

AGENDA
REGULAR MEETING OF THE
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
TELEPHONE CONFERENCE CALL
JULY 8, 2011

9:30 a.m. Executive Session Champ Hall Conference Room, Room 136

10:00 a.m. Regular Meeting Champ Hall Conference Room, Room 136

- Introductory Items
- Chair's Report
- President's Report
- Consent Agenda
- Action Agenda

**AGENDA
CHAIR'S REPORT
JULY 8, 2011**

	<u>Page</u>
A. <u>Information Items</u>	
1. Oath of Office for Jody K Burnett	
2. Committee Assignments for Jody K. Burnett (Athletics Committee and Honorary Degrees and Awards Screening Committee)	1
3. Updated Trustees Membership List	3
4. Report by ASUSU President, Trustee Mikkelsen	
5. Report by Alumni Association President, Trustee Burnett	
6. Report on Marketing/Public Relations Committee Meeting held June 7, 2011, Trustee Johnson	
7. Regents at USU, July 15, 2011	
8. Date of the Next Board of Trustees Workshop/Meeting, Uintah Basin	
B. <u>Other</u>	

**Board of Trustees Committee Assignments
2011 - 2012**

July 2011

1. Executive Committee

Suzanne Pierce-Moore, Chair
Ronald W. Jibson, Vice Chair
David P. Cook
Douglas S. Foxley
Richard L. Shipley

2. Athletics Committee

Scott R. Watterson, Chair
Jody K. Burnett
Erik D. Mikkelsen
(Staff Support–Scott Barnes)

3. Audit Committee

David P. Cook, Chair
Robert L. Foley, Vice Chair
Ronald W. Jibson
(Staff Support–David Cowley and
Jodi Bailey)

**4. Honorary Degrees and Awards
Screening Committee
(University Committee)**

Suzanne Pierce-Moore (expires 6/30/12)
Jody K. Burnett (expires 6/30/12)
Douglas S. Foxley (expires 6/30/11)
(Staff Support–Sydney Peterson)

5. Marketing/Public Relations Committee

Susan D. Johnson, Chair
Richard L. Shipley
Erik D. Mikkelsen
(Staff Support–John DeVilbiss, Annette
Herman Harder, Sydney Peterson)

6. Regional Campus System Committee

Robert L. Foley, Chair
Ronald W. Jibson
Frank Peczuh, Jr.
(Staff Support–Raymond Coward and
Ronda Menlove)

UTAH STATE UNIVERSITY BOARD OF TRUSTEES

2011-2012

Mr. Ronald W. Jibson, Chair USU Board of Trustees

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North Salt Lake City, UT 84054
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Mr. Scott R. Watterson, Vice Chair

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Mr. Robert L. Foley, Member

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Term: 2013

Mr. Douglas S. Foxley, Member

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Ms. Susan D. Johnson, Member

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Term: 2013

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Mr. Frank Peczuh, Jr., Member

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Term: 2014

Mrs. Suzanne Pierce-Moore, Member

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Mr. Richard L. Shipley, Member

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Term: 2011

Sydney M. Peterson

Secretary of the Board of Trustees
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(Note: Term expires 30 June of the year indicated except ASUSU President.)

AGENDA
PRESIDENT'S REPORT
July 8, 2011

	<u>Page</u>
A. <u>Information Item</u>	
1. Student Resolution of Commendation for Cory Larson	1
B. <u>Recent Events</u>	
1. USU Commencement, May 7, 2011	
2. CEU Price Commencement, May 7, 2011	
3. Governor's Education Commission, May 17, 2011	
4. Regents External Review, May 18-19, 2011	
5. Big Blue Bash at the Home, May 19, 2011	
6. Board of Regents at Weber State University, May 20, 2011	
7. USU Community Cabinet, May 23, 2011	
8. Salt Lake Chamber Governing Board, May 24, 2011	
9. Retirement Reception at the Home, June 1, 2011	
10. ISSRM Symposium Keynote at University of Wisconsin, June 7, 2011	
11. WAC Board of Directors Meeting in Park City, June 12-14, 2011	
12. APLU Council of Presidents Meeting in Washington, DC, June 15, 2011	
13. Sunrise Session (Presenter: Kent Tobiska), June 24, 2011	
14. Council of Presidents, June 28, 2011	
15. Governor's Education Excellence Commission, June 27, 2011	
16. Board of Trustees Meeting (teleconference), July 8, 2011	
C. <u>Upcoming Events</u>	
1. Northwest Commission on Colleges and Universities (NWCCU) Board of Commissioners in Seattle, Washington, July 11-13	
2. Board of Regents at USU, July 15, 2011	
3. Governor's Education Excellence Commission, July 26, 2011	
4. NCAA Presidential Retreat and Division I Board of Directors in Indianapolis, Indiana, August 9-11, 2011	
5. Touchdown Club Dinner, August 12, 2011	
6. Governor's Education Excellence Commission, August 15, 2011	
7. Department Heads Retreat, August 15, 2011	
8. RCDE and CEU Faculty Retreat, August 16, 2011	
9. New Faculty Training, August 16, 2011	

10. Engineering Annual Summer Retreat, August 17, 2011
11. RCDE Student Representative Retreat, August 19, 2011
12. Utah Campus Compact Executive Committee Meeting in Salt Lake City, August 17, 2011
13. Board of Trustees Workshop at Uintah Basin
14. First Day of Class, August 29, 2011
15. Council of Presidents, August 30, 2011
16. Football – USU at Auburn, September 3, 2011
17. Board of Trustees Workshop at Uintah Basin, September 9, 2011
18. Bonderman Event in Moab, September 10, 2011
19. Football – Weber State at USU, September 10, 2011

D. Other



RESOLUTION OF COMMENDATION

To

Cory Larsen

Throughout his life, Cory has lived by one of his favorite quotes from Jim Collins, “Greatness is not a function of circumstance. Greatness is largely a matter of conscious choice, and discipline.” This quote rings true with all that Cory has accomplished at Utah State University. He has made conscious choices that lead to greatness through his involvement in research, honor societies, ASUSU, professional organizations, service, Greek life, and even dancing with Utah State’s Full Circle dance company.

Research has been significant to Cory’s college career. Since 2007, he has worked with Rocky Mountain NASA Space Grant Consortium using flash thermography to detect subsurface writings in ancient documents. Cory brought recognition to Utah State by presenting his findings at poster sessions and symposiums. He has also performed research with the Idaho National Laboratory, where he co-developed parallel clustering algorithms.

In addition to his academic endeavors, Cory joined many campus organizations. In his sophomore year, Cory joined the Sigma Phi Epsilon fraternity and served as the Vice President of Programming. He was later selected as the Brother of the Year and chosen as one of Ten Brothers, among thousands nationwide, to represent the fraternity on a quest to Greece. Cory also served on the Student Council for the Professional Society for Electrical Engineers, as Corresponding Secretary for Tau Beta Pi (Honor Society for Engineers), and volunteered at countless University events.

Cory graduated in May 2011 from the concurrent Bachelors and Masters program in Electrical Engineering with minors in Math and Physics, and maintained a 3.92 GPA. His accomplishments have been recognized by several businesses, and he has accepted a position with Sandia National Labs, one of the nation’s premier research institutes, where he will develop controls for military grade missiles. Cory will be a great advocate for Utah State University as he continues to make conscious choices that lead to greatness.

Stan L. Albrecht
President
July 8, 2011

**CONSENT AGENDA
JULY 8, 2011**

	<u>Page</u>
1. Minutes of the Executive Session Held on May 6, 2011	1
2. Minutes of the Regular Meeting Held on May 6, 2011	3
3. Faculty and Staff Adjustments	19
4. Certificate of the Treasurer for March 2011	23
5. Certificate of the Treasurer for April 2011	27
6. Report of Investments for March 2011	31
7. Report of Investments for April 2011	63
8. Delegation/Administrative Reports for April 15, 2011 to May 13, 2011 (Quarterly Report)	79
9. Capital Development Priority List for FY 2012-2013 (Request to the Board of Regents)	95
10. Terminate Swanter EcoCenter's 401(k) Retirement Plan and the Former College of Eastern Utah's 401(a) Retirement Plan	105
11. Contract/Grant Proposals and Awards for April 2011	107
12. Contract/Grant Proposals and Awards for May 2011	117
13. Executive Session in conjunction with the Workshop at Uintah Basin, or the November 2011 meeting	

EXECUTIVE SESSION
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
MAY 6, 2011

Minutes of the Executive Session of the Utah State University Board of Trustees held in the Alma Sonne Board Room of the University Inn at 8:33 a.m.

MEMBERS PRESENT

Suzanne Pierce-Moore	Chair
Ronald W. Jibson	Vice Chair
Ralph W. Binns	
David P. Cook	
Robert L. Foley	
Douglas S. Foxley	(by phone)
Susan D. Johnson	
Erik D. Mikkelsen	
Frank Peczuh, Jr.	
Richard L. Shipley	
Scott R. Watterson	

UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President
Raymond T. Coward	Executive Vice President and Provost
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary

Chair Pierce-Moore conducted the meeting.

Personnel and property issues were discussed.

The Executive Session adjourned at 9:30 a.m.

Suzanne Pierce-Moore, Chairman

Sydney M. Peterson, Secretary

Date Approved

REGULAR MEETING
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
MAY 6, 2011

Minutes of the Regular Meeting of the Utah State University Board of Trustees held in the Alma Sonne Board Room of the University Inn at 9:36 a.m.

MEMBERS PRESENT

Suzanne Pierce-Moore	Chair
Ronald W. Jibson	Vice Chair
Ralph W. Binns	
David P. Cook	
Robert L. Foley	
Susan D. Johnson	
Erik D. Mikkelsen	
Frank Peczuh, Jr.	
Richard L. Shipley	
Scott R. Watterson	

MEMBER EXCUSED

Douglas S. Foxley

UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President
Raymond T. Coward	Executive Vice President and Provost
Robert Behunin	Vice President for Commercialization and Regional Development
Noelle Cockett	Vice President and Dean of Extension and Agriculture
David T. Cowley	Vice President for Business and Finance
M. Kay Jeppesen	Vice President and CIO for Information Technology
C. Brent Miller	Vice President for Research
James D. Morales	Vice President for Student Services
Neil Abercrombie	Director for Federal and State Relations
John C. Allen	Dean of the College of Humanities and Social Sciences
Scott Barnes	Director of Athletics
Edmund (Butch) Brodie	Interim Head of the Department of Biology
David Clark	Director of Business Development for Commercialization and Regional Development
Dwight Davis	Associate Vice President for Auxiliary Services
John DeVilbiss	Executive Director of Public Relations and Marketing

Johan Du Toit	Head of the Department of Wildland Resources
Nat Frazer	Dean of the College of Natural Resources
Bradford J. Hall	Head of the Department of Languages, Philosophy and Speech Communication
Bill Jensen, Jr.	Vice President of the Professional Employees Association
Bruce E. Miller	Head of the Department of Agricultural Systems Technology and Education
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Curt Roberts	Associate Vice President for Commercialization and Regional Development
Mira G. Thatcher	Secretary
Christian Volmar	Director of Business Development, Commercialization and Regional Development
Vincent B. Wickwar	President of Faculty Senate

OTHERS PRESENT

Benjamin Brown	Student receiving the Student Resolution of Commendation
Diana and John Brown	Parents of Benjamin Brown
Teresa Theurer	Utah State Board of Regents
Nicole Tolson	Wife of Tyler Tolson
Tyler Tolson	Former Trustee and Student Body President

MEMBERS OF THE MEDIA PRESENT

Rachel Christensen	<i>The Cache Valley Daily</i>
Kevin Opshal	<i>The Herald Journal</i>

Chair Pierce-Moore conducted the meeting and excused Trustee Foxley. She welcomed those present including Regent Theurer, faculty and staff representatives, and former Trustee and ASUSU President, Tyler Tolson, and his wife Nicole.

I. Chair's Report

A. Oath of Office for Erik D. Mikkelsen

Chair Pierce-Moore administered the oath of office to Erik D. Mikkelsen, new ASUSU President and member of the Board of Trustees.

B. Committee Assignments for Erik D. Mikkelsen

Trustee Mikkelsen was assigned to serve on the Athletics Committee and on the Marketing/Public Relations Committee (see Appendix A).

C. Resolution of Commendation and Appreciation to Tyler L. Tolson

Chair Pierce-Moore read the Resolution of Commendation and Appreciation to Tyler L. Tolson who served an unprecedented two years as President of ASUSU and on the Board of Trustees (Appendix B). Mr. Tolson stated that it was an honor to serve at USU.

D. Resolution of Commendation and Appreciation to Ralph W. Binns

Trustee Binns' term as President of the Alumni Association will end on June 30, 2011. Chair Pierce-Moore read the Resolution of Commendation and Appreciation to Trustee Binns (Appendix C) and expressed appreciation for his service.

E. Discussion of Election Process

The Trustees discussed whether to continue with the current practice of the outgoing members of the Board participating in the election of new officers, or whether incoming Trustees should vote for the new officers. The practice of outgoing Trustees voting has been used since 2005. Chair Pierce-Moore indicated that she would have felt uncomfortable electing the chair and vice chair as a new board member.

Trustee Cook said that when he was a new Trustee, he felt honored to be associated with USU and took seriously the fiduciary responsibility. The Board at that time decided on the process of having the existing Board, with its commitment to duties and its knowledge of other Board members, elect the officers. In considering the best practice for Board governance and election of Board officers, he suggested that the best Board governance would be to continue to have the current Board members elect officers rather than new members. He added that the process could possibly be improved by forming a nominating committee comprised of Trustees nearing the end of their terms of office, the outgoing Chair, and the Secretary of the Board. Members of the committee could interview each Trustee to determine who would be willing and available to serve. Everyone on the Board would be ensured a candid discussion with members of the committee.

Vice Chair Jibson expressed his support of the recommendation to form a nominating committee. He said that is consistent with elections in corporations and non-profit organizations and the nominating process is structured, organized, and works well.

Secretary of the Board, Sydney Peterson, stated that in the last 20 years, nominating committees were assigned on two different occasions. Concerning the history of elections, in the 1990s, the new Board members elected the new officers, but in recent years, the existing Board has elected the new officers. The recommendations made by the Trustees will be considered.

F. Election of New Chair and Vice Chair

Trustee Cook nominated Ronald W. Jibson to serve as Chair of the USU Board of Trustees, and Scott R. Watterson to serve as Vice Chair, effective July 1, 2011. Trustee Foley seconded the nominations, and the voting was unanimous in the affirmative.

President Albrecht expressed appreciation to Chair Pierce-Moore for her dedicated service as Chair of the Board and presented her with the engraved gavel which she used while she was Chair.

G. Executive Committee

Chair Pierce-Moore suggested that the Executive Committee remain the same until a future meeting when elections for the new Executive Committee will be held.

H. ASUSU Report

Trustee Mikkelsen reported on the following (see Appendix FF):

- The new ASUSU officers were inaugurated and will make plans during the summer in order to be prepared for Fall semester.
- The 2011-2012 ASUSU budget is \$900,000. \$12,000 was reallocated to increase funding for the USU Spirit Squad and student clubs and organizations.
- The student group, STEPS, the Japan Club, and other student groups helped to raise \$15,000 for Japan relief.
- The Student Alumni Association teamed with the Service Center for A-Week.
- The Date Doctor, David Coleman, gave great advice to students who packed the Taggart Student Center Ballroom for his presentation.
- A concert was held featuring New Zealand's Reggae band, Katchafire. It brought in \$1,650 to be used for sophomore students who have no other scholarships.
- The architectural firm, CRSA, will conduct a feasibility study for the Aggie Recreation and Community Center. USU representatives will travel to Chicago to visit state-of-the-art recreation centers, and to Oregon to see Astroturf.
- End of Year Bash was held with Sean Kingston performing and 700 North was renamed Aggie Bull-evard.

- Aggie BLUE Fall Leadership planning is underway with plans to get more students involved.

I. Alumni Association Report

Trustee Binns reported on the following Alumni Association events (see Appendix EE):

- Membership in the Student Alumni Association has increased.
- License plate sales have increased.
- Annual and Life memberships have increased. Trustee Binns encouraged everyone to become a member of the Alumni Association.
- Web sites and e-mail help to decrease the expense of postage.
- Merit citations help to foster the Aggie spirit in individuals, their families, and their communities. These events help to get the Aggie story out. Trustee Binns asked those present to submit names of individuals who could receive citations.
- Alumni Relations is working well with Annette Herman Harder, new COO for University Advancement.

J. Report on Regional Campus System Committee Meeting

Robert Foley, Chair of the Regional Campus System Committee, reported that the Committee met that morning. They discussed the following:

- Regional Campus 2011 graduation ceremonies. He said that students, families, and the communities are excited about graduation in those areas. Trustee Cook stated that the speech given by Yolanda Flores Nieman at the Brigham City graduation was motivating and outstanding, and Trustee Johnson stated that it was one of the best she had heard.
- Advisory Boards.
- Growth of Regional Campuses and its importance to USU.

K. Utah State Board of Regents at USU

The Utah State Board of Regents will hold meetings on the USU campus on July 15. Trustees will be invited to attend.

L. 2011 USU Commencement

Trustees received packets containing materials concerning Commencement and college ceremonies to be held May 7.

II. President's Report

A. Report on Commercialization and Regional Campus Development

President Albrecht stated that a few years ago, a vice president for Strategic Ventures and Economic Development was appointed. That area has been dramatically restructured with the appointment of Rob Behunin as Vice President for Commercialization and Regional Development. Several changes have been made, and Vice President Behunin has brought together an outstanding team. Utah Science, Technology and Research (USTAR) has been divided into the areas of bioinnovations, earth and space innovations, and energy innovations. The three categories are: (1) commercial enterprises, (2) the Innovation Campus, (2) and regional development including the areas of Uintah Basin and Price as well as other areas of the state. An Executive Committee, chaired by the Provost, will review all recommendations for new USTAR hires. A Facilities Management team has been created which will consider USTAR building space in order to place faculty and research operations appropriately.

Vice President Behunin expressed appreciation to President Albrecht for his confidence. He said he enjoyed working in the Uintah Basin for eight years. He worked with Trustee Foley and others in the community and expressed appreciation for their trust in him in this assignment.

Vice President Behunin reviewed the organization chart (Appendix D), and said an excellent new team is in place, including the following individuals who introduced themselves:

- Curt Roberts, Associate Vice President for Commercialization and Regional Development. He grew up in Utah, went to Weber State as an undergraduate, then went to Harvard for his MBA. He worked for a consulting company for five years; was CEO of a health system in Eugene, Oregon; was a vice president with Nike; then returned to Weber State as Vice Provost for Innovation and Economic Development, USTAR Director for Northern Utah.
- Christian Volmar worked the last three years with the USTAR Northern Utah program. He said he is excited to come to USU. He earned an MBA in Massachusetts. He worked with technology companies to help raise venture capital, and he worked for two years with a small start-up technology company.
- David Clark has been working with the Jon M. Huntsman School of Business. He went to BYU and earned an undergraduate degree in Biology, then he received a Masters degree in Agronomy from the University of Illinois, and an MBA from the U of U. Half of his career has been in agricultural biotechnology, and the other half in human biotechnology. He worked with a biotechnology company in Salt Lake City. Three years ago he was contacted by Ned Weinschenker and had

an opportunity to work with the Jon M. Huntsman School of Business program. He said he is excited about this opportunity to work with Vice President Behunin.

Vice President Behunin said others on the team include Forrest Fackrell from the Research Foundation who helps in sensing and imaging and Wesley Smith who moves technology into businesses.

Vice President Behunin stated that Intellectual Properties Services has been restructured. Ray DeVito in Physical Science and Joseph Christison in Life Sciences were retained. They are also hiring Ryan Brady, an attorney who has been working in Washington, D.C. with Nike.

Vice President Behunin briefly reviewed (see Appendix D):

- USTAR activities since January 1, 2011.
- Commercial Enterprises activities since January 1, 2011.
- Regional Development activities since January 1, 2011

Vice President Behunin stated that Commercialization and Regional Development is a unified unit. The goal is not to infiltrate, but to be complementary and provide new opportunities and resources. The focus will be partnerships and building on partnerships. He expressed appreciation to President Albrecht and Provost Coward for their support.

B. USU GREATS

A copy of *USU Greats* was distributed (Appendix E).

C. Recent Events

1. College Legislative Outcome Visits, March 21-April 13, 2011
2. Salt Lake Chamber Governing Board, March 22, 2011
3. Valley Wide Spelling Bee Competition, March 23, 2011
4. USU Community Cabinet, March 24, 2011
5. Board of Regents Retreat in St. George, March 24-25, 2011
6. Research Week, March 28-31, 2011
7. Employee Recognition Banquet, March 30, 2011
8. Student Advisory Council Breakfast, March 31, 2011
9. Sunrise Session, April 1, 2011
10. Stater's Council, April 7, 2011
11. Local Legislators Appreciation Dinner, April 7, 2011
12. USU Board of Trustees Teleconference, April 9, 2011
13. Spirit Squad/Marching Band/Pep Band Reception at the Home, April 12, 2011
14. ASUSU Breakfast with Incoming and Outgoing Officers at the Home, April 14, 2011

15. Community Associates, April 14, 2011
16. USU Foundation Board Meetings, April 15-16, 2011
17. Beverley Sorenson Arts Endowment Celebration, April 15, 2011
18. Robin's Awards Reception and Dinner, April 16, 2011
19. K-16 Alliance, April 19, 2011
20. Governor and USHE Presidents, April 20, 2011
21. Commissioner Sederburg and USHE Presidents, April 20, 2011
22. Greek Leadership Reception at the Home, April 20, 2011
23. President Milton Glick Memorial Service in Reno, Nevada, April 21, 2011
24. Governor's Education Commission, April 25, 2011
25. Salt Lake Chamber Governing Board, April 25, 2011
26. Whitesides Scholar Athlete Luncheon, April 26, 2011
27. NCAA Division I Board of Directors Meeting in Indianapolis, Indiana, April 28, 2011
28. 700 North Ribbon Cutting and Unveiling, April 29, 2011
29. Uintah Basin Commencement, April 30, 2011
30. USU Board of Trustees Meeting, May 6, 2011
31. USU Graduate Commencement and Hooding, May 6, 2011
32. USU Commencement Dignitary Dinner, May 6, 2011
33. USU Commencement, May 7, 2011

D. Upcoming Events

1. Governor's Education Commission, May 17, 2011
2. Regents External Review, May 19, 2011
3. Big Blue Bash at the Home, May 19, 2011
4. Board of Regents at Weber State University, May 20, 2011
5. USU Community Cabinet, May 23, 2011
6. Salt Lake Chamber Governing Board, May 24, 2011
7. RCDE Ground Breaking, May 24, 2011
8. Retirement Reception at the Home, June 1, 2011
9. ISSRM Symposium Keynote at University of Wisconsin, June 7, 2011
10. WAC Board of Directors Meeting in Park City, June 12-14, 2011
11. Sunrise Session, June 24, 2011
12. Council of Presidents, June 28, 2011
13. Board of Trustees Meeting, July 8, 2011

III. Consent Agenda

Minutes of the Executive Session Held on April 8, 2011

Minutes of the Regular Meeting Held on April 8, 2011

Resolution 11-5-1 Faculty and Staff Adjustments (Appendix F)

Resolution 11-5-2 Certificate of the Treasurer for February 2011 (Appendix G)

Resolution 11-5-3 Report of Investments for February 2011 (Appendix H)

Resolution 11-5-4 Delegation/Administrative Reports for February 11, 2011 to March 18, 2011 (Appendix I)

Resolution 11-5-5 Delegation/Administrative Reports for March 18, 2011 to April 15, 2011 (Appendix J)

Resolution 11-5-6 Bad Debt Write-off Recommendation for Fiscal Year Ending 30 June 2011 (Appendix K)

Resolution 11-5-7 Real Property Acquisition (Moab, Utah, former City Hall building) (Appendix L)

Resolution 11-5-8 Contract/Grant Proposals and Awards for February 2011 (Appendix M)

Resolution 11-5-9 Contract/Grant Proposals and Awards for March 2011 (Appendix N)

Acceptance of the following reports:

- Academic/Provost (Appendix O)
 - Faculty and Staff Activities and Achievements (a complete list is on the web page at <http://www.usu.edu/trustees/agenda/2011>)
- Business and Finance (Appendix P)
 - Department of Public Safety Annual Report for 2011 (Appendix Q)
- Commercialization and Regional Development (Appendix R)
- Extension/Continuing Education (Appendix S)
- Federal and State Relations (Appendix T)
- Information Technology (Appendix U)
- Research (Appendix V)
 - Undergraduate Research Report (Appendix W)
 - Office of Global Engagement – International Research Activities (Appendix X)
- Student Services (Appendix Y)
- University Advancement (Appendix Z)
 - Campaign Impact Report (Appendix AA)
 - Monthly Progress Report (Appendix BB)
 - Monthly Gift Comparison (Appendix CC)
- USU-CEU (Appendix DD)
- Alumni Relations (Appendix EE)
- ASUSU (Appendix FF)
- Athletics (Appendix GG)
- Public Relations and Marketing (Appendix HH)
 - Public Relations and Marketing Performance Dashboard, (Appendix II)
- Faculty Senate (Appendix JJ)
- Professional Employees Association (Appendix KK)
- Classified Employee Association (Appendix LL)

Executive Session to be held on July 8, 2011, to discuss those items which are permitted by law to be discussed in Executive Session.

Action: Trustee Shipley moved approval of the Consent Agenda, and Trustee Foley seconded the motion. The voting was unanimous in the affirmative.

IV. Action Agenda

A. Proposal from the Department of Nutrition, Dietetics and Food Sciences, College of Agriculture, to Suspend Enrollment in the Biotechnology Emphasis of the Bachelor of Science Degree in Nutrition, Dietetics and Food Sciences, Effective Immediately

Vice President Cockett said the Biotechnology Emphasis of the Bachelor of Science degree in Nutrition, Dietetics and Food Sciences was an emphasis which was created across three departments in the College of Agriculture and several classes were identified as biotechnology classes. The term has been so well accepted that there is no need for a separate emphasis. The students who have completed their degrees do not want Biotechnology Emphasis on their diplomas. In the last five years, there has been only one student in the program.

Action: Trustee Foley moved approval of Resolution 11-5-10 the proposal from the Department of Nutrition, Dietetics and Food Sciences, College of Agriculture, to suspend enrollment in the Biotechnology Emphasis of the Bachelor of Science Degree in Nutrition, Dietetics and Food Sciences, effective immediately (Appendix MM). Trustee Cook seconded the motion, and the voting was unanimous in the affirmative.

B. Proposal to Create a School of Applied Sciences, Technology and Education, College of Agriculture, Effective Fall 2011

Provost Coward indicated that the creation of a School of Applied Sciences, Technology and Education was precipitated by USU's merger with CEU. There are a number of applied science and technology programs and the decision was made to provide faculty with an academic home by creating a School of Applied Sciences, Technology and Education. He stated that the College of Agriculture has this program already, and combining with CEU, it is large enough to be called a school.

Vice President Cockett stated that adding the programs at CEU is an exciting opportunity for the College of Agriculture and Agriculture Systems Technology and Education (ASTE). Funding was provided by the Office of Higher Education. Meetings have been held with administrators at CEU. Agriculture has teacher education programs for training teachers in technology. She said they can bring greater value by creating the school.

Bruce Miller, Head of the Department of ASTE, stated that this is partitioned into the Associate of Applied Sciences program and ranges from bachelors to Ph.D. programs in teacher training that focus on career technology education.

Action: Trustee Shipley moved approval of Resolution 11-5-11 the proposal to create a School of Applied Sciences, Technology and Education, College of Agriculture, effective Fall 2011 (Appendix NN). Trustee Cook seconded the motion, and the voting was unanimous in the affirmative.

C. Proposal to Offer a Bachelor of Science (BS) Degree in Global Communication, Department of Languages, Philosophy and Speech Communication, College of Humanities and Social Sciences, Effective Fall 2011

Provost Coward stated that the Bachelor of Science degree in Global Communication is an exciting program and fits with USU's efforts to expand the global reach of its students.

Dean Allen said global diversity is a core experience the College of Humanities and Social Sciences wants for its students. The students in the program will study communication, a second language, ethics, culture, and world affairs.

Bradford Hall, Head of the Department of Languages, Philosophy and Speech Communication, stated that this started as discussions with students and faculty. Many students want to graduate with dual minors combined with language communication. This program will provide a unique opportunity for students at USU that is not available elsewhere.

Action: Vice Chair Jibson moved approval of Resolution 11-5-12 the proposal to offer a Bachelor of Science (BS) Degree in Global Communication, Department of Languages, Philosophy, and Communication, College of Humanities and Social Sciences, effective Fall 2011 (Appendix OO). Trustee Watterson seconded the motion, and the voting was unanimous in the affirmative.

D. Program Review for the Industrial Hygiene Program, Department of Biology, College of Science

Provost Coward stated that experts from around the country performed an intensive review of the Industrial Hygiene program. He said this is a stellar program in the College of Science.

Edmund Brodie, Interim Head of the Department of Biology, stated that the Industrial Hygiene program review could not have been better, and that he is proud of the program. It is nationally recognized because it is strong in the sciences, which sets it apart from other programs around the country, and because it is within the College of Science. Courses include biology, chemistry, physics, and calculus. He said that students in this program can compete with the best. It is a bachelor's degree and most of the students are juniors and seniors. They are well prepared and can compete with masters' students from other programs.

Dr. Brodie announced that the American Industrial Hygiene Association selected the USU student chapter as the Outstanding National Chapter of the Year. The runners up were Purdue and Michigan.

Action: Trustee Binns moved to approve Resolution 11-5-13 the program review for the Industrial Hygiene Program, Department of Biology, College of Science (Appendix PP), and Vice Chair Jibson seconded the motion. The voting was unanimous in the affirmative.

E. Program Review for Rangeland Resources and Forestry Programs, Department of Wildland Resources, College of Natural Resources

Provost Coward stated that the Rangeland Resources and Forestry program is one of the strongest on campus. It has a strong undergraduate program, a strong graduate program, productive research, and an outreach program working in Extension. He said he is delighted that an outside accrediting agency confirmed that it is a program of great quality.

Dean Frazer stated that the review of the department was positive, and in fact, the two professional organizations that conducted the reviews are using this department as a model. The department excels in graduate education and research, and brings in \$6 million in contracts and grants. He said that students in Rangeland Resources and Forestry consistently earn first, second, and third places at national competitions.

Johan Du Toit, Head of the Department of Wildland Resources, stated that some of the recommendations that were made by the reviewers have already been adopted.

Trustee Watterson noted that this success story should be publicized. Trustee Binns suggested that individuals or alumni in this field could receive merit citations at Alumni Association events and receive positive publicity.

Action: Trustee Foley moved approval of Resolution 11-5-14 the program review for the Rangeland Resources and Forestry Programs, Department of Wildland Resources, College of Natural Resources (Appendix QQ), and Trustee Watterson seconded the motion. The voting was unanimous in the affirmative.

F. Utah State University 2011-2012 Budgets

Vice President Cowley reviewed the state appropriated budget revenue sources (Appendix RR). Last year the ongoing base budget was \$158.7 million. The 2011 Legislature mandated cuts resulting in a \$4 million cut for USU for FY 2012 compared to FY 2011 – \$3 million general cut and a \$1 million cut for health benefits. On-going funding for the new veterinary medicine degree will add back an additional \$1.7 million, so USU is down just over \$2 million from last year. Vice President Cowley said they are in the process of determining how to implement the cuts beginning FY 2012. Plans will be complete in a couple of months.

One-time money in the FY 2012 state tax funds budget is primarily related to the Arrhythmia project, with smaller amounts allocated to the arts, and financial literacy. The tuition budget is up significantly from last year. It assumes flat growth across the

institution as projected growth in enrollment is not budgeted. Last year, the tuition budget was \$90 million. The \$15 million increase is not all related to this year's tuition increase. It does reflect the 9% tuition increase for FY 2012, but also includes tuition from the previous year which will now be allocated for the implementation of the reduction to the structural deficit USU had faced from an enrollment decline that occurred several years prior. Vice President Cowley indicated that last year not all of Regional Campus tuition was included in the budget, but all of the expected tuition is now included in the budget.

Vice President Cowley indicated that federal funds are down about \$4 million, which is a reflection of one-time federal stimulus money. The total budget is \$270 million. There are 13 line items.

Action: Trustee Foley moved approval of Resolution 11-5-15 the Utah State University 2011-2012 Budgets (Appendix RR), and Vice Chair Jibson seconded the motion. The voting was unanimous in the affirmative.

G. Faculty and Staff Adjustment

Provost Coward recommended the appointment of Alan H. Savitzky as Head of the Department of Biology in the College of Science and Professor with tenure. He indicated that Dr. Savitzky is an award-winning teacher and past president of two professional associations.

Action: Trustee Watterson moved approval of Resolution 11-5-16 the Faculty and Staff Adjustment (Appendix SS), and Trustee Binns seconded the motion. The voting was unanimous in the affirmative.

V. Strategic Agenda – Institutional Data and Dashboards: Informing Discussion and Decision-Making

President Albrecht said we have the challenge of identifying peer institutions that compare with USU which will be approved by the State Board of Regents. He said the presentation on data and dashboards will lead into a discussion on peer institutions.

A handout titled *Utah State University at a Glance* (Appendix TT) was distributed by John DeVilbiss, the Director of Public Relations and Marketing.

Each year, because of the Banner Software and other data management and measurement systems, USU collects millions of pieces of data. The Office of Analysis, Assessment and Accreditation (AAA) seeks to aggregate and analyze the data and make it accessible to key audiences and stakeholders for reporting, discussion, and decision-making. Dashboards are a high-level tool to monitor and manage the progress of an institution, but successful use of dashboards requires careful choices: the highest priority measures to track; appropriate benchmarks; the most appropriate peers to use for comparison; when to

review or analyze individual years versus trends versus ratios; and how dashboards connect to mission fulfillment and achievement of strategic goals.

Michael Torrens, Director of AAA, led the discussion concerning dashboards. He explained that a dashboard provides “at a glance” information. He presented and reviewed examples of different types of dashboard measures (Appendix UU) including:

- Goal or threshold measures – time limited, often for internal audiences as much as for external audiences.
- Trend measures – sensitive to beginnings and endings.
- Benchmarking to peers.
- Benchmarking to internal goals.
- Comparing multiple measures.
- Ratios – sensitive to definitions so it is important to define what is being compared.

Director Torrens said much of the information provided by AAA is used internally. Trustee Johnson indicated that the dashboard information is exactly what prospective students and their parents want when they research schools. She suggested that the information be accessible to the public. She said that data tells the story of an institution and would promote business. Provost Coward stated that Public Relations and Marketing takes the information provided by AAA and puts it in a form that is interesting and presentable to the larger audience.

Chair Pierce-Moore indicated that information provided in the dashboards would be used to help determine peer institutions. Director Torrens showed dashboards which compared “at a glance” different ways to compare institutions. President Albrecht said he anticipated that peer institutions will be approved by the Board of Regents at its July meeting, so USU should develop a list. Trustee Cook mentioned that recruitment, retention, and outcome (placement) are critical measurements in considering peer institutions.

Director Torrens said he appreciated the Regents providing an opportunity for USU to have input in this important decision.

(The discussion continued during lunch – see action below)

VI. Student Resolution of Commendation to Benjamin Brown

Trustee Mikkelsen read the Resolution of Commendation to Benjamin Brown, an outstanding student who will graduate with a Bachelor of Science in Biochemistry (Appendix VV). Trustees extended their congratulations to Mr. Brown.

VII. Archeology at USU

Trustee Shipley stated that USU's Paleoindian archeology research project in Southeastern Idaho and Northern Utah was recognized in *Mammoth Trumpet*, published by the Center for the Study of the First Americans (based at Texas A&M University), in an article titled "Bonnie Pitblado: In Pursuit of Paleoamericans."

VIII. Peer Institutions (Strategic Agenda Discussion Continued)

The Trustees continued their discussion of peer institutions with Michael Torrens during lunch, and a list of suggested peer institutions was given to the Trustees (Appendix WW).

Action: Trustee Shipley moved to accept the list of peer institutions (Appendix WW), and Vice Chair Jibson seconded the motion. The voting was unanimous in the affirmative.

The meeting ended at 12:20 p.m.

Suzanne Pierce-Moore, Chairman

Sydney M. Peterson, Secretary
(Minutes Taken by Mira G. Thatcher)

Date Approved

08 July 2011

ITEM FOR ACTION

RE: Faculty and Staff Adjustments

The attached faculty and staff adjustments are submitted for the Trustees consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The faculty and staff adjustments include ten (10) changes in title or assignment; and three (3) new appointments.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees approve the attached faculty and staff adjustments.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The President and the Provost recommend that the Board of Trustees approve ten (10) changes in title or assignment; and three (3) new appointments;

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approve the recommendation of the faculty and staff adjustments.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

Faculty and Staff Adjustments

a. Change in Title or Assignment

Office of the Executive Vice President and Provost

Michelle B. Larson, Assistant Provost, to be Vice Provost, effective 01 July 2011. No change in salary.

Ronda R. Menlove, Vice Provost and associate professor, to be Senior Vice Provost, effective 01 July 2011. No change in salary.

Laurens H. Smith, Jr., Vice Provost and professor, to be Executive Senior Vice Provost, effective 01 July 2011. No change in salary.

Vice President for Student Services

John D. Mortensen, Registrar, to be Assistant Vice President for Enrollment Services and Retention, effective 1 July 2011. Change in salary to \$95,000/12-month.

Linda Zimmerman, Interim Director of the Student Involvement and Leadership Center, to be Executive Director of the Student Involvement and Leadership Center, effective 1 July 2011. Salary to be \$65,000/12-month.

Emma Eccles Jones College of Education and Human Services

Scot M. Allgood, Interim Department Head and associate professor, department of Family, Consumer and Human Development, Emma Eccles Jones College of Education and Human Services, to be Department Head of the same, effective 01 July 2011. Change in salary to \$105,000/12-month.

Steven T. Hawks, Associate Dean and Executive Director, Regional Campuses and Distance Education, Moab Education Center, to be Professor with tenure, department of Health, Physical Education, and Recreation, Emma Eccles Jones College of Education and Human Services, effective 01 July 2011. B.A. Brigham Young University, 1982; M.A. Brigham Young University, 1985; M.B.A. Brigham Young University, 1985; Ed.D. Brigham Young University, 1990. No change in salary.

Travis R. Peterson, Associate Vice Provost and Executive Director of Academic Program Development, Regional Campuses and Distance Education, to be Associate Professor with tenure, department of Health, Physical Education, and Recreation, Emma Eccles Jones College of Education and Human Services, effective 05 June 2011. B.S. Utah State University, 1995; M.S. Brigham Young University, 1997; Ph.D. Brigham Young University, 2001. No change in salary.

College of Natural Resources

Mark W. Brunson, Interim Department Head and professor, department of Environment and Society, College of Natural Resources, to be Department Head of the same, effective 01 July 2011. Salary to be \$120,000/12-month.

College of Science

Daniel W. Watson, Associate Department Head and associate professor, department of Computer Science, College of Science, to be Interim Department Head of the same, effective 01 July 2011, replacing Donald Cooley. Salary to be \$116,157/12-month.

b. New Appointment

President's Office

Mark R. McLellan, Vice President for Research and Dean of the School of Graduate Studies, effective 1 July 2011, replacing Brent C. Miller and Byron R. Burnham. B.S. University of Massachusetts Amherst, 1976; M.S. Michigan State University, 1978; Ph.D. Michigan State University, 1981. Salary to be \$225,000/12-month.

Jon M. Huntsman School of Business

William F. Shughart II, Professor with tenure, and Chair, J. Fish Smith Professor in Public Choice, Department of Economics and Finance, Jon M. Huntsman School of Business, effective 15 August 2011. B.A. Texas A&M University, 1969; M.S. Texas A&M University, 1970; Ph.D. Texas A&M University, 1978. Salary to be \$200,000/9-month.

College of Science

Nancy J. Huntley, Professor with tenure, Department of Biology, College of Science, and Director of the Ecology Center, effective 01 October 2011. B.A. Kalamazoo College, 1977; Ph.D. University of Arizona, Tucson, 1985. Salary to be \$130,000/12-month.

ITEM FOR ACTION

RE: Certificate of Treasurer for March 2011

The attached Certificate of Treasurer for March 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 31 March 2011 totaled \$285,954,945, up \$36,369,917 (14.57%) over the same 2009-2010 period. The year-to-date state appropriated funds expenditures totaled \$190,832,639, up \$25,218,123 (15.23%) over the same 2009-2010 period and represented 67% of the total budget. The percent of budget expended, 67%, was 8% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$466,251,116, up \$44,725,735 (10.61%) over the same 2009-2010 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for March 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer; and

WHEREAS, Expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments; and

WHEREAS, The expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims; and

WHEREAS, Vice President Cowley requests approval of the listed expenditures by fund for the period 1 July 2010 to 31 March 2011 on the attached Certificate of Treasurer; and

WHEREAS, The President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for March 2011.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

CERTIFICATE OF TREASURER

I, David T. Cowley, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2010 to 31 March 2011.

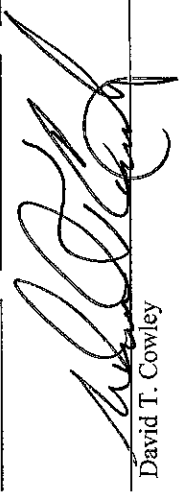
Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (75% Fiscal Year Expired)	1-Mar-11		Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
			31-Mar-11	Year to Date				
Education and General (Except Athletics) Athletics	\$173,200,394	71%	\$14,059,654	\$122,193,653	\$117,657,969	\$4,535,684	3.85 %	
Agricultural Experiment Station	3,862,027	76%	328,648	2,916,808	2,386,942	529,866	22.20 %	
UWRL Appropriation	15,827,415	53%	941,394	8,312,698	8,118,443	194,255	2.39 %	
UWRL Apportionment	3,158,410	41%	162,782	1,287,778	1,053,369	234,409	22.25 %	
Tooele - Cont. Ed. Center	5,706,506	41%	233,981	2,348,326	2,618,313	(269,987)	(10.31) %	
Educationally Disadvantaged	8,619,067	80%	431,554	6,923,055	5,753,532	1,169,523	20.33 %	
Southeastern Utah - Cont. Ed. Center	267,366	77%	(1,612)	206,512	199,595	6,917	3.47 %	
Utah Basin - Cont. Ed. Center	1,396,675	82%	102,126	1,139,416	1,063,843	75,573	7.10 %	
Cooperative Extension	5,888,173	58%	349,549	3,423,956	3,856,379	(432,423)	(11.21) %	
Brigham City - Cont. Ed. Center	13,453,729	61%	888,960	8,228,119	8,599,163	(371,044)	(4.31) %	
USTAR	17,365,605	85%	576,245	14,691,743	10,837,331	3,854,412	35.57 %	
Price (USU-CEU)	16,781,006	37%	802,731	6,205,479	3,469,637	2,735,842	78.85 %	
Blanding Campus (USU-CEU)	15,233,223	61%	1,102,133	9,346,597	-	9,346,597	-	
Educationally Disadvantaged (USU-CEU)	3,338,820	73%	289,569	2,446,643	-	2,446,643	-	
Prehistoric Museum (USU-CEU)	107,437	79%	-	84,351	-	84,351	-	
Workforce Education (USU-CEU)	373,192	45%	20,305	169,184	-	169,184	-	
Total State Appropriated Funds	1,375,900	66%	98,574	908,321	-	908,321	-	
	<u>\$285,954,945</u>	67%	<u>20,386,593</u>	<u>190,832,639</u>	<u>165,614,516</u>	<u>25,218,123</u>	<u>15.23 %</u>	
Total State Appropriated Funds 2009-2010	<u>\$249,585,028</u>							
Increase from 2009-2010	<u>\$36,369,917</u>							
Percent Increase from 2009-2010	<u>14.57%</u>							

	1-Mar-11	31-Mar-11	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Other Unrestricted Funds						
Overhead Reimbursement for R & D Designated	\$558,846	\$5,673,244	\$5,517,990	\$5,517,990	\$155,254	2.81 %
Service Departments	4,032,867	35,456,923	36,020,726	36,020,726	(563,803)	(1.57) %
Auxiliary Enterprises (Except Athletics)	2,970,854	32,035,395	31,380,633	31,380,633	654,762	2.09 %
Athletics - USU	2,490,723	27,242,460	24,866,235	24,866,235	2,376,225	9.56 %
	849,301	8,800,184	9,192,067	9,192,067	(391,883)	(4.26) %
	<u>\$10,902,591</u>	<u>\$109,208,206</u>	<u>106,977,651</u>	<u>106,977,651</u>	<u>\$2,230,555</u>	<u>2.09 %</u>

Other Restricted Funds						
Instruction	1,710,625	12,623,978	9,234,801	9,234,801	3,389,177	36.70 %
Research	6,038,001	43,204,149	38,733,559	38,733,559	4,470,590	11.54 %
Public Service	3,100,303	27,703,717	25,772,902	25,772,902	1,930,815	7.49 %
Academic Support	275,892	3,163,551	3,020,771	3,020,771	142,780	4.73 %
Student Services	282,615	2,327,672	2,184,806	2,184,806	142,866	6.54 %
Institutional Support	42,959	734,947	802,961	802,961	(68,014)	(8.47) %
Operation and Maintenance of Plant	298	13,525	13,525	24,022	(10,497)	(43.70) %
Scholarships and Fellowships	519,734	51,008,267	40,545,796	40,545,796	10,462,471	25.80 %
Service Departments	650	11,163	13,577	13,577	(2,414)	(17.78) %
Auxiliary Enterprises	72,472	606,766	593,728	593,728	13,038	2.20 %
	<u>12,043,549</u>	<u>141,397,735</u>	<u>120,926,923</u>	<u>120,926,923</u>	<u>20,470,812</u>	<u>16.93 %</u>

Other Funds						
Plant Funds	3,525,459	22,979,910	26,947,364	26,947,364	(3,967,454)	(14.72) %
Associated Students	152,825	1,288,134	785,758	785,758	502,376	63.94 %
Other Agency Funds	135,585	544,492	273,169	273,169	271,323	99.32 %
	<u>3,813,869</u>	<u>24,812,536</u>	<u>28,006,291</u>	<u>28,006,291</u>	<u>(3,193,755)</u>	<u>(11.40) %</u>
Total All Funds	<u>\$47,146,602</u>	<u>\$466,251,116</u>	<u>\$421,525,381</u>	<u>\$421,525,381</u>	<u>\$44,725,735</u>	<u>10.61 %</u>


 David T. Cowley
 Date

6-22-11
 Date

ITEM FOR ACTION

RE: Certificate of Treasurer for April 2011

The attached Certificate of Treasurer for April 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 30 April 2011 totaled \$285,939,945, up \$36,354,917 (14.57%) over the same 2009-2010 period. The year-to-date state appropriated funds expenditures totaled \$211,395,446, up \$28,270,460 (15.44%) over the same 2009-2010 period and represented 74% of the total budget. The percent of budget expended, 74%, was 9% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$517,195,033, up \$51,845,014 (11.14%) over the same 2009-2010 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for April 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer; and

WHEREAS, Expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments; and

WHEREAS, The expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims; and

WHEREAS, Vice President Cowley requests approval of the listed expenditures by fund for the period 1 July 2010 to 30 April 2011 on the attached Certificate of Treasurer; and

WHEREAS, The President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for April 2011.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

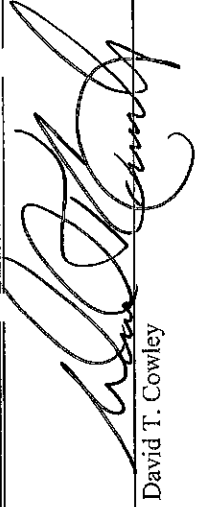
CERTIFICATE OF TREASURER

I, David T. Cowley, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2010 to 30 April 2011.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (83% Fiscal Year Expired)	Year to Date		Prior Year to Date	Increase (Decrease) from Prior Year		Percent Increase (Decrease) from Prior Year
			1-Apr-11	30-Apr-11		Prior Year	Year	
Education and General (Except Athletics)	\$173,158,939	79%	\$14,200,391	\$136,394,045	\$130,750,645	\$5,643,400	4.32 %	
Athletics	3,888,482	86%	418,512	3,335,319	2,644,445	690,874	26.13 %	
Agricultural Experiment Station	15,827,415	58%	915,693	9,228,391	8,961,224	267,167	2.98 %	
UWRL Appropriation	3,158,410	45%	129,107	1,416,886	1,157,601	259,285	22.40 %	
UWRL Apportionment	5,706,506	46%	279,057	2,627,383	2,885,396	(258,013)	(8.94) %	
Tooele - Cont. Ed. Center	8,619,067	87%	538,524	7,461,579	6,187,265	1,274,314	20.60 %	
Educationally Disadvantaged	267,366	83%	14,228	220,740	215,655	5,085	2.36 %	
Southeastern Utah - Cont. Ed. Center	1,396,675	89%	100,913	1,240,329	1,137,415	102,914	9.05 %	
Utah Basin - Cont. Ed. Center	5,888,173	65%	383,996	3,807,952	4,272,427	(464,475)	(10.87) %	
Cooperative Extension	13,453,729	67%	837,607	9,065,726	9,467,478	(401,752)	(4.24) %	
Brigham City - Cont. Ed. Center	17,365,605	88%	657,566	15,349,310	11,549,225	3,800,085	32.90 %	
USTAR	16,781,006	41%	639,579	6,845,058	3,896,210	2,948,848	75.69 %	
Price (USU-CEU)	15,233,223	68%	1,051,064	10,397,661	-	10,397,661	-	
Blanding Campus (USU-CEU)	3,338,820	82%	275,685	2,722,328	-	2,722,328	-	
Educationally Disadvantaged (USU-CEU)	107,437	80%	1,583	85,934	-	85,934	-	
Prehistoric Museum (USU-CEU)	373,192	51%	20,166	189,350	-	189,350	-	
Workforce Education (USU-CEU)	1,375,900	73%	99,134	1,007,455	-	1,007,455	-	
Total State Appropriated Funds	\$285,939,945	74%	20,562,805	211,395,446	183,124,986	28,270,460	15.44 %	
Total State Appropriated Funds 2009-2010	\$249,585,028							
Increase from 2009-2010	\$36,354,917							
Percent Increase from 2009-2010	14.57%							

	1-Apr-11	30-Apr-11	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
<u>Other Unrestricted Funds</u>						
Overhead Reimbursement for R & D Designated	\$574,906	\$6,248,150	\$6,117,896	\$130,254	2.13 %	
Service Departments	7,967,599	43,424,522	39,967,102	3,457,420	8.65 %	
Auxiliary Enterprises (Except Athletics)	4,220,085	36,255,480	34,360,370	1,895,110	5.52 %	
Athletics - USU	2,553,592	29,796,052	27,068,521	2,727,531	10.08 %	
	673,635	9,473,819	10,290,730	(816,911)	(7.94) %	
	\$15,989,817	\$125,198,023	117,804,619	\$7,393,404	6.28 %	
<u>Other Restricted Funds</u>						
Instruction	1,574,831	14,198,809	10,463,078	3,735,731	35.70 %	
Research	5,460,454	48,664,603	43,304,538	5,360,065	12.38 %	
Public Service	2,955,242	30,658,959	28,517,480	2,141,479	7.51 %	
Academic Support	383,158	3,546,709	3,381,159	165,550	4.90 %	
Student Services	254,584	2,582,255	2,387,992	194,263	8.13 %	
Institutional Support	258,974	993,921	933,317	60,604	6.49 %	
Operation and Maintenance of Plant	1,089	14,614	25,312	(10,698)	(42.26) %	
Scholarships and Fellowships	660,895	51,669,163	41,067,436	10,601,727	25.82 %	
Service Departments	1,058	12,222	14,715	(2,493)	(16.94) %	
Auxiliary Enterprises	87,384	694,150	669,192	24,958	3.73 %	
	11,637,669	153,035,405	130,764,219	22,271,186	17.03 %	
<u>Other Funds</u>						
Plant Funds	2,498,299	25,478,209	32,397,156	(6,918,947)	(21.36) %	
Associated Students	163,940	1,452,074	904,359	547,715	60.56 %	
Other Agency Funds	91,385	635,876	354,680	281,196	79.28 %	
	2,753,624	27,566,159	33,656,195	(6,090,036)	(18.09) %	
Total All Funds	\$50,943,915	\$517,195,033	\$465,350,019	\$51,845,014	11.14 %	


 David T. Cowley
 Date

6-22-11

ITEM FOR ACTION

RE: Report of Investments for March 2011

The attached Report of Investments for March 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for March 2011 and comparative year-to-date totals for FY 2010-2011 and FY 2009-2010; investment portfolios at 31 March 2011; and Summary of Total Investment Returns for the Quarter Ended 31 March 2011 and year-to-date 1 July 2010 to 31 March 2011.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during March 2011 was \$263,432,555, down \$11,253,502 from February 2011. Total investment income was \$905,330, down \$162,867 from February 2011, reflecting the decrease in the amount available for investing and a decrease in total investment return. The annualized total investment return was 3.99%, down 1.01% from February 2011.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$253,445,789, up \$26,216,156 (11.54%) over FY 2009-2010. Total interest income for FY 2010-2011 amounted to \$7,459,353, up \$260,006 (3.61%) over FY 2009-2010, reflecting an increase in the amount available for investing and a decrease in interest rates.

The total amount invested at 31 March 2011 was \$257,147,177, up \$8,146,453 (3.27%) over 31 March 2010. Unrealized losses at 31 March 2011 were \$4,896,023.

ENDOWMENT POOL

The average daily fair value invested during March 2011 was \$99,374,173, up \$1,020,930 over February 2011. Interest and dividend income of \$186,025 plus net realized gains of \$4,607 totaled \$190,632 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$90,337,418, up \$13,094,458 (16.95%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$2,112,650, up \$434,752 (25.91%) over FY 2009-2010. This increase resulted from \$447,506 more in interest and dividends and \$12,754 less net realized gains during FY 2010-2011.

The total amount invested at 31 March 2011 was \$99,555,981, up \$14,242,763 (16.69%) over 31 March 2010. Unrealized gains at 31 March 2011 were \$18,175,035.

OTHER INVESTMENTS

The average daily fair value invested during March 2011 was \$17,212,047, up \$133,339 over February 2011. Interest and dividend income of \$20,620 plus net realized gains of \$693 totaled \$21,313 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$17,935,410, up \$15,853,788 (761.61%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$1,099,704, up \$1,077,768 (4,913.24%) over FY 2009-2010. This increase resulted from \$285,736 more in interest and dividend income and \$792,032 more in net realized gains during FY 2010-2011.

The total amount invested at 31 March 2011 was \$17,190,999, up \$14,170,857 (469.21%) over 31 March 2010. Unrealized gains at 31 March 2011 were \$5,841,707.

ENDOWMENT TRUSTS

The average daily fair value invested during March 2011 was \$7,111,731 up \$49,112 over February 2011. Interest and dividend income of \$27,034 plus net realized gains of \$1,724 totaled \$28,758 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$6,207,435, up \$1,819,713 (41.47%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$375,758, up \$36,499 (10.76%) over FY 2009-2010. This increase resulted from \$118,394 more interest and dividend income and \$81,895 less net realized gains during FY 2010-2011.

The total amount invested at 31 March 2011 was \$7,124,437, up \$2,382,268 (50.24%) over 31 March 2010. Unrealized gains at 31 March 2011 were \$587,161.

PLANT FUND TRUSTS

The average daily fair value invested during March 2011 was \$3,124,746, up \$876,106 over February 2011. Interest income totaled \$8,930 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$4,391,916, down \$15,337,728 (77.74%) from FY 2009-2010. Total realized income for FY 2010-2011 was \$80,593, down \$14,553 (15.30%) from FY 2009-2010. This decrease reflects the decreased amount available for investing and an increase in the rate of return.

The total amount invested at 31 March 2011 was \$3,833,657, down \$11,931,445 (75.68%) from 31 March 2010. Unrealized losses at 31 March 2011 were \$1,183.

SUMMARY OF INVESTMENT TRANSACTIONS

The University's average daily fair value invested for the month of March 2011 was \$390,255,252. Purchases totaled \$19,937,394 and sales totaled \$32,030,294. From this activity the University realized net gains of \$7,024 and earnings of \$1,153,292.

SUMMARY OF TOTAL INVESTMENT RETURNS

The Endowment Pool composite benchmark is established based on the asset allocation of the Endowment Pool. At 31 March 2011 the Endowment Pool asset allocation was 23.62% cash, 17.05% fixed income, 59.33% equities. The benchmarks used include the Utah State Public Treasurer's Investment Fund, Barclay's Capital U.S. Aggregate Bond Index, and the S&P 500 Index. The composite benchmark for the Endowment Pool at 31 March 2011 was 18.80%

The Endowment Pool fiscal year-to-date annualized rate of return including market fluctuations was 21.82% at 31 March 2011. The Endowment Pool outperformed its benchmark by 302bp year-to-date at 31 March 2011.

The Cash Management Investment Pool fiscal year-to-date annualized rate of return including marked fluctuations was 0.86% at 31 March 2011. The benchmark for the Cash Management Investment Pool is the Utah Public Treasurer's Investment Fund (PTIF). The PTIF fiscal year-to-date annualized rate of return was 0.52% at 31 March 2011. The Cash Management Investment Pool outperformed its benchmark by 34bp year-to-date at 31 March 2011.

ENDOWMENT FUNDS

The fair value of invested endowment funds at 31 March 2011 was \$216.7 million, up \$5.1 million (2.41%) over 31 December 2010. This increase includes the change in fair value, and new gifts received through 31 March 2011.

Year to date, the endowment funds have increased \$46.1 million (27.02%) from 30 June 2010.

Endowment funds are currently invested 33.70% in the Endowment Pool, 55.13% in the Cash Management Investment Pool, 3.29% in the various Endowment Trusts and 7.88% in Other Investments.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for March 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities; and

WHEREAS, The investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office; and

WHEREAS, The investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws; and

WHEREAS, Vice President Cowley requests approval of the attached Report of Investments for the period 1 March 2011 to 31 March 2011 and comparative year-to-date totals for the periods 1 July 2010 to 31 March 2011 and 1 July 2009 to 31 March 2010, and the investment portfolios at 31 March 2011; and

WHEREAS, The President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 March 2011 to 31 March 2011 and comparative year-to-date totals for the periods 1 July 2010 to 31 March 2011 and 1 July 2009 to 31 March 2010 and the investment portfolios at 31 March 2011:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for March 2011.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
MARCH 2011

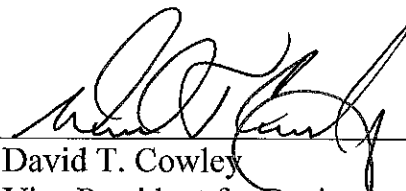
The following schedules (A through G) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.



Rick G. Allen
Controller

6/16/2011

Date



David T. Cowley
Vice President for Business and Finance

6-22-11

Date

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2010	\$216,853,500	\$46,000,000	\$32,833,952	(\$621,355)	\$229,398,193	\$222,812,096	\$698,576	\$245	\$698,331
Aug 2010	229,398,193	68,205,650	36,600,000	792,325	261,796,168	236,844,006	762,942	(85)	763,027
Sep 2010	261,796,168	38,000,000	34,000,000	(327,360)	265,468,808	260,286,867	814,776	(175)	814,951
Oct 2010	265,468,808	20,330,000	26,000,000	(585,788)	259,213,020	258,493,103	904,224	1,359	902,865
Nov 2010	259,213,020	25,000,000	33,000,000	(747,116)	250,465,904	249,883,533	794,810	0	794,810
Dec 2010	250,465,904	26,993,000	18,000,000	(3,889,142)	255,569,762	242,175,845	854,278	0	854,278
Jan 2011	255,569,762	30,000,000	6,000,000	(655,009)	278,914,753	272,398,039	888,644	(55)	888,699
Feb 2011	278,914,753	12,000,000	20,000,000	237,777	271,152,530	274,686,057	830,420	(15)	830,435
Mar 2011	271,152,530	10,000,000	24,000,000	(5,353)	257,147,177	263,432,555	910,683	286	910,397
Apr 2011									
May 2011									
Jun 2011									
<hr/>									
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Comparative Totals:									
Year-to-date									
FY 2010-11	\$216,853,500	\$276,528,650	\$230,433,952	(\$5,801,021)	\$257,147,177	\$253,445,789	\$7,459,353	\$1,560	\$7,457,793
FY 2009-10	199,880,452	250,786,532	201,671,591	5,331	249,000,724	227,229,633	7,199,347	4,071	7,195,276
Amt Change					8,146,453	26,216,156	260,006	(2,511)	262,517
% Change					3.27%	11.54%	3.61%	-61.68%	3.65%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL PORTFOLIO
31 March 2011

Schedule A-1-A
Page 1 of 2

Description	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Money Market						
Bank of Utah - Public Treasurer Acct	0.940%			\$6,100,000	\$6,100,000	\$0
Zions Bank - Public Treasurer Acct	0.600%			12,100,000	12,100,000	0
				18,200,000	18,200,000	0
Public Treasurers' Investment Fund						
Utah Public Treasurers' Investment Fund	0.510%			100,000	100,000	0
				100,000	100,000	0
Time Certificates of Deposit						
Wells Fargo Bank	4.050%	04/07/08	05/07/11	8,000,000	8,000,000	0
Wells Fargo Bank	4.920%	07/21/08	08/21/11	4,000,000	4,000,000	0
Wells Fargo Bank	5.440%	09/22/08	02/22/12	5,000,000	5,000,000	0
Wells Fargo Bank	5.180%	08/25/08	07/25/12	5,000,000	5,000,000	0
Wells Fargo Bank	5.230%	08/21/08	08/21/12	7,000,000	7,000,000	0
Wells Fargo Bank	5.300%	08/15/08	09/15/12	7,000,000	7,000,000	0
Wells Fargo Bank	5.420%	10/15/07	10/15/12	5,000,000	5,000,000	0
Wells Fargo Bank	4.220%	02/05/08	02/05/13	8,000,000	8,000,000	0
Wells Fargo Bank	5.330%	12/14/07	06/14/13	15,000,000	15,000,000	0
Wells Fargo Bank	5.230%	12/19/07	06/19/13	10,000,000	10,000,000	0
Wells Fargo Bank	4.320%	01/22/08	07/22/13	9,000,000	9,000,000	0
Wells Fargo Bank	4.650%	02/19/08	08/19/13	5,000,000	5,000,000	0
Wells Fargo Bank	5.130%	01/08/09	12/08/13	5,000,000	5,000,000	0
				93,000,000	93,000,000	0
Commercial Paper & Corporate Notes						
Morgan Stanley - Floater	6.750%	05/24/10	04/15/11	5,214,550	5,008,285	(206,265)
				5,214,550	5,008,285	(206,265)
Obligations of U. S. Government						
Federal Home Loan Bank	4.250%	02/25/10	02/25/20	5,000,000	5,002,210	2,210
Fannie Mae FNMA	2,000-6,000%	07/21/10	07/21/20	5,000,000	4,998,710	(1,290)
Fannie Mae FNMA	1,000-5,000%	01/28/11	01/28/21	5,000,000	5,000,905	905
Federal Home Loan Bank	4.180%	03/15/11	03/15/21	5,000,000	4,959,565	(40,435)

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL PORTFOLIO
31 March 2011

Schedule A-1-A
Page 2 of 2

Description	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Obligations of U. S. Government (continued)						
Federal Home Loan Bank	4.500%	05/04/10	05/04/22	\$10,000,000	\$9,995,750	(\$4,250)
Federal Home Loan Bank	3.000-5.500%	08/25/10	08/25/22	4,645,350	4,487,929	(157,421)
Federal Farm Credit FFCB	3.700%	08/17/10	05/17/23	5,000,000	4,864,780	(135,220)
Freddie Mac	3.000-7.000%	07/20/10	07/20/23	5,000,000	4,916,895	(83,105)
Federal Home Loan Bank	3.620%	09/09/10	09/09/24	5,000,000	4,704,380	(295,620)
Fannie Mae FNMA	3.125-7.125%	07/16/10	07/14/25	5,000,000	4,918,305	(81,695)
Federal Farm Credit FFCB	4.150%	07/15/10	07/15/25	5,000,000	4,899,345	(100,655)
Fannie Mae FNMA	3.000-6.000%	08/25/10	08/25/25	10,533,900	10,037,006	(496,894)
Federal Home Loan Bank	3.000-10.000%	08/26/10	08/26/25	4,992,500	4,772,930	(219,570)
Federal Home Loan Bank	3.000-10.000%	08/26/10	08/26/25	8,033,900	7,554,040	(479,860)
Federal Farm Credit FFCB	3.590%	09/02/10	09/02/25	10,000,000	9,225,340	(774,660)
Federal Home Loan Bank	4.000%	09/30/10	09/30/25	7,000,000	6,775,503	(224,497)
Fannie Mae FNMA	4.000%	11/26/10	11/26/25	5,000,000	4,766,380	(233,620)
Fannie Mae FNMA	3.000-6.000%	12/03/10	12/03/25	5,000,000	4,852,320	(147,680)
Fannie Mae FNMA	4.100%	12/17/10	12/17/25	6,993,000	6,616,736	(376,264)
Federal Farm Credit FFCB	4.600%	01/20/11	01/20/26	5,000,000	4,926,155	(73,845)
Federal Home Loan Bank	4.125%	11/18/10	05/18/28	10,000,000	9,517,340	(482,660)
				<u>132,198,650</u>	<u>127,792,524</u>	<u>(4,406,126)</u>
Municipal Bonds						
West Valley City Bonds	4.850%	10/20/10	11/01/15	985,000	940,222	(44,778)
West Valley City Bonds	4.850%	10/20/10	11/01/16	1,050,000	1,002,267	(47,733)
West Valley City Bonds	4.850%	10/20/10	11/01/17	1,115,000	1,064,312	(50,688)
West Valley City Bonds	4.850%	10/20/10	11/01/18	1,180,000	1,126,357	(53,643)
West Valley City Bonds	4.850%	10/20/10	11/01/19	1,255,000	1,197,948	(57,052)
West Valley City Bonds	4.850%	10/20/10	11/01/20	1,330,000	1,269,538	(60,462)
West Valley City Bonds	4.850%	10/20/10	11/01/21	1,415,000	1,350,674	(64,326)
Beaver County School Bond	5.500%	02/01/11	02/01/27	5,000,000	5,095,050	95,050
				<u>13,330,000</u>	<u>13,046,368</u>	<u>(283,632)</u>
Total Cash Management Investment Pool				<u>\$262,043,200</u>	<u>\$257,147,177</u>	<u>(\$4,896,023)</u>

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
 For the Month of March 2011

Schedule A-2

	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
	Purchases	Receipts					
Wells Fargo - Sweep Account			\$37		\$37	\$0	0.00%
Money Market Account	\$5,000,000	\$14,000,000	10,117		10,117	16,393,549	0.72%
Utah Public Treasurers' Investment Fund			44		44	100,000	0.51%
Time Certificates of Deposit			395,922		395,922	93,000,000	4.94%
Commercial Paper and Corporate Notes			29,894	(\$27,140)	2,754	5,214,550	0.61%
Obligations of U. S. Government	5,000,000	10,000,000	417,000	(35,263)	381,737	135,424,456	3.27%
Municipal Bonds			57,669	57,050	114,719	13,300,000	10.02%
Total	<u>\$10,000,000</u>	<u>\$24,000,000</u>	<u>\$910,683</u>	<u>(\$5,353)</u>	<u>\$905,330</u>	<u>\$263,432,555</u>	<u>3.99%</u>

UTAH STATE UNIVERSITY
 SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
 For the Month of March 2011

Schedule A-3

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Cash Management Investment Pool							
Wells Fargo - Sweep Account							\$37
Money Market Account		\$5,000,000		\$14,000,000	\$14,000,000	\$0	10,117
Utah Public Treasurers' Investment Fund							44
Time Certificates of Deposit							395,922
Commercial Paper and Corporate Notes		5,000,000		10,000,000	10,000,000	0	29,894
Obligations of U. S. Government							417,000
Municipal Bonds							57,669
Total Cash Management Investment Pool		<u>\$10,000,000</u>		<u>\$24,000,000</u>	<u>\$24,000,000</u>	<u>\$0</u>	<u>\$910,683</u>

UTAH STATE UNIVERSITY
 ENDOWMENT POOL
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2010	\$80,490,686	\$507,378	\$419,279	\$2,716,693	\$83,295,478	\$81,893,082	\$247,666	\$3,479	\$251,145	\$6,888	\$244,257
Aug 2010	83,295,478	482,862	366,240	(1,662,805)	81,749,295	82,522,387	176,817	3,758	180,575	6,826	173,749
Sep 2010	81,749,295	308,559	197,761	3,759,851	85,619,944	83,684,620	195,214	3,704	198,918	9,583	189,335
Oct 2010	85,619,944	3,594,700	246,451	1,782,212	90,750,405	88,185,175	172,153	4,316	176,469	7,471	168,998
Nov 2010	90,750,405	6,259,928	6,041,765	(54,440)	90,914,128	90,832,267	179,186	4,178	183,364	7,293	176,071
Dec 2010	90,914,128	755,358	194,108	2,502,316	93,977,694	92,445,911	511,984	4,499	516,483	10,798	505,685
Jan 2011	93,977,694	3,446,024	895,429	985,832	97,514,121	95,745,908	210,641	4,533	215,174	7,677	207,497
Feb 2011	97,514,121	441,541	413,300	1,650,003	99,192,365	98,353,243	210,205	(10,315)	199,890	7,002	192,888
Mar 2011	99,192,365	6,149,845	5,840,002	53,773	99,555,981	99,374,173	186,025	4,607	190,632	11,151	179,481
Apr 2011											
May 2011											
Jun 2011											

Comparative Totals:

Year-to-date											
FY 2010-11	\$80,490,686	\$21,946,195	\$14,614,335	\$11,733,435	\$99,555,981	\$90,337,418	\$2,089,891	\$22,759	\$2,112,650	\$74,689	\$2,037,961
FY 2009-10	69,522,394	9,188,600	3,698,232	10,300,456	85,313,218	77,242,960	1,642,385	35,513	1,677,898	95,032	1,582,866
Amt Change					14,242,763	13,094,458	447,506	(12,754)	434,752	(20,343)	455,095
% Change					16.69%	16.95%	27.25%	-35.91%	25.91%	-21.41%	28.75%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$28,559,485 principal beginning balance, a \$26,509,403 ending balance, and a \$28,556,560 average daily balance for the current month. Current month interest and dividends from the CMIP were \$98,378 bringing the total to \$855,541 year-to-date. These amounts have also been reported in Schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
 ENDOWMENT POOL
 INVESTMENT PERFORMANCE

Schedule B-1-A

	Total Number of Units	Fair Value Per Unit			Net Earnings	Earnings Per Unit
		Beginning of Month	End of Month	Percent Change		
July 2010	482,489.14	166.8238	\$172.6370	3.48%	\$247,666	\$0.5133
August 2010	482,489.14	172.6370	169.4324	-1.86%	176,817	0.3665
September 2010	482,489.14	169.4324	177.4547	4.73%	195,214	0.4046
October 2010	499,533.78	177.4547	181.7107	2.40%	172,153	0.3446
November 2010	499,533.78	181.7107	181.9980	0.16%	179,186	0.3587
December 2010	499,533.78	181.9980	188.1308	3.37%	511,984	1.0249
January 2011	511,781.10	188.1308	190.5387	1.28%	210,641	0.4116
February 2011	511,781.10	190.5387	193.8180	1.72%	210,205	0.4107
March 2011	511,781.10	193.8180	194.5284	0.37%	186,025	0.3635
April 2011						
May 2011						
June 2011						

UTAH STATE UNIVERSITY
 ENDOWMENT POOL PORTFOLIO
 31 March 2011

Schedule B-1-B
 Page 1 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Cash Management Investment Pool								
Total Cash Management Investment Pool						\$26,509,403	\$26,509,403	\$0
Equities								
Wells Fargo Advantage Index Fund #88		142,753.838				6,967,371	6,656,611	(310,760)
UTS SPDR Trust Ser 1 (SPY)		46,989.000				3,983,736	6,230,272	2,246,536
Total Equities						10,951,107	12,886,883	1,935,776
Mutual Funds								
High Yield Bond Fund - Administrator Class		788,797.293				2,500,487	2,500,487	0
Multi-Strategy Bond Fund		348,927.104				4,302,172	4,912,894	610,722
Multi-Strategy Equity Fund		213,610.989				27,018,801	43,151,556	16,132,755
PIMCO - Diversified Income Inst.		535,145.429				6,122,362	6,154,172	31,810
Total Mutual Funds						39,943,822	56,719,109	16,775,287
Obligations of U.S. Government								
Federal Home Bank Loan 5.25%	100,000		5.250%	05/27/04	06/18/14	100,078	111,536	11,458
Federal Home Bank Loan 6.00%	100,000		6.000%	06/15/01	06/15/11	102,035	101,227	(808)
Federal National Mtg Assn 6.0%	100,000		6.000%	05/25/01	05/15/11	100,956	100,721	(235)
GNMA	5,914		7.000%	03/01/96	03/15/26	5,962	6,822	860
Total Obligations of U.S. Government						309,031	320,306	11,275
Corporate Bonds and Notes								
Bankamerica Corporation	100,000		7.125%	03/27/98	10/15/11	106,000	103,346	(2,654)
Total Corporate Bonds and Notes						106,000	103,346	(2,654)
Alternatives								
Commonfund								
CEP VII						353,500	362,688	9,188

UTAH STATE UNIVERSITY
 ENDOWMENT POOL PORTFOLIO
 31 March 2011

Schedule B-1-B
 Page 2 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Alternatives (continued)								
Commonfund								
Natural Resources						\$273,736	\$338,949	\$65,213
Morgan Stanley								
Morgan Stanley TALF Fund (Cayman) L.P.						563,748	540,654	(23,094)
Solamere Capital								
Solamere Founders Fund I, LP						814,709	732,853	(81,856)
TIAA-CREF								
TIAA-CREF Core Property						1,108,372	627,337	(481,035)
Cash						9,325	9,325	0
Woodbury								
Woodbury Strategic Partners Fund, L.P.						288,148	255,670	(32,478)
WSP Centre Point Co-Investors, LLC						150,000	149,413	(587)
Total Alternatives						<u>3,561,538</u>	<u>3,016,889</u>	<u>(544,649)</u>
Money Market Funds								
Advantage Cash #451						45	45	0
Total Money Market Funds						<u>45</u>	<u>45</u>	<u>0</u>
Total Endowment Pool						<u>\$81,380,946</u>	<u>\$99,555,981</u>	<u>\$18,175,035</u>

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of March 2011

Schedule B-2

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Endowment Pool Transactions							
Cash Management Investment Pool							
Utah State University		\$3,525,754		\$5,575,836	\$5,575,836	\$0	\$98,378
Equities							
Wells Fargo Advantage Index Fund #88							8,594
UTS SPDR Trust Ser 1 (SPY)							5,551
Mutual Funds							
High Yield Bond Fund - Administrator Class	788,797.293	2,500,487					487
Multi-Strategy Bond Fund			72,061	835	1,014	179	13,630
Multi-Strategy Equity Fund			30,667	1,767	6,195	4,428	6,289
PIMCO - Diversified Income Inst.	2,488.428	28,617					28,617
Obligations of U.S. Government							
GNMA			27,660	28	28	0	1,472
Interest							
Corporate Bonds and Notes							
Bond Interest							594
Alternatives							
Commonfund							
CNR VIII		22,500					
Morgan Stanley							
Morgan Stanley TALF Fund (Cayman) LP.		19,553					19,553
Solamere Capital							
Solamere Founders Fund I, LP		50,000					
TIAA-CREF							
TIAA-CREF Core Property			0.267				2,816
Cash				543	543	0	
Money Market Funds							
Advantage Cash #250							
Advantage Cash Institutional Class #451							
Total Endowment Pool Transactions		\$6,149,845		\$5,835,395	\$5,840,002	\$4,607	\$186,025

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
*Jul 2010	\$20,724,312	\$1,442,105	\$1,534,562	\$680,738	\$21,312,593	\$21,018,453	\$38,807	\$976	\$39,783
Aug 2010	21,312,593	1,540	2,687,395	(246,670)	18,380,068	19,846,331	37,857	1,166	39,023
Sep 2010	18,380,068	32,676	32,860	754,984	19,134,868	18,757,468	43,894	661	44,555
Oct 2010	19,134,868	74,672	3,267,773	435,308	16,377,075	17,755,972	34,211	832,380	866,591
Nov 2010	16,377,075	325,868	356,579	(54,007)	16,292,357	16,334,716	34,451	3,397	37,848
Dec 2010	16,292,357	1,170,718	1,036,512	380,095	16,806,658	16,549,508	35,569	(51,857)	(16,288)
Jan 2011	16,806,658	10,101	80,964	188,527	16,924,322	16,865,490	32,579	540	33,119
Feb 2011	16,924,322	6,411	6,383	308,744	17,233,094	17,078,708	32,615	1,145	33,760
Mar 2011	17,233,094	57,187	57,360	(41,922)	17,190,999	17,212,047	20,620	693	21,313
Apr 2011									
May 2011									
Jun 2011									

Comparative Totals:

Year-to-date									
FY 2010-11	\$20,724,312	\$3,121,278	\$9,060,388	\$2,405,797	\$17,190,999	\$17,935,410	\$310,603	\$789,101	\$1,099,704
FY 2009-10	1,900,219	2,288,926	1,331,247	162,244	3,020,142	2,081,622	24,867	(2,931)	21,936
Amt Change					14,170,857	15,853,788	285,736	792,032	1,077,768
% Change					469.21%	761.61%	1149.06%	27022.59%	4913.24%

Note: Other Investments include donor designated and other specified investments. The beginning fair value has been adjusted to include investments previously

UTAH STATE UNIVERSITY
OTHER INVESTMENTS PORTFOLIO
31 March 2011

Schedule C-1-A
Page 1 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Corporate Bonds and Notes								
U.S. Treasury Bond	\$100,000		8.000%	11/15/91	11/15/21	\$125,313	\$139,656	\$14,343
U.S. West Communications	10,000		7.200%	12/01/95	11/10/26	10,162	10,050	(112)
						<u>135,475</u>	<u>149,706</u>	<u>14,231</u>
Public Treasurers' Investment Fund								
Utah Public Treasurers' Investment Fund			0.510%			894,521	894,521	0
						<u>894,521</u>	<u>894,521</u>	<u>0</u>
Mutual Funds								
American Funds CI A								
American Balanced Fund - Class A		668,070				11,116	12,460	1,344
AMCAP Fund - C		2,078,450				38,307	39,013	706
The Growth Fund of America - Class A		203,662				6,357	6,527	170
The Growth Fund of America - Class A		11,367,282				354,799	364,321	9,522
The New Economy Fund-C		1,632,416				37,355	41,088	3,733
New World Fund-C		1,033,059				41,302	55,207	13,905
Washington Mutual Investors Fund-C		1,322,332				40,227	37,687	(2,540)
Commonfund								
CEU Title III								
Multi-Strategy Bond		400,527,716				4,952,290	5,639,430	687,140
Multi-Strategy Equity		46,749,617				4,310,344	9,443,890	5,133,546
Invesco - Van Kampen Funds A								
American Capital Harbor		4,447,270				52,120	81,741	29,621
Putnam Funds Class A		3,511,829				26,563	27,708	1,145
Putnam High Yield CI-A								
Vanguard Admiral		1,653,273				99,388	80,134	(19,254)
Vanguard Windsor Admiral								
Vanguard								
500 Index Fund Admiral Shares		119,522				13,207	14,596	1,389
Total Bond Market Index Fund Admiral Shares		1,365,281				14,888	14,390	(498)
500 Index Fund Admiral Shares		157,154				17,365	19,192	1,827
Total Bond Market Index Fund Admiral Shares		1,809,865				19,736	19,076	(660)
						<u>10,035,364</u>	<u>15,896,460</u>	<u>5,861,096</u>

UTAH STATE UNIVERSITY
OTHER INVESTMENTS PORTFOLIO
31 March 2011

Schedule C-1-A
Page 2 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Alternatives TriCor								
Inland America Real Estate Trust vSpring		17,576,971				\$174,418	\$141,143	(\$33,275)
Kickstart Seed Fund 1, L.P.						109,434	109,089	(345)
						<u>283,852</u>	<u>250,232</u>	<u>(33,620)</u>
Cash						5	5	0
Charles Schwab SEI - Prime Obligation Fund						75	75	0
						<u>80</u>	<u>80</u>	<u>0</u>
Total Other Investments						<u>\$11,349,292</u>	<u>\$17,190,999</u>	<u>\$5,841,707</u>

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of March 2011

Schedule C-2
Page 1 of 1

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
<u>Other Investments</u>							
Corporate Bonds and Notes							
U.S. Treasury Bond							\$667
U.S. West Communications Common and Preferred Stock							60
Morgan Stanley Smith Barney							
Apple Inc	141.000	\$50,030	141.000	\$50,030	\$49,863	(\$167)	
Microsoft Corporation			200.000	5,345	4,976	(369)	
Utah Public Treasurers' Investment Fund							393
Mutual Funds							
American Funds CI A							
Washington Mutual Investors Fund-C Commonfund	4.755	131					131
CEU Title III							
Multi-Strategy Bond							
Multi-Strategy Equity							
Invesco - Van Kampen Funds A			82.718	881	1,165	284	15,645
American Capital Harbor			6.711	411	1,356	945	1,376
Putnam Funds Class A							
Putnam High Yield CI-A							
Vanguard	60.798	478					715
500 Index Fund Admiral Shares	0.532	64					64
Total Bond Market Index Fund Admiral Shares	11.356	120					120
500 Index Fund Admiral Shares	0.699	84					84
Total Bond Market Index Fund Admiral Shares	15.053	159					159
Alternatives							
TriCor							
Inland America Real Estate Trust	90.733	728					729
vSpring							
Kickstart Seed Fund I, L.P.		5,000					
Total Other Investments		\$57,187		\$56,667	\$57,360	\$693	\$20,620

UTAH STATE UNIVERSITY
ENDOWMENT TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$4,162,814	\$23,027	\$43,830	\$339,682	\$4,481,693	\$4,322,254	\$13,486	\$2	\$13,488	\$500	\$12,988
Aug 2010	4,481,693	232,955	226,654	(120,041)	4,367,953	4,424,823	12,184	(16,557)	(4,373)	0	(4,373)
Sep 2010	4,367,953	3,111,481	1,049,486	363,638	6,793,586	5,580,770	54,463	55,933	110,396	0	110,396
Oct 2010	6,793,586	197,585	261,504	44,793	6,774,460	6,784,023	14,645	1,170	15,815	3,075	12,740
Nov 2010	6,774,460	19,272	4,532	(120,120)	6,669,080	6,721,770	14,616	0	14,616	4,009	10,607
Dec 2010	6,669,080	81,229	1,904	262,872	7,011,277	6,840,179	74,956	0	74,956	1,904	73,052
Jan 2011	7,011,277	1,321,633	1,399,263	92,566	7,026,213	7,018,745	33,977	70,918	104,895	2,271	102,624
Feb 2011	7,026,213	1,258,975	1,332,050	145,887	7,099,025	7,062,619	17,207	0	17,207	2,396	14,811
Mar 2011	7,099,025	71,483	53,388	7,317	7,124,437	7,111,731	27,034	1,724	28,758	1,630	27,128
Apr 2011											
May 2011											
Jun 2011											

Comparative Totals:

Year-to-date	\$4,162,814	\$6,317,640	\$4,372,611	\$1,016,594	\$7,124,437	\$6,207,435	\$262,568	\$113,190	\$375,758	\$15,785	\$359,973
FY 2010-11	3,797,982	820,567	766,130	889,750	4,742,169	4,387,722	144,174	195,085	339,259	1,597	337,662
FY 2009-10					2,382,268	1,819,713	118,394	(81,895)	36,499	14,188	22,311
Amt Change					50.24%	41.47%	82.12%	-41.98%	10.76%	888.42%	6.61%
% Change											

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS PORTFOLIO
 31 March 2011

Schedule D-1-A
 Page 1 of 3

Description	Number of Shares	Cost	Fair Value	Unrealized Gain/(Loss)
Obligations of U.S. Government Savings Bonds	195,000.000	\$195,000	\$195,000	\$0
Common and Preferred Stock				
Abbott Labs	2,000.000	75,797	98,100	22,303
Altria Group Inc	1,000.000	16,108	26,030	9,922
American Electric Power Co. AT&T Inc.	2,800.000	101,512	98,392	(3,120)
BP Amoco PLC	6,800.000	177,954	208,148	30,194
Centurylink Inc	8,000.000	402,692	353,120	(49,572)
Citigroup	1,300.000	53,388	54,015	627
ConocoPhillips	5,600.000	261,341	24,752	(236,589)
Eli Lilly & Co	6,000.000	224,262	479,160	254,898
General Electric	4,400.000	161,532	154,748	(6,784)
Great Plains Energy Inc	37,900.000	377,601	750,695	373,094
Johnson & Johnson	2,800.000	80,614	56,056	(24,558)
JP Morgan Chase & Co	2,500.000	110,279	148,125	37,846
Kraft Foods Inc	6,000.000	211,684	269,040	57,356
Merck & Co Inc New Com	11,692.000	362,370	366,661	4,291
Pfizer Inc	7,500.000	295,376	247,575	(47,801)
Philip Morris International In	21,700.000	510,776	440,727	(70,049)
Progress Energy Inc	1,000.000	36,706	65,630	28,924
Verizon Communications	9,200.000	328,293	424,488	96,195
Wells Fargo & Company NEW	6,300.000	172,839	242,802	69,963
	5,000.000	55,361	157,990	102,629
		4,016,485	4,666,254	649,769
Other				
Atlas Resources LP	5.000	50,000	50,000	0
Real Estate - Brigham City		194,788	194,788	0
		244,788	244,788	0

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS PORTFOLIO
 31 March 2011

Schedule D-1-A
 Page 2 of 3

Description	Number of Shares	Cost	Fair Value	Unrealized Gain/(Loss)
Mutual Funds				
Dodge & Cox Income Fund	3,816.794	\$51,298	\$50,649	(\$649)
Eaton Vance Floating Rate & High Income	5,611.672	50,019	49,888	(131)
The Fairholme Fund	1,374.382	50,029	47,773	(2,256)
Fidelity Contra Fund	1,399.580	100,004	99,356	(648)
Gabellie ABC Fund	5,040.323	50,019	50,353	334
John Hancock Large Cap Equity Fund	1,769.912	50,004	50,018	14
John Hancock Global Opportunities Fund	2,723.312	50,004	50,004	0
Heartland Value Plus Fund	965.562	30,000	30,840	840
ING Value Choice Fund Class W	2,579.979	50,004	50,310	306
John Hancock Disciplined Value	4,125.413	50,004	50,866	862
Mutual Series Shares Class Z	2,295.684	50,004	50,115	111
Brown Capitol Small Company	880.088	40,019	42,139	2,120
Nuveen Tradewinds Value Opportunities Fund	1,398.601	50,009	50,280	271
PIMCO Funds Short-Term	5,122.570	50,765	50,662	(103)
PIMCO Fds pac Invt Mgmt Ser Total Return	7,006.622	80,856	76,232	(4,624)
PIMCO GNMA Fund	4,314.064	52,330	49,267	(3,063)
Parnassus Small Cap Fund	1,161.440	30,000	29,605	(395)
Principal High Yield II Fund	6,157.635	50,009	49,938	(71)
The Osterweis Strategic Income Fund	4,230.118	50,019	49,915	(104)
Reynolds Blue Chip Growth Fund	850.629	50,019	49,889	(130)
Templeton Global Bond Fund	3,690.037	50,004	50,517	513
Thornburg International Value Fund	1,694.915	50,019	50,576	557
Thornburg Value Fund Institutional	2,658.161	100,019	99,894	(125)
Vanguard Short-term US Treasury Fund	7,380.485	101,056	78,602	(22,454)
Vanguard Fixed Income Secs F Infl Prot Secs	4,011.144	53,636	52,786	(850)
Westcore Select Fund	2,213.369	50,000	50,775	775
Yacktman Fund	5,763.689	100,000	96,945	(3,055)
Wells Fargo Strategic Inc #89	10,069.543	185,100	169,772	(15,328)
Wells Fargo Strategic Inc #89	10,067.899	185,070	169,745	(15,325)
		<u>1,910,319</u>	<u>1,847,711</u>	<u>(62,608)</u>

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS PORTFOLIO
 31 March 2011

Schedule D-1-A
 Page 3 of 3

Description	Number of Shares	Cost	Fair Value	Unrealized Gain/(Loss)
Money Market Funds				
Federated Treasury Obligations Fund		\$63,164	\$63,164	\$0
Morgan Stanley		101,806	101,806	0
Wells Fargo #451		2,682	2,682	0
Wells Fargo #451		2,682	2,682	0
		<u>170,334</u>	<u>170,334</u>	<u>0</u>
Cash				
Morgan Stanley		350	350	0
Total Endowment Trusts		<u>\$6,537,276</u>	<u>\$7,124,437</u>	<u>\$587,161</u>

UTAH STATE UNIVERSITY
 SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
 For the Month of March 2011

Schedule D-2
 Page 1 of 1

Endowment Trusts	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Cost	Gain/(Loss)			
Common and Preferred Stock									
Centurylink Inc	1,300,000	\$53,388							\$20,598
Funds held at Morgan Stanley - Dividends									
Other									
Atlas Resources LP									163
Mutual Funds									
Dodge & Cox Income Fund									534
Eaton Vance Floating Rate & High Income									129
PIMCO Funds Short-Term (correction Jan 2011)			465,141	\$152			\$0	(\$152)	54
PIMCO Fds pac Invt Mgmt Ser Total Return (correction Jan 2011)			-465,141	(1,876)			0	1,876	195
PIMCO GNMA Fund									102
Principal High Yield II Fund									112
The Osterweis Strategic Income Fund									414
Reynolds Blue Chip Growth Fund									195
Templeton Global Bond Fund									84
Thornburg International Value Fund									42
Thornburg Value Fund Institutional									45
Wells Fargo Strategic Inc #89									2,175
Wells Fargo Strategic Inc #89									2,175
Money Market Funds									
Federated Treasury Obligations Fund		443							5
Morgan Stanley - Money Market		17,302			53,388		53,388	0	12
Wells Fargo #451									
Cash									
Morgan Stanley		350							
Total Endowment Trusts		<u>71,483</u>			<u>\$51,664</u>		<u>\$53,388</u>	<u>\$1,724</u>	<u>\$27,034</u>

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$10,674,107	\$784	\$0	(\$21,911)	\$10,652,980	\$10,673,425	\$9,283	\$0	\$9,283	\$0	\$9,283
Aug 2010	10,652,980	469	6,240,438	53,446	4,466,457	5,420,803	8,968	0	8,968	0	8,968
Sep 2010	4,466,457	1,297,049	82,550	(21,056)	5,659,900	5,118,861	9,112	0	9,112	0	9,112
Oct 2010	5,659,900	62,551	2,390,186	(35,483)	3,296,782	3,331,120	8,760	0	8,760	0	8,760
Nov 2010	3,296,782	4,258,689	722,455	(16,434)	6,816,582	4,730,335	9,042	0	9,042	0	9,042
Dec 2010	6,816,582	82,464	4,436,213	(22,503)	2,440,330	2,461,382	9,047	0	9,047	0	9,047
Jan 2011	2,440,330	239	169,740	(22,800)	2,248,029	2,417,931	8,736	0	8,736	0	8,736
Feb 2011	2,248,029	238	0	10,446	2,258,713	2,248,640	8,715	0	8,715	0	8,715
Mar 2011	2,258,713	3,658,879	2,079,544	(4,391)	3,833,657	3,124,746	8,930	0	8,930	0	8,930
Apr 2011											
May 2011											
Jun 2011											

Comparative Totals:

Year-to-date	\$10,674,107	\$9,361,362	\$16,121,126	(\$80,686)	\$3,833,657	\$4,391,916	\$80,593	\$0	\$80,593	\$0	\$80,593
FY 2010-11	22,951,558	8,753,754	15,882,231	(57,979)	15,765,102	19,729,644	94,958	188	95,146	0	95,146
Amt Change					(11,931,445)	(15,337,728)	(14,365)	(188)	(14,553)	0	(14,553)
% Change					-75.68%	-77.74%	-15.13%	-100.00%	-15.30%	0.00%	-15.30%

Note: Plant Fund Trusts include all debt service reserve and construction fund accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
PLANT TRUSTS PORTFOLIO
31 March 2011

Schedule E-1-A

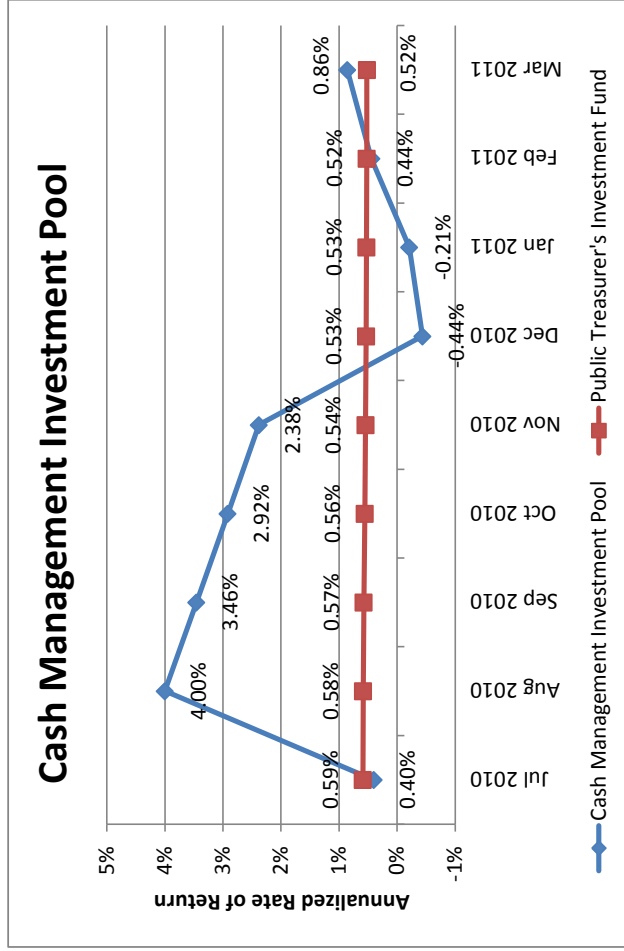
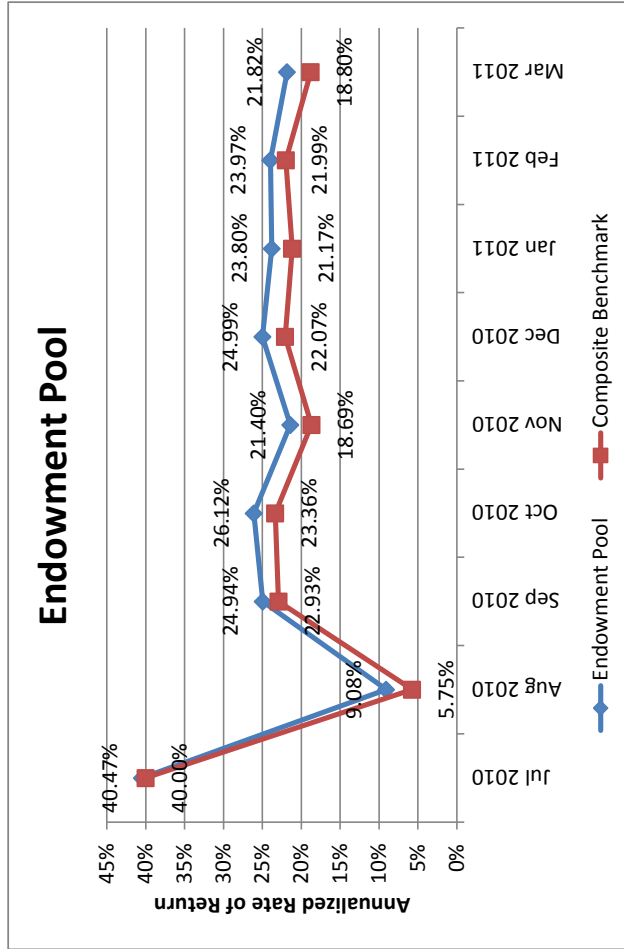
Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Wells Fargo								
Cash						\$1,579,456	\$1,579,456	\$0
Heritage Money Market			Variable			7,516	7,516	0
Build America Bonds	1,645,000		6.20%	06/09/09	04/01/30	1,676,255	1,676,107	(148)
Federal Farm Credit Bank	500,000		4.12%	03/09/11	03/09/21	500,000	498,965	(1,035)
						<u>3,763,227</u>	<u>3,762,044</u>	<u>(1,183)</u>
Public Treasurers' Investment Fund								
Utah Public Treasurers' Investment Fund			0.51%			71,613	71,613	0
						<u>\$3,834,840</u>	<u>\$3,833,657</u>	<u>(\$1,183)</u>
Total Plant Trusts								

UTAH STATE UNIVERSITY
 SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
 For the Month of March 2011

Schedule E-2

	Purchases		Sales		Earnings
	Shares	Cost	Shares	Cost	
Plant Trusts					
Wells Fargo					
Cash		\$1,579,456			
Heritage Money Market		31		\$31	\$8,499
Build America Bonds					
Federal Farm Credit Bank		500,000			
Utah Public Treasurers' Investment Fund		1,579,392		2,079,513	431
Total Plant Trusts		<u>\$3,658,879</u>		<u>\$2,079,544</u>	<u>\$8,930</u>

Summary of Total Investment Returns
 Endowment Pool and Cash Management Investment Pool
 Fiscal Year-to-Date Annualized Rate of Return



ITEM FOR ACTION

RE: Report of Investments for April 2011

The attached Report of Investments for April 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for April 2011 and comparative year-to-date totals for FY 2010-2011 and FY 2009-2010.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during April 2011 was \$251,828,773, down \$11,603,782 from March 2011. Total investment income was \$2,344,518, up \$1,439,188 over March 2011, reflecting the decrease in the amount available for investing and an increase in total investment return. The annualized total investment return was 11.17%, up 7.18% over March 2011.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$253,284,087, up \$25,134,730 (11.02%) over FY 2009-2010. Total interest income for FY 2010-2011 amounted to \$8,078,695, down \$67,444 (0.83%) from FY 2009-2010, reflecting an increase in the amount available for investing and a decrease in interest rates.

The total amount invested at 30 April 2011 was \$248,657,803, up \$18,268,497 (7.93%) over 30 April 2010.

ENDOWMENT POOL

The average daily fair value invested during April 2011 was \$100,973,631, up \$1,599,458 over March 2011. Interest and dividend income of \$241,226 plus net realized gains of \$4,879 totaled \$246,105 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$91,401,039, up \$13,253,534 (16.96%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$2,358,755, up \$247,924 (11.75%) over FY 2009-2010. This increase resulted from \$260,351 more in interest and dividends and \$12,427 less net realized gains during FY 2010-2011. The total amount invested at 30 April 2011 was \$102,391,281, up \$15,127,674 (17.34%) over 30 April 2010.

OTHER INVESTMENTS

The average daily fair value invested during April 2011 was \$17,353,809, up \$141,762 over March 2011. Interest and dividend income of \$28,023 plus net realized gains of \$1,017 totaled \$29,040 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$17,877,250 up \$15,749,565 (740.22%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$1,128,744 up \$1,072,693 (1913.78%) over FY 2009-2010. This increase resulted from \$310,897 more in interest and dividend income and \$761,796 more in net realized gains during FY 2010-2011.

The total amount invested at 30 April 2011 was \$17,516,618, up \$15,452,259 (748.53%) over 30 April 2010.

ENDOWMENT TRUSTS

The average daily fair value invested during April 2011 was \$7,209,225 up \$97,494 over March 2011. Interest and dividend income of \$24,917 less net realized losses of \$208,027 totaled \$183,110 in realized loss for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$6,307,614, up \$1,883,492 (42.57%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$192,648, down \$161,971 (45.67%) from FY 2009-2010. This decrease resulted from \$127,951 more interest and dividend income and \$289,922 less net realized gains during FY 2010-2011.

The total amount invested at 30 April 2011 was \$7,294,013, up \$2,532,735 (53.19%) over 30 April 2010.

PLANT FUND TRUSTS

The average daily fair value invested during April 2011 was \$2,306,210, down \$818,536 from March 2011. Interest income totaled \$8,531 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$4,183,345 down \$14,818,413 (77.98%) from FY 2009-2010. Total realized income for FY 2010-2011 was \$89,124, down \$14,900 (14.32%) from FY 2009-2010. This decrease reflects the decreased amount available for investing and an increase in the rate of return.

The total amount invested at 30 April 2011 was \$2,323,117, down \$9,872,980 (80.95%) from 30 April 2010.

SUMMARY OF INVESTMENT TRANSACTIONS

The University's average daily fair value invested for the month of April 2011 was \$379,671,648. Purchases totaled \$22,441,076 and sales totaled \$33,132,786. From this activity the University realized net losses of \$202,131 and earnings of \$922,039.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for April 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities; and

WHEREAS, The investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office; and

WHEREAS, The investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws; and

WHEREAS, Vice President Cowley requests approval of the attached Report of Investments for the period 1 April 2011 to 30 April 2011 and comparative year-to-date totals for the periods 1 July 2010 to 30 April 2011 and 1 July 2009 to 30 April 2010; and

WHEREAS, The President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 April 2011 to 30 April 2011 and comparative year-to-date totals for the periods 1 July 2010 to 30 April 2011 and 1 July 2009 to 30 April 2010:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for April 2011.

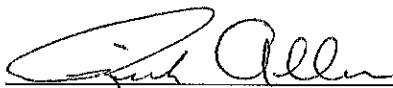
=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

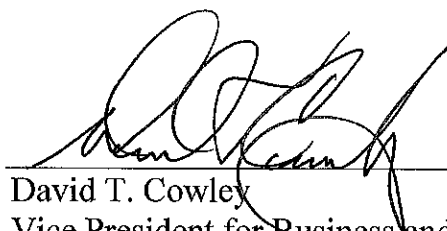
UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
APRIL 2011

The following schedules (A through E2) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.



Rick G. Allen
Controller

6/16/2011
Date



David T. Cowley
Vice President for Business and Finance

6-22-11
Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2010	\$216,853,500	\$46,000,000	\$32,833,952	(\$621,355)	\$229,398,193	\$222,812,096	\$698,576	\$245	\$698,331
Aug 2010	229,398,193	68,205,650	36,600,000	792,325	261,796,168	236,844,006	762,942	(85)	763,027
Sep 2010	261,796,168	38,000,000	34,000,000	(327,360)	265,468,808	260,286,867	814,776	(175)	814,951
Oct 2010	265,468,808	20,330,000	26,000,000	(585,788)	259,213,020	258,493,103	904,224	1,359	902,865
Nov 2010	259,213,020	25,000,000	33,000,000	(747,116)	250,465,904	249,883,533	794,810	0	794,810
Dec 2010	250,465,904	26,993,000	18,000,000	(3,889,142)	255,569,762	242,175,845	854,278	0	854,278
Jan 2011	255,569,762	30,000,000	6,000,000	(655,009)	278,914,753	272,398,039	888,644	(55)	888,699
Feb 2011	278,914,753	12,000,000	20,000,000	237,777	271,152,530	274,686,057	830,420	(15)	830,435
Mar 2011	271,152,530	10,000,000	24,000,000	(5,353)	257,147,177	263,432,555	910,683	286	910,397
Apr 2011	257,147,177	17,000,000	27,214,550	1,725,176	248,657,803	251,828,773	619,342	(70)	619,412
May 2011									
Jun 2011									

Comparative Totals:

Year-to-date	\$216,853,500	\$293,528,650	\$257,648,502	(\$4,075,845)	\$248,657,803	\$253,284,087	\$8,078,695	\$1,490	\$8,077,205
FY 2010-11	199,880,452	277,786,532	246,934,451	(343,227)	230,389,306	228,149,357	8,146,139	4,443	8,141,696
FY 2009-10					18,268,497	25,134,730	(67,444)	(2,953)	(64,491)
Amt Change					7.93%	11.02%	-0.83%	-66.46%	-0.79%
% Change									

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
 For the Month of April 2011

Schedule A-2

	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
	Purchases	Receipts					
Wells Fargo - Sweep Account			\$3		\$3		0.00%
Money Market Account	\$17,000,000	\$17,000,000	8,311		8,311	\$14,766,666	0.68%
Utah Public Treasurers' Investment Fund			44		44	100,000	0.53%
Time Certificates of Deposit			383,150		383,150	93,000,000	4.94%
Commercial Paper and Corporate Notes		5,214,550	(191,415)	\$206,265	14,850	2,433,457	7.32%
Obligations of U. S. Government		5,000,000	363,440	1,279,728	1,643,168	128,198,650	15.38%
Municipal Bonds			55,809	239,183	294,992	13,330,000	26.56%
Total	\$17,000,000	\$27,214,550	\$619,342	\$1,725,176	\$2,344,518	\$251,828,773	11.17%

UTAH STATE UNIVERSITY
 SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
 For the Month of April 2011

Schedule A-3

	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
<u>Cash Management Investment Pool</u>						
Wells Fargo - Sweep Account						\$3
Money Market Account					\$0	8,311
Utah Public Treasurers' Investment Fund		\$17,000,000		\$17,000,000		44
Time Certificates of Deposit						383,150
Commercial Paper and Corporate Notes			5,214,550	5,214,550	0	(191,415)
Obligations of U. S. Government Municipal Bonds			5,000,000	5,000,000	0	363,440
						55,809
Total Cash Management Investment Pool		<u>\$17,000,000</u>		<u>\$27,214,550</u>	<u>\$0</u>	<u>\$619,342</u>

UTAH STATE UNIVERSITY
 ENDOWMENT POOL
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2010	\$80,490,686	\$507,378	\$419,279	\$2,716,693	\$83,295,478	\$81,893,082	\$247,666	\$3,479	\$251,145	\$6,888	\$244,257
Aug 2010	83,295,478	482,862	366,240	(1,662,805)	81,749,295	82,522,387	176,817	3,758	180,575	6,826	173,749
Sep 2010	81,749,295	308,559	197,761	3,759,851	85,619,944	83,684,620	195,214	3,704	198,918	9,583	189,335
Oct 2010	85,619,944	3,594,700	246,451	1,782,212	90,750,405	88,185,175	172,153	4,316	176,469	7,471	168,998
Nov 2010	90,750,405	6,259,928	6,041,765	(54,440)	90,914,128	90,832,267	179,186	4,178	183,364	7,293	176,071
Dec 2010	90,914,128	755,358	194,108	2,502,316	93,977,694	92,445,911	511,984	4,499	516,483	10,798	505,685
Jan 2011	93,977,694	3,446,024	895,429	985,832	97,514,121	95,745,908	210,641	4,533	215,174	7,677	207,497
Feb 2011	97,514,121	441,541	413,300	1,650,003	99,192,365	98,353,243	210,205	(10,315)	199,890	7,002	192,888
Mar 2011	99,192,365	6,149,845	5,840,002	53,773	99,555,981	99,374,173	186,025	4,607	190,632	11,151	179,481
Apr 2011	99,555,981	4,452,144	3,378,350	1,761,506	102,391,281	100,973,631	241,226	4,879	246,105	9,124	236,981
May 2011											
Jun 2011											

Comparative Totals:

Year-to-date											
FY 2010-11	\$80,490,686	\$26,398,339	\$17,992,685	\$13,494,941	\$102,391,281	\$91,401,039	\$2,331,117	\$27,638	\$2,358,755	\$83,813	\$2,274,942
FY 2009-10	69,522,394	10,856,017	4,009,876	10,895,072	87,263,607	78,147,505	2,070,766	40,065	2,110,831	102,851	2,007,980
Amt Change					15,127,674	13,253,534	260,351	(12,427)	247,924	(19,038)	266,962
% Change					17.34%	16.96%	12.57%	-31.02%	11.75%	-18.51%	13.30%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$26,509,403 principal beginning balance, a \$24,616,626 ending balance, and a \$24,378,997 average daily balance for the current month. Current month interest and dividends from the CMIP were \$59,560 bringing the total to \$915,101 year-to-date. These amounts have also been reported in Schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of April 2011

Schedule B-2

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Endowment Pool Transactions							
Cash Management Investment Pool							
Utah State University		\$1,298,276		\$3,191,052	\$3,191,052	\$0	\$59,560
Equities							
Wells Fargo Advantage Index Fund #88							8,594
UTS SPDR Trust Ser 1 (SPY)							8,667
Mutual Funds							
High Yield Bond Fund - Administrator Class	4,648,696	14,829					14,829
Multi-Strategy Bond Fund			68,847	798	984	186	16,791
Multi-Strategy Equity Fund			29,191	1,364	6,057	4,693	31,560
PIMCO - Diversified Income Inst.	2,660,207	30,938					30,938
Obligations of U.S. Government							
GNMA			21,930	22	22	0	1,472
Interest							
Corporate Bonds and Notes							
Bond Interest							594
Alternatives							
Commonfund							
CEP VII		20,000					
Morgan Stanley							
Morgan Stanley TALF Fund (Cayman) L.P.		13,720		171,137	171,137	0	13,720
TIAA-CREF							
TIAA-CREF Core Property			0,294				3,113
Cash		3,113		7,549	7,549	0	
Woodbury							
Woodbury Strategic Partners Fund, L.P.							6,355
WSP Centre Point Co-Investors, LLC							3,384
Money Market Funds							
Advantage Cash #250		3,624		5	5	0	5
Advantage Cash #451		26,000					
Advantage Cash Institutional Class #451				44	44	0	
PENN Core High Yield Bond Fund II, L.P.		3,041,644		1,500	1,500	0	41,644
Total Endowment Pool Transactions		\$4,452,144		\$3,373,471	\$3,378,350	\$4,879	\$241,226

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
*Jul 2010	\$20,724,312	\$1,442,105	\$1,534,562	\$680,738	\$21,312,593	\$21,018,453	\$38,807	\$976	\$39,783
Aug 2010	21,312,593	1,540	2,687,395	(246,670)	18,380,068	19,846,331	37,857	1,166	39,023
Sep 2010	18,380,068	32,676	32,860	754,984	19,134,868	18,757,468	43,894	661	44,555
Oct 2010	19,134,868	74,672	3,267,773	435,308	16,377,075	17,755,972	34,211	832,380	866,591
Nov 2010	16,377,075	325,868	356,579	(54,007)	16,292,357	16,334,716	34,451	3,397	37,848
Dec 2010	16,292,357	1,170,718	1,036,512	380,095	16,806,658	16,549,508	35,569	(51,857)	(16,288)
Jan 2011	16,806,658	10,101	80,964	188,527	16,924,322	16,865,490	32,579	540	33,119
Feb 2011	16,924,322	6,411	6,383	308,744	17,233,094	17,078,708	32,615	1,145	33,760
Mar 2011	17,233,094	57,187	57,360	(41,922)	17,190,999	17,212,047	20,620	693	21,313
Apr 2011	17,190,999	29,289	63,278	359,608	17,516,618	17,353,809	28,023	1,017	29,040
May 2011									
Jun 2011									

Comparative Totals:

Year-to-date									
FY 2010-11	\$20,724,312	\$3,150,567	\$9,123,666	\$2,765,405	\$17,516,618	\$17,877,250	\$338,626	\$790,118	\$1,128,744
FY 2009-10	1,900,219	2,605,245	2,618,645	177,540	2,064,359	2,127,685	27,729	28,322	56,051
Amt Change					15,452,259	15,749,565	310,897	761,796	1,072,693
% Change					748.53%	740.22%	1121.20%	2689.77%	1913.78%

Note: Other Investments include donor designated and other specified investments. The beginning fair value has been adjusted to include investments previously

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of April 2011

Schedule C-2
Page 1 of 1

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
<u>Other Investments</u>							
Corporate Bonds and Notes							
U.S. Treasury Bond							\$667
U.S. West Communications Common and Preferred Stock							60
Morgan Stanley Smith Barney							
Apple Inc	80,000	\$28,174	80,000	\$28,174	\$27,969	(\$205)	
Utah Public Treasurers' Investment Fund					32,855	0	383
Mutual Funds							
Commonfund							
CEU Title III							
Multi-Strategy Bond			79,280	841	1,129	288	19,274
Multi-Strategy Equity			6,389	391	1,325	934	6,907
Alternatives							
TriCor							
Inland America Real Estate Trust	91,204	732					732
<u>Total Other Investments</u>		<u>\$29,289</u>		<u>\$62,261</u>	<u>\$63,278</u>	<u>-\$1,017</u>	<u>\$28,023</u>

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$4,162,814	\$23,027	\$43,830	\$339,682	\$4,481,693	\$4,322,254	\$13,486	\$2	\$13,488	\$500	\$12,988
Aug 2010	4,481,693	232,955	226,654	(120,041)	4,367,953	4,424,823	12,184	(16,557)	(4,373)	0	(4,373)
Sep 2010	4,367,953	3,111,481	1,049,486	363,638	6,793,586	5,580,770	54,463	55,933	110,396	0	110,396
Oct 2010	6,793,586	197,585	261,504	44,793	6,774,460	6,784,023	14,645	1,170	15,815	3,075	12,740
Nov 2010	6,774,460	19,272	4,532	(120,120)	6,669,080	6,721,770	14,616	0	14,616	4,009	10,607
Dec 2010	6,669,080	81,229	1,904	262,872	7,011,277	6,840,179	74,956	0	74,956	1,904	73,052
Jan 2011	7,011,277	1,321,633	1,399,263	92,566	7,026,213	7,018,745	33,977	70,918	104,895	2,271	102,624
Feb 2011	7,026,213	1,258,975	1,332,050	145,887	7,099,025	7,062,619	17,207	0	17,207	2,396	14,811
Mar 2011	7,099,025	71,483	53,388	7,317	7,124,437	7,111,731	27,034	1,724	28,758	1,630	27,128
Apr 2011	7,124,437	908,217	897,152	158,511	7,294,013	7,209,225	24,917	(208,027)	(183,110)	4,621	(187,731)
May 2011											
Jun 2011											

Comparative Totals:

Year-to-date											
FY 2010-11	\$4,162,814	\$7,225,857	\$5,269,763	\$1,175,105	\$7,294,013	\$6,307,614	\$287,485	(\$94,837)	\$192,648	\$20,406	\$172,242
FY 2009-10	3,797,982	831,950	766,630	897,976	4,761,278	4,424,122	159,534	195,085	354,619	2,097	352,522
Amt Change					2,532,735	1,883,492	127,951	(289,922)	(161,971)	18,309	(180,280)
% Change					53.19%	42.57%	80.20%	-148.61%	-45.67%	873.10%	-51.14%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
 SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
 For the Month of April 2011

Schedule D-2
 Page 1 of 1

Endowment Trusts	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Cost	Gain/(Loss)			
Common and Preferred Stock									
American Electric Power Co.	3,300,000	\$117,765							
Centurylink Inc	3,000,000	119,849							
Citigroup			5,600,000	\$261,341	\$25,078	(\$236,263)			
Kraft Foods Inc			11,692,000	362,370	390,606	28,236			
PPL Corporation	8,800,000	238,843							\$18,954
Funds held at Morgan Stanley - Dividends									
Other									
Atlas Resources LP									178
Mutual Funds									
Eaton Vance Floating Rate & High Income									174
PIMCO Funds Short-Term (correction Jan 2011)									59
PIMCO Fds pac Invt Mgmt Ser Total Return (correction Jan 2011)									217
PIMCO GNMA Fund									110
Principal High Yield II Fund									308
Templeton Global Bond Fund									195
Vanguard Short-term US Treasury Fund									45
Vanguard Fixed Income Secs F Infl Prot Secs									321
Wells Fargo Strategic Inc #89									2,175
Wells Fargo Strategic Inc #89									2,175
Money Market Funds									
Federated Treasury Obligations Fund		1,648		4,161	4,161	0			
Morgan Stanley - Money Market		428,612		476,457	476,457	0			6
Wells Fargo #451				500	500	0			
Cash									
Morgan Stanley		1,500		350	350	0			
Total Endowment Trusts		\$908,217		\$1,105,179	\$897,152	(\$208,027)			\$24,917

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$10,674,107	\$784	\$0	(\$21,911)	\$10,652,980	\$10,673,425	\$9,283	\$0	\$9,283	\$0	\$9,283
Aug 2010	10,652,980	469	6,240,438	53,446	4,466,457	5,420,803	8,968	0	8,968	0	8,968
Sep 2010	4,466,457	1,297,049	82,550	(21,056)	5,659,900	5,118,861	9,112	0	9,112	0	9,112
Oct 2010	5,659,900	62,551	2,390,186	(35,483)	3,296,782	3,331,120	8,760	0	8,760	0	8,760
Nov 2010	3,296,782	4,258,689	722,455	(16,434)	6,816,582	4,730,335	9,042	0	9,042	0	9,042
Dec 2010	6,816,582	82,464	4,436,213	(22,503)	2,440,330	2,461,382	9,047	0	9,047	0	9,047
Jan 2011	2,440,330	239	169,740	(22,800)	2,248,029	2,417,931	8,736	0	8,736	0	8,736
Feb 2011	2,248,029	238	0	10,446	2,258,713	2,248,640	8,715	0	8,715	0	8,715
Mar 2011	2,258,713	3,658,879	2,079,544	(4,391)	3,833,657	3,124,746	8,930	0	8,930	0	8,930
Apr 2011	3,833,657	51,426	1,579,456	17,490	2,323,117	2,306,210	8,531	0	8,531	0	8,531
May 2011											
Jun 2011											

Comparative Totals:

Year-to-date	\$10,674,107	\$9,412,788	\$17,700,582	(\$63,196)	\$2,323,117	\$4,183,345	\$89,124	\$0	\$89,124	\$0	\$89,124
FY 2010-11	22,951,558	8,805,128	19,525,229	(35,360)	12,196,097	19,001,758	103,836	188	104,024	0	104,024
FY 2009-10					(9,872,980)	(14,818,413)	(14,712)	(188)	(14,900)	0	(14,900)
Amt Change					-80.95%	-77.98%	-14.17%	-100.00%	-14.32%	0.00%	-14.32%
% Change											

Note: Plant Fund Trusts include all debt service reserve and construction fund accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
 SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
 For the Month of April 2011

Schedule E-2

	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Shares	Cost			
Plant Trusts									
Wells Fargo									
Cash		\$50,995		\$1,579,456		\$1,579,456	\$0		\$8,499
Heritage Money Market		431							32
Utah Public Treasurers' Investment Fund									
Total Plant Trusts		<u>\$51,426</u>		<u>\$1,579,456</u>		<u>\$1,579,456</u>	<u>\$0</u>		<u>\$8,531</u>

ITEM FOR ACTION

RE: Delegation/Administrative Reports – 04/15/11 to 05/13/11 (Quarterly Reports)

Utah State University has been given authority by the Division of Facilities Construction and Management (DFCM) to exercise direct supervision of the design and construction of all alterations, repairs, and improvements to existing facilities on individual projects with budgets of less than \$4 million. The supervision of new construction can also be delegated to USU on a project-by-project basis and can exceed the \$4 million amount. As part of the delegation authority, administrative reports are prepared by USU and presented to the Utah State Building Board at each of its meetings. The monthly format of USU's reports is similar to that of the DFCM's reports presenting professional and construction contracts issued during the reporting period, contingency and project reserves status, and a delegated projects list showing budget and status. On a quarterly basis, additional reports are prepared showing contingency fund cumulative transfers, a summary of the statewide (paving) account, and a construction contract status. The Board of Regents has transferred its authority to review the delegation/administrative reports to the Board of Trustees.

The reports have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The following is a summary of the administrative reports for USU for the period 04/15/11 to 05/13/11. Quarterly reports on the Contingency Fund Cumulative Transfers, Summary of the Statewide Accounts, and Construction Contract Status are also included.

Professional Contracts, 2 contracts issued (Page 1)

Comments are provided on the report.

Construction Contracts, 4 contracts issued (Page 2)

These are all miscellaneous contracts. Additional comments are provided on the report.

Report of Contingency Reserve Fund (Page 3)

There was no activity for this reporting period.

Contingency Fund Cumulative Transfers Quarterly Report (Pages 4-5)

The Contingency Fund is in good order. The FY12 state funded capital improvement projects have been added.

Report of Project Reserve Fund Activity (Page 6)

The Water Lab Fume Hood project closed adding \$31,341.00 to the Project Reserve Fund.

Summary of the Statewide Accounts Quarterly Report (Page 7)

One FY12 state funded Paving project has been added.

Construction Contract Status Quarterly Report (Pages 8-9)

Since the last quarterly report, 6 contracts have closed, 16 are in progress, and 7 new contracts have been issued. The open contracts that are over in time are due to minor contractor issues, unknown conditions surfacing during the course of construction, and a lag time in paperwork being processed to extend days.

Current Delegated Projects List (Pages 10-11)

Of USU's 61 projects, 6 are Complete, 8 Substantially Complete, 24 in Construction, 7 in the Design/Study phase, and 16 Pending. The FY12 state funded capital improvement projects have been added. Completed projects for the quarter are Equine Education Center, A/C & Controls Upgrade FY08, Planning & Design Fund FY08, Water Lab Fume Hood Upgrade, CPD Playground, and Miscellaneous Paving.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the delegation/administrative reports for the current reporting period be approved as presented.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The Utah Legislature appropriates state funds through the Division of Facilities Construction and Management to Utah State University for the purpose of capital improvements;

WHEREAS, The Division of Facilities Construction and Management has delegated its authority to exercise direct supervision of the design and construction of such capital improvements to Utah State University;

WHEREAS, Non-state funded projects with budgets of less than \$4 million are also delegated to Utah State University;

WHEREAS, Projects in excess of \$4 million can also be delegated to Utah State University with specific approval of the Utah State Building board;

WHEREAS, Utah State University presents reports on the status of all its delegated projects to the Utah State Building Board on a regular basis;

WHEREAS, The State Board of Regents has transferred its authority to review the delegation/ administrative reports to the Board of Trustees;

WHEREAS, The President and Vice President for Business and Finance have reviewed the delegation/ administrative reports for the current reporting period and recommend approval of the reports to the Board of Trustees; and

WHEREAS, The Board of Trustees has reviewed and given due consideration to the delegation/ administrative reports:

NOW, THEREFORE, BE IT RESOLVED that the USU Board of Trustees hereby approves the delegation/ administrative reports as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date



Professional Contracts Awarded From 04/15/11 to 05/13/11

Contract Name	Firm Name	A/E Budget	Fee Amount	Comments
1 Medium Voltage Upgrade FY11	Intermountain Consumer Professional Engineers (ICPE)	\$35,398.00	\$16,650.00	Design of South Substation transformer
MISCELLANEOUS CONTRACTS				
2 Paving (Student Living Center Parking Lot)	Cache Landmark Engineering	\$37,083.00	\$33,790.00	Survey



Construction Contracts Awarded From 04/15/11 to 05/13/11

Project	Firm Name	Design Firm	Const Budget	Contract Amt	Comments
MISCELLANEOUS CONTRACTS					
1 Chiller Controls Upgrades FY12	Carrier Corporation	USU Facilities Planning and Design	\$95,238.00	\$75,336.00	Equipment only
2 Rich/Davis Halls Renovation	Environmental Abatement	USU Facilities Planning and Design	\$700,750.00	\$20,716.00	Asbestos abatement
3 Geology to Maeser Steam Replacement	Eagle Environmental	USU Facilities Planning and Design	\$50,000.00	\$13,786.00	Asbestos abatement
4 Medium Voltage Upgrade FY11	Edge Excavation	Intermountain Consumer Professional Engineers (ICPE)	\$442,478.00	\$10,884.00	Bridge demolition



Report of Contingency Reserve Fund From 04/15/11 to 05/13/11

Project Title	Current Transfers	Total Transfers To (From) Contingency	% to Construction Budget	Project Status	% Completed (Paid)
BEGINNING BALANCE	\$532,847.96				
INCREASES TO CONTINGENCY RESERVE FUND					
None					
DECREASES TO CONTINGENCY RESERVE FUND					
None					
ENDING BALANCE	\$532,847.96				

Contingency Fund Cumulative Transfers Quarterly Report (As of 05/13/11)

Project Title	% of Const. Budget to Contingency Fund	02/11/11		05/13/11		Project Status
		Transfers To (From) Contingency	% To Construction Budget	Transfers To (From) Contingency	% To Construction Budget	
CEP Chillers	7.00%	(\$82,612.32)	6.56%	(\$102,910.29)	8.18%	Construction
Miscellaneous Critical Improvements FY09	6.00%	(\$10,607.00)	6.00%	(\$10,607.00)	6.00%	Construction
Business MCC and E-Panel	6.00%	(\$5,454.00)	6.00%	(\$5,454.00)	6.00%	Construction
Classroom Upgrades FY10	6.00%	(\$14,354.66)	5.57%	(\$14,354.66)	5.57%	Construction
Health, Life Safety & Code Compliance Projects FY11	5.00%	(\$4,424.00)	5.00%	(\$4,424.00)	5.00%	Construction
Miscellaneous Critical Improvements FY10	6.00%	(\$17,676.06)	4.95%	(\$17,676.06)	4.95%	Construction
Theater Stage Rigging	5.00%	(\$32,700.00)	4.25%	(\$32,700.00)	4.25%	Substantial Completion
Fine Arts Complex Safety Repairs	6.00%	(\$10,849.45)	3.58%	(\$10,849.45)	3.58%	Construction
NE Steam Line Phase IV FY11	5.00%	(\$19,933.96)	3.29%	(\$19,933.96)	3.29%	Substantial Completion
HPER Office/Classroom Upgrade	6.00%	(\$4,318.00)	2.56%	(\$4,318.00)	2.56%	Construction
Miscellaneous Critical Improvement FY11	5.00%	(\$3,387.00)	2.39%	(\$3,387.00)	2.39%	Construction
A/C & Controls Upgrade FY08	7.00%	(\$3,365.00)	0.88%	(\$7,479.85)	1.97%	Complete
Campus Wide Medium Voltage Upgrade FY10	6.00%	(\$9,956.73)	1.66%	(\$9,956.73)	1.66%	Construction
Ray B. West Window Replacement	6.00%	(\$9,124.00)	1.43%	(\$9,124.00)	1.43%	Substantial Completion
Family Life Window Replacement	5.00%	(\$8,168.71)	1.42%	(\$8,168.71)	1.42%	Construction
Health, Life Safety & Code Compliance Projects FY09	4.00%	(\$1,365.19)	0.75%	(\$1,365.19)	0.75%	Substantial Completion
Water Lab Fume Hood Upgrade	8.50%	(\$9,123.58)	0.72%	(\$9,434.11)	0.75%	Complete
Health, Life Safety & Code Compliance Projects FY10	6.00%	(\$470.42)	0.53%	(\$470.42)	0.53%	Construction
Classroom Upgrades FY11	5.00%	(\$1,188.98)	0.46%	(\$1,188.98)	0.46%	Construction
Fire Alarm Upgrades FY08	7.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Fire Protection (Various Buildings) FY11	5.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Sign System FY11	5.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Medium Voltage Upgrade FY11	5.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
Campus Wide Bike Racks FY11	5.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
1200 East (Aggie Village) Landscape	5.00%	\$0.00	0.00%	\$0.00	0.00%	Construction
BNR Fire Protection Phase II	5.00%	\$0.00	0.00%	\$0.00	0.00%	Pending

Building Commissioning FY12	5.00%				\$0.00	0.00%	Pending
Campus Wide Bike Racks FY12	5.00%				\$0.00	0.00%	Pending
Chilled Water - Edith Bowen/CPD	5.00%				\$0.00	0.00%	Pending
Chiller Controls Upgrades FY12	5.00%				\$0.00	0.00%	Construction
Classroom/Auditorium Upgrades FY12	5.00%				\$0.00	0.00%	Pending
Concrete Replacement FY12	5.00%				\$0.00	0.00%	Pending
Geology to Maeser Steam Replacement	5.00%				\$0.00	0.00%	Construction
Health/Life Safety/Code/Asbestos FY12	5.00%				\$0.00	0.00%	Pending
Medium Voltage Upgrades FY12	5.00%				\$0.00	0.00%	Pending
Miscellaneous Critical Improvements FY12	5.00%				\$0.00	0.00%	Pending
Sign System FY12	5.00%				\$0.00	0.00%	Pending
South Farm Sewer Line	5.00%				\$0.00	0.00%	Pending
Steam Line Insulation FY12	5.00%				\$0.00	0.00%	Pending

Report of Project Reserve Fund Activity From 04/15/11 to 05/13/11

Project Title	Transfer Amount	Description	% of Construction Budget
BEGINNING BALANCE	\$229,447.54		
INCREASES TO PROJECT RESERVE FUND			
Water Lab Fume Hood Upgrade	31,341.00	Close project	2.48%
DECREASES TO PROJECT RESERVE FUND			
None			
ENDING BALANCE	\$260,788.54		



Summary of the Statewide Accounts Quarterly Report (As of 05/13/11)

DFCM Project Number	Project	Key	DFCM Statewide Funds	USU/ Other Funds	Total Project Budget	Previous Encumb.	Current Encumb.	Total Encumb.	Project Status
PAVING	Paving (Student Living Ctr Parking Lot) Miscellaneous Paving	A	340,562 0		340,562 0	0 0	33,790 0	33,790 0	Survey Complete
TOTALS			\$340,562	\$0	\$340,562	\$0	\$33,790	\$33,790	

Key:
A - Project from 2011-12 Priority List

Construction Contract Status Quarterly Report (As of 05/13/11)

Project Manager	Project Number	Contract Name	Vendor	Current Contract Amount	Current Contract Days	Days Left	% Paid	Sub Comp
CLOSED CONTRACTS								
Graham	A21088	Utah Botanical Center Wetland Lab ARRA Imps	Jacobsen Construction	\$456,492	421	-185	100.0%	04/07/11
Fitch	A21736	Equine Education Center	Darrell W. Anderson Construction	\$3,257,922	318	-18	100.0%	09/01/10
Carrillo	A18976	Water Lab Fume Hood Upgrade	Advanced Heating & Air Conditioning	\$1,195,544	379	-11	100.0%	03/03/11
Whitaker	A22913	Theater Stage Rigging	Oasis Stage Werks	\$792,950	120	-3	100.0%	01/31/11
Berrett	A22917	Miscellaneous Critical Improvements FY11	YESCO Electronics	\$85,696	179	0	100.0%	12/31/10
Carrillo	A16969	A/C & Controls Upgrade FY08	USU Facilities (In-house construction)	\$64,056	n/a	n/a	100.0%	n/a
OPEN CONTRACTS								
Graham	A23644	HSRC 2nd Floor Remodel	Bailey Construction	\$311,267	211	-29	81.9%	
Mortensen	A22912	NE Steam Line Phase IV FY11	Spindler Construction	\$597,414	159	14	99.2%	12/17/10
Graham	A22906	Family Life Window Replacement	Raymond Construction	\$673,652	373	19	93.7%	
Graham	A20593	Utah Botanical Center Classroom Building	Gramoll Construction	\$2,175,765	290	27	91.4%	04/02/11
Carrillo	A22910	Fire Protection FY11 + Fire Alarm Upgrade FY08 (Combined project)	Spindler Construction	\$620,900	202	94	0.0%	
Whitaker	A20861	Fine Arts Complex Safety Repairs	USU Facilities (In-house construction)	\$372,682	n/a	n/a	100.0%	Not done
Beck	A20859	Classroom Upgrades FY10	USU Facilities (In-house construction)	\$253,708	n/a	n/a	97.9%	
Carrillo	A20856	Miscellaneous Critical Improvements FY10	USU Facilities (In-house construction)	\$209,152	n/a	n/a	93.5%	
Holt	A20557	Campus-wide Medium Voltage Upgrades FY10	USU Facilities (In-house construction)	\$145,655	n/a	n/a	31.7%	
Berrett	A18985	Miscellaneous Critical Improvements FY09	USU Facilities (In-house construction)	\$137,492	n/a	n/a	99.3%	
Beck	A22909	Classroom Upgrades FY11	USU Facilities (In-house construction)	\$92,939	n/a	n/a	81.4%	
Berrett	A22908	Health, Life Safety & Code Compliance FY11	USU Facilities (In-house construction)	\$79,429	n/a	n/a	53.4%	
Holt	A20864	Business MCC and E-Panel	USU Facilities (In-house construction)	\$73,361	n/a	n/a	57.0%	
Berrett	A20858	Health, Life Safety & Code Compliance FY10	USU Facilities (In-house construction)	\$59,785	n/a	n/a	98.6%	
Beck	A20860	HPER Office/Classroom Upgrade	USU Facilities (In-house construction)	\$23,341	n/a	n/a	71.6%	
Guth	A22915	Campus Wide Bike Racks FY11	USU Facilities (In-house construction)	\$23,256	n/a	n/a	0.1%	

Project Manager	Project Number	Contract Name	Vendor	Current Contract Amount	Current Contract Days	Days Left	% Paid	Sub Comp
NEW CONTRACTS								
Mortensen	A16881	CEP Chillers	A.H. Palmer & Sons	\$101,403	49	6	0.0%	
Fitch	A24472	Innovation Campus Demolition/Land Cleanup	Environmental Abatement	\$95,145		48	0.0%	
Fitch	A24472	Innovation Campus Demolition/Land Cleanup	Edge Excavation	\$111,260	101	84	0.0%	
Mortensen	A24159	Chilled Water Thermal Storage	Spindler Construction	\$2,606,629	298	224	23.1%	
Graham	A24755	Rich/Davis Halls Renovation	Spindler Construction	\$739,577	97		0.0%	
Holt	A22914	Medium Voltage Upgrade FY11	USU Facilities (In-house construction)	\$13,548	n/a	n/a	0.0%	
Fitch	A24472	Innovation Campus Demolition/Land Cleanup	USU Facilities (In-house construction)	\$12,260	n/a	n/a	0.0%	



Current Delegated Projects List

05/13/11

Project Number	Project Name	Phase	Project Budget
CAPITAL DEVELOPMENT/IMPROVEMENT			
A21736	Equine Education Center	Complete	\$3,348,784
A16969	A/C & Controls Upgrade FY08	Complete	460,995
A16873	Fire Alarm Upgrades FY08	Construction	282,051
A16881	CEP Chillers	Construction	1,540,800
A16971	Planning & Design Fund FY08	Complete	97,097
A18972	Planning & Design Fund FY09	Design/Study	100,000
A18973	Health, Life Safety & Code Compliance Projects FY09	Substantial Completion	194,092
A18976	Water Lab Fume Hood Upgrade	Complete	1,370,740
A18985	Miscellaneous Critical Improvements FY09	Construction	206,800
A20557	Campus-wide Medium Voltage Upgrade FY10	Construction	624,013
A20794	Ray B. West Window Replacement	Substantial Completion	770,724
A20856	Miscellaneous Critical Improvements FY10	Construction	396,247
A20857	Planning & Design Fund FY10	Design/Study	100,000
A20858	Health, Life Safety & Code Compliance Projects FY10	Construction	107,612
A20859	Classroom Upgrades FY10	Construction	298,903
A20860	HPER Office/Classroom Upgrade	Construction	220,282
A20861	Fine Arts Complex Safety Repairs	Construction	431,836
A20864	Business MCC and E-Panel	Construction	100,000
A21088	Utah Botanical Center Wetland Lab ARRA Improvements	Substantial Completion	512,771
A20593	Utah Botanical Center Classroom Building	Substantial Completion	2,860,656
A22986	Merlin Olsen Monument	Substantial Completion	250,000
A22907	Planning and Design Fund FY11	Design/Study	75,000
A22908	Health, Life Safety & Code Compliance Projects FY11	Construction	100,000
A22909	Classroom Upgrades FY11	Construction	407,151
A22906	Family Life Window Replacement	Construction	677,031
A22910	Fire Protection (Various Buildings) FY11	Construction	573,795
A22911	Sign System FY11	Construction	47,747
A22912	NE Steam Line Phase IV FY11	Substantial Completion	689,671

A22913	Theater Stage Rigging	Substantial Completion	894,238
A22914	Medium Voltage Upgrade FY11	Construction	477,876
A22915	Campus Wide Bike Racks FY11	Construction	47,747
A22917	Miscellaneous Critical Improvements FY11	Construction	153,315
A23116	CPD Playground	Complete	114,455
A23644	HSRC 2nd Floor Remodel	Construction	468,951
A23857	Spectrum Volleyball Locker Room Remodel	Design only	10,000
A24159	Chilled Water Thermal Storage	Construction	2,815,654
A24112	Laub Indoor Turf Replacement	Substantial Completion	251,555
A23999	CEU Life Safety/Signage	Design	333,424
A24472	Innovation Campus Demolition/Land Cleanup	Construction	336,139
A24756	Sant Engineering Clean Room Remodel	Design	400,000
A24755	Rich/Davis Hall Renovation	Construction	871,501
A24870	1200 East (Aggie Village) Landscape (NEW PROJECT)	Pending	100,000
A24860	BNR Fire Protection Phase II (NEW PROJECT)	Pending	600,000
A24858	Building Commissioning FY12 (NEW PROJECT)	Pending	200,000
A24863	Campus Wide Bike Racks FY12 (NEW PROJECT)	Pending	50,000
A24861	Chilled Water - Edith Bowen/CPD (NEW PROJECT)	Pending	300,000
A24868	Chiller Controls Upgrades FY12 (NEW PROJECT)	Construction	100,000
A24857	Classroom/Auditorium Upgrades FY12 (NEW PROJECT)	Pending	400,000
A24869	Concrete Replacement FY12 (NEW PROJECT)	Pending	250,000
A24873	Geology to Maeser Steam Replacement (NEW PROJECT)	Construction	350,000
A24856	Health/Life Safety/Code/Asbestos FY12 (NEW PROJECT)	Pending	150,000
A24872	Medium Voltage Upgrades FY12 (NEW PROJECT)	Pending	250,000
A24859	Miscellaneous Critical Improvements FY12 (NEW PROJECT)	Pending	275,000
A24862	NFS HVAC Design (NEW PROJECT)	Pending	200,000
A24855	Planning and Design Fund FY12 (NEW PROJECT)	Pending	102,903
A24866	Quad Area Utility Upgrade (NEW PROJECT)	Pending	150,000
A24865	Sign System FY12 (NEW PROJECT)	Pending	50,000
A24864	South Farm Sewer Line (NEW PROJECT)	Pending	75,000
A24867	Steam Line Insulation FY12 (NEW PROJECT)	Pending	100,000
PAVING (STATEWIDE)			
A08076	Miscellaneous Paving	Complete	0
A24871	Paving (Student Living Center Parking Lot) (NEW PROJECT)	Survey	340,562
TOTAL (61)			<u><u>\$28,063,118</u></u>

ITEM FOR ACTION

RE: Capital Development Priority List for Fiscal Year 2012-13 (Request to the Board of Regents)

The Utah State University Capital Development Priority List for Fiscal Year 2012-13 is being presented for the Board of Trustees' consideration. The Capital Development Priority List has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

Utah State University is allowed to submit two projects for ranking by the Board of Regents Capital Development Prioritization (CDP) process. The number one priority building project is the Fine Arts Complex Addition/Renovation. The second priority is being evaluated for submission to the CDP from the following projects:

USU Eastern Arts and Education Building
New Brigham City Regional Campus Classroom Building

Other building projects to be submitted in the five year plan are:

HPER Renovation/Addition
Biology-Natural Resources Renovation/Addition
Animal Science Renovation
Ray B. West Renovation

Operation and Maintenance (O&M) costs will be requested at the appropriate time on those projects that are qualified to receive state funding.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Utah State University Capital Development Priority List for Fiscal Year 2012-13 be approved as presented.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The Utah Legislature appropriates state funds for the purpose of capital facilities development; and

WHEREAS, Utah State University is required to submit its prioritized recommendation for such projects to the Board of Regents after presentation to the USU Board of Trustees; and

WHEREAS, Utah State University considers all capital facility development requests received from colleges and departments in developing the Capital Development Priority List; and

WHEREAS, The President and Vice President for Business and Finance have reviewed the Capital Development Priority List for Fiscal Year 2012-13 and recommend its approval to the USU Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration to the Capital Development Priority List for Fiscal Year 2012-13:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the Capital Development Priority List for Fiscal Year 2012-13 as presented.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES:

Date

**PROPOSED
Capital Development List
FY 2012-13**

<u>Project Name</u>	<u>Approximate Budget</u>
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BUILDINGS – Requesting State Funding

Logan Campus

Fine Arts Complex Addition/Renovation	\$20,300,000
HPER Renovation/Addition	\$45,000,000
Biology-Natural Resources Renovation/Addition	\$45,000,000
Animal Science Renovation	\$12,000,000
Ray B. West Renovation	\$12,000,000

Statewide Campuses

USU Eastern Arts and Education Building	\$22,000,000
New Brigham City Regional Campus Classroom Building	\$15,000,000

INFRASTRUCTURE – Requesting State Funding

Logan Campus

Wind Turbine Generator	\$ 4,000,000
Utility Tunnel Extension	\$ 5,000,000

Statewide Campuses

Tooele Regional Campus Utility Infrastructure	\$ 5,000,000
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NON-STATE FUNDED PROJECTS

UPR Radio Station	\$2,500,000
Blanding Housing Project	\$4,000,000

Utah State University
State Funded Capital Development Projects
Five Year Plan
FY 2012-13
June 23, 2011

The anticipated State Funded Capital Development projects planned for Utah State University over the next five years are listed below:

Project #1 Fine Arts Complex Addition/Renovation

The Fine Arts Complex includes the Fine Arts Center (1967), the Fine Arts Visual (1980), and the Fine Arts Museum (1982). This project consists of a comprehensive renovation of the Fine Arts Center and the Fine Arts Visual buildings and does not include the Museum. Improvements to these buildings will improve life safety, functionality, maintenance, energy efficiency and aesthetics of the space in a significant way.

The renovation will include general improvements to both buildings, including asbestos abatement, the addition of a new sprinkler system, emergency lighting and generator, ADA upgrades for restrooms and elevators, and a new security system.

The Fine Arts Center includes two major venues, the Kent Concert Hall and the Morgan Theatre. The concert hall is a high-use classroom that seats over 2,000 people, and the Morgan seats over 600 people. Both have a number of systems that are at the end of their service life. The ceilings are suspended on wire hangers that are old and do not comply with current structural standards. The catwalk system has serious safety concerns in some locations. The house light fixtures are old and difficult to maintain because spare parts are obsolete. The heating systems are inefficient because the entire building complex is served from one central system. The building controls no longer operate and need to be replaced. The stage lighting and shell needs to be replaced because of age and safety concerns.

The Fine Arts Visual renovations consist of the addition of air conditioning and other HVAC upgrades, new ceilings and lighting, and new power distribution system to handle increasing power loads.

Several small additions, including a scenery shop, elevator/restroom lobby, and black box theatre are needed to support both of the theatres in the building. The music and theatre programs will both be greatly enhanced by these improvements, and the entire campus and community will be served by increasing safety and quality of the venue.

Approximate Budget: \$ 20,300,000

Project #2 Health, Physical Education, and Recreation (HPER) Renovation/Addition

The HPER building was constructed in 1972 and was projected to support a student population of 12,000. The main purpose for the facility is to serve the instructional needs of the Emma Eccles Jones College of Education and Human Services as it prepares students to serve in the areas of physical education, parks and recreation and other exercise careers. In addition it was intended to serve as a recreational facility for students at large. The present on-campus student population is approximately 17,000 and the existing

facility is inadequate for the instructional needs of the university, much less the recreational needs of its students. Class scheduling is limited by the space available and recreational use is crowded out by class time. This project would add approximately 80,000 square feet of new space for additional classrooms and labs for exercise physiology and cardiovascular research as well as gymnasias, ball courts, weight training and instructional space for rock climbing. In addition, a number of much-needed repairs and upgrades to the existing mechanical systems will be implemented. The swimming pools have rust stains around the edges of the pools indicating that the steel reinforcing in the concrete is corroding and there is public concern about the ability to maintain a sanitary environment for students and visitors.

Approximate Budget: \$45,000,000

Project #3 Biology-Natural Resources Renovation/Addition

This project will renovate the existing Quinney Biology-Natural Resources building to address life safety concerns, replace heating ventilating and electrical systems, improve disability access and improve energy efficiency. The addition of study spaces and labs are necessary to accommodate growth within both colleges housed in the building. A study was done a few years ago to identify all the needs and the College of Natural Resources is attempting to raise part of the necessary funding from private sources.

Approximate Budget: \$45,000,000

Project #4 Animal Science Renovation

The existing Animal Science building located on the north side of the Quad is listed on the national register of historic buildings. It occupies a major presence on the Quad and has served a variety of functions throughout its life. The building is constructed of un-reinforced masonry and has concrete floors and a timber roof. The roof is structurally deficient and is not connected to the walls other than by gravity. The building has no air conditioning and occupants struggle during the summer months to keep computers operating. The open stairway is a life safety concern and needs to be addressed because in the event of a fire it would act as a chimney.

Approximate Budget: \$12,000,000

Project #5 Ray B. West Renovation

This project will renovate the historic Ray B. West Building on the south side of the Quad. This three story building is listed on the national historic register, and houses classrooms, computer labs, and faculty offices. The walls are constructed with un-reinforced masonry and the roof is timber. There is a central stairway that will act as a chimney in the event of a fire, although there are other exit stairways in the building. The heating system is old and needs to be replaced.

Approximate Budget: \$12,000,000

Project #6 USU Eastern Arts and Education Building

The USU Eastern Campus in Price proposes to construct a new 62,000 square foot building adjacent to

the Geary Theater on the prominent historic site previously occupied by the Old Main Building, demolished about 10 years ago. The remodel of 8,000 square feet of space in the Career Center is also included to accommodate expansion of the nursing program.

The new building will bring together theater, music, visual arts into one facility on campus, currently spread between three buildings. This project will replace the Geary Theater and the Music Building, buildings that are aging and have significant life safety and ADA deficiencies, made more acute since these spaces function to accommodate large assemblies of people. The merging of these buildings will also allow greater energy and space efficiencies to occur. The new building will serve the campus and community by providing a high quality, safe place to accommodate the academic needs of the diverse arts program, as well as large performances and other public gatherings. The Geary Theater and the Music Building will be demolished upon completion of the project.

The project will also free up much needed expansion space for the growing nursing program, in high demand throughout the state. Remodeling costs for this space is included in the project cost.

Approximate Budget: \$22,000,000

Project #7 Brigham City Regional Campus Academic Building

Brigham City Regional Campus has recently acquired 40+ acres of new land in downtown Brigham City on which to locate its new campus. The campus is quickly outgrowing their current facility, which consists of leased commercial buildings adapted to academic use. The new land is a brownfield site, occupied by dilapidated buildings historically used as a naval hospital and Indian school. The new location is ideally situated near downtown Brigham City, with convenient and safe access from Main Street and Highway 89.

Master planning for the new campus is currently underway, and will identify the optimal site for the first building to be built. The new building will be approximately 60,000 square feet, and will house classrooms, faculty offices, and student support spaces such as study space, food services, a bookstore, and child care. It will accommodate Phase I of the move to the new site, consisting of most of the academic program space with the exception of certain science programs that already have high quality lab space in the current facility.

Approximate Budget: \$15,000,000

Project #8 Wind Turbine Generator

A feasibility study has been conducted to explore the possibility of developing a wind power project adjacent to USU's south electrical substation at the mouth of Logan Canyon. This area of the campus has long been considered as a possibility for a wind project because of the strong regular diurnal (cold air spilling from the mountains out the canyon from evening to mid morning) wind. This USU site is a good location for construction due to its close proximity to the USU substation, which will help the economics of the project. The two year analysis of the site indicates that the average potential capacity is approximately 1.5 -2.0 megawatts of power.

More work is needed in negotiating power purchase agreements to determine the final economics of the project. The capacity factor for this project isn't high enough to justify a commercial project, but is still feasible. The wind power project offers a long-term protection from rising energy prices. The project has

many other benefits for USU as well; such as marketing and recruitment, education, research, reducing carbon footprint, and meeting the American College and University Presidents Climate Commitment. This study is complete and the project is ready to move to the next step.

Approximate Budget: \$4,000,000

Project #9 Utility Tunnel Extension

This project will extend the existing tunnel system into the Quad to enable several buildings to connect to the Central Energy Plant. The benefit of this will be that the university will have a means of serving the Quad from a second direction and avoid the inconvenience and risk of a loss of heating and cooling at the wrong time of year. In the past, several buildings have been without heat for an extended period of time during the winter and the university was at risk of property damage as a result of cold weather. This is part of the long range need that was identified by an infrastructure study completed in February 2000.

Approximate Budget: \$ 5,000,000

Project #10 Tooele Regional Campus Utilities

This project would install major utility infrastructure along the edge of the new parcel of land. The first project on this site has been built, and is a shared facility with the local school district. The second building will be a new facility for Tooele Applied Technology Center (TATC), funded and scheduled for construction in 2012. The intent is that the major utility systems would be installed during the construction of this facility, to serve the campus current and future needs.

Approximate Budget: \$5,000,000

Non State Funded Capital Development Projects Fiscal Year 2012-13

Project #1 Art Barn Renovation

This project proposes to restore and remodel the existing historical Art Barn to house the Anthropology Museum and a new visitor's center. The Art Barn is in the heart of campus and has housed a number of activities over the years. The building has historical significance but is in need of some life safety upgrades. There is no fire suppression system, the heating system is inadequate, the stairways are a hazard because they are exterior and are not covered from the ice in winter, the uppermost floor has only one exit through a classroom, and the restrooms in the building are inadequate. The renovation will allow the building to remain a landmark on campus, but will provide high quality space for the programs housed. It is hoped to secure private funds for the project.

Approximate Budget: \$2,500,000

Project #2 1200 East Future Development

In 2010, the mobile home park located along 1200 East was decommissioned. This 20 acre area is positioned along the northeast edge of the campus, adjacent to student housing and in the vicinity of the athletics complex. The 1998 USU Master Plan identified this area as a residential village, to accommodate housing and supporting functions. A village commons area was suggested to provide supporting amenities, such as recreational fields, retail, and service facilities to students to enhance the living experience on campus.

In the short term, the area will remain as open space. In the future, this area will be of interest as the campus continues to grow due to its proximity to the academic core. The scope and budget of a future project has yet to be determined.

Approximate Budget: TBD

Project #3 UPR Radio Station

Utah Public Radio is currently fundraising for a new building to replace the aging WWII era Quonset hut in which the program currently resides. The Quonset hut is in very poor condition, with many life safety deficiencies. The new building will include new offices, studios, equipment rooms, and support spaces for the department. It will also plan for a future addition to house television studio and production spaces.

Approximate Budget: \$2,500,000

Project #4 Blanding Housing Project

The USU Eastern Blanding campus is set in a remote area in the southeast portion of the state. The campus has become an important location to serve that portion of the state, as well as other remote areas in the Four Corners region. Most of the students live on campus, as there are few other rental opportunities available in the area. The student housing is full, and there is sufficient demand to build new

residence halls on campus. The project proposes to add a new residence hall on campus, providing approximately 75 new beds.

Approximate Budget: \$4,000,000

8 July 2011

ITEM FOR ACTION

RE: Terminate Swaner EcoCenter's 401(k) Retirement Plan and the Former College of Eastern Utah's 401(a) Retirement Plan

The University seeks approval to terminate Swaner EcoCenter's 401(k) retirement plan and the former College of Eastern Utah's 401(a) retirement plan. This request has been reviewed and approved by the University's Fiduciary Committee.

EXECUTIVE SUMMARY

In January 2010, the University accepted the charitable gift of the Swaner EcoCenter and retained the current employees. Since then the EcoCenter employees have been given an opportunity to enroll in the University's retirement plan. To properly terminate the Swaner EcoCenter's 401(k) retirement plan, it was necessary to request an operational and compliance review. New Pinnacle conducted the review and confirmed that the plan was operationally compliant. The obsolete plan can now be terminated.

In July 2010, the College of Eastern Utah merged with Utah State University. With the merger, the employees transitioned from the College of Eastern Utah's 401(a) retirement plan to the University's 401(a) retirement plan. To properly terminate the former College of Eastern Utah's 401(a) retirement plan, it was necessary to request an operational and compliance review. New Pinnacle conducted the review and confirmed that the plan was operationally compliant. The obsolete plan can now be terminated.

RECOMMENDATION

The President, Vice President for Business and Finance, and the University's Fiduciary Committee recommend that the Board of Trustees approve terminating Swaner EcoCenter's 401(k) retirement plan and the former College of Eastern Utah's 401(a) retirement plan.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The Utah State University accepted the charitable gift of the Swaner EcoCenter and retained the current employees; and

WHEREAS, The EcoCenter employees have been given an opportunity to enroll in the University's retirement plan; and

WHEREAS, The College of Eastern Utah merged with Utah State University; and

WHEREAS, The College of Eastern Utah's employees transitioned from the College of Eastern Utah's 401(a) retirement plan to the University's 401(a) retirement plan; and

WHEREAS, New Pinnacle has conducted an operational and compliance review of Swaner EcoCenter's 401(k) retirement plan and the former College of Eastern Utah's 401(a) retirement plan and has confirmed both plans were operationally compliant; and

WHEREAS, The President, Vice President for Business and Finance, and the University's Fiduciary Committee recommend that the Board of Trustees approve terminating Swaner EcoCenter's 401(k) retirement plan and the former College of Eastern Utah's 401(a) retirement plan:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves terminating the Swaner EcoCenter's 401(k) retirement plan and the former College of Eastern Utah's 401(a) retirement plans.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

8 July 2011

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (April, 2011)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for April, 2011, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of April, 2011 amounted to \$14,232,602 versus \$11,698,936 for April, 2010. The current year's April awards figure was (21.7%) higher than the April 2010 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that April, 2011 cumulative awards were (25.9%) higher than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty decreased from \$120,274,710 in April, 2010 to \$24,702,822 during April, 2011 (-79.5%). The number of current year proposals (1,176) is less than FY2010 (1,300).

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for April, 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (April, 2011) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 AWARDS BY COLLEGE
 FOR PERIOD: APRIL 2011

FY 2010/2011

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	AWARDS FY 2009/2010	AWARDS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG	AWARDS FY 2009/2010	AWARDS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG
AGRICULTURE	\$ 757,708.14	\$ 439,392.30	\$ (318,315.84)	11	14	3	\$ 18,639,668.96	\$ 18,246,853.77	\$ (392,815.19)	137	142	5
ARTS	\$ -	\$ 500.00	\$ 500.00	-	1	1	\$ 49,863.60	\$ 35,500.00	\$ (14,363.60)	5	5	-
BUSINESS	\$ -	\$ -	\$ -	-	-	-	\$ 230,548.00	\$ 574,400.63	\$ 343,852.63	4	3	(1)
CEU	\$ -	\$ 864,254.00	\$ 864,254.00	-	3	3	\$ -	\$ 2,492,887.00	\$ 2,492,887.00	-	11	11
EDUCATION	\$ 910,093.50	\$ 2,239,954.36	\$ 1,329,860.86	13	12	(1)	\$ 18,113,340.30	\$ 34,543,439.35	\$ 16,430,099.05	146	151	5
ENGINEERING	\$ 686,792.14	\$ 210,042.00	\$ (476,750.14)	23	10	(13)	\$ 14,755,360.25	\$ 6,874,284.88	\$ (7,881,075.37)	200	157	(43)
HaSS	\$ 700.00	\$ -	\$ (700.00)	1	-	(1)	\$ 759,570.00	\$ 288,224.20	\$ (471,345.80)	14	12	(2)
NAT. RESOURCES	\$ 486,319.76	\$ 551,571.89	\$ 65,252.13	10	9	(1)	\$ 8,028,427.93	\$ 8,772,681.44	\$ 744,253.51	126	126	-
SCIENCE	\$ 1,179,025.67	\$ 343,093.31	\$ (835,932.36)	16	5	(11)	\$ 8,427,370.39	\$ 11,055,401.91	\$ 2,628,031.52	81	81	-
MISCELLANEOUS	\$ 887,254.00	\$ 275,292.00	\$ (611,962.00)	15	8	(7)	\$ 6,985,453.88	\$ 19,298,406.68	\$ 12,312,952.80	155	144	(11)
USURF TOTALS	\$ 6,791,042.95	\$ 9,308,501.98	\$ 2,517,459.03	26	21	(5)	\$ 44,928,320.75	\$ 50,071,681.48	\$ 5,143,360.73	203	224	21
GRAND TOTAL	\$ 11,698,936.16	\$ 14,232,601.84	\$ 2,533,665.68	115	83	(32)	\$ 120,917,924.06	\$ 152,253,761.34	\$ 31,335,837.28	1,071	1,056	(15)

PERCENTAGE	DOLLARS	NUMBERS	TOTAL DOLLARS	TOTAL NUMBERS
CHANGE :	<u>APRIL 2010 to 2011</u>	<u>APRIL 2010 to 2011</u>	<u>FY 09/10 to FY 10/11</u>	<u>FY 09/10 to 10/11</u>
	21.66%	-27.83%	25.91%	-1.40%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.
 : The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community
 : On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.
 : On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Caine College of the Arts.

Selected List of Awards Greater than \$1,000,000 from 04-01-2011 to 04-30-2011

Filters Applied*: No Filter

Award # 1

Control Number	070688	Agency	2,045,661.00
Funding Agency	University of Utah	USU	0.00
Flow Through Agency	National Institutes of Health (NIH)	Total	2,045,661.00
Department	CENTER FOR PERSONS WITH DISABILITIE		
College	College Of Education & Human Servic		
Admin. Center	Center for Persons with Disabilitie		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Mark INNOCENTI;		
Co-PI(s)	Vonda JUMP;		
Period of Performance	09-28-2007 – 09-27-2011		
Original Award Date	10-17-2007		
Change/Mod Effective Date	04-18-2011		
Program Name	NATIONAL CHILDREN'S STUDY--CACHE VALLEY SECONDARY SITE (SUBCONTRACT W/UNIVERSITY OF UTAH MEDICAL CENTER)		
Statement	THE NATIONAL CHILDREN'S STUDY (NCS) IS A PROJECTED 25-YEAR NATIONAL STUDY OF CHILD HEALTH AND DEVELOPMENT FROM THE PRECONCEPTION TO AGE 21. THIS CONTRACT FOR THE SECONDARY SITE FOR CACHE VALLEY WILL BE ADMINISTERED THROUGH THE EIRI AT USU IN COLLABORATION WITH THE VANGUARD SITE U OF U MEDICAL SCHOOL.		

Award # 2

Control Number	08S044005	Agency	8,027,000.00
Funding Agency	Naval Research Lab	USU	0.00
Flow Through Agency	Naval Research Lab	Total	8,027,000.00
Department	USURF		
College	USURF		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Darin PARTRIDGE;		
Co-PI(s)			
Period of Performance	04-14-2011 – 04-13-2013		
Original Award Date	04-14-2011		
Change/Mod Effective Date	04-19-2011		
Program Name	Advanced Ground, Air, Space, Systems Integration (AGASSI) Task Order 5		
Statement	The Naval Research Laboratory has a requirement for research support in areas of Advanced Ground, Air, Space, Systems Integration (AGASSI) including software and advanced technologies including digital processing, compression, and control, analog systems, power, communications, command and telemetry, radio frequency/optical sensor payloads and electromechanical systems/support.		

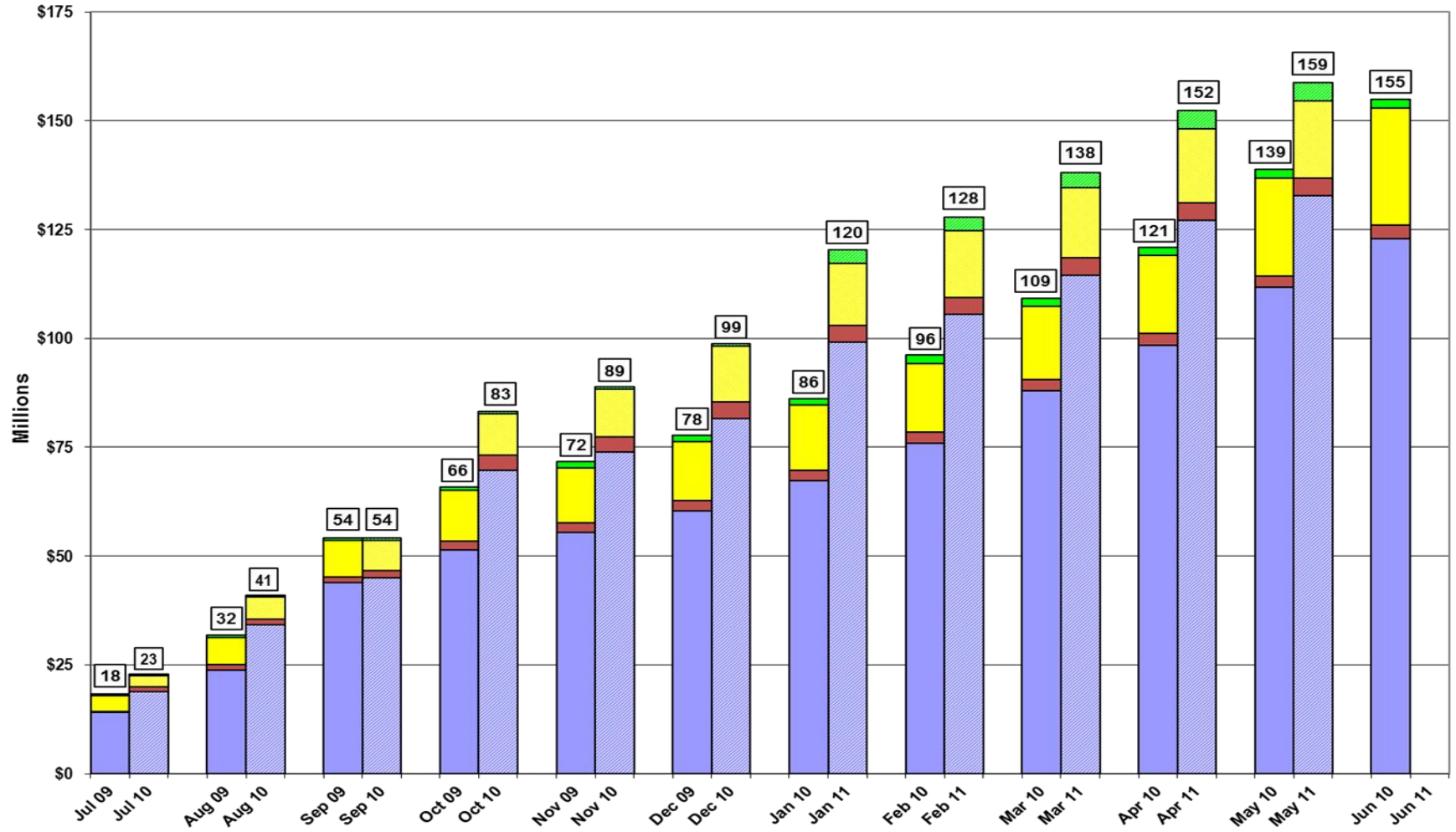
Agency Total **10,072,661.00**

USU Total **0.00**

Grand Total **10,072,661.00**

* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

Utah State University Sponsored Program Awards FY 2010, FY 2011



UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 PROPOSALS BY COLLEGE
 FOR PERIOD: APRIL 2011

FY 2010/2011

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	PROPOSALS FY 2009/2010	PROPOSALS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG	PROPOSALS FY 2009/2010	PROPOSALS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG
AGRICULTURE	\$ 8,189,479.11	\$ 5,173,006.05	\$ (3,016,473.06)	17	12	(5)	\$ 37,427,230.18	\$ 40,302,738.78	\$ 2,875,508.60	152	151	(1)
ARTS	\$ 9,392.50	\$ -	\$ (9,392.50)	2	-	(2)	\$ 36,859.50	\$ 44,000.00	\$ 7,140.50	5	4	(1)
BUSINESS	\$ 297,742.00	\$ -	\$ (297,742.00)	1	-	(1)	\$ 378,442.00	\$ 312,841.97	\$ (65,600.03)	4	5	1
CEU	\$ -	\$ 945,956.00	\$ 945,956.00	-	5	5	\$ -	\$ 6,759,267.00	\$ 6,759,267.00	-	19	19
EDUCATION	\$ 2,733,451.28	\$ 4,756,252.45	\$ 2,022,801.17	9	17	8	\$ 64,930,498.04	\$ 85,524,362.50	\$ 20,593,864.46	189	188	(1)
ENGINEERING	\$ 2,885,778.17	\$ 4,387,883.35	\$ 1,502,105.18	27	26	(1)	\$ 68,497,830.33	\$ 147,988,279.46	\$ 79,490,449.13	298	256	(42)
HaSS	\$ 1,154,119.00	\$ 48,944.58	\$ (1,105,174.42)	4	4	-	\$ 2,828,799.55	\$ 2,604,106.97	\$ (224,692.58)	25	26	1
NAT. RESOURCES	\$ 1,144,036.77	\$ 615,570.75	\$ (528,466.02)	10	16	6	\$ 18,026,922.84	\$ 16,042,951.87	\$ (1,983,970.97)	157	126	(31)
SCIENCE	\$ 4,650,832.60	\$ 1,850,780.00	\$ (2,800,052.60)	21	3	(18)	\$ 86,857,106.49	\$ 51,051,934.55	\$ (35,805,171.94)	169	130	(39)
MISCELLANEOUS	\$ 1,564,584.12	\$ 1,280,162.09	\$ (284,422.03)	8	7	(1)	\$ 28,581,657.22	\$ 7,163,779.33	\$ (21,417,877.89)	133	104	(29)
USURF TOTALS	\$ 97,645,294.00	\$ 5,644,267.14	\$ (92,001,026.86)	16	12	(4)	\$ 207,923,983.34	\$ 182,581,695.88	\$ (25,342,287.46)	168	167	(1)
GRAND TOTAL	\$ 120,274,709.55	\$ 24,702,822.41	\$ (95,571,887.14)	115	102	(13)	\$ 515,489,329.49	\$ 540,375,958.31	\$ 24,886,628.82	1,300	1,176	(124)

PERCENTAGE	DOLLARS	NUMBERS	TOTAL DOLLARS	TOTAL NUMBERS
CHANGE :	<u>APRIL 2010 to 2011</u>	<u>APRIL 2010 to 2011</u>	<u>FY 09/10 to FY 10/11</u>	<u>FY 09/10 to 10/11</u>
	-79.46%	-11.30%	4.83%	-9.54%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.
 : The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community
 : On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.
 : On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Caine College of the Arts.

Selected List of Proposals Greater than \$1,000,000 from 04-01-2011 to 04-30-2011

Filters Applied: No Filter

Proposal # 1: New

Control Number	110892	Agency	3,156,632.00
Proposal ID	T00029469 Revision: 0	USU	0.00
Funding Agency	NIFA National Institute of Food & Ag (Formerly CSREES)	Other	0.00
Department	PLANTS, SOILS, & CLIMATE	Total	3,156,632.00
College	College of Agriculture		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	V Philip RASMUSSEN;		
Co-PI(s)			
Period of Performance	04-01-2011 – 03-31-2016		
Proposal Date	04-05-2011		
Program Name	Implementation of Western Region Sustainable Agriculture Research and Education		
Statement	This project will benefit the public by: The coordination and implementation of the SARE Program for the Western Region provides about 3.1 million dollars in funding annually. This program offers opportunities to compete for USDA funding for sustainable agriculture research and education grants for institutions, farmers, and ranchers throughout the Western regions. This includes Utah.		

Proposal # 2: New

Control Number	110897	Agency	1,082,230.00
Proposal ID	T00029471 Revision: 0	USU	0.00
Funding Agency	NIFA National Institute of Food & Ag (Formerly CSREES)	Other	0.00
Department	ADMINISTRATION - EXTENSION	Total	1,082,230.00
College	Cooperative Extension		
Research Center	Cooperative Extension		
Research Function	Other Assistance		
Principal Investigator(s)	V Philip RASMUSSEN;		
Co-PI(s)			
Period of Performance	04-01-2011 – 03-31-2011		
Proposal Date	04-05-2011		
Program Name	Implementation of Western Region Sustainable Agriculture Research		
Statement	This project will benefit the public by: The coordination and implementation of the SARE Professional Development Program provides about 1.1 million dollars in funding annually. This program offers opportunities to compete for USDA funding for sustainable agriculture research and education professional development.		

Proposal # 3: New

Control Number	110924	Agency	1,000,000.16
Proposal ID	T00029509 Revision: 0	USU	0.00
Funding Agency	DARPA	Other	0.00
Department	BIOLOGICAL & IRRIGATION ENGINEERING	Total	1,000,000.16
College	College of Engineering		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	David W BRITT;		
Co-PI(s)	Marie K WALSH; Timothy E DOYLE;		
Period of Performance	07-01-2011 – 06-30-2015		
Proposal Date	04-20-2011		
Program Name	PROTEIN CONFORMATIONAL CONTROL BY INORGANIC MONOMERS, OLIGOMERS, AND FUNCTIONALIZED NAOPARTICLES		
Statement	MANY BACTERIAL TOXINS HAVE HIGH BETA-SHEET CONTENT. WE WILL USE CHEMISTRY DEVELOPED IN THE PI LABORATORY TO CONVERT THIS SECONDARY STRUCTURE INTO ALPHA HELIX, THUS INHIBITING TOXINS.		

Proposal # 4: New

Control Number	110929	Agency	1,000,000.00
Proposal ID	T00029515 Revision: 0	USU	0.00
Funding Agency	Miscellaneous Private Sponsors	Other	0.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	1,000,000.00
College	College of Engineering		
Research Center	Utah Water Research Laboratory		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Steven L BARFUSS;		
Co-PI(s)			
Period of Performance	04-01-2011 – 06-30-2016		
Proposal Date	04-20-2011		
Program Name	WM-264.12: BASIC HYDRAULIC TESTING		
Statement	BASIC HYDRAULIC TESTING. FUNDING WILL BE ADDED AS RECEIVED.		

Proposal # 5: New

Control Number	S00000203	Agency	2,650,000.00
Proposal ID	T00000499 Revision: 0	USU	0.00
Funding Agency	Missile Defense Agency	Other	0.00
Department	USURF	Total	2,650,000.00
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Lorin J. ZOLLINGER;		
Co-PI(s)			
Period of Performance	05-01-2011 – 10-31-2011		
Proposal Date	04-13-2011		
Program Name	Precision Tracking and Space System (PTSS) Support Task Order TBD under HQ0147-11-D-0052, University Affiliated Research Center (UARC) Sensor System Research, Development, and Engineering Contract		
Statement	The objective of the PTSS program is to deliver an operational space-based system to address the ascent phase midcourse tracking challenge facing the Missile Defense Agency (MDA). The Space Dynamics Laboratory's primary technical role is the development of the telescope for the optical payload, but is supporting the program in several other areas as well.		

Agency Total	8,888,862.16
USU Total	0.00
Other Total	0.00
Grand Total	8,888,862.16

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

08 July 2011

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (May, 2011)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for May, 2011, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of May, 2011 amounted to \$6,570,358 versus \$17,849,475 for May, 2010. The current year's May awards figure was (-63.2%) lower than the May, 2010 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that May, 2010 cumulative awards were (14.5%) higher than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty decreased from \$76,897,625 in May, 2010 to \$35,859,744 during May, 2011. (-53.4%) The number of current year proposals (1,303) is less than FY2010 (-9.9%).

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for May, 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (May, 2011) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 AWARDS BY COLLEGE
 FOR PERIOD: MAY 2011

FY 2010/2011

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	AWARDS FY 2009/2010	AWARDS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG	AWARDS FY 2009/2010	AWARDS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG
AGRICULTURE	\$ 430,982.00	\$ 18,185.36	\$ (412,796.64)	8	5	(3)	\$ 19,070,650.96	\$ 18,265,039.13	\$ (805,611.83)	145	147	2
ARTS	\$ -	\$ 4,000.00	\$ 4,000.00	-	1	1	\$ 49,863.60	\$ 39,500.00	\$ (10,363.60)	5	6	1
BUSINESS	\$ -	\$ 7,500.00	\$ 7,500.00	-	1	1	\$ 230,548.00	\$ 581,900.63	\$ 351,352.63	4	4	-
CEU	\$ -	\$ -	\$ -	-	-	-	\$ -	\$ 2,492,887.00	\$ 2,492,887.00	-	11	11
EDUCATION	\$ 6,031,876.67	\$ 836,584.96	\$ (5,195,291.71)	15	11	(4)	\$ 24,145,216.97	\$ 35,380,024.31	\$ 11,234,807.34	161	162	1
ENGINEERING	\$ 449,502.00	\$ 560,970.00	\$ 111,468.00	9	12	3	\$ 15,204,862.25	\$ 7,435,254.88	\$ (7,769,607.37)	209	169	(40)
HaSS	\$ 100,205.00	\$ 12,980.00	\$ (87,225.00)	4	3	(1)	\$ 859,775.00	\$ 301,204.20	\$ (558,570.80)	18	15	(3)
NAT. RESOURCES	\$ 959,350.00	\$ 820,847.44	\$ (138,502.56)	16	14	(2)	\$ 8,987,777.93	\$ 9,593,528.88	\$ 605,750.95	142	140	(2)
SCIENCE	\$ 868,695.00	\$ 587,113.84	\$ (281,581.16)	13	12	(1)	\$ 9,296,065.39	\$ 11,642,515.75	\$ 2,346,450.36	94	93	(1)
MISCELLANEOUS	\$ 150,885.00	\$ 426,686.09	\$ 275,801.09	10	9	(1)	\$ 7,136,338.88	\$ 19,725,092.77	\$ 12,588,753.89	165	153	(12)
USURF TOTALS	\$ 8,857,979.80	\$ 3,295,490.71	\$ (5,562,489.09)	22	19	(3)	\$ 53,786,300.55	\$ 53,367,172.19	\$ (419,128.36)	225	243	18
GRAND TOTAL	\$ 17,849,475.47	\$ 6,570,358.40	\$ (11,279,117.07)	97	87	(10)	\$ 138,767,399.53	\$ 158,824,119.74	\$ 20,056,720.21	1,168	1,143	(25)

PERCENTAGE	DOLLARS	NUMBERS	TOTAL DOLLARS	TOTAL NUMBERS
CHANGE :	<u>MAY 2010 to 2011</u>	<u>MAY 2010 to 2011</u>	<u>FY 09/10 to FY 10/11</u>	<u>FY 09/10 to 10/11</u>
	-63.19%	-10.31%	14.45%	-2.14%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research, & VP for Strategic Ventures and Economic Development.

: On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.

: On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Caine College of the Arts.

: These reports contain some adjusting entries to correct year to date cumulative totals.

Selected List of Awards Greater than \$1,000,000 from 05-01-2011 to 05-31-2011

Filters Applied*: No Filter

Award # 1

Control Number	08S063	Agency	1,050,000.00
Funding Agency	Air Force Research Laboratory	USU	0.00
Flow Through Agency	Air Force	Total	1,050,000.00
Department	USURF		
College	USURF		
Admin. Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	08-27-2008 -- 08-29-2013		
Original Award Date	08-27-2008		
Change/Mod Effective Date	05-26-2011		
Program Name	RESPONSIVE SPACE TECHNOLOGIES		
Statement	SPACE DYNAMICS LABORATORY WILL PERFORM TASKS THAT FURTHERING STATE-OF-THE-ART TECHNOLOGIES IN SPACE-SUITABLE ELECTRONIC COMPONENTS AND RESPONSIVE SYSTEMS ELEMENTS, WITH AN EMPHASIS ON SPACE PLUG-AND-PLAY AVIONICS COMPONENTS, INTERFACES, AND ASSOCIATED METHODOLOGIES.		

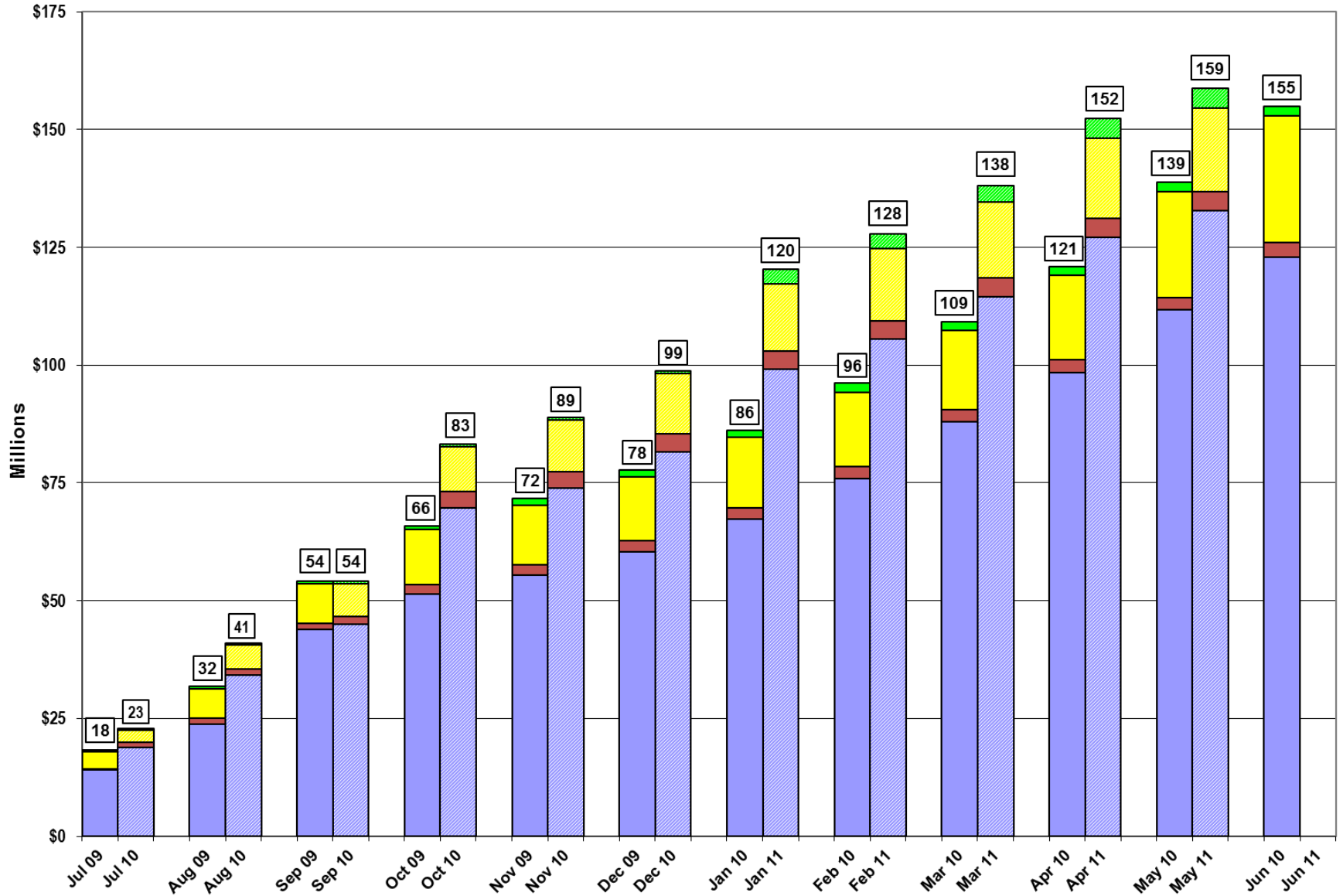
Agency Total	1,050,000.00
USU Total	0.00
Grand Total	1,050,000.00

* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

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Prepared: 06-14-2011 09:27

Utah State University Sponsored Program Awards FY 2010, FY 2011



UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 PROPOSALS BY COLLEGE
 FOR PERIOD: MAY 2011

FY 2010/2011

COLLEGE	CURRENT MONTH						CUMULATIVE TOTALS					
	PROPOSALS FY 2009/2010	PROPOSALS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG	PROPOSALS FY 2009/2010	PROPOSALS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG
AGRICULTURE	\$ 2,975,106.62	\$ 2,560,242.74	\$ (414,863.88)	18	14	(4)	\$ 40,402,336.80	\$ 42,862,981.52	\$ 2,460,644.72	170	165	(5)
ARTS	\$ 10,000.00	\$ -	\$ (10,000.00)	1	-	(1)	\$ 46,859.50	\$ 44,000.00	\$ (2,859.50)	6	4	(2)
BUSINESS	\$ 399,020.15	\$ -	\$ (399,020.15)	1	-	(1)	\$ 777,462.15	\$ 312,841.97	\$ (464,620.18)	5	5	-
CEU	\$ -	\$ 172,025.00	\$ 172,025.00	-	1	1	\$ -	\$ 6,931,292.00	\$ 6,931,292.00	-	20	20
EDUCATION	\$ 38,142,733.30	\$ 10,972,754.02	\$ (27,169,979.28)	39	32	(7)	\$ 103,073,231.34	\$ 96,497,116.52	\$ (6,576,114.82)	228	220	(8)
ENGINEERING	\$ 2,967,650.42	\$ 15,837,489.24	\$ 12,869,838.82	18	32	14	\$ 71,465,480.75	\$ 163,825,768.70	\$ 92,360,287.95	316	288	(28)
HaSS	\$ -	\$ -	\$ -	-	-	-	\$ 2,828,799.55	\$ 2,604,106.97	\$ (224,692.58)	25	26	1
NAT. RESOURCES	\$ 988,126.51	\$ 2,430,048.82	\$ 1,441,922.31	16	20	4	\$ 19,015,049.35	\$ 18,473,000.69	\$ (542,048.66)	173	146	(27)
SCIENCE	\$ 4,947,252.00	\$ 5,427,834.65	\$ 480,582.65	19	14	(5)	\$ 91,804,358.49	\$ 56,479,769.20	\$ (35,324,589.29)	188	144	(44)
MISCELLANEOUS	\$ 957,887.36	\$ 562,247.09	\$ (395,640.27)	15	15	-	\$ 29,539,544.58	\$ 7,726,026.42	\$ (21,813,518.16)	148	119	(29)
USURF TOTALS	\$ 25,509,848.94	\$ (2,102,897.39)	\$ (27,612,746.33)	19	(1)	(20)	\$ 233,433,832.28	\$ 180,478,798.49	\$ (52,955,033.79)	187	166	(21)
GRAND TOTAL	\$ 76,897,625.30	\$ 35,859,744.17	\$ (41,037,881.13)	146	127	(19)	\$ 592,386,954.79	\$ 576,235,702.48	\$ (16,151,252.31)	1,446	1,303	(143)

PERCENTAGE	DOLLARS	NUMBERS	TOTAL DOLLARS	TOTAL NUMBERS
CHANGE :	<u>MAY 2010 to 2011</u>	<u>MAY 2010 to 2011</u>	<u>FY 09/10 to FY 10/11</u>	<u>FY 09/10 to 10/11</u>
	-53.37%	-13.01%	-2.73%	-9.89%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.

: The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research, & VP for Strategic Ventures and Economic Development.

: On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.

: On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Caine College of the Arts.

: These reports contain some adjusting entries to correct year to date cumulative totals.

Selected List of Proposals Greater than \$1,000,000 from 05-01-2011 to 05-31-2011

Filters Applied: No Filter

Proposal # 1: New

Control Number	110968	Agency	1,118,400.00
Proposal ID	T00029564 Revision: 0	USU	0.00
Funding Agency	Utah Department of Health	Other	0.00
Department	CENTER FOR PERSONS WITH DISABILITIE	Total	1,118,400.00
College	College Of Education & Human Servic		
Research Center	Center for Persons with Disabilitie		
Research Function	Other Assistance		
Principal Investigator(s)	Susan E Thain OLSEN;		
Co-PI(s)	MARLA NEF;		
Period of Performance	07-01-2011 – 06-30-2012		
Proposal Date	05-02-2011		
Program Name	Up to 3 Early Intervention		
Statement	Provide early intervention services to children living in Cache, Rich, and Box Elder counties		

Proposal # 2: New

Control Number	110968	Agency	1,400,000.00
Proposal ID	T00029585 Revision: 0	USU	0.00
Funding Agency	Utah Department of Transportation	Other	0.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	1,400,000.00
College	College of Engineering		
Research Center	Utah Transportation Center		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	KEVIN HEASLIP;		
Co-PI(s)			
Period of Performance	07-01-2011 – 06-30-2016		
Proposal Date	05-05-2011		
Program Name	UTAH LOCAL TECHNICAL ASSISTANCE PROGRAM		
Statement	THIS PROJECT WILL PROVIDE TECHNICAL ASSISTANCE, TRAINING, AND WORKSHOPS TO THE LOCAL GOVERNMENTS OF UTAH REGARDING TRANSPORTATION ISSUES.		

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Proposal # 3: New

Control Number	110992	Agency	1,254,997.00
Proposal ID	T00029589 Revision: 0	USU	0.00
Funding Agency	Purdue University	Other	0.00
Department	COMPUTER SCIENCE	Total	1,254,997.00
College	College of Science		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Vicki ALLAN;		
Co-PI(s)			
Period of Performance	01-01-2012 – 12-31-2016		
Proposal Date	05-05-2011		
Program Name	TYPE II: RECRUITMENT AND RETENTION OF INFORMATION TECHNOLOGY YOUTH BY FURTHERING INSTRUCTOR'S eXcell		
Statement	Objectives are: 1. Show teachers IT can support instruction. 2. Share strategies for sparking interest in computing among female students. 3. Communicate career opportunities in many disciplines supported by IT specialists. 4. Provide opportunities for teachers to apply their new skills. 5. Generate resources to facilitate implementation of this technology.		

Proposal # 4: New

Control Number	111020	Agency	1,250,000.00
Proposal ID	T00029619 Revision: 0	USU	0.00
Funding Agency	Miscellaneous Private Sponsors	Other	0.00
Department	UT WATER RESEARCH LAB. (UWRL)	Total	1,250,000.00
College	College of Engineering		
Research Center	Utah Water Research Laboratory		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Michael C. JOHNSON;		
Co-PI(s)			
Period of Performance	04-01-2011 – 06-30-2016		
Proposal Date	05-10-2011		
Program Name	WM-265.12: BASIC HYDRAULIC TESTING		
Statement	BASIC HYDRAULIC TESTING		

Proposal # 5: New

Control Number	111059	Agency	2,496,684.43
Proposal ID	T00029664 Revision: 0	USU	0.00
Funding Agency	Usda National School Lunch Program	Other	0.00
Department	PSYCHOLOGY	Total	2,496,684.43
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	GREGORY MADDEN;		
Co-PI(s)			
Period of Performance	06-01-2012 – 06-31-2017		
Proposal Date	05-17-2011		
Program Name	A SCHOOL BASED BEHAVIORAL-ECONOMIC APPROACH TO OBESITY PREVENTION		
Statement	OUR INTEGRATED RESEARCH AND EXTENSION PROJECT FOCUS IS TO PREVENT OBESITY AMONG LOW-INCOME CHILDREN BY INCREASING CONSUMPTION OF FRUITS AND VEGETABLES. THIS WILL BE ACCOMPLISHED BY USING THE "FOOD DUDES" PROGRAM, A SCHOOL-WIDE INTERVENTION USING BEHAVIORAL-ECONOMIC PRINCIPLES TO IMPROVE FOOD CHOICES MADE IN SCHOOL AND AT HOME		

Proposal # 6: New

Control Number	111075	Agency	1,591,894.00
Proposal ID	T00029684 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Total	1,591,894.00
College	College of Engineering		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Yanquan CHEN;		
Co-PI(s)	AUSTIN JENSEN; Mac MCKEE;		
Period of Performance	12-01-2011 – 12-30-2015		
Proposal Date	05-23-2011		
Program Name	COGNITIVE DISPOSABLE UAV SWARM MAPPING OF NUCLEAR POLLUTION		
Statement	IN DISASTERS LIKE JAPAN EARTH QUAKE/Tsunami, NUCLEAR PLANT LEAKAGE CAUSES SERIOUS ISSUE GLOBALLY. IT IS DESIRABLE TO HAVE A WORKING 3D MAPPING SYSTEM USING UNMANNED AERIAL VEHICLES. THIS PROJECT PROPOSES TO USE A SWARM OF UAVS WITH RADIATION DETECTOR PAYLOAD TO MAP THE NUCLEAR POLLUTION IN AIR. THE UAVS ARE LOW COST AND DISPOSABLE. THE UAVS CAN DECIDE IF THEY HAVE BEEN EXPOSED FOR TOO MUCH AND IF THEY SHOULD RETURN TO BASE STATION OR TO A DESIGNATED PLACE TO BE SELF-DISPOSED.		

Proposal # 7: New

Control Number	111076	Agency	3,999,299.00
Proposal ID	T00029685 Revision: 0	USU	122,951.00
Funding Agency	Department of Energy	Other	932,721.00
Department	BIOLOGICAL & IRRIGATION ENGINEERING	Total	5,054,971.00
College	College of Engineering		
Research Center	Utah Science Tech. and Research		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Foster AGBLEVOR;		
Co-PI(s)	Lance C SEEFELDT;		
Period of Performance	10-01-2011 – 09-30-2014		
Proposal Date	05-24-2011		
Program Name	CATALYTIC UPGRADING OF THERMOCHEMICAL INTERMEDIATES TO HYDROCARBONS		
Statement	CACATLYIC UPGRADING OF THERMOCHEMICAL INTERMEDIATES TO HYDROCARBONS		

Proposal # 8: New

Control Number	111077	Agency	1,241,525.00
Proposal ID	T00029686 Revision: 0	USU	0.00
Funding Agency	Department of Education	Other	0.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	1,241,525.00
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Instruction and Training		
Principal Investigator(s)	James Carson BLAIR;		
Co-PI(s)	DEBORAH B GOLOS;		
Period of Performance	07-01-2011 – 06-30-2012		
Proposal Date	05-24-2011		
Program Name	COMPREHENSIVE TEACHER TRAINING IN EDUCATION OF THE DEAF (PROJECT COMTED)		
Statement	THIS IS A GRANT DESIGNED FOR THE TRAINING OF PROSPECTIVE TEACHERS OF THE DEAF TO ADDRESS THE CULTURE AND LINGUISTIC NEEDS OF DEAF CHILDREN. STUDENTS COMING FROM THIS PROGRAM WILL EARN A MASTER'S OF EDUCATION DEGREE AND BE HIGHLY QUALIFIED TO TEACH IN BILINUGAL-BICULTURAL SETTINGS WITH A HIGHTENED UNDERSTANDING OF LISTENING AND SPOKEN LANGUAGE NEEDS OF CHILDREN WHO HAVE RECEIVED A COCHLEAR IMPLANT.		

Proposal # 9: New

Control Number	111083	Agency	5,193,586.67
Proposal ID	T00029693 Revision: 0	USU	1,038,717.33
Funding Agency	Department of Energy	Other	0.00
Department	MECHANICAL & AEROSPACE ENGINEERING	Total	6,232,304.00
College	College of Engineering		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Byard D WOOD;		
Co-PI(s)			
Period of Performance	07-01-2012 – 06-30-2016		
Proposal Date	05-24-2011		
Program Name	INTERMOUNTAIN BIOMASS & BIOENERGY CONSORTIUM		
Statement	THE CONSORTIUM REALIZES THAT ALGAE ALONE STILL HAS RESEARCH CHALLENGES TO OVERCOME, YET ITS POTENTIAL VALUE TO THE BIOFUELS INDUSTRY CANNOT BE OVERLOOKED. THIS PROJECT'S APPROACH CAPITALIZES ON ALGAE AND REGIONAL WOODY FEEDSTOCK SUPPLIES (E.G., PINYON JUNIPER). THE NOVEL COMBINATION AND BLENDING OF AVAILABLE WOODY BIOMASS WITH THE HIGH VALUE BALANCING PROPERTIES OF ALGAE PROCESSED THROUGH AN ON-SITE PYROLYSIS UNIT PROMISES TO PRODUCE A HIGHER QUALITY BIOCRUDE THAT CAN BE TRANSPORTED AND REFINED AND PARTNERING REFINERIES.		

Proposal # 10: New

Control Number	111104	Agency	1,245,524.78
Proposal ID	T00029710 Revision: 0	USU	0.00
Funding Agency	Department of Education	Other	0.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	1,245,524.78
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Instruction and Training		
Principal Investigator(s)	LAURI NELSON;		
Co-PI(s)	DEBORAH B GOLOS;		
Period of Performance	10-01-2011 – 09-30-2012		
Proposal Date	05-25-2011		
Program Name	Personnel Preparation for Teachers of Birth to 5-year-old Children who are Deaf		
Statement	FUNDING FROM THIS GRANT TO UTAH STATE UNIVERSITY WILL BE USED TO PROVIDE TUITION AND STIPENDS TO GRADUATE STUDENTS TO COMPLETE A MASTERS DEGREE OR AN ENDORSEMENT IN DEAF EDUCATION FOR TEACHING BIRTH TO 5-YEAR-OLD CHILDREN WHO ARE DEAF OR HARD OF HEARING.		

Proposal # 11: New

Control Number	111110	Agency	1,249,993.86
Proposal ID	T00029717 Revision: 0	USU	0.00
Funding Agency	Department of Education	Other	0.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	1,249,993.86
College	College Of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Linda Ann ALSOP;		
Co-PI(s)			
Period of Performance	10-01-2011 – 09-30-2012		
Proposal Date	05-27-2011		
Program Name	PROJECT INITIATE (INCREASING THE NUMBER AND IMPROVING THE QUALITY OF TRAINED		
Statement	PROJECT INITIATE WILL IMPROVE THE QUALITY AND INCREASE THE NUMBER OF INTERVENERS WHO HAVE THE COMPETENCIES TO SERVE SCHOOL-AGED CHILDREN WITH DEAFBLINDNESS (CHILDREN RANGING FROM MILD TO SEVERE DISABILITIES IN EACH AREA). THE PROJECT WILL: (1) RECRUIT STUDENTS FOR THE ASSOCIATE DEGREE PROGRAM IN DEAF BLINDNESS; (2) IMPLEMENT THE COURSEWORK LEADING TO THE ASSOCIATE'S DEGREE IN DEAF BLINDNESS; (3) PROVIDE PRACTICUM EXPERIENCES IN DEAF BLINDNESS; AND (4) EVALUATE THE PROJECT.		

Proposal # 12: New

Control Number	111116	Agency	1,785,000.00
Proposal ID	T00029724 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	BIOLOGY	Total	1,785,000.00
College	College of Science		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Timothy A GILBERTSON;		
Co-PI(s)			
Period of Performance	04-01-2012 – 03-31-2017		
Proposal Date	05-27-2011		
Program Name	MECHANISMS OF FAT CHEMORECEPTION		
Statement	THIS PROJECT IS DESIGNED TO IDENTIFY HOW THE GUSTATORY SYSTEM RECOGNIZES SATURATED FATS IN THE DIET. UNDERSTANDING FAT TRANSDUCTION PATHWAYS IS IMPORTANT FOR THE DEVELOPMENT OF RATIONALE STRATEGIES TO COUNTERACT FAT INTAKE AND, HENCE, THE DEVELOPMENT OF DIETARY-INDUCED OBESITY.		

Agency Total	23,826,904.74
USU Total	1,161,668.33
Other Total	932,721.00
Grand Total	25,921,294.07

For Official Use Only

Prepared: 06-14-2011 09:20

**ACTION AGENDA
JULY 8, 2011**

	<u>Page</u>
1. Proposal to Offer an Emphasis in Harp within the Bachelor of Music Degree, Department of Music, Caine College of the Arts, Effective Fall 2011	1
2. Proposal to Offer an Emphasis in Health Science within the Health Education and Promotion Bachelor of Science Degree, Department of Health, Physical Education and Recreation (HPER), Emma Eccles Jones College of Education and Human Services	7
3. Proposal to Offer a Master of Education (Med) in Instruction Leadership, School of Teacher Education and Leadership, Emma Eccles Jones College of Education and Human Services	15
4. Proposal to Restructure the Specializations Offered in the Sociology Doctoral Program, Department of Sociology, Social Work and Anthropology, College of Humanities and Social Sciences	29
5. Program Review, Department of Geology, College of Science	37
6. USU Master Plan (additional materials included)	45
7. Proposed Ground Lease for Mixed-Use Development	47
8. Proposed Ground Lease for Commercial Development at the USU Brigham City Campus	51
9. Proposed Sale of USU Building Located at 1780 Research Parkway, USU Innovation Campus	55

ITEM FOR ACTION

Utah State University (USU) proposes to offer an emphasis in harp within the Bachelor of Music degree in the Department of Music in the Caine College of the Arts. The emphasis would become effective Fall 2011.

EXECUTIVE SUMMARY

The Utah State University Department of Music seeks to obtain approval to offer a harp emphasis within the Bachelor of Music degree. All required courses for the proposed emphasis are currently offered (see attached program of study), and no change is anticipated in departmental instructional activities. It is anticipated that the addition of several harp students will have a positive impact on the quality of large ensemble and chamber music performance by adding student harpists to the pool of available instrumental resources.

Several serious students have studied harp for credit with the department's current harp teacher, but have not been able to major in music because USU does not have an emphasis in harp. Utah has a strong harp culture with more than 50 private teachers, serving as a source for a substantial number of prospective university students. Other USHE programs offering harp emphases are Weber State University and the University of Utah. Because of the physical space and storage requirements, harp programs are typically capped at around 6-10 students at the undergraduate level. It is not anticipated that USU's program would have a significant impact on other programs in the state or region, but would allow additional opportunities for harpists who would like to pursue harp study at the college level. As the Caine College of the Arts expands its reputation in music, adding a harp emphasis provides further depth to USU's program.

No new funding will be required as the new emphasis requirements will be met by the current faculty, using resources already available in a focused manner.

This proposal was prepared by the Department Music and has been approved by the Dean of the Caine College of the Arts, the Educational Policies Committee, and the Utah State University Faculty Senate.

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the request from the Department of Music in the Caine College of the Arts to offer an emphasis in harp in the Bachelor of Music degree.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, Utah State University proposes to offer an emphasis in harp from the Department of Music in the Caine College of the Arts, and

WHEREAS, The proposed emphasis will provide opportunities for harpists who would like to pursue harp study at the college level, and

WHEREAS, The addition of several harp students will have a positive impact on the quality of large ensemble and chamber music performance in the College, and

WHEREAS, The proposed emphasis requires no new faculty or fiscal resources, and

WHEREAS, This proposal has been approved by the Dean of the Caine College of the Arts, the Educational Policies Committee and the USU Faculty Senate, and

WHEREAS, The proposal has been approved by the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby accepts the proposal to offer an emphasis in harp from the Department of Music in the Caine College of the Arts, and that this proposal is forwarded to the Utah State Board of Regents of the Utah State System of Higher Education.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

**Utah State University
Caine College of the Arts
Department of Music
Request for an Emphasis in Harp**

Section I: Request

The Utah State University Department of Music seeks to obtain approval to offer a harp emphasis within the Bachelor of Music degree. All required courses for the proposed emphasis are currently offered (see attached program of study), and no change is anticipated in departmental instructional activities. It is anticipated that the addition of several harp students will have a positive impact on the quality of large ensemble and chamber music performance by adding student harpists to the pool of available instrumental resources.

Section II: Need

Several serious students have studied harp for credit with the department's current harp teacher, but have not been able to major in music because USU does not have an emphasis in harp. Utah has a strong harp culture with more than 50 private teachers, serving as a source for a substantial number of prospective university students. Other USHE programs offering harp emphases are Weber State University and the University of Utah. Because of the physical space and storage requirements, harp programs are typically capped at around 6-10 students at the undergraduate level. It is not anticipated that USU's program would have a significant impact on other programs in the state or region, but would allow additional opportunities for harpists who would like to pursue harp study at the college level. As the Caine College of the Arts expands its reputation in music, adding a harp emphasis provides further depth to USU's program.

Section III: Institutional Impact

Any enrollment changes will be very small, likely fewer than two students per year. No significant impact on class size is anticipated. There will be no change to existing administrative structures, nor will any changes in faculty or staff be required. There will be no new equipment or facilities needs.

Section IV: Finances

Since all classes for the proposed program are currently offered and funded by existing resources, no need for new funds is anticipated. No budgetary impact is anticipated for any other programs or units.

Harp Performance Required Courses

General Music Core Courses

MUSC 1110 Music Theory I (3 cr.)
MUSC 1120 Music Theory II (3 cr.)
MUSC 1130 Aural Skills I (1 cr.)
MUSC 1140 Aural Skills II (1 cr.)
MUSC 1170 Keyboard Harmony I (1 cr.)
MUSC 1180 Keyboard Harmony II (1 cr.)
MUSC 2110 Music Theory III (BCA) (3 cr.)
MUSC 2120 Music Theory IV (3 cr.)
MUSC 2130 Aural Skills III (1 cr.)
MUSC 2350 Conducting (2 cr.)
MUSC 3110 Music History I (3 cr.)
MUSC 3120 Music History II (3 cr.)
MUSC 3140 Musical Form & Analysis (3 cr.)
MUSC 3180 Scoring and Arranging (2 cr.)
MUSC 3190 Music History III/20th Century (CI) (3 cr.)

Harp Core Courses

MUSC 3210 Individual Harp Instruction (16 cr.)
MUSC 2140 Aural Skills IV (1 cr.)
MUSC 2640 Individual Vocal Instruction (Secondary) (1 cr.) **OR MUSC 1600** Voice Techniques (1 cr.)
MUSC 2890 Individual Percussion Instruction (Secondary) (1 cr.)
MUSC 2600/3500/3790/4650/4700 Large Ensemble (8 cr.)
MUSC 4920 Junior Recital (2 cr.)
MUSC 4920 Senior Recital (2 cr.)
MUSC 4930 Readings & Conference (4 cr.)
OR MUSC 4730 Directed Project in Instrumental Pedagogy (CI) (2 cr.)
MUSC **** Chamber Music (8 cr.)
Music Electives (3 cr.)

**All courses must be completed with a C- or better.*

**Required to attend 40 concerts and 40 recitals, for a total of 80, before graduation.*

**Courses in bold are more easily movable within this plan than other courses.*

General Education Courses

General Education courses can be taken in any order. See back of class schedule book for complete course listings.

CL1 & CL2 Communications Literacy (6 cr.)

QL Quantitative Literacy (3-4 cr.)

Breadth Requirements

BAI American Institutions (3-4 cr.)

BCA Creative Arts (3-4 cr.)

BHU Humanities (3-4 cr.)

BLS Life Sciences (3-4 cr.)

BPS Physical Sciences (3-4 cr.)

BSS Social Sciences (3-4 cr.)

***Exploration Requirements** (3-4 cr.)

(only for students whose first semester enrolled at USU is Summer 2008 or thereafter)

Depth Requirements

CI Communication Intensive (2 courses)

QI Quantitative Intensive (1 course)

DSC Life and Physical Sciences (2 cr.)

DSS Social Sciences (2 cr.)

University Electives (3 cr.)

~ Quantitative Intensive Course (QI) must be completed within 1 year of Quantitative Literacy Course (QL)

~ Music Theory III fills the Breadth Creative Arts Requirement (BCA)

~ Music History III/20th Century fills one of the Depth Communications Intensive Requirements (CI)

**Bachelor of Music Degree
Harp Performance Emphasis**

Freshman Year

FALL	Credits	SPRING	Credits
MUSC 1110 Music Theory I	3	MUSC 1120 Music Theory II	3
MUSC 1130 Aural Skills I	1	MUSC 1140 Aural Skills II	1
MUSC 1170 Keyboard Harmony I	1	MUSC 1180 Keyboard Harmony II	1
MUSC 2890 Individual Percussion Instruction (Secondary)	1	MUSC 2840 Individual Vocal Instruction (Secondary)	1
		OR MUSC 1800 Voice Techniques	1
MUSC 2800/3500/3790/4650/4700 Large Ensemble	1	MUSC 2800/3500/3790/4650/4700 Large Ensemble	1
MUSC **** Chamber Music	1	MUSC **** Chamber Music	1
MUSC 3210 Individual Harp Instruction	2	MUSC 3210 Individual Harp Instruction	2
University Studies Breadth Course	3	University Studies Breadth Course	3
English 1010 (CL 1) Intro to Writing	3	Quantitative Literacy Course (QL)	3
Total	16	Total	16

Sophomore Year

FALL	Credits	SPRING	Credits
MUSC 2110 Music Theory III (BCA)	3	MUSC 2140 Aural Skills IV	1
MUSC 2130 Aural Skills III	1	MUSC 3110 Music History I	3
MUSC 2800/3500/3790/4650/4700 Large Ensemble	1	MUSC 3140 Musical Form & Analysis	3
MUSC **** Chamber Music	1	MUSC 2800/3500/3790/4650/4700 Large Ensemble	1
MUSC 3210 Individual Harp Instruction	2	MUSC **** Chamber Music	1
MUSC Elective	1	MUSC 3210 Individual Harp Instruction	2
Quantitative Intensive Course (QI)	3	Elective	3
University Studies Breadth Course	3	English 2010 (CL 2) Intermediate Writing	3
Total	15	Total	17

Junior Year

FALL	Credits	SPRING	Credits
MUSC 2350 Conducting	2	MUSC 2120 Music Theory IV	3
MUSC 3120 Music History II	3	MUSC 3190 Music History III/20 th Cent (CI)	3
MUSC 3180 Scoring & Arranging	2	MUSC 2800/3500/3790/4650/4700 Large Ensemble	1
MUSC 2800/3500/3790/4650/4700 Large Ensemble	1	MUSC **** Chamber Music	1
MUSC **** Chamber Music	1	MUSC 3210 Individual Harp Instruction	2
MUSC 3210 Individual Harp Instruction	2	MUSC 4920 Individual Recital	2
MUSC 4930 Readings & Conference	2	MUSC 4930 Readings & Conference	2
University Studies Breadth Exploration Course	3	Depth Life & Physical Sciences Course (DSC)	2
Total	16	Total	16

Senior Year

FALL	Credits	SPRING	Credits
MUSC 2800/3500/3790/4650/4700 Large Ensemble	1	MUSC 2800/3500/3790/4650/4700 Large Ensemble	1
MUSC **** Chamber Music	1	MUSC **** Chamber Music	1
MUSC 3210 Individual Harp Instruction	2	MUSC 3210 Individual Harp Instruction	2
MUSC Electives	2	MUSC 4920 Individual Recital	2
University Studies Breadth Course	3	Depth Social Sciences Course (DSS)	3
Communications Intensive Course (CI)	3	University Breadth Course	3
Total	12	Total	12

ITEM FOR ACTION

The Department of Health, Physical Education and Recreation (HPER) in the Emma Eccles Jones College of Education and Human Services at Utah State University (USU), requests approval to offer an emphasis in health science within the Health Education and Promotion Bachelor of Science degree.

EXECUTIVE SUMMARY

The Department of Health, Physical Education and Recreation has for many years offered a program in Health Education and Promotion (previously named Health Education Specialist) to prepare students to either work in public and private school systems as health teachers (School Health Education emphasis) or to work as health education specialists in public health departments, medical care settings, corporate wellness programs, and non-profit agencies (Community Health emphasis). In recent years students have expressed an interest in this degree who intend to pursue further study in a health or medical field or anticipate health-science related employment for which an education beyond a bachelor's degree is not required. Therefore the specific methods and skills courses that apply to the present emphases (school health education or community health) would not be appropriate. The proposed emphasis in Health Science will meet this demand whereby students are seeking an undergraduate education in health but are not intending to seek employment in the public schools or with community health agencies. The Health Science emphasis will also appeal to students seeking a health promotion and disease prevention oriented degree.

This proposal was prepared by the Department of Health, Physical Education and Recreation and has been approved by the Dean of the Emma Eccles Jones College of Education and Human Services, the Educational Policies Committee, and the Utah State University Faculty Senate.

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposal from the Department of Health, Physical Education and Recreation in the Emma Eccles Jones College of Education and Human Services offer an emphasis in health science within the Health Education and Promotion B.S. degree.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, Department of Health, Physical Education and Recreation proposes to offer an emphasis in health science within the B.S. in Health Education and Promotion degree, and

WHEREAS, The proposed emphasis will provide a needed degree option for students, and

WHEREAS, The proposed emphasis will require no additional resources, and

WHEREAS, The proposal has been approved by the Dean of the Emma Eccles Jones College of Education and Human Services, the Educational Policies Committee and the USU Faculty Senate, and

WHEREAS, The proposal has been approved by the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposal from the Department of Health, Physical Education and Recreation to offer an emphasis in health science within the B.S. in Health Education and Promotion degree, and that this proposal be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

Health Science Emphasis Request
Department of Health, Physical Education and Recreation
Emma Eccles Jones College of Education and Human Services
Utah State University

Section I: Request

The Department of Health, Physical Education and Recreation in the Emma Eccles Jones College of Education and Human Services has for many years offered a program in Health Education and Promotion (previously named Health Education Specialist) to prepare students to either work in public and private school systems as health teachers (School Health Education emphasis) or to work as health education specialists in public health departments, medical care settings, corporate wellness programs, and non-profit agencies (Community Health emphasis). In recent years students have expressed an interest in this degree who intend to pursue further study in a health or medical field or anticipate health science related employment for which an education beyond a bachelor's degree is not required. Therefore the specific methods and skills courses that apply to the present emphases (school health education or community health) would not be appropriate. The proposed emphasis in Health Science will meet this demand whereby students are seeking an undergraduate education in health but are not intending to seek employment in the public schools or with community health agencies. The Health Science emphasis will also appeal to students seeking a health promotion and disease prevention oriented degree. It is requested that USU be authorized to offer a new Health Science emphasis within the Health Education and Promotion Bachelor of Science (B.S.) degree beginning Fall Semester, 2011.

Section II: Need

The proposed health science emphasis is being requested to meet a need by students who are seeking an undergraduate education in health, but who do not require the specific school health or community health methods and skills courses that exist in the current emphases. The majority of students that would select this emphasis are those who intend to pursue further study in a health or medical field, or who anticipate health-science related employment for which an education beyond a bachelor's degree is not required.

Section III: Institutional Impact

With this available emphasis the department expects a modest increase in enrollment, but does not anticipate negative impact on other programs at the institution.

Section IV: Finances

The proposed emphasis utilizes the existing academic infrastructure and requires no new courses or faculty. There will be no negative financial impact on the department or institution.

Appendix A: Health Education and Promotion Emphases Compared

(Proposed) Health Science Emphasis (60 credits)

A. Core Requirements (24 credits)

HEP 2000	First Aid & Emergency Care (f, sp)	(2)
HEP 2500	Health & Wellness (f, sp)	(2)
HEP 3000	Drugs & Human Behavior (f)	(3)
HEP CI 3600	Introduction to Community Health (f)	(3)
HEP CI 5000	Race, Culture, Class, & Gender Issues in Health(sp)	(3)
BIOL 2320	Human Anatomy (sp)	(4)
BIOL 2420 or 4600	Human Physiology (f, sp) or Advanced Physiology (sp)	(4-5)
NFS BLS 1020	Science Application of Human Nutrition (f, sp)	(3)

B. Required Professional Core (12 credits)

HEP 4100	Foundations of Community Health (sp)	(3)
NFS 4480	Community Nutrition (f)	(3)
PUBH 4030	Communicable Disease Control (f)	(3)
PUBH 4040	Fundamentals of Epidemiology (sp)	(3)

C. Professional Development (24 credits are required)

Biological Science (Choose 0-24 credits)

BIOL BLS 1010	Biology and the Citizen (f, sp)	(3)
BIOL 1020	Biology and the Citizen Laboratory (f, sp)	(1)
BIOL 1610	Biology I (f)	(4)
BIOL BLS 1620	Biology II (sp)	(4)
BIOL 2060	Elementary Microbiology (f)	(4)
BIOL 2520	Pathophysiology (not available at Logan Campus)	(3)
BIOL 3010	Evolution (sp)	(3)
BIOL QI 3060	Principles of Genetics (f, sp)	(4)
BIOL CI 3100	Bioethics (sp)	(3)
BIOL 3300	General Microbiology (f, sp)	(4)
BIOL 4000	Human Dissection (f)	(1)
BIOL 5210	Cell Biology (f)	(3)
NFS 2020	Nutrition Throughout the Life Cycle (sp)	(3)
NFS 3600	Medical Terminology for Health Professionals	(1)
PUBH 3120	Family and Community Health (sp)	(3)

Physical Science and Mathematics (Choose 0-24 credits)

CHEM 1210	Principles of Chemistry I (f, sp)	(4)
CHEM 1215	Chemistry Principles Laboratory I (f, sp)	(1)
CHEM BPS 1220	Principles of Chemistry II (f, sp)	(4)
CHEM 1225	Chemistry Principles Laboratory II (f, sp)	(1)
CHEM BPS 1110	General Chemistry I (f)	(4)
CHEM 1115	General Chemistry Laboratory (sp)	(1)
CHEM BPS 1120	General Chemistry II (sp)	(4)
CHEM2300	Principles of Organic Chemistry (f)	(3)

CHEM 2310	Organic Chemistry I (f)	(4)
CHEM 2315	Organic Chemistry Laboratory I (f)	(1)
CHEM 2320	Organic Chemistry II (sp)	(4)
CHEM 2325	Organic Chemistry Laboratory II (sp)	(1)
CHEM 3700	Introductory Biochemistry (sp)	(3)
CHEM 3710	Introductory Biochemistry Laboratory(sp)	(1)
CHEM 5700	General Biochemistry I (f)	(3)
MATH 1100	Calculus Techniques (f, sp)	(3)
MATH 1210	Calculus I (f, sp, su)	(4)
PHYS BPS 1100	Great Ideas in Physics	(3)
PHYS BPS 1200	Intro to Physics by Hands on Exploration	(4)
PHYS 2110	General Physics -Life Sciences I (f)	(4)
PHYS BPS 2120	General Physics -Life Sciences II (sp)	(4)
STAT QI 2000	Statistical Methods	(3)
STAT QL 2300 Business	Statistics (f, sp)	(4)
STAT QI 3000	Statistics for Scientists (f, sp)	(3)

Social Science and Supportive Courses (Choose 0-8 credits)

ANTH DSS 4130	Medical Anthropology (sp)	(3)
FCHD BSS 1500	Human Development Across the Lifespan (f, sp)	(3)
FCHD 3100	Abuse and Neglect in Family Context (sp)	(3)
FCHD 3110	Human Sexuality (f)	(3)
FCHD 3530	Adolescence (f, sp)	(3)
HEP 3200	Consumer Health (online only)	(3)
HEP 3400	Stress Management (online only)	(3)
HEP 5700	Special Topics in Health (f, sp, su)	(1-3)
HEP 4250	Advanced Cooperative Work Experience (f, sp, su)	(1-10)
PEP 4100	Exercise Physiology (f, sp)	(4)
PEP QI 4200	Biomechanics (f, sp)	(4)
PSY BSS 1010	General Psychology (f, sp)	(3)
PSY 1100	Developmental Psy: Infancy & Childhood (f, sp)	(3)
PSY 1210	Psychology of Human Adjustment (f, sp)	(3)
PSY 2100	Developmental Psychology: Adolescence (sp)	(3)
PSY 3210	Abnormal Psychology (f, sp)	(3)
PSY 3510	Social Psychology (f, su)	(3)
PSY 4240	Multicultural Psychology (f)	(3)
SOC 3330	Medical Sociology (f)	(3)
SOC 3750	Sociology of Aging	(3)
SPAN 3100	Spanish for Healthcare Professionals	(3)
SW 3750	Medical Social Services (f, sp)	(3)

(Existing) Community Health Emphasis (72 credits)

A. Core Requirements (27 credits)

- HEP 2000 - First Aid and Emergency Care 2
- HEP 2500 - Health and Wellness 2
- HEP 3000 - Drugs and Human Behavior 3
- HEP 3600 - Introduction to Community Health (CI) 3
- HEP 4200 - Planning and Evaluation for Health Education (QI) 3
- HEP 5000 - Race, Culture, Class, and Gender Issues in Health (CI) 3
- BIOL 2320 - Human Anatomy 4
- BIOL 2420 - Human Physiology 4
- NDFS 1020 - Science and Application of Human Nutrition (BLS) 3

B. Required Professional Core (36 credits)

- HEP 3900 - Social Marketing in Health Education 3
- HEP 4100 - Foundations of Community Health 3
- HEP 4400 - Creative Methods in Teaching Health Education 3
- HEP 4600 - Field Work in Health Education 1-9 (9 credits required)
- HEP 5300 - Grant Proposal Writing 3
- INST 5205 - Computer Applications for Instruction and Training 3
- NDFS 4480 - Community Nutrition 3
- PSY 2800 - Psychological Statistics (QI) 3
- PUBH 4030 - Communicable Disease Control 3
- PUBH 4040 - Fundamentals of Epidemiology 3

C. Elective Courses (select 9 credits)

Students must complete 9 credits of elective courses, taking at least one course from two of the following three areas:

Human Nature

- ANTH 3110 - North American Indian Cultures 3
- ANTH 4230 - Medical Anthropology: Matter, Culture, Spirit, and Health (DSS) 3
- FCHD 1500 - Human Development Across the Lifespan (BSS) 3
- FCHD 3110 - Human Sexuality 3
- FCHD 3530 - Adolescence 3
- PSY 1010 - General Psychology (BSS) 3
- PSY 1100 - Lifespan Development 3
- PSY 1210 - Psychology of Human Adjustment 3
- PSY 4240 - Multicultural Psychology (DSS) 3
- SOC 3010 - Social Inequality (DSS) 3
- SOC 3330 - Medical Sociology (DSS) 3
- SOC 2370 - Sociology of Gender 3
- SW 2100 - Human Behavior in the Social Environment 3

Content and Methods in Education

- OSS 1400 - Microcomputer Applications 3
- OSS 1550 - Business Correspondence (CI) 3
- HEP 3200 - Consumer Health 3
- HEP 3400 - Stress Management 3

HEP 4500 - Sexuality Education Within the Schools 3
HEP 5700 - Special Topics in Health 1-6 (1-3 credits required)
JCOM 1130 - Beginning Newswriting for the Mass Media 3
JCOM 2220 - Introduction to Video Media 3
NDFS 2020 - Nutrition Throughout the Life Cycle 3
PEP 4100 - Exercise Physiology 4
SOC 3750 - Sociology of Aging 3
SPCH 1020 - Public Speaking (BHU/CI) 3

Organizational Dynamics in the Family and Community

FCHD 3100 - Abuse and Neglect in Family Context 3
JCOM 2300 - Introduction to Public Relations 3
MGT 3110 - Managing Organizations and People (DSS) 3
MGT 3820 - International Management (DSS) 3
POLS 3810 - Introduction to Public Policy (DSS) 3
PUBH 3120 - Family and Community Health 3
PUBH 3310 - Occupational Health and Safety 3
SPCH 2110 - Interpersonal Communication (BHU/CI) 3
SPCH 3250 - Organizational Communication (CI) 3
SW 2400 - Social Work with Diverse Populations 3
SW 3750 - Medical Social Services 3

(Existing) School Health Emphasis (68 credits)

A. Required School Health Core (33 credits)

FCHD 1500 - Human Development Across the Lifespan (BSS) 3
HEP 2000 - First Aid and Emergency Care 2
HEP 2500 - Health and Wellness 2
HEP 3000 - Drugs and Human Behavior 3
HEP 3600 - Introduction to Community Health (CI) 3
HEP 4200 - Planning and Evaluation for Health Education (QI) 3
HEP 4500 - Sexuality Education Within the Schools 3
HEP 5000 - Race, Culture, Class, and Gender Issues in Health (CI) 3
BIOL 2320 - Human Anatomy 4
BIOL 2420 - Human Physiology 4
NDFS 1020 - Science and Application of Human Nutrition (BLS) 3

B. Secondary Teacher Education Program (STEP) (35 credits)

Level 1 (15-week courses)

Prerequisite: Admittance to teacher education program

INST 4015 - Technology Tools and Integration for Teachers 1-3 (1 credit required)
SCED 3100 - Motivation and Classroom Management 3
SCED 3210 - Educational and Multicultural Foundations (DSS/CI) 3
HEP 3300 - Clinical Experience I 1

HEP 4400 - Creative Methods in Teaching Health Education 3 or
Minor Special Methods Course 3

Level 2 (15-week courses)

Prerequisite: Admission to teacher education program and completion of Level 1

SPED 4000 - Education of Exceptional Individuals 2 (may be taken anytime)
SCED 4200 - Reading, Writing, and Technology (CI) 3
SCED 4210 - Cognition and Evaluation of Student Learning 3
HEP 4300 - Clinical Experience II 1 (or minor Clinical Experience II)

HEP 4400 - Creative Methods in Teaching Health Education 3 or
Minor Special Methods Course 3

Level 3 (includes 13 weeks of student teaching and 2 weeks of Student Teaching Seminar)

Prerequisite: Completion of Levels 1 and 2; Student Teaching Placement

HEP 5500 - Student Teaching Seminar 2
HEP 5630 - Student Teaching 10 (13 weeks)

ITEM FOR ACTION

A request from the School of Teacher Education and Leadership in the Emma Eccles Jones College of Education and Human Services at Utah State University (USU) to offer a Master of Education (MEd) in Instructional Leadership.

EXECUTIVE SUMMARY

The Master of Education (MEd) in Instructional Leadership will prepare instructional leaders for schools in Utah and the surrounding region. Currently prospective school leaders enrolled at Utah State University must choose an MEd in either Elementary Education or Secondary Education. Both degrees offer a specialization in Instructional Leadership that leads to Administrative/Supervisory licensure by the Utah State Board of Education (USBE). This degree will provide licensure for K-12 and district level administrative positions in a single program. The result will be alignment of the USU instructional leadership programs with the licensure policies of the USBE.

This proposal was prepared by the School of Teacher Education and Leadership and has been approved by the Dean of the Emma Eccles Jones College of Education and Human Services, the Educational Policies Committee, and the Utah State University Faculty Senate.

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposal from the School of Teacher Education and Leadership in the Emma Eccles Jones College of Education and Human Services to offer a Master of Education (MEd) in Instructional Leadership.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The School of Teacher Education and Leadership (TEAL) proposes to offer a Master of Education (MEd) in Instructional Leadership, and

WHEREAS, The proposed degree will provide licensure for K-12 and district level administrative positions in a single program, and

WHEREAS, The proposed degree will result in alignment of the USU instructional leadership programs with the licensure policies of the Utah State Board of Education, and

WHEREAS, The proposed degree requires no additional funding, and

WHEREAS, The proposal has been approved by the Dean of the Emma Eccles Jones College of Education and Human Services, the Educational Policies Committee and the USU Faculty Senate, and

WHEREAS, The proposal has been approved by the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approves the proposal from the School of Teacher Education and Leadership to offer a Master of Education in Instructional Leadership, and that this proposal be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

Utah State University

Executive Summary Master of Education in Instructional Leadership

Program Description

The Master of Education (MEd) in Instructional Leadership will prepare instructional leaders for schools in Utah and the surrounding region. Currently prospective school leaders enrolled at Utah State University (USU) must choose an MEd in either Elementary Education or Secondary Education. Both degrees offer a specialization in Instructional Leadership that leads to Administrative/Supervisory licensure by the Utah State Board of Education (USBE). This degree will provide licensure for K-12 and district level administrative positions in a single program. The result will be alignment of the USU instructional leadership programs with the licensure policies of the USBE.

Role and Mission Fit

With the creation of the School of Teacher Education and Leadership (TEAL), and the recent additions to the faculty, it is appropriate for TEAL to offer a Master of Education degree in Instructional Leadership.

Faculty

Number of faculty with Doctoral degrees	Tenure	3	Contract	3	Adjunct	1
Number of faculty with Master's degrees	Tenure	0	Contract	0	Adjunct	0
Number of faculty with Bachelor's degrees	Tenure	0	Contract	0	Adjunct	0
Other Faculty	Tenure	0	Contract	0	Adjunct	0

Market Demand

There is a consistent need for well-trained administrators in Utah's schools. The school age population in Utah continues to grow with increases in school enrollment projected throughout the next decade. The Utah Legislature appropriated growth funds to the public school system in anticipation of 19,625 new students in fiscal year 2012. The Educator Supply and Demand study produced by the Utah System of Higher Education in 2007 indicates that the number of teachers in Utah continues to grow. Additional administrators will be required to supervise these new teachers.

Student Demand

Applications for admission to the instructional leadership specialization in the current MEd programs consistently exceeds available space. Historically the acceptance rate has been approximately 80%. In the past two years, that acceptance rate has been reduced to roughly 70% as demand for the program has increased. Most who have completed the current specializations continue in both teaching and leadership positions in Utah school districts, moving from teaching to administrative positions over a period of several years.

Statement of Financial Support

The program is funded with generated tuition revenue through Regional campuses and Distance Education.

- Legislative Appropriation _____
- Grants _____
- Reallocated Funds _____
- Tuition dedicated to the program _____
- Other _____

Similar Programs Already Offered in the USHE

The University of Utah offers a MEd in Educational Leadership and Policy that may lead to administrative licensure. Southern Utah University offers a MEd with emphasis in Educational Leadership that also may lead to administrative licensure. Two private universities in Utah also offer administrative licensure programs.

Section I: The Request

Utah State University requests approval to offer a Master of Education Degree in Instructional Leadership effective Fall Semester 2011

Section II: Program Description

Master of Education in Instructional Leadership in the School of Teacher Education and Leadership

Program Description

The Master of Education (MEd) in Instructional Leadership will prepare instructional leaders for schools in Utah and the surrounding region. Currently, prospective school leaders enrolling at USU must select an MEd in either Elementary Education or Secondary Education. Both degrees offer a specialization in Instructional Leadership that leads to Administrative/Supervisory licensure by the Utah State Board of Education (USBE). The proposed degree will provide licensure for K-12 and district level administrative positions in a single program. The result will be better alignment of the USU instructional leadership programs with the licensure policies of the USBE.

Purpose of Degree

The purpose of the program is to prepare effective instructional leaders for schools in Utah and the surrounding region. USU has prepared school leaders for many years. The Utah State Board of Education provides a single Administrative/Supervisory license for administrative positions in elementary, secondary, and district office settings. This proposal aligns the instructional leadership program at USU with the licensure policies of USBE.

Institutional Readiness

The program currently exists as a specialization within separate MEd programs in Elementary Education and Secondary Education. No additional resources will be required.

Faculty

No additional faculty will be required. The core faculty includes three tenured faculty, three clinical, faculty, and one adjunct faculty.

Staff

No additional staff will be required.

Library and Information Resources

The program requires no new courses and there will be no impact on library resources or services.

Admission requirements

The admission requirements will be the same as those of other USU MEd programs.

Student Advisement

Faculty and advisement staff currently in place will continue with no change.

Justification for Graduation Standards and Number of Credits

The program requires 41 credits, consistent with the standards of the Utah State Board of Education and the National Policy Board for Educational Administration. This is consistent with other USU MEd programs.

External Review and Accreditation

The program is based on the standards of the National Policy Board for Educational Administration. The Emma Eccles Jones College of Education and Human Services will undergo a formal accreditation review by the Teacher Education Accreditation Council (TEAC) during Fall Semester 2011. The program has had informal review by the Utah Consortium for Educational Leadership, and will undergo formal review as part of the TEAC accreditation review.

Projected Enrollment

During the past five years, the existing ASC and Instructional Leadership specializations in Elementary Education and Secondary Education have admitted 50-60 students each year, with 45-50 students complete the program. The purpose of this change is to more directly align the program with USBE licensure policies. No changes in enrollment are anticipated.

Year	Student Headcount	# of Faculty	Student-to-Faculty Ratio	Accreditation Req'd Ratio
1	60	7	26.16	N/A
2	60	7	26.16	N/A
3	60	7	26.16	N/A
4	60	7	26.16	N/A
5	60	7	26.16	N/A

Expansion of Existing Program

Enrollment in the proposed program is projected to remain consistent with that of the current USU programs.

Section III: Need

Program Need

There is a consistent need for well-trained administrators in schools. The school age population in Utah continues to grow with increases in school enrollment projected throughout the next decade. The Utah Legislature appropriated growth funds to the public school system in anticipation of 19,625 new students in fiscal year 2012. The Educator Supply and Demand study produced by the Utah System of Higher Education in 2007 indicates that the need for teachers in Utah continues to grow. Additional administrators will be required to supervise these teachers.

Many private school and charter school administrators, although not required to hold administrative licensure, are choosing to pursue the program in order to strengthen their leadership skills, provide credibility for their schools, and better respond to accreditation requirements.

Labor Market Demand

The purpose of the program is not to produce additional administrative candidates, but to better align the program with the licensing policies of the USBE. The Administrative/Supervisory Area of Concentration provides licensure for administrators in elementary schools, secondary schools, and district offices. The program delivered by USU will align with USBE policy. Students who hold a Master's Degree prior to seeking administrative licensure will continue have the option of a "licensure only" program.

Student Demand

Applications for admission to the program consistently exceed available space. Historically the acceptance rate has been approximately 80%. In the past two years, that acceptance rate has been reduced to roughly 70% as demand for the program has increased.. Most who complete the program continue in current positions in their local school districts, moving from teaching to administrative positions over a period of several years.

Similar Programs

The University of Utah offers an MEd in Educational Leadership and Policy that may lead to administrative licensure. Southern Utah University offers an MEd with emphasis in Educational Leadership that also may lead to administrative licensure. Two private universities in Utah also offer administrative licensure programs.

Collaboration with other USHE Institutions

Representatives of each university offering administrative preparation programs, the Utah School Boards Association, the Utah Associations of elementary and secondary school principals, the Utah State Office of Education, and the Utah School Superintendents Association meet each semester to discuss

issues of common interest and share ideas for program improvement. This proposal has been presented and discussed at that meeting and received a positive response.

Benefits

Both USU and our students will benefit from better alignment with USBE licensure policies and school district hiring practices.

Consistency with Institutional Mission

Teacher education programs at USU are well respected and graduates are in demand by schools and districts across Utah. Strengthening this program aligns with the goals of the School of Teacher Education and Leadership, and represents a high priority for the Emma Eccles Jones College of Education and Human Services and Utah State University.

Section IV: Program and Student Assessment

Program Assessment

The program is based on the standards of the National Policy Board for Educational Administration, and reviewed as part of the periodic accreditation reviews by the Teacher Education Accreditation Council (TEAC).

Expected standards of Performance

Students must receive a score exceeding the Utah State Office of Education cut-off of 620 on the PRAXIS exam, Educational Leadership: Administration and Supervision (0411) in order to qualify for Administrative/Supervisory licensure by USBE.

The ISLLC Standards are:

1. **Standard 1:** *An education leader promotes the success of every student by facilitating the development, articulation, implementation, and stewardship of a vision of learning that is shared and supported by all stakeholders.*
2. **Standard 2:** *An education leader promotes the success of every student by advocating, nurturing, and sustaining a school culture and instructional program conducive to student learning and staff professional growth.*
3. **Standard 3:** *An education leader promotes the success of every student by ensuring management of the organization, operation, and resources for a safe, efficient, and effective learning environment.*

4. **Standard 4:** *An education leader promotes the success of every student by collaborating with faculty and community members, responding to diverse community interests and needs, and mobilizing community resources.*

5. **Standard 5:** *An education leader promotes the success of every student by acting with integrity, fairness, and in an ethical manner.*

6. **Standard 6:** *An education leader promotes the success of every student by understanding, responding to, and influencing the political, social, economic, legal, and cultural context.*

The program will be reviewed periodically to ensure adherence to these standards. USU Teacher Education programs will be reviewed by TEAC in 2011.

Courses will be delivered via electronic distance education during evening hours in fall and spring semesters, and face to face in a condensed format during summer session.

Budget

No increases in enrollment or cost are anticipated. Pressure will be reduced on support staff in the School of Teacher Education and Leadership as duplication of effort will be eliminated.

Financial Analysis Form for All R401 Documents					
Students	Year 1	Year 2	Year 3	Year 4	Year 5
Projected FTE Enrollment	58.2	58.2	58.2	58.2	58.2
Cost per FTE ¹	15,064	15,064	15,064	15,064	15,064
Student/Faculty Ratio	26.16	26.16	26.16	26.16	26.16
Projected Headcount	60	60	60	60	60
Projected Tuition	Year 1	Year 2	Year 3	Year 4	Year 5
Gross Tuition	236,901	246,377	256,232	266,481	277,140
Tuition to Program	0	0	0	0	0
5 Year Budget Projection					
Expense	Year 1	Year 2	Year 3	Year 4	Year 5
Salaries & Wages					
Benefits					
Total Personnel	N/A - All costs are currently covered in existing				
Current Expense	programs. There are no additional faculty or staff				
Travel	FTE, library, or other operational funds required.				
Capital					
Library Expense					
Total Expense	0	0	0	0	0

Revenue	Year 1	Year 2	Year 3	Year 4	Year 5
Legislative Appropriation					
Grants & Contracts					
Donations	N/A - funded through existing resources				
Reallocation					
Tuition to Program					
Fees					
Total Revenue	0	0	0	0	0
Difference					
Revenue - Expenses	0	0	0	0	0
2009-10 E&G EdD masters cost per FTE.					
source: USU 2009-10 Department Profiles					

Funding Sources

No additional funding is required.

Reallocation

No reallocation of funding is required.

Impact on Existing Budgets

There will be no impacts on current budgets.

Appendix A

Course Requirements

Course Prefix & Number	Title	Credit Hours
College Core Courses		
TEAL 6410	Social Foundations of Education	3
TEAL 6710	Diversity in Education	3
TEAL 6150	Foundations of Curriculum	3
TEAL 6190	Theories of Learning & Models of Teaching	3
Instructional Leadership Core Courses		
TEAL 6080	Leadership and the School Principal	3
TEAL 6090	Theories of Organizational Leadership in Education	3
TEAL 6050	Theories of Instructional Supervision	3
TEAL 6740	School Law	3
TEAL 6500	School Finance and Resource Management	3
SPED 6060	Historical and Legal Foundations of Special Education	3
SPED 6280	Leadership for At-Risk Students	3
EDUC 6540	Data-Based Decision Making for School Leaders	3
TEAL 6930	Supervision & Administrative Internship Seminar	2
TEAL 6940	Supervision & Administrative Internship	4
Elective Courses	None	
	Total Number of Credits	42

No new courses are anticipated.

Appendix B

Suggested Schedule by Semester

Fall Semester

TEAL 6080	Leadership and the School Principal	3
TEAL 6410	Social Foundations of Education	3
TEAL 6940	Supervision & Administrative Internship	1

Spring Semester

TEAL 6050	Theories of Instructional Supervision	3
SPED 6060	Historical and Legal Foundations of Special Education	3
TEAL 6940	Supervision & Administrative Internship	1

Summer Session

SPED 6280	Leadership for At-Risk Students	3
TEAL 6500	School Finance and Resource Management	3
TEAL 6740	School Law	3
TEAL 6090	Theories of Organizational Leadership in Education	3
TEAL 6940	Supervision & Administrative Internship	1

Fall Semester

TEAL 6710	Diversity in Education	3
EDUC 6540	Data-Based Decision Making for School Leaders	3
TEAL 6940	Supervision & Administrative Internship	1

Spring Semester

TEAL 6150	Foundations of Curriculum	3
TEAL 6190	Theories of Learning and Models of Teaching	3
TEAL 6930	Supervision & Administrative Internship Seminar	2

Appendix C

Program Faculty

Michael K. Freeman, PhD, University of Wyoming, (1994), Adult and Postsecondary Education, Associate Dean, Associate Professor, 27 years-Higher education, 1 year-Public Education, 17 years at USU.

Steven O. Laing, EdD, Brigham Young University, (1989), Educational Leadership, Clinical Associate Professor, 30 years-Public Education, 6 years-Higher Education, 6 years at USU.

L. Joseph Matthews, PhD, Brigham Young University, (1986), Curriculum and Instruction School Leadership, Associate Professor, 20 years-Higher Education, 19 years, Public Education, 1 year at USU.

Richard L. Rhees, EdD, University of Utah (1984) Administration and Supervision, Clinical Assistant Professor, 34 years-Public Education, 7 years-Higher Education, 4 years at USU.

Michael D. Salvesen, EdD, Utah State University (1982), Educational Administration, Adjunct Clinical Assistant Professor, 29 years-Public Education, 11 years-Higher Education, 9 years at USU.

Susan A. Turner, PhD, Brigham Young University (1998) Leadership/Organizational Behavior, Clinical Assistant Professor, 25 years-Public Education, 7 years, Higher Education, 4 years at USU.

Richard P. West, PhD, University of Utah (1981) Educational Psychology, Instructional Psychology, Professor, 8 years-Public Education, 30 years-Higher Education, 30 years at USU.

ITEM FOR ACTION

A request from the Department of Sociology, Social Work and Anthropology in the College of Humanities and Social Sciences at Utah State University to restructure the specializations offered in the sociology doctoral program.

EXECUTIVE SUMMARY

The Graduate Program in Sociology at Utah State University proposes a modest change in the names of the specializations to be available as part of the doctoral degree.

The current list of approved doctoral program specializations in the Sociology program includes four areas: (a) Demography, (b) Environment and Natural Resource Sociology, (c) Social Change and Development, and (d) Inequality and Social Problems.

The proposed list of new doctoral specializations would include the following: (a) Demography, (b) Environment & Community, and (c) States and Markets. These three areas would replace the existing PhD program specializations.

Taken as a whole, these proposed PhD specializations will not require any major curriculum changes (aside from the development of one or two new substantive graduate seminars to be taught by existing faculty over the next two or three years), and can be handled without new faculty positions or teaching loads.

This proposal was prepared by the Department of Sociology, Social Work and Anthropology and has been approved by the Dean of the College of Humanities and Social Sciences, the Educational Policies Committee, and the Utah State University Faculty Senate.

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposal from the Department of Sociology, Social Work, and Anthropology to restructure the specializations offered in the sociology doctoral program.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The Department of Sociology, Social Work and Anthropology proposes to restructure the specializations offered in the sociology doctoral program, and

WHEREAS, The proposed specializations reflect the substantive areas where the department has national comparative advantage, and

WHEREAS, The proposed specializations take best advantage of the strengths of the current faculty, and

WHEREAS, The proposed specializations provide an improved focus for future graduate student recruitment efforts, and

WHEREAS, The proposal has been approved by the Dean of the College of Humanities and Social Sciences, the Educational Policies Committee and the USU Faculty Senate, and

WHEREAS, The proposal has been approved by the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposal from the Department of Sociology, Social Work and Anthropology to restructure the specializations offered in the sociology doctoral program, and that this proposal be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

Modification of Specializations in Sociology Doctoral Program Utah State University

Section I: Request

The Graduate Program in Sociology at Utah State University proposes a modest change in the names of the official specializations to be made available as part of the doctoral degree.

The current list of approved doctoral program specializations in the Sociology program includes four areas: (a) Demography, (b) Environment and Natural Resource Sociology, (c) Social Change and Development, and (d) Inequality and Social Problems.

The proposed list of new doctoral specializations would include the following: (a) Demography, (b) Environment & Community, and (c) States and Markets. These three areas would replace the existing PhD program specializations.

The Demography specialization would remain unchanged and would continue the existing offerings. The Environment and Community specialization is built entirely around existing curriculum and faculty and includes all of the elements from the former specialization in Environment and Natural Resource Sociology, plus the rural community material that was associated with the existing Social Change and Development specialization. The Politics and Markets specialization will build on existing courses formerly associated with the Social Change and Development and Inequality and Social Problems specializations, plus two new courses that have been developed to fill space freed up by moving from four to three PhD specializations.

Taken as a whole, these proposed PhD specializations will not require any major curriculum changes (aside from the development of one or two new substantive graduate seminars to be taught by existing faculty over the next two or three years), and can be handled without new faculty positions or teaching loads.

A brief description of the three proposed specializations includes:

Demography:

This specialization explores the study of population change, including fertility, death, migration and health outcomes. Graduate coursework is provided in social demography, population theories and policies, demographic research methods, and various special topic seminars. The orientation is twofold: (1) basic and policy oriented research on sociological aspects of demographic structure and processes including migration, marriage and fertility, morbidity and mortality, and technical demographic topics such as population estimates and projections; and, (2) the provision of demographic training to domestic and international students relevant to their respective settings. Demographic topics are highly interrelated with issues of central concern involving environmental, community, and social change. Active faculty research endeavors encompass a broad range of local, regional, national, and international projects in the areas of migration and population redistribution, health and disability, family demography, life course and aging, population/environment interactions, labor force, and population estimates and projections.

Environment & Community

This specialization focuses on the sociology of natural resources, environmental sociology, community theory, and applied community development. The faculty in the Environment and Community Sociology area maintain active research in areas such as natural resource development and social change, resource dependency patterns, land use planning, public participation in environmental planning, social responses to hazardous facility siting, environmental equity and environmental justice concerns, public land management policies, linkages of environmental conditions with population change, and a variety of other natural resource policy and management issues. Faculty members are engaged in numerous cooperative research ventures with colleagues in natural resource sciences, water engineering, and other physical and social sciences.

States and Markets:

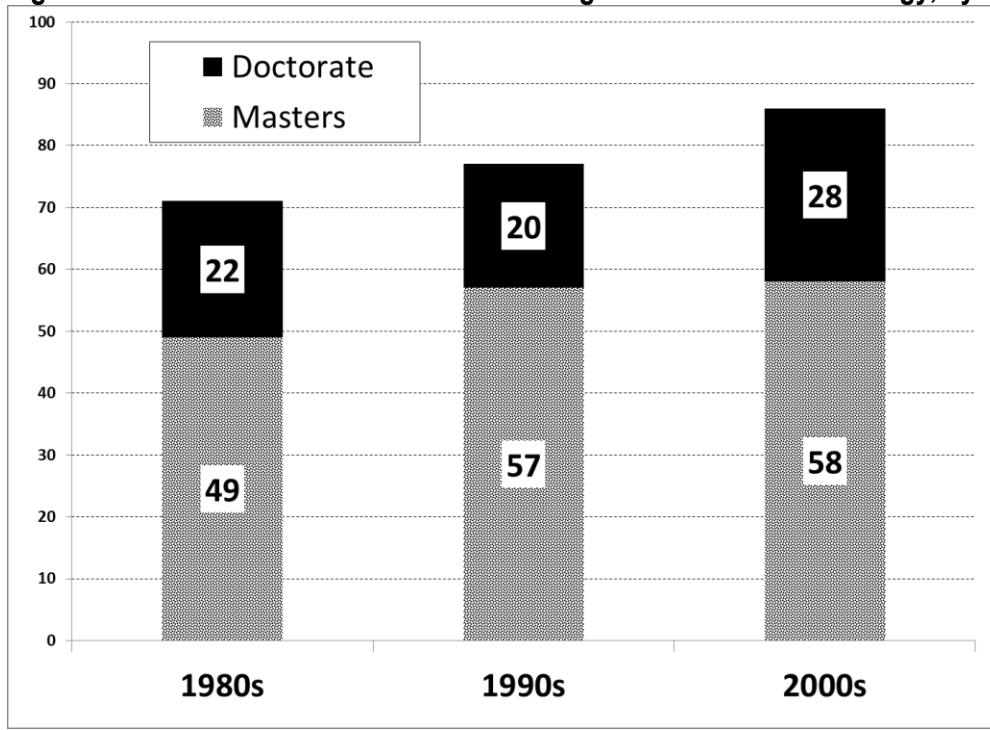
This field of specialization focuses on state-society relationships between formal state institutions (law, policy, and bureaucracy) and the dynamics of private sector markets and social processes. It is supported by new developments in economic sociology that focus on the social and political bases of market processes and in political sociology on the impact of state-level institutions and political processes on social and economic outcomes. Taken together these developments suggest that states and markets are embedded in each other and co-construct one another in important ways. Many important topics studied by faculty within our department – migration behavior, health outcomes, environmental problems, labor market outcomes, community development, etc. – are shaped by this interaction of politics and markets. Developments in the discipline at large to engage this intersection inspire new trajectories of inquiry that motivate the research agendas of a critical core of faculty in the department. Thus neither political sociology nor economic sociology sufficiently captures the existing strengths of faculty research and teaching.

Section II: Need

The graduate program in Sociology has offered the MS degree in sociology since 1927 and the PhD degree since 1957. Over the last two decades, the program has graduated an average of 5.7 MS and 2.4 PhD students per year (figure 1). The program is strong and growing in its national reputation, particularly in the areas of demography, environmental and natural resource sociology, and community sociology.

Recent changes in faculty positions and emerging areas in the broader discipline of sociology have led the current tenured and tenure track faculty in sociology at USU to reevaluate the content of their doctoral degree specializations.

Figure 1: Number of Master's and Doctoral Degrees Awarded in Sociology, by decade



A year-long process of internal review and discussion has led to a formal proposal for a new set of three doctoral specializations that reflect the substantive areas where the department has national comparative advantage, take best advantage of the strengths of the current faculty, and provide a more focused set of options around which to develop the department's national reputation and impact on their discipline.

Specifically, the department has decided to focus on two areas in which it has the strongest national reputation (*Demography*, and a new combined area of *Environment & Community*).

In addition, the department is seeking permission to offer a new third specialization area (*States and Markets*) to reflect new trends in the discipline in which sociologists focus on the relationships between formal state institutions (law, policy, and bureaucracy) and the dynamics of private sector markets. A review of nationally prominent sociology doctoral programs found a number of leading institutions using the "States and Markets" title to refer to the integrated study of economic sociology and political sociology (two long-standing specialization areas in the discipline of sociology). The department's interest in pursuing a doctoral specialization in States and Markets reflects the recent hiring of two faculty members whose work falls squarely in this area and the shared interests of several currently tenured faculty members whose research and publications are also a good fit with this new specialization.

The decision to refocus the doctoral specializations was also motivated in response to the retirement of four core tenure-track faculty positions in sociology during a period of time when budget shortfalls precluded filling most vacant positions. While the department did hire to fill one of these positions in 2009/10, and plans to replace one or more of these positions in the coming years, the changes helped stimulate important conversations about the need for a more focused doctoral program identity that would better take advantage of current faculty areas of strength and active research, and help maintain recent departmental progress in the quality of doctoral student applications and placement of their graduates.

The faculty are excited about the opportunity to use the new specialization names in their advertising and recruiting activities to attract students whose interests are particularly well suited to our department's strengths.

The new doctoral specializations will not change the department's MS degree (which is only offered in the generic area of 'sociology') though MS and PhD students share many substantive seminars. It is expected that the evolution of the doctoral course offerings will provide new opportunities for training and recruitment in the MS program as well.

Section III: Institutional Impact

Overview

The proposed new set of specializations is explicitly built around the current graduate curriculum offered by the 10 faculty members in sociology at Utah State who actively teach in the graduate program. The proposed specialization areas can be supported without any new faculty positions or increase in the number of graduate course offerings in the department.

Specifically, no new theory or methods courses will be needed to resource the new specialization areas.

The proposed specialization areas of Demography and Environment & Community will rely entirely on substantive graduate seminars currently offered by faculty in the department.

The new area of States and Markets will build on two existing graduate courses (SOC6750 "Social Change and Development," and SOC6310 "Work and Occupations"), and faculty have committed to develop or modify new graduate course offerings (a graduate listing of SOC4350 "Political Sociology" to be offered as a special topics seminar in Spring 2011, a revised version several of the existing seminars to be consolidated and retitled "Theory and Research in Inequality," and a new substantive seminar focused on the topic of States and Markets to be defined and developed in the 2011/12 academic year).

No changes in space requirements or facilities will be required.

If approved, these three specialization areas will provide a better focus for future graduate student recruitment efforts and development of new faculty position descriptions.

Detailed PhD Requirements

Specific requirements for the doctoral specializations are governed by departmentally approved graduate program guidelines, which outline the credit and course requirements for the PhD and the specializations. The general requirements for the PhD degree are:

- 60 credits total (post-MS)
- 6 credits of theory (Soc 6750 and 6760)
- 9 credits of methods (Soc 7100, 7110 and 7150)
- 21 credits of electives
 - Major specialization area (12 credits)
 - Minor specialization area (9 credits)

As noted in the sociology graduate program handbook:

“In addition to the theory and methods courses listed above, doctoral students are required to take substantive graduate courses to prepare for their comprehensive examinations and dissertation research. As discussed below, doctoral students will take courses and successfully complete one written comprehensive examination in one MAJOR specialization area. They will also be required to take graduate courses in a second approved MINOR specialization area. Doctoral students should develop a list of electives in consultation with their advisor and supervisory committee to prepare for both Major and Minor specializations. All courses used to meet requirements for the doctoral degree must appear on an approved program of study.

Faculty members affiliated with each specialty area have identified a set of graduate courses in Sociology that are regularly offered and which can be assumed to meet the minimum course credit requirements associated with major and minor specializations.

Doctoral students should use the following course lists as a guide when selecting courses to place on their program of study. Ultimately, the advice and consent of each student’s Supervisory Committee is required to determine the exact courses that can be used to meet doctoral degree requirements.”

I. Demography

Soc 6200 – Social Demography
Soc 6230 – Demographic Techniques
Soc 7250 – Advanced Social Demography
Soc 6460 – Sociology of Health & Medicine
Soc 6800 – Population and Health

II. Environmental and Community

Soc 6620 – Environment, Technology and Social Change
Soc 6630 – Natural Resources and Social Development
Soc 6640 – Conflict Management in Natural Resources
Soc 6820 – Applied Community Development
Soc 7660 – The Environment and Social Inequality
Soc 7720 – Community Theory and Research

III. States and Markets

Soc 6310 – Work and Labor Markets
Soc 6350 – Political Sociology
Soc 6800 – Topical Seminar in States and Markets
CONTRIBUTORS FROM EXISTING DEPT COURSES
Soc 6630 – Natural Resources & Soc Devt
Soc 7660 – Environment & Social Inequality

Section IV: Finances

The proposed changes in doctoral specializations should not have any significant fiscal impact on the department, college or university. All proposed courses will be covered by current faculty.

ITEM FOR ACTION

Utah State University (USU) submits the attached program review for the Department of Geology in the College of Science for consideration and action by the Board of Trustees.

EXECUTIVE SUMMARY

The review of the Department of Geology was conducted by a review committee consisting of one Utah State University faculty member and two, off-campus, nationally recognized experts in the discipline of geology.

The department offers three undergraduate degrees: Bachelor of Science in Geology (General, Hydrogeology, and Geoarcheology emphases); Bachelor of Science in Applied Environmental Geosciences; and Bachelor of Science in Earth Science Composite Teaching. In addition, the Department of Geology at Utah State University has had an advanced degree program in Geology at the Masters level for over 75 years, making it one of the older graduate degree programs on campus. The department currently offers three graduate degrees: Master of Science in Geology, Master of Science in Applied Environmental Geosciences, and a PhD in Geology. In addition to their majors, the unit teaches a variety of general education courses each semester.

The Department of Geology has a strong commitment to high-quality teaching at both the undergraduate and graduate levels, exemplified by their receipt of the first Utah State University Department Teaching Excellence Award in 2003. The Department also has a strong commitment to research, with four main areas of strength – Tectonics/geophysics, sedimentology and sedimentary systems, surficial processes, and petrology/geochemistry.

The review committee made recommendations that were intended to serve as “a fine tuning of a well-functioning unit, to make it more effective and efficient.”

RECOMMENDATION

The President and Provost recommend that the Board of Trustees accept this review of the Utah State University Department of Geology in the College of Science.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, Utah State University submits this program review for the Department of Geology, and

WHEREAS, The Department has a clear mission that aligns with that of the University, and

WHEREAS, The Department has a strong and demonstrated commitment to teaching and research, and

WHEREAS, The Department is actively responding to the recommendations made by the external committee,

WHEREAS, The report has the support of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby accept the review for the Department of Geology in the College of Science, and that this review be forwarded to the Utah State Board of Regents of the Utah State System of Higher Education.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

Utah State University
Program Review: Department of Geology
Date Reviewed: April 14-15, 2009

The Review Committee

The Review Committee consisted of one Utah State University faculty member and two, off-campus, nationally recognized experts in the discipline of geology. Off-campus committee members were selected from outside the state of Utah and responsibility for selection of all Review Committee members resided within the Office of the Executive Vice President and Provost at Utah State University. Review committee members did not have close personal or working ties to department faculty members. Members of the Review Committee analyzed the department self-study, gathered additional data, and drafted a report making recommendations related to the improvement, continuation or discontinuation of the program. The report of the Review Committee was submitted to the department, dean, and Executive Vice President and Provost on May 5, 2009, with the institutional responses following in spring and fall of 2010.

Accreditation Review Team Members

- Dr. Dennis Geist, Professor of Volcanology and Petrology, University of Idaho
- Dr. Michael Wells, Professor and Chair, Geoscience, University of Nevada Las Vegas
- Dr. Steven Simms, Professor of Anthropology, Utah State University

Department Profile

The Department of Geology is housed in the College of Science. The department's mission is to:

- provide training for future professional geologists directly through high-quality instruction and research involvement in geology;
- contribute to the advancement of scientific knowledge in the field of geology through research activities in selected areas of expertise;
- provide supporting courses and research services for professional programs in such areas as natural resources, soil science, landscape architecture & environmental planning, science education, civil & environmental engineering, watershed science, and physical geography;
- enhance the liberal education of university students from other fields by providing high quality instruction in geology and developing an appreciation of the geology and natural resources of the state of Utah; and
- promote an understanding of geology among the general public and to educate the public with respect to the potential impact of geology on everyday life through service to individual citizens, community groups, and public schools.

Over the past 10 years, the Department of Geology has undergone major change, growing from a strong regional teaching department to one with national stature in graduate education and research. At the same time, it has maintained its strong undergraduate program and has a meritorious record of placing students into careers in the earth sciences.

The department offers three undergraduate degrees: Bachelor of Science in Geology (General, Hydrogeology, and Geoarcheology emphases); Bachelor of Science in Applied Environmental Geosciences; and Bachelor of Science in Earth Science Composite Teaching. In addition, the Department of Geology at Utah State University has had an advanced degree program in Geology at the Masters level for over 75 years, making it one of the older graduate degree programs on campus. The department currently offers three graduate degrees: Master of Science in Geology, Master of Science in Applied Environmental Geosciences, and a PhD in Geology. In addition to their majors, the unit teaches a variety of general education courses each semester.

The Department of Geology has a strong commitment to high-quality teaching at both the undergraduate and graduate levels, exemplified by their receipt of the first Utah State University Department Teaching Excellence Award in 2003. The Department also has a strong commitment to research, with four main areas of strength – Tectonics/geophysics, sedimentology and sedimentary systems, surficial processes, and petrology/geochemistry. In 2003 the Geology Department established an Advisory Board to advise them on programmatic issues and to assist with development efforts, resulting in donations to the department of \$720,000 over the past four years.

Faculty & Staff			
Faculty	Tenure	Contract	Adjunct
Number of faculty with Doctoral degrees	9		14
Number of faculty with Master's Degree			2
Number of faculty with Bachelor's degrees			
Other Faculty			
Total	9		16
Staff	Full-Time	Part-Time	
Administrative			
Secretarial/Clerical	2		
Laboratory Aides/Instructors			
Advisors			
Teaching/Graduate Assistants	7		
Other Staff			
Total	9		

Geology ⁰							
Students							
AY	# of Majors ¹	Undergrad Students FTE	Graduate Student FTE	# of Faculty ²	FTE-to-Faculty Ratio ³	# of Grads ⁴	# of Grads Placed
2004-05	51	160.77	16.45	8.21	21.59	11	not tracked
2005-06	53	153.73	8.90	7.42	21.92	11	not tracked
2006-07	62	159.00	9.25	8.22	20.47	7	not tracked
2007-08	71	118.67	11.85	9.24	14.13	11	not tracked
2008-09	68	137.87	8.70	7.02	20.88	22	not tracked

Financial Analysis⁵

Expense ⁶	04-05 FY	05-06 FY	06-07 FY	07-08 FY	08-09 FY
Instructional Costs	951,349	922,492	1,016,701	1,129,156	1,096,127
Support Costs					
Other Expenses	12,536	8,060	45,102	21,429	37,544
Total Expense	\$963,885	\$930,552	\$1,061,803	\$1,150,585	\$1,133,672
Revenue ⁷	04-05 FY	05-06 FY	06-07 FY	07-08 FY	08-09 FY
Legislative Appropriation	976,116	952,666	1,093,175	1,169,604	1,159,779
Grants					
Reallocation					
Tuition to Program ⁸					
Fees					
Total Revenue	\$976,116	\$952,666	\$1,093,175	\$1,169,604	\$1,159,779
Difference	04-05 FY	05-06 FY	06-07 FY	07-08 FY	08-09 FY
Revenue-Expense	\$12,232	\$22,115	\$31,372	\$19,019	\$26,107

⁰ Includes Geology, start up funds, and matching grant funds.

¹ Represents the number of students who declared a primary or secondary major in the department, Headcount - Fall semester, source: 2009 Department Profile

² Actual E&G Academic Year FTE Faculty, source: 2009 Department Profile

³ Source: 2009 Department Profile

⁴ Degrees awarded by Department, source: 2009 Department Profile

⁵ This financial analysis is for E&G appropriated funds only. External Grants and course fees are not included in these data.

⁶ FY Expenses, Instructional Costs as determined by Banner program code source: Banner warehouse.

⁷ Department revenue is a mix of several sources, including the State general fund and education fund, tuition, and other sources. Revenue is not appropriated to specific departments. Amounts shown are E&G FY Budgets - Banner Warehouse.

⁸ Only departments that have approved differential tuition that is retained by the unit are shown on the tuition line.

Program Assessment

The recommendations that follow are intended to be viewed as a fine tuning of a well-functioning unit, to make it more effective and efficient.

Recommendations

1. The highest departmental priority is to recruit and provide support for a geochemist. A second critical hire is in the area of geophysics.

Response: The department has submitted a Congressional Earmark request for a “Geothermal Energy Institute” that includes funds for two full time faculty – one geochemist, and one geophysicist. The department is also exploring the option for new positions through USU Regional Campuses and Distance Education (RCDE) and the merger with the College of Eastern Utah. A third option that the unit is exploring is providing some position funding from sustained development efforts. The college dean also expects to make a position available for Geology after other more pressing needs in the college are met.

2. Redesign the undergraduate curriculum to be based on defined outcomes and require no more than 60-65 credits. The absolutely inviolable condition should be set that all students who enter with an associate’s degree and have had a year of math, chemistry, physics, and geology should be able to complete degree within two years.

Response: The department is taking steps to redesign the curriculum in a manner that strikes a balance between modern approaches to geosciences and the fundamentals that are basic even to these modern approaches. They have eliminated several courses, and are stream lining major requirements. The department anticipates that their efforts will lead to significant changes in the curriculum over the next two to three years. The current credit requirement for the General Geology Option is 76-78 credits. This credit requirement is in line with requirements of the other departments in the College of Science. At this time, all students who enter with an associate’s degree and have had a year of math, chemistry, physics, and geology *can* complete a Geology degree within two additional years. Similarly, all freshmen at USU-Logan who declare Geology as a major should be able to complete the Geology program within four years (refer to <http://www.usu.edu/degreeplans/>). If a student arrives at USU-Logan with an associate’s degree, but lacking the required science prerequisites, then their program will take longer.

3. Incorporate as much modular, team-based teaching as possible, so as to promote integration and synthesis.

Response: As presently organized, our curriculum promotes synthesis and integration. For example the following course series build upon each other: 1) GEO1100 (The Dynamic Earth, Physical Geology), GEO3200 (The Earth Through Time), GEO3550 (Sedimentation and Stratigraphy) and GEO3600 (Geomorphology); 2) GEO1100 (Introductory Geology), GEO3550 (Rocks and Minerals) and GEO4500 (Igneous and metamorphic Petrology); and 3) GEO3700 (Structural Geology), GEO4700 (Field Methods) and GEO5200 (Field Camp). Department

experience indicates that students typically do not like team-taught courses, so the department has made a deliberate effort to *not* include them in the curriculum. Anecdotal evidence from other departments in the College of Science supports this view.

4. Restructure the field camp requirements, accessibility, and experience.

Response: With the addition of campus facilities in Vernal, Price, and Moab, the department is rethinking how to structure field camp, and to integrate the field camp experience with students and faculty at these facilities. Restructuring the field camp experience is part of the ongoing redesign of the undergraduate curriculum.

5. The current structure of undergraduate advising and career counseling needs to be reevaluated. An Undergraduate Coordinator position needs to be redefined to oversee overall all undergraduate advising, curriculum matters, and graduation applications, but not actually handle all of the advising. Advising and career counseling should be distributed among several faculty advisors to increase the accessibility of faculty advisors to students. Additionally, the office staff should be charged with providing assistance with recurring paperwork procedures such as course transfers, articulation, and registration.

Response: The department has begun restructuring their advising process. Duties of two staff assistants now include oversight of paperwork and organization of other advising-related matters, freeing faculty to focus on career and curricular counseling when they meet with students. The college dean expects to evaluate the results of these changes in Academic Year 2011-2012.

6. Rebalance teaching and research duties. A minority of the faculty are doing the bulk of the graduate education and research, yet teaching and service loads are even. Those faculty who do not have a track record of extramural funding should be submitting 2 to 3 proposal per year, or reduce the amount of scholarship in their role statement. One way to increase productivity across the board is to redistribute workloads on the basis of recent productivity and attainable goals for the upcoming year.

Response: The department is presently addressing the disparity in teaching and research duties by adjusting the role statements of faculty who are less research productive to include expanded roles in teaching and service. All research active faculty are expected to secure external funding sufficient to support a robust research program in their discipline, regardless of how many proposals per year this requires.

7. Enhance undergraduate enrollment by devoting time to recruitment in undergraduate classes, adding laboratory sections to introductory classes, and paying current geology students to visit high school and junior college science classes.

Response: The department is implementing this recommendation. In addition, the department has undertaken a survey of undergraduate majors to ascertain why they have chosen to major in Geology. Survey responses will help inform future recruitment activities. The department also hopes to develop faculty in the regional campuses, which will facilitate recruitment of transfer students from those campuses.

8. Set goals for increased research funding and Graduate Assistants (GA). Faculty should submit two to three proposals per year to provide funding for research programs and graduate assistantships. The

School of Graduate Studies should match each additional externally-funded GA with a state-funded GA positions.

Response: The department has increased focused on external development efforts to fund research assistants, resulting in a record number of graduate students expected in the program next year and increased endowment funds that target graduate student support. At an institutional level, faculty are being encouraged to write graduate student funding needs into their grants. Current budget constraints do not allow the university to provide additional state-funded graduate student positions.

9. Renovate and acquire space. The department should evaluate the adequacy of its teaching classrooms and laboratories toward meeting the goals of the expanding undergraduate and graduate programs and forward a strategic plan to the administration. Acquisition and renovation of additional space in the Geology Building should be undertaken to allow expansion of the Department's analytical laboratories, as well as providing space for the growing graduate student population and for postdoctoral and visiting scientists. In some cases, the use of space can be improved by a systematic review of current space use, clean-up of unused equipment, collections, and labs, and reallocation based on anticipated uses for specific purposes.

Response: The department is actively engaged in cleaning and rearranging existing space to make it more usable. The department plans to raise development funds to support minor refurbishment and renovation of facilities.

10. Address institutional impediments for success of the Ph.D. program, especially the number of credit requirements, which are higher than those at peer institutions.

Response: The department supports the ongoing work of The Utah State University Graduate Council, which is currently considering proposals to revise institutional requirements for graduate students.

11. Revise evaluation and mentoring committee responsibilities. Mentoring is best done by a small (2 to 3 person) group that meets with the faculty member mentee once or twice a year. The tenure advisory committee's role is to provide honest, straightforward advice to the faculty, in light of the previous year's productivity and upcoming year's goals. Under no circumstance should the mentoring committee's recommendations go into the record. Otherwise, motives other than honest advice enter. Formal annual evaluation by the committee has the potential to be harmful by demoralizing the faculty and is an inefficient use of time. The review team believes that a single pre-tenure review by committee during the third year is sufficient to augment the annual evaluations by chair and dean, to direct the candidate in a successful direction.

Response: Recent changes to the USU Faculty Code have separated formative and summative, or mentoring and evaluative, functions of the Tenure Advisory Committee. The department's faculty review processes are in line with the Faculty Code, which also meets university accreditation guidelines.

ITEM FOR ACTION

RE: Utah State University Master Plan

The Utah State University Main Campus and Statewide Campuses Master Plans are being presented for the Board of Trustees' consideration. The Master Plans have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The 2011 Utah State University Master Plan update outlines significant changes made on USU campuses since the last review in 2009, highlights current projects in planning or under construction, and updates the five year plan to reflect current needs and priorities. Copies of the documents are included. An accompanying Master Plan video is available on the Facilities webpage, <https://podcasts.usu.edu/MediaPlayer/player.html?filename=class/facilities/masterplan09>.

Since the last review, the campus has constructed five new buildings; three in Logan, one in Kaysville, and one in Vernal. Currently, there are two major buildings under construction on the Logan campus and planning is underway for a significant expansion of the Business Building.

A boom in enrollment at the Regional Campuses has fueled the need to acquire land and begin master planning for new campuses in Brigham City, Tooele, and Moab.

In the summer of 2010, the College of Eastern Utah merged with Utah State University, enhancing the institutions ability to increase its offering of degree programs into the remote southeast portion of the state. The new five year plan prioritizes the needs of all of the University's campuses, reflecting the institution's need to enhance and expand its programs statewide.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Utah State University Main Campus and Statewide Campuses Master Plans be approved as presented.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The master planning process at Utah State University Main Campus and Statewide Campuses requires constant review and update to reflect demonstrable changes in facilities planning; and

WHEREAS, The Utah State University Main Campus and Statewide Campuses Master Plan was presented to the Board of Trustees in its meeting held 8 July 2010; and

WHEREAS, Details of the Master Plans were presented and discussed; and

WHEREAS, The President and Vice President for Business and Finance have reviewed the Utah State University Main Campus and Statewide Campuses Master Plans and recommend approval to the Board of Trustees:

NOW, THEREFORE, BE IT RESOLVED, That the Utah State University Board of Trustees hereby approves the Utah State University Main Campus and Statewide Campuses Master Plans as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date

ITEM FOR ACTION

RE: Proposed Ground Lease for Mixed-Use Development

The proposed Public/Private Partnership through a ground lease to La Veta Financial, LLC (La Veta) for student housing/commercial development described below is submitted for Board of Trustees approval. The proposed action has received appropriate administrative review and approval.

EXECUTIVE SUMMARY

Utah State University (USU) desires to enter a Public/Private Partnership through a ground lease for the development of student housing and commercial (retail/restaurant) property west of USU Romney Stadium (see attached drawing Exhibit A) on approximately 2.5 acres.

The USU Master Plan supports mixed-use housing development on the USU campus including commercial development to support student housing, athletic events, student life, and the USU campus in general. There are currently few commercial enterprises near or adjacent to the USU campus and a student housing/commercial ground lease of USU property at fair market value will serve to not only enhance the USU environment but also provide a revenue stream which can be used to enhance USU Housing units and Residence Life programs.

La Veta is currently building student housing and associated commercial entities adjacent to the proposed lease site. Both USU and La Veta have an interest in entering an agreement whereby La Veta develops student housing/commercial space on USU property that integrates with student housing and commercial enterprises being developed by La Veta on their adjacent property. Ownership of the assets developed on University property will revert to USU at the end of the ground lease.

The proposed uses of this commercial development are proper and appropriate for the image and environment of USU. In addition, the ground lease agreement will be written to protect the interests of the University including institutional right to control facility appearance, parking rights, review and approval of external graphics and signage, and access to utility systems and roads.

RECOMMENDATION

The President and Vice President for Business and Finance recommend conceptual approval for USU to enter into a Public/Private Partnership through a ground lease to La Veta with the anticipation that final approval will be granted by the Board of Trustees Executive Committee after review of the final lease agreement and subject to receipt of approval from the Attorney General's Office and the Board of Regents.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, Utah State University (USU) desires to enter a Public/Private Partnership through a ground lease to La Veta Financial, LLC (La Veta) for the development of student housing and commercial (retail/restaurant) property west of USU Romney Stadium (see attached drawing Exhibit A) on approximately 2.5 acres; and

WHEREAS, Student housing/commercial development in the area referenced on Exhibit A will enhance the USU Student Housing environment, Athletic events, student life, and the USU campus in general; and

WHEREAS, The USU Master Plan supports the development of mixed-use housing development including commercial; and

WHEREAS, There are few commercial enterprises near or adjacent to the USU campus; and

WHEREAS, A ground lease of USU property at fair market value will provide a revenue stream which can be used to enhance USU Housing units and Residence Life programs; and

WHEREAS, La Veta is currently building student housing and associated commercial entities adjacent to the proposed commercial site; and

WHEREAS, USU and La Veta have mutual interest in entering into an agreement whereby La Veta develops student housing/commercial space on USU property that integrates with student housing and commercial enterprises being developed by La Veta on their adjacent property; and

WHEREAS, Ownership of the asset developed on the property will revert to USU at the end of the ground lease; and

WHEREAS, The proposed uses of this development are proper and appropriate for the image and environment of USU; and

WHEREAS, The ground lease agreement will be written to protect the interests of the University including institutional right to control facility appearance, parking rights, external graphics and signage review and approval, and access to utility systems and roads; and

WHEREAS, The President and Vice President for Business and Finance recommend conceptual approval for USU to enter into a Public/Private Partnership through a ground lease for student housing and associated commercial development:




NOW, THEREFORE, BE IT RESOLVED, that the USU Board of Trustees hereby gives conceptual approval of USU entering a Public/Private Partnership through a ground lease to La Veta with the anticipation that final approval will be granted by the Board of Trustees Executive Committee after review of the final lease agreement and subject to receipt of approval from the Attorney General's Office and the Board of Regents.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

EXHIBIT A



-  DEVELOPMENT ON USU PROPERTY (2.5 AC)
-  MILLER PROPERTY
-  EASEMENT: USU TO MILLER



ITEM FOR ACTION

RE: Ground Lease for Commercial Development at the Utah State University Brigham City Campus

The proposed Public/Private Partnership through a ground lease described below to Orvieto Investments, LLC for commercial development of retail space is submitted for Board of Trustees approval. The proposed action has received appropriate administrative review and approval.

EXECUTIVE SUMMARY

Utah State University (USU) desires to enter a Public/Private Partnership through a ground lease to Orvieto Investments, LLC (Orvieto) for the development of commercial property west of the former K-Mart Building now owned by USU in Brigham City, Utah, located between 8th and 10th South Main Street. The ground to be leased is approximately .92 acres fronting Main Street on its east side (see attached drawing Exhibit A).

The USU Master Plan for USUBC (Brigham City) supports mixed-use development on the recently acquired future campus property, including some commercial development to enhance the financial resources for maintaining and developing all of the property and strengthen public support for developing the new campus. There are few commercial retail food and specialty enterprises near or adjacent to the current USU educational facilities. A commercial ground lease of this USU land at fair market value will serve to not only offset USUBC property costs but benefit USU and the community overall.

Orvieto is currently building residential housing and an associated commercial facility adjacent to the proposed future campus site. Both USU and Orvieto have an interest in entering into an agreement whereby Orvieto will develop commercial space on this USU property. The Orvieto proposal is compatible and consistent with the USUBC master plan to have the former K-Mart property redeveloped into, managed, marketed, and maintained with retail outlets for approximately 20 to 30 years. Orvieto is willing to enter into a long term ground lease Agreement with USU on terms that comply with Regents Policy R712 - Nontraditional Arrangements for Development of Campus Facilities.

The proposed retail use of this commercial development is proper and appropriate for the image and environment of USU. In addition, the ground lease agreement will be written to protect the interests of the University including institutional right to control facility appearance, parking rights, review and approval of external graphics and signage, and access to utility systems and roads.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve USU entering into a Public/Private Partnership through a ground lease for commercial development with Orvieto subject to approval of such action by the Board of Regents and final approval of the lease as to form by the Attorney General's Office.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Utah State University (USU) desires to enter a Public/Private Partnership through a ground lease to Orvieto Investments, LLC (Orvieto) for the development of commercial property west of the former K-Mart Building now owned by USU in Brigham City, Utah, located between 8th and 10th South Main Street. The ground to be leased is approximately .92 acres fronting Main Street on its east side (see attached drawing Exhibit A); and

WHEREAS, The USU Master Plan for USUBC (Brigham City) supports mixed-use development on the recently acquired future campus property, including some commercial development to enhance the financial resources for maintaining and developing all of the property and strengthen public support for developing the new campus; and

WHEREAS, There are few commercial retail food and specialty enterprises near or adjacent to the current USU educational facilities; and

WHEREAS, A commercial ground lease of this USU land at fair market value will serve to not only offset the USUBC property costs but also benefit USU and the community overall; and

WHEREAS, Orvieto is currently building residential housing and an associated commercial facility adjacent to the proposed future campus site; and

WHEREAS, Both USU and Orvieto have an interest in entering into an agreement whereby Orvieto will develop commercial space on this USU property; and the Orvieto proposal is compatible and consistent with the USUBC master plan to have the former K-Mart property redeveloped into, managed, marketed, and maintained with retail outlets for approximately 20 to 30 years; and

WHEREAS, Orvieto is willing to enter into a long term ground lease Agreement with USU on terms that comply with Regents Policy R712 - Nontraditional Arrangements for Development of Campus Facilities; and

WHEREAS, the proposed retail use of this commercial development is proper and appropriate for the image and environment of USU; and, in addition, the ground lease agreement will be written to protect the interests of the University including institutional right to control facility appearance, parking rights, review and approval of external graphics and signage, and access to utility systems and roads; and

WHEREAS, The President and Vice President for Business and Finance recommend that the Board of Trustees approve USU entering into a Public/Private Partnership through a ground lease for commercial development:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves USU entering into a Public/Private Partnership through a ground lease for commercial purposes with Orvieto Investments, subject to receipt of approval from the Attorney General's Office and the Board of Regents.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE



EXISTING DRIVE

1000 SOUTH

200 EAST

SOUTH MAIN STREET

 PROPOSED GROUND LEASE AREAS

 EXISTING KMART BUILDING

EXHIBIT A



NOT TO SCALE

ITEM FOR ACTION

RE: Proposed Sale of USU Building Located at 1780 Research Parkway on the Utah State University Innovation Campus

The proposed sale of the building as described herein is submitted for the Utah State University (USU) Board of Trustees review and approval. The proposed action has received appropriate administrative review and approval.

EXECUTIVE SUMMARY

Utah State University (USU) desires to sell a building located at 1780 Research Parkway on the University's Innovation Campus (IC) and Woodbury Corporation (Woodbury) has expressed a willingness to purchase the building and enter into a long term ground lease for the building site, including its related landscaping and parking improvements. This building was constructed in the mid 1980's and was owned by another developer. In 1999, USU entered into a 12-year lease-purchase agreement whereby the building ownership transferred to USU earlier this year.

The building is in need of immediate renovation and upgrades that are estimated between \$180,000 and \$250,000 in order to attract future tenants. Rather than USU retaining ownership of the building and covering the upfront costs relating to the renovation and upgrades, it is proposed to sell building 1780 "As Is" for \$825,000 per an appraisal prepared for USU in May of 2011. The proceeds from the sale will be used to continue the development of the Innovation Campus in accordance with long-term master plans. Consistent with other developer-owned buildings at the Innovation Campus, under the terms of the proposed agreement, Woodbury would re-convey 1780 ownership to USU at the end of the ground lease.

RECOMMENDATION

The President, the Vice President for Commercial and Regional Development and the Vice President for Business and Finance, recommend approval to proceed with this sale arrangement subject to receipt of approval from the Board of Regents.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Utah State University (USU) desires to sell a building located at 1780 Research Parkway on the University's Innovation Campus (IC) and Woodbury Corporation (Woodbury) has expressed a willingness to purchase the building and enter into a long term ground lease for the building site, including its related landscaping and parking improvements; and

WHEREAS, The building located at 1780 Research Parkway was originally constructed in the mid 1980's and owned by another developer; and

WHEREAS, In 1999, USU entered into a 12-year lease-purchase agreement whereby the building ownership transferred to USU earlier this year; and

WHEREAS, The building is in need of immediate renovation and upgrades that are estimated between \$180,000 and \$250,000 in order to attract future tenants; and

WHEREAS, It is proposed to sell building 1780 "As Is" for \$825,000 per an appraisal prepared for USU in May of 2011; and

WHEREAS, The proceeds from the sale will be used to continue the development of the Innovation Campus in accordance with long-term master plans; and

WHEREAS, Consistent with other developer-owned buildings at the Innovation Campus, under the terms of the proposed agreement, Woodbury would re-convey 1780 ownership to USU at the end of the ground lease; and

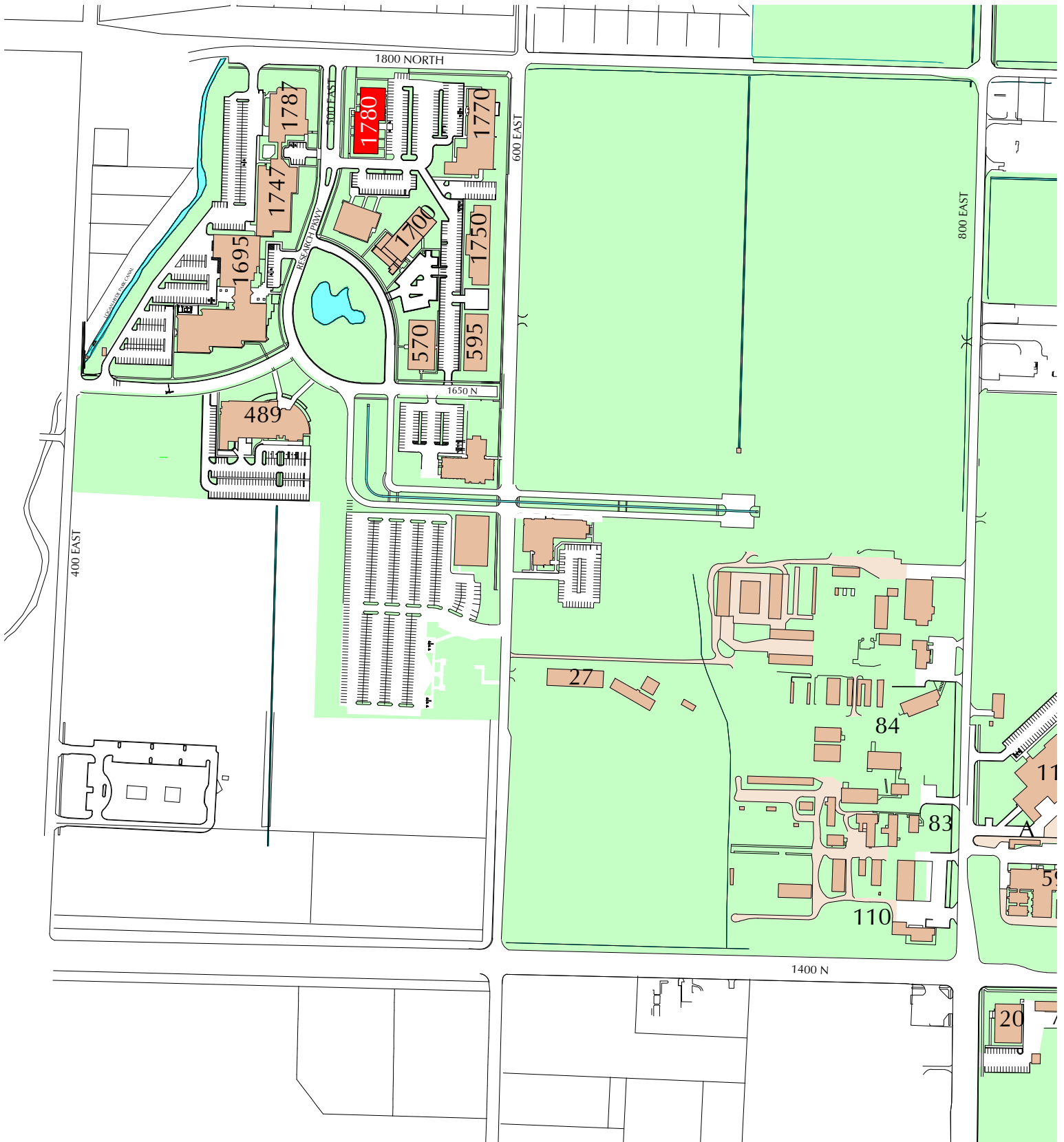
WHEREAS, The President, the Vice President for Commercial and Regional Development and the Vice President for Business and Finance recommend approval to proceed with this proposed sale:

NOW, THEREFORE, BE IT RESOLVED, That the Utah State University Board of Trustees give approval to proceed with this sale, subject to approval from the Board of Regents.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date

USU INNOVATION CAMPUS



 1780 BUILDING

EXHIBIT A



NOT TO SCALE