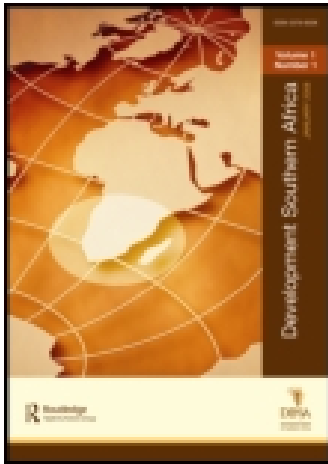


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# Local metropolitan government responses to homelessness in South Africa

Jacques Louis du Toit

*This article examines metropolitan government responses to homelessness in South Africa, including the context of responses, preparedness to respond, factors influencing responses, shelter locations, and homeless persons' views. Whereas the literature emphasises the need for spatial or physical interventions, this research, using multiple case studies, found that three out of four metropolitan municipalities viewed homelessness primarily as a social dependency issue and responded with social interventions. Yet homeless persons indicated that the most important thing the municipality could do for them was to provide employment and well-located affordable housing. The study concludes that municipalities might respond more effectively with spatial or physical interventions such as equitable urban planning and design and sensitive land use management, and by providing affordable housing close to places of employment.*

**Keywords:** local government; metropolitan municipality; homelessness; affordable housing; low-cost housing; urban planning

## 1. Introduction

A local television channel recently screened a documentary on what is becoming an increasingly familiar sight in South Africa's inner cities and suburbs: people living on the streets. It showed provocative footage of pockets of homeless people living in unhygienic conditions against the walls of security complexes in the affluent northern suburbs of Johannesburg. Asked why they were living there, they typically responded that they had come to look for work, but that they had no money to pay for a place to stay, or to go back to the places they came from, or to commute. The residents' answer to this was typically that municipal bylaws were being grossly violated and that the municipality should restore law and order.

The State of our Cities Report from the South African Cities Network (SACN) shows that South Africa's six metropolitan municipalities of Cape Town, Ekurhuleni (East Rand), eThekweni (Durban), Johannesburg, Nelson Mandela (Port Elizabeth) and Tshwane (Pretoria) have 'strong' economies compared with the national economy in terms of Gross Value Added output, and in some cases 'stronger' (SACN, 2006:3:8–9). Yet these municipalities also have large numbers of low-skilled unemployed immigrants, and historically their economies have had difficulty absorbing such immigrants (SACN, 2004:51). They also have a large percentage of the national population living below the minimum living level: eThekweni has over one million (4 per cent of the national total), Johannesburg over 865 000 (3.7 per cent), Cape Town over 718 000 (3 per cent) and Tshwane over 500 000 (2 per cent) (SACN, 2006:2–17). Many of these low-skilled unemployed immigrants living below the minimum living level would constitute homeless people such as those seen in the television documentary. Nowhere in South Africa are concentrations of homeless people more visible than on the sidewalks of metropolitan municipalities.

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Since homelessness in the large cities is likely to increase in the foreseeable future, and since it affects the well-being of not only the homeless but also the public in general, it is important to ask how these municipalities are responding to homelessness, how prepared they are, what factors influence their responses, and where they locate shelters. This paper reports applied research that formed part of a larger Human Sciences Research Council (HSRC) study of homelessness triggered by the removal of the growing number of homeless people who slept in front of the HSRC building in central Pretoria.

The paper examines local metropolitan government responses, looking in particular at the municipal departments primarily responsible for dealing with homelessness. Adopting a critical social science perspective, it describes these responses with the aim of identifying possible gaps or detrimental practices in these responses and recommending improvements. It looks also at the views of homeless persons surveyed by the HSRC, to get an idea of the effectiveness and appropriateness of these responses. The paper should of course be read in conjunction with papers in this special issue that explore other aspects of homelessness.

## **2. Defining 'homelessness' in a metropolitan context**

Caplow et al. (1968:494) define homelessness as 'a condition of detachment from society characterised by the absence or attenuation of the affiliative bonds that link settled persons to a network of interconnected social structures'. This definition, although cited often in the literature, is limited to the social-psychological problems of detached homeless persons and does not consider their actual housing circumstances. Rahimian et al. (1992) and Glasser (1994:3) furthermore point out that although this definition might be suitable for developed countries, it is less suitable for developing countries where there are large numbers of informal settlement dwellers. The South African Homeless Peoples' Federation, for example, regards informal settlement dwellers as 'homeless'. Nevertheless, even though it is not proper housing, informal housing could still be regarded as a home – a place of retreat that is usually located within a recognisable settlement pattern with social structures that are often stronger than those of formal settlements. Aliber (2002:12) also notes that detached homeless persons appear to be different from informal settlement dwellers, but acknowledges that 'there is no clear line separating the two'. What then does 'homelessness' mean in a South African metropolitan context?

A brief study by Aliber et al. (2004) of the homeless who slept in front of the HSRC building revealed that, apart from some detached homeless persons, most of them had homes and social ties in townships and informal settlements. These people were searching for work in central Pretoria but could not afford to commute daily from townships or informal settlements outside Pretoria. As a result, they felt they had little choice but to sleep in the inner city. These homeless people can be referred to as 'temporary overnight sleepers'. Chances are that this is a typically South African phenomenon and that there are similar groups of homeless people across all six South Africa's metropolitan municipalities, since the peripheral location of townships and informal settlements is characteristic of South African cities. In fact, the HSRC's homelessness survey (described in detail by Cross & Seager, this issue) revealed that 48.4 per cent of homeless persons in Johannesburg and Tshwane said they had a home, but that it was too far and too costly to commute from their homes on a daily basis, whereas only 32.5 per cent had no permanent home and 19.1 per cent were temporarily stranded.

Three groups of homeless persons can, for the purpose of this article, be identified in a South African metropolitan context: detached homeless persons, temporary overnight sleepers (including evictees and 'weekday' homeless persons), and informal settlement dwellers. The first two of these three groups can be referred to collectively as 'street homeless persons', since they typically live on the streets of metropolitan municipalities. In this article, the terms 'homeless' and 'homelessness' are used to refer to street homeless persons only.

### 3. The literature on homelessness

Studies on local government responses to homelessness can be divided into two groups: non-empirical (i.e. theoretical and normative) and empirical (i.e. research). Most non-empirical studies originate from the US and take a critical social science perspective, also described in the literature as a 'critical humanist' or 'neo-Marxist' perspective. These studies were in reaction to welfare reforms that included housing subsidy and social service reductions during the Reagan administration (Wolch, 1997, 1998; Wolch & Sommer, 1997), which Da Costa Nunez and Caruso (2003:46) argue significantly increased homelessness during the 1980s and 1990s. Many of these studies argue that the way municipalities plan, design and control urban space has a significant impact on homelessness, for better or worse (Wright, 1997; Lofland, 1998; Mitchell, 2001; Snow & Mulcahy, 2001; Amster, 2003; Murray, 2004). For example, municipalities might plan, design and control inner-city public spaces to exclude or displace 'undesirable groups' such as homeless persons to attract investment or stall the flight of businesses to the suburbs. Yet most of the homeless live in the inner-city public spaces – or 'zone of dependence', as Dear and Wolch (1987) and Lee and Prince-Spratlen (2004:21) call it – because they depend on having access to busy public spaces to beg, sell favours, forage for food, and so on. How municipalities plan, design and control urban space therefore affects the survival of homeless people in the most fundamental way. Robins (2002) and Murray (2004:29) describe the control of urban space to achieve social ends as 'spatial governance', and Oakley (2002) and Mitchell (2001:67) explain how even businesses and communities exercise 'spatial governance' by using zoning restrictions to exclude facilities for the homeless, arguing that they will attract 'undesirables' and cause property values to decline and crime to increase, and the quality of life to deteriorate. Non-empirical studies of homelessness therefore highlight the importance of considering the relationship between homelessness and urban space when looking at local metropolitan government responses.

Empirical studies of homelessness focus mostly on the causes and socio-economic conditions of homelessness (Roth, 1985, Rossi, 1987, Jahiel, 1992, Burt, 1992, and Snow & Anderson, 1993, all as cited by Berman & West, 1997:304; Glasser, 1994:8). The structural causes of homelessness were studied by Elliott and Krivo (1991), Honig and Filer (1993) and Lee et al. (2003), and were found to include lack of affordable housing, lack of employment and adequate healthcare, and an increase in the number of single-headed households. Studies in a metropolitan context focus on the spatial distribution of homelessness and the effect of land use policies on the provision of services for the homeless (Dear & Wolch, 1987; Wolch & Dear, 1993). Besides these studies of developed countries, there are also numerous studies of homelessness in developing countries, although these focus more on urbanisation and housing shortages (Cole, 1987, Drakakis-Smith, 1987, Gugler, 1988, Dogan & Kasarda, 1988, all as cited by Glasser, 1994:8; Furnell, 1996).

According to Hoch (2000), the way local governments view homelessness largely determines their responses. They tend to see it as an issue of either social dependency or lack of affordable housing or moral failure. Hoch argues that the social dependency view currently dominates local government policy in the US, with the focus being on making homeless people less dependent instead of providing affordable housing so they can leave the shelters. Hoch's criticism of this view is that once the homeless are given some form of independence, they often fall back into homelessness as they cannot afford private housing in the rental market. Hoch further argues that they should rather be seen as people with 'shelter uncertainty' who struggle to find housing. Elliott and Krivo (1991), Daly (1996:169), Berman and West (1997:304), *America Magazine* (2003), Amster (2003), Da Costa Nunez and Caruso (2003) and Lee et al. (2003) argue that local governments should view homelessness as a problem of lack of affordable housing. Poulsen (2000) reviewed the Transitional Housing Programme in central Johannesburg and points out that those who exited one of the five facilities as part of the programme often re-entered them when they were not able to find affordable housing.

Daly (1996) studied the role of local governments in Britain, Canada and the US, and found similarities as well as differences between them. Local governments in all three countries were described as having experienced a wave of neoconservatism since the 1980s, which coincided with an increase in homelessness and a decline in affordable rental housing. British local governments were required to house homeless people on a 'priority need' basis, although implementation differed across regions. Although the federal government in the US enacted homelessness legislation in 1987, a laissez-faire approach continued, while public involvement was limited to the provision of emergency shelters. Canada had no specific homelessness legislation, although the provision of social housing to accommodate a range of income groups was seen as relatively successful (Daly, 1996:189).

Studies focusing specifically on the responses of metropolitan municipalities are limited. Berman and West (1997) conducted a survey in the US on the preparedness of metropolitan municipalities to respond to homelessness. US metropolitan municipalities tend to use a comprehensive range of programmes and strategies, while the federal government acts as funder and prompter. Although more than one-half of all municipalities surveyed used most of the interventions listed in the survey questionnaire, it was also reported that programmes in many municipalities were implemented under conditions of funding shortages, fragmentation, public apathy and compassion fatigue. The most important factor influencing municipal responses was economic circumstances (Berman & West, 1997:303–4 and 314–15). Since no study had yet been done locally on the responses of metropolitan municipalities, the survey instrument of the Berman and West study served as a basis for this study, and this also enabled comparisons between US and South African findings.

#### **4. Research design and methods**

This research was based on comparative case studies of four of the six metropolitan municipalities in South Africa – Cape Town, eThekweni, Johannesburg and Tshwane – carried out during 2004 and 2005. Each study used a mixed-methods approach that included the collection, analysis and interpretation of primary and secondary qualitative data and primary quantitative data.

Qualitative primary data on the context of municipal responses were obtained through unstructured face-to-face and focus group interviews with officials coordinating these responses in each of the four metropolitan municipalities, and qualitative secondary data were obtained from various news articles, municipal websites and municipal documents such as policies, strategies, programmes and research reports (see summary in Table 1). Qualitative primary data on the preparedness of municipalities to respond and factors influencing these responses were obtained through a standardised questionnaire completed by the official responsible for homelessness in each of the four metropolitan municipalities. The officials had to tick check boxes denoting a range of possible interventions and make choices on a four-point Likert scale to denote the importance of a range of factors influencing responses (see Tables 2 and 3 below). The mapping of shelter locations around the inner cities of each of the four metropolitan municipalities was based on address lists of shelters owned by the municipalities. Finally, quantitative primary data on homeless people's views were obtained from the HSRC survey in the central parts of Johannesburg and Tshwane. Cape Town and eThekweni were not included in this survey. The survey data were analysed using descriptive and inferential statistics, and are reported in Tables 4 and 5 below.

## 5. Discussion of responses

### 5.1 Context of responses

Table 1 synthesises, summarises and compares the context of municipal responses to homelessness across the four metropolitan municipalities. Much of the information in this table was obtained from municipal documents (see Appendix 1).

It is the social development departments that are mainly responsible for homelessness, the housing departments less so. This helps to explain why three of the four municipalities viewed homelessness as a social dependency issue, emphasising the need for developmental programmes to 'empower' homeless people to find employment and housing and to escape their 'welfare mentality'. Only Johannesburg was responding by providing housing through the Johannesburg Property Company's 'Better Building Programme', in which derelict buildings were identified and refurbished for social or transitional housing. Nevertheless, interviewees from three municipalities said their homeless populations consisted more of semi-skilled or informally employed people who simply lacked housing, and less of detached persons who were homeless 'by choice' and for whom 'there was little hope'; and interviewees from Tshwane's housing department, who were managing the HSRC shelter initiative at the time of the research, argued on the basis of their first-hand experience of the homeless that homelessness in Tshwane was not so much an issue of social dependency as of a lack of affordable housing. The fact that there was disagreement within municipalities – with some officials seeing, and treating, homelessness primarily as a social dependency issue, whereas experience on the ground indicates that it is perhaps more an issue of lack of affordable housing – suggests that some municipalities should reconsider their view of homelessness on a policy level.

The estimated numbers of homeless persons across the four municipalities varied between 2000 and 6000 at the time of the research, although data sources were sketchy with regard to numbers. Despite the availability of estimated numbers of public and privately owned shelters across the four municipalities, it was difficult to assess whether these shelters would be sufficient to accommodate the estimated numbers of homeless persons. Any assessment was further complicated by the transient

**Table 1: Context of responses**

	<b>Johannesburg</b>	<b>eThekweni</b>	<b>Cape Town</b>	<b>Tshwane</b>
Department responsible for homelessness	Social Development (Social Services)/Housing	Housing	Social Development (Programme for Street People)	Social Development/Housing
View of reason for homelessness	Social dependency	Lack of low-cost housing/social dependency	Social dependency/lack of low-cost housing (indirect reference to moral failure)	Social dependency/lack of low-cost housing (indirect reference to moral failure)
Estimated number of homeless persons (including adults and children)	Between 4000 and 6000 (according to some interviewees the figure has dropped to about 1200)	No information available	4133 (3351 adults and 782 children)	More than 2000 (or about 1400 in the inner city)
Estimated number of shelters (including public and privately owned)	Between 12 and 15 (most are privately owned)	About five (in and around the inner city)	32 (15 adult and 17 child shelters – all privately owned)	35 (most are privately owned)
Current approaches to homelessness	Coordinating civil society responses/transitional housing	Street children programmes/relocation/enforcing minimum standard requirements in shelters	Coordinating civil society responses/awareness campaigns/promoting social justice/community participation/strengthening existing public and private sector services	Coordinating civil society responses/skills development/social and economic upliftment
Current metro police responses to homelessness	Responding to complaints/enforcing bylaws/displacing	Responding to complaints/enforcing bylaws/displacing	Responding to complaints/monitoring	Responding to complaints/monitoring/displacing

**Table 2: Preparedness to respond**

The municipality has ...	Johannesburg	eThekweni	Cape Town	Tshwane
Preparedness in terms of planning/knowledge/research				
... an employee specialised in homelessness	Yes	Yes	Yes	No
... adopted a homelessness policy	Yes	Yes	Yes	No
... implemented a homelessness strategy	Yes	Yes	Yes	Yes
... conducted research on homelessness	No	No	Yes	Yes
... an annual report on homelessness	Yes	No	Yes	No
Number of interventions used	4 out of a possible 5	3 out of a possible 5	5 out of 5	2 out of a possible 5
Total number of interventions used in category	14 out of a possible 20 (70%)			
Preparedness in terms of resources/funding				
... participated in intergovernmental working groups	Yes	No	Yes	Yes
... applied for provincial or national funding	Yes	Yes	Yes	No
... a consolidated budget for homelessness	No response	No	Yes	No
... municipal tax for homelessness programmes	Yes	No	No	No
Number of interventions used	3 out of a possible 4	1 out of a possible 4	3 out of a possible 4	1 out of a possible 4
Total number of interventions used in category	8 out of a possible 16 (50%)			
Preparedness in terms of implementation/community coordination/PPPs				
... cooperated with voluntary organisations to provide services	Yes	No	Yes	Yes
... partnerships for housing programmes	Yes	No	No	No
... encouraged private sector involvement	No response	Yes (but limited)	Yes	Yes
... contracted private sector programmes	No response	No	Yes	No

*(Table continued)*



**Table 2: Continued**

The municipality has ...	Johannesburg	eThekweni	Cape Town	Tshwane
... community-based planning	No	No response	Yes	Yes
... coordinated metropolitan and non-metropolitan services	No	Yes (but limited)	Yes	Yes
... partnerships for job training	Yes	No	Yes	No (but forthcoming)
... partnerships for health programmes	Yes	No	Yes	Yes
... provided public awareness campaigns	Yes	No	Yes	No (but forthcoming)
Number of interventions used	5 out of a possible 9	2 out of a possible 9	8 out of a possible 9	5 out of a possible 9
Total number of interventions used in category	20 out of a possible 36 (56%)			
Preparedness in terms of programmes				
... prevention programmes	Yes	No response	Yes	No
... emergency assistance	Yes	Yes	No	No response
... primary health care	Yes	Yes	No	No
... primary job and housing programmes	No	Yes	No	No
... long-term care programmes	No	No	No	No
Number of interventions used	3 out of a possible 5	3 out of a possible 5	1 out of a possible 5	None
Total number of interventions used in category	7 out of a possible 20 (35%)			
Total number of interventions used by municipality	15 out of a total of 23 (65%)	9 out of a total of 23 (39%)	17 out of a total of 23 (74%)	8 out of a total of 23 (35%)
Total number of interventions used across all municipalities	49 out of a total of 92 (53%)			

*Source:* The four groups of interventions, planning/knowledge/research, resources/funding, implementation/community coordination/PPPs, and programmes were adapted from Berman and West (1997).

nature of homeless populations, as well as unconfirmed initiatives at the time of the research such as the conversion of large derelict buildings into shelters in central eThekweni and Johannesburg.

Interviewees from three of the four municipalities said their current approach to homelessness was first and foremost to coordinate civil society responses. However, interviewees viewed public–private partnerships (PPPs) as having only limited success because their policy, legislative, institutional and implementation frameworks were fragmented. They said there was a need for stronger PPPs, although some interviewees from Tshwane, after recalling their experience with certain faith-based organisations, were sceptical about PPPs because of their ‘hidden agendas’.

**Table 3: Rating of factors influencing responses**

	Johannesburg	eThekweni	Cape Town	Tshwane
Higher governments				
National	Important	Don't know/not applicable	No response	Very important
Provincial	Very important	Don't know/not applicable	Very important	Very important
Local government				
Director of community development/housing	Very important	Somewhat important	No response	Very important
Mayor	Very important	Somewhat important	Very important	Very important
City manager	Don't know/not applicable	Somewhat important	Very important	Very important
Private initiatives				
Religious organisations	Important	Important	Important	Very important
Community organisations	Very important	Somewhat important	Important	Very important
Private citizens	Very important	Don't know/not applicable	Important	Very important
Local business groups	Very important	Don't know/not applicable	Important	Very important
Economic circumstances				
Poverty and low income	Very important	Very important	Somewhat important	Very important
Lack of affordable housing	Very important	Very important	Somewhat important	Important
Low level of minimum wage	Important	Very important	Somewhat important	Very important
Rise in unemployment	Very important	Very important	Somewhat important	Very important
Policy and administration				
Lack of rehabilitative services	Important	Important	Very important	Very important
Changes in mental health policy	Important	Don't know/not applicable	Very important	Somewhat important
Cuts in national assistance programmes	Very important	Important	Very important	Important
Overload of criminal justice system	Very important	Important	Very important	Somewhat important
Community circumstances				
Increased use of emergency shelters	Very important	Don't know/not applicable	Very important	Very important
Overload of community organisations	Very important	Don't know/not applicable	Important	Very important

*(Table continued)*

**Table 3: Continued**

	Johannesburg	eThekweni	Cape Town	Tshwane
Increased domestic violence	Very important	Important	Important	Somewhat important
Increased number of personal crises	Very important	Important	Important	Very important
Increased begging	Important	Important	Important	Important

*Source:* The six groups of factors, higher governments, local government, private initiatives, economic circumstances, policy and administration, and community circumstances were adapted from Berman and West (1997).

Metro police responses appear to be similar across all four municipalities – responding to complaints, enforcing bylaws and displacing homeless people. In Cape Town, a special ‘Vagrancy Unit’ was established to respond to public complaints. In Tshwane, street children in central Pretoria would be repeatedly rounded up and dropped off in townships, although the HSRC homelessness initiative seemed to have raised awareness with the metro police of the need to respond more cautiously. Nevertheless, shelter operators in the east of Tshwane reported that metro police responses had included acts of harassment, unnecessary displacement and even stealing from homeless people. Shelter operators also reported that the municipality had cut down trees and burned vegetation in open spaces in the eastern suburbs to make the homeless people more visible to the metro police and the area less habitable. Although it is important that metro police do respond to public complaints and enforce municipal bylaws, it is also important that there are appropriate measures in place whenever homeless persons are displaced, such as taking them to shelters, and reuniting them with associates or relatives if possible. Simply displacing them and making open spaces less habitable is plainly not a long-term solution. Moreover, many homeless people in the eastern suburbs of Tshwane were also reported to be construction workers. Construction companies should be required to help with transport or provide temporary accommodation on large construction sites.

A cursory overview of recent news articles following the case studies suggests that some metropolitan municipalities have adopted what seem to be anti-homelessness policies in preparation for the 2010 Soccer World Cup. Cape Town has adopted a ‘prevention of nuisances bylaw’, which is said by some to criminalise the homeless. Municipal officials, however, said the new bylaw will award homeless people ‘more rights’ by making begging legal, provided it is ‘not done aggressively’. The implementation of the new bylaw is also said to be coupled with sensitivity training for metro police officials to ensure that they treat homeless persons with dignity when enforcing the bylaw (Powell, 2006). The Supreme Court of Appeal recently allowed Johannesburg to evict about 300 squatters from inner-city buildings classified as unsafe. Other 2010 host cities are expected to follow Johannesburg’s example (IRIN News, 2007).

## 5.2 Preparedness to respond

The preparedness of metropolitan municipalities to respond was determined by looking at the use the four municipalities made of a wide range of possible interventions. Table 2 tabulates and quantifies officials’ ‘yes/no’ responses to a standardised questionnaire asking about their preparedness for four types of interventions.

At the time of the research, the four metropolitan municipalities were using 53 per cent of all possible interventions to respond to homelessness. They were well prepared in terms of planning/knowledge/research interventions, as they were using 70 per cent of the possible interventions in this category (with Cape Town using all of them), but poorly prepared in terms of programmes, as they were using only 35 per cent of the possible interventions in this category (with Tshwane using none of them, although they were at a planning stage). Cape Town appeared to be the most prepared overall, as it was using 74 per cent of all the possible interventions, followed by Johannesburg (65 per cent), eThekweni (39 per cent) and Tshwane (35 per cent). Only two of the four metropolitan municipalities, therefore, were using a majority of possible interventions – a situation similar to that of the US, where 54 per cent of metropolitan municipalities were using a majority of possible interventions (Berman & West 1997:309).

Although the municipalities were well prepared at a policy level, their lack of preparedness on the levels of resources and implementation would have made it difficult for them to put their policies into action in the form of tangible programmes on the ground. Moreover, despite the emphasis that interviewees in three of the four municipalities placed on lack of affordable housing as an important cause of homelessness, only Johannesburg said it had ‘partnerships for housing programmes’, and only eThekweni said it had ‘primary job and housing programmes’.

### 5.3 Factors influencing responses

The factors influencing the responses of each of the four metropolitan municipalities were determined by looking at officials’ ratings of the importance of a range of factors. Table 3 tabulates their ratings on a four-point Likert scale ranging from ‘unimportant’ to ‘somewhat important’, ‘important’ and ‘very important’.

None of the factors were rated unimportant, which suggests that officials thought that responses to homelessness were influenced by almost any factor. Thus, it was a question of the relative importance of the factors. Table 3 shows that ‘national government’ was considered the most important category of factors, as three of the municipalities rated it ‘very important’. The policy, legislative and financial support municipalities may or may not receive from national and provincial governments are therefore more important than any other factor when it comes to responding to homelessness. In the US, however, ‘economic circumstances’ rather than ‘higher governments’ was shown to be the most important factor (Berman & West, 1997:313). ‘Policy and administration’ was considered the least important category of factors – however, this was because two factors in this category, ‘changes in mental health policy’ and ‘overload of criminal justice system’, were rated only ‘somewhat important’ by Tshwane. The other two factors in this category, ‘lack of rehabilitative services’ and ‘cuts in national assistance programmes’, were actually considered ‘important’ to ‘very important’ by officials from all four municipalities.

Considering factors individually, ‘provincial government’ and ‘increased use of emergency shelters’ appeared to be the most important factors influencing responses, as these two factors were rated ‘very important’ by all three metropolitan municipalities that responded to these items. ‘Changes in mental health policy’, ‘increased domestic violence’ and ‘increased begging’ appeared to be the least important factors, as Tshwane considered the first two only ‘somewhat important’, while the third was considered only ‘important’ by all four metropolitan municipalities. It is surprising that

'domestic violence' was one of the factors rated least important considering the high levels of domestic and gender violence in South Africa.

#### 5.4 Shelter locations

Because the literature highlighted the importance of the relationship between homelessness and urban space when looking at local metropolitan government responses, the locations of the shelters were also taken into account. Figures 1–4 show the locations of municipal-owned shelters in and around the inner cities of each of the four metropolitan municipalities. There was a clear pattern of shelter locations just outside the inner-city core in areas that could mostly be deemed zones of transition. Shelters are probably located here because these zones typically have lower land values than the inner-city core (because of conflicting land uses, urban decline, etc.), but also because these zones are relatively close to social and economic opportunities in the inner-city core.

Nevertheless, because of the stigma attached to zones of transition, homeless people, already stigmatised themselves, often suffer further stigmatisation by living in these zones – a process known as 'socio-spatial stigmatisation' (Takahashi, 1997) or 'ghettoization' (Wolch, 1980). As long as there are appropriate social and economic opportunities for homeless persons, it is probably not a very important concern whether shelters are located in stigmatised spaces or not. However, the mapping of the shelters in this study raises awareness that the location of shelters in South African metropolitan municipalities appears to have a particular socio-spatial logic behind it.

#### 5.5 Homeless people's views

This section of the study used data from the HSRC survey to determine what the homeless in central Johannesburg and Tshwane thought was the most important thing the municipality could have done for them. Table 4 shows that more than one-half of the homeless persons here (53.7 per cent) chose 'provide employment'. The second largest percentage (34 per cent) opted for housing. Very few opted for support (7.3 per cent). Those who chose housing were also asked what kind of housing would be most useful given their current circumstances and where such housing should be located.

Table 5 shows that the majority (62.8 per cent) opted for affordable self-owned housing, whereas only 18.9 per cent opted for affordable rental housing and 18.2 per cent for a place in a shelter for the homeless. Interestingly, a larger proportion of those who opted for a place in a shelter thought that shelters would be best located near town and other places of economic opportunities, whereas larger proportions of those who opted for affordable rental housing or self-owned housing thought that such housing would be best located in a local township or suburb, respectively. The lower one moves down the housing typology from self-owned to rental housing to shelters for the homeless, the stronger the preference to be located near town and other places of economic opportunities. This relationship between housing and location preferences is statistically significant at the 95 per cent confidence level ( $\chi^2(4, n = 148) = 48.92, p = 0.00$ ), and the relationship is also moderately strong (Cramér's  $V = 0.407$ ). Therefore, when homeless people think about their housing options, *what type* of housing it is and *where it is located* are both important considerations for them.

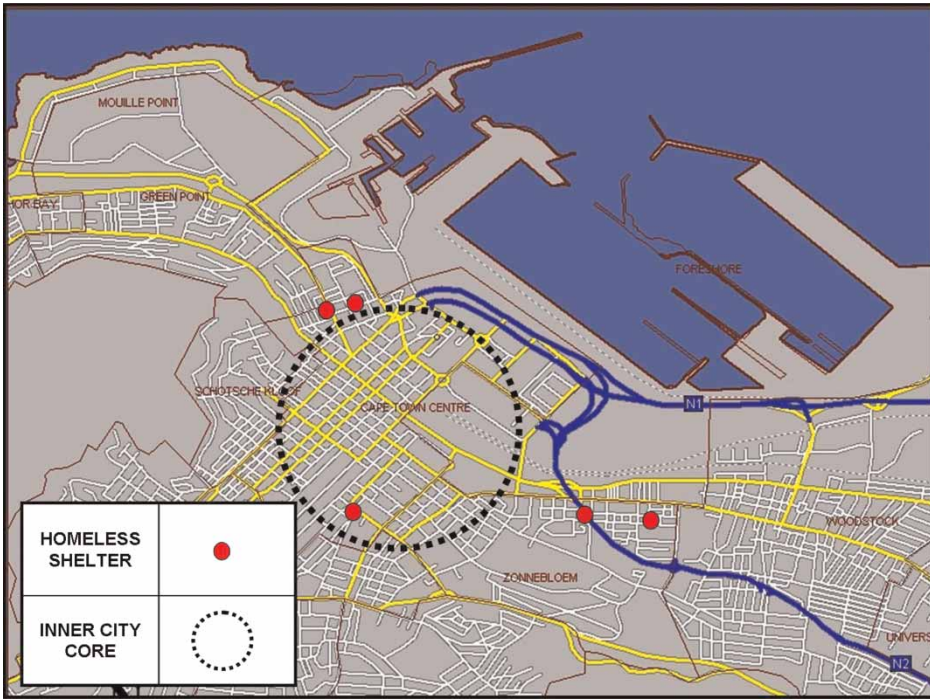


Figure 1: Shelter locations around Cape Town inner city

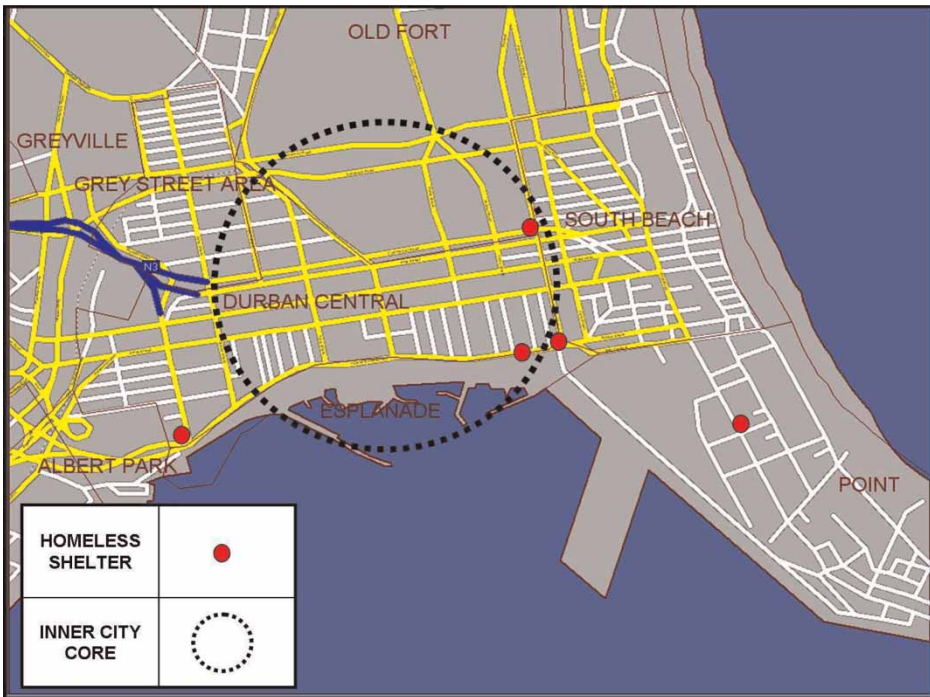


Figure 2: Shelter locations around Durban inner city

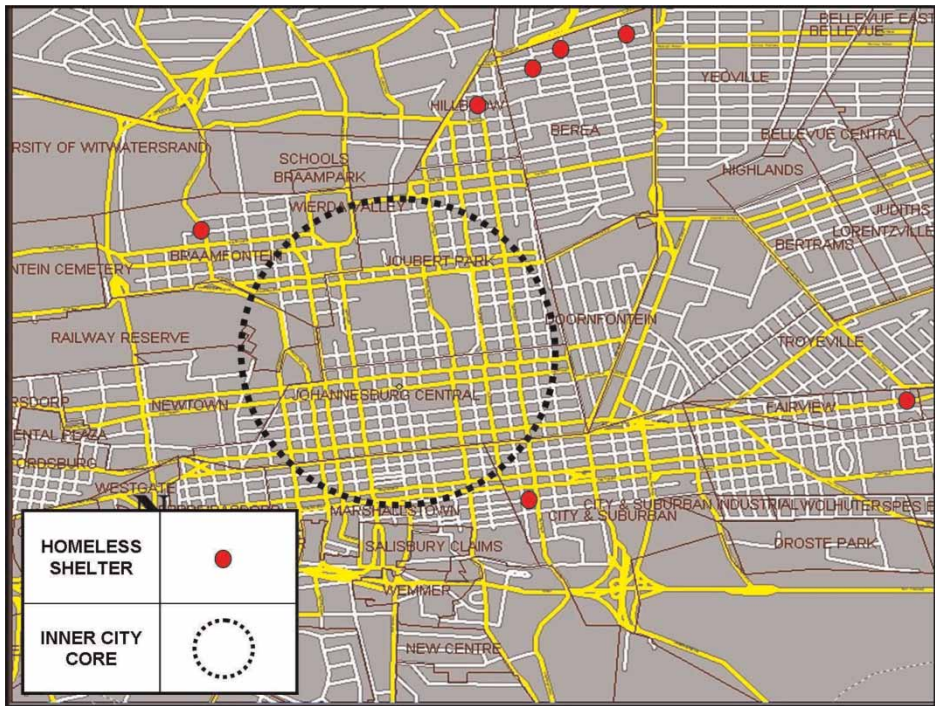


Figure 3: Shelter locations around Johannesburg inner city

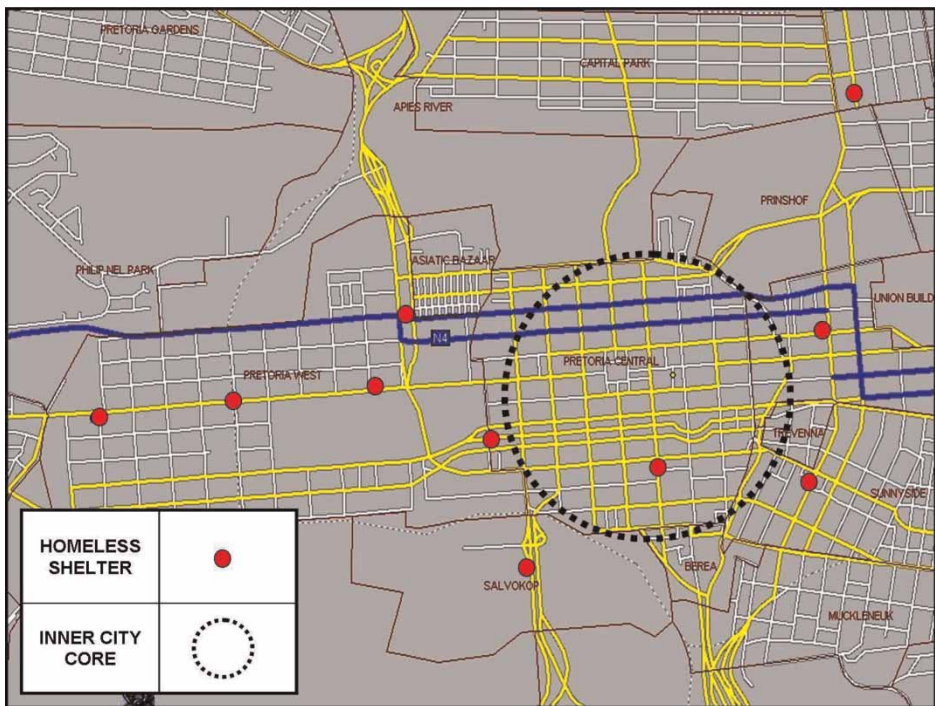


Figure 4: Shelter locations around Pretoria inner city

**Table 4: Responses to the question ‘What is the most important thing the municipality can do for you?’**

	Johannesburg		Tshwane		Total	
	Number	Percentage	Number	Percentage	Number	Percentage
Provide employment	84	55.3	164	52.9	248	53.7
Provide housing	56	36.8	101	32.6	157	34.0
Provide support	8	5.3	26	8.4	34	7.3
Other	4	2.6	19	6.1	23	5.0
Total	152	100.0	310	100.0	462	100.0

‘Provide support’ includes emergency assistance, primary healthcare, skills training, pension or grants.

**Table 5: Responses to the question ‘What kind of housing would you prefer and where should it be located?’**

Preferred housing type	Preferred location							
	Near town, factories, markets, or other homeless shelters		In a local suburb		In a local township		Total	
	Number	Percentage	Number	Percentage	Number	Percentage	Number	Percentage
Place in a homeless shelter	25	47.2	0	0.0	2	2.5	27	18.2
Affordable rental housing	10	18.9	3	21.4	15	18.5	28	18.9
Affordable self-owned housing	18	34.0	11	78.6	64	79.0	93	62.8
Total	53	100.0	14	100.0	81	100.0	148	100.0

## 6. Conclusion and recommendations

Indications are that the numbers of homeless persons in the South African metropolitan municipalities are likely to increase in the foreseeable future and so the way municipalities respond will become increasingly important in alleviating their plight. This paper examined local metropolitan government responses to homelessness, looking in particular at the municipal departments primarily responsible for dealing with the problem. The study took a critical social science perspective by problematising homelessness and critically examining municipal responses so as to be in a position to recommend improvements.

The literature reviewed here highlights the importance of looking at the relationship between homelessness and urban space and the need for providing affordable housing when considering municipal responses. Yet this research found that three of the four metropolitan municipalities in the sample viewed homelessness primarily as a social dependency issue, and as a result their responses focused on coordinating civil society



responses, putting in place a range of targeted interventions, and responding to public complaints. Although they were well prepared on a policy level, they were less prepared on the level of implementation, while officials thought that municipal responses were influenced by national and provincial governments more than any other factor. Homeless people echoed the findings in the literature by saying that the most important thing the municipality could do for them would be to provide employment and affordable housing in a location that would suit their needs.

Nkomo and Olufemi (2001) argue that homelessness is a multifaceted phenomenon requiring a holistic response that would include social interventions pertaining to health, education, skills, survival strategies, and so on. However, this study found that metropolitan municipalities might respond more effectively with spatial or physical interventions such as equitable urban planning and design, land use management that is more sensitive to the needs of the homeless, and affordable housing close to places of employment. No agency other than local government carries greater responsibility for such interventions. Wright forcefully argues the same:

To conceive of homelessness simply as a problem for the Department of Human Services or for charity ignores the role city officials, planners, and developers have in structuring city spaces that lead to the exclusion and repression of its poor. Few city officials understand homelessness as an issue of land use; most prefer the politically safe understanding of homelessness as a social welfare issue. It is not simply a question of building more housing, or even creating more jobs, although those are necessary, but it is a question of where these new housing units will be built and what type of jobs will be created . . . Shelters do not solve homelessness. Decent jobs, housing, and health care do. (Wright, 1997:308)

This study recommends that municipalities should:

- view homelessness primarily as an issue of lack of employment and affordable housing and locate the responsibility with local economic development and housing departments;
- consolidate existing homelessness policies, strategies and programmes and focus more on implementing and monitoring programmes, provided that such programmes include substantive spatial and physical interventions;
- provide shelters for the homeless and affordable rental housing close to inner cities, industrial parks and other places of employment, with affordable self-owned housing close to townships and other residential areas. It is important that housing is provided in places where there are actual employment opportunities, not just in zones of transition and other marginal urban spaces where shelters tend to get pushed into by market forces, poor urban planning and NIMBYism ('not-in-my-backyard'). Indeed, Social and Cooperative Housing are meant to provide housing near employment; and
- plan and design urban spaces and settlements to be more sensitive to the needs of vulnerable groups, including the homeless. This does not require a major overhaul of existing planning and design practices, only the political will and technical know-how to implement sustainable planning and design principles, such as mixed land uses facilitating greater social and economic opportunities, pedestrian and human-scale development, and 'crime prevention through environmental design'. Urban planning and design fields have much to offer in terms of such normative principles to alleviate the plight of the homeless.

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