

Allophonic variation and the locality of production planning

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reporting on joint work with:

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Sonderegger, James Tanner**

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Outline

1 Locality and Variability in Phonological Processes

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- 2 Effects of syntax and prosody

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- 4 Effects of predictability in non-reductive processes

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- 5 Conclusion and Outlook

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Sandhi

External Sandhi

Phonological processes in which (part of) the triggering context is not within the same word

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- (1) Liaison in French
- a. des vrais **s** copins
 - b. des vrai[**z**] **a**mis
- ‘real friends’

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(2) Flapping in English

- a. A ca[**t**] **m**eowed!
- b. A ca[**r**] **a**ttacked!

Locality

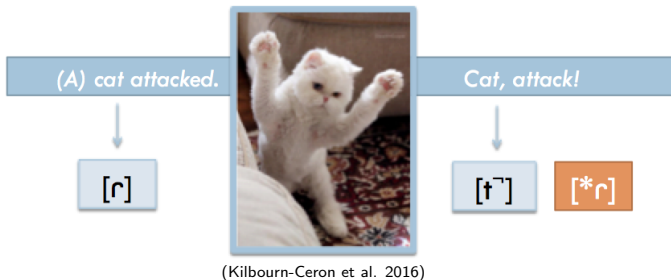
Locality of Sandhi Phenomena

Sandhi phenomena often only apply **locally**: The two words in question have to be in a certain locality relation to each other.

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But **why** do particular processes apply within particular domains?

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Sandhi processes are often **variable**. Two types of variability:

- (i) **Variability of Application:** Sandhi processes often only apply in a probabilistic way.
- (ii) **Variability of Domain:** Sandhi processes often have a variable domain (e.g., locality window widens when speech rate increases, e.g. Kaisse 1985 on fast speech phenomena)

Variability

Current accounts in phonology usually assume the following:

- (i) **Variability of Application:** Variable Rules/Variable Constraint Ranking (cf. Anttila, 2002; Coetzee and Kawahara, 2013)
- (ii) **Variability of Domain:** Multiple prosodic constituents of a certain type optionally restructure into one constituent of that type or vice-versa.(e.g. Nespor and Vogel, 1986)

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But **why** are sandhi processes often variable?

The Locality of Production Planning

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The Basic Idea

We need to consider **locality of production planning**.

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- Levelt (1989): phonological detail is planned over a window roughly the size of a **single prosodic word**

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- Planning window also varies depending on cognitive load (Swets et al., 2013).

The Locality of Production Planning

Production Planning Hypothesis (PPH)

Sandhi processes are local and variable because the phonological detail relevant to the process may not have been planned yet in time

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The basic mechanism¹:

$[t/d] \rightarrow r / _ _ V$

¹Note: This process is bled by aspiration!

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- Why is tapping **local**?
 - ▶ Planning is local: Process can only apply if upcoming vowel available
- Why is tapping **variable**?
 - ▶ Planning is variable: Scope of planning is affected by many factors

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Predictions of PPH for Phonological Processes

- Processes Sensitive to **upcoming phonological detail** (e.g. does next word start with vowel?):
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Evidence for PPH:

Kilbourn-Ceron (2015), Kilbourn-Ceron et al. (2017), Kilbourn-Ceron (2017a), Kilbourn-Ceron (2017b), Kilbourn-Ceron et al. (submitted), Lamontagne and Torreira (2017), Tamminga (2018), Tanner et al. (2015), Tanner et al. (2017), Wagner (2011), Wagner (2012)

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Effects of syntax and prosody

with Oriana Kilbourn-Ceron



& Meghan Clayards



Kilbourn-Ceron, O., Wagner, M., and Clayards, M. (2017). The effect of production planning locality on external sandhi: A study in /t/. Proceedings CLS, 313–326

Effects of syntax and prosody

Tapping in American English (Kahn 76, Nespor & Vogel 1986):

Monomorphemic words:

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Across Sentences:

It's **late. I'm** leaving.

→ *(possible but rare: Kahn 76, Nespor & Vogel 86, ..)*

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Effects of syntax and prosody

Two factors affecting tapping:

- 1 **Strength of a prosodic boundary:** a stronger boundary between the stop and following vowel appear to reduce flapping rate
- 2 **Strength of syntactic break:** higher level syntactic boundaries appear to reduce flapping rate

Two Types of Accounts

- **Prosodic phonology:** Syntax affects phrasing, phrasing in turn affects tapping, because tapping only applies within a particular prosodic domain (e.g. Nespor and Vogel, 1986)

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- **Prosodic phonology:** Syntax affects phrasing, phrasing in turn affects tapping, because tapping only applies within a particular prosodic domain (e.g. Nespor and Vogel, 1986)
- **Articulatory phonology:** Tapping as the result of gestural undershoot/overlap, which is less likely across junctures

Tapping in English: The PPH

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- It will be less likely to have been planned (i) across word boundaries; (ii) across prosodic boundaries, (iii) across syntactic boundaries...
- ...because we know independently that these factors affect planning scope

Tapping: Production Experiment

Table: A sample item set

Phonology	Syntax	
	<i>Clause Boundary</i>	<i>No Clause Boundary</i>
<i>Consonant</i>	If you plit , Alice will be mad.	If you plit Alice, John will be mad.
<i>Vowel</i>	If you plit , Penny will be mad.	If you plit Penny, John will be mad.

Additional manipulation: Speech rate

Tapping: Production Experiment

- 23 participants, 8 different item sets with the 4 conditions
- Participants could familiarize themselves with sentence before recording.
- They were recorded at two speech rates
- Utterances were annotated by RAs, and also forced-aligned
- Acoustic measures were extracted, in particular measures for the vowel preceding the [t] ('final lengthening', Price et al. 1991, and references therein) as a proxy for measuring prosodic boundary strength

Tapping: Production Experiment

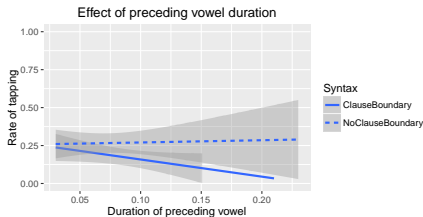


Figure: Percent of tapped [t]s.

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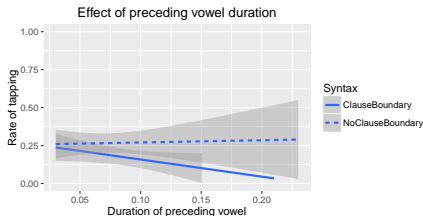


Figure: Percent of tapped [t]s.

- Flapping rate lower when there is a syntactic boundary
- Flapping rate lower when there is a prosodic break...
- ...but only in intransitive case, when there is likely to be a boundary

Tapping: Summary of Production Experiment

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- (Also: Effect with nonce-words hard to explain in terms of exemplars or storage of frequently co-occurring bigrams Bybee 2001)

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Effects of Predictability 1: Tapping in Spontaneous speech

with Oriana Kilbourn-Ceron



& Meghan Clayards



Kilbourn-Ceron, O., Wagner, M., and Clayards, M. (2017). The effect of production planning locality on external sandhi: A study in /t/. Proceedings CLS, 313–326

Kilbourn-Ceron, O., Clayards, M., Wagner M. (resubmitted). Predictability modulates pronunciation variants through speech planning effects: A case study on coronal stop realizations. Laboratory Linguistic

Tapping as probabilistic reduction?

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Tapping as probabilistic reduction?

- Tapping is a form of reduction
- Related to reduction of word duration
- Known factor influencing word duration: frequency and predictability
- Common approach to explain this: Information theoretic rationale (cf. Jurafsky et al., 2001; Pluymaekers et al., 2005; Jaeger, 2010, and many others): Less information \rightarrow less oomph

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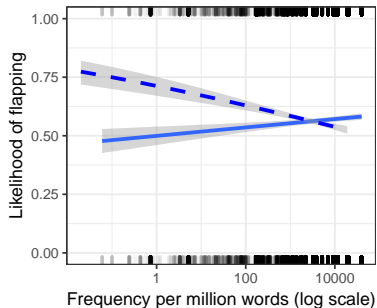
- PPH and probabilistic reduction often make similar predictions
- Glottalization does not require information about next word:
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 - ▶ Glottalization: $[t/d] \rightarrow ? / _ \#$
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Tapping: Corpus Data

- We look at Buckeye Corpus (Pitt et al., 2007). to look for effect predictability measures
- 11863 tokens with word-final /t/ or /d/ followed by a vowel-initial word (46.24% were transcribed as flaps).
- Excluded: words followed by disfluency (18.26% of tokens)
- Word frequencies were retrieved from SUBTLEX-US, a database of word frequencies based on film and television subtitles (Brysbaert and New, 2009)

Tapping: Corpus Data

Tapping:



Glottalization:

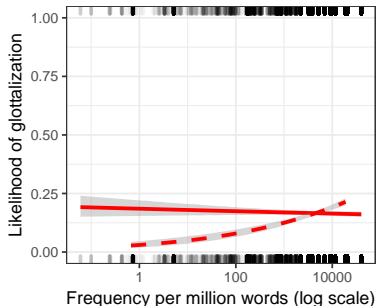


Figure: Relationship between SUBTLEX-US word frequency (per million words) and proportion of tokens transcribed as flaps [dx] (left panel, blue), glottal stops [tq] (right panel, red) in the Buckeye corpus. Solid lines show trigger word frequency, dashed lines show target word frequency, with shading showing 95% confidence intervals of a linear smooth (GLM, logit-link). Rug plot on top and bottom margins represent distribution of tokens.

Tapping & Glottalization: Frequency Effects

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The probabilistic reduction account does not explain the differences

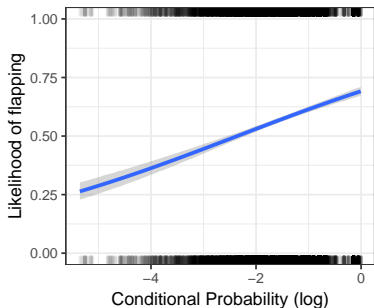
Tapping & Glottalization: Conditional probability

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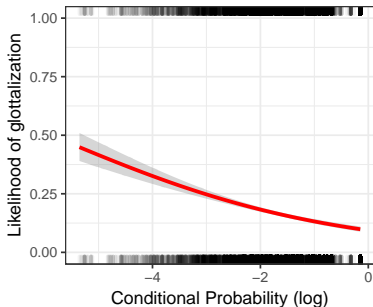
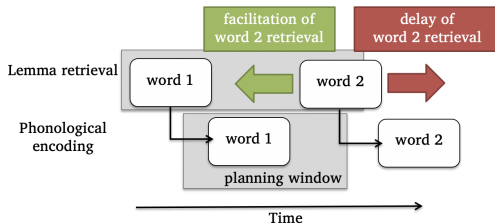


Figure: Relationship between Conditional Probability (of following word given target word) and proportion of tokens transcribed as flaps [dx] (blue, left panel) or glottal stops [tq] (red, right panel) in the Buckeye corpus. Solid lines and shading are linear smooths (GLM, logit-link) with 95% confidence intervals.

Tapping & Glottalization: Frequency Effects

Why negative effect of Target Word Frequency on flapping rate?



There are conflicting results whether high Word1 frequency makes it more or less likely that Word2 is planned at the same time

Effects of predictability 2: [t,d] Deletion in Clusters

with James Tanner



& Morgan Sonderegger



Tanner, J., Sonderegger, M., and Wagner, M. (2015). Production planning and coronal stop deletion in spontaneous speech. In Proceedings of the 18th International Congress of Phonetic Sciences (ICPHS) in Glasgow.

Tanner, J., Sonderegger, M., and Wagner, M. (2017). Production planning and coronal stop deletion in spontaneous speech. *Laboratory Phonology*, 8 (1): 15:1–3

[t,d] Deletion in Clusters (British English spontaneous speech)

fast ball > fas' ball

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PPH: Effect of X should be modulated by **Prosody boundary strength** and **predictability of following word**

[t,d] Deletion in Clusters

Effect of following segment is modulated by strength of boundary:

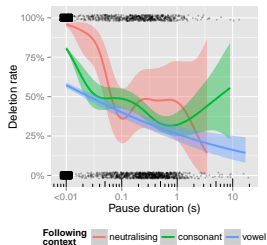


Figure: Deletion rate as a function of pause duration

[t,d] Deletion in Clusters

The higher the **conditional probability**, the bigger the effect of context:

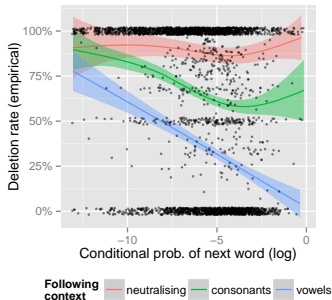


Figure: Deletion rate as a function of conditional probability

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- We tried to control for this by adding duration measures to model
- ...but we could get more direct evidence by looking at **non-reductive** process

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- **Probabilistic reduction:** More predictable information is **reduced** for probabilistic/information-theoretic reasons (cf. Jurafsky et al., 2001; Pluymaekers et al., 2005; Jaeger, 2010, and many others)

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- **Probabilistic reduction:** More predictable information is **reduced** for probabilistic/information-theoretic reasons (cf. Jurafsky et al., 2001; Pluymaekers et al., 2005; Jaeger, 2010, and many others)
- We found that reduction through glottalization works differently, which suggests that this explanation is not sufficient
- ...but again, by looking at **non-reductive processes** we could avoid similarity in predictions

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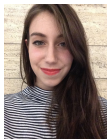
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Effects of predictability in non-reductive processes: Liaison

with Oriana Kilbourn-Ceron



& Josiane Lachapelle



Corpus Study: Kilbourn-Ceron, Oriana (2016). Speech production planning affects variability in connected speech. Proceedings of AMP, USC

Experimental study: Kilbourn-Ceron, Oriana, Josiane Lachapelle, Michael Wagner (in prep)

Liaison: Latent consonant appears before vowel initial word

Un peti[t] ami



cf. un peti[] chapeau

Des vrai[z] amis



cf. des vrai[] chatons

Different from tapping: Syntactic/Morphological Interactions (Côté, 2013, 157):

	<i>Singular</i>	<i>Plural</i>	
Adj + N:	le gros [z] enjeu	les gros [z] enjeux	'the big stake(s)'
N + Adj:	le pas *[z] enjoué	les pas [z] enjoués	'the cheerful step(s)'
N + Verb:	le pas *[z] endort	les pas *[z] endorment	'the step(s) send(s) to sleep'

Predictions of PPH:

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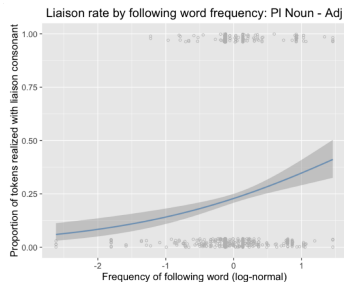
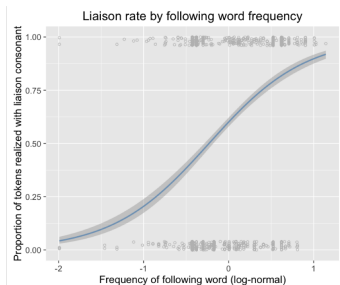
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- Should be less likely with greater juncture (more liaison in adjective-noun vs. noun-adjective order)

Predictions of PPH:

- Sensitive to upcoming phonological information → should be variable
- Should be less likely with greater juncture (more liaison in adjective-noun vs. noun-adjective order)
- For predictability effects, PPH makes **same** predictions as for reductive process

Liaison rate in Adjective-Noun (left) and Noun-Adjective (right) Cases:



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- Modulation by syntax also as expected (see also Tamminga, M. (2018, *Glossa*) on [t/d]-deletion)
- (Note that the observed frequency effects are also compatible with storage of larger-sized units, Bybee 2001; Côté 2013)

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- Since only the *beginning* of word2 is relevant (*does it start with a vowel?*), its overall length might be less relevant
- Also manipulated: speech rate, repetition, word frequencies, conditional probability of upcoming word, syntax (adjective-noun vs. noun-adjective contexts)

Liaison: Production experiment

(4) Adjective-Noun ('obligatory' liaison context)

- a. Low conditional probability; shortword1; shortword2:

Elle discute avec les derniers élèves.
she discusses with the last students

'She is talking with the latest students.'

slow; fast

- b. High conditional probability; longword1; shortword2:

Vous regrettez vos dernières années.
you regret your last years

'You regret the previous years.'

slow; fast

(5) Noun-Adjective ('optional' liaison context')

- a. Low conditional probability; shortword1; longword2:

Ils construisent des douches intérieures.
they construct of douches interior

'They are constructing interior showers.'

slow; fast

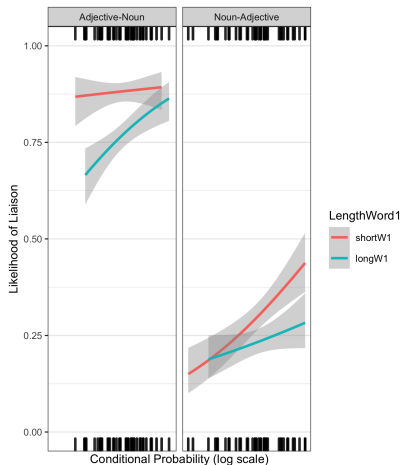
- b. High conditional probability; shortword1; shortword2:

Mathilde regarde ses dessins animés.
Mathilde watches her drawing animated

'Mathilde is reading comic books.'

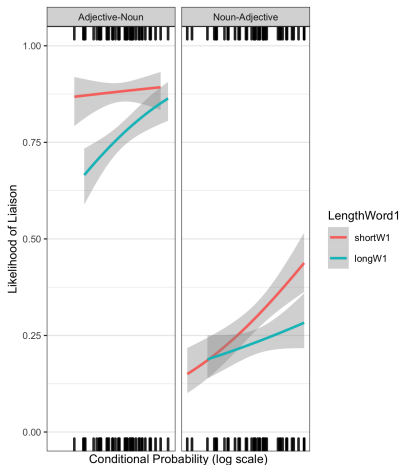
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Liaison: Production experiment



Plot of the effect of conditional probability, syntax, and length of word1

Liaison: Production experiment



Plot of the effect of conditional probability, syntax, and length of word2

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- No effect of Repetition
- No effect of Speech rate (already observed in Kaisse)
 - ▶ Why is it not like tapping in this respect? Does liaison operate at a different level of representation than tapping?

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 - ▶ ...since pronouncing the liaison encodes information about it and hence increases redundancy (it encodes that the following word begins with a vowel)
- The PPH (correctly) makes the *opposite* prediction

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- This result contradicts the PPH! But there are potential confounds...
- The PPH predicts predictability effect irrespective of their adaptiveness from an information-theoretic point of view
- (although which patterns 'survive' and are grammaticalized might still depend on their utility for message-retrieval)

Outline

- 1 Locality and Variability in Phonological Processes
- 2 Effects of syntax and prosody
- 3 Effects of Predictability
- 4 Effects of predictability in non-reductive processes
- 5 Conclusion and Outlook

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It may be a bit of both... but mostly it's just an empirical hypothesis...

Toward a predictive theory of locality & variability in phonology

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Why do these processes differ in their locality and variability?

Toward a predictive theory of locality & variability in phonology

- Taiwanese

- ▶ every non-final word within a domains undergoes tone sandhi;
- ▶ The following tone is irrelevant in determining which sandhi tone it shifts to.
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- Mandarin T3 sandhi

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More predictions: Influence of prior vs. upcoming information in vowel coalescence (Lamontagne and Torreira, 2017)

Locality and Variability

Can we make predictions about Locality?

Maybe yes: When a process relies on phonological information about an upcoming word, it should necessarily be local; when it depends on phonological information about a previous word, or on higher level information, it does not need to be local.

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Can we make predictions about variability?

Maybe yes: If a process relies on phonological information contained in an upcoming word, it necessarily has to be variable, but not if it relies on information from preceding word.

Thanks!

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