

# Axon Guidance Pathways and the Control of Gene Expression

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**Abstract:** Axons need to be properly guided to their targets to form synaptic connections, and this requires interactions between highly conserved extracellular and transmembrane ligands and their cell surface receptors. The majority of studies on axon guidance signaling pathways have focused on the role of these pathways in rearranging the local cytoskeleton and plasma membrane in growth cones and axons. However, a smaller body of work has demonstrated that axon guidance signaling pathways also control gene expression via local translation and transcription. Recent studies on axon guidance ligands and receptors have begun to uncover the requirements for these alternative mechanisms in processes required for neural circuit formation: axon guidance, synaptogenesis, and cell migration. Understanding the mechanisms by which axon guidance signaling regulates local translation and transcription will create a more complete picture of neural circuit formation, and they may be applied more broadly to other tissues where axon guidance ligands and receptors are required for morphogenesis. *Developmental Dynamics* 000:000–000, 2017. © 2017 Wiley Periodicals, Inc.

**Key words:** axon guidance; neural development; Slit; Robo; Netrin; Dcc; ephrin; semaphorin; plexin; local translation; transcription factor; transcriptional regulation; receptor signaling; neural development

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## Introduction

The precise establishment of neural circuits during development is essential for coordinated animal behavior. Cell migration, axon guidance, and synaptogenesis are all processes required for proper neural circuit formation, and axon guidance ligands and receptors regulate these processes. At the tip of the axon is the highly motile growth cone, which encounters a variety of diverse cues, mainly attractants and repellants, as it navigates through its environment. Extracellular cues interact with receptors expressed on growth cones to mediate axon outgrowth, growth cone collapse, and turning. The following axon guidance cues and receptors will be the focus of this review: semaphorins and their neuropilin and plexin receptors; Slits and their roundabout (Robo) receptors; netrins and their deleted in colorectal carcinoma (Dcc), Frazzled (Fra, in *Drosophila*), Unc40 (in *Caenorhabditis elegans*), neogenin, and Unc5 receptors; and ephrins and their Eph receptors (Hou et al., 2008). Sonic hedgehog, Wnt, bone morphogenetic protein, and other signaling pathways (Yam and Charron, 2013) have also been shown to play roles in axon guidance, and we refer the reader to previous reviews that discuss these pathways (Bovolenta, 2005; Charron and Tessier-Lavigne, 2007;

Sanchez-Camacho and Bovolenta, 2009). We will not cover these pathways in this review, as their involvement in gene regulation is already well studied and reviewed.

Most axon guidance receptors impinge on cytoplasmic proteins to regulate Rho family small GTPases, which in turn modulate cytoskeletal and membrane dynamics through diverse downstream effectors. Thus, Rho family GTPases can integrate signals from multiple cues to direct growth cone dynamics (Luo, 2002; O'Donnell et al., 2009). Recent reports implicate the SCAR/WAVE complex in axon guidance and suggest that SCAR/WAVE may interact directly with axon guidance receptors through the conserved WIRS motif to regulate Arp2/3-dependent actin polymerization (Zallen et al., 2002; Lin et al., 2009; Bernadskaya et al., 2012; Chen et al., 2014). For comprehensive reviews on actin and microtubule dynamics in navigating growth cones and axons, we refer the reader to reviews that explore this topic (Krause et al., 2003; Lowery and Van Vactor, 2009; Dent et al., 2011; Vitriol and Zheng, 2012; Gomez and Letourneau, 2014; Spillane and Gallo, 2014; Stankiewicz and Linseman, 2014).

The majority of studies on axon guidance receptor signaling have been focused on how axon guidance receptors signal locally to regulate the cytoskeleton and growth cone plasma membrane. In contrast, a smaller body of work has demonstrated that axon guidance cues and receptors also act non-canonically to control cell proliferation, cell migration, and axon guidance by regulating gene expression through translational or transcriptional

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mechanisms. In this review, we aim to synthesize the studies that investigate these mechanisms in an attempt to demonstrate that axon guidance ligands and receptors broadly function to regulate gene expression across a range of neuron subtypes, developmental processes, and organisms.

### Part 1: Local Translation

#### *Local translation is required for axon guidance in vitro*

Axons continue to grow and respond to guidance cues even after being severed from their cell bodies (Harris et al., 1987), indicating that all of the required signaling components to mediate these responses are present in growth cones. The observation that growth cones also contain messenger RNAs (mRNAs), translation machinery, and molecules involved in protein degradation (Tenynson, 1970; Bassell et al., 1998; Campbell and Holt, 2001) led to the suggestion that protein synthesis and degradation may occur locally in growth cones. Indeed, vertebrate neurons translate proteins in their growth cones and dendrites (Davis et al., 1992; Crino and Eberwine, 1996). In vitro, specific axon guidance cues can rapidly induce local protein synthesis in growth cones and axons to affect axon turning and collapse; preventing protein synthesis blocks these responses (Farrar and Spencer, 2008; Lin and Holt, 2008). Thus, local translation in growth cones and axons is clearly necessary in order for some axon guidance cues to modulate growth cone behavior. For example, the axon guidance cues *Sema3A*, *Slit2*, and *netrin1* can all induce local protein translation, and this is required to steer axons in both intact neurons and severed axons in vitro (Campbell and Holt, 2001; Wu et al., 2005; Leung et al., 2006; Piper et al., 2006; Lin and Holt, 2007).

#### *The requirement for local translation depends on cell type and the concentration of guidance cues*

Despite the fact that several independent studies demonstrated a role for local translation in guidance responses in vitro, the limited in vivo evidence and conflicting results from in vitro experiments caused significant skepticism in the field as to the importance of local translation in axon guidance. The majority of experiments were initially done with *Xenopus laevis* retinal ganglion cell (RGC) axons, but later reports tested the requirement for local translation in axon guidance in other organisms and neuronal subtypes.

In one report, which contrasted substantially from earlier work, Roche and colleagues (2009) found that *Sema3A*-mediated growth cone collapse in cultured chick dorsal root ganglion (DRG) neurons could still occur in the presence of protein translation inhibitors, strongly suggesting that growth cone responses to *Sema3A* do not strictly depend on protein synthesis. To account for the differences seen in the requirement for local translation in axon guidance, the authors speculated that different neuronal populations might respond differently to guidance cues as a result of their intrinsic properties as well as the extrinsic cues the neurons encounter (Roche et al., 2009).

More recently, this apparent conflict has been revisited, leading to the discovery that different concentrations of a ligand that growth cones encounter can result in significant differences in the requirement for local translation (Manns et al., 2012; Nedelec et al., 2012). In chick DRG neurons and mouse and human embryonic stem cell-derived spinal motor neurons (ES-MNs),

growth cone collapse in response to treatment with low *Sema3A* concentrations (< 100 ng/ml) requires local protein synthesis (Manns et al., 2012; Nedelec et al., 2012). In contrast, when neurons are treated with high *Sema3A* concentrations (> 625 ng/ml), growth cone collapse still occurs, even when protein synthesis is blocked (Manns et al., 2012; Nedelec et al., 2012). Human ES-MNs and mouse brachiothoracic motor neurons show the same bimodal concentration-dependent responses to both *Sema3A* and *Sema3F*, suggesting that multiple semaphorins induce local translation. Strikingly, one of the neuronal subtypes analyzed, cervical ES-MNs, lacks the local protein synthesis-dependent response to low *Sema3A* concentrations. This is thought to be due to lack of local protein synthesis machinery in the growth cones of these neurons (Nedelec et al., 2012).

A better understanding of the *Sema3A* signaling pathway may provide insight into these concentration-dependent responses. *Sema3A* treatment leads to the activation of glycogen synthase kinase 3 beta (*Gsk3b*), which appears to act downstream of *Sema3A* regardless of the concentration, and *Gsk-3* activation is necessary for *Sema3A*-mediated growth cone collapse (Manns et al., 2012). At low concentrations, *Sema3A* also signals through the mechanistic target of rapamycin (*Mtor*) to activate local protein synthesis of *Rhoa* (Wu et al., 2005; Manns et al., 2012). Inhibiting *Gsk-3* activation results in an increase in protein synthesis, as demonstrated by the increased fluorescence of phosphorylated *Eif4ebp1* (*4EBP1*), a marker for translation. This observation suggests that activated *Gsk3b* may antagonize *Mtor*. Therefore, high concentrations of *Sema3A* may lead to a significant increase in *Gsk3b* activity, which can overcome the need for local protein synthesis in *Sema3A*-mediated growth cone collapse by inhibiting *Mtor*, and thus protein synthesis. It is unclear how these guidance cue concentrations might relate to the in vivo concentrations of cues encountered by growth cones, but it is likely that differential concentration-dependent signaling outputs may serve to diversify axonal responses to a limited set of cues.

#### *Local translation of specific proteins are induced by guidance cues*

It is clear that diverse guidance cues can induce local translation and that this activity is important to affect downstream signaling and axon responsiveness. We turn now to the consideration of the proteins that are specifically translated in response to different cues, and how in turn these proteins contribute to distinct axon guidance responses. In recent studies of cue-induced local translation, a number of distinct mechanisms that control how specific mRNAs are translated locally have begun to emerge (Table 1).

#### *Sema3A induces the local translation of RhoA and NF-protocadherin*

*Sema3A* has been reported to induce the local translation of two specific proteins, *Rhoa* and *NF-protocadherin* (*Nfpc*). In DRGs, *Sema3A*-mediated growth cone collapse depends on the *Rhoa* effector *Rock1* (*rho*-associated protein kinase), which acts downstream of axon guidance receptors to regulate cytoskeletal dynamics (Dontchev and Letourneau, 2002). Unsurprisingly, then, *Rhoa* activation is required for *Sema3A*-mediated growth cone collapse (Wu et al., 2005). Interestingly, *Rhoa* transcripts are

**TABLE 1. Targets and Mechanisms for Cue-dependent Local Translation**

Ligand	Receptor	Translation target	Mechanism
<b>Sema3A</b>	Nrp1	<i>Rhoa, nfpc</i>	?
<b>Slit2</b>	Robo2/3	<i>cofilin1</i>	Indirect: Slit2 signaling antagonizes the microRNA miR-124, resulting in the release of <i>cofilin1</i> mRNA
<b>netrin1</b>	Dcc?	<i>Beta-actin, Dscam, Sensorin</i>	?
<b>netrin1</b>	Dcc	?	Direct: interaction between Dcc and translational machinery

Sema3, Slit2, and netrin1 all induce local translation of specific mRNAs. Thus far, two mechanisms have begun to be elucidated: Slit2 indirectly induces local translation of *cofilin1* by antagonizing miR-182, and netrin1 causes Dcc to directly release translation machinery, allowing local translation to occur.

found in axons at higher levels than other transcripts and are localized in puncta throughout the axon (Wu et al., 2005). Sema3A treatment increases the fluorescence intensity of Rhoa protein, while growth associated protein 43 (Gap43), which is expressed at high levels in neurons during development, is not affected, suggesting that Sema3A specifically induces local translation of *Rhoa*. In addition, a translation reporter for Rhoa reveals that *Rhoa* mRNA is translated in growth cones following Sema3A treatment, and translation inhibitors block this effect (Wu et al., 2005). These experiments indicate that Sema3A induces local translation of Rhoa in DRG axons and growth cones.

In *Xenopus laevis* RGCs, Sema3A also induces the local translation of the cell-adhesion molecule Nfpc in vitro (Leung et al., 2013). Nfpc is necessary in RGC axons to maintain the correct levels of adhesion with the optic tract and helps guide RGC axons to their targets. In vivo imaging demonstrates the Sema3A-dependent local translation of an *nfpc* translational reporter in the growth cone, and the observation that a function-blocking antibody for the neuropilin 1 (Nrp1) receptor prevents this effect reveals a partial requirement for Nrp1 in this process (Leung et al., 2013). In summary, Sema3A induces the local translation of specific mRNAs, *Rhoa* and *nfpc*, in vitro, and in vivo imaging data strongly support the conclusion that this regulated translation is likely to contribute to axon guidance.

#### Slit2 induces the local translation of *cofilin1*

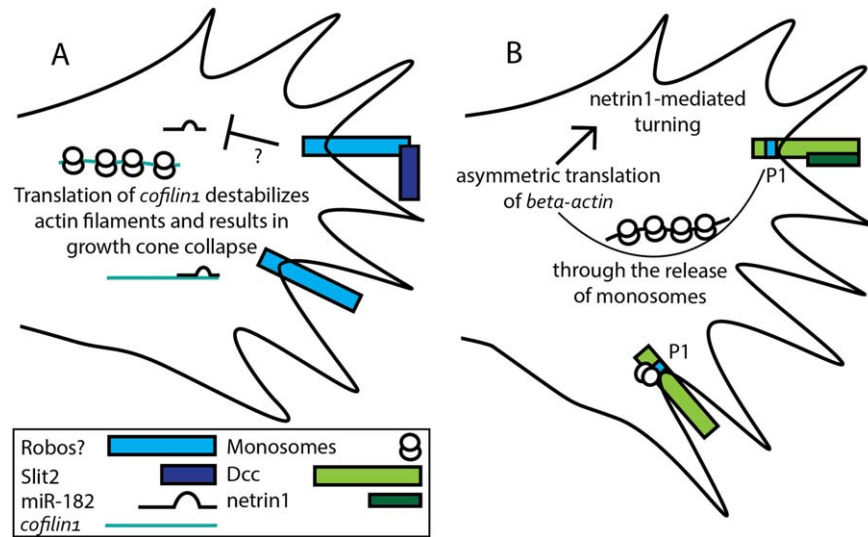
In *X. laevis* RGC axons, there is considerable evidence that slit2 can induce the translation of *cofilin1*, which destabilizes filamentous actin and may act downstream of Slit2-Robo signaling to cause axon retraction and collapse (Fig. 1A). *Cofilin1* mRNA interacts with Vg1RBP, an RNA-binding protein implicated in the localization of specific mRNAs to growth cones. Inhibitors of protein synthesis block Slit2-induced *cofilin1* translation and prevent growth cone collapse (Piper et al., 2006). In addition, a *cofilin1* translation reporter, where the 3' UTR of *cofilin1* mRNA is fused to a photoconvertible Kaede protein (Leung and Holt, 2008), is translated in response to slit2 (Bellon et al., 2017). Thus, Slit2 treatment induces local translation of *cofilin1* in RGC growth cones in vitro. One method for controlling the specificity of mRNAs translated in response to axon guidance cues could be a relationship between miRNAs with specific targets and axon guidance pathways. miR-182 is the most highly expressed miRNA in *X. laevis* RGC axons. In *slit* morphants, *X. laevis* RGC axons exhibit targeting defects in vivo, where RGC axons target

a wider area than in wild-type animals, and the loss of miR-182 in RGCs results in defects that resemble *slit* morphant phenotypes (Bellon et al., 2017). An algorithm to identify potential targets of miR-182 found *cofilin1* mRNA as a top target (Zivraj et al., 2010), suggesting a link between miR-182 and Slit-cofilin1 growth cone collapse. The loss of miR-182 causes an increase in *cofilin1* immunostaining intensity in RGC axons similarly to the fluorescence intensity visualized in control RGC axons treated with slit2, suggesting miR-182 can block *cofilin1* translation (Bellon et al., 2017). Unexpectedly, despite having increased *cofilin1* present in the *miR-182* morphant RGCs, their axons fail to turn away from Slit2 (Bellon et al., 2017). Perhaps a tighter regulation of where *cofilin1* is translated is required for Slit2-mediated growth cone repulsion, and this is lost when miR-182 is knocked down throughout the entire growth cone. Although these observations suggest that the effect of Slit2 on local translation is important in vivo, it must be pointed out that the effects observed upon miR-182 manipulation cannot be directly attributed to a role in Slit-dependent local translation. Nevertheless, these findings are among the strongest evidence for the in vivo importance for local translation in axon guidance. The ability of Slit2 to regulate miRNAs provides an intriguing mechanism to explain how specific mRNAs are selected for local translation.

To determine the receptor that Slit2 signals through, truncated Robo2 and Robo3 receptors that lack their cytoplasmic domains were expressed in RGC growth cones, causing elevated activity of miR-182. This observation suggests that Slit2 may require the Robo2 and Robo3 receptors in this process (Bellon et al., 2017). However, the use of these “dominant negative” receptors does raise the question of whether Robo2 and Robo3 are acting cell-autonomously in this context, as well as whether the dominant negative receptors are sequestering Slits away from other receptors or specifically blocking Robo2/3 activity. The use of morpholinos or RNA interference (RNAi) to knock down *robo2* and *robo3* in *X. laevis* RGCs would be useful to further confirm that Robo2 and Robo3 are the receptors involved in Slit2-dependent *cofilin1* translation.

#### *netrin1* induces the local translation of beta-actin and Dscam

Similar to Sema3A and Slit2, netrin1 has also been found to induce the local translation of proteins already implicated in axon guidance, beta-actin, and the cell-adhesion molecule Dscam. Beta-actin protein is highly expressed in growth cones



**Fig. 1.** Slit2 and netrin1: Different mechanisms to regulate local translation? **A:** A model for indirect regulation of local translation by the axon guidance cue Slit2. Slit2 causes the miRNA miR-182 to release *cofilin1* mRNA, potentiating *cofilin1* local translation and resulting in growth cone collapse. **B:** A model for direct regulation of local translation by netrin1–Dcc signaling. Dcc interacts with translational machinery through the conserved P1 motif indicated. Interaction between netrin1 and Dcc induces the release of monosomes from Dcc, allowing them to form polysomes and translate mRNAs locally. Netrin1 mediates the asymmetric translation of *beta-actin*, resulting in attractive turning. The induction of *beta-actin* translation by netrin could be due to the direct release of translational machinery from Dcc, or through an alternative mechanism via cytoplasmic proteins that link netrin signaling with translational machinery.

and filopodia, and *Beta-actin* mRNA colocalizes with translational machinery in granules detected in neurites, axons, and growth cones (Bassell et al., 1998). The 3' UTR of *Beta-actin* mRNA contains a short sequence, called a zipcode, that is required for the localization of *Beta-actin* mRNA to the plasma membrane (Condeelis and Singer, 2005), and two members of the VICKZ (Vg1 RBP/Vera, IMP-1,2,3, CRD-BP, KOC, ZBP-1) family of RNA-binding proteins, Vg1rbp and Zbp1, interact with *Beta-actin* mRNA via the zipcode sequence to regulate its localization (Zhang et al., 2001; Yisraeli, 2005; Leung et al., 2006; Yao et al., 2006; Welshhans and Bassell, 2011). In *X. laevis* RGC growth cones treated with netrin1 in vitro, granules containing the RNA-trafficking protein Vg1rbp move into filopodia that are closer to the source of netrin1, and *beta-actin* mRNA is asymmetrically translated, with higher levels of beta-actin protein present on the side of the growth cone encountering higher levels of netrin1 (Leung et al., 2006). Netrin1 can induce the local translation of *beta-actin* mRNA in vitro in both *X. laevis* RGCs and mammalian cortical neurons (Leung et al., 2006; Welshhans and Bassell, 2011). In mammalian cortical neurons cultured from mice lacking the RNA-binding protein Zbp1, netrin1 no longer induces axon attraction in a turning assay and does not increase local translation of a beta-actin translational reporter to the levels seen in wild-type neurons (Welshhans and Bassell, 2011). These observations indicate that Zbp1 is required for netrin1-mediated local translation of *Beta-actin* mRNA in mammalian cortical neurons in vitro.

Recently, Strohl et al. (2017) developed an imaging technique to visualize translation of single molecules in an in vitro culture system. Using this system, the authors determined that *Beta-actin* mRNA is locally translated at multiple sites within growth cones treated with netrin1, and that, remarkably, translation of *Beta-actin* mRNA is induced within 20 seconds of applying netrin1 to neurons in culture (Strohl et al., 2017). It would be interesting to

determine whether sites of rapidly induced actin translation colocalize with the Dcc receptor. In addition to inducing the translation of *Beta-actin* mRNA, there is also some evidence that suggests netrin1 can induce the local translation of *Dscam*. *Dscam* mRNA is detected throughout the soma, axon, and growth cone of mouse hippocampal neurons, and blocking translation prevents an increase in the expression of the cell-adhesion protein *Dscam* in response to netrin1 (Jain and Welshhans, 2016).

Several salient points have risen from studies on local translation in axon guidance, including the local translation of specific mRNAs by guidance cues, as well as asymmetric translation of certain mRNAs, which are both often required for downstream receptor signaling to regulate axon guidance. Still, several aspects of how guidance cues regulate translation at the growth cone are still unknown. In particular, our current understanding of how receptors interact with and signal to translational machinery is limited. Indeed, the only axon guidance receptor currently known to directly interact with translational machinery is Dcc (Tcherkezian et al., 2010) (Fig. 1B).

#### *Dcc directly associates with translational machinery*

In the previous mechanisms discussed here, axon guidance receptors might regulate local translation by recruiting cytoplasmic signaling proteins, or receptors could directly interact with translational machinery to regulate local translation. Indeed, the axon guidance receptor Dcc has been shown in vitro to directly interact with translation machinery, including eukaryotic initiation factors, ribosomal proteins, small and large ribosomal subunits, and monosomes. Both electron microscopy and immunofluorescence analysis show that Dcc colocalizes with both translation machinery and newly synthesized protein in axons and dendrites (Tcherkezian et al., 2010). The interaction between Dcc and translation machinery is dependent on netrin1, which causes Dcc to release

ribosomal subunits and monosomes, allowing for polysomes to form and translation to occur (Tcherkezian et al., 2010). Removal of the extracellular domain of Dcc inhibits translation in response to netrin1 (Tcherkezian et al., 2010). The conserved P1 motif within the cytoplasmic domain of Dcc is required for Dcc to interact with translation machinery (Fig. 1B). While the *in vitro* biochemical links between Dcc and translation machinery are quite compelling, the *in vivo* significance of these observations for axon guidance is less clear. *In vivo* evidence linking Dcc-dependent translational regulation to axon guidance is limited to a single experiment where a Dcc receptor lacking the P1 motif (Dcc $\Delta$ P1) is misexpressed in chick commissural neurons in the developing spinal cord. Neurons expressing Dcc $\Delta$ P1 are less likely to extend their axons to the midline in comparison to wild-type axons (Tcherkezian et al., 2010). However, the axon guidance defects resulting from overexpressing a dominant negative Dcc $\Delta$ P1 cannot be solely attributed to a loss of interaction between Dcc and translational machinery without further analysis. A homolog of Dcc has not been found in the chick, although a homolog of neogenin, a closely related family member that can substitute for Dcc, contains the conserved P1 motif (Phan et al., 2011). Still, the defects resulting from the expression of Dcc $\Delta$ P1 could result from blocking netrin1 interactions with neogenin; alternatively, they could be due to an unknown factor that binds to the P1 motif of Dcc. In the *Drosophila* embryo, rescue experiments show that Fra $\Delta$ P1, where Fra is the invertebrate orthologue of Dcc, is able to rescue the midline crossing of a subset of commissural axons in *fra* mutants comparably to the full-length Fra receptor, suggesting the P1 motif is not required for commissural axon guidance (Garbe et al., 2007). However, these experiments were performed with receptors that were expressed at higher than endogenous expression levels, potentially overcoming a requirement for the P1 motif. A more precise analysis to elucidate the function of the P1 motif in axon guidance is necessary. Dcc directly interacting with translational machinery is an exciting finding, and future studies should determine if this interaction is required for Dcc-mediated axon guidance, both *in vitro* and *in vivo*. For example, it would be interesting to determine if the netrin1-induced local translation of *Beta-actin* mRNA requires Dcc, and if Dcc interacts directly with translational machinery to mediate local translation of either *Beta-actin* or *Dscam*. An interesting alternative possibility is that Dcc control of local translation is important for other neuronal functions of Dcc, such as the regulation of synapse formation or function.

#### *Netrin-mediated local translation at the synapse*

In addition to its role in axon guidance, netrin1 is also required for synaptogenesis in *C. elegans* and mammals (Colon-Ramos et al., 2007; Park et al., 2011; Stavoe and Colon-Ramos, 2012; Stavoe et al., 2012; Goldman et al., 2013). For example, *C. elegans* netrin (Unc6) induces synaptogenesis through the Dcc (Unc40) receptor (Colon-Ramos et al., 2007), and this requires Unc40 to interact with CED5/Dock180 (a rac GEF) and activate CED10/Rac1 to mediate local cytoskeletal rearrangements (Stavoe and Colon-Ramos, 2012). In mammalian cortical neurons, netrin1 also promotes synaptogenesis (Goldman et al., 2013), but the requirement for Dcc as the receptor in this context has not been tested. In *Aplysia* sensory and motor neuron co-cultures *in vitro*, bath application of netrin1 stimulates local translation of the sensory neuron-specific neuropeptide *sensorin* at synapses. In

response to netrin1 application, a translation-dependent increase in sensorin protein is observed in sensory neurons (Kim and Martin, 2015). Notably, while treatment with netrin1 does not convert nonsynaptic sites to synaptic sites, it does result in an increase in amplitude of the excitatory postsynaptic potential (EPSP) in sensory neurons, as well as an increase in sites of synaptic connections, suggesting netrin1 increases synaptic strength between *Aplysia* sensory neurons and motor neurons (Kim and Martin, 2015). The overexpression of *Aplysia* netrin1 in motor neurons is sufficient to induce increases in sensorin protein in the sensory neurons with which they are co-cultured (Kim and Martin, 2015), suggesting that netrin1 can act *in trans* to induce local translation in the sensory neurons. The authors demonstrate that Dcc is required for netrin-mediated induction of *sensorin* translation by using a function-blocking antibody against Dcc. These experiments imply that Dcc is the receptor that netrin interacts with to increase synaptic strength, and that this is controlled by netrin-Dcc induction of local protein translation. However, the ability of netrin1 to increase synaptic strength has not been tested in a Dcc-deficient or local translation-blocking assay, which would more definitively demonstrate that Dcc and/or local translation, respectively, are required. Additionally, it remains to be seen whether netrin induction of local translation is required *in vivo* for synaptogenesis or synaptic plasticity.

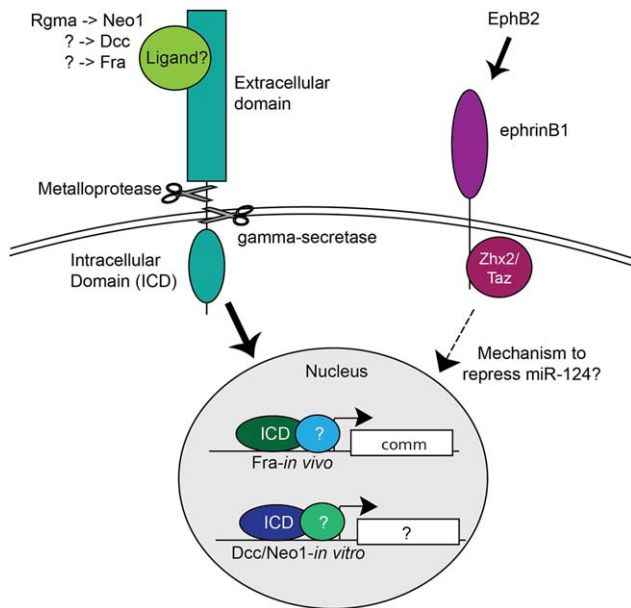
The control of local translation in axons and growth cones by extracellular cues provides an enticing model for how axon guidance and synaptogenesis can be precisely tuned. The specific expression of proteins in certain compartments may increase the spatial and temporal control provided by axon guidance cues. Still, further investigation of the *in vivo* role for local translation in axon guidance and synaptogenesis is needed to fill in the gaps in our fragmentary knowledge of how receptors signal to translation machinery, and how specific mRNAs are selected for translation.

## Part 2: Transcriptional Regulation

The ability of axon guidance signaling pathways to control protein synthesis presents an intriguing mechanism to regulate protein expression in specific areas of the cell. In a similar vein, axon guidance receptors and their ligands have also been implicated in controlling gene expression at the level of transcription in several contexts. There had been hints that axon guidance receptors might regulate transcription similarly to the way that notch controls transcription. However, the evidence was primarily from *in vitro* systems, or only demonstrated a correlational relationship between axon guidance receptors and altered expression of specific genes. In this section of the review, we will discuss recent findings that indicate that guidance receptors can signal to regulate gene transcription, in some cases in surprisingly direct ways.

#### *Axon guidance receptors are transcriptional activators*

It is now clear that Dcc, neogenin (Neo1), and Frazzled (Fra, the *Drosophila* orthologue of Dcc) are able to function as transcriptional activators (Fig. 2) (Taniguchi et al., 2003; Goldschneider et al., 2008; Neuhaus-Follini and Bashaw, 2015). While early work suggests Dcc and Neo1 could act as transcriptional activators in *in vitro* assays, recent reports demonstrate an *in vivo* role for Fra as a transcriptional activator in *Drosophila*. Preliminary



**Fig. 2.** Axon guidance receptors are cleaved and enter the nucleus to regulate transcription. A schematic depicts a general mechanism for axon guidance factors to enter the nucleus and regulate transcription. On the left, a ligand interacts with the extracellular domain of the receptor, triggering ectodomain-shedding by a metalloprotease and subsequent cleavage in the transmembrane domain by the single-pass transmembrane protease gamma-secretase. The resulting intracellular domain product then enters the nucleus and interacts with nuclear proteins to regulate transcription. It should be noted that while gamma-secretase cleavage and transcriptional activation have been demonstrated to be required for the *Drosophila* protein Fra, and that in vivo, and in vitro experiments with vertebrate proteins Dcc and Neo1 also support this model, the experiments linking the transcriptional regulation downstream of EphB2-ephrinB1 signaling to this model is substantially weaker. EphB2-ephrinB1 signaling does repress the expression of miR-124, and this is mediated by the transcriptional repressor Zhx2.

evidence in vertebrates demonstrated that Dcc is cleaved by gamma-secretase, a protease that cleaves single-pass transmembrane proteins in their transmembrane domain, to release the intracellular domain (ICD) of the protein. Cleavage of Dcc by gamma-secretase is necessary for Dcc to activate a transcriptional reporter in cell culture (Taniguchi et al., 2003).

Neo1 is cleaved by a metalloprotease, potentially Tace/Adam17 (Okamura et al., 2011), which is followed by gamma-secretase cleavage, and the Neo1 ICD can subsequently enter the nucleus (Goldschneider et al., 2008). In the nucleus, the Neo1 ICD activates transcription of a reporter in cells, and ChIP on cells reveals several different loci where the Neo1 ICD interacts with chromatin near specific genes (Goldschneider et al., 2008). Several proteins that were found to interact with the N-terminal domain of the Neo1 ICD in a yeast two-hybrid screen are implicated in transcriptional regulation, including the histone acetyltransferase Tip60/Kat5. In vitro, Neo1 also interacts with the LIM domain only 4 (Lmo4) transcription factor in human neurons and in embryonic rat cortical neurons. Neo1 may also regulate gene expression indirectly, as Neo1 releases Lmo4 in response to repulsive guidance molecule family member A (Rgma), which allows Lmo4 to translocate to the nucleus (Goldschneider et al., 2008; Schaffar et al., 2008). Chick RGCs cultured in vitro on Rgma have short axons, but a miRNA designed to target Lmo4 causes these RGC axons to appear longer, indicating that Lmo4 has a role in

Neo1-mediated growth cone repulsion (Banerjee et al., 2016). Interestingly, in chick RGC explant cultures, overexpression of the Neo1 ICD inhibits outgrowth of neurites, yet the Neo1 ICD with its nuclear localization signal removed only partially inhibits neurite outgrowth (Banerjee et al., 2016). This observation suggests that the Neo1 ICD has a nuclear function that can affect neurite outgrowth inhibition in vitro. Additional experiments are required to examine whether and how the Neo1 ICD regulates transcription in vivo, and to determine what the transcriptional targets are that the Neo1 ICD regulates. The cleavage of Neo1 by Tace/Adam17, as well as the ability of the Neo1 ICD to interact with chromatin, is dependent on Rgma in vitro (Goldschneider et al., 2008; Okamura et al., 2011). However, Rgma does not interact with Dcc, which leaves open the question of what regulates the transcriptional function of Dcc.

In *Drosophila*, transcriptional activation by Fra is independent of its canonical ligand netrin (Yang et al., 2009). Fra has also been shown to be cleaved by gamma-secretase (Neuhaus-Follini and Bashaw, 2015), and this cleavage is necessary in vivo for Fra to activate transcription of *commisureless (comm)*, whose protein product antagonizes repulsive Slit-Robo1 signaling in *Drosophila* (Neuhaus-Follini and Bashaw, 2015). Both in vitro and in vivo experiments show that the Fra ICD moves in and out of the nucleus, and the conserved P3 motif is the activation domain required for the Fra ICD to activate transcription (Neuhaus-Follini and Bashaw, 2015). The in vivo requirement for the Fra ICD to activate transcription was demonstrated in rescue experiments in *fra*-null mutants. A Fra full-length receptor with a point mutation, which abolishes transcriptional activity while leaving other known Fra signaling activities intact, fails to rescue *comm* expression in vivo. However, the same receptor with a VP16 activation domain fused to the c-terminus is able to rescue, demonstrating that Fra needs an intact activation domain to regulate *comm* expression (Neuhaus-Follini and Bashaw, 2015).

Fra regulates the transcription of one known gene in *Drosophila*, the Neo1 ICD interacts with several promoters in cells, and there are likely more genes that Dcc, Neo1, and Fra regulate to control axon guidance or other Dcc-, Neo1-, and Fra-dependent processes. The sole gene currently known to be regulated by Fra is the endosomal sorting protein Comm (Yang et al., 2009; Neuhaus-Follini and Bashaw, 2015), which does not have an orthologue in vertebrates. It is also unclear whether the Fra ICD activates transcription of *comm* directly by binding to the *comm* promoter, or indirectly by regulating the transcription of other genes.

#### *Control of progenitor dynamics: axon guidance receptors controlling transcription?*

Unlike Dcc, Neo1, and Fra, the Robo receptors have not been implicated in regulating transcription directly. Still, both *Drosophila* Robo1 and Human ROBO1 receptors are cleaved by the metalloprotease Kuzbanian/ADAM10, and this cleavage is necessary for Slit-Robo1 signaling in *Drosophila* (Coleman et al., 2010). In addition, human ROBO1 has been shown to undergo a subsequent cleavage by gamma-secretase, which allows the ROBO1 ICD to enter the nucleus in cancer cell lines (Seki et al., 2010). These observations suggest ROBO1 has the potential to enter the nucleus and act as a transcription factor; however, there is no in vivo evidence supporting this idea.

Slit-Robo signaling is required in mammalian cortical neurogenesis, and some evidence suggests Robo receptors may regulate transcription in this context; however, whether Robo receptors regulate transcription directly or indirectly is unclear. Furthermore, reports in the field have often produced conflicting results that complicate our understanding of how Robo receptors might regulate cortical neurogenesis. In the developing mammalian cortex, progenitor cells must strike a balance between dividing for self-renewal and generating postmitotic neurons, such as excitatory pyramidal neurons (Noctor et al., 2007). Apical (radial glial cells) and basal (intermediate progenitors) progenitor populations can divide to produce pyramidal neurons. Radial glia typically divide symmetrically to self-renew, and asymmetrically to give rise to either pyramidal neurons or, more likely, intermediate progenitors (Noctor et al., 2004). Intermediate progenitors always divide symmetrically, either to self-renew or to produce two pyramidal neurons (Miyata et al., 2004; Noctor et al., 2004).

Robo receptors had already been implicated in the regulation of cortical interneuron proliferation (Andrews et al., 2006, Hernandez-Miranda, 2011), and the expression of Robo1, Robo2, and Slit in the ventricular and subventricular zones (VZ and SVZ) of the cortex suggested Slit-Robo signaling may also have a role in proliferation of pyramidal neurons (Borrell et al., 2012; Yeh et al., 2014). Here we focus on two recent reports that provide some evidence for Robo receptors regulating transcription, yet they directly contradict each other in several key aspects (Borrell et al., 2012; Yeh et al., 2014). Despite some conflicting observations, both studies support the idea that Slit-Robo signaling plays important roles in regulating progenitor dynamics in the developing mammalian cortex (Borrell et al., 2012; Yeh et al., 2014).

Borrell and colleagues show that although Robo1 and Robo2 are both detected in the VZ of the cortex, Robo2 appears to be much more highly expressed (Borrell et al., 2012). Accordingly, while both *Robo1* and *Robo2* single mutants have an increase in basal progenitors (albeit less severe than the double mutant), *Robo2* mutants have a more severe phenotype than the *Robo1* mutants, suggesting Robo2 has a larger role in regulating progenitor populations in the developing cortex. Similarly, single mutants of *Slit1* and *Slit2* had no significant effect on the progenitor populations in the cortex, yet the *Slit1/2* double mutant resulted in an increase in basal progenitors (Borrell et al., 2012). In direct contrast to these observations, Yeh and colleagues show that Robo1 is expressed in the proliferative zones of the cortex, while Robo2 is undetectable (Yeh et al., 2014). Furthermore, *Robo2* single mutants did not have any defects in the progenitor populations in the cortex, while *Robo1* mutants resulted in an increase in both the apical and basal progenitor populations (Yeh et al., 2014). Although the roles for Robo receptors reported by the two groups are clearly at odds, there is agreement that Slit1 and 2 are necessary for proper regulation of progenitor populations in the cortex. Notably, the two groups used different *Robo1* and *Robo2* single mutants, raising the possibility that differences in genetic background may explain some of the phenotypic differences that were reported; however, in both cases the mutants used are null mutants, and both groups used the same *Robo1/2* double mutants. Both reports find that Slit-Robo signaling is involved in controlling progenitor dynamics, but the mechanism each proposes differs greatly. Borrell and colleagues report that there is no difference in apoptosis, and that the cell cycle of the basal progenitors is found to be disrupted in *Robo1/2* mutants: Basal progenitors divide less frequently, their cell cycle length is

significantly longer, and progenitors fail to separate from the ventricular surface (Borrell et al., 2012). Progenitors that stay attached to the ventricular surface are known to have decreased proliferation (Cappello et al., 2006), suggesting this may be a cause for the slow and less frequent divisions of basal progenitors. Yeh and colleagues, however, find that fewer progenitors undergo apoptosis, progenitors are proliferative for an increased amount of time (but their cell cycle appears otherwise normal), and the *Robo1* mutants have a small but significant decrease in microglia (Yeh et al., 2014), which are reported to cause an increase in progenitor pools (Cunningham et al., 2013). Analyzing conditional knockouts for *Robo1/2* single and double mutants may help to clarify the discrepancies observed with regard to the Robo receptor required for proper cortical neurogenesis, as well as the mechanism required for proper progenitor dynamics.

### *Robo receptor signaling and the control of neural progenitor dynamics*

How might Robo receptors signal downstream to regulate progenitor dynamics? Interestingly, expression of the Notch1 effector *Hes1* is significantly reduced in the cortex in *Robo1/2* double mutants, and overexpression of *Hes1* in *Robo1/2* double mutants rescues the progenitor defect (Borrell et al., 2012). In addition, RNAi knockdown of *Hes1* leads to a reciprocal effect and increases the number of progenitors. These observations suggest that Robo receptors may regulate *Hes1* expression to mediate progenitor dynamics in the developing cortex. The effect of Robo2 on *Hes1* expression was further tested using an in vitro primary culture system, where a myristoylated Robo2 construct was found to activate the *Hes1* reporter (*Hes-luc*). Robo2 was able to activate transcription of the *Hes-luc* reporter independently of Notch1, although co-expression of Notch1 and Robo2 led to a synergistic effect on reporter expression (Borrell et al., 2012). These findings suggest Robo2 may regulate progenitor dynamics in the cortex through the regulation of transcription. Additional evidence pointing to a potential role for Robo receptors in regulating transcription comes from microarray analysis on tissue from the developing cortex, where it was found that more than 300 genes are either up- or down-regulated in *Robo1* mutants compared to wild-type controls (Yeh et al., 2014). Thus, in the context of progenitor proliferation in the developing mammalian cortex, the Robo receptors may regulate the transcription of genes involved in neurogenesis.

### *Robo receptors and progenitor dynamics in intestinal stem cells*

In the adult *Drosophila* midgut epithelium, Robo2 plays a role in maintaining progenitor dynamics. In the midgut, intestinal stem cells (ISCs) give rise to both enteroblast progenitor cells and secretory enteroendocrine (EE) cells (Zeng and Hou, 2015). Robo2 RNAi and *robo2* homozygous clones generated using MARCM (Lee and Luo, 2001) and the specific knockdown of *robo2* in only ISCs all result in an increase in EE cells. These observations suggest that Robo2 normally functions in ISCs to control progenitor dynamics and restrict the differentiation of EEs (Biteau and Jasper, 2014). The transcription factor Prospero (Pros) is necessary but not sufficient to specify EE cell fate (Zeng and Hou, 2015), and genetic interactions with Robo2 suggest Robo2 and Pros might act in the same process (Biteau and Jasper, 2014). Though

the relationship between Pros and Robo2 in the *Drosophila* midgut remains unclear, one intriguing idea is that Robo2 may regulate transcription of *pros* in this system.

#### *ephrin-Eph signaling and the regulation of neurogenesis*

In contrast to the uncertainty over whether Robo receptors can control transcriptional regulation to mediate progenitor dynamics, there is stronger evidence that Eph-ephrin signaling regulates transcription during neurogenesis, as reviewed in Laussu et al. (2014). The transmembrane ephrinBs are cleaved by gamma-secretase (Georgakopoulos et al., 2006; Tomita et al., 2006), and the ephrinB1 ICD can interact with zinc fingers and homeoboxes 2 (*Zhx2*), a transcriptional repressor that is expressed in cortical neural progenitors and inhibits neuronal differentiation (Wu et al., 2009). One transcriptional target of ephrinB1 signaling in neural progenitors is the proneurogenic miRNA miR-124 (Arvanitis et al., 2010). EphrinB1 mutant neural progenitor cells have an increase in miR-124 RNA, and cortical sections from *EphrinB1* mutant mice have increased levels of miR-124 RNA (Arvanitis et al., 2010). Interestingly, miR-124 in turn represses expression of *EphrinB1* along with other genes (Arvanitis et al., 2010). While ephrinB1 signaling is implicated in repressing transcription, the evidence that ephrinB1 regulates transcription directly is weak. Although there are reports that ephrinBs are cleaved by gamma-secretase, it has not been shown that gamma-secretase cleavage or the translocation of ephrinB1 ICD is required for ephrinB1 to repress transcription of miR-124. Indeed, ephrinB1 ICD interacts with transcriptional coactivator with PDZ-binding motif (*Taz*), and phosphorylation of the ephrinB1 ICD results in translocation of *Taz* to the nucleus in bone marrow stromal cells (Xing et al., 2010). However, whether transcriptional regulation also requires ephrinB1 to translocate to the nucleus remains unknown.

### Discussion and Future Directions

Axon guidance pathways regulate axon guidance, synaptogenesis, progenitor dynamics, and cell migration using a variety of mechanisms. Originally found to control local cytoskeletal rearrangements, axon guidance pathways may also regulate gene expression to control these complex developmental processes. Mounting evidence demonstrates that axon guidance ligands have the ability to induce local translation, and that this is often a requirement for growth cones to respond to axon guidance cues in vitro. Axon guidance cues also induce the local translation of specific proteins that are required for the growth cone to respond to the cue. This presents an interesting model where guidance cues induce translation of specific proteins at local sites in the growth cone to mediate growth cone steering, axon branching, and synaptogenesis. However, further research is necessary to demonstrate that local protein synthesis is required in vivo for specific axon guidance pathways. In addition, it is not clear how the axon guidance receptors required for local translation signal to translation machinery. Thus far, the only receptor shown to directly interact with translational machinery is Dcc, and this interaction has yet to be shown to be required for netrin1-Dcc in vivo functional outputs. A more thorough understanding of the receptor signaling mechanisms that converge on translational machinery might allow for the design of more specific receptor manipulations that would directly test their in vivo requirement in local translation. Since it is clear that multiple guidance cues

regulate translation, at least in vitro, how broad of a role does local translation play in vivo in axon guidance? A recent report describing the transcripts that were linked with ribosomes in the axons from both embryonic mice as well as postnatal mice shows an enrichment for transcripts with axon-specific functions (Shigeoka et al., 2016), suggesting that local translation of these mRNAs may play a role in axon guidance and synaptogenesis.

The axon guidance receptors Fra, Neo1, and Dcc can act as transcription factors, and Robo receptors and ephrins have the potential to at least interact with transcription factors to regulate transcription indirectly. Fra, Neo1, and Dcc activate transcription in vitro, and Fra also has one characterized transcriptional target in vivo in *Drosophila*. It remains to be determined whether Fra activates transcription of *comm* directly or through the transcriptional regulation of other genes. Fra, Neo1, and Dcc are all sequentially cleaved, and their ICDs can enter the nucleus. Future studies should determine the mechanism through which they activate transcription and whether they have multiple targets. Axon guidance receptors are also expressed in other tissues besides the nervous system, and determining whether they function as transcription factors in other tissues will provide insight into general non-canonical mechanisms, as well as a better understanding of developmental processes. The evidence that ephrinB1 acts as a transcription factor is promising, but definitive evidence that ephrinB1 has a nuclear function is still lacking. The Robo receptors have a clear role in progenitor dynamics, and they have been tied to alterations in gene expression in mammalian neurogenesis and the *Drosophila* midgut. Whether Robo receptors can directly regulate transcription in these tissues to control progenitor dynamics remains to be determined.

Continuing research into the mechanisms by which axon guidance signaling pathways regulate transcription and local translation will provide a more thorough understanding of axon guidance, synaptogenesis, and, ultimately, neural circuit formation. Clearly, precise regulation of axon guidance requires more than cytoskeletal rearrangements, and a better understanding of how axon guidance cues and receptors regulate gene expression will be informative for elucidating these processes. Axon guidance cues and receptors are also expressed in tissues outside of the nervous system in normal development, as well as in cancer cells. Understanding how axon guidance pathways signal to control gene expression will also more broadly provide insight into developmental processes and disease states, and may suggest new therapeutic strategies.

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