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# Snow density retrieval using SAR data: algorithm validation and applications in part of North Western Himalaya

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## Abstract

The current study has been done using Polarimetric Synthetic Aperture Radar (SAR) data to estimate the dry snow density in Manali sub-basin of Beas River located in state of Himachal Pradesh, India. SAR data from Radarsat-2 (RS2), Environmental Satellite (ENVISAT), Advanced Synthetic Aperture Radar (ASAR) and Advanced Land Observing Satellite (ALOS)-Phased Array type L-band Synthetic Aperture Radar (PAL-SAR) have been used. The SAR based inversion models were implemented separately for fully polarimetric RS2, PALSAR and dual polarimetric ASAR Alternate polarization System (APS) datasets in Mathematica and MATLAB software and have been used for finding out dry snow dielectric constant and snow density. Masks for forest, built area, layover and shadow were considered in estimating snow parameters. Overall accuracy in terms of  $R^2$  value and Root Mean Square Error (RMSE) was calculated as 0.85 and  $0.03 \text{ g cm}^{-3}$  for snow density based on the ground truth data. The retrieved snow density is highly useful for snow avalanche and snowmelt runoff modeling related studies of this region.

## 1 Introduction

The Himalaya holds one of the largest reservoirs of fresh water in form of glaciers and snow outside the Polar region. About 10% of total area of the Himalaya is covered with glaciers and additional area, nearly 30% support the snow cover (Singh and Singh, 2001; Singh et al., 2011). According to estimation, there are about 9575 glaciers, covering an area of about  $38\,000 \text{ km}^2$  in the Indian part of the Himalaya (Raina, 2009). The snow physical parameters, such as snow wetness, which shows the degree of liquid water content in snow pack, along with snow density and Snow Water Equivalent (SWE) are most important parameters for many water resources related studies such as snowmelt runoff and snow avalanche modeling (Rees, 2006). The traditional survey of these parameters is very expensive and difficult in rugged Himalayan mountains. The

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snow class (snow density  $> 0.5 \text{ g cm}^{-3}$ ) at higher elevations, whereas air temperature in these areas is less as compared to lower elevation area. Therefore, this approach needs to be refined to work at entire basin scale, mainly by improving formulation of snow-ground scattering and volume scattering.

5 The results of approach-I are less similar as compared to the original model results of Shi and Dozier (2000). In case of approach-I (MSSDIM), as C-band has been used, it has added to slightly more snow-ground scatter and volume scatter, which has resulted in less accuracy, but the fully polarimetric L-band PALSAR data (9 December 2009) results of snow density are comparable to original results of Shi and Dozier (2000). Still,  
10 the accuracy of approach-I for snow density estimation is relatively higher as compared to that of approach-II, as it is also evident from the Table 2, where approach-I has majority of snow density classes in the range of  $0.1$  to  $0.2 \text{ g cm}^{-3}$ .

### 3.2 Validation and accuracy of retrieved snow density

The snow pit based ground sample of Dhundi, Solang and Manali are used for accuracy assessment of snow density (Fig. 6). The overall coefficient of determination,  $R^2$   
15 for approach-I is 0.85 and for approach II is 0.72. The accuracy in terms of root mean square error (r.m.s.e.) using approach-I comes out to be  $0.03 \text{ g cm}^{-3}$ . The  $R^2$  calculated here only represents the ground observation vs. derived values at three sites, but maps have been created for full watershed area or part of image. The snow density  
20 derived from SAR based inversion models could not be verified at higher elevation areas. This is due to the fact that there is no accessibility at these higher altitude areas in winters due to closure of roads in heavy snowfall conditions, and there are no ground observation sites at elevations more than 3500 m above mean sea level. This is one of the limitations of this study. For approach-II, the accuracy of results are less  
25 as compared to accuracy obtained by Snehmani et al. (2010). However, the later study does not reflect overall picture, as entire model was run on full ASAR image, without forest/glacier mask and ground points were taken only in few selected areas, which are

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same as shown in Fig. 1. Therefore, the results of both the approaches of dry snow density further need to be checked with more ground data uniformly distributed at all elevations and land use classes to give more accurate results and its further use in snowmelt runoff and snow avalanche models.

5 Some of the area is masked out due to the presence of high relief and steep slopes present in study area, which makes this watershed area outside the permissible range of local incidence angle ( $10\text{--}70^\circ$ ) of snow density inversion model. The relative contribution of layover, shadow and forest masks is 19 to 27% in case of snow density maps (approach-II, Table 2), where no mask for local incidence angle has been used.  
10 For snow density inversion model, MSSDIM, the mask area varies from 28 to 48% with 56% for PALSAR data (as only medium incidence angle image is available). Thus, there is a strong need for creating a separate forest backscatter model for study area (to map snow and retrieve snow density below forest, e.g., Koskinen et al., 2010), along with inversion models, which have higher range of applicability in terms of local  
15 incidence angle. The layover, shadow effects are minimized by selecting the higher incidence angle ( $> 40^\circ$ ) SAR data. Overall, the snow density is under-estimated at higher elevations and over-estimated at lower elevations, mainly by inversion model based on approach-II.

### 3.3 Variations of snow density with elevation and aspect zones

20 The variations of snow density with various zones of elevation and aspect are shown in sample Fig. 5a and b for 20 January 2008. It has been observed from this analysis that majority of snow density at all elevations and aspects zones comes in classes 1 and 2, i.e.,  $0.06\text{--}0.2 \text{ g cm}^{-3}$ . The image of 25 January 2008 shows higher snow density classes ( $0.3\text{--}0.5 \text{ g cm}^{-3}$ ) at all elevations zones, mainly in higher areas with northern  
25 aspects and relatively medium density for other areas. The other images have majority of snow density class in  $0.1$  to  $0.2 \text{ g cm}^{-3}$ .

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1945

**Table 1.** Summary of SAR and other data used in study.

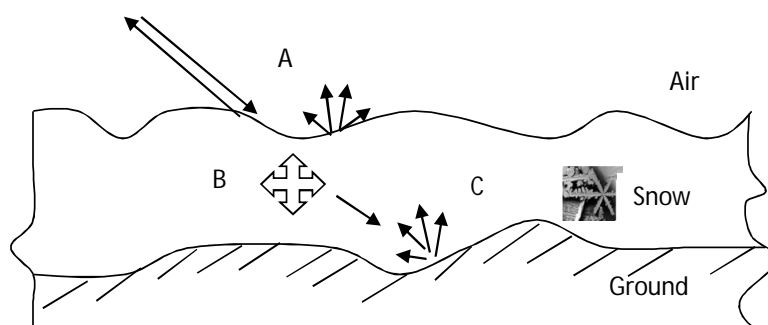
Satellite	Sensor	Frequency/ Wavelength	Polarization/ Type	Spatial resolution (m)	Remarks
ENVISAT	ASAR	C-band, 5.3 GHz	VV or HH, or Dual pol.	25–75	Parameter retrieval
Radarsat-2	Radarsat-2 (RS2)	C-band, 5.3 GHz	Single, dual to Quad pol	8–50	Parameter retrieval
ALOS	PALSAR	L-band, 1.27 GHz	Single, dual to Quad pol	10–25	Parameter retrieval
IRS-P6	LISS3	VIS-NIR-SWIR	Optical data	23	Snow extent
Landsat	ETM+ and TM	VIS-NIR-SWIR-TIR-FIR	Optical data	30	Forest area mask and snow extent

1946

**Table 2.** Snow density area distribution in various snow density classes derived from two approaches.

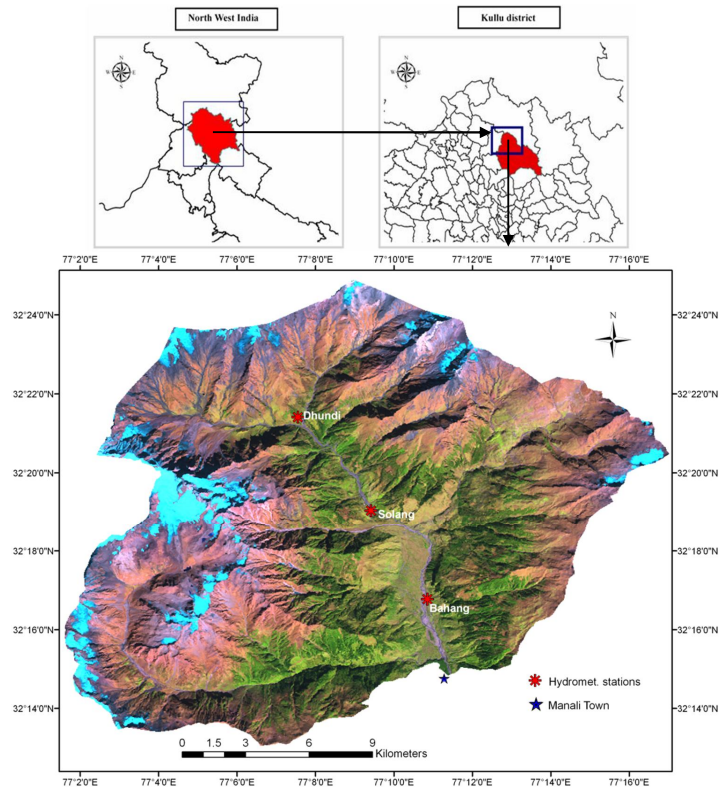
Class	Snow Density Class range (%) Ap.I: approach-I (MSSDIM) Ap.II: approach-II	Snow density class as fraction of total watershed area (0–1)						
		20 Jan 2008		25 Jan 2008		20 Jan 2009		9 Dec 2008
		Ap.-I	Ap.-II	Ap.-I	Ap.-II	Ap.-I	Ap.-II	Ap.-I only
1	0.01–0.1	0.11	0.00	0.01	0.00	0.10	0.00	0.11
2	0.11–0.2	0.22	0.08	0.13	0.07	0.23	0.14	0.16
3	0.21–0.3	0.15	0.07	0.14	0.06	0.15	0.06	0.10
4	0.31–0.4	0.07	0.07	0.12	0.07	0.08	0.07	0.04
5	0.41–0.5	0.03	0.10	0.14	0.07	0.03	0.08	0.02
6	> 0.51	0.00	0.39	0.00	0.32	0.00	0.37	0.02
7	All Mask	0.42	0.28	0.46	0.41	0.41	0.28	0.56

1947



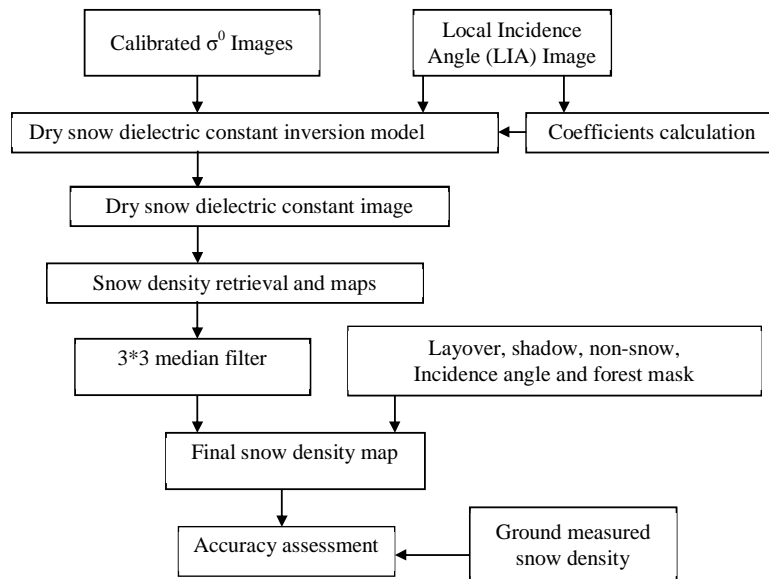
**Fig. 1.** SAR based backscattering from a typical snowpack.

1948



**Fig. 2.** Study area with Beas River sub-basin upto Manali town (Landsat color composite, 15 October 2000).

1949



**Fig. 3a.** Snow density retrieval methodology flow chart.

1950

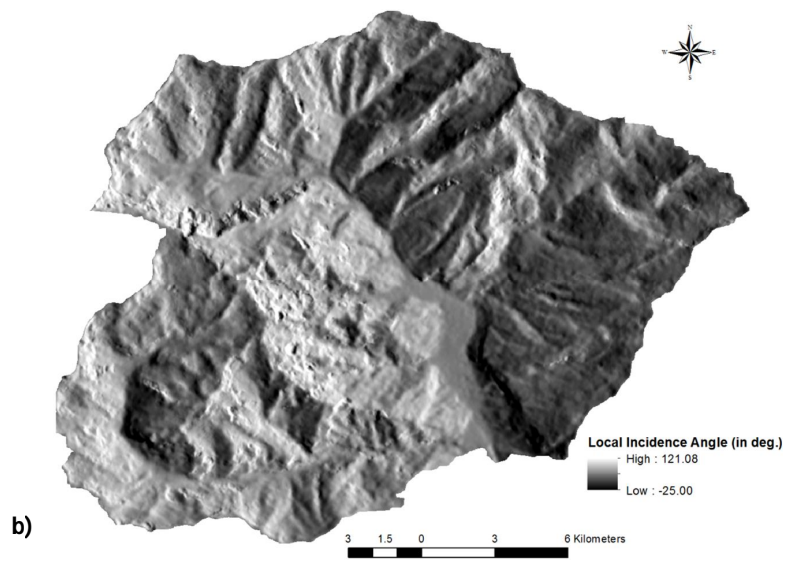


Fig. 3b. Local incidence angle map (30 March 2008).

1951

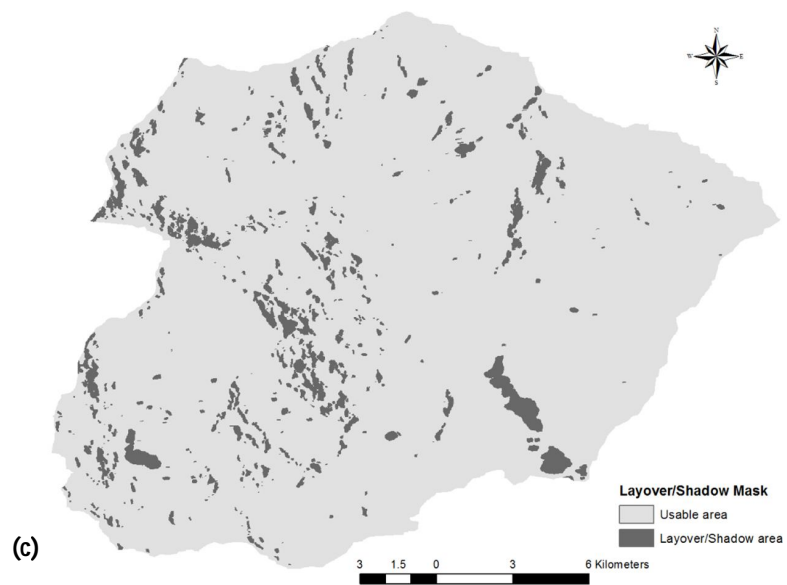
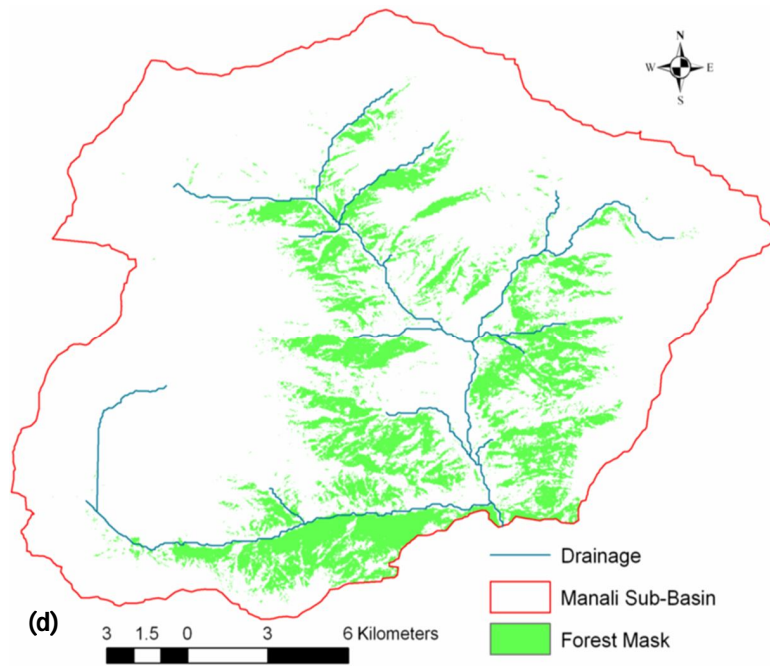


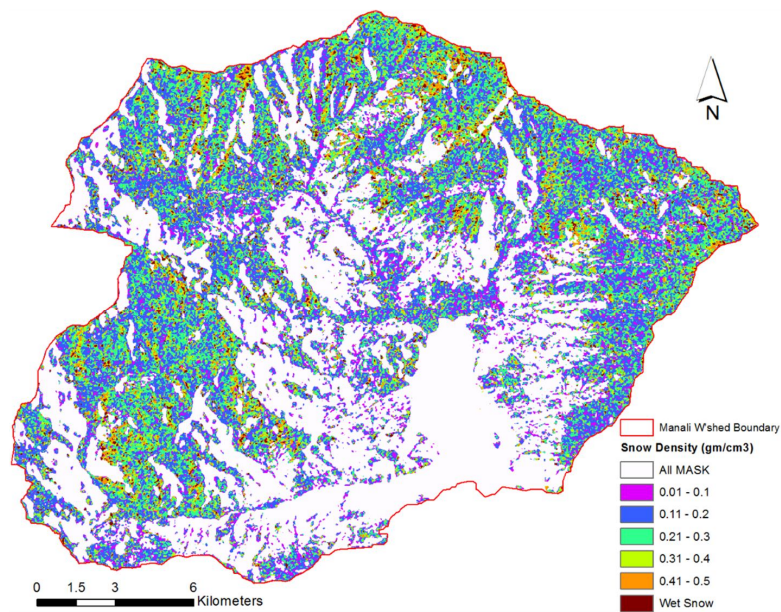
Fig. 3c. Layover, shadow mask (20 January 2008) of study area.

1952



**Fig. 3d.** Forest mask of study area.

1953



**Fig. 4a.** Snow density maps derived from approach-I (MSSDIM method) for study area for 20 January 2008.

1954

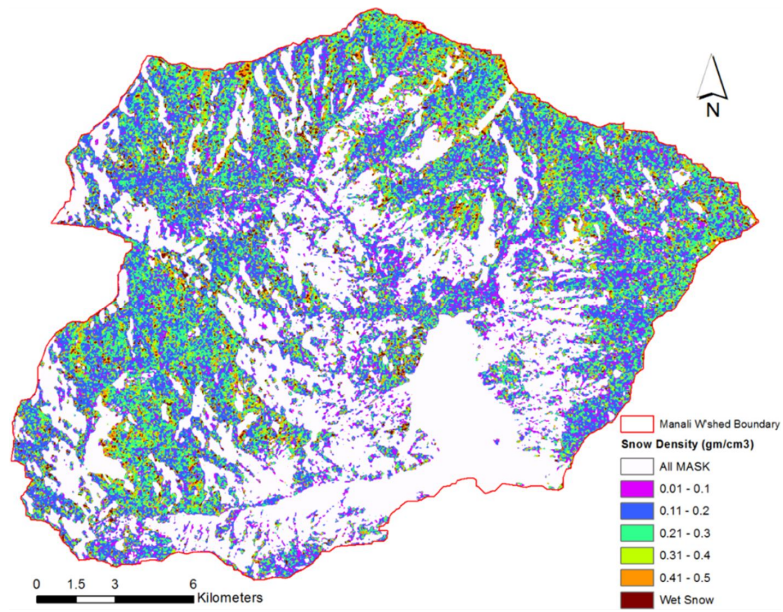


Fig. 4b. Snow density map for 20 January 2009.

1955

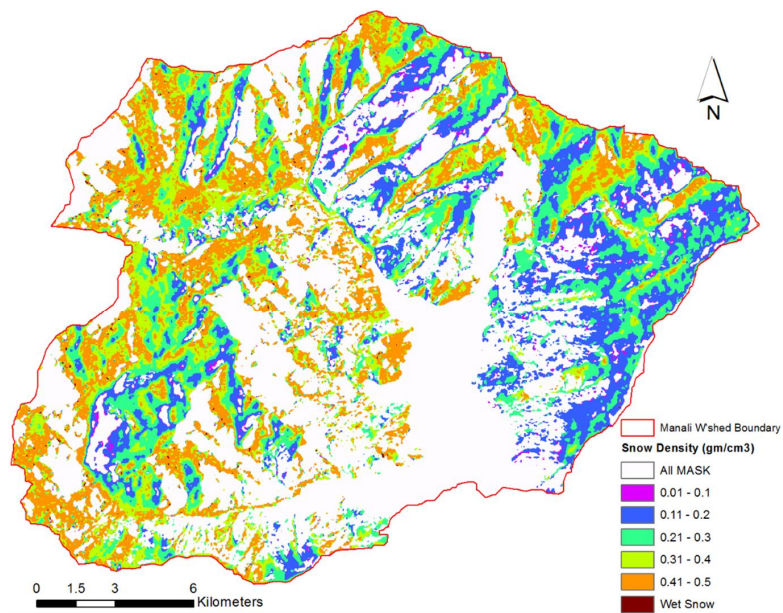
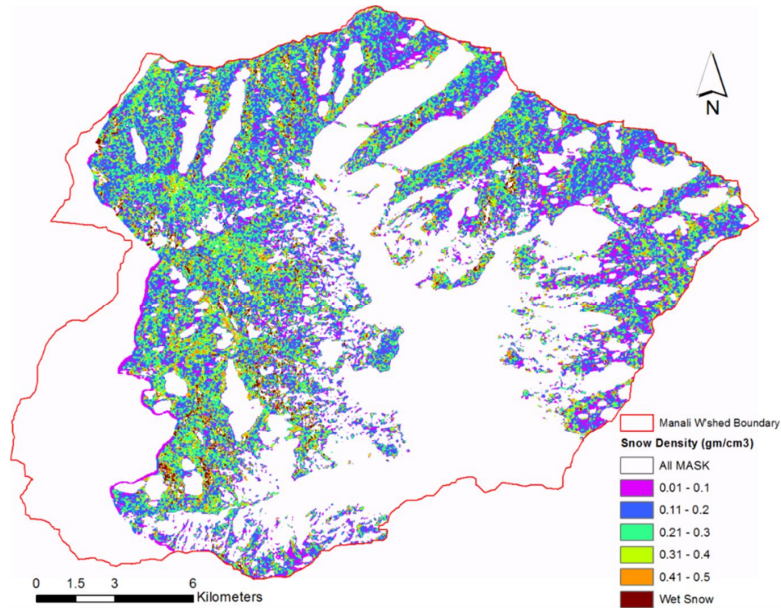


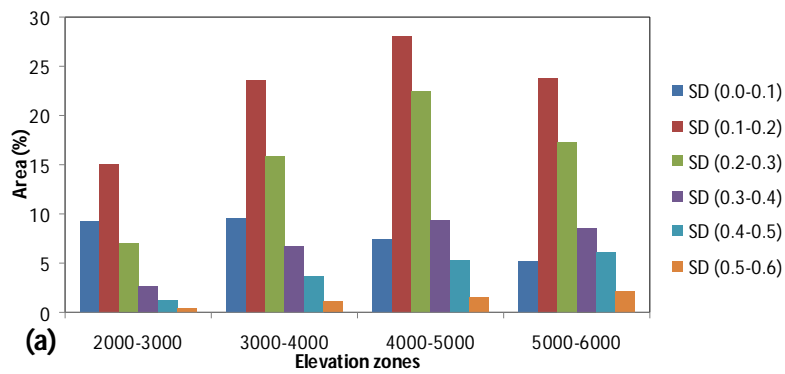
Fig. 4c. Snow density map for 25 January 2008.

1956



**Fig. 4d.** Snow density map for 9 December 2009 (PALSAR).

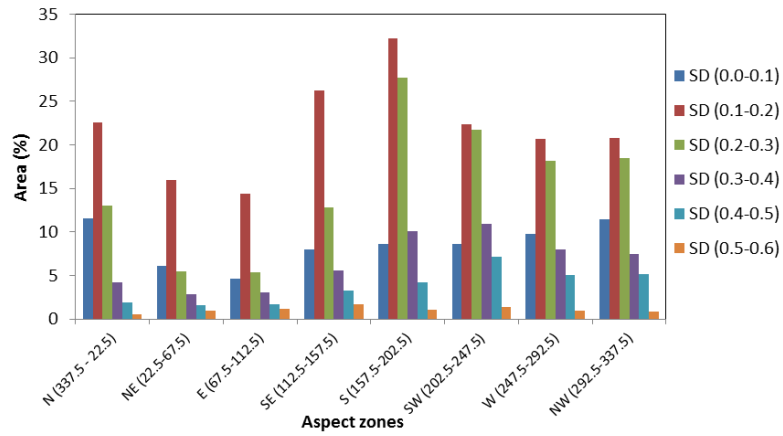
1957



**Fig. 5a.** Snow density variation with Elevation Zones (EZs) of study area, 20 January 2008 (ASAR) snow density classes vs. EZs.

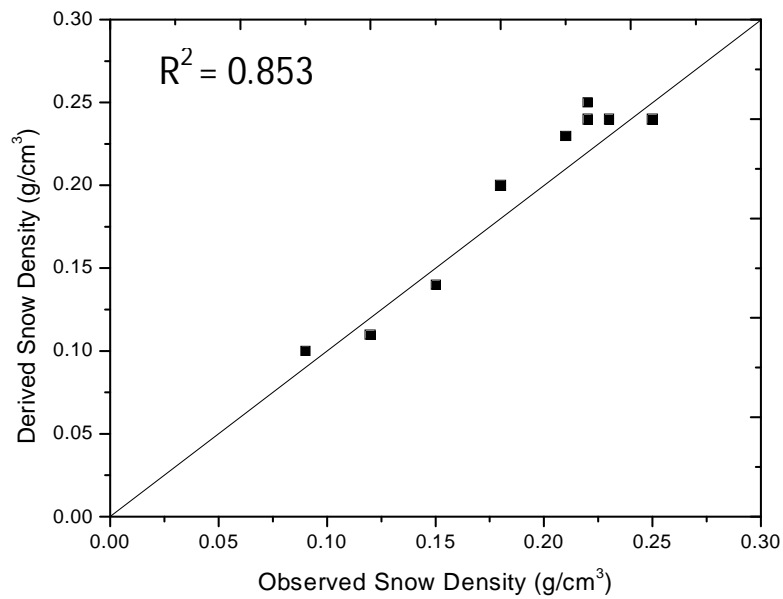
1958





**Fig. 5b.** Snow density variation with Aspect Zones (AZs) of study area, 20 January 2008 (ASAR) snow density classes vs. AZs.

1959



**Fig. 6.** Accuracy assessment for estimated vs. observed snow parameters.

1960