Basic Export Documentation

BY CHRISSY BLANCHARD
INTERNATIONAL CUSTOMER SUPPORT MANAGER
RBP CHEMICAL TECHNOLOGY, INC.

Tips & Best Practices

Happiness Is...



...Perfect Export Docs

Key points about of Export Documentation

A MUST for successful int'l transactions.

Greater detail than for domestic shipments.

Missing or incorrect information can cause rejection of export, delays and penalties, or your inability to get paid.



Be consistent; supports confident relationships between you and your partners (forwarders, customers, etc.)

Export Documentation



There is no "standard" format, but there is a common format.

• Best Practice, Tip! Create your own "company" templates, be consistent. Will make your job easier.

Help is available, just ask!

Basic Commercial Export Docs

Quotation (price offer) vs Proforma Invoice (sales offer)

Commercial Invoice

Export Packing List

Weight Verification Form

Shipper's Letter of instruction, SLI

Certificate of Origin (if needed)



Tip! Keep a file folder for each order to retain all documents and correspondences. Retain electronic copies, as well.

Quotation vs. Proforma Invoice

Quotation = presentation of pricing, terms and conditions.

I consider this an offer, which can be negotiated.

Proforma Invoice = a detailed commitment to the sale, with very specific terms of the trade.

- Specifically mentions quantity(ies) of item(s), payment terms, INCOTERMS ® 2010, method of transportation, etc.
- Final part of negotiation before the firm sale.

Consignee may require a Proforma for import permit purposes, or to arrange for payment in advance, or to simply issue a purchase order.

Sample Quotation

- Addressed to consignee
- Lists product(s), packaging, price, currency
- Terms and conditions



150 S. 118" Street ● P.O. Box 1406l Milwaukee, WI 53214-006l www.rbpchemical.com sorporate: 414-258-091' fax: 414-258-790'

July 26, 2016

C. Joginadham, GM - Operations Electronics Division, Keerthi Industries Limited (formerly Hyderabad Flextech Limited) Plot no 40, IDA, Balanagar, Hyderabad 500037

Email ID: purchase@hydflextech.com

Thank you for your interest in our product line. We are pleased to submit herewith our quotation below for the Uyemura chemistry.

PRODUCT	PACKAGING	PRICE (in USD)
GOBRIGHT TCU-41A	20L Pail	225.20/Liter
GOBRIGHT TCU-41B	20L Pail	99.15/Liter
Additive MGE-9	20L Pail	41.80/Liter
AUBEL 2M *	10L Pail	96.49/Liter
AUBEL R Reducer *	20L Pail	131.10/Liter

^{*}These product can only travel by air due to temperature restrictions.

Terms and Conditions:

- Pricing does not include freight, duty or taxes
- · Shipping Point: Southington, CT
- Freight: Minimum order for ocean shipment (CIF India Seaport) is 12 pails. Anything less will be sent air (EXW Southington, CT)
- · Payment Terms: Payment in advance in US Dollars
- COA will be provided

We appreciate the opportunity to provide you with this quotation. Please R.M.Ramalakshmanan at rama@rbpchemical.net or at +91-7259807345 with any questions or concerns.

Sincerely,

RBP CHEMICAL TECHNOLOGY

C. Blanchard

Chrissy Blanchard

International Customer Support Manager

c: R.M.Ramalakshmanan - Regional Manager, RBP Technology (India) Pvt. Ltd.

Sample 1: EXW Proforma Invoice

Details may include:

- Date
- Proforma/quote number
- Consignee details
- Method of shipment
- Payment terms
- Lead time / expiration date
- Quantity, UOM, Description
- Unit Price / Total Price
- INCOTERMS



RBP Chemical Technology, Inc.

150 S. 118th Street Milwaukee, WI USA 53214

PHONE: 414.258.0911 | TOLL FREE: 800.558.0747

ISO 9001 Certified

rbpchemical.com

PRO	FORM	IA INVOICE			PREPARED BY:	anch	are	eL		
DATE:			PROFORMA QUO	OTE#:	Chrissy Blanchard, International Customer Support Manager					
1/12/2017				REFERENCE: PF-4195 Quote						
CONSIGNE	E:				SHIPPED VIA:		ON (OR ABOUT:		
Pata Chemica	als and Ma	achinery Co., Ltd			ME Dey (oce	an)				
No.6, 8 Soi E	Bangkradi	9			rno.		TO			
Smaedam, Ba	angkhunti	en, Bangkok 10150			FROM: TO:					
THAILAND					Milwaukee, WI			Bangkok Port		
Mr.Niwat Akk	abud, puni	wat, a@patachem.com, Tel.	66 2-4521290 X.325		MARKS:					
TERMS:	Transfer Lead tim	ays arrival at port in US I te to dispatch is approxim f order. This expires 1/31	ately 3 weeks from		PF-4195 Bangkok, Thailand No.1-4 Made in USA					
QUANITY	SIZE	DESCRIPTION				UNIT PR	ICE	INVOICE TOTAL		
1362.6	Liters		SPECIAL FILM CLEA	NER		3.86		\$5,263.72		
1816.8	Liters	(96 x 5 Gallon Pails)	FoamFREE ONE	OUDT	OTAL PRODUCTS	4.285	3	\$7,785.53 \$13.049.26		
				Ocean L/C Fe Dange Handli Export Insura Docum GST/H	e: rous Goods Fee: ng: Packing:			\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	- USI	
					EAW	USA		\$13,U49.2b	UOL	
					ry of Ultimate Destin			Bangkok		

These items are controlled by the U.S. Government and authorized for export only to the country of ultimate destination for use by the ultimate consignee or enduser(s) herein identified. They may not be resuld, transferred, or other wise disposed of, to any other country or to any person other than the authorized ultimate consignee or end-user(s), either in their original form or after being incorporated into other items, without first obtaining approval from the U.S. Government or as otherwise authorized by U.S. law and regulations.

Sample 2: CIF Proforma Invoice

This is a sample Proforma invoice, where I present the order with CIF terms, listing individual charges on the Proforma.



otherwise authorized by U.S. law and regulations.

RBP Chemical Technology, Inc.

150 S. 118th Street Milwaukee, WI USA 53214

PHONE: 414.258.0911 | TOLL FREE: 800.558.0747

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rbpchemical.com

PRO	FORMA	INVOICE			PREPARED BY:	'anch	arc	eL	
DATE:			PROFORMA QUO	TE#:	Chrissy Blanchard, Int	ternational C	ustome	r Support Manager	
1/12/2017	_		REFERENCE:						
CONSIGNE	E:				SHIPPED VIA:		ON (OR ABOUT:	
Pata Chemica	ls and Mach	inery Co., Ltd			ME Dey (ocea	an)			
No.6, 8 Soi B	angkradi 9				FROM:		TO:		
	ngkhuntien,	Bangkok 10150						Bangkok Port	
THAILAND					Milwaukee, WI			Dangkok Fort	
Mr,Niwat Akka	abud, puniwat	t.a@patachem.com, Tel. 6	6 2-4521290 X.325		MARKS:				
TERMS:	Transfer, Lead time t	s arrival at port in US E o dispatch is approxima rder. This expires 1/31/	ntely 3 weeks from		PF-4195 Bangkok, Thailand No.1-4 Made in USA				
QUANITY	SIZE	DESCRIPTION				UNIT PRI	CE	INVOICE TOTAL	
1362.6	Liters		SPECIAL FILM CLEAN	IER		3.86	_	\$5,263.72	
1816.8	Liters	(96 x 5 Gallon Pails) I		OUDT		4.285	3	\$7,785.53	_
					OTAL PRODUCTS			\$13,049.26	-
				Inland Ocean	Freight:			\$422.02 \$600.22	
				L/C Fe				\$600.22	
					rous Goods Fee:			\$55.00	
				Handli	-			\$180.95	
				Export Insura	Packing:			\$0.00 \$67.07	
					ient Delivery Fee:			\$0.00	
					IST/VAT:			\$0.00	
				Total	CIF			\$14,374.52	USD
				CIF Ba	ingkok Port				
			C	ount	y of Ultimate Destir	nation:		Bangkok	
These items are	controlled by t	he U.S. Government and au	thorized for export only	to the c	ountry of ultimate destina	≠ion for use l	by the up	timate consignee or e	nd-

user(s) herein identified. They may not be resold, transferred, or other wise disposed of, to any other country or to any person other than the authorized ultimate consignee or end-user(s), either in their original form or after being incorporated into other items, without first obtaining approval from the U.S. Government or as

Sample 3: CIF Proforma Invoice

This is a sample Proforma invoice, where I present the order with CIF terms, with the freight charges built into the unit pricing. This is how my customer needs the pricing to be shown.



otherwise authorized by U.S. law and regulations.

RBP Chemical Technology, Inc.

150 S. 118th Street Milwaukee, WI USA 53214

PHONE: 414.258.0911 | TOLL FREE: 800.558.0747

ISO 9001 Certified

rbpchemical.com

PRO F	ORMA	INVOICE			PREPARED BY:	anch	are	d	
DATE:			PROFORMA QUO	TE#:			er Support Manager		
1/12/2017			PF-4195		REFERENCE: PF-4195 QUOTE		i		
CONSIGNEE Pata Chemicals		inery Co. Ltd			SHIPPED VIA: Ocean LCI	L	ON (OR ABOUT:	
No.6, 8 Soi Ba		inicity Co., Eta							
	0	Bangkok 10150			FROM:		TO:		
THAILAND	,				Milwaukee, WI			Bangkok Port	
Mr.Niwat Akkah	bud, puniwat	t.a@patachem.com, Tel. 6	66 2-4521290 X,325		MARKS:				
1	Transfer. Lead time f	s arrival at port in US I for dispatch is approxin O. This pricing expire	nately 3 weeks from		PF-4195 Bangkok, Thailand No.1-4 Made in USA				
QUANITY	SIZE	DESCRIPTION			•	UNIT PR	ICE	INVOICE TOTAL	
1362.6	Liters	(72 x 5 Gallon Pails)	SPECIAL FILM CLEAN	NER		4.2	28	\$5,831.93	
1816.8	Liters	(96 x 5 Gallon Pails)	FoamFREE ONE		OTAL PRODUCTS	4.70	02	\$8,542.59 \$14,374.52	_
				Inland Ocean L/C Fe Dange Handli Export Insura Docum GST/H	Freight: Fre			\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$14,374.52	-
				Count	ry of Ultimate Desti	natjon:		Bangkok	

These items are controlled by the U.S. Government and authorized for export only to the country of ultimate destination for use by the uBimate consignee or enduser(s) herein identified. They may not be resold, transferred, or otherwise disposed of to any other country or to any person other than the authorized ultimade consignee or end-user(s), other in their original form or after being incorporated into other items, without first obtaining approval from the U.S. Government or as

Commercial Invoice

The commercial invoice contains a formal list of each product purchased along with purchase price and quantity ordered. The commercial invoice may include:

- full name and address of the shipper/seller
- full name and address of the consignee
- purchase order/reference number
- country of origin
- destination
- quantity, packaging, details description, price
- INCOTERMS
- harmonized codes (6 digits only)
- signature and a statement saying the invoice is true
- Anti-diversion statement important!



Sample Commercial Invoice

The commercial invoice is perhaps the most important piece of import/export tax documentation because it serves as an official record of the financial transaction between the exporter and importer.



RBP Chemical Technology, Inc.

150 S. 118th Street Milwaukee, WI USA 53214

PHONE: 414.258.0911 | TOLL FREE: 800.558.0747

rbpchemical.com

Co	mmerc	ial Invoice		I declare that all the in and correct. Signaure	: xhar	d		true
DATE:			INVOICE #:	Chrissy Blanchard, In	ternational C	Custome	r Support Manager	
2/1/2017	PF-4195			REFERENCE: P	TM-17010	008		
CONSIGNE				SHIPPED VIA:		ON (OR ABOUT:	
 Pata Chemicals and Machinery Co., Ltd No.6, 8 Soi Bangkradi 9 			ME Dey (oce	an)		2/1/2017		
		ien, Bangkok 10150		FROM:	ROM: TO			
THAILAN		icii, Dangkok 10150		Milwaukee,	WI		Bangkok Port	
Mr,Niwat Akka	abud, puniwa	at a@patachem.com, Tel. (66 2-4521290 X.325	MARKS:				
TERMS: N	Net 30 days	arrival at port in US Do	ollars via Wire Transfer	PF-4195 Bangkok, Thailand				
		•		No.1-4 Made in USA				
				Made III OSA				
QUANITY	SIZE	DESCRIPTION			UNIT PR	ICE	INVOICE TOTAL	
1362.6	Liters	(72 x 5 Gallon Pails) S H.S. No. 2901.10	SPECIAL FILM CLEANER		4.2	28	\$5,831.93	
1816.8	Liters	(96 x 5 Gallon Pails) F H.S. No. 3402.90	FoamFREE ONE		4.70	02	\$8,542.59	
			SU	BTOTAL PRODUCTS			\$14,374.52	
				ind Freight: ean Freight:			\$0.00 \$0.00	
				Fee:			\$0.00	
				ngerous Goods Fee:			\$0.00	
				ndling: oort Packing:			\$0.00 \$0.00	
				urance:			\$0.00	
				cument Delivery Fee:			\$0.00	
			GS	T/HST/VAT:			\$0.00	
			Tot	al CIF			\$14,374.52	USD
			CIF	Bangkok Port				

These sems are controlled by the U.S. Government and authorized for export only to the country of usinnate destination for use by the ultimate consignee or enduser(s) herein identified. They may not be resold, transferred, or otherwise disposed of, to any other country or to any person other than the authorized ultimate consignee or end-user(s), either in their original form or after being incorporated into other sems, without first obtaining approval from the U.S. Government or as otherwise authorized by U.S. law and regulations.

Page 1 of 1	Country of Ultimate Destination:	Bangkok

Export Packing List

Purpose is for Customs to check outgoing cargo (exports) and incoming cargo (imports).

It confirms the contents of the shipment, indicating piece count, weights and measurements for the order.

The Export Packing List should include:

- full name and address of shipper/seller
- full name and address of consignee
- much of the same markings
- Itemizes pieces, net/gross weights, dimensions (US and metric)

Sample Export Packing List

The export packing list focuses more on the contents of the shipment as opposed to pricing and other terms.



INTERNATIONAL PACKING LIST

chemical Enterinology						
RBP Chemical Technology 150 S. 118th Street, Milwaukee, Wisconsin 53214 U.S.A. Tel 414-258-0911 Fax. 414-258-7908	DATE 2/1/20			INVOIC PF-4195	E NUMBER:	1
CONSIGNEE: Pata Chemicals and Machinery Co., Ltd	REFE	RENCE:	PTM-170	010008		
No.6, 8 Soi Bangkradi 9	SHIPI	PED VIA:		ON OR A	ABOUT:	
Smædam, Bangkhuntien, Bangkok 10150 THAILAND	ME De	ey (ocean)		2/1/2017		
Mr.Niwat Akkabud, puniwat.a@patachem.com, Tel. 66 2-4521290 X.325	FROM			TO:	Door	
Marks:	Milwa	ukee, WI		Bangkok	Роп	
PF-4195	TERM	IS:				
Bangkok, Thailand No.1-4 Made in USA	Net 30) days arriva	l at port in	US Dollars	via Wire Tra	ınsfei
	Net V	Veight kg.	Gross lbs.	Weight kg.	Cube ft.	e m.
DESCRIPTION OF GOODS:						
2 pallets @ 45x44x48" containing 96 plastic jerricans of "FoamFREE ONE"	3943	1788.5	4321	1960	110	3.
2 pallets @ 44x45x45" containing 72 steel jerricans of "SPECIAL FILM CLEANER"	2121	962.1	2427	1100.9	103.1	2.9
Total: 4 pallets	6064	2750.6	6748	3060.9	213,1	6

VGM Form or SOLAS Declaration

Effective July 1, 2016 – The International Maritime Organization (IMO) has amended the Safety of Life at Sea Convention (SOLAS) to require, as a condition for loading a packed container onto a ship for export, that the container has a "verified weight".

You, the shipper, are responsible for the verification of the packed container's weight.

As a result, most Forwarders require a:

- VGM (Verified Gross Mass) Form for FCL's
- SOLAS Declaration or a Cargo Weight Verification (of some sort) for LCL's

No one exact form is used – again, create your own!

Sample of a VGM or Weight Verification Form

This is a custom-form that I designed that can be used for both LCL or FCL's.







reight Forwarder:	Shipco, Inc.	Booking No.: 10320339
hipper:		Reference No.: PF-4183
BP Chemical Techn	ology, Inc.	
50 S. 118th Street		
Ailwaukee, WI 532	14 USA	
	acking materials. I	reight Forwarder] is the true and accurate weight of the certify the weighing equipment used meets national ents.
he underlying carrie he International Co LCL – I Total Gross V	er the verified gros nvention for the Sa Less than Cont	II packaging): 139.7 (kgs)Ibs
	re Weight:	kgs/lbs kgs/lbs
Total Gross V	re weight:	Kgs/IDS
Container Ta		
Container Ta Total Declare	ed VGM:	kgs/lbs
Container Ta Total Declare		kgs/lbs
Container Ta Total Declare Met	hod of Obtain	kgs/lbs

Shipper's Letter of Instruction (SLI)

When using a freight forwarder, a best practice I use is always preparing and sending a SLI.

DON'T BE AFRAID OF THIS FORM!

What is it? It's exactly what it says: Shippers Letter of Instruction.

The SLI captures everything your Forwarder needs to know to accurately book the shipment the way you want it.

- Acts as a written request for documents you may need (e.g. COO, draft of BL)
- Provides info required for filing the EEI and preparing the AWB or ocean BL
- If you are authorized to provide the freight forwarder with a written power of attorney, it includes this provision for the USPPI along with a signature line

Forwarders will have a SLI version of their own, or you can create one!

Sample SLI

U.S. SHIPPER'S LETTER OF INSTRUCTIONS PF-4195 US PRINCIPAL PARTY IN INTEREST (USPPI) Complete name and address & zip code RBP Chemical Technology, Inc. 150 S. 118th Street Milwaukee, WI 53214 USA ZIP CODE: 53214 USPPI EIN (IRS) NO: RELATED NON-RELATED 39-0866582 ULTIMATE CONSIGNEE: JLTIMATE CONSIGNEE TYPE: Phone (414) 258-0911 - www.rbpchemical.com Pata Chemicals & Machinery Co., Ltd. DERECT CUSTOMER No. 6, 8 Soi Bangkradi 9 GOVERNMENT ENTITY The USPPI Authorizes the forwarder named to act as forwarding agen Smaedam, Bangkhuntien 10150 THAILAND for export control and customs purpseos. RESELLER / DISTRIBUTOR OTHER/UNKNOWN CONSIGNEE CONTACT: Mr. Niwat Akkabud, puniwat.a@patachem.com, Tel.+662-452-1290 X.325 PICK-UP LOCATION (IF OTHER THAN USPPI) Pick UP CONTACT, TEL. S/R HOURS COUNTRY OF ULTIMATE Alan Peschel, Chrissy Blanchard 414-258-0911 THAILAND 7:30AM - 3:00 PM CST CONTACT DETAILS ME Dey & Co. Shannon EXW FOB* DAP shannon@medey.com FAS* CFR* CIP DOP DANGEROUS GOODS (DG Cert Attached) MODE OF TRANSPORT DAT FCA ✓ CIF* NO √ OCEAN YES AIR. * Ocean only Incotern RAIL TRUCK NAMED PORT/PLACE: ROUTED EXPORT TRANSACTIO YES ✓ NO ONTAINERIZED (VESSEL ONL SHIPPER'S INSTRUCTIONS IN CASE OF NO. NABILITY TO DELIVER: YES HIPPER REQUESTS INSURANCE ■ ABANDON ☑ RETURN TO SHIPPER* NO. Contact shipper to approve charges, if applicable SCHEDULE B / HTS QUANTITY OF SHIPPING VALUE (U.S. dollars, omit cent DESCRIPTION OF COMMODITY Domestic (NUMBER SCHEDULE B UNITS WEIGHT (KGS (Selling Price / Cost if Unsold) Foreign) SPECIAL FILM CLEANER 2901.10.6000 96 pails \$5,263,72 FoamFREE ONE \$7,785.53 3402.90.5050 72 pails 1100.9 PECIAL INSTRUCTIONS OF PCS DIMENSIONS (L x W x H) 45x44x48" Z EXPRESS RELEASE DOCS 44x45x45" PROVIDE SHIPPER BOOKING DETAILS PROVIDE SHIPPER WITH COPY OF BL / AWB WHEN AVAILABLE rece Pata Chemicals PO# PTM17010008. Please take pictures of cargo upon arrival at warehouse. VALIDATED LICENSE NO./GEN LICENSE SYMBOL/EXP DAT NLR (No License Required) EAR99 ✓ OPEN ACCOUNT LETTER OF CREDIT PAID IN ADVANCE DUTY AUTHORIZED OFFICER OR EMPLOYEE DOCUMENTS ENCLOSED: he exporter authorizes the forwarder amed above to act as a forwarding agent ✓ COMMERCIAL INVOICE or export control and customs purposes. INTERNATIONAL PACKING LIST hat insturctions for preparation of this document, set forth in the "Correct Way to Fill Out the Shipper's Export Declaration" SDS ✓ IMO DANGEROUS GOODS DEC (OCEAN) understand that Civil and Criminal penalties , including furfeiture and sale, may be imposed for exportation 13 U.S.C. SEC 30005;22 U.S.C. SEC 401;18 U.SC. APP. 2410). SHIPPERS DEC. OF DANGEROUS GOODS (AIR) CERTIFICATE OF ORIGIN fidential - For use solely for official rposes authorized by the Secretary of C. Blanchard merce (13 U.S.C app 2410 xport shipment are subject to inspection by or air cargo shipments, as required by TSA regulations, shipper agrees .S. Customs Services and or Office of with aboved named forwarding agent to, and hereby does, consent to International Customer Support Manager xport Enforcement arch or inspection, including screening of its cargos pursuant to JTHENTICATION (WHEN REQUIRED) 9 CFR 1548.9(b). NOTE: THE SHIPPER OR HIS ALITHORIZED AGENT HEREBY ALITHORIZES THE ABOVE NAMED COMPANY. IN HIS NAME AND ON HIS BEHALLE TO PREPARE ANY EXPORT. DOCUMENTS TO SIGN AND ACCEPT ANY DOCUMENTS RELATING TO SAID SHIPMENT AND FORWARD THIS SHIPMENT IN ACCORDANCE WITH THE CONDITIONS OF CARRIAGE AND THE TARRES OF THE CARRIERS EMPLOYED EMPLOYED. THE SHIPPER GUARANTEES RAYMENT OF ALL COLLECT CHARGES IN THE VENT THE CONSIGNEE REFUSES PAYMENT. HERE UNDER THE SOLE RESPONSIBILITY OF THE COMPANY IS TO USE REASONABLE CARE IN THE SELECTION OF CARRIERS, FORWARDERS, AGENTS AND OTHER TO WHOM IT MAY ENTRUST THE SHIPMENT.

Certificate of Origin

Certificate of Origin (COO) is completed by the exporter and indicates the specific country where the goods were originally manufactured.

- Your Freight Forwarder can create, notarize and stamp by Chamber of Commerce
- Reed Anderson, BDG International will discuss more in depth.

A COO may be important because businesses may be forbidden receive goods from a particular country (due to embargos).

A COO (e.g. NAFTA Certificate) supports Free Trade Agreements (lower duties).

Sample of a Certificate of Origin

Again, no standard format (except if dealing with specific trade agreements such as the NAFTA Certificate of Origin).





RBP Chemical Technology, Inc. 150 S. 118th Street Milwaukee, WI USA 53214-0069





The undersigned for:

RBP Chemical Technology, Inc. 150 S. 118th Street Milwaukee, WI 53214 USA

Tax ID No. 39-0866582

Consigned to:

Kapoor Imaging Pvt. Ltd 3. Masilamani Road, Balaji Nagar Royapettah Chennai, Tamil Nadu, 600 014 INDIA

On the date of: 10 February 10, 2017

Reference PO# 056/17-18, Commercial Invoice PF-4216

Declares the following mentioned goods are the product of the countries described below:

UOM **Country of Origin** Quantity Description HTS# PCS 3824.99 United States of America RHODALINE 646

Sworn before me this day:

(day, month, year)

(Signature of shipper)

Summary

Don't let export documentation scare you, don't be afraid to ask for help!

Do exhibit care with details – the smallest errors can cost time and money!

Customize/Create your own forms!

Questions?

