

IIA Vancouver Chapter



Happy 70th anniversary to the Institute of Internal Auditors.

Established in 1941, The Institute of Internal Auditors (IIA) is an international professional association with global headquarters in Altamonte Springs, Fla., USA. The IIA is the internal audit profession's global voice, recognized authority, acknowledged leader, chief advocate, and principal educator. Members work in internal auditing, risk management, governance, internal control, information technology audit, education, and security.

The **Vancouver Chapter** of the IIA was formed in the Spring of 1948, and a Charter was issued to the Chapter on **September 23, 1948**.

Inside This Issue

2	President's Message
3	Getting to Know Your Representatives
4	Professional Guidance
5-6	Events Calendar
6,8	Publications IIA Auditor & IIA Today
7-9	Chapter, Membership & Members' News
10	Marketing
11	2011-2012 IIA Board of Directors Listing



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President's Message

Cindy Kailly-Smith



On behalf of the Board of the Vancouver Chapter I would like to wish members and their families the best of the holiday season and a safe and happy New Year. The holidays are a great time to reflect on the past, think of our blessings and plan into the future with hope and confidence.

On that note, I want to thank you for your membership and support to the Vancouver Chapter – it is very much appreciated and important as we continue to build our profile as a profession. As one of the ways we are giving back to our members, the Chapter held a Cocktail Reception and Networking Social earlier this month which was enjoyed by all that attended.

In November the Vancouver Chapter, in partnership with IIA Canada, held our first Fall training week. I was able to come out and meet some of the attendees, many who were from out of town, and received positive feedback on the event.

Your Chapter will continue to offer luncheons and day seminars into 2012, providing local, affordable options for CPE credits. On January 19 & 20th we are hosting a two day session on Risk Management & Oversight. The presenter, Tim Leech received positive reviews when this session was held in Calgary and Winnipeg. Be on the lookout for event notices coming from Communications Chair, Jay Amoui and check our website regularly as we update our schedule of dates and topics planned for the year. Also look forward to some big event news that I will be able to share with you early next year.

Enjoy your holidays with family and friends, share a song and a festive meal and let's all be hopeful that 2012 will be a year full of blessings. As always, I am here for your questions, comments or suggestions so feel free to reach out at anytime.

Regards,

Cindy Kailly-Smith, CMA, CIA, CRISC
IIA Vancouver Chapter President
ckaillysmith@bclc.com



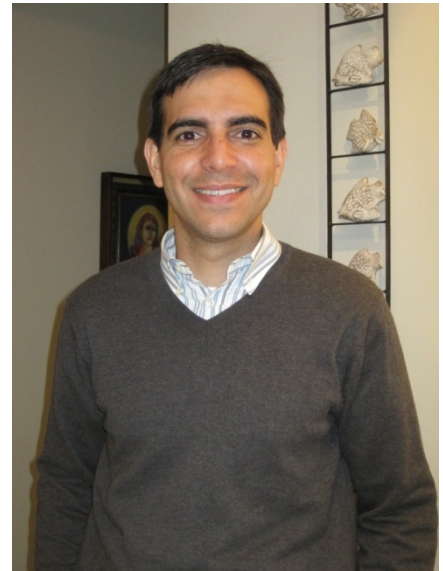
Getting to Know Your Representatives

Daniel Torres, Employment Co-ordinator & Social Media

I moved to Canada from Brazil with my wife in August 2009. My wife had come to Vancouver to study English in 2003/2004 and loved it here so in 2007 we decided to apply for the immigration process. It took over two years until we received our visas and a few months more afterwards to get ready for the big move.

Before coming to Canada I was working in Advisory Services at Ernst & Young in my hometown, Recife. But finding a job here was much harder than we had anticipated. The recession was still hitting the Canadian job market and in comparison to other Canadian candidates I had the disadvantage of not having local references or the Canadian job experience.

A couple of months after settling in and once I got a better understanding of the job market and the specific requirements for the internal audit positions that I was looking for, I joined the Vancouver Chapter of the IIA. It was definitely the best decision I could have made. Through the Vancouver Chapter I received the support that I needed to prepare for the CIA and CCSA exams and had the opportunity to network with other audit professionals. In addition, the Certification Chair at the time, JB Helip, became my mentor through the ISSofBC Mentoring Program and provided great support and advice in my job search.



It took me one year from the day we arrived in Vancouver to become employed in an internal audit position. In the meantime, in addition to preparing for and passing the CIA and CCSA exams, I had the opportunity to work and be part of the Olympic Winter Games and to volunteer at different organizations. In 2010 I volunteered to create a LinkedIn group page for the Chapter and in 2011 I was invited to become a board member, responsible for the chapter's social media initiatives. Our objective in Social Media is to make use of available tools such as LinkedIn and Facebook to communicate with our members and also create a space for members to share information and collaborate.

Amazing things have happened since we landed in Vancouver almost two and a half years ago and we are very happy with our decision to move to Canada. We are thankful for all the support we've had from various people and organizations and I am particularly thankful to the Vancouver Chapter and its members for helping me continue my internal audit career in Canada.

Daniel Torres, BBA, CIA, CCSA is the Employment Coordinator and Social Media at The Institute of Internal Auditors - Vancouver Chapter and an Audit Services Advisor at ICBC. He has 6 years of experience in internal audit and advisory services and has worked with clients from various industries including mining, oil, retail, logistics, telecommunication, agricultural, banking, and industrial and consumer products. Outside work, Daniel enjoys spending time with his family and friends, outdoor activities and travelling.

Daniel



Professional Guidance

December 15, 2011

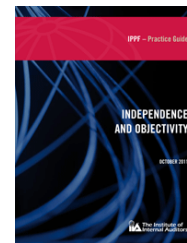
[IPPF Practice Guide Released: Reliance by Internal Audit of Other Assurance Provider](#)

This practice guide utilizes the fundamental principles established by the Standards to provide a process for valuing the work of others and assessing the reliability of assurance providers. Ultimately, responsible coordination attracts greater reliance on internal audit, decreasing the cost of compliance and increasing the efficiency for providing assurance.

To view the revised Standards, as well as the marked-up version showing changes from the existing Standards, visit <http://www.theiia.org/guidance/standards-and-guidance/ippf/standards/>.

Practice Guide – October 2011:
[Independence and Objectivity](#)

The importance of independence and objectivity, which has always been significant for internal auditors, continues to increase among the challenges facing internal audit activities in the constantly changing business environment.



Quick Links

For your convenience, here are some useful IIA links. For quick access to these sites, click on the icons:

[Vancouver Chapter](#)



[Vancouver Island Chapter](#)



[IIA Vancouver LinkedIn Page](#)



[IIA Vancouver Facebook Page](#)



[IIA Canada](#)



[CIA Certification](#)



[IIA Global](#)



[Member Exchange](#) (login required)
[The IIA Audit Career Center](#)



Event Calendar 2011-2012

Event	Date	Venue
January 2012		
CIA certification information session (Evening Session)	January 19	Vancouver City Hall
Risk Management and Risk Oversight (2 Day Workshop) <ul style="list-style-type: none"> • Day 1 – Emerging Expectations and Core Principles • Day 2 – Complying with IIA Standard 2120, How to Assess and report on the Effectiveness of Risk Management Processes Presenter – Tim Leech, FCA CIA CCSA CFE, Managing Director Global Services, Risk Oversight	January 19 - 20	BCIT Downtown Campus
February 2012		
Integrated IT Auditing (1 Day Workshop) - INTERIOR Presenter – Rod Scott, R.G.Scott & Associates LLC	February 7	BCLC Kamloops
Key Anti-fraud Programs and Controls: Best Defences Against Fraud (Lunch and Learn) Speaker – Suzanne Schulz, Associate Partner KMPG LLP, Vice President, KPMG Forensic	TBD	TBD
Public Sector Roundtable (Sponsored & Hosted by PwC) (Tailored to IA Executives and Directors in the Public Sector - contact the programs team at iiavancouver@gmail.com)	TBD	PwC
March 2012		
Continuous Auditing (2 Day Workshop) Presenter - Robert Mainardi, Mainardi & Associates	March 26 & 27	BCIT Downtown Campus
CIA certification information session (Evening Session)	TBD	Vancouver City Hall

Event	Date	Venue
April 2012		
Extreme Makeover of a Small Audit Department (Lunch and Learn) Speaker – Derrick Li, Division Manager, Risk Advisory & Audit Services, Metro Vancouver	TBD	TBD
Social Networking Reception	TBD	Sutton Place Hotel
May 2012		
Facilitation Skills (2 Day Workshop) Presenter - Lisa Dorian, PowellDorian	TBD	BCIT Downtown Campus
IIA Vancouver - Annual General Meeting	TBD	Sutton Place Hotel

Internal Auditor

Internal Auditor is the world's leading publication covering the internal audit profession, providing global perspectives on risk, control and governance.

Internal Auditor magazine shares timely, helpful, indispensable information for professionals who want to keep pace with the diverse, dynamic field of internal auditing. *Internal Auditor* content may be reprinted with the appropriate permission.

Featured Articles in the December 2011 Issue

- [Raising Internal Audit's Potential](#) - By becoming a partner with the business, auditors can leverage their expertise to help the organization improve its operations.
- [Corporate Victims of Identity Theft](#) - Fraud expert [David Coderre](#) responds to real-life fraud cases — ripped from the media headlines — by sharing tips aimed at helping internal auditors navigate the massive fraud universe and deter would-be fraudsters.
- [So You Want to Make a Change?](#) - Self-employment is an option many auditors have likely considered at one time or another. A 10-year consulting veteran examines how to decide whether going solo is right for you.
- [Executives Are Bullish About the Effectiveness of Their Organization's Information Security Program](#) - This and other findings of a large-scale survey recently conducted by PricewaterhouseCoopers and *CIO* and *CSO* magazines may provide useful benchmarks to CAEs who plan to audit their organization's information security strategy and related activities during the coming year.

[Click Here](#) for instant access to the December 2011 issue. Member login is required.

IIA Platinum Chapter Status



We thank you for helping the Vancouver IIA Chapter in attaining the “Platinum” Chapter achievement designation for the 3rd year in a row. In order to achieve this, the Chapter must have met or exceeded the IIA’s expectations and guidelines in the areas of administration, service to members, and service to the profession for a minimum of 10 chapter years.

We are always calculating our Chapter Achievement Program points and we need to know when our members participate in any of the following activities:

- Speaking on an internal audit topic at a meeting, seminar, or CPE class. (1 CAP point per 50 minutes)
- Submitting and/or publishing an article to a trade publication such as The Internal Auditor, CAE Bulletin, IIA Educator, and other specialty newsletters. (5 to 20 CAP points)
- Writing exam questions for the CIA, CCSA, CFSA, or CGAP exams. (10 CAP points)
- Participating on a Quality Assessment Review team (non-compensated). (10 CAP points per review)
- Advocacy efforts to actively and publicly communicating to clearly articulate and reinforce internal auditing’s role, position, and value; so as to influence key stakeholders regarding the necessity of internal auditing for good governance and organizational success. (2-10 CAP points)

If you have participated in one or more of these activities, please e-mail the details to the Vice President, **Elaine Dianko** at Elaine.Dianko@worksafebc.com

Message from the Newsletter Chair

I want to thank all the avid readers of the Audit Focus IIA Newsletter for another year. It’s been a year of success and exciting news, programs and events and the Vancouver Chapter owes it all to our members.

Enjoy the Christmas holidays with all your family and friends and to remain safe! I look forward to shouting out to you again via the newsletter in the new year!



Memberships - Soar to Success?

Are you ready for your chapter to **Soar to Success?**

The key to a successful chapter is having a pool of individuals who are dedicated and engaged, committed not only to the advancement of the profession, but to the success of their local chapter network. Would you like to help grow the membership and enhance the chapter's ability to **Soar to Success?**

During a nine week campaign from October 5, 2011 to December 2, 2011, we have three exciting membership offers to help us and your organization soar.

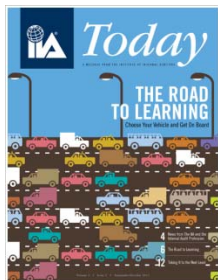
- *Individual membership at 70% of the regular rate for new IIA members, and we'll waive the application fee*
- *Audit group membership discounted 20% for organizations establishing new group memberships*

Student Success membership rate of US \$42.

For further information, please contact the Membership Chair, [Magdalina Ivanova](#).

Read *IIA Today*, today, and online...

Members can login and catch up by reading online the latest edition of [IIA Today](#)



Current Topics include:

- [The Road to Learning: Choose Your Vehicle and Get Onboard](#)
- [Taking it to the Next Level](#)

CIA Designation & Information Sessions

Attention CIA Exam Candidates

The Institute of Internal Auditors (IIA) is recognized as the worldwide leader, authority, and principal educator for internal auditing professionals. Earning an IIA certification symbolizes your competency, commitment to and achievement in internal auditing.

If you are studying for the CIA exam and would like to get-together with other fellow CIA candidates for a group study session, contact the Certification Chair, **Jenny Lau** at jenny.lau@vancouver.ca. Let her know which part of the exam you're studying for, and we will look to connect you with some study buddies.



- Comprehensive information regarding the CIA exam – [Certified Internal Auditor](#)
- Resources to assist in your preparation – [CIA Study Aids and Resources](#)

The Chapter hosts CIA Information Sessions throughout the year. These free interactive sessions provide information to those planning to write or already in the process of writing the CIA designation examination.

The sessions include a presentation about the CIA designation requirements, and the content and form of the CIA examination. They highlight available study-guides and provide tips for preparing for the exam. A panel of CIAs may also share their experiences and answer questions.

Please stay tuned for the upcoming session date. Our next session will be held on January 19, 2011 at the Vancouver City Hall building for interested potential CIA candidates. For more further information on future CIA information sessions, please contact [Jenny Lau](#).

Members' News

Congratulations to our Membership Chair, Magdalina Ivanova and her husband on their birth of baby girl Siana Michelle Chapman on November 24th.



If you would like to share any news with the IIA Vancouver Members about your promotion, designation, etc, please contact the newsletter chair, Allan Chee @ allan.chee@canfor.com

Posting Job Opportunities

To post job opportunities on the Chapter website, please submit the job posting in word format along with billing information as to whom the electronic invoice should be sent. An email will be sent to our members directing them to the posting on the website. Once the electronic invoice is received it is payable by cheque or money order to the Institute of Internal Auditors, Vancouver Chapter. The cost of this is \$125 inclusive of tax. For more information contact **Annette Bieberstein** at Annette.Bieberstein@icbc.com

Advertising Fee Information

Job Advertisements:	Rate
Email and Website for 2 months. (Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted).	\$ 125 inclusive of tax
General / Business Advertisements	
Newsletter and Website (Submissions must be in a MS Word text format. Only simple Graphics used for corporate logos in a GIF or JPG format are accepted). (We reserve the right to refuse advertisement that the Chapter Executives deem unsuitable for our members.)	\$ 50 inclusive of tax
To place an ad, please contact Annette Bieberstein at Annette.Bieberstein@icbc.com	

Marketing: Sponsorship Opportunities

The Chapter welcomes corporate sponsors interested in supporting our various professional education programs and luncheons. Support can either be financial or donations-in-kind.

Contact Angela Reddy at angreddy@deloitte.ca for more information.

We would like to thank **CCH TeamMate** as the Chapter Sponsor. Our sponsors are important partners in helping the Chapter fund and deliver our many programs.

Vancouver Chapter Board 2011-2012

Officers

President	Cindy Kailly-Smith, <i>BCLC</i> Email: ckaillysmith@BCLC.com 604.228.3129	Treasurer	Yvette Tecson, <i>Metro Vancouver</i> Email: yvette.tecson@metrovancover.org 604.436.6987
Vice-President	Elaine Dianko, <i>WorksafeBC</i> Email: elaine.dianko@worksafebc.com 604.276.3215	Program Chair	Warren Newton, <i>PowellDorian</i> Email: wnewton@powelldorian.com 778.588.7259
Audit & Governance	Patrick Seeley, <i>BC Liquor Distribution</i> Email: patrick.seeley@bcldb.com 604.252.3222	Secretary	Pat Howard Email: pat.howard@bchydro.com 604.623.4417
Governor	Wayne Thompson, <i>Ernst & Young</i> Email: wayne.thompson@ca.ey.com 604.891.8361	Governor	Raimonda De Zen, <i>ICBC</i> Email: raimonda.dezen@icbc.com 604.982.4716

Leadership Chairs and Committee Members

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	Derrick Li, <i>Metro Vancouver</i> Email: derrick.li@metrovancover.org 778.452.4632	Membership	Magdalena Ivanova, <i>Independent Risk Mgmt. Cons.</i> Email: magdalena_i@hotmail.com 604.728.9717
Programs - Public Sector & Industry	Norma Lewis, <i>Timberwest</i> Email: lewisn@timberwest.com 778-668-4699	Marketing	Angela Reddy, <i>Deloitte</i> Email: angreddy@deloitte.ca 604.640.4976
Programs - Financial Services	Darren Boyer, <i>HSBC</i> Email: darren_boyer@hsbc.ca 604.641.2644	Communications & Distribution	Jay Amoui, <i>Ernst & Young</i> Email: jay.amoui@ca.ey.com 604.891.8369
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Interior Programs	Sohaib Muneer, <i>KPMG</i> Email: smuneer@kpmg.ca 604.691.3287	Website Administrator	Zoilo Herico, <i>Coast Wholesale Appliances</i> Email: zherico@coastappliances.com 604.301.3413
	Kuldip Mann, <i>PRA</i> Email: kuldipm@pragroup.ca 604.764.6900	Employment Coordinator	Annette Bieberstein, <i>ICBC</i> Email: annette.bieberstein@icbc.com 604.661.2713
		Employment Coordinator & Social Media	Daniel Torres, <i>ICBC</i> Email: daniel.torres@icbc.com 604.982.6429