

### The Big Picture

Two hemispheres joined in sustained contact resulting in greatly enlarged world trade networks with fewer people remaining outside the influence

Balance of power changed as Western European kingdoms claimed lands and gained control of older trade routes

Land based empires expanded borders and conquered many nomadic groups (gunpowder)

### The Big Picture (continued)

Labor systems are transformed; slavery expanded and became more central to economic activities

Natural environment drastically changed

- Imported domestic animals trampled grasslands and altered native farming habits
- New crops changed soil conditions
- Land cleared for farming (including rain forests)
- Population compositions changed as disease spread



### **Overall**

Events shaped regional political units of today's world and influenced interrelationships among modern cultures

Power centers **shifted away from the Middle East and Asia and towards Western Europe** 

Western Europe transformed from decentralized, quarreling kingdoms to powerful centralized states

The world became smaller as international trade grew
Technology made transportation faster and easier
Middle East and Asia still played host to large, wealthy empires
but the balance of power was shifting westward

Joining of the two hemispheres in sustained interactions greatly altered the ever-shrinking world



## Transformation of Europe Analyze the transformational

Analyze the transformational developments in Europe that fueled its rise to world prominence





### Interrelated Changes Help to explain the rise of Europe

#### <u>1. Cultural changes – including the Renaissance,</u> <u>Reformation, and Enlightenment</u>

- Oriented European minds toward invention
- Allowed them to escape the social and intellectual boundaries of the Middle Ages



### Interrelated Changes Help to explain the rise of Europe (cont)



### 2. <u>Political consolidation of</u> <u>strong centralized states</u>

- This meant that kings had enough power and money to
  - Control regional lands
  - Control people
  - Sponsor trade expeditions and diplomatic envoys

### Interrelated Changes Help to explain the rise of Europe (cont)

- 3. Technological advances and the development of capitalism
- Allowed European states to increase their riches through trade and territorial claims
- Built on earlier inventions and made good use of their innovations

A Replica of Johannes Gutenberg's Printin Press of 1450



### Interrelated Changes Help to explain the rise of Europe (cont)

These three changes evolved together; changes in one area brought about reactions in others, created more changes By 1750 these changes transformed the continent Europe was almost totally different politically, socially, culturally, and economically from the beginning of this era



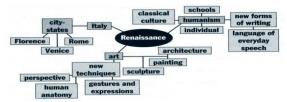
### Transformation of Europe Important Cultural Changes

Economic changes occurred late in the previous era

- Crusades stimulated trade and contact between the Middle East and Europe
- Genoa and Venice grew wealthy from the new interactions
- Cultural changes began in Italy and worked their way north



### Transformation of Europe The Italian Renaissance





More than a rebirth

Humanism (interest in accomplishments of individuals – grew from Greek culture)

#### Reflected in

- Portrait painting
- Autobiography
- Philosophies
- Petrarch/Boccaccio revived interest in classical writing and secular subjects
- Vernacular
- Religion declined as a central focus of interest

## Transformation of Europe The Italian Renaissance (cont)



Italy – urban (Europe still mostly rural) Genoa/Venice/Florence/Milan powerful city-states

Merchants compete for political and economic power

- Patron of the arts
  - Da Vinci
  - Michelangelo
  - Raphael
- Medici powerful in Florence

Catholic Church sponsored Renaissance endeavors

"Renaissance Man"

## Transformation of Europe The Italian Renaissance (cont)

Humanism also supported an entrepreneurial spirit

- Renaissance merchants improved banking techniques and became more openly competitive and profit-seeking
- \*\*Political power based on individual efforts to promote city and cultural achievements (no claim to divinity)
- Built glorious churches which inspired experimentation with architecture
  - Churches filled with sculptures and paintings



### Transformation of Europe The Italian Renaissance (cont)

#### Spread north

Northern humanists more religious Blended secular and religious interests

- Erasmus of Rotterdam
  - First Greek edition of New Testament
- Shakespeare and Cervantes
  - Wrote about secular life

#### Gutenberg

- Printing advances
- Printing press already in Korea and China
- Humanists worked with printers to make their writings available to wider audiences
  - People had access to Bibles and also Renaissance ideas



## Transformation of Europe The Protestant Reformation

Access to printed material played important role in religious upheaval Permanent new division in Western Christendom: Catholics vs. Protestants

- Martin Luthe
  - 95 Theses

People concerned about growing wealth and corruption of church Luther interested in the idea of salvation

- Published criticisms of many church practices; assisted by improved print technology
- Excommunicated
- Works inspired Protestant movements all over Europe

**Catholics against Protestants** 

Protestants against Protestants

Calvin

Stern and vengeful god

Luther

Merciful God

Henry VIII

Anglican Church



## Transformation of Europe Catholic Reformation



Church initiated reforms, banned sale of indulgences

 Tried to persuade Protestants to return to the Church

#### Council of Trent

- Met periodically to discuss Church doctrine
  - Jesuits founded; tried to convince Europeans to return to the Church

Church's powerful hold on the political, religious, economic, and social life of Europe broken

- Luther encouraged reading of the Bible to find meaning of Christianity
  - Humanistic influences and literacy

Europeans gained a different perspective on religion

## Transformation of Europe Catholic Reformation (cont)

Religious tensions led to wars Edict of Nantes

- Granted tolerance to Protestants
- Revoked by King Louis XIV

#### Thirty Years' War

- Treaty of Westphalia
- Choose own religion
  - Created patchwork of religious affiliations

Religious divisions in England played out through Henry VIII's daughters

- Mary Catholic; tried to turn kingdom back to Rome
- Elizabeth I Protestant; ensured the continuation of the Anglican Church

#### **English Civil War**

Partially provoked by Catholic leanings of Stuart kings

Religious issues dominated European politics for almost a century but settled into an acceptance of religious pluralism

- Some left Europe
- Some headed to the Americas





Humanistic emphasis shaped attitudes toward scientific thought

- Based knowledge on direct observation and mathematics
- Reliance on human reason for understanding scientific phenomena
- \*Further weakened the influence of the Catholic Church



## Transformation of Europe Scientific Revolution (cont)

Methods devised form the basis for science today

- Mathematical formulation
- Empirical evidence
- Freedom of inquiry

Contrasted with Scholasticism (inquiry based on the principles established by the church)

- Clashes occurred between scientists and religious and political authorities
  - As a result, findings not always made public



## Transformation of Europe Scientific Revolution (cont)



#### **Copernicus**

- Ideas from 13<sup>th</sup> c. Islamic scholar Nasir al-Din
- Commissioned by Pope to revise Julian calendar which had been based on the work of Ptolemy (geocentric)
  - Empirical observations revealed heliocentric universe
- This was contrary to Church doctrine
  - Revealed his outcomes just before his death to only a handful of scientists and mathematicians

## Transformation of Europe Scientific Revolution (cont)

#### Brahe and Kepler used

Copernican model

 Kepler published his results; fueled a controversy between religious officials (Protestant and Catholic) and scientists

Catholic Church declares heliocentric theory as heresy; all writings claiming that the earth moved on its axis forbidden







#### Galileo

- Used the telescope to study the heavens
  - Milky Way a huge collection of stars
  - Moon's light reflected from the sun
  - The earth is not the only planet with moons
- Indicated the earth is nothing special implied that the earth was not central to God's creation
- God's throne is not in a fixed place in heaven

### Galileo wrote for a general audience in the vernacular

- Impact of bold questioning of church doctrine great
- Forced to recant
- · Life under house arrest

## Transformation of Europe Scientific Revolution (cont)

#### **Newton**

- Principia Mathematica
- Built the framework of natural law that guided scientists through the 20<sup>th</sup> c.
  - Basic principles of motion
    - Universal law of gravity
- Explained how his laws governed the universe including the planetary orbits Kepler had identified

Captured the vision of a natural universe in simple laws that helped to organize scientific thought for research



## Transformation of Europe Scientific Revolution (cont)

Educated westerners embraced; many others continued to believe in traditional explanations

Witches/witchcraft

Eventually people came to believe that their environment could be controlled by humans

- Doctors promoted more scientific approach
- · Writers began to question religious 'miracles'

Some rethought conceptions of God through a system of thought called deism



### Scientific Revolution - World View



In <u>China</u> the approach to science was more practical – interest in science based on its perceived usefulness

In <u>Europe</u> thinkers were enthralled with the idea of general laws of nature that could explain broad patterns

Similar to Ancient Greek, Roman, and Islamic scholars

Overall, scientists convinced it was fully within the reasoning power of humans to understand the workings of the universe

Transformation of Europe Enlightenment

Inspired by scientists; how do natural laws affect human society and government?

Thomas Hobbes believed that humans must be controlled by absolute rulers John Locke sought to understand the impact of laws of nature on human liberties and equality and questioned Hobbes theories

Second Treatise of Government

- Social contract
- Consent of the governed

<u>Adam Smith</u> analyzed natural law of supply and demand: Wealth of Nations



## Transformation of Europe Enlightenment (cont)

18th C France was the center

- Philosophes (intellectual philosophers) debated
- Salons

#### Baron de Montesquieu

 Advocated government with three branches sharing power

#### **Voltaire**

 wrote witty criticisms of French monarchy and Catholic Church

#### Rousseau

Social Contract – man is born free and everywhere he is in chains

Most Enlightenment thinkers wrote for each other but there were broad consequences

 Revolutions based on Enlightenment principles transformed their societies and ushered in a modern age of democracy



## Transformation of Europe Political Consolidation



Smaller states in Europe; constant competition led them to seek power through land exploration and trade claims

European kings benefitted from Reformation

 Church lost political power as many Christians became Protestants

Religion remained important and continued to fragment the Holy Roman Empire

Strong kings emerged in England, France, and Spain by late 16<sup>th</sup> C.

## Transformation of Europe Political Consolidation (cont)

#### **Hapsburg Family**

Land claims from Spain to Italy to Netherlands to Hungary

 Appeared as if they might control all of Europe

Catholic – led to religious conflicts Charles V tried to unify Europe

 experienced strong opposition from French king and Ottoman sultan

Abdicated his throne and holdings fragmented; son Philip II inherited lands in Spain





## Transformation of Europe Political Consolidation (cont)



#### Philip II

Spain at height of power

- Kingdom had been unified by marriage of Ferdinand and Isabelle
  - Sponsored voyages of Columbus
  - Reconquista

Spanish rulers devoutly Catholic

Spain rich from New World wealth

## Transformation of Europe Political Consolidation (cont)

#### French and Spanish kings absolute monarchs

Hold complete control over kingdoms

French kings claim **divine right of kings** (God's blessing – legitimacy of royalty across Europe enhanced)

#### Louis XIV - Sun King

- Theatre state
- Versailles
- Entertained extravagantly nobility would forget their complaints against king
- Likeness surrounded by sun beams
- · L'etat c'est moi summed up absolute rule

Prussia (emphasized strong military), Austria-Hungary also practiced absolutism









### <u>England and the Netherlands</u> developed constitutionalism

- Rulers shared power with a parliament
  - Henry VIII needed parliamentary support in forming the Anglican Church
  - Queen Elizabeth relied on Parliament to fund the navy and finance exploratory voyages
    - English Civil War pitted the king against Parliament
    - King Charles I was beheaded
- William and Mary (Protestants from the Netherlands) ruled together with Parliament
- Parliament power of the purse

### Britain and Netherlands growing commercial and colonial powers

 Government in the Netherlands decentralized by the House of Orange was highly influential

### Absolutism vs Constitutionalism in Early Modern Europe

Degree of centralization varied

Rule of law

Rule over relatively homogeneous populations

Practiced mercantilism

Power of rulers shared with

parliament

Recognition of some individual rights

Highly centralized state
Rule by divine right of kings
Degree of homogeneity
varied
Less likely to practice
mercantilism
No sharing of power with
parliament
No recognition of individual

Constitutionalism

**Absolutism** 

rights

# Transformation of Europe Development of Capitalism and Technological Advances

#### Capitalism

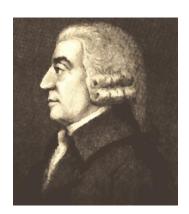
- Economic system based on private ownership of
- Free market

### Wealth of Nations - invisible hand

Supply and demand

#### Mercantilism

 Responsibility of government to promote states' economy



# Transformation of Europe Development of Capitalism and Technological Advances (cont)



Growth of banks and banking

- Deposit funds
- Secure loans

Insurance companies formed Joint stock companies organized commercial ventures East India Company Most joint-stock companies formed in Britain and the Netherlands

Laws were supportive
 In absolutist government they were more likely to control ventures directly

## Transformation of Europe Development of Capitalism and Technological Advances (cont)

### Capitalist system largely replaced guild system

 Entrepreneurs sought ways to operate without guilds which represented collective, not individual efforts and did not emphasize profit-making

Putting out system



### Transformation of Europe Development of Capitalism and Technological Advances (cont)



Technological advances contributed to the transformation of Europe

- Altered inventions to suit their needs
- Modified hulls for the Atlantic Compass was improved Mapmaking – Mercator projection
   European advances in

metalwork allowed for cannons

- used Chinese gunpowder
   Led to buildup of European
- Led to buildup of European military might

## Transformation of Europe Demographic and Social Changes

Rapid population growth
Healthier diets due to
increase in food from
expanding trade networks
Old diseases less deadly
Increased life span
Dip during Thirty Years' War

 After population levels continued to rise





### Transformation of Europe Demographic and Social Changes (cont)

Commerce increased and led to rapid urbanization London, Paris, Madrid, Amsterdam, Berlin, Copenhagen, and Stockholm \*Population growth allowed for

- rapid economic expansion
- provided fuel for European settlement in the New World



### Transformation of Europe Demographic and Social Changes (cont)



Social life changed
Wealth to urban areas and rural (putting out system)
People purchased more goods, expanded economy
Financial independence; young people own homes
Marriages not longer for economic interest; marry for love

## Transformation of Europe Overall

Mutually reinforcing cultural, political and economic components that changed the power balance in the world

Older civilizations in decline or holding their own Small European states flexing their muscles Changes encouraged Europeans to venture across the seas to join the hemispheres

### **Hemispheres United**

Focus on the relationships formed between the New World and the Old and the consequences of joining the hemispheres





#### Across the water....

#### People had traveled the rivers

- Greeks, Phoenicians, Roman ships crossed Mediterranean
- Chinese junks and dhows traversed the Indian Ocean
- Trade patterns intensified
- Polynesians explored and settled the islands
- Scandinavians made their way to North America

### In the Americas, the Arawak were traveling the Caribbean

 All of these ventures laid the basis for extensive sea travel and made it possible for sea-based states to gain preeminent power in the world



### Hemispheres United Zheng He's Voyages

Atlantic a giant barrier between Europe, Africa, and the Americas Chinese sailors went on incredible voyages led by Zheng He who was commissioned by Emperor Yongle (Ming dynasty)

- Seven maritime expeditions
- Fleets with as many as 317 vessels and 28,000 men
- Reassert China's power after the Yuan Dynasty
- Expensive gifts to give along the way

Chinese vessels exacted tribute from those encountered

Impressed by size of the expeditions and the ships

Zheng He brought back exotic plants and animals, including a giraffe, for the emperor



## Hemispheres United Zheng He's Voyages (cont)



Voyages ended with after the death of Emperor Yongle

What if they'd continued? Would the Chinese have "discovered" the Americas? Timing about the same as the Europeans.

Some historians think Zheng He went to California but most disagree

Either way, the Ming stopped the voyages which made sense given the dynasty's orientation to the world

Yongle exceptional emperor

- Not skeptical about contact with foreigners, as later Ming emperors tended to be
- To start and stop voyages reflects China's on again off again attitude toward the world

Trade was vital to China's greatness but it could also bring harm and destruction

 On top of that, money was needed to contain attacks from the nomadic people to the north and west and the voyages were expensive

### Hemispheres United Portuguese and Spanish Voyages and Colonies

Portuguese and Spanish led the way

Consolidated their governments; had built strong militaries

On the Atlantic close to the Strait of Gibraltar

 Venice and Genoa dominated Mediterranean which had forged trade alliances with Muslim states

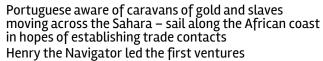
Spain and Portugal inspired by new cultural and economic forces transforming Europe; interested in finding converts

Spain united under Ferdinand and Isabelle

- Expelled Jews
- Religious devotion coupled with centralized political power provided the incentive to spread Christianity



#### Hemispheres United Portuguese and Spanish Voyages and Colonies (cont)



- Created a navigation school
- Jewish cartographers
- Studied and improved navigation technology
- Magnetic compass and astrolabe
- Advancements in designs for ships; the caravel
  - Smaller than a Chinese junk but size allowed exploration of shallow coastal areas and rivers
  - Strong enough to withstand storms
  - Two set of sails
    - Square to catch breezes for speed
    - Lateens for maneuverability

Cannons made it a fighting ship

### Hemispheres United Portuguese and Spanish Voyages and Colonies (cont)

Many thought southern waters boiling hot and full of monsters; took time to convince others

Students of Henry, most notably Dias and da Gama, set out set out to find the tip of Africa and connect to the Indian Ocean

 By the end of the 15<sup>th</sup> c their feat was accomplished - after years of experiments with wind and ocean currents and discovering the fastest and safest way to return home to Portugal

#### Ventured away from the coast

 Cabral (1500) sailed too far and reached South American coast claimed Brazil for Portugal



### Hemispheres United Portuguese and Spanish Voyages and Colonies (cont)



Spanish exploration less gradual

Columbus convinced Ferdinand and Isabelle to sponsor voyage

- Used Ptolemy's calculations; underestimated the distance
- Thought he'd reached East Indies
- Three voyages; insisted he'd reached Asia

New World named for Amerigo Vespucci, explorer sponsored by Portugal and Spain

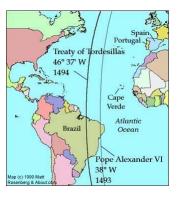
## Hemispheres United The Treaty of Tordesillas

Portugal and Spain disagree over control of Americas

- Look to the Church for guidance
- Agree on an imaginary line to divide the lands: Treaty of Tordesillas (line shifted)

Eventually argue about lands around the Pacific also

Magellan commissioned by Spain to find a way through the Americas, cross the Pacific, and return home to Spain Died en-route in the Philippines; one ship made it back



### **Hemispheres United** The Treaty of Tordesillas (cont)



Treaty fateful agreement for both

Focused Spain on the Americas and Portugal on Africa and the Indian Ocean

**<u>Portuguese</u>** encountered well established trade routes and ports controlled by many different people

- Were able to dominate due to cannons on ships
- Burned many Swahili city-states
- Different ports connected the trading community, no one enemy to defeat
- Portuguese had to be content with quick profits and seldom settled in ports they controlled

Muslims, Buddhists, and Hindus little interest

**Spanish** discovered that after the conquest of two clear enemies, the Aztecs and the Inca, all would be theirs and began to transform the

### **Hemispheres United** The Spanish Empire in the Americas

Combination of religious fervor and desire for riches

#### Conquistadores

- Cortes
- Aztec
- Aided by Amerindian people
  - Malintzin
- Montezuma welcomed Spaniards (Quetzalcoatl returning home)
  - Natives had never seen men with beards; descriptions given to Montezuma may have sounded like the feathered serpent
- Spanish took over the city and imprisoned Montezuma; killed
- How did 600 men take over a city protected by thousands?
  - Amerindians
  - Disease
  - Weapons
  - Spanish swords



### Hemispheres United The Spanish Empire in the Americas (cont)

#### <u>Pizarro</u>

- Inca
- Atahualpa defeated his brother for the throne in a civil war; empire weakened
- · Pizarro's soldiers seized Atahualpa and imprisoned him
- Atahualpa paid ransom
- Baptized Christian then strangled
- Massive native rebellion followed causing the Inca conquest to take longer than the Aztec

With these two conquests the conquistadores marched through other parts of the Americas

- Claiming land as they went
- Converting natives to Christianity
- Searching for gold

By the end of the 16th c they had built a massive colonial empire in the New World





## Hemispheres United Iberian Colonial Organization



Spain and Portuguese took control of the lands conquistadores had claimed **Portuguese** preoccupied with interests in Africa and Asia

• Viceroys appointed to administer Brazil

The **Spanish** established two centers of authority

- Mexico and Peru
- Later divided into four viceroyalties and the Audiencia of Chile
- Built Mexico City on old Aztec capital of Tenochtitlan
- Built administrative buildings in old Inca centers in Cuzco
- · Capital in Lima along the coast

## Hemispheres United Iberian Colonial Organization (cont)

#### Viceroys were the king's representatives

- King had audiencias, special courts
- Communication difficult; viceroys operated fairly independently
- Viceroys set up government in urban areas; members of bureaucracy lived nearby
- Until 17<sup>th</sup> C most officials were born in Spain but over time posts given to some of the new generation

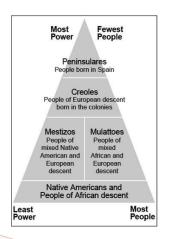
#### Urban settlement patterns in Brazil were similar

- Jesuits and priests arrived to convert natives
- Set up residences and churches
- Priests also saw to the spiritual needs of Europeans and established schools
- Amerindians converted as a result of close contact with priests
- Eventually some priests protested Spanish exploitation





## Hemispheres United The Colonial Economy in Latin America



Greatest societal division between Europeans and Amerindians

- Political administrators, military leaders and soldiers, plantation and mine owners were European
- Workers were Amerindian
- Aztec and Inca class divisions wiped out; treated the same by Europeans

Social Structure

Children of Spanish and Portuguese (the <u>peninsulares</u>) were <u>creoles</u> (over

- time gained more power)

  Few women from the Old World, took native wives/mistresses. Children
- mestizos
- When slaves arrived, children of Europeans and Africans were <u>mulattoes</u>
   Mulattoes and mestizos composed castas, middle level status

#### Patriarchal society

- Father authority over children
- · Women couldn't hold political positions, run a plantation or mines
- Women did control dowry and ran business after husbands died
- Women had full rights to inheritance



# Hemispheres United The Exploration and Settlement of North America

The <u>Netherlands</u> developed as center of trade in the Middle Ages

Motivated by the Protestant work ethic

- Encouraged individuals to work towards gaining wealth
   Took over the Indian Ocean trade from Portuguese in 17<sup>th</sup> C
- Muslim traders preferred the Protestants; didn't try to convert to Christianity
- Used cannons to back up business deals
- Joint-stock company: East India large and powerful
- Specialized in the spice and luxury trade

Shifted attention in late 17<sup>th</sup> c to the trans-Atlantic slave trade

 1624 the Dutch West India Company established New Netherland; capital on Manhattan Island





# Hemispheres United The Exploration and Settlement of North America (cont)



<u>British</u> colonization started later due to internal power struggles

- War of the Roses
- Drained resources
- Also struggles between Catholics and Anglicans

Elizabeth's defeat of the Spanish Armada (1588) demonstrated British naval power

- First venture Roanoke (lost colony) a disappointment
   Britain formed joint-stock companies to begin settlement and established diverse colonies on the east coast
- Jamestown 1607
- Puritans in Massachusetts
- Quakers in Pennsylvania
- Catholics in Maryland

Joint-stock companies intended to make profits; economic goals

1644 English seized New Netherlands and renamed New York

 English solidified their control of the Atlantic coast World's leading naval power by the late 17<sup>th</sup> C

# Hemispheres United The Exploration and Settlement of North America (cont)



#### <u>French</u> also entered the race for colonies late

- Explored waterways of the Gulf of St. Lawrence and the St. Lawrence rivers
- · Colonies at Port Royal (Nova Scotia) and Quebec
- Convert natives to Catholicism
- lesuits
- Interested in fur market
- · Traded guns, textiles, alcohol for furs
  - Led to overhunting and guns for Amerindians

### French colonies grew slowly; cold Canadian colonies held little appeal

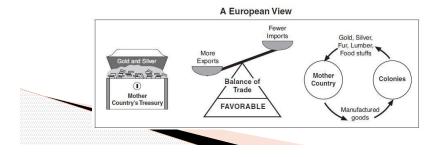
- France didn't allow Protestant Huguenots to settle
- Trader lifestyle, constantly on the move to follow traps, not conducive to family life
- Contrast: English colonies often settled by families to farm and provide work for joint stock companies

# Hemispheres United The Exploration and Settlement of North America (cont)

#### English and Dutch tried to control economies through mercantilism

- Goal of economic gain to benefit mother country
- Goods and services that originated in mother country to colonies and colonial goods to mother country

Overall, New World endeavors expanded the mother country's (France, Spain, Portugal, Netherlands, England) economy far beyond the borders, helping to tilt the balance of power in the world toward Europe



### Hemispheres United Governments in North America



Dutch and English colonies privately financed; more independence than Latin American colonies

Charters spelled out rights and responsibilities

Governments not all the same

- Maryland was a proprietary colony (granted to Lord Baltimore)
- King assumed control over Virginia

English colonies had assemblies with two houses

 Colonists came to think they should share the right to determine rules and regulations

No powerful authoritarian viceroys and no large urban areas comparable to Mexico City or Lima until much later

## Hemispheres United Relations with Amerindians

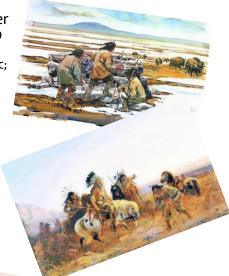
Amerindian populations smaller in North America (compared to Aztec/Inca)

 Slash/burn agriculture or nomadic; easier to displace

Susceptible to disease; population further reduced Pushed westward; adapted to new environments by hunting

Horses made it possible
 Some migrated to lands other natives claimed

territorial wars



### Hemispheres United Relations with Amerindians (cont)

Less rigid social classes; Europeans and Amerindians led separate lives in the early days

- Europeans populated colonies
- · As settlers pressed westward more intermingling

<u>Southern</u> colonies developed strict social classes between blacks and whites (mixed race considered black)

• Believed blacks to be inferior

Social classes that developed within the <u>middle</u> and <u>northern</u> colonies mainly among Europeans and more fluid than Lain America

French took native wives and relationship generally more cooperative



### Hemispheres United Relations with Amerindians (cont)



Forced labor systems different than Latin America (mit'a and encomienda)

English colonists came to settle

• Farm or trade

Slaves not practical in areas with small farms in New England Middle colonies – indentured servitude

- Same as free settler but bound by contract for four to seven years
- End of contract -small piece of land, tools, and clothing

### Hemispheres United: Comparative Colonies in the Americas

#### Latin America

Encomienda, Mit'a, Slave labor Single men soldiers; married native women Authoritarian govt, viceroys, no assemblies, elaborate bureaucracies Amerindians forced into labor Hierarchal social structures; several classes based on ethnicity

#### **North America**

Slavery and indentured servants
Families came, less intermarriage until movement west
Govt more independent, assemblies with less elaborate bureaucracies
Amerindians pushed aside, not generally used for labor
Hierarchal social classes in the south, less hierarchal and rigid in the North

## Hemispheres United Global Exchanges



Sustained contact had profound implications for almost all areas of the world

- Biological
  - Plants, food, animals, human beings, and disease
- Commercial
  - Manufactured goods, nonbiological raw materials and money

Both types of exchanges combined to establish global networks of trade and communications such as had not been seen before in world history

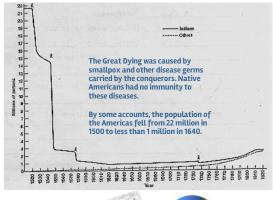
## Hemispheres United The Columbian Exchange



Global diffusion of crops, other plants, human beings, animals, and disease

Previously flora and fauna developed separately
 When the worlds were brought together vast changes occurred in natural environments, health, and demographic patterns

## Hemispheres United The Columbian Exchange (cont)





High death rates in the New World; no immunities to diseases

 Smallpox deadliest but often combined with other disease to increase mortality rates

Highest in densely populated areas (Aztec and Inca)

 Only limited historical proof that diseases were spread intentionally

European immigrants to the Caribbean dying of malaria Disease also impacted death rates in Oceania Hemispheres United
The Columbian Exchange (cont)

Increased world population overall Supplies of food increased

- · Variety of available food
- · Caloric intake increased

#### Livestock altered environment

- Cattle, pigs, horse, and sheep multiplied rapidly
- Destroyed natural vegetation
- Supplied meat, milk, hides and wool

#### Horse probably single most important

- Natives travel further
- Hunt more efficiently
- · Wage a different type of warfare



### Hemispheres United The Great Circuit and the Atlantic Economy



Sugar Plantation Mill Yard Island of Antigua Caribbean, 1823. Capitalism and mercantilism applied to exchanges across the Atlantic

Investors sought profits in the production and export of cash crops

Some crops from New World to Old (tobacco)

Brazil and the Caribbean principal sources of sugar (from Eastern Hemisphere)

- Needed to be raised on large plantations
- Raw sugar cane could not survive the ocean journeys
  - Processed before it was shipped
- Producer needed growing fields and a processing plant
- Large investment; small farmers couldn't survive
- Slave labor

# Hemispheres United The Great Circuit and the Atlantic Economy (cont)

#### **Great Circuit**

- New products
- Experimentation with labor systems
- New methods of transportation
- New lands
- Capitalistic enterprise

All combined to create a clockwise network of sea routes

#### **Europe to Arica**

Guns, textiles, manufactured goods

#### Middle Passage; Africa to Americas

 Slaves to the Americas (brought foods such as okra and rice; contributed to diversity of foods)

#### **New World to Europe**

 Goods produced in the new world included sugar, tobacco, gold, silver, food

#### Ships also crossed Pacific

- Manila galleons
  - Traded silver for up Asian luxury goods

# Hemispheres United The Great Circuit and the Atlantic Economy (cont)



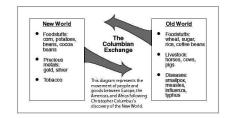
By 16<sup>th</sup> C many ports of the world are connected (expect Australia and much of Oceania)

Trade patterns established continued into later times

Those who profited the most gained economic power in addition to political and social control (generally Europeans)

Hemispheres United
Overall





#### This period brought tremendous change to the Americas

- Western Hemisphere had developed in relative isolation
- The Americas now became an integral part of the world trade network
- · The first truly global economy developed

#### Changes in one part of the world potentially impacted others

- Aztec and Inca replaced by Spanish and Portuguese
- Natives in North America pushed inland
- French trappers traded with natives along interior waterways

#### Environmental and demographic changes

Newly introduced plants and animals changed diets and lifestyles and altered the natural environment

#### Population increases in Europe spurred interest in the New World

• New business and transportation innovations allowed migrations to the Americas

#### Native population decreased

Populations later rebound as nutritional and economic benefits of the Columbian exchange began to take effect



## **Africa**

Investigate the impact of the new world economy on Africa



### Africa and the New World Economy



Gold, salt, and ivory trade brought wealth to African kingdoms These connections led Africa directly into the new world economy

Africans played a vital role in the new trade patterns that developed

 Most valuable contribution was human beings; the second leg of the Atlantic Circuit as slaves headed to the new World

The slave trade transformed the parts of Africa that it touched, but many parts were unaffected by the changes swirling around them

### **Early Contact with Europeans**

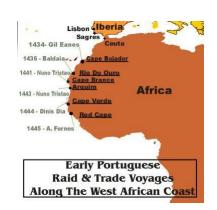
Slave trade existed before the Europeans got involved

- Internals slave trade
- POW
  - Slaves not seen as property; controllers amass wealth through their labor
- Slaves could obtain freedom or become members of clan

Changed after contact with the Portuguese 10,000,000+ largest forced migration in world history



### Early Contact with Europeans (cont)



15<sup>th</sup> C Exploratory voyages along western coast Prince Henry the Navigator

 Established forts and trading posts called factories; local African merchants brought goods to be traded

Initial interest in gold and ivory; some slaves brought to Portugal by 1441

### Early Contact with Europeans (cont)

Christian missionaries converted royal family in Kongo

Relationship equal; tried to Europeanize the natives (Africans as inferior)

Growing slave trade encouraged the Portuguese to look to the Kongo to supply slaves for the Middle Passage

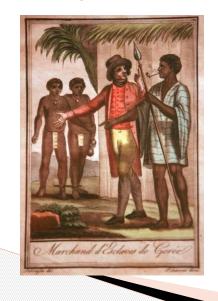
- 1665 King of the Kongo went to war with the Portuguese to stop slave raiding
- Portuguese superior arms helped them win

Europeans continued to colonize further south and set up competing slave trading posts

 Once the Portuguese rounded the Cape of Good Hope they took over Swahili trading cites and intensified the slave trade already in place across the Indian Ocean



### **Early Contact with Europeans (cont)**



Cooperation of African elite important

- Traded war captives, criminals, and individuals expelled from their group
- Generally young men valued for strength

Portuguese dominated for 150 years+ As demand grew, other Europeans joined slave trade

- Established forts along African coast
- Slaves brought to coast as military captives or kidnapped

Many African states were small and fragmented; their quarreling produced war captives who provided labor for the victors

European merchants benefitted

The Middle Passage

Slaves in specially built holds; packed together

- Girls/boys/women separate
- Four to ten weeks

Packed ships to maximize profits

- Male slaves chained together to keep from jumping overboard
- Special nets to catch any that decided to jump together

Once under sail, men kept below decks

Death from disease, bad food, dysentery, refusal to eat, whippings, occasional execution



### The African Diaspora



#### Spreading of Africans

- Most destined for sugar plantations in Brazil and the Caribbean; later for rice, cotton and tobacco production
- Some in Spanish mines or household servants

Large sugar plantations; slaves vast majority of population

 Brazil - manumission more common than elsewhere

Impact of diaspora on family life; African women outnumbered by men everywhere in the Western Hemisphere

- · Family structures seriously challenged
- Many African converted to Christianity but continued their native religions

## The Impact of the Atlantic Slave Trade in Africa

Interior groups not as affected (Rwanda, Burundi, Masai, Turkana)

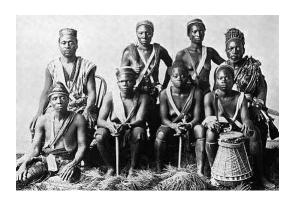
 Many benefited such as Asante, Dahomey, Oyo

#### Asante

- Western Africa
- Leader Osei Tutu <u>united clans</u>
- Controlled gold and slave trade
- Most powerful state in Sudan
- Kingdom cooperative



## The Impact of the Atlantic Slave Trade in Africa



**Benin** depended on traditional products

- ivory
- textiles
- bronze

<u>**Dahomey**</u> used firearms to create power base

 Authoritarian leaders using brutal force for compliance; kingdom based on force

## The Impact of the Atlantic Slave Trade in Africa

Loss of millions of young men creating sex ratio imbalance Slave trade brought political unrest

Violence escalated; European weapons

On the east coast the Swahili states continued trade; adjusted to European presence

- Links to the interior continued to bring ivory, gold, and slaves to the coast
   New World style plantations on Zanzibar
- Slave markets of the Red Sea continue

Dutch set up Cape Colony at Cape of Good Hope

• Dutch moved inland to farm and depended on slave labor

Most other interior African areas remained relative isolated



The Impact of the Atlantic Slave Trade in Africa (cont)

Nutritional benefits of Columbian Exchange meant that people who remained in Africa had diets enriched

- Maize
- Cassava
- Peanuts

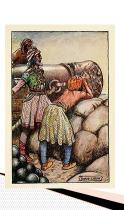
No significant population decline







## Islamic Land-Based Empires



>>> Study the new Islamic Empires that developed in the Middle East and India



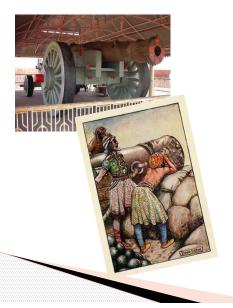
### Empires: Land-based and Sea-based

Sea-based empires as one major theme but also traditional land-based empires that continued to dominate the Middle East and Asia (and a new land-based empire; Russia)

- Land-based empires continued to rely on armies, roads, and inland urban areas
  - Both sea-based and land-based powers made use of guns, cannons, and muskets

The era after 1450 is commonly called the Age of Gunpowder empires due to the use of guns to subjugate enemies and build control

### Ottoman, Safavid, and the Mughal Empires



Islamic

Represent the height of
Muslim political and military
power in world history
Countered the growing
European global influence
All three on decline by 1750;
sea-based powers were still on
the rise

### The Ottoman Empire

Began as small warrior state in a frontier inland area

- Named for Osman
- Peak during the 16<sup>th</sup> c (lasted until 1922)

Ottomans successfully conquered territory in eastern Europe, stopped at the gates of Vienna



## The Rise of the Ottomans



Anatolia a stage for conflict

- Hittites
- Alexander the Great
- Romans
- Seljuk Turks
- Crusaders
- Mongols

Osman's group came to dominate Captured Constantinople; renamed Istanbul Hagia Sophia became a mosque

Reached its height under Suleiman the Magnificent

- Conquered Belgrade
- Laid siege to Vienna winter made it difficult/retreated
- Turning point in history?
- Controlled the water traffic between the Black and Mediterranean Seas
- Venice as a tributary state
- Ottoman army continued to expand and defend frontiers

## Ottoman Empire Political Characteristics



#### Sultans political and military leaders Early days

- Army elites cavalry leaders; land grants as rewards
- 16<sup>th</sup> C develop into warrior aristocracy
- Great deal of control over land and food production

#### New elite military group, Janissaries, checked their power

- System known as <u>devshirme</u> required Christian boys to become slaves of sultan
- Given guns and heavy artillery (too heavy for cavalry)
- Came to control the weapons that ensured the Ottomans continuing military success
  - Gave them political and economic power
- Old aristocrats found themselves out of military power just as economic weaknesses greatly reduced incomes from their lands
- Janissaries had a say in the sultan's decisions by the mid-16<sup>th</sup> c



# Ottoman Empire Political Characteristics (cont)



Ottoman sultans large bureaucracies centered in Istanbul Vizier – head of imperial administration (day to day work)

- Early sultans took active part; over time viziers gained power
- Power challenge by Janissaries, fading cavalry leaders, and Islamic religious scholars

## Ottomans plagued by problems of succession

 Common for sons to go to war with each other

### Ottoman Empire Economic Challenges

#### Istanbul

- Wealthy
- Cosmopolitan
- Controlled trade routes
- · Primary seaport
- Bazaars

Economic decline set in by the mid 17th c

• Empire probably reached the limits of expansion

Empire too large to be maintained

Corruption among local governments

High taxes for peasants

Peasants revolts

Succession issues -sons of sultans held as hostages to prevent coups

Led to sheltered, pleasure loving less competent rulers

Demands by Janissaries not only for political power but also for high salaries

 Sultan began to reduce number of landholding cavalrymen, causing unrest among displaced cavalrymen





Inflation caused by increasing amount of New World silver - negative global effect

Ottoman sultan collected taxes according to legally fixed rates

 as value of silver declined, tax revenues stayed the same

Ottomans were at a disadvantage when trading in the world market

- Religious law limited the government ability to reform tax laws
- When bureaucrats came up with special surtaxes, met with resistance



## Ottoman Empire Cultural and Social Characteristics

#### Majority Sunni

 As a result of expansion; large numbers of Christians and Jews

#### Istanbul cosmopolitan

- Crossroads of trade
- Sultans supported public works

Invited religious scholars, artists, poets, and architects

- Hagia Sophia restored as a mosque
- Aqueducts built
- City walls repaired

Suleymaniye Mosque with impressive domes Social structure

- Large numbers of merchants and artisans
- Artisans organized into guilds
  - Standards high; supervised by the government



## Ottoman Empire Cultural and Social Characteristics (cont)

Clerics influence apparent in success insulating the empire from new cultural and technological developments in Europe

Saw European societies as backwards and own civilization as superior

This idea kept them from understanding the tremendous changes Europe was bringing to the world

- Printing press brought by Jews after being expelled from Spain; not allowed to print anything in Turkish or Arabic
- As a result, the empire virtually untouched by the print revolution



## Ottoman Empire Cultural and Social Characteristics (cont)



Changing balance of power best illustrated by the loss of the "Ottoman Lake"

- Famous sea battle at Lepanto
- Ottoman loss to Philip II of Spain
- Rebuilt fleet but never regained control of the Mediterranean

Also lost power as Portuguese gained control over the lucrative trade in Indian Ocean

## **Safavid Empire**

Grew from Turkish nomadic group (similar to Ottomans)

#### **Shi'ite**

- True heirs of Islam were the descendants of Ali
  - 12<sup>th</sup> descendant disappeared as a child; the Hidden Imam

Ismail led army who united large area south of the Caspian Sea

 Declared the official religion to be Twelver Shi'ism based on the legacy of the Hidden Imam



### Safavid Empire (cont)



As Safavids expanded they came into conflict with Ottomans

Hostilities intensified by Shi'ite Sunni split Met at Chaldiran in NW Persia

- Religious conflict at the heart
- Illustrated the importance of the new gunpowder technology
- Ismail sent cavalry qizilbash (redhead for distinctive turbans) armed with sword and knives to fight Janissaries with their cannons and muskets

Safavids slaughtered, Ottomans won decisive victory but didn't follow up due to approaching winter Safavids recovered, built up artillery, and continued to fight Ottomans for two centuries

Battle at Chaldiran a marker event

- Set the limits for Shi'ite expansion with consequences still apparent today
- Iran is in the midst of predominantly Sunni countries conflicts continue

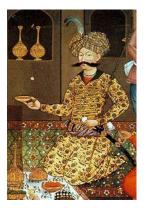
## Safavid Empire (cont)

#### Shah Abbas I – Safavids at peak

- Captures boys in Russia and educated to be soldiers; converted to Islam (similar to Janissaries)
- Slave infantrymen trained to use firearms
- Gave increasing power at the expense of the traditional gizilbash

## Abbas brought in European advisors to assist in wars with Ottomans

- Improved cannons and musket
- Army swelled in size and efficiency, but no Safavid navy built to compete with the seabased trade that was transforming the world



# Safavid Empire Politics and Religion

Safavid rulers based authority on military prowess and religious authority

- Traced authority to Sufi religious order
- Expansion seen as extension of Islam to new lands

#### Saw the Europeans as infidels

· Also believe that defeating the Sunni was an act of faith

Persian traditions shaped by Safavid political system

- Sumptuous palaces
- Highly ritualized court

Local mosque officials, mullahs, supervised and supported by state

Gave government the upper hand



# Safavid Empire Economic and Social Organization



## Turkish chiefs challenged early shahs

- Chiefs gradually transformed into warrior elite (similar to cavalry elite in Ottoman Empire)
- Supervised farms, asserted political power, captured powerful positions in the imperial bureaucracy

## Shahs appointed Persians to fill other bureaucratic positions

 Gave authority to slave infantrymen

### Safavid Empire Economic and Social Organization (cont)

Shahs supported trade Isfahan (capital) major center of international trade

- Network of road and workshops to manufacture textiles and rugs
- Inland not as many traders as Istanbul (why?)
- Guilds
  - Silk production
  - Carpets signature business

Also negatively impacted by inflation caused by flood of silver

Not cosmopolitan Armenians kept in suburbs across river; most people in city were Shi'ite Majority of people lived in rural areas, farming Nomadic groups

**Economic** 

Social

## Safavid Empire Cultural Characteristics

#### Mixture of Turkish and Persian

- · Iranians scholars more likely to use Persian
  - In other Islamic lands more likely to read and write in Arabic

Cultural traditions like poetry, history, drama, and fiction kept Persian identify strong

- Gradually separate identities seen by the time the Mongols invaded
- When Ismail created Iran as a Shi'ite state reinforced differences

#### Architecture

- Mosques in Islamic world relied on domes
  - Safavid domes decorated in brightly colored floral patterns that resemble Persian carpets
  - Istanbul known for massive simplicity

Calligraphy styles also different



## Safavid Empire Cultural Characteristics (cont)



Blended Sufi mysticism with militant political objectives

Safavids traced ancestry to Safi al-Din, leader of Sufi religious order in NW Persia; empire founded on Sufi beliefs

Ismail deployed armies to spread Shi'ism w/an emphasis on mystic union w/God

 Later Safavid shahs banned Sufi orders from the empire but Sufism continued to thrive

Like the Ottomans, Safavids gradually lost vigor

- Collapsed in the 1720s
- Victim of
  - Islamic infighting
  - Ever-growing dominance of sea-based powers

### **Mughal Empire**

1450 – much of Indian subcontinent tenuously controlled by the Delhi Sultanate

 Muslim leaders presided over a population that remained primarily Hindu – religious frictions (continues today)

1523 Babur founded Mughal Empire

 Descendent of Timur and Genghis Khan

Dominated until the early 1700s (continued to rule in name until 1858)



## Mughal Empire (cont)



#### Babur's invasion motivated by

- Loss of ancestral homeland through intertribal warfare
- Dreams of living up to reputation of ancestors

## Military strategies responsible for success in capturing Delhi

 Family's control challenged after his death but son Humayan recaptured northern India and expanded the empire

The empire reached its height in power and influence under Babur's grandson Akbar

## Mughal Empire Political Characteristics

Autocratic (like Ottoman and Safavid)

- Power based on military might and religious authority
- No navy (like Safavids), relied on army

Fought the infidels (the Hindu) spreading Islam

Some more tolerant (Akbar)

Succession issues (like Ottomans and Safavids); Mughal princes fought each other to become heir

 Political instabilities caused by family controversies always threatened the empire



## Mughal Empire Political Characteristics (cont)

Akbar incorporated rajas (regional Hindu leaders) into military and bureaucratic positions to alleviate tensions

- Policy of cooperation and encouraged intermarriage
- Abolished jizra
- Ended ban on the building of new Hindu temples
- Ordered Muslims to respect cows
- Built strong bureaucracy modeled on a military hierarchy for collecting taxes

Each region surveyed and tax rates based on the regions potential for wealth

Most local officials (usually Hindu) kept positions if swore allegiance to Mughals and paid taxes

Reforms encouraged cooperation; great grandson Aurangzeb reinstituted many restrictions on the Hindus



## Mughal Empire Economic and Social Characteristics



Land revenue granted to military and government officials in exchange for service (also in the Ottoman and Safavid)

Grew wealthy

As Mughal empire expanded, controlled commercial networks based on cotton, indigo, and silk

- By 17<sup>th</sup> c overland trade with Europe going strong Indian merchant ships were privately owned; many Indian goods carried into the Indian Ocean trade circuit were on Dutch and English vessels
- Europeans brought trade goods from throughout Asia to trade for Indian cotton cloth and clothing due to growing demand in Europe

## Mughal Empire Economic and Social Characteristics (cont)

Patriarchal (same as Ottoman and Safavid) Wives of rulers played key roles in all three empires

- Suleiman the Magnificent's wife convinced him to execute his eldest son so her son could succeed to the throne (Ottoman)
- One Safavid ruler's wife so enraged the qizilbash that they murdered her
- Mumtaz Mahal, wife of Mughal Shah Jahan also amassed power. Taj Mahal built for her but plans for a black marble monument ended when Shah was imprisoned by his sons in a struggle for succession



## Mughal Empire Economic and Social Characteristics (cont)

Status of women overall low in Indian society

Child marriage common (brides as young as nine)

Sati spread even though outlawed

Seclusion (purdah) strictly enforced for upper class women

Women veiled



## Mughal Empire Cultural Characteristics

Religious conflicts permeated society

<u>Akbar</u> tried to reconcile the two with new Divine Faith

- Combined Muslim, Hindu, Zoroastrian, Christian, and Sikh beliefs
- Wanted to unite under one realm and cement loyalty to the emperor

Before Babur invaded, Nanuk (1469 - 1539) stressed meditation as a means of seeking enlightenment; drew upon both religions

- Became guru of Sikhism
- People formed a community free of caste distinctions

Mughal Shah Aurangzeb changed the nature of Sikhism when ordered the ninth guru beheaded because he refused to convert to Islam (1675)

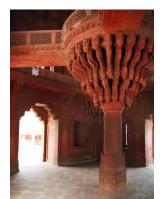
• Tenth guru vowed to avenge his father's death; led **army of the pure** to challenge Mughal army

Sikhs reflect devotion by not cutting hair (beneath turbans) and carry symbols of faith (comb, steel bracelet, sword or dagger)

Sikh rebellions combined with other upheavals seriously weakened the Mughals



**Cultural Characteristics (cont)** 



Jahangir and Shah Jahan followed Akbar but less interest in military conquests and politics

- Patrons of the arts
- Promoted paintings of miniatures depicting life at court, battles, animals, and plants
- Built public buildings
  - Blend of Persian and Hindu influence with lavish ornamentation
    - Ornamented tiles with semiprecious stones in lavish patterns
    - Taj Mahal

#### Fatehpur Sikri - Akbar built an entirely new capital city

- Abandoned after his death
- Beauty famous throughout Islamic world
- Library contained largest collection of books in the world
- Scholars of all religions came
- Akbar illiterate but loved to be read to

Akbar's reputation as an important leader is based partly on his ability to revive a sense of political and cultural unity in the subcontinent (since Gupta)

# Mughal Empire Cultural Characteristics (cont)

Jahangir and Shah Jahan neglected political, economic, and military issues; loved pleasure

<u>Aurangzeb</u> tried to restore the empire but also to rid India of Hinduism; stirred up resentment

- Conquered more land but the expenses of war left treasury empty
- Local leaders plotted against him
- Rolling back Akbar's reforms undermined his government
- · After he died the empire was larger than ever but unstable

#### Europeans took advantage

 Dutch, British, and French joint-stock companies eagerly sought to expand profitable trade in India



#### **Comparisons: Islamic Empires**

Political	Economic and Social	Cultural
Sultan/shah autocratic (all) Large bureaucracies(all) Land grants to aristocracy usually in reward for military service (all) Relied on gunpowder (all) Ottomans had powerful army and navy Safavids and Mughals no navy 1750 all in decline	Based on agriculture (all) Trade important to all but Ottomans more favorably located Safavids and Mughals had inland capitals; sea vessels privately owned left control of sea ports to Europeans Economies at a disadvantage because of inflation New World silver (all) T750 all in economic decline Patriarchal (all) but wives of rulers and other elite had influence	•Safavids Shi'ites •Ottomans and Mughals Sunni •Cultural difference contributed to warfare between Ottomans and Safavids •Mughals ruled over Hindu majority •All encouraged the arts and unique artistic styled developed in art and architecture •Domed buildings and calligraphy •Persian calligraphy emphasized in Safavid

## Change Over Time: India 8000 BCE - 1750

8000 BCE - 600 BCE	600 BCE - 600 CE	600 - 1450	1450 – 1750
•First civilization; Indus River Valley •Mohenjo Daro and Harappa •Aryans invaded •bring their religion and customs that became the foundation of Hindu society	•Buddhism began during 6th C BCE •Diffused from India to east and SE Asia •Maurya and Gupta temporarily united India politically	•Fragmented political rule until 13th C •Hindu religion and culture the glue that kept Indian identity •Turkish invasions beginning in the 13th C disrupted the regional kingdoms •Delhi Sultanate formed by Afghan Turks •Brought Islam with them, introducing friction between Muslims and Hindus	•Babur led army into India that toppled Delhi Sultanate •Mughal Dynasty stronger than Delhi sultanate •Akbar tried to reconcile difference between Muslims and Hindu •Religious tolerance increased •Mughals less powerful in the 18th C than in previous times

## Land Based Powers on the Rise: Russia and East Asia

Analyze change and continuity in Asia and trace the development of the Russian Empire





Land Based Powers on the Rise: Russia and East Asia

Rise of sea-based powers shifted the balance of world power

 Seen in the gradual weakening of the Muslim Empires

Three important land based empires didn't lose ground

- Either on the rise or at their peak
  - Russia
  - Qing China
  - Tokugawa Japan

All reached their greatness through traditional land-based methods All addressed challenges from Europe in different, but equally successful, ways



### Land Based Powers on the Rise: The Russian Empire



By 1450 Mongol control ended, Muscovy on the rise

Not as modern as other states

- Previously aligned with Byzantine culture and politics
- The Muslim capture of Constantinople, the northern location, and the rise of sea-based powers should have ensured Russia's continuing obscurity

The concentration of political power, the actions and policies of the tsars, defied the odds and propelled Russia to the ranks of the great empires by 1750

## Land Based Powers on the Rise: The Russian Empire The Expansion and Centralization of Russia Before 1650

As Mongol presence weakened, Moscow princes continued to pay tribute Ivan III refused to pay; known as Ivan the Great

- Declared himself Tsar
- Claimed establishing "Third Rome"
- Acquired new lands by marriage, wars, and purchase
- Recruited <u>cossacks</u> (peasants) to settle in new lands in exchange for freedom (former serfs)

After the demise of the Byzantines, Ivan saw Russia as the carrier of Roman tradition

Distinct cultural characteristics including Eastern Orthodox Church

Ivan II head of government and church Influence of the church increased as Ivan's power grew



#### Land Based Powers on the Rise: The Russian Empire The Expansion and Centralization of Russia Before 1650 (cont)



Centralization continued under <u>Ivan the Terrible</u> (Ivan IV – grandson of Ivan the Great)

Economic system based on feudalism

- Boyars (nobles) held land worked by serfs
   Military responsibilities similar to Western Europe and
- Boyars resisted centralization of tsars power

#### Ivan IV responded by

- Redistributed the boyars lands to oprichniki
- Killing the rebels in cruel ways (cooking them and skinning alive)
- Executed his oldest son

#### "Time of Trouble" followed Ivan's death

- Competition among boyars for control of throne
- Neighboring kingdoms invaded
- Boyars selected Mikhail Romanov as heir

Romanov's ruled until the early 20<sup>th</sup> C when last tsar executed by new regime of communist leaders

### Land Based Powers on the Rise The Russian Empire: Peter the Great



#### **Peter the Great**

- Tsar most responsible for transforming Russia
- Saw Russia was far from cutting edge
- Looked to the West and understood the importance of controlling the oceans and seas
- Studied European methods of shipbuilding and engineering

Pulled Russia by the bootstraps into its new role as an important player in global history

Land Based Powers on the Rise
The Russian Empire: Peter the Great (cont)

Caught up in intrigues of boyar competition as a young man

 After father died, Peter eventually succeeded father after removing his sister as regent and placed her in a monastery

As an absolute ruler Peter never hesitated to use his power

- Executed his son for conspiring against him
- Threat from boyars always present

Many of Peter's goals met with resistance from nobility, making accomplishments even more remarkable

- Directed expansion towards access to warm water ports (knew the importance of sea power)
- Built St. Petersburg (Window on the West)
- Tried to capture lands adjacent to the Black Sea, Ottomans held it and Peter was unsuccessful





The Expansion of Russia under Peter the Great. Peter added vital territory on the Bultic Sea to the wast Russian empire.

## Land Based Powers on the Rise The Russian Empire: Peter the Great (cont)

#### Military Reform

- Built size of army by drafting peasants to serve as professional soldiers
- Increased pay
- Encouraged western technology (including training on cannons and firearms)
- Built roads and bridges to transport troops and equipment
- Built navy bringing in European experts on ship-building, sailing, and navigation
- Built ports to accommodate ships



## Land Based Powers on the Rise The Russian Empire: Peter the Great (cont)



#### Social Reform

- Ordered boyars to dress like Europeans; no more bearskin capes and beards (owner could pay a fine to keep beard)
- Russian women traditionally secluded themselves at home wearing veils when out in public; Peter insisted they appeared unveiled in his court and dress as European ladies
- Decreed that young people (not their parents) determined who they wanted to marry

## Land Based Powers on the Rise The Russian Empire: Peter the Great (cont)



#### **Bureaucratic Reform**

- Reorganized to be more efficient gathering taxes (needed money to pay army, navy, and for the reforms)
- Replaced boyars with government officials selected from new <u>Table of Ranks</u>; positions based on merit
- Eliminated many titles of nobility and ensured that new bureaucrats were loyal to him

## Land Based Powers on the Rise The Russian Empire: Peter the Great Overall

#### Peter brought many changes

- Conflict between the Slavic traditional ways and the new impetus to westernize
- Selectively imitated western ways
  - Little interest in Enlightenment ideas

Did not aim to build an international export-oriented economy Saw economic development mainly as a way to support military efforts

- Continued autocratic rule but sent message to subjects to emulate European ways
- Catherine the Great understood the conflict and continued the autocratic traditions of the tsars

Russians continued their Slavic ways, including Russian Orthodox religion

After Peter they began to turn one ear toward the West



The "Tsar Cannon." The emperor of Russia ordered the casting of this gigantic bronze cannon in 1586. Its tube weighs 40 tons. It was intended for defense of the Kremlin in Moscow, but it was never fired.

## Land Based Powers on the Rise: East Asia

Tended to think of Europeans as backwards Global effects of European expansion were being felt by the 17<sup>th</sup> and 18<sup>th</sup> C

 SE Asia most affected since the Portuguese and Dutch claimed ports and controlled trade through this crucial link between the Indian Ocean and South China Sea

Europeans took over Philippines and Indonesia

Established regimes that favored the European merchants

#### **Philippines**

• Manila center of Spanish commercial activity Indonesia

 Dutch had more tenuous hold; hub in Batavia on Java China, Japan, and Korea more resistant

65

# Land Based Powers on the Rise: East Asia (cont)

Missionaries to China didn't have much impact

Japanese actively tried to keep Europeans out

Nomadic invasions continued to preoccupy Ming

European excursion to the New World only of marginal interest

 China and Japan ventured into the seas and traded; most of their concerns were land-based and remained focused on their own internal affairs



# Land Based Powers on the Rise East Asia: The Late Ming Dynasty



Dynasty began with overthrow of Mongols and lasted 300 years Early years

- Government effective
- Population and food grew
- Commercial activity continued

Ming emperors wary of outsiders

- Chinese tendency to protect culture
   Silk and porcelain highly prized
- Rulers didn't turn backs completely on highly profitable commerce

### Land Based Powers on the Rise East Asia: The Late Ming Dynasty Political Characteristics



Palace eunuchs served as emperor's eyes and ears with direct access to emperors

Expanded forbidden city
Examination system revived

 Required extensive knowledge of Confucian thought

Ming armies large, good leadership

Firearms not as advanced as the West





Commercial activity strong in China 16<sup>th</sup> C Large % of people engaged in trade and manufacture Portuguese traded New World silver for luxury goods; behavior considered offensive

- Government confined their activity to Macao
  Urban areas grew under Ming; inland and port cities
  Ming not interested in technical innovation
- Europeans had adapted and improved many early Chinese inventions
  - Gunpowder and printing

In quest to preserve identity, Chinese took little notice of technological advances that would prove undoing

 Still, the brilliance and prosperity of the Ming are not overshadowed during this era by lack of technological progress



# Land Based Powers on the Rise East Asia: The Late Ming Dynasty Trade and Cultural Contacts with Outsiders



Middle Kingdom didn't feel it needed anything from outsiders

High point in cultural and commercial relations with Japan

- Shoguns embraced Chinese culture
- Japanese and Chinese pirates raided together

Trade contacts with westerners limited Important opening for Europe was Ming tolerance of Christian missionaries who shared western technology

Ming found European visitors amusing and interesting



Earlier Christian efforts in China almost eliminated by the plague and collapse of Yuan

Jesuits led the way when efforts revived

#### Matteo Ricci

- Understood Chinese revered learning and refinement
  - Used his own curiosity about things Chinese to impress emperor and to try to accomplish underlying motive of a establishing China as a Christian nation
- Mastered reading and writing in Chinese
- Discovered the emperor's interest in European science, technology, and mechanical inventions
  - Jesuits corrected Chinese calendars and prepared world maps (with China as center)
- Displayed bronze cannons, cuckoo clacks, and mechanical clocks that chimed the hours



## Land Based Powers on the Rise East Asia: The Late Ming Dynasty Trade and Cultural Contacts with Outsiders (cont)



Jesuits brought European innovations as gifts; took advantage of good will

- Devised ways to convince emperor of similarities between Confucianism and Christianity
- Number of converts low

Eventually Pope became alarmed about comparisons with Confucianism and Christianity; Jesuit mission ended

- Pope demanded ban on ancestral veneration
- Chinese emperor banned Christian proselytizing
- Mission weakened

Jesuits failed in goal of a Christian China but opened country to European influence

Writings stimulated interest in China and demand for goods





#### Problems defending borders

Nomadic groups successful forays across Great Wall

#### Weak emperors

- Corruption (particularly among the long-resented eunuchs)
- Court factions bickering for the emperor's favor

#### Peasant rebellions

## Manchurians won the Mandate of Heaven

- Renamed empire the Qing (pure) Dynasty
- Not Han Chinese
- Barbarians from the north

Founded and maintained a brilliant new era for China

## Land Based Powers on the Rise East Asia: The Qing Dynasty

Manchu gained control of Beijing and began campaign to conquer rest of Ming territory

By late 18<sup>th</sup> C China reached largest size in history; largest country in the world

- Transition from Ming to Qing not as difficult as transitions between dynasties in earlier periods
  - Manchu had been close to Chinese civilization and had adapted many Chinese customs and attitudes
  - Some gave their support to Manchu in taking over government



### Land Based Powers on the Rise East Asia: The Qing Dynasty Political Organization



Qing encouraged separation between Manchu and Chinese Confucians subjugated to the victors (like with the Mongols)

- Highest posts filled by Manchu
- Confucian scholar-gentry kept most positions in bureaucracy
   Manchu rulers wanted to preserve ethnic identity
- Forbade intermarriage
- Chinese men forced to shave front of heads and grow queue as a sign of submission to dynasty

Civil service exams became more competitive with tests given on district, provincial, and metropolitan levels

Most student took the test several times

State tightly controlled at center; Son of Heaven view clearly in place

Emperor led secluded but privileged life in Forbidden City "Theatre state" apparent

- Sumptuous palace and customs
- Emperor's clothing
- Kowtow (three separate kneelings)

#### Land Based Powers on the Rise East Asia: The Qing Dynasty Political Organization (cont)

Manchu dynasty strengthened by two strong emperors

Kangxi and Qianlong

Together rule spanned 130 years

Cemented prosperous, powerful, culturally rich empire

Both sophisticated Confucian scholars Managed Chinese economy efficiently

Kangxi a talented military leader

Qianlong brought such prosperity that he cancelled tax collections four times

Late 18<sup>th</sup> C china a well-organized empire; its influence firmly established in most parts of east Asia



# Land Based Powers on the Rise East Asia: The Qing Dynasty Economic and Social Characteristics



#### Prosperity based upon

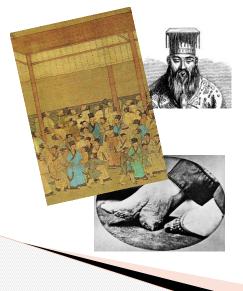
- Agriculture; high yields from new methods
- Rice, wheat, millet
- New foods from Americas
  - Maize, sweet potatoes, peanuts raised on soil not appropriate for previous crops
- New foods sustained rapid increase in population

## Population outpaced food supply but not evident before 1750

- Population growth supported by trade and influx of American silver
- Chinese workers produced silk, porcelain, and tea

New silver supplies generally helped Chinese economy (contrast to Muslims)

# Land Based Powers on the Rise East Asia: The Qing Dynasty Economic and Social Characteristics (cont)



#### Patriarchal society

- Control over women probably increase late Ming to Qing
- Confucian ideals strong

Preference for male children clear (only males could take civil service exam which could boost family status)

Women encouraged to commit suicide after husbands died

- Foot binding popular
- Women could not divorce husbands
- Men could put wives aside for disobedience or adultery

## Land Based Powers on the Rise East Asia: The Qing Dynasty Economic and Social Characteristics (cont)

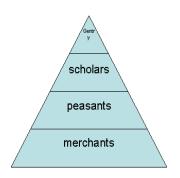
#### High status of scholar bureaucrats

- Distinctive clothing
- Income from government service
- Lived in urban areas
- Owned land that brought additional income

## Below gentry were peasants, artisans, and merchants

- Merchants with the lowest status since peasants more worthy; considered honest work
- Merchants did not create any tangible products

Lower classes often called 'mean people' which included slaves, indentured servants, and beggars



### Land Based Powers on the Rise East Asia: The Qing Dynasty Cultural Influences



Beyond Neoconfucianism a rich cultural life emerged in philosophy, literature, and history

Emperors supported printing and distribution of materials

- Yongle sponsored Yongle's Encyclopedia
- Kangxi's Collection of Books
   Popular novels circulated two often best know to novels are the Book of the Golden Lotus and the Dream of the Red Chamber

### Land Based Powers on the Rise East Asia: The Qing Dynasty Cultural Influences

Porcelain became major art form during Ming and Qing

- Vases, decorative bowls, painted scrolls and screens in demand
- Prices rose and production increased
  - Prosperous Chinese also filled homes with goods; many items did not go to international trade

By 1700's many Chinese could read and children went to to schools and academies

Calligraphy, painting, and poetry more prized than math and science

Members of the scholar-gentry led refined, comfortable lives



Change Over time	China's Long History
8000-600 BCE	Earliest know dynasty emerged 1500 BCE in Yangzi and Huang He River Valleys. Veneration of family ancestors; emphasis on importance on writing and learning. Shang overthrown by Zhou; instituted belief in mandate of heaven
600 BCE - 600 CE	Confucianism, Daoism, Legalism developed during Zhou dynasty. Shi Huangdi unified China as short-lived Qin Dynasty under Legalism; followed by Han based on Confucianism. Dynastic cycle patterns well established
600 -1450	Chaos followed Sui dynasty, then long era of Tang emerged. Buddhist influences, Confucians regained control. Neoconfucianism. Tang and Song culturally rich, military strength greater with Tang. Song defeated by Mongols, established Yuan. Yuan overthrown by Ming; rebuilt Chinese cultural institutions. Ming had mixed feeling about outside world.
1450 - 1750	Ming strong early, lost control to Manchus - the Qing. Strengthened China with army and competent rulers. Largest and one of strongest countries 1750.

## **Land Based Powers on the Rise** East Asia: Japan



## Japan organized politically and economically into feudalistic hierarchies

- Emperor ruled in name only
- Shogun (top military authority) wielded most real power
- Powerful territorial lords, daimyos, had great deal of local control

Political power fragmented as a result

Each daimyo pledged allegiance to the shogun as overlord

#### Late 1500's civil war

- Toyotomi Hideyoshi broke power of warring daimyos and unified Japan under his authority Dreamed of ruling Korea, China and India
- Died before fulfilled ambitions but his actions sparked the unification for the first time in history, as step that was a crucial in the country's rise to world power three centuries later

### Land Based Powers on the Rise East Asia: The Tokugawa Shogunate

Daimyos met under leadership of Tokugawa Ieyasu to establish centralized government in 1603

Also called Tokugawa bakufu; tent governmen

Implying that it was a temporary replacement for the power of the emperor

#### Tokugawa controlled Japan until 1867

- Daimyos still retained power and authority
- Shogun's authority based on military might
  - To check daimyo's power; alternate attendance
  - Required daimyos to spend every other year at Tokugawa court
- Weakened daimyos in two ways
  - Wealth affected because they had to maintain two households
  - Daimyos absence from lands impaired ability to establish power base at home





# Land Based Powers on the Rise East Asia: The Tokugawa Shogunate Economic and Social Change



Political unification encouraged economic growth
Growth rooted in agriculture

- Water control
- Irrigation
- Use of fertilizer

#### Similar to China

 Yields of rice and other foods meant rapid population growth

## Curbed by birth control, late marriage, abortion, infanticide

- Japan had limited space available
  - Limited geography , mountainous land, poor soil

### Land Based Powers on the Rise East Asia: The Tokugawa Shogunate Economic and Social Change (cont)



Social hierarchy influenced by Confucianism

Obedience and responsibilities of people of unequal ranks

#### Ruling elites included

- Shogun
- Daimyos
- Samurai

#### Middle class

Peasants and artisans

#### Merchants at bottom

As peace settled and trade flourished, merchants became more prosperous and were among wealthiest

Samurai left with nothing to do in times of peace

Strict social hierarchy prevented samurai from other professions; many fell into debt

### Land Based Powers on the Rise East Asia: The Tokugawa Shogunate Arts and Learning

Culture shaped by Confucianism, Buddhism, and Shintoism

- Elite influenced by Neoconfucianism
   Buddhism and Shintoism more influential among common people
- Shintoism promoted as important source of Japanese identity



### Land Based Powers on the Rise East Asia: The Tokugawa Shogunate Arts and Learning (cont)



Literacy rates high (less characters than Chinese)

Wood-block printing and moveable type made mass production of reading materials possible

Poetry, novels, social satires, and kabuki plays most common forms of urban literature

#### Kabuki

- Drama with singing, dancing, and elaborate
- Setting for plays often the 'floating worlds'
  - Teahouses
  - Public baths
  - Brothels
- Allowed people to escape rigid public decorum Bunraku; puppet theatre also popular

### Land Based Powers on the Rise East Asia: Japan and the Europeans

Japanese attempting to unify Japan; European ships on their way to the islands by mid 16<sup>th</sup> c

European traders and missionaries

- Trade and conversion main goals
- Priests had some success; focus on converting daimyos
  - Powerful daimyo Nobunaga murdered, successor less enthusiastic (Hideyoshi)
- Ordered missionaries to leave the island
- Soon persecuting Catholic priests and native converts
- leyasu, first Tokugawa shogun, banned Christianity 1614
  - Drove missionaries out; killed any who refused to go
  - Converts tortured, imprisoned, executed if wouldn't renounce
- Tokugawa regime seriously restricted foreign traders
  - 1640's only limited number of Dutch and Chinese ships allowed to trade on island of Deshima



## Land Based Powers on the Rise East Asia: Japan and the Europeans (cont)



- •Tokugawa set about consolidating their sway over the daimyos once outside influences were controlled
- •1750; struggle for power between shoguns and daimyos still central
  - •Shogun's court at Edo held control over vassals
- •Japanese kept close eye on European innovations through the Dutch
- •By contrast, Chinese scholar-gentry dismissed European technology as work of barbarians
  - •Japanese better understood the threat that loomed

### Japanese Isolationism

Japanese tended to be isolated by geography Choppy waters of Korean Strait made contact of invasion difficult

By 16<sup>th</sup> C European adventurers began to make their way to Japan as shoguns centralized their power

Geography no longer counted on for Japanese independence

#### Shoguns used power to

- Expel Catholics
- Forbid Christianity
- · Limit Dutch and Chinese ships
- Ban western books
- Allow foreigners in small, restricted areas

Allowed shoguns to concentrate on strengthening internal control

Daimyos also interested in European innovations

 Japan poised to become a world power by early 20<sup>th</sup> Century

