#### Chemical Process Development



Development NC

#### Applications of cocrystals in API synthesis Dr. Manuel Henry Boehringer Ingelheim Pharma GmbH & Co. KG Chemical Process Development



Crystallization Workshop, June 7th, 2018, Milan

#### Content

- General considerations on co-crystals
- 1<sup>st</sup> case study: Development of a new API form
- 2<sup>nd</sup> case study: Purification of an API
- 3<sup>rd</sup> case study: Optical resolution of an intermediate
- 4<sup>th</sup> case study: Optical resolution of an API



#### Boehringer Ingelheim Pharma GmbH

- German family owned pharmaceutical company
- TOP 15 of pharmaceutical companies
- Chemical Process Development
  - From Research to Production
  - ~200 FTE
  - Two locations
    - Biberach: early stage development (~80 FTE) up to Phase IIa (up to 100Kg API)
    - Ingelheim: late stage development (~120 FTE)
- Technology (TEC) Lab in early development (~3 FTE)
  - Optimization of reactions
  - Optimization of crystallizations (isolation of API and intermediates, optical resolutions)
  - PAT
  - Technology scouting

# General considerations



#### Definition

LETTER

www.rsc.org/crystengcomm | CrystEngComm

#### What is a co-crystal?

Andrew D. Bond

Received 29th May 2007, Accepted 13th June 2007 First published as an Advance Article on the web 11th July 2007 DOI: 10.1039/b708112j

The term "co-crystal" is failing as a clear and consistent scientific descriptor. If it is to be retained, it should be used only as a synonym for "multi-component molecular crystal".

- Crystal structure of two or more molecules, which are solids under ambient conditions, with no covalent bonds
- EMA: a co-crystal is a not a new drug substance and requirements are essentially the same as for a salt
- FDA:
  - -In the past: a co-crystal is a drug product
  - -Now (Feb. 2018): a co-crystal is like a polymorph, as the solvates are, of the initial drug substance



#### Definition



Figure 1: Subdivision of solid state materials.

From the reflection paper on the use of cocrystals of active substances in medicinal products of the EMA: <a href="http://www.ema.europa.eu/docs/en\_GB/document\_library/Scientific\_guideline/2015/07/WC500189927.pdf">http://www.ema.europa.eu/docs/en\_GB/document\_library/Scientific\_guideline/2015/07/WC500189927.pdf</a>

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# 1<sup>st</sup> case study Development of a new API form





- Neutral molecule
- More than 16 solvates identified but no hydrate
- Solvates were in a large majority unstable in presence of water
- Issues:
  - Polymorph control during crystallization
  - Residual solvent content

- 4 co-crystals identified in screening with:
  - Benzoic acid (1:0,5)
  - Salicylic acid (1:0,5)
  - Gentisic acid (1:1)
  - Saccharin (1:0,5)
- Up-scaling
  - Saccharin: no stable stoichiometry from 0,2 to 0,5eq.
  - Benzoic acid and salicylic acid: long thin needles, suspensions not good stirrable
  - Gentisic acid: rod-like crystals, good stirrable



• Phase diagram gentisic co-crystal (in weight composition) in ACN/water



• Pushing the yield and stability by using excess of co-crystal former



Co-crystals are not as stable as salts, especially when competing with solvates

TECSCH01938						
	BI 451960 GE			TECSCH1933PA1		
PA1	PA5	PA9	PA13	PA17		
EtOH	iPrOH/H2O 9/1	ACN	Eisessig	nBuOAc		
Form I + XX	Form I	Form I	Form I	Form I + XX		
PA2	PA6	PA10	PA14	PA18		
EtOH/H2O 9/1	Aceton	ACN/H2O 9/1	THF	MiBK		
Form I + XX	Form I + XX	Lösung	XX	Form I + XX		
PA3	PA7	PA11	PA15	PA19		
EtOH/H2O 1/1	Aceton/H2O 9/1	ACN/H2O 1/1	EtOAc	MEK		
Form I	XX	Form I	Form I	Form I + XX		
PA4	PA8	PA12	PA16	PA20		
iPrOH	Aceton/H2O 1/1	H2O	iPrOAc	nHeptan		
Form I + XX	Form I + XX	Form I	Form I	Form I		

Form I
BI 451960 XX (solvent-free)
BI 451960 XX (solvate)
Lösung



 Co-crystals, like any other compound, can have polymorphs, hydrates, solvates...



- Form II is an hydrate
- Form I and II are enantiotropic related
- Interconversion temperature is around 20°C, determined by Raman spectroscopy in Crystalline



# 2<sup>nd</sup> case study Purification of an API



#### Purification of an API



- Last chemical step: organometallic chemistry
  - Metallation: Lithium
  - Transmetallation: Zinc
  - Coupling: Copper
- CuCl builds a strong complex with the API
  - Cu residual content: 7 750ppm
- Product in the reaction mixture has a 67% purity
- Isolated product has 85%-90% purity

#### Purification of an API

- pKa=3,5
- Salt formation only with strong acids (i.e. sulfonic acids), but no real improvement of the purity and heavy-metal content
- No strong synthons, but single crystal structure of the free molecule demonstrates  $\pi$ - $\pi$  interactions
- Co-crystal screening mainly with aromatic compounds → 4 hits:
  - Benzoic acid
  - Salicylic acid
  - Gentisic acid
  - 3-hydroxy-2-naphtoic acid

#### Purification of an API

- Co-crystal with benzoic acid was the most stable and reproducible
- Purity improved from 85%-90% to 99%
- Residual copper reduced to 30ppm
- Single crystal structure of the benzoic acid co-crystal demonstrates π-π interactions with the API and H-bond between the carboxylic acid and the different nitrogens breaking the CuCl complex
- Cleavage to the free molecule with ammonia in water



3<sup>rd</sup> case study Optical resolution of an intermediate





• Weber et al. (JCS, Chemical Communications, 1992) proposed for the resolution of 3-methylcyclohexanone to use 2,2-di-benzoyl-2,3-propanediol





- No classical co-crystal synthon
- Screening of chiral acids and aromatic compounds with a racemic mixture
- One new XRPD pattern with (S)-BINOL



 $\Rightarrow$  We have a diastereometric co-crystal, can we do an optical resolution?

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• Solvent screening (50mg) with 0,5eq. (S)-BINOL (i.e. in Crystal16)

Methanol	Methanol/H2O 9/1	Ethanol	Ethanol/H2O 9/1	2-Propanol	Acetone
Y: 47% e.e.: 34%	Y: 46% e.e.: 34%	Y: 46% e.e.: 24%	Y: 40% e.e.: 7%	Y: 58% e.e.: 6%	Y: 24% e.e.: 67%
Acetone/H2O 9/1	methylisobutyl ketone	Ethylacetate	Tetrahydro furane	Toluene	Acetonitrile
Clear solution	Y: 39,5% e.e.: 1%	Y: 18% e.e.: 61%	Clear solution	Y: 40% e.e.: 1%	Y: 48% e.e.: 39%

#### • Scale-up (300mg) (i.e. in Crystalline)

<u> </u>			
Methanol	Acetone	Ethylacetate	Acetonitrile
0,5eq. BINOL	0,5eq. BINOL	0,5eq. BINOL	0,5eq. BINOL
Y: 41%	Y: 28%	Y: 27%	Y: 48%
e.e.: 53%	e.e.: 52%	e.e.: 30%	e.e.: 56%
Methanol	Acetone	Ethylacetate	Acetonitrile
0,7eq. BINOL	1,0eq. BINOL	1,0eq. BINOL	0,7eq. BINOL
Y: 53%	Y: 40%	Y: 56%	Y: 52%
e.e.: -3%	e.e.: 62%	e.e.: 8%	e.e.:49%



#### • Solvent screening for reslurry with enriched material (e.e. 52%)

Acetone	Methylethyl ketone	Methylisobutyl ketone	2-Butanol	n-Butylacetate	t-Butylmethyl ether
Y: 29%	Clear solution	Y: 34%	Y: 69%	Y: 67%	Y: 50%
e.e.: 97%		e.e.: 53%	e.e.: 50%	e.e.: 87%	e.e.: 52%

 $\Rightarrow$  Acetone and n-butylacetate selected for further optimizations

 $\Rightarrow$  Design of Experiment (DoE)

• Solubility measurement to set DoE boundaries

	intermediate			(S)-BINOL	
	O°C	20°C	40°C	0°C	20°C
Acetone		>200g/L		>100g/L	>220g/L
n-Butylacetate	81g/L	136g/L	>200g/L	>100g/L	>140g/L



- DoE in n-butylacetate was not efficient enough (max. e.e.: 48%)
- DoE in acetone
  - Full Factorial Design with 3 parameters  $\rightarrow$  8 experiments
  - Completed with a Central Composite Design  $\rightarrow$  11 experiments (incl. 5 center points)

Parameter	-1	+1	-1,66	0	+1,66
A – Concentration	200 mg/mL	300mg/mL	166 mg/mL	250 mg/mL	333 mg/mL
B – Equivalents of BINOL	1	1,5	0,83	1,25	1,67
C – Isolation temperature	0°C	20°C	-7°C	10°C	27°C





- No effect of the isolation temperature on the efficiency (compensation yield/e.e.)
- Good reproducibility of the center points: Efficiency=72,1% ±2,1%



• Confirmatory runs (10g) using center point conditions but varying the isolation temperature confirmed the results of the DoE

isol. temp.	yield	e.e.	S
0°C	61,2%	62,8%	76,9%
10°C	54,8%	61,8%	67,7%
20°C	49,6%	74,2%	73,6%

• No total resolution could be obtained from the co-crystal formation

 $\Rightarrow$  The optically enriched co-crystal needs to be recrystallized  $\Rightarrow$  Solubility measurement



• First solubility of both diastereomeric co-crystals was measured

Cocrystal	Solvent	Solubility (g/L) at 20°C
	Acetone	71
(R)-enantiomer/(S)-BINOL	n-Butylacetate	38
	Acetonitrile	14
	Acetone	271
(S)-enantiomer/(S)-BINOL	n-Butylacetate	84
	Acetonitrile	97

- In acetone it needs to be too concentrated to reach acceptable yield
- n-butylacetate and acetonitrile are well suited for recrystallization
- n-butylacetate allows a complete dissolution with less solvent (higher solubility, higher boiling point)

 $\Rightarrow$  Building of Ternary Phase Diagram in n-butylacetate



- Determination of the eutectic composition and solubility
  - Solubility measurement of mixtures of both diastereomeric co-crystals, with excess of both
  - Measure of the solubility and composition of the solution



- Cleavage of the co-crystal
  - The intermediate is neutral and has a low solubility in water
  - BINOL presents a moderate acidity and its sodium salt has a pretty good solubility in water
  - Cleavage was done with 1N NaOH
  - The e.e. improved up to 96-98%

#### Summary of the results

	TECLAB	Multikilo
Amount of racemate	10 g	19 kg
e.e. after co-crystalization	63,0%	78,0%
e.e. after recrystallization	91,8%	96,0%
e.e. after cleavage	96,4%	97,7%
Overall yield	40,5%	37,8%
Efficiency	78,1%	74,0%



#### • Does it work with BINOL derivates or analogs

		API : CCF	API : CCF	API : CCF	API : CCF	API : CCF	API : CCF
		1:1	1:1	1:1	1:1	1:1	1:1
		NH <sub>2</sub>	ОН		Br	Br	ССОН
		NH <sub>2</sub>	ОН		Вг	OH Br	ОН
		#1	#2	#3	#4	#5	#6
Methanol	А					Form I	Form I
Dichloromethane	В					Form II	Form I
Acetone	С						mixture of forms
Acetonitrile	D						Form I
Toluene	Е					low crystallinity	Form II
Ethyl acetate	F						mixture of forms
Isopropyl alcohol	G						Form II
Ethanol	Н					Form I	mixture of forms
MTBE	J					low crystallinity	Form II

new crystalline form

physical mixture

oiled out - no crystals observed

- 2 factors in the formation of the co-crystal:
- Binaphtyl
- Phenol

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#### • Solubility of Form II and Form A

starting Form/solvent	Toluene		Acetonitril	
	Solubility (g/L) @ RT	isolated Form	Solubility (g/L) @ RT	isolated Form
Form II	50	Form II	14	Form I
Form A	50	Form A	97	Form A

- DSC tells us that Form II is the most stable form
- Solubility tells us Form I is the most stable form
- Slurry of Form II in different solvents



- Optical resolution of a neutral compound by co-crystallization is possible and mainly not different as a normal acid-base chiral resolution
- In the end, unusual intermediate forms:



- General remarks:
  - Cleavage method if needed can be tricky
  - Co-crystallization is a viable alternative to chiral chromatography
  - Do not limit to 1 solvent
  - And always characterize your solid, it may hide a surprise!!!



# 4<sup>th</sup> case study Optical resolution of an API



### Optical resolution of an API

- Late introduction of the chiral centers
- Reactive intermediates – Impossible to use carboxylic acids
- Co-crystal screening done on enantiopure compound (distomer of the API)
- Screening of both enantiomers of

classical chiral acids, amino-acids and BINOL with the enantiopure distomer of the API

New XRPD pattern only with R-BINOL





## Optical resolution of an API

- Solvent screening → 3 different stoichiometries API:BINOL(2:1, 1:1, 1:2)
- Best resolution in Methanol with 1:2 cocrystal
- Optimization through DoE
- Scale-up to 20g:
  - Primary co-crystal formation
    - 77% enantiomeric excess
    - 47% yield
  - Reslurry in ethylacetate:
    - 98% enantiomeric excess
    - 84% yield → 40% yield over the two steps
- Cleavage:
  - Unable to cleave with NaOH (as in case study #3)
  - Formation of a chloride salt of the API, which dissolves in water
  - − Extraction of BINOL in an organic phase → possible recycling of BINOL
  - 99,9% eantiomeric excess
  - 84% yield → 33% overall yield

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- IPT Lab
- Drug Discovery Science Department
- Technobis



# Thank you for your attention.

## **Questions?**



# Back-up



#### Screening methodology





- Close related to mechanochemistry (milling, compression)
- Centrifuge vials are filled with 50/100mg compound and 1.0/2.0 equivalent cocrystal former (CCF). A few  $\mu$ L of solvent are added.
- The vials are finally placed on a floating foam platform and sonicated for 10 to 30 minutes.
- Each vials is analyzed per XRPD to identify new patterns.

Morisson et al., OPRD, 2013, 17, 533-539



## Which type of racemate ? Ternary phase diagram (TPD)



**conglomerate** (5-10%) mechanical mixture of crystals of the two pure enantiomers





racemic compound the two enantiomers are present in equal quantities in a well-defined arrangement in the crystal lattice.



XRPD same as that of (R) or (S) XRPD of (r) different from (R) (R)

or (S) enriched in solid if e.e. > e.e.<sub>EU</sub> = 0% onfirmation with slurry exp.s Solvent A' b A' c A' c R r s

solid solution (<1%) two enantiomers coexisting in an unordered manner in the crystal



#### enrichment in not feasible

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#### enriched in solid if e.e.<sub>0</sub> >



# Some bibliography



#### Literature review: Purification

 Billot et al. (Org. Process Res. Dev., 2013) presented the purification of an API (SAR1) through cocrystallization and its scale-up at kilogramscale.



- Crude SAR1 has to be recovered after three telescoped reactions from a dark solution containing only 60% of the target molecule.
- Chromatography, adsorption and repeated crystallization didn't allow a purity higher than 90%.
- High solvatomorphism, but difficulties to isolate them from reaction mixture.
- 5 cocrystals: oxalic, malonic fumaric succinic and benzoic acid





#### Literature review: Purification

- Optimization of the benzoic acid cocrystal by ternary phase diagram determination in different solvents with pure API.
- Adaptation of the conditions to the crude API.
- Purity increased to 99,5%.
- Cleavage by reslurry in the area of the ternary phase diagram, where only the API crystallizes due to incongruent solubilities of the API and benzoic acid



#### Literature review: Purification

- Myerson's group uses the affinity of impurities to build cocrystals to complex and hold them in solution. They looked at different target/impurity systems:
- Ibuprofen/ketoprofen (Hsi et al., CrystEngComm, 2012)



• Benzamide/benzoic acid and cinnamide/cinnamic acid (Hsi et al., Cryst. Growth Des., 2013; Weber et al., Cryst Growth Des., 2014)



• Amoxicillin/4-hydroxyphenylglycine (Hsi et al., CrystEngComm, 2013)





#### Literature review: Isolation/Purification

• Lee et al. (Cryst. Growth Des., 2012) use cocrystallization of vanillin with phenazine to extract vanillin produced by microorganisms and rejection of vanillyl alcohol.



 Urbanus et al. (Cryst. Growth Des., 2010) mimic the recovery of cinnamic acid from a fermentation process by cocrystallization with 3nitrobenzamide





- Springuel et al. (Cryst. Growth Des, 2014, 3996-4004) studied the propensity of chiral APIs to build cocrystal with chiral agents.
- Compared to diastereomeric salt formation, which is formed with both enantiomers, cocrystals are in a large majority enantiospecific, means that only one enantiomer is forming a cocrystal.
- Secondary interactions (π-stacking, hydrophobicity, electrostatic potential) important parameters
- Eddleston et al. (Chem.Commun., 2012, 48, 11340-11342) reported on one hand how racemic malic acid could build distinct diastereomeric cocrystals with L-tartaric acid but on the other hand how L-malic acid no cocrystal with racemic tartaric acid build.
- ⇒Stability of the racemate sometimes higher than the one of cocrystal







No.	Compound <sup>#</sup>	From crystalline phase			No.	Compound#	From crystalline phase				
	•	e.e.	Yield	S		•	e.e.	Yield	s		
1	OH	0	0.48	0	12	QH C	0.61	0.71	0.433		
2	→ →	0	0.59	0	13	он С	No solid phase		ohase		
3	, C	0.20	0.63	0.126	14		0.50	0.74	0.370		
4		0.28	0.91	0.255	15	<sup>OH</sup> <sup>M</sup> <sup>o</sup> ↓	0.15	0.60	0.090	HOLO	
5	Ç.	0.05	0.19	0.009	16	он С С С С С С С С С С С С С С С С С С С	0.44	0.66	0.290		
6		0	0.11	0	17	$\langle \gamma \rangle$	0	0.46	0		
7	QH ∕∕∕	0.07	0.66	0.046	18	<b>CH</b>	0.83	0.45	0.374		
8	<sup>OH</sup> ∼∕	0	0.93	0	19	, Contraction of the second s	No	complex f	ormation		
9	Сустон	0.10	0.34	0.034	20	ŮH ↓	No	complex f	ormation	Racemic alcohols	
10	CI	0.35	0.74	0.259	21	он С	No solid phase		ohase	Kassal et al. (Tetranedron., 2000)	
11	Br	0.56	0.63	0.353	22	OH OH	0.21	0.55	0.115		

		Stoichio-		Enantiomeric excess (% e.e.) <sup>b.c</sup>		
Racemic compound	Clathrate former	metry (clathrate)"	Yield (%) <sup>b</sup>	via crystallization <sup>d</sup>	via sorption	
Å	la	2:1	90	14.5 (R)	3.0( <i>R</i> )	
ů,	1a	2:1	86	>99 (R) [28.0] <sup>8</sup>	71.0(R)	
°) تر	1a	2:1	67	$12.0(R)[3.1]^9$	15.0 (R)	
۵.	la	2:1	90	9.3 (R) [5.0] <sup>8</sup>	25.0 (R)	
	la lc	1:1 1:2	50 80	53.0 (S) 2.1 (R)	-	
Urans OH	la lc	1:1 1:2	64 85	35.3 (S) 18.3 (R)	Ξ	
NH <sub>2</sub>	1a	1:1	75	8.0 (R)	2.7 (R)	
$\bigcap_{n \in \mathbb{N}}$	la lc	2:1 2:1	e e	e e	8.5 (S) 16.2 (R)	
<u>ن</u> فني	1a	1:1	72	32.8 (5) [4.4]8	_	
<b>O</b> <sup>ŝ</sup>	la	1:1	77	30.0 ( <i>R</i> )	_	



3-Methylcyclohexanone Weber et al. (J.Chem.Soc., 1992)

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#### Literature review – Racemic resolution BINOL a potent CCF



- 1,1'-Bi-2-naphtol (BINOL)
- is a general ligand/additive for enantioselective synthesis
- presents axial chirality
- Can build cocrystal through  $\pi$ -stacking and hydrogen bonding



#### Literature review – Racemic resolution BINOL a potent CCF



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