Organic Chemistry, Second Edition

Janice Gorzynski Smith University of Hawai'i

Chapter 14 Nuclear Magnetic Resonance Spectroscopy

Prepared by Rabi Ann Musah State University of New York at Albany

Copyright © The McGraw-Hill Companies, Inc. Permission required for reproduction or display.

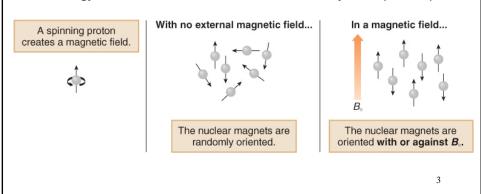
Nuclear Magnetic Resonance Spectroscopy

14.1 Introduction to NMR Spectroscopy

- Nuclear magnetic resonance spectroscopy is a powerful analytical technique used to characterize organic molecules by identifying carbon-hydrogen frameworks within molecules.
- Two common types of NMR spectroscopy are used to characterize organic structure: ¹H NMR is used to determine the type and number of H atoms in a molecule; ¹³C NMR is used to determine the type of carbon atoms in the molecule.
- The source of energy in NMR is radio waves which have long wavelengths, and thus low energy and frequency.
- When low-energy radio waves interact with a molecule, they can change the nuclear spins of some elements, including ¹H and ¹³C.

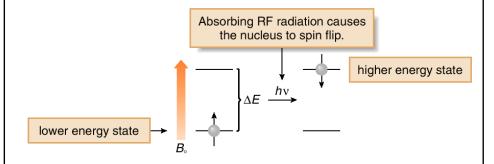
14.1A The Basis of NMR Spectroscopy

- When a charged particle such as a proton spins on its axis, it creates a magnetic field. Thus, the nucleus can be considered to be a tiny bar magnet.
- Normally, these tiny bar magnets are randomly oriented in space. However, in the presence of a magnetic field B₀, they are oriented with or against this applied field. More nuclei are oriented with the applied field because this arrangement is lower in energy.
- The energy difference between these two states is very small (<0.1 cal).



- In a magnetic field, there are now two energy states for a proton: a lower energy state with the nucleus aligned in the same direction as B_0 , and a higher energy state in which the nucleus aligned against B_0 .
- When an external energy source (hv) that matches the energy difference (ΔE) between these two states is applied, energy is absorbed, causing the nucleus to "spin flip" from one orientation to another.
- The energy difference between these two nuclear spin states corresponds to the low frequency RF region of the electromagnetic spectrum.

• Thus, two variables characterize NMR: an applied magnetic field B_0 , the strength of which is measured in tesla (T), and the frequency ν of radiation used for resonance, measured in hertz (Hz), or megahertz (MHz)—(1 MHz = 10^6 Hz).



 A nucleus is in resonance when it absorbs RF radiation and "spin flips" to a higher energy state.

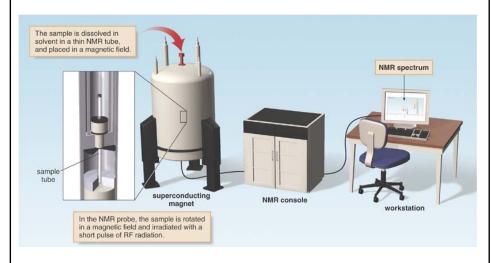
5

• The frequency needed for resonance and the applied magnetic field strength are proportionally related:



- The stronger the magnetic field, the larger the energy difference between the two nuclear spin states, and the higher the v needed for resonance.
- NMR spectrometers are referred to as 300 MHz instruments, 500 MHz instruments, and so forth, depending on the frequency of the RF radiation used for resonance.
- These spectrometers use very powerful magnets to create a small but measurable energy difference between two possible spin states.

Figure 14.1 Schematic of an NMR spectrometer



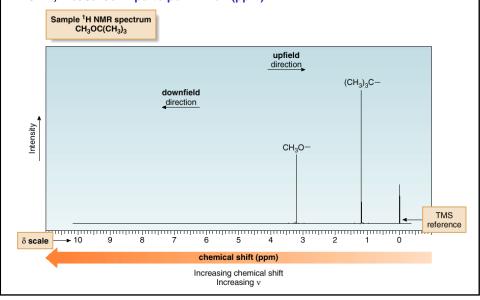
An NMR spectrometer. The sample is dissolved in a solvent, usually CDCl₃ (deuterochloroform), and placed in a magnetic field. A radiofrequency generator then irradiates the sample with a short pulse of radiation, causing resonance. When the nuclei fall back to their lower energy state, the detector measures the energy released, and a spectrum is recorded. The superconducting magnets in modern NMR spectrometers have coils that are cooled in liquid helium and conduct electricity with essentially no resistance.

7

- Protons in different environments absorb at slightly different frequencies, so they are distinguishable by NMR.
- The frequency at which a particular proton absorbs is determined by its electronic environment.
- The size of the magnetic field generated by the electrons around a proton determines where it absorbs.
- Modern NMR spectrometers use a constant magnetic field strength B₀, and then a narrow range of frequencies is applied to achieve the resonance of all protons.
- Only nuclei that contain odd mass numbers (such as ¹H, ¹³C, ¹⁹F and ³¹P) or odd atomic numbers (such as ²H and ¹⁴N) give rise to NMR signals.

14.1B ¹H NMR—The Spectrum

 An NMR spectrum is a plot of the intensity of a peak against its chemical shift, measured in parts per million (ppm).



- NMR absorptions generally appear as sharp peaks.
- · Increasing chemical shift is plotted from left to right.
- Most protons absorb between 0-10 ppm.
- The terms "upfield" and "downfield" describe the relative location of peaks. Upfield means to the right. Downfield means to the left.
- NMR absorptions are measured relative to the position of a reference peak at 0 ppm on the δ scale due to tetramethylsilane (TMS). TMS is a volatile inert compound that gives a single peak upfield from typical NMR absorptions.

• The chemical shift of the x axis gives the position of an NMR signal, measured in ppm, according to the following equation:

 $\frac{\text{chemical shift}}{\text{(in ppm on the }\delta \text{ scale)}} \ = \ \frac{\text{observed chemical shift (in Hz) downfield from TMS}}{v \text{ of the NMR spectrometer (in MHz)}}$

- By reporting the NMR absorption as a fraction of the NMR operating frequency, we get units, ppm, that are independent of the spectrometer.
- Four different features of a ¹H NMR spectrum provide information about a compound's structure:
 - a. Number of signals
 - b. Position of signals
 - c. Intensity of signals.
 - d. Spin-spin splitting of signals.

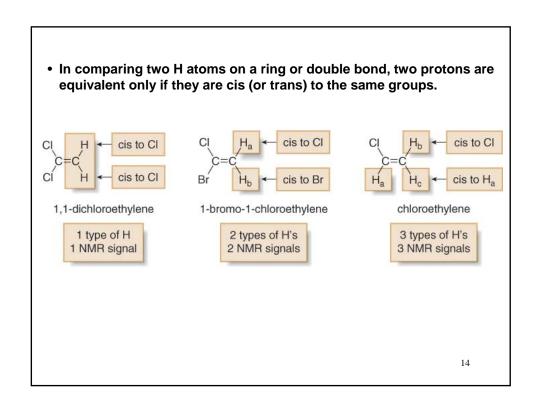
11

14.2 ¹H NMR—Number of Signals

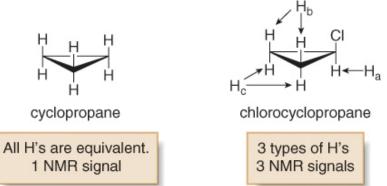
- The number of NMR signals equals the number of different types of protons in a compound.
- Protons in different environments give different NMR signals.
- Equivalent protons give the same NMR signal.

 To determine equivalent protons in cycloalkanes and alkenes, always draw all bonds to hydrogen.



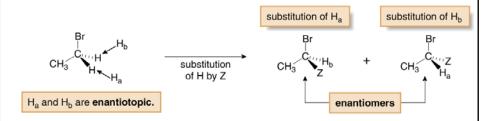


• Proton equivalency in cycloalkanes can be determined similarly.



15

¹H NMR—Enantiotopic and Diastereotopic Protons.

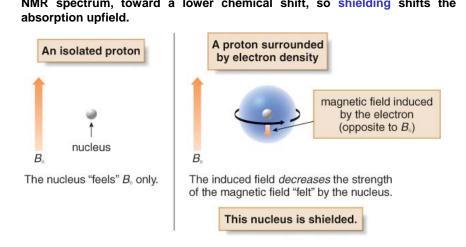


 When substitution of two H atoms by Z forms enantiomers, the two H atoms are equivalent and give a single NMR signal. These two H atoms are called *enantiotopic* protons.

1H NMR—Enantiotopic and Diastereotopic Protons. substitution of Ha substitution of Hb CH3 (R)-2-chlorobutane Ha and Hb are diastereotopic. • When substitution of two H atoms by Z forms diastereomers, the two H atoms are not equivalent, and give two NMR signals. These two H atoms are called diastereotopic protons.

14.3 ¹H NMR—Position of Signals

- In the vicinity of the nucleus, the magnetic field generated by the circulating electron decreases the external magnetic field that the proton "feels".
- Since the electron experiences a lower magnetic field strength, it needs a lower frequency to achieve resonance. Lower frequency is to the right in an NMR spectrum, toward a lower chemical shift, so shielding shifts the absorption upfield.



- The less shielded the nucleus becomes, the more of the applied magnetic field (B₀) it feels.
- This deshielded nucleus experiences a higher magnetic field strength, to it needs a higher frequency to achieve resonance.
- Higher frequency is to the left in an NMR spectrum, toward higher chemical shift—so deshielding shifts an absorption downfield.
- Protons near electronegative atoms are deshielded, so they absorb downfield.

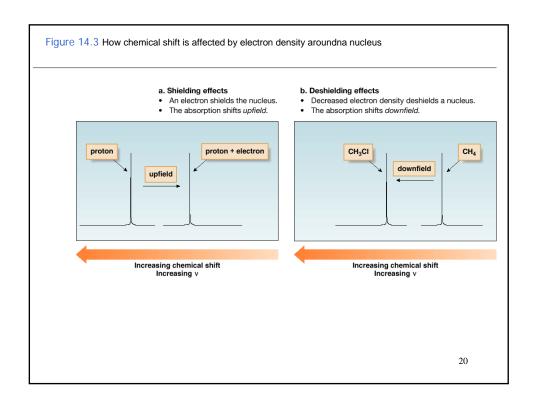
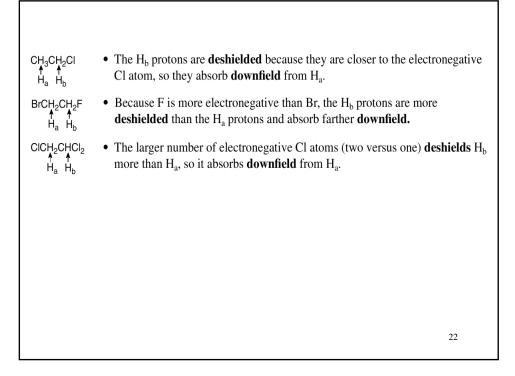


Figure 14.4 Shielding and deshielding effects A deshielded nucleus A shielded nucleus The nucleus "feels" The nucleus "feels" a smaller resultant field. a larger resultant field. a larger induced magnetic field a smaller induced magnetic field B As the electron density around the nucleus As the electron density around the increases, the nucleus feels a smaller nucleus decreases, the nucleus feels a resultant magnetic field, so a lower larger resultant magnetic field, so a higher frequency is needed to achieve resonance. frequency is needed to achieve resonance. The absorption shifts downfield. The absorption shifts upfield. 21



¹H NMR—Chemical Shift Values

Protons in a given environment absorb in a predictable region in an NMR spectrum.

Copyright

The McGraw-Hill Companies, Inc. Permission required for reproduction or display.

Table 14.1	Characteristic Chemical Shifts of Common Types of Protons		
Type of proton (hemical shift (ppm)	Type of proton	Chemical shift (ppm)
-C-H sp³	0.9–2	C=C Sp ²	4.5–6
 RCH₃ R₂CH₂ R₃CH 	~0.9 ~1.3 ~1.7	Н	6.5–8
Z C-C-H	1.5–2.5	O H	9–10
Z = C, O, N —C≡C−H	~2.5	O II	10–12
$Sp^{3} \stackrel{ }{Z}$ $Z = N, O, X$	2.5–4	RO-H or R-N-H	1–5

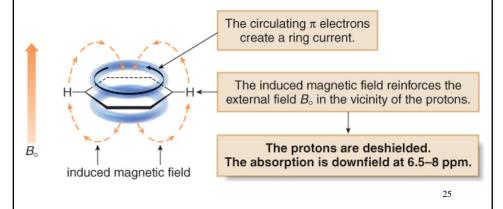
• The chemical shift of a C—H bond increases with increasing alkyl substitution.

 RCH_2-H R_2CH-H R_3C-H $\sim 0.9 \text{ ppm}$ $\sim 1.3 \text{ ppm}$ $\sim 1.7 \text{ ppm}$

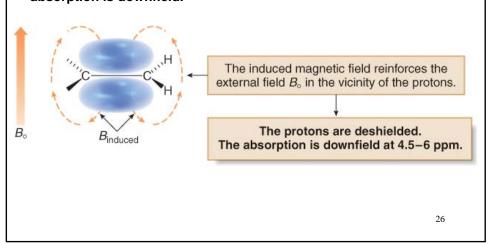
Increasing alkyl substitution Increasing chemical shift

14.4 ¹H NMR—Chemical Shift Values

- In a magnetic field, the six π electrons in benzene circulate around the ring creating a ring current.
- The magnetic field induced by these moving electrons reinforces the applied magnetic field in the vicinity of the protons.
- The protons thus feel a stronger magnetic field and a higher frequency is needed for resonance. Thus they are deshielded and absorb downfield.

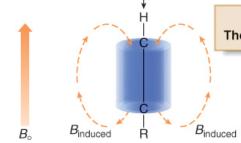


- In a magnetic field, the loosely held π electrons of the double bond create a magnetic field that reinforces the applied field in the vicinity of the protons.
- The protons now feel a stronger magnetic field, and require a higher frequency for resonance. Thus the protons are deshielded and the absorption is downfield.



- In a magnetic field, the π electrons of a carbon-carbon triple bond are induced to circulate, but in this case the induced magnetic field opposes the applied magnetic field (B_0).
- Thus, the proton feels a weaker magnetic field, so a lower frequency is needed for resonance. The nucleus is shielded and the absorption is upfield.

The induced magnetic field opposes the external field \textit{B}_{\circ} in the vicinity of the proton.

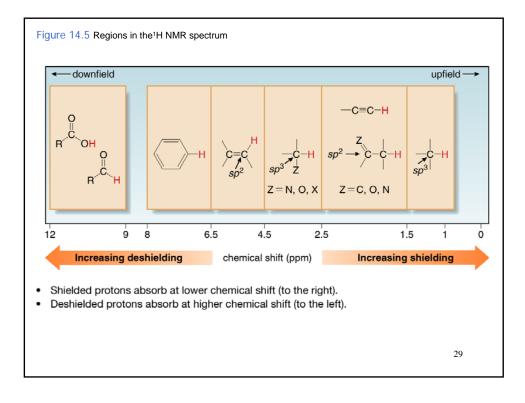


The proton is shielded. The absorption is upfield at ~2.5 ppm.

27

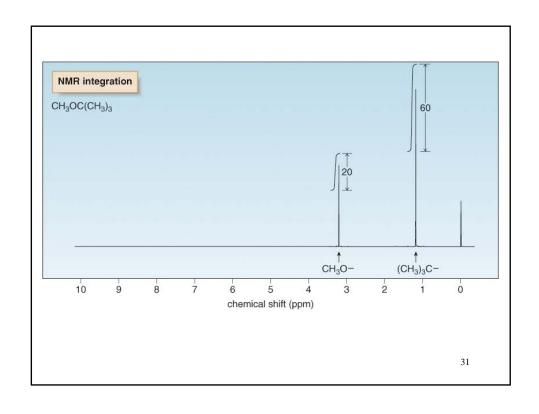
Copyright © The McGraw-Hill Companies, Inc. Permission required for reproduction or display.

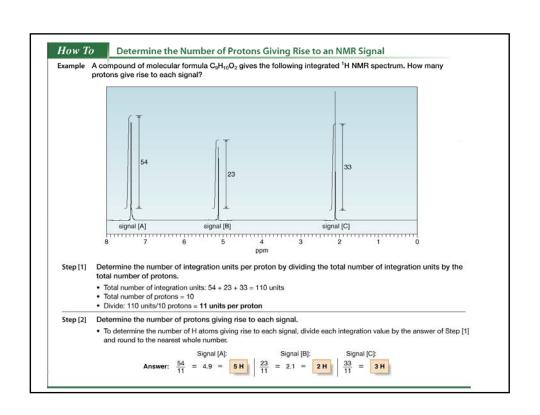
<i>Table 14.2</i>	Effect of π Electrons on Chemical Shift Values			
Proton type	Effect	Chemical shift (ppm)		
H	highly deshielded	6.5–8		
C=C H	deshielded	4.5–6		
—C≡C− <mark>H</mark>	shielded	~2.5		



14.5 ¹H NMR—Intensity of Signals

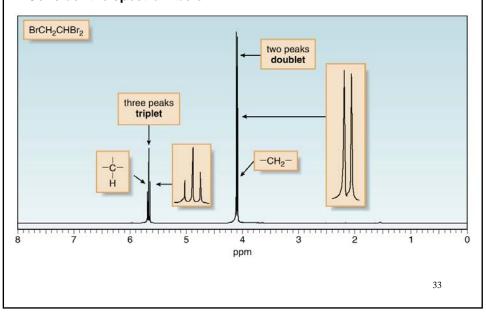
- The area under an NMR signal is proportional to the number of absorbing protons.
- An NMR spectrometer automatically integrates the area under the peaks, and prints out a stepped curve (integral) on the spectrum.
- The height of each step is proportional to the area under the peak, which in turn is proportional to the number of absorbing protons.
- Modern NMR spectrometers automatically calculate and plot the value of each integral in arbitrary units.
- The ratio of integrals to one another gives the ratio of absorbing protons in a spectrum. Note that this gives a ratio, and not the absolute number, of absorbing protons.





14.6 ¹H NMR—Spin-Spin Splitting

• Consider the spectrum below:



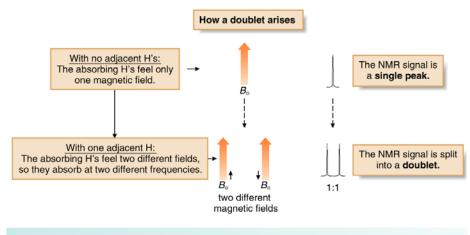
¹H NMR—Spin-Spin Splitting

• Spin-spin splitting occurs only between nonequivalent protons on the same carbon or adjacent carbons.

Let us consider how the doublet due to the CH₂ group on BrCH₂CHBr₂ occurs:

- When placed in an applied electric field, (B₀), the adjacent proton (CHBr₂) can be aligned with (↑) or against (↓) B₀.
- Thus, the absorbing CH_2 protons feel two slightly different magnetic fields—one slightly larger than B_0 , and one slightly smaller than B_0 .
- Since the absorbing protons feel two different magnetic fields, they absorb at two different frequencies in the NMR spectrum, thus splitting a single absorption into a doublet.

The frequency difference, measured in Hz between two peaks of the doublet is called the coupling constant, J.



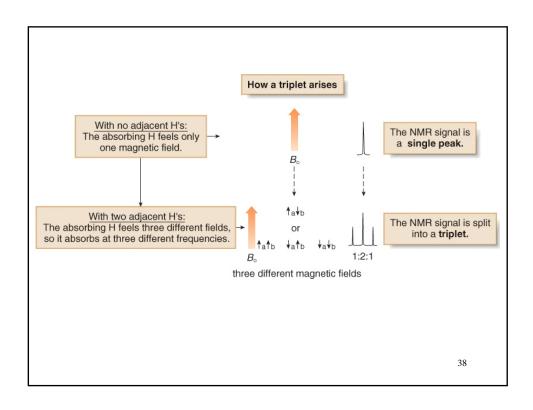
· One adjacent proton splits an NMR signal into a doublet.

35

Let us now consider how a triplet arises:

- When placed in an applied magnetic field (B_0), the adjacent protons H_a and H_b can each be aligned with (\uparrow) or against (\downarrow) B_0 .
- Thus, the absorbing proton feels three slightly different magnetic fields—one slightly larger than B_0 , one slightly smaller than B_0 , and one the same strength as B_0 .

- Because the absorbing proton feels three different magnetic fields, it absorbs at three different frequencies in the NMR spectrum, thus splitting a single absorption into a triplet.
- Because there are two different ways to align one proton with B_0 , and one proton against B_0 —that is, $\uparrow_a \downarrow_b$ and $\downarrow_a \uparrow_b$ —the middle peak of the triplet is twice as intense as the two outer peaks, making the ratio of the areas under the three peaks 1:2:1.
- Two adjacent protons split an NMR signal into a triplet.
- · When two protons split each other, they are said to be coupled.
- The spacing between peaks in a split NMR signal, measured by the *J* value, is equal for coupled **protons**.



Three general rules describe the splitting patterns commonly seen in the ¹H NMR spectra of organic compounds.

- 1. Equivalent protons do not split each other's signals.
- 2. A set of n nonequivalent protons splits the signal of a nearby proton into n + 1 peaks.
- 3. Splitting is observed for nonequivalent protons on the same carbon or adjacent carbons.

If H_a and H_b are not equivalent, splitting is observed when:

 H_a and H_b are on the **same** carbon.

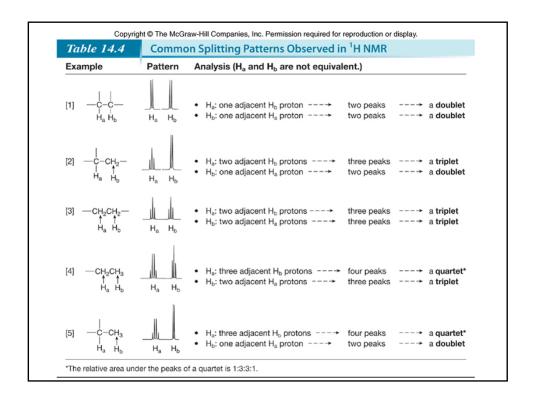
 H_a and H_b are on **adjacent** carbons.

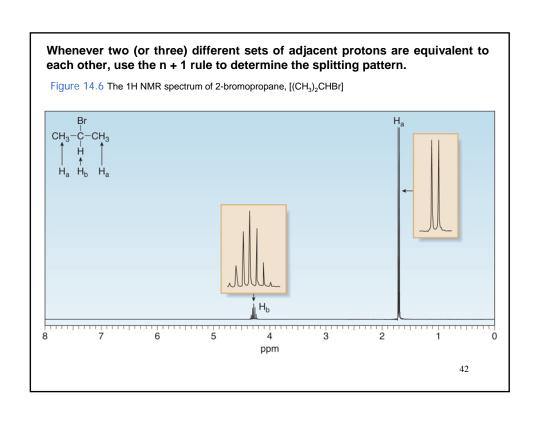
39

Splitting is not generally observed between protons separated by more than three σ bonds.

Copyright © The McGraw-Hill Companies, Inc. Permission required for reproduction or display.

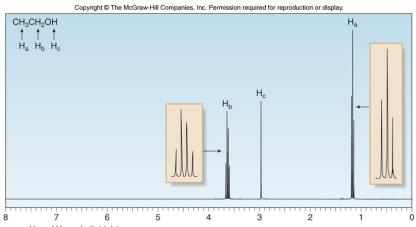
<i>Table 14.3</i>	Names for a Given Number of Peaks in an NMR Signal		
Number of peaks	Name	Number of peaks	Name
1	singlet	5	quintet
2	doublet	6	sextet
3	triplet	7	septet
4	quartet	> 7	multiplet





Now consider the spectrum of 1-bromopropane. Since $\rm H_a$ and $\rm H_c$ are not equivalent to each other, we cannot merely add them together and use the n + 1 rule.

Figure 14.7 The 1H NMR spectrum of 1-bromopropane, CH₃CH₂CH₂Br

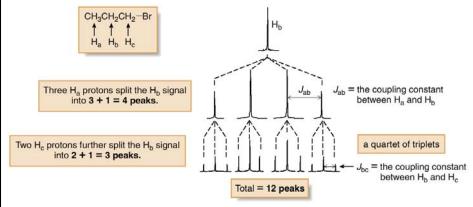


- H_a and H_c are both triplets.
- H_b is split into 12 peaks, labeled as a multiplet. Fewer peaks are seen because some peaks overlap.

43

When two sets of adjacent protons are different from each other (n protons on one adjacent carbon and m protons on the other), the number of peaks in an NMR signal = (n + 1)(m + 1).

Figure 14.8 A splitting diagram for the H_b protons in 1-bromopropane



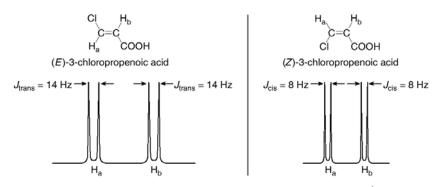
The H_b signal is split into 12 peaks, a quartet of triplets. The number of peaks actually seen for
the signal depends on the relative size of the coupling constants, J_{ab} and J_{bc}. When J_{ab} >> J_{bc},
as drawn in this diagram, all 12 lines of the pattern are visible. When J_{ab} and J_{bc} are similar in
magnitude, peaks overlap and fewer lines are observed.

- Protons on carbon-carbon double bonds often give characteristic splitting patterns.
- A disubstituted double bond can have two geminal protons, two cis protons, or two trans protons.
- When these protons are different, each proton splits the NMR signal of the other so that each proton appears as a doublet.
- ullet The magnitude of the coupling constant J for these doublets depends on the arrangement of hydrogen atoms.

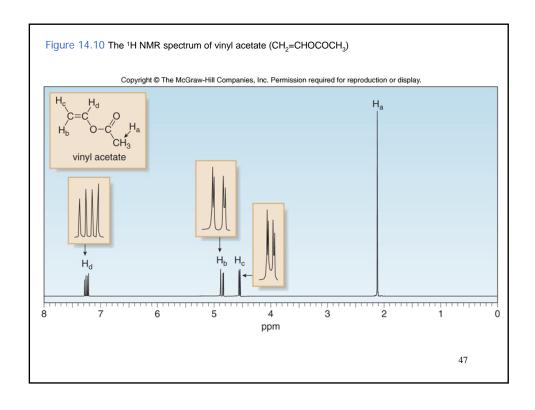
characteristic coupling constants for three types of disubstituted alkenes

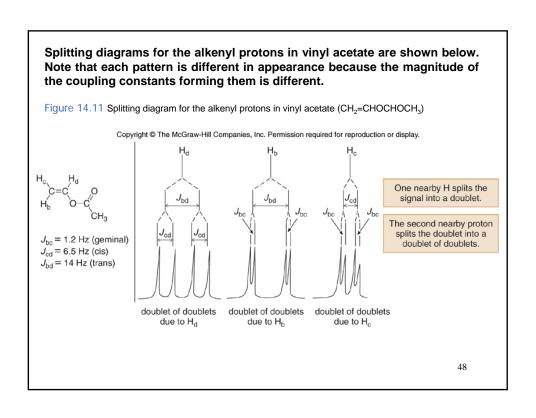
45

Figure 14.9 ¹H NMR spectra for the alkenyl protons of (*E*)- and (*Z*)-3-chloropropenoic acid



 Although both (E)- and (Z)-3-chloropropenoic acid show two doublets in their ¹H NMR spectra for their alkenyl protons, J_{trans} > J_{cis}.



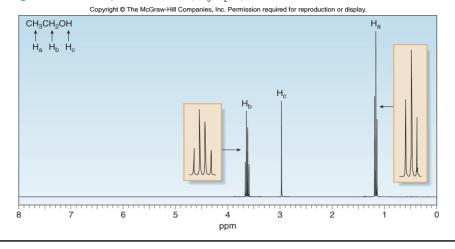


14.9 Other Facts About 1H NMR

14.9A OH Protons

- Under usual conditions, an OH proton does not split the NMR signal of adjacent protons.
- The signal due to an OH proton is not split by adjacent protons.

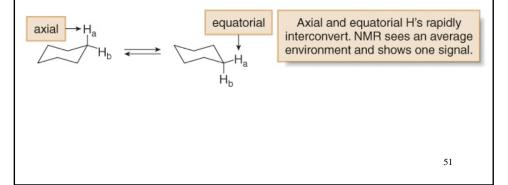
Figure 14.12 The ¹H spectrum of ethanol (CH₃CH₂OH)



- Ethanol (CH₃CH₂OH) has three different types of protons, so there are three signals in its NMR spectrum.
- The H_a signal is split by the two H_b protons into three peaks (a triplet).
- The H_b signal is split only by the three H_a protons into four peaks, a quartet. The adjacent OH proton does not split the signal due to H_b.
- H_c is a singlet because OH protons are not split by adjacent protons.
- Protons on electronegative atoms rapidly exchange between molecules in the presence of trace amounts of acid or base. Thus, the CH₂ group of ethanol never "feels" the presence of the OH proton, because the OH proton is rapidly moving from one molecule to another.
- This phenomenon usually occurs with NH and OH protons.

14.9B Cyclohexane Conformers

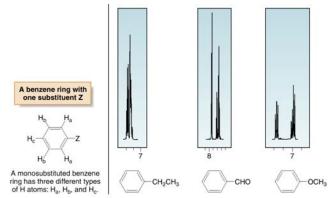
- Recall that cyclohexane conformers interconvert by ring flipping.
- Because the ring flipping is very rapid at room temperature, an NMR spectrum records an average of all conformers that interconvert.
- Thus, even though each cyclohexane carbon has two different types of hydrogens—one axial and one equatorial—the two chair forms of cyclohexane rapidly interconvert them, and an NMR spectrum shows a single signal for the average environment that it "sees".



14.9C Protons on Benzene Rings

- Benzene has six equivalent deshielded protons and exhibits a single peak in its ¹H NMR spectrum at 7.27 ppm.
- Monosubstituted benzenes contain five deshielded protons that are no longer equivalent, and the appearance of these signals is highly variable, depending on the identity of Z.

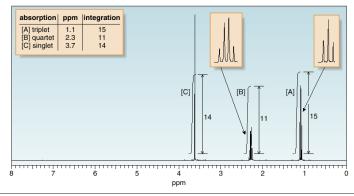
Figure 14.13 The 6.5–8 ppm region of the 1H NMR spectrum of three benzene derivatives



 The appearance of the signals in the 6.5–8 ppm region of the ¹H NMR spectrum depends on the identity of Z in C₆H₅Z.

14.10 Structure Determination *How To* Use ¹H NMR Data to Determine a Structure

Example Using its ¹H NMR spectrum, determine the structure of an unknown compound X that has molecular formula $C_4H_8O_2$ and contains a C=O absorption in its IR spectrum.



Step [1] Determine the number of different kinds of protons.

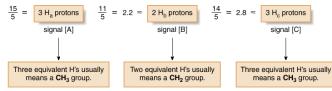
- The number of NMR signals equals the number of different types of protons.
 This molecule has three NMR signals ([A], [B], and [C]) and therefore three types of protons (H_a, H_b, and H_c).

53

How To, continued . . .

Step [2] Use the integration data to determine the number of H atoms giving rise to each signal (Section 14.5).

- Total number of integration units: 14 + 11 + 15 = 40 units
- Total number of protons = 8
- Divide: 40 units/8 protons = 5 units per proton
- Then, divide each integration value by this answer (5 units per proton) and round to the nearest whole number.



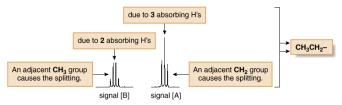
How To, continued . . .

Step [3] Use individual splitting patterns to determine what carbon atoms are bonded to each other.

 Start with the singlets. Signal [C] is due to a CH₃ group with no adjacent nonequivalent H atoms. Possible structures include:

$$CH_3O-$$
 or CH_3- or CH_3-

- Because signal [A] is a **triplet**, there must be **2 H's** (CH₂ group) on the adjacent carbon.
- Because signal [B] is a quartet, there must be 3 H's (CH₃ group) on the adjacent carbon.
- This information suggests that X has an ethyl group ---→ CH₃CH₂-.



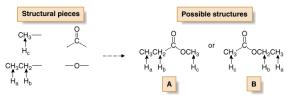
To summarize, \mathbf{X} contains $\mathrm{CH_3-}$, $\mathrm{CH_3CH_2-}$, and $\mathrm{C=O}$ (from the IR). Comparing these atoms with the molecular formula shows that one O atom is missing. Because O atoms do not absorb in a $^1\mathrm{H}$ NMR spectrum, their presence can only be inferred by examining the chemical shift of protons near them. O atoms are more electronegative than C, thus deshielding nearby protons, and shifting their absorption downfield.

55

How To, continued . . .

Step [4] Use chemical shift data to complete the structure.

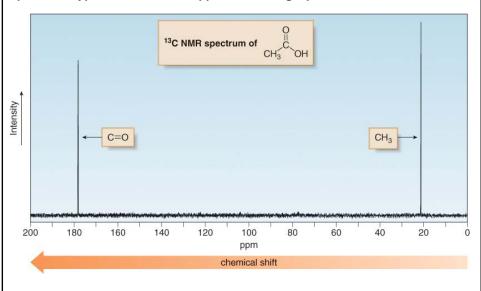
- Put the structure together in a manner that preserves the splitting data and is consistent with the reported chemical shifts.
- In this example, two isomeric structures (A and B) are possible for X considering the splitting data only:



- Chemical shift information distinguishes the two possibilities. The electronegative O atom deshields adjacent H's, shifting them downfield between 3 and 4 ppm. If A is the correct structure, the singlet due to the CH₃ group (H_o) should occur downfield, whereas if B is the correct structure, the quartet due to the CH₂ group (H_b) should occur downfield.
- Because the NMR of X has a singlet (not a quartet) at 3.7, A is the correct structure.



¹³C Spectra are easier to analyze than ¹H spectra because the signals are not split. Each type of carbon atom appears as a single peak.

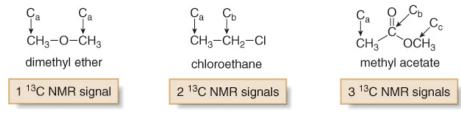


13C NMR

- The lack of splitting in a ¹³C spectrum is a consequence of the low natural abundance of ¹³C.
- Recall that splitting occurs when two NMR active nuclei—like two protons—are close to each other. Because of the low natural abundance of ¹³C nuclei (1.1%), the chance of two ¹³C nuclei being bonded to each other is very small (0.01%), and so no carbon-carbon splitting is observed.
- A ¹³C NMR signal can also be split by nearby protons. This ¹H-¹³C splitting is usually eliminated from the spectrum by using an instrumental technique that decouples the proton-carbon interactions, so that every peak in a ¹³C NMR spectrum appears as a singlet.
- The two features of a ¹³C NMR spectrum that provide the most structural information are the number of signals observed and the chemical shifts of those signals.

14.11A ¹³C NMR—Number of Signals

- The number of signals in a ¹³C spectrum gives the number of different types of carbon atoms in a molecule.
- Because ¹³C NMR signals are not split, the number of signals equals the number of lines in the ¹³C spectrum.
- In contrast to the ¹H NMR situation, peak intensity is not proportional to the number of absorbing carbons, so ¹³C NMR signals are not integrated.



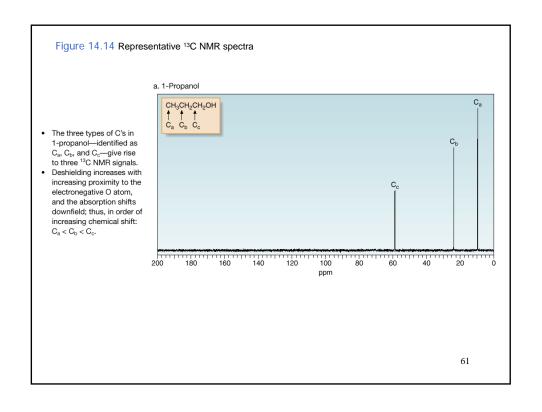
Both C's are equivalent.

59

14.11B ¹³C NMR—Position of Signals

- In contrast to the small range of chemical shifts in ¹H NMR (1-10 ppm usually),
 ¹³C NMR absorptions occur over a much broader range (0-220 ppm).
- The chemical shifts of carbon atoms in ¹³C NMR depend on the same effects as the chemical shifts of protons in ¹H NMR.

Copyright © The McGraw-Hill Companies, Inc. Permission required for reproduction or display.					
<i>Table 14.5</i>	Common ¹³ C Chemical Shift Values				
Type of carbon	Chemical shift (ppm)	Type of carbon	Chemical shift (ppm)		
sp ³	5–45	C=C	100–140		
	30–80	<u> </u>	120–150		
Z = N, O, X —C≡C—	65–100	c=0	160–210		
			60		



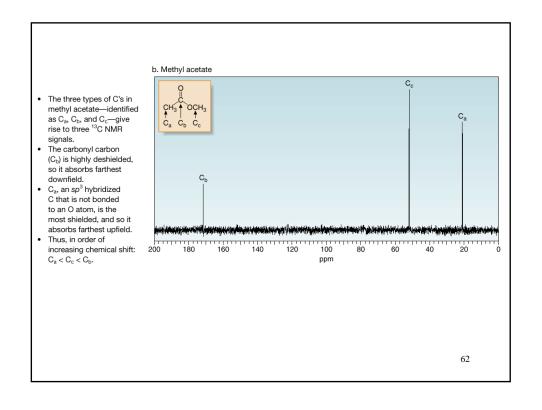


Figure 14.15 The MRI image of the lower back

An MRI instrument is especially useful for visualizing soft tissue. In 2002, 60 million MRI procedures were performed. The 2003 Nobel Prize in Physiology or Medicine was awarded to chemist Paul C. Lauterbur and physicist Sir Peter Mansfield for their contributions in developing magnetic resonance imaging.



- A: Spinal cord compression from a herniated disc
 B: Spinal cord (would not be visualized with conventional X-rays)

