS AUTH SF \$109,853.25 HUTTO TX ISD UN/TAX OID95.59	Quantity A OID98.177 50,000.00 Tax R A OID99.688 100,000.00 Tax BE /R/3	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500 01/01/18 lot totals: .125 080136 DT	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758 35.18 35.18 100133 DTD 052407/ CUSIP: 130 27.69 27.69 D 020113/ CUSIP: 448492VL9	not reported to 3ZQ0 State: TX	t OID SIRS (0.00 0.00 CA 0.00 0.00	5- Market discount 0.00 0.00 0.00	19.46 19.46 27.69 27.69	Notes
7- Description: Tax lot identifier or Cost and date acquired BRYAN TX ISD U/L SCH BLD SR /	Quantity A OID98.177 50,000.00 Tax R A OID99.688 100,000.00 Tax BE /R/3 100,000.00	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500 01/01/18 lot totals: -125 080136 DT 01/01/18	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758 35.18 35.18 100133 DTD 052407/ CUSIP: 130 27.69 27.69 D 020113/ CUSIP: 448492VL9 143.40	not reported to 3ZQ0 State: TX 178HB5 State:	t OID DIRS (CO.00 0.00 CA 0.00 0.00 0.00 0.00 0.00 0.0	5- Market discount 0.00 0.00 0.00 0.00	19.46 19.46 27.69 27.69 33.69	Notes
7- Description: Tax lot identifier or Cost and date acquired BRYAN TX ISD U/L SCH BLD SR / CALIFORNIA EDL FACS AUTH SF \$109,853.25 HUTTO TX ISD UN/TAX OID95.59	Quantity A OID98.177 50,000.00 Tax R A OID99.688 100,000.00 Tax BE /R/3 100,000.00	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500 01/01/18 lot totals: .125 080136 DT	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758 35.18 35.18 100133 DTD 052407/ CUSIP: 130 27.69 27.69 D 020113/ CUSIP: 448492VL9	not reported to 3ZQ0 State: TX 178HB5 State:	t OID SIRS (0.00 0.00 CA 0.00 0.00	5- Market discount 0.00 0.00 0.00	19.46 19.46 27.69 27.69	Notes
7- Description: Tax lot identifier or Cost and date acquired BRYAN TX ISD U/L SCH BLD SR / CALIFORNIA EDL FACS AUTH SF \$109,853.25 HUTTO TX ISD UN/TAX OID95.59	Quantity A OID98.177 50,000.00 Tax R A OID99.688 100,000.00 Tax BE /R/3 100,000.00	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500 01/01/18 lot totals: .125 080136 DT 01/01/18 lot totals: /R/ .000 08151	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758 35.18 35.18 100133 DTD 052407/ CUSIP: 130 27.69 27.69 D 020113/ CUSIP: 448492VL9 143.40	not reported to 3ZQ0 State: TX 178HB5 State: State: TX 7 State: TX	t OID DIRS (CO.00 0.00 CA 0.00 0.00 0.00 0.00 0.00 0.0	5- Market discount 0.00 0.00 0.00 0.00	19.46 19.46 27.69 27.69 33.69	Notes
7- Description: Tax lot identifier or Cost and date acquired SRYAN TX ISD U/L SCH BLD SR / CALIFORNIA EDL FACS AUTH SF \$109,853.25 HUTTO TX ISD UN/TAX OID95.59 \$96,762.25	Quantity A OID98.177 50,000.00 Tax R A OID99.688 100,000.00 Tax BE /R/3 100,000.00 Tax	Begin date BE/R/ 3.125 02 01/01/18 lot totals: 6 BE/R/ 4.500 01/01/18 lot totals: .125 080136 DT 01/01/18 lot totals: /R/ .000 08151	11- Tax-exempt OID 21535 DTD 021515/ CUSIP: 11758 35.18 35.18 100133 DTD 052407/ CUSIP: 130 27.69 27.69 D 020113/ CUSIP: 448492VL9 143.40 143.40 9 DTD 050106/ CUSIP: 4876926F;	not reported to 3ZQ0 State: TX 178HB5 State: State: TX 7 State: TX	t OID D IRS (CO.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	5- Market discount 0.00 0.00 0.00 0.00 0.00 0.00	19.46 19.46 27.69 27.69 33.69 33.69	Notes

OID is the excess of the stated redemption price at maturity over the issue price of a bond, debenture, note or other evidence of indebtedness or the excess of the stated redemption price at maturity over the acquisition price of a stripped bond or coupon. OID securities with fixed maturity dates exceeding one year from the date of issue are subject to certain tax reporting requirements. These instruments include, but are not limited to, taxable bonds, certificates of deposit (CDs), taxable unit investment trusts, time deposits, bonus savings plans and other deposit arrangements with a term of more than one year, provided the payment of interest is deferred until maturity. OID is taxable as interest over the life of the obligation. If you are the holder of one of these obligations, you must include a part of the OID in your gross income each year you hold the obligation. The OID rules also apply to Treasury Inflation Protection Securities (TIPS).

The information provided may be different for covered and noncovered securities depending on your election. For a covered security acquired with acquisition premium, we will report a gross amount for both the OID and acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, we are only required to report the gross amount of OID.

FATCA Filing Requirement:

If this box is checked, then UBS is reporting to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. More detail on this filing requirement is provided in the Instructions to Form 8938. Please contact your tax advisor for questions regarding your specific situation.

Box 1. Original issue discount for 2018

This amount represents the OID on the obligation for the number of days the security was held at UBS based on the original issue price and date, and the original yield-to-maturity. Report the entire amount appearing in Box 1 as interest income on your income tax return. The OID earned at UBS may differ from the OID amount reflected in Box 1. This may occur if the security was purchased at a price other than the original issue price and/or after the original issue date, and/or you paid an acquisition or bond premium, or if the obligation is a stripped bond or stripped coupon. If this is the case, you must calculate the proper amount of OID.

Deflation adjustment amounts are provided because they may be used in some situations to offset either the OID or stated interest reported on 1099-INT for that security.

You may need to recalculate the OID based on your purchase price and date of acquisition. The number of days the instrument was held at UBS in 2018 and used to calculate OID appears with the OID amount in the detail summary of Form 1099-OID. You are responsible for reporting OID for any time period the securities were not held at UBS because that portion of OID will not be reflected on your UBS Form 1099-OID. If you need to perform any calculations, please refer to the computation rules and methods described in *IRS Publication 1212*, *Guide to Original Issue Discount (OID) Instruments and IRS Publication 550, Investment Income and Expenses*.

Discounted securities reported here include only long-term securities (issues with a fixed maturity date exceeding one year from the date of issue). **Amounts in Box 1 do not include OID shown in Box 8.**

OID from Collateralized Debt Obligations (CDOs) and Collateralized Mortgage Obligations (CMOs) issued after 1986 is also reported here. Please note: This information will be included in the Consolidated Form 1099 Statement.

Box 3. Early withdrawal penalty

This box is the interest or principal forfeited if you withdrew money before the maturity date of the obligation, such as from a CD. You may deduct this to figure your adjusted gross income on the "Penalty on early withdrawal of savings" line of Form 1040.

Box 4. Federal income tax withheld

This amount shows any backup withholding, which is the amount of federal income tax withheld (24%) if either:

- You did not certify your TIN or SSN with a signed Form W-9; or
- You were sent a B-Notice and did not respond in accordance with its instructions

Please note that backup withholding must be reported in the tax year in which the income was reported, regardless of when the backup withholding was paid to the IRS. Please include this amount on your income tax return as tax withheld.

Box 5. Market Discount

For a covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified UBS of the election in writing in accordance with Regulations section 1.6045-1(n)(5), Box 5 shows the market discount that accrued on the debt instrument during the year while held by you. For a taxable covered security, market discount will be calculated on a constant yield basis unless you notified us in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report this amount on your income tax return as directed in the instructions for Form 1040 or 1040A.

Box 6. Acquisition Premium

For a covered security, Box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a covered security acquired with acquisition premium, UBS has reported a net amount of OID that reflects the offset of OID that is included in income. If UBS has reported a net amount of OID in either Box1 or Box 8, see the instructions for Form 1040 (Schedule B) for further clarification and how it should be treated on your tax return.

Box 7. Description

See the detail section for a listing of each OID obligation. This includes the description of the OID obligation, the Committee on Uniform Security Identification Procedures number (CUSIP number) and may include the stock exchange, issuer of the obligation, coupon rate and year of maturity, if there is no CUSIP.

Box 8. Original issue discount on U.S. Treasury obligations

The amount in this box shows OID on a U.S. Treasury obligation for the part of the year that you owned it. Report this amount on your federal income tax return. This OID amount is exempt from state and local income taxes and is not included in Box 1. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Investment expenses

The amount shown here is your share of the expenses of a single-class REMIC and is included in Box 2. The expenses shown in Box 9 are deductible on the "Other Expenses" line of Schedule A (Form 1040), subject to the 2% limit.

Box 10. Bond Premium

For a taxable covered security, including a Treasury inflation-protected security, Box 10 shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 11. Tax-exempt OID

Includes tax-exempt OID on obligations for covered securities.

Form 1099-INT, Interest Income

Your Consolidated Form 1099 includes all forms regardless of your specific tax reporting situation. Those forms not applicable to you will show all zeroes in the amount column(s).

	Summary Inform	nation	
2018	(continued)	02/14/2019	
INTEREST INCOME 2018 1099-INT This is important tax information and is being furnished to the Intern If you are required to file a return, a negligence penalty or other sanc imposed on you if this income is taxable and the IRS determines that	tion may be	ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS Use bond-by-bond details from the Form 1099-OID page(s) to determine an Original Issue Discount income for your income tax return(s). The amounts section are for your reference when preparing your income tax return(s).	
1- Interest income (not included in line 3) 2- Early withdrawal penalty 3- Interest on US Savings Bonds & Treasury obligations 4- Federal income tax withheld 5- Investment expenses 7- Foreign country or US possession: 8- Tax-exempt interest (includes line 9) 9- Specified private activity bond interest (AMT) 10- Market discount (covered lots) 11- Bond premium (covered lots) 12- Bond premium on Treasury obligations (covered lots) 13- Bond premium on tax-exempt bonds (categorized below) Tax-exempt private activity obligations (AMT, covered lots) 14- Tax-exempt and tax credit bond CUSIP number	51,316.48 0.00 298.36 20,217.44 0.00 0.00 174,112.52 2,375.00 0.00 2,334.51 4,74 46,495.79 46,495.79 0.00 See detail	Original issue discount for 2018 Acquisition premium (covered lots) Acquisition premium (noncovered lots) Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots) Acquisition premium, Treasury obligations (noncovered lots) Tax-exempt OID Tax-exempt OID (lots not reported) Acquisition premium (covered) Acquisition premium (covered) Tax-exempt OID on private activity bonds Acquisition premium (AMT, covered) Acquisition premium (AMT, lots not reported) Market discount (all lots) Early withdrawal penalty Investment expenses	484.36 0.00 0.00 1,293.57 0.00 1,795.55 1,231.94 142.97 441.82 0.00 0.00 0.00 0.00
The following amounts are not reported to the IRS. They are presented here when preparing your tax return(s). Taxable accrued interest paid Taxable accrued interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest Description of the property of the pr	0.00 0.00 27,162.23 0.00 0.00 0.00 0.00 0.00 1,562.50 0.00 667.00 0.00 0.00 14,674.60 0.00	RECONCILIATIONS, FEES, EXPENSES AND EXPENDITO The amounts in this section are not reported to the IRS. They are presented reference when preparing your income tax return(s). Other Receipts & Reconciliations - Partnership distributions Other Receipts & Reconciliations - Foreign tax paid-partnership Other Receipts & Reconciliations - Return of principal Other Receipts & Reconciliations - Deferred income payment Other Receipts & Reconciliations - Demed premium Other Receipts & Reconciliations - Income accrual - UIT Other Receipts & Reconciliations - Basis adjustments Other Receipts & Reconciliations - Foreign tax pd beyond treaty Fees & Expenses - Margin interest Fees & Expenses - Margin interest Fees & Expenses - Interest paid on short position Fees & Expenses - Non reportable distribution expense Fees & Expenses - Severance tax Fees & Expenses - Severance tax Fees & Expenses - Severance tax Fees & Expenses - Miscellaneous fees Fees & Expenses - Tax-exempt investment expense Foreign Exchange Gains & Losses - Foreign currency gain/loss	
1099-OID total withheld 1099-MISC total withheld 1099-B total withheld	0.00 0.00 0.00 7,755.09		

For a covered security acquired at premium, unless you notified UBS in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, we will report a gross amount for both the interest paid to you and the premium amortization for the year. If you **did** notify us that you did not want to amortize the premium on a covered security, then UBS will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, we are only required to report the gross amount of interest paid to you.

FATCA Filing Requirement:

If this box is checked then UBS is reporting to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. More detail on this filing requirement is provided in the instructions to Form 8938. Please contact your tax advisor for questions regarding your specific situation.

Box 1. Interest income

This amount shows interest paid to your account during the calendar year from corporate bonds, federal agency bonds, taxable municipal bonds, tax credit bonds such as Build America bonds, Certificates of Deposits (CDs), unit trusts, CMOs, and other CDOs. Amounts in Box 1 do not include interest shown in Box 3. For more information, see Form 8912, Credit to Holders of Tax Credit Bonds. See the instructions above for a covered security acquired at premium.

Box 2. Early withdrawal penalty

This amount shows the interest or principal forfeited because of early withdrawal from time deposits, such as CDs. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Interest on U.S. savings bonds and Treasury obligations

This amount shows interest received from U.S. savings bonds, Treasury bills, Treasury bonds and Treasury notes during the calendar year and may or may not be considered all taxable. See IRS Publication 550, Investment Income and Expenses. The interest may be exempt from state and local income taxes. This amount is not included in Box 1. See the instructions above for a covered security acquired at premium.

Box 4. Federal income tax withheld

This amount shows any backup withholding, which is the amount of federal income tax withheld at a rate of 24% if either:

- You did not certify your TIN or SSN with a signed Form W-9
- You were sent a B-Notice and did not respond in accordance with its instructions
- UBS was instructed by the IRS to withhold because of payee underreporting (mandatory withholding)

Please note that backup withholding must be reported in the tax year in which the income was reported, regardless of when the backup withholding was paid to the IRS. Please include this amount on your income tax return as tax withheld.

Box 5. Investment expenses

The amount shown here is your share of the expenses of a single-class REMIC and is included in Box 1. The expenses shown in Box 5 are deductible on the "Other expenses" line of Form 1040 Schedule A, subject to the 2% limit. Generally, the amount you should have received or had credited to you is the amount in Box 1 minus the amount in Box 5.

Box 6. Foreign tax paid

The amount in this box, which is reported in U.S. dollars, shows the foreign tax paid. You may choose to claim this amount as a deduction or a credit on your federal income tax return. See IRS Publication 514, Foreign Tax Credit for Individuals. The amount included in Box 1 reflects the gross amount of foreign interest income paid to you.

Box 7. Foreign country or U.S. possession

"See detail" refers to Box 6, for taxes withheld from interest payments from various foreign countries or U.S. possessions.

Box 8. Tax-exempt interest

This amount includes tax-exempt interest received (including accrued interest received on a sale) during the calendar year. Report this amount on Line 8b, Form 1040 or 1040A.

Box 9. Specified private activity bond interest

This shows the amount of tax-exempt interest included in Box 8 for each security that is subject to Alternative Minimum Tax (AMT). See instructions for Form 6251.

Box 10. Market Discount

For a covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified us of this election in writing in accordance with Regulations section 1.6-45-1(n)(5), Box 10 shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security, accrued market discount will be calculated on a constant yield basis unless you notified us in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount amount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. Bond Premium

For a taxable covered security (other than a U.S. Treasury obligation), Box 11 shows the amount of premium amortization allocable to interest payment(s) for the year, unless you notified UBS in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a covered security acquired at a premium and UBS is reporting premium amortization, then we have reported a net amount of interest in Boxes 1. If the amount in this box is greater than the amount of interest paid on the covered security, please see Regulations section 1.171-2(a)(4).

Box 12. Bond Premium Amortization on Treasury obligations

For a U.S. Treasury obligation that is a covered security, Box 12 shows the amount of premium amortization allocable to the interest payment(s) for the year, unless you notified UBS in writing in accordance with Regulations section 1.6045- 1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and UBS is reporting premium amortization, then we have reported a net amount of interest in box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. Bond Premium on tax-exempt bonds

For a tax-exempt covered security, Box 13 shows the amount of premium amortization allocable to the interest payment(s). If an amount is not reported in this box then UBS has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. Please see regulations section 1.171-2(a)(4)(ii).

Box 14. Tax-Exempt Bond CUSIP Number

This box shows the CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed to you, during the calendar year and reported in Box 8. If blank, no CUSIP number was issued for the bond(s).

Form 1099-MISC, Miscellaneous Income

Your Consolidated Form 1099 includes all forms regardless of your specific tax reporting situation. Those forms not applicable to you will show all zeroes in the amount column(s).

[] FATCA filing r	equirement (see instructions)	9	Summary Information			
DIVIDENDS	AND DISTRIBUTIONS 2018	1099-DIV* OMB No. 1	545-0110 MIS	CELLANEOUS INCOMI	E 2018 1099-MIS	C* OMB No. 1545-0115
1b- Qualified di 2a- Total capita 2b- Unrecapture	l gain distributions (includes lines 2b, 2c, ed Section 1250 gain		29.52 3- 0 27.28 4- F 02.70 8- S	toyalties Other income Jederal income tax withheld Substitute payments in lieu of d	ividends or interest	258,083.75 189,900.17 0.00 100,605.42
2c- Section 120 2d- Collectibles			0.00 0.00 SEC	TION 1256 CONTRACT	S 2018 1099-B*	OMB No. 1545-0715
3- Nondivident 4- Federal inc 5- Section 199 6- Investment 8- Foreign cou 9- Cash liquidă 10- Noncash liq 11- Exempt-inte	d distributions come tax withheld Ad dividends expenses intry or US possession: See detail 7- ation distributions juidation distributions rest dividends (includes line 12) rivate activity bond interest dividends (AM	-2,0 1 Foreign tax paid: 2,3 27,4 T) 27,2 information and is being fu	83.07 94.25 8.F 0.00 9-1 23.20 10-1 43.71 11-4 0.00 0.00 09.89 d 115.63 rnished to the Internal Re	rofit or (loss) realized in 2018 in realized profit or (loss) on op intrealized profit or (loss) on op ingregate profit or (loss) on con applicable, proceeds from sale stalled in subsequent sections venue Service. If you are requened to the service of the servi	on closed contracts en contracts-12/31/2017 en contracts-12/31/2018 et ransactions appear summarized of this document. uired to file a return,	-13,778.75 10,296.50 -5,995.25 -30,070.50
	OF PROCEEDS, GAINS & LOSS					
	B and Proceeds not reported to the IRS pages	•		-		
Short Short Short	Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received)	Proceeds 13,913,833.9 242,461.3 0.00	14,760,995.9 342,888.7	2 0.00 1 0.00	Wash sale loss disallowed 64,386.23 0.00 0.00	Net gain or loss(-) -782,775.78 -100,427.41 0.00
	Total Short-term	14,156,295,2	15,103,884.6		64,386.23	-883,203.19
Long Long Long	D (basis reported to the IRS) E (basis not reported to the IRS) F (Form 1099-B not received)	1,238,748.69 398,519.70 0.00	415,003.8	276.50	22,150.08 0.00 0.00	92,462.09 -16,760.60 0.00
	Total Long-term	1,637,268.39	1,621,740.4	3 276.50	22,150.08	75,701.49
Undetermined Undetermined	B or E (basis not reported to the IRS) C or F (Form 1099-B not received)	18,811.14 0.00			0.00 0.00	0.00 0.00
	Total Undetermined-te	rm 18,811.14	0.0	0.00	0.00	0.00
	Grand total	15,812,374.74	16,725,625.1	1 276.50	86,536.31	-807,501.70
Withholding		Amoun	<u>t </u>			
Federal income	tax withheld	115,020.36	5			
Changes to divide	end tax classifications processed after you	ır original tax form is issued fo	r 2018 may require an ame	nded tax form.		

If you are filing as an individual, report the taxable amounts shown on this form on your tax return as explained below. Other taxpayers, such as fiduciaries or partnerships, should report the amounts on the corresponding lines of their tax returns.

FATCA Filing Requirement

If this box is checked then UBS is reporting to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. More detail on this filing requirement is provided in the instructions to Form 8938. Please contact your tax advisor for questions regarding your specific situation.

Form 1099-MISC incorrect?

If this form is incorrect or has been issued in error, please contact your Financial Advisor. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 2. Royalties

This amount is the total royalty amount paid to your account. Generally, report in Part 1, Schedule E (Form 1040) and identify the royalties. This box will also include rental income that you earned from real estate activities and any surface royalties generated from strip mining or other activities. Please consult with your tax advisor to determine the proper treatment of this income.

Box 3. Other income

Report this amount, which includes the additional compensation UBS has paid to gross up substitute payments in lieu of qualified dividends, on the line for "Other Income" on Form 1040 (or Form 1040NR) and identify the payment. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Federal income tax withheld

This amount shows any backup withholding, which is the amount of federal income tax withheld at a rate of 24% if either:

- You did not certify your TIN or SSN with a signed Form W-9; or
- You were sent a B-Notice and did not respond in accordance with its instructions

See Form W-9 and Pub. 505 for more information.

Please note: Backup withholding must be reported in the tax year in which the income was reported, regardless of when the backup withholding was paid to the IRS. Please report this amount on your personal income tax return as tax withheld.

Box 8. Substitute payments in lieu of dividends or interest

This amount shows substitute payments in lieu of dividends or tax-exempt interest received by UBS on your behalf as a result of a loan of your securities. On your tax return, report this amount on the "Other Income" Line of Form 1040 (or Form 1040NR).

Form 1099-B, Proceeds from Broker and Barter Exchange Transactions

Your Consolidated Form 1099 includes all forms regardless of your specific tax reporting situation. Those forms not applicable to you will show all zeroes in the amount column(s).

The "Summary of Proceeds, Gains and Losses, Adjustments and Withholding" box highlighted below is not the official 1099-B being reported to the IRS, it is a summary provided for informational purposes only.

[] FATCA filing re	equirement (see instructions)		Summ	ary Information	1		
DIVIDENDS.	AND DISTRIBUTIONS 20	18 1099-DIV*	OMB No. 1545-011	0 MIS	CELLANEOUS INCO.	ME 2018 1099-MI	SC* OMB No. 1545-011
1b- Qualified div 2a- Total capital 2b- Unrecapture	gain distributions (includes lines 2b, d Section 1250 gain	2c, 2d)	152,739.30 119,629.52 17,227.28 402.70	3- 4-	Royalties Other income Federal income tax withhel Substitute payments in lieu o		258,083.75 189,900.17 0.00 100,605.42
2c- Section 120: 2d- Collectibles			0.00	SEC	TION 1256 CONTRAC	TS 2018 1099-B*	OMB No. 1545-071
5- Section 199, 6- Investment 6 8- Foreign cou 9- Cash liquida 10- Noncash liqu 11- Exempt-inte	ome tax withheld A dividends expenses typenses or US possession: See detail titon distributions uidation distributions rest dividends (includes line 12) vate activity bond interest dividends "This is importan!	tax information and		g. 10- 11- / d to the Internal Re	retailed in subsequent section	open contracts-12/31/2017 open contracts-12/31/2018 contracts ale transactions appear summarize as of this document.	-13,778.75 10,296.50 -5,995.25 -30,070.50 ed below and are
	OF PROCEEDS, GAINS & LO						
	and Proceeds not reported to the IRS pa	ges to ensure that you co					
Short Short Short	Form 8949 type A (basis reported to the IRS) B (basis not reported to the IRS) C (Form 1099-B not received)	13	Proceeds 3,913,833.91 242,461.30 0.00	14,760,995.9 342,888.7	2 0.00	64,386.23 0.00	Net gain or loss(-) -782,775.78 -100,427.41 0.00
	Total Short-term	14	.156.295.21	15,103,884.6			-883,203,19
Long Long Long	D (basis reported to the IRS) E (basis not reported to the IRS) F (Form 1099-B not received)		,238,748.69 398,519.70 0.00	1,206,736.6 415,003.8 0.0	88 0.00 00 276.50	22,150.08	92,462.09 -16,760.60 0.00
	Total Long-term	1	,637,268.39	1,621,740.4	8 276.5	22,150.08	75,701.49
Undetermined Undetermined	B or E (basis not reported to the IRS C or F (Form 1099-B not received)	3)	18,811.14 0.00	0.0			0.00 0.00
	Total Undetermine	d-term	18,811.14	0.0	0.0	0.00	0.00
	Grand total	15	,812,374.74	16,725,625.1	1 276.50	86,536.31	-807,501.70
Withholding			Amount				
Federal income t	ax withheld		115,020.36				
hanges to divide	nd tax classifications processed after	r your original tax form	is issued for 2018	may require an ame	ended tax form.		

Sample of the official Form 1099-B that is being reported to the IRS

NCOME TAX W	OMB No. 1545-0715						
a- Description of pro 1c- Date sold				(continued)		02/	14/2019
1c- Date sold	TTHHELD						
	operty/CUSIP/Symbol						
or disposed		Federa				tate	15- State ID
· · · · · · · · · · · · · · · · · · ·		Tax Withheld			Tax Withh	eld 14- State N	ame Number
VANGUARD EXTEN	DED MARKET ETF / CUSIP: 92	2908652 / Symbol: VXF	F				
03/16/18			_		344	I.39 CA	804-3862-5
03/21/18 03/31/18		1,377.56	6		42	.08 CA	804-3862-5
03/31/18		168.33	3		42	.08 CA	804-3862-5
04/06/18		100.00	_		629	.98 CA	804-3862-5
04/11/18		2,519.92	2				
04/24/18 04/27/18		282.97	7		70	.74 CA	804-3862-5
09/25/18		202.91	,		4,244	I.50 CA	804-3862-5
09/27/18		16,977.98	8		.,		
	Security total:	21,326.76	6		5,331	.69	
4- Tota	I Federal income tax withheld	115,020.36	6	16- Total State tax withheld	7,755	5.09	
Report on Form 8949, 'Gain or loss (-)" is NO	RANSACTIONS FOR CO Part I with Box A checked. Bas OT reported to the IRS. Operty/CUSIP/Symbol			ry gains or losses are iden	tified in the Addi	tional information o	column] (Lines 2 & 5)
Report on Form 8949, Gain or loss (-)" is NO Ia- Description of pro 1c- Date sold or	Part I with Box A checked. Bas T reported to the IRS. operty/CUSIP/Symbol	is is provided to the IRS 1d- Proceeds & 6- Reported	S. (Line 3)	1f- Accru	ed mkt disc (D) & g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	3
Report on Form 8949, Gain or loss (-)" is NO la- Description of pro 1c- Date sold or disposed	Part I with Box A checked. Bas DT reported to the IRS. operty/CUSIP/Symbol Quantity	is is provided to the IRS 1d- Proceeds & 6- Reported (G)ross or (N)et	S. (Line 3)	1f- Accru	ed mkt disc (D) &	Gain or loss(-) & 7- Loss not allowed (X)	
Report on Form 8949, 'Gain or loss (-)" Is No 1a- Description of pro 1c- Date sold or disposed	Part I with Box A checked. Bas T reported to the IRS. operty/CUSIP/Symbol	is is provided to the IRS 1d- Proceeds & 6- Reported (G)ross or (N)et	S. (Line 3)	1f- Accru	ed mkt disc (D) & g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	3
Report on Form 8949, Gain or loss (-)" is NC Ia- Description of pro- 1c- Date sold or disposed ABB LTD SPON ADR	Part I with Box A checked. Bas DT reported to the IRS. operty/CUSIP/Symbol Quantity	is is provided to the IRS 1d- Proceeds & 6- Reported (G)ross or (N)et	S. (Line 3)	1f- Accru	ed mkt disc (D) & g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	3
Report on Form 8949, Gain or loss (-)* is NC 1a- Description of pro- 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18	Part I with Box A checked. Bas DT reported to the IRS. operty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol:	1d- Proceeds & 6- Reported (G)ross or (N)et ABB 37,339.21	S. (Line 3) 1b- Date acquired	1f- Accru 1e- Cost or 1g other basis	ed mkt disc (D) & J- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	d) Additional information
Report on Form 8949, 'Gain or loss (-)" is NO la- Description of pro- 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18	Part I with Box A checked. Bas Dreported to the IRS. perty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000	1d. Proceeds & 6- Reported (G)ross or (N)et ABB 37,339.21 1108 / Symbol: ACAD 18,066.36	1b- Date acquired 12/13/18 02/03/18	1f- Accru 1e- Cost or other basis 1g 52,223.35	ed mkt disc (D) & J-Wash sale loss disallowed (W) 0.00	Gain or loss(-) 8 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78	Additional information Short sale closed Sale
Report on Form 8949, Gain or loss (-)" is NO Ia- Description of pro- 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18 02/03/18	Part I with Box A checked. Bas Dreported to the IRS. Operty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000	1d- Proceeds & 6- Reported (G)ross or (N)et ABB 37,339.21 :108 / Symbol: ACAD 18,066.36 7,877.58	1b- Date acquired 12/13/18 02/03/18 02/03/18	11- Accru 1e- Cost or other basis 15 52,223.35 17,913.58 7,165.44	ed mkt disc (D) & J- Wash sale loss disallowed (W) 0.00 0.00 0.00	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78 712.14	Additional information Short sale closed Sale Sale
Report on Form 8949, Gain or loss (-)" is NO la- Description of pro- 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18	Part I with Box A checked. Bas Dreported to the IRS. perty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000 800.000	1d-Proceeds & 6-Reported (6)ross or (N)et ABB 37,339.21 (108 / Symbol: ACAD 18,066.36 7,877.58 23,584.00	1b- Date acquired 12/13/18 02/03/18	1f- Accru 1e- Cost or other basis 1g 52,223.35 17,913.58 7,165.44 28,661.73	ed mkt disc (D) & J-Wash sale loss disallowed (W) 0.00	Gain or loss(-) 8 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78 712.14 -5,077.73	Additional information Short sale closed Sale
Report on Form 8949, Gain or loss (-)" is NC la- Description of pr 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18 02/23/18 12/13/18	Part I with Box A checked. Bas Dreported to the IRS. perty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000 Security total:	1d- Proceeds & 6- Reported (G)ross or (N)et ABB 37,339.21 108 / Symbol: ACAD 18,066.36 7,877.58 23,584.00 49,527.94	1b- Date acquired 12/13/18 02/03/18 02/03/18	11- Accru 1e- Cost or other basis 15 52,223.35 17,913.58 7,165.44	ed mkt disc (D) & J- Wash sale loss disallowed (W) 0.00 0.00 0.00	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78 712.14	Additional information Short sale closed Sale Sale
Report on Form 8949 Gain or loss (-)" is NC Ia- Description of pr 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18 02/23/18 12/13/18	Part I with Box A checked. Bas Dreported to the IRS. Departy/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000 800.000 Security total: RTS INC / CUSIP: 00751Y106 /	1d- Proceeds & 6- Reported (G)ross or (N)et ABB 37,339.21 108 / Symbol: ACAD 18,066.36 7,877.58 23,584.00 49,527.94	1b- Date acquired 12/13/18 02/03/18 02/03/18 02/03/18	16- Cost or other basis 11- Accrum 15- Cost or other basis 52,223.35 17,913.58 7,165.44 28,661.73 53,740.75	ed mkt disc (D) & J- Wash sale loss disallowed (W) 0.00 0.00 0.00	Gain or loss(-) 8 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78 712.14 -5,077.73	Additional information Short sale closed Sale Sale
Report on Form 8949 Gain or loss (-)" is NC Ia- Description of pr 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18 02/23/18 12/13/18	Part I with Box A checked. Bas Dreported to the IRS. Deperty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000 800.000 Security total: RTS INC / CUSIP: 00751Y106 / 2 transactions for 08	1d-Proceeds & 6-Reported (0)ross or (N)et ABB 37,339.21 (108 / Symbol: ACAD 18,066.36 7,877.58 23,584.00 49,527.94 (Symbol: AAP W/16/18. Total proceeds i	1b- Date acquired 12/13/18 02/03/18 02/03/18 02/03/18	1f- Accrument of the results of the	ed mkt disc (D) & 1- Wash sale loss disallowed (W) 0.00 0.00 0.00 0.00 0.00	Gain or loss(-) 8 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78 712.14 -5,077.73 -4,212.81	Short sale closed Sale Sale Sale
Report on Form 8949 Gain or loss (-)" is NC Ia- Description of pr 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18 02/23/18 12/13/18	Part I with Box A checked. Bas Dreported to the IRS. perty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000 Security total: RTS INC / CUSIP: 00751Y106 / 2 transactions for 08 100.000	1d. Proceeds & 6- Reported (G)ross or (N)et ABB 37,339.21 108 / Symbol: ACAD 18,066.36 7,877.58 23,584.00 49,527.94 Symbol: AAP V/6/18. Total proceeds (9,125.32	1b- Date acquired 12/13/18 02/03/18 02/03/18 02/03/18 02/03/18	16- Cost or other basis 52,223.35 17,913.58 7,165.44 28,661.73 53,740.75 pried to the IRS. 9,149.60	ed mkt disc (D) & J- Wash sale loss disallowed (W) 0.00 0.00 0.00 0.00 0.00	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)14,884.14 152.78 712.145,077.734,212.8124.28	Additional information Short sale closed Sale Sale Sale 1 of 2 - Sale
Report on Form 8949, Gain or loss (-)" is NC la- Description of pr 1c- Date sold or disposed ABB LTD SPON ADR 12/15/18 ACADIA PHARMACE 02/03/18 02/23/18 12/13/18	Part I with Box A checked. Bas Dreported to the IRS. Deperty/CUSIP/Symbol Quantity / CUSIP: 000375204 / Symbol: 2,000.000 UTICALS INC / CUSIP: 004225 500.000 200.000 800.000 Security total: RTS INC / CUSIP: 00751Y106 / 2 transactions for 08	1d-Proceeds & 6-Reported (0)ross or (N)et ABB 37,339.21 (108 / Symbol: ACAD 18,066.36 7,877.58 23,584.00 49,527.94 (Symbol: AAP W/16/18. Total proceeds i	1b- Date acquired 12/13/18 02/03/18 02/03/18 02/03/18	1f- Accrument of the results of the	ed mkt disc (D) & 1- Wash sale loss disallowed (W) 0.00 0.00 0.00 0.00 0.00	Gain or loss(-) 8 7- Loss not allowed (X) also not reported (Z) -14,884.14 152.78 712.14 -5,077.73 -4,212.81	Short sale closed Sale Sale Sale

Report on Form 8949, Part II Gain or loss (-)" is NOT repo a- Description of property/	with Box D checked. Ba rted to the IRS.			gains or losses a	are identified in the Addi	tional information col	h				
	CUSIP/Symbol		LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 3) "Gain or loss (-)" is NOT reported to the IRS.								
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information				
AT&T INC CALL @M/W+BP	RATE 5.50 % MATURE	S 02/01/2018 / CUSIP	: 00206RAJ1 / S	ymbol:							
	4 transactions for 0	8/10/18. Total proceed	s and cost report	ted to the IRS.							
	19,000.000	19,346.56	10/03/15	19,339.74	0.00	6.82	1 of 4 - Sale				
	22,000.000	22,401.28	07/26/16	22,386.65	0.00	14.63	Original basis: \$21,282.28 2 of 4 - Sale Original basis: \$23,972.52				
	15,000.000	15,273.60	01/31/17	15,257.35	0.00	16.25	3 of 4 - Sale Original basis: \$16,049.85				
20110110	7,000.000	7,127.68	07/25/17	7,140.60	0.00	-12.92	4 of 4 - Sale Original basis: \$7,438.27				
08/10/18	63,000.000	64,149.12	Various	64,124.34	0.00	24.78	Total of 4 transactions				
AMERICAN INTL GROUP IN				0.054 :5	0.55	40.00	0-1-				
02/23/18	100.000	6,397.11	10/27/16	6,354.42	0.00	42.69	Sale				
AVON PRODUCTS INC COM											
09/22/18 09/26/18	500.000 2.000.000	1,226.22 4,617.84	09/06/17 09/06/17	2,962.04 11,848.17	0.00 0.00	-1,735.82 -7,230.33	Sale Sale				
	ecurity total:	5,844.06		14,810.21		-8,966.15					
BANK OF AMER CORP WAI	RRANTS EXP 01/16/19	/ CUSIP: 060505146 /	Symbol: BACW:	SA							
	3 transactions for 0	2/23/18. Total proceed	s and cost report	ted to the IRS.							
	400.000	4.964.17	11/23/16	2.545.88	0.00	2.418.29	1 of 3 - Sale				
	1,000.000	12,410.43	11/23/16	6,366.45	0.00	6,043.98	2 of 3 - Sale				
	1,500.000	18,615.66	11/23/16	9,612.88	0.00	9,002.78	3 of 3 - Sale				
02/23/18	2,900.000	35,990.26	Various	18,525.21	0.00	17,465.05	Total of 3 transactions				
CALIFORNIA EDL FACS AU	TH SR A OID99.6886	BE/R/ 4.500 100133 [OTD 052407 / CU	JSIP: 130178HB5	/ Symbol:						
10/01/18	100,000.000	100,000.00	01/28/16	100,000.00	0.00		Sale Original basis: \$109,853.25				
CAMPBELL SOUP CO COM	MON STOCK / CUSIP:	134429109 / Symbol:	CPB								
07/07/18	700.000	36,345.95	11/10/17	45,609.62	0.00	-9,263.67	Sale				

Sales of securities (and some corporate actions transactions) are required by U.S. tax law to be reported to both the IRS and to the client. Two different sets of such tax reporting rules are reflected in the Form 1099-B section. The older rule set requires only the reporting of the gross proceeds of sales and applies to lots acquired before the effective date of the new cost basis regulations (for equities, this was January 1, 2011). For equity lots acquired after January 1, 2011, the cost basis reporting rules became effective, and brokers were required to report the cost basis associated with each sale and the resulting capital gain or loss, and also whether such capital gain or loss is short-term or long-term. The information reported to you in this section should be used by you to complete you Schedule D (Form 1040), "Capital Gains and Losses."

All sales that settle normally (e.g., using the normal 3 day stock exchange settlement process) are reported as occurring on the trade date. Each sale transaction is reported to the IRS separately.

A recent tax law change now requires brokers to report Section 1256 options to the IRS (similar to how regulated futures transactions have been reported for many years) on a mark to market basis. Other recent changes required brokers to report some gains or losses recognized on the sale or redemption of most debt instruments, certain structured products and certain grantor trusts as ordinary income or loss rather than capital gains or losses. Please check with your tax advisor.

Box 1a. Description of Property

Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. Date acquired

This box may be blank if Box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Date Sold or Disposed

Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Proceeds

Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 1e. Cost or other basis

For those securities sold whose basis is reported to the IRS, this box shows the cost or other basis of such securities. If the securities were acquired through the exercise of a non-compensatory option the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If Box 5 is checked, Box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Accrued market discount

Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Wash sale loss disallowed

Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. Short-term gain or loss, Long-term gain or loss or Ordinary

The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the non-contingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. Check if, basis reported to IRS

If box is checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and no adjustment is required, see instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Box 4. Federal income tax withheld

This amount shows any backup withholding, which is the amount of federal income tax withheld at a rate of 24% from gross proceeds if either:

- You did not certify your TIN or SSN with a signed Form W-9; or
- You were sent a B-Notice and did not respond in accordance with its instructions

Please note that backup withholding must be reported in the tax year in which the income was reported, regardless of when the backup withholding was paid to the IRS. Please include this amount on your income tax return as tax withheld.

Box 5. Check if, noncovered security

If checked, the securities sold were noncovered securities and Boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. Reported to IRS: Gross proceeds or net proceeds

If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in Box 1d was adjusted for premium.

Box 7. Check if, loss is not allowed based on amount in Box 1d

If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in Box 1d. See the Form 8949 and Schedule D instructions.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 through 11)

Box 8. Profit or (loss) realized in 2018 on closed contracts

Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2018.

Box 9. Unrealized profit or (loss) on open contracts—December 31, 2017

Shows any year-end adjustment to the profit or (loss) shown in Box 8 due to open contracts on December 31, 2017.

Box 10. Unrealized profit or (loss) on open contracts—December 31, 2018

Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2018. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2018 in 2019.

Box 11. Aggregate profit or (loss) on contracts

Boxes 8, 9 and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2018 Form 6781.

Frequently Asked Questions (FAQ)

Why does the Consolidated Form 1099 change each year?

The changes to IRS reporting regulations result in changes to the Consolidated Form(s) 1099. As these regulations are changing from year-to-year, we use the opportunity to implement several enhancements to the Consolidated Statement and provide you with the most consistent and comprehensive view of your Form(s) 1099.

What do I need to do if I believe a revision ("correction") is necessary?

Please review your Consolidated Forms 1099 in their entirety and contact your Financial Advisor as soon as possible to let them know if you believe a revision is necessary. The first cycle of revised Forms 1099 will begin on March 8, and sent out weekly through mid-April, based on the timing of external income reclassification information we receive after the original 1099 mailings.

How can I have a duplicate tax statement sent to my tax advisor/other interested parties?

Please contact your Financial Advisor and request to fill out a Letter of Authorization for Duplicate Recipient of Tax Records. You can authorize up to four additional recipients to receive 1099 tax forms.

When is the original file of information provided to the IRS?

Generally, the original file of information is sent to the IRS at the end of April, with any revision files throughout the summer (if necessary).

When will I receive reporting information on a Limited Partnership?

If you own a limited partnership, the general partner will be sending you a Schedule K-1, which should be used for reporting on your tax return. Please direct any questions you may have regarding the K-1 to the partnership.

Why is income shown on my December account statement different from the information shown on my Form 1099?

Your December account statement includes a "Dividend and interest income earned" summary, which is a cash basis recap of your account for the 2018 calendar year. There may be differences between what your Consolidated Form 1099 reflects on a tax basis and what your statement reflects on a cash basis.

For instance, the December account statement may not include certain adjustments required for tax reporting purposes. Some common examples include:

- Sales of security are reportable based on the trade date, however for statement purposes they may not reflect in your statement until the cash is received in your account.
- Mutual fund dividends that are declared in October, November or December but not actually paid until January are required to be reported for the 2018 tax year.

Important Note: Your Consolidated Form 1099 (rather than your December statement) is the official document for tax reporting purposes.

In previous years, after having received original Forms 1099, I received a Revised ("Corrected") Form 1099 that changed the reporting of my dividend distributions. Why does this happen and will it happen again this year?

Many companies do not provide their income allocation information to us until after your original Forms 1099 are mailed. This may change the tax nature of some of the dividends and capital gain distributions. Because most issuers of these securities are generally unable to provide this tax reporting information until mid-March, you should consider waiting for the Revised Form 1099 before filing your taxes. Please consult your tax advisor.

Why are dividends that were paid in January 2019 reported on my 2018 Form 1099-DIV?

Federal tax law requires payers to report as prior-year income any dividends you received prior to February 1 in the current year from a regulated investment company (i.e., a RIC or mutual fund) or REIT that had a record date in October, November, or December of the prior year.

Why did you report OID income on my security when I did not receive a cash payment from my debt instrument during 2018?

If a taxable debt instrument is issued with an OID, we are required to report a portion of that OID each year the debt instrument is held in your account. Please refer to IRS Publication 550, Investment Income and Expenses and IRS Publication 1212, Guide to Original Issue Discount (OID) Instruments for helpful information necessary for reporting OID on your tax return.

What are 1256 Options and where are they reported?

1256 Option Contracts follow the mark-to-market rules for federal tax purposes. Under the mark-to-market rules, all 1256 contracts are treated as if sold for the market value that appears on the December UBS statement. They will be reported on Form 1099-B in Boxes 8, 9, 10 and 11.

How will cost basis be treated for 1256 Option Contracts?

In order to comply with the IRS instructions, starting with tax year 2018, we will be tracking separate profit and loss from the year-end mark to market as a separate lot level attribute for each year. If there is a partial sale of the lot after the mark, the amount of tracked profit/loss will be reduced with the remaining open lots by the ratio of sale.

My UIT is structured as a grantor trust. Why is the total income reported for my UIT different from what I received during the year?

As a "unit holder" of a UIT that is structured as a grantor trust, you are required to pay taxes on income during the year in which it is earned by the trust, whether or not that income was distributed to you in the same year. This is often referred to as "undistributed income."

The amount reported as income or proceeds on the Form 1099 for a Grantor Trust will often differ from the amount that you received. Income and any gains that have not been distributed may have been used to pay fund expenses or may be part of a future distribution. This amount is added to the total income or dividends distributed during the tax year as a separate "Year-End Adjustment." In the following year, there will be a reversal on that year's Form 1099 which will subtract the amount distributed but already taxed in the prior tax year. This adjustment avoids double counting and is referred to as a "Begin Year Adjustment." Grantor Trusts that have been outstanding for more than one year may have both a Begin Year and End Year Adjustment.

A unit holder's portion of any fund expenses incurred by the Trust will be reported separately on Form 1099 and may be deductible as a miscellaneous expense. Returns of Principal are reflected as "Non-Taxable Distributions" on the Form 1099 and reduce a client's cost basis in their investment. Unlike RICs, Grantor Trusts are not required to make capital gains distributions each year. Clients who have questions about their specific circumstances should speak with their tax advisors.

What is a REMIC?

REMIC is an acronym for real estate mortgage investment conduit. Special tax regulations apply to REMIC securities and other Collateralized Debt Obligations (CDOs) issued after 1986. A REMIC represents a bundle of mortgage loans that is divided into various "tranches" based on maturity dates, prepayment priorities, etc.

Where will REMIC information be reported?

Your Consolidated Form 1099 includes mortgage backed security income reporting information, including the details and the supplemental information you may need in order to file your tax return.

I sold a security short during 2018 but have not yet closed the position. Why weren't the proceeds from this transaction reported on my 2018 Form 1099-B?

The reporting requirements have recently been changed to report the short sale for the tax year when you deliver the shares to close the transaction. The applicable sale date and acquisition date are determined in accordance with the revised IRS instructions for the Form 1099-B. Please note: the date of sale in Box 1a of the Form 1099-B is now the date the closing position shares are delivered into your account, **not** the date you sold the short position.

Where can I find additional information on how to report worthless securities?

To find information on worthless securities, please review IRS Publication 550, Investment Income and Expenses.

What types of activities qualify as a "wash sale?"

A sale or disposition of stock or securities may qualify as a wash sale under the following circumstances: 1) a loss occurs, and 2) within 30 days before or after the sale or disposition of stock or securities you purchase substantially identical stock or securities in a fully taxable trade, or enter into a contract or option to acquire substantially identical stock or securities.

We are required to track and report this information only for covered securities with the same CUSIP number in the same account. Taxpayers may have to report additional information, please refer to your tax advisor for additional questions you may have regarding wash sales. You can also find additional information in the 2018 Instructions for Schedule D (and Form 8949) and IRS Publication 550.

Do the Forms 1099 contain any supplemental state tax withholding information?

If we were required to apply state backup withholding, we include a State Tax Withholding Statement with your Form 1099 (following the Consolidated Form 1099) so that you can take a credit for that withholding on your state income tax return.

Do you provide additional information to my state for state tax reporting purposes?

Most states obtain Form 1099 information directly from the IRS. However, UBS is required to provide additional information if your mailing address was in the following states as of December 31, 2018: California, Connecticut, Massachusetts, Maine, Minnesota, Montana, New York, North Dakota and Oklahoma. Generally, this information is related to payments you received from municipal bonds or funds.

Does UBS provide the ability to download data from tax forms?

As previously explained on page 9 of this document, UBS participates with several software programs to enable you to download your tax forms. UBS tax information can be downloaded to Turbo Tax, H&R Block, Tax Form Drop-Off (Lacerte), Tax ACT and CCH.

The download process requires the client to have the UBS account number and Document ID provided on each of Form(s) 1099 statement in order to download tax data. If you previously enrolled to download your Form(s) 1099, those credentials (username and password) are no longer valid or necessary. When you receive your document through the mail or through e-Delivery, the document will contain the UBS account number and Document ID necessary to download the information. Please be sure to enter the Document ID number provided on your form without spaces to download your information.

Important note: If you have multiple accounts, you will need to download each account separately. Each 1099 document contains one UBS account number and one unique Document ID.

What tax software programs are compatible with UBS?

UBS tax information can be downloaded to Turbo Tax, H&R Block, Tax Form Drop-Off (Lacerte), Tax ACT and CCH.

What are the tax implications of investing in a foreign security?

Income from foreign securities or mutual funds that invest in foreign securities may be subject to a withholding tax by the country from which it was paid. Forms 1099-DIV and 1099-INT report the gross amount of dividends and interest respectively and the amount of foreign tax, if any, withheld at the source. For your convenience we have included a newly added section to your Consolidated Form 1099 titled "Foreign Income and Taxes Summary." This section lists all income and foreign tax by country. You must report the gross amount of the dividend on your tax return; however, you may also be able to take a deduction or receive credit for taxes paid to a foreign country. For more information, please consult your tax advisor or refer to IRS Publication 514, Foreign Tax Credit for Individuals.

Why are nondividend distributions being reported on my Forms 1099?

Based on IRS regulations, these distributions are required to be reported to you and to the IRS. Nondividend distributions generally reduce the cost basis of your investment. This may be important when you dispose of your investment and need to calculate your gain or loss.

What are qualified dividends?

Qualified dividends are dividends that are subject to the same tax rates that apply to long-term capital gains. They are shown on your Form 1099-DIV, Box 1b. You must meet a holding period requirement to report these dividends as

qualified dividends. For common stock dividends, you must hold the dividend paying security for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date. Additional information on qualified dividends can be found in IRS Publication 550, Investment Income and Expenses.

Where are tax-exempt dividends from money market funds and mutual funds reported?

Tax-exempt dividends from both money market funds and mutual funds are reported on Form 1099-DIV. However, it is possible for income from tax-exempt mutual funds to be reported on both Forms 1099-INT and 1099-DIV.

Can I receive an e-mail notification when my tax forms are available for viewing on UBS Online Services?

Yes, all accounts enrolled in e-Delivery of tax reports will receive an e-mail when tax forms are available. To check your e-Delivery settings, click on "My Profile" within UBS Online Services on the top of the page, then "Services and Features." On the left-hand side, select e-Delivery. Please note: it can take up to 48 hours for e-Delivery alert changes to take effect.

Where can I find my tax reports on UBS Online Services?

Tax reports can be found under the My UBS Accounts tab, then Account Documents if you have the new Online Services. If you are not yet on the new Online Services you can find your tax reports under Accounts tab, the "Statements & Documents" section. You can search for your tax reports using one or multiple accounts.

Additional resources and information

All IRS forms and publications are available through the IRS Forms and Distribution Center at (800) TAX-FORM or on the web at www.irs.gov.

For taxpayer assistance, you may call the IRS Taxpayer Assistance Line at 800-829-1040.

Useful publications available from www.irs.gov.

All current publications can be viewed on the IRS website under Forms and Publications > Current Forms and Pubs

- Publication 17—Your Federal Income Tax (For Individuals)
- Publication 54—Tax Guide for U.S. Citizens and Resident Aliens Abroad
- Publication 505—Tax Withholding and Estimated Tax
- Publication 525—Taxable and Nontaxable Income
- Publication 514—Foreign Tax Credit for Individuals
- Publication 544—Sales and Other Dispositions of Assets
- Publication 550—Investment Income and Expenses
- Publication 590—Individual Retirement Arrangements (IRAs)
- Publication 938—Real Estate Mortgage Investment Conduits (REMICs) Reporting Information (And Other Collateralized Debt Obligations (CDOs))
- Publication 1212—Guide to Original Issue Discount (OID) Instruments

Limited Partnership Contact Information

Please refer to https://partnerdatalink.com for partnership contact information.

REMIC and WHMT Guide Information ubs.com/remicinformation

States Contact Information

The below hyperlinks provide you with contact information you may need for the various states.

- California—https://www.ftb.ca.gov/aboutFTB/contact.shtml?WT.mc_id=Global_Utility_Contact
- Connecticut—http://www.ct.gov/drs/cwp/view.asp?a=1456&g=450296
- Maine—http://www.maine.gov/revenue/contact.html
- Massachusetts— https://www.mass.gov/info-details/dor-contact-us
- Minnesota—http://www.revenue.state.mn.us/Pages/contact_us_page.aspx
- Montana—<u>https://revenue.mt.gov/about</u>
- New York—http://www.tax.ny.gov/help/contact/how-to-reach.htm
- North Dakota—http://www.nd.gov/tax/about/contact-us
- Oklahoma—http://www.ok.gov/triton/contact.php?ac=281&id=257

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