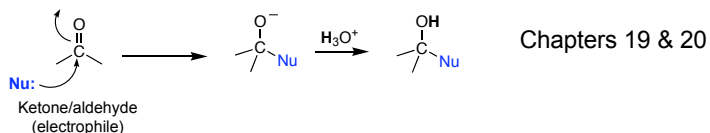


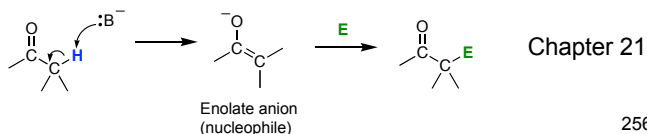
## Chapter 23. Carbonyl Condensation Reactions

As a result of the large dipole of the carbonyl group:

1. The carbonyl carbon is electrophilic and is the site of addition reactions by nucleophiles;



2. The  $\alpha$ -protons are acidic and can be deprotonated by strong bases to give an enolate, which are nucleophiles and react with electrophiles.



### 23.1 Mechanism of Carbonyl Condensation Reactions

An enolate of one carbonyl (nucleophile) reacts with the carbonyl carbon (electrophile) of a second carbonyl compound (1,2-addition reaction) resulting in the formation of a new C-C bond

General mechanism (Fig. 23.1, page 855):

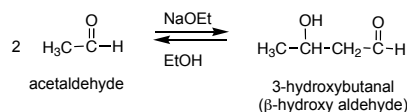
Nucleophilic carbonyl: aldehydes, ketones, esters, amides and nitrile

Electrophilic carbonyl: aldehydes, ketones,  $\alpha,\beta$ -unsaturated ketones, and esters

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## 23.2 Condensations of Aldehydes and Ketones: The Aldol Reaction

The base-catalyzed self-condensation reaction of acetaldehyde gives 3-hydroxybutanal (aldol)

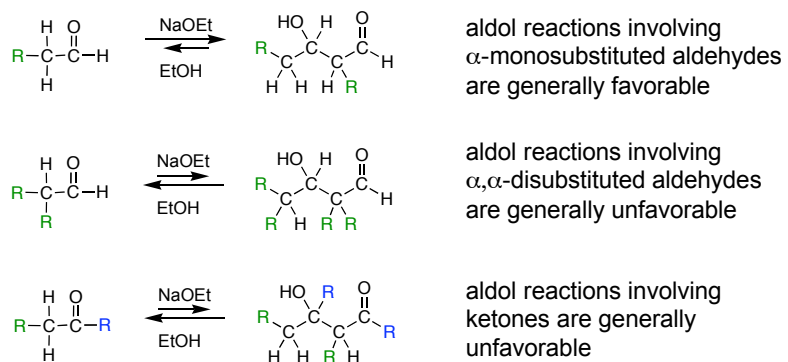


General mechanism of the aldol reaction (Fig. 23.2, page 857)

The base-catalyzed aldol reaction (NaOEt, EtOH) is reversible

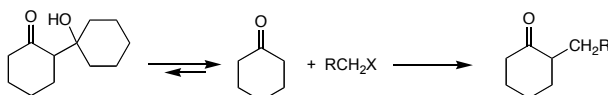
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The position of the equilibrium for the aldol reaction is highly dependent on the reaction conditions, substrates, and steric considerations of the aldol product.

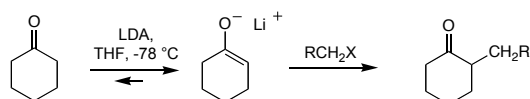


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### 23.3 Carbonyl Condensation Reactions versus Alpha-Substitution Reactions



How do you suppress carbonyl condensation during an  $\alpha$ -alkylation reaction??



The enolate is discretely and quantitatively generated with LDA at low temperature, then the alkyl halide is added to the solution of the enolate.

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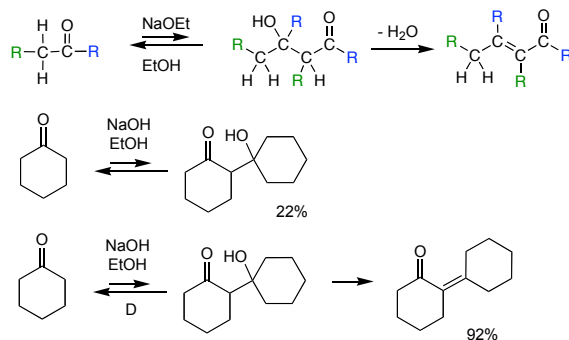
### 23.4 Dehydration of Aldol Products: Synthesis of Enones

The  $\beta$ -hydroxy carbonyl product of the aldol reaction can undergo dehydration to yield a conjugated enones; this step is irreversible and is catalyzed by either acid or base.

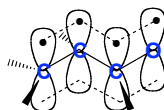
Mechanisms (p. 859)

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The aldol reaction can be driven toward products by dehydration

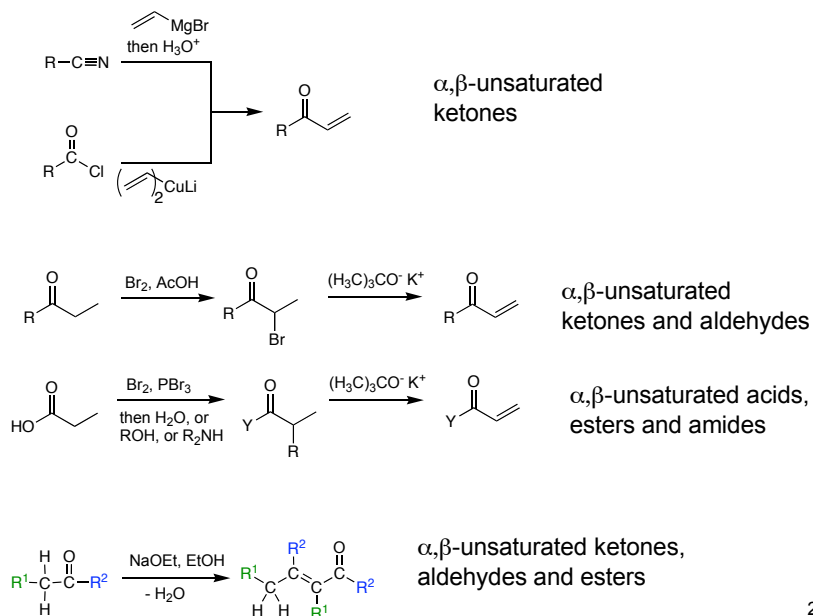


The  $\pi$ -orbitals of the C=C and C=O are in conjugation, which is a stabilizing influence of  $\alpha,\beta$ -unsaturated carbonyls



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Synthesis of  $\alpha,\beta$ -unsaturated carbonyl compounds

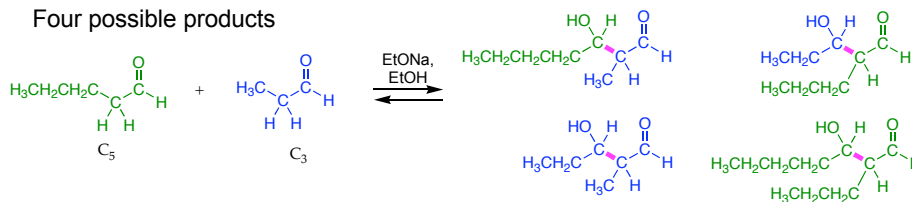


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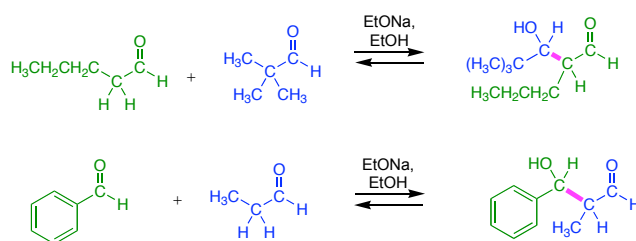
## 23.6 Mixed Aldol Reactions

### Aldol reaction between two different carbonyl compounds

Four possible products

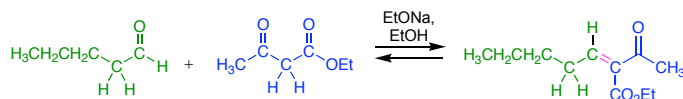


Aldehydes with no  $\alpha$ -protons can only act as the electrophile

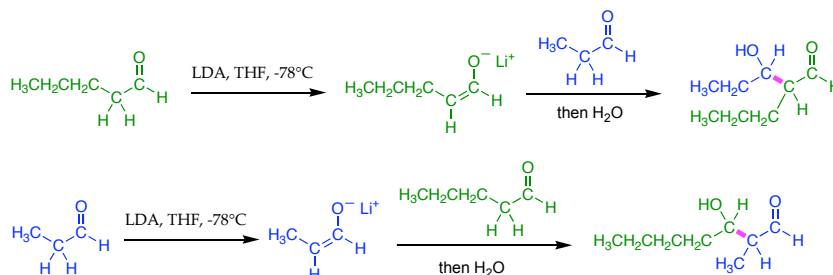


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One of the carbonyl compounds is significantly more acidic



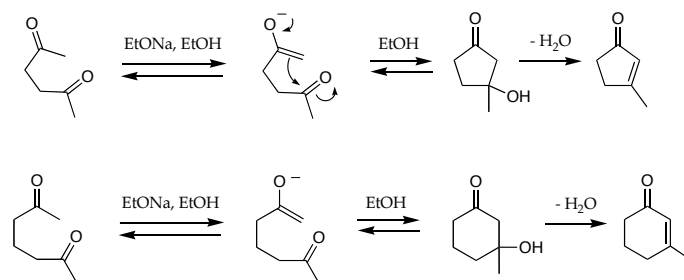
Discrete (*in situ*) generation of an enolate with LDA



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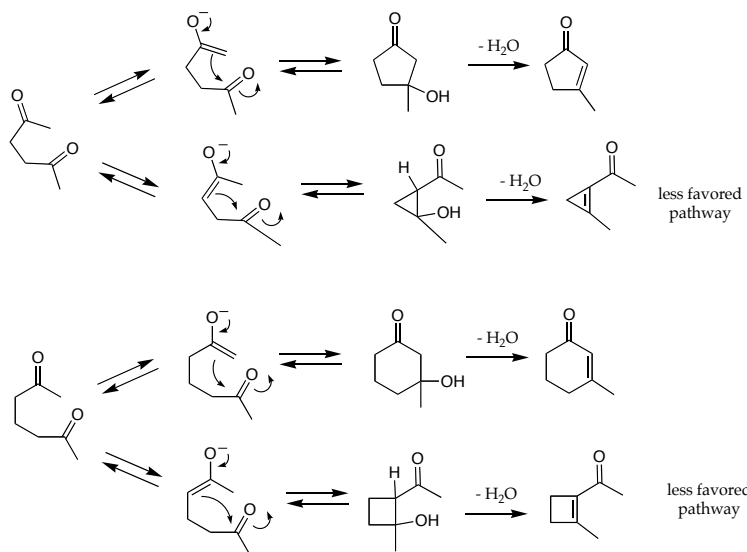
### 23.7 Intramolecular Aldol Reactions

Treatment of a dicarbonyl compound can lead to an intramolecular aldol condensation. Formation of five- and six-membered rings are favorable.









266

More favorable ring sizes (less strained) are made from intramolecular reactions



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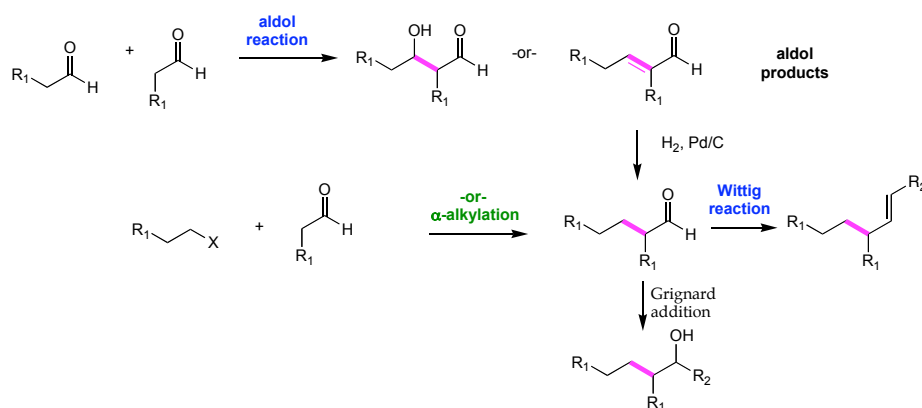
Figure 4.8 (page 111)

	Cycloalkane	Ring Size (n)	$\Delta H_{\text{comb}}$ KJ/mol	$\Delta H_{\text{comb}}$ per $-\text{CH}_2-$ KJ/mol	Total Strain Energy
Strained rings		3	2090	698	115
		4	2744	686	110
Common rings		5	3220	664	27
		6	3952	659	0
		7	4637	662	27
Medium rings		8	5310	664	42
	Cyclononane	9	5981	665	54
< 12 Large rings	Cyclodecane	10	6636	664	50
	Cyclopentadecane	15	9985	659	0
	Alkane reference			659	0

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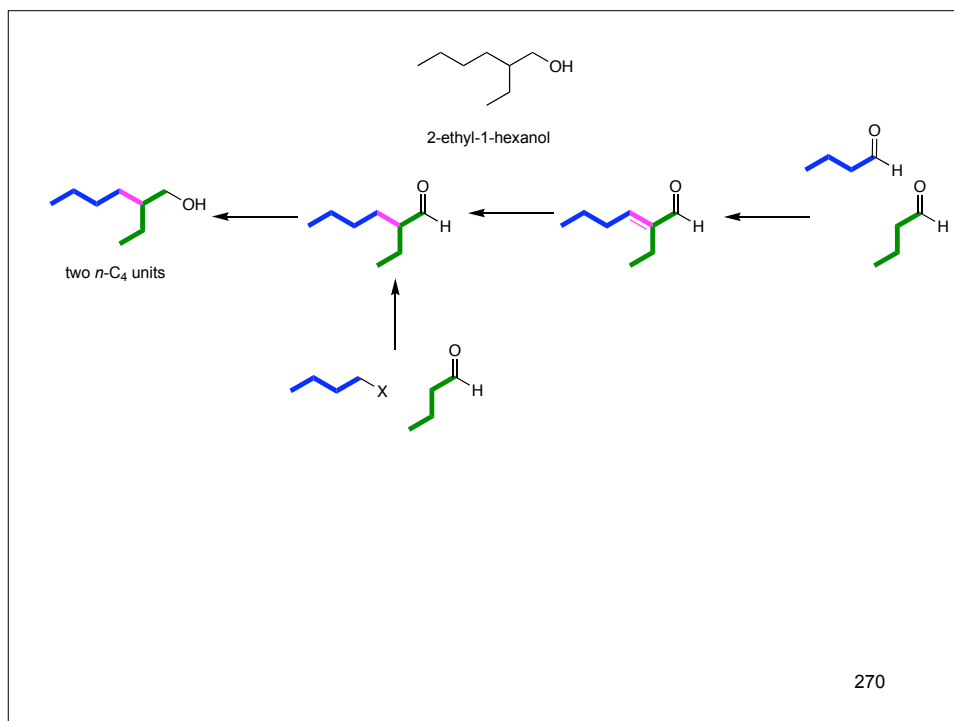
### 23.5 Using Aldol Reactions in Synthesis

Very important C-C bond forming reaction. Readily make large carbon skeletons from smaller ones



Robert B. Woodward (Harvard): 1965 Nobel Prize in Chemistry  
 "for his outstanding achievements in the art of organic synthesis"

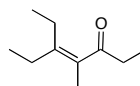
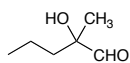
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**Problem 23.5:** Which of the following are aldol condensation products?

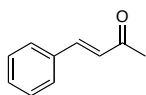
a. 2-hydroxy-2-methylpentanal

b. 5-ethyl-4-methyl-4-hepten-3-one

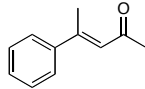


**Problem 23.8:** Which of the following can probably be prepared by a mixed aldol reaction?

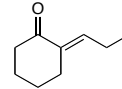
a.



b.



c.

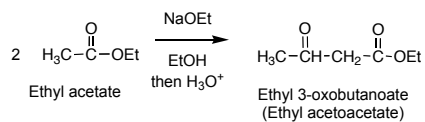


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## 23.8 The Claisen Condensation Reaction

Base catalyzed condensation of two esters to give a  $\beta$ -keto-ester product



Mechanism: has features of the aldol and nucleophilic acyl substitution reactions (Fig. 23.5, page 866)

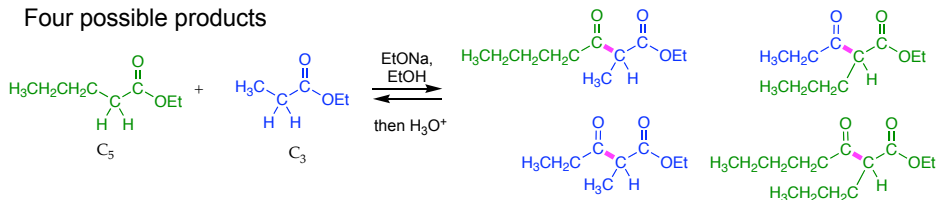
272

The product  $\beta$ -keto ester product of the Claisen condensation is more acidic than the reactants; deprotonation of the product drives the reaction forward. One full equivalent of base must be used in the Claisen condensation.

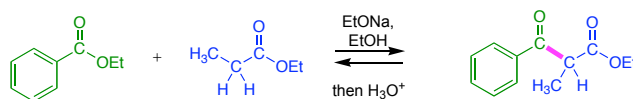
## 23.9 Mixed Claisen Condensation

Strategies are similar to that of the mixed aldol reaction.

Four possible products

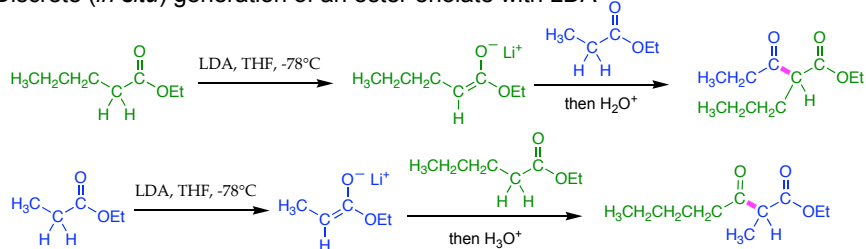


Esters with no  $\alpha$ -protons can only act as the electrophile

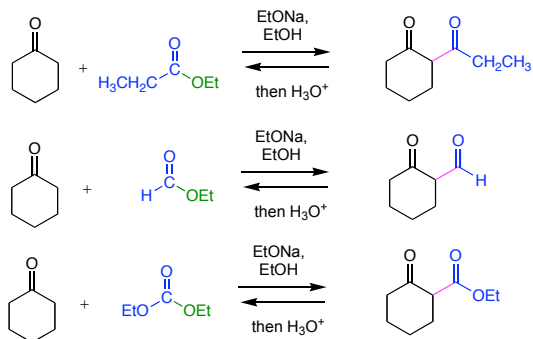


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Discrete (*in situ*) generation of an ester enolate with LDA



Mixed Claisen condensations with a ketone enolate and esters



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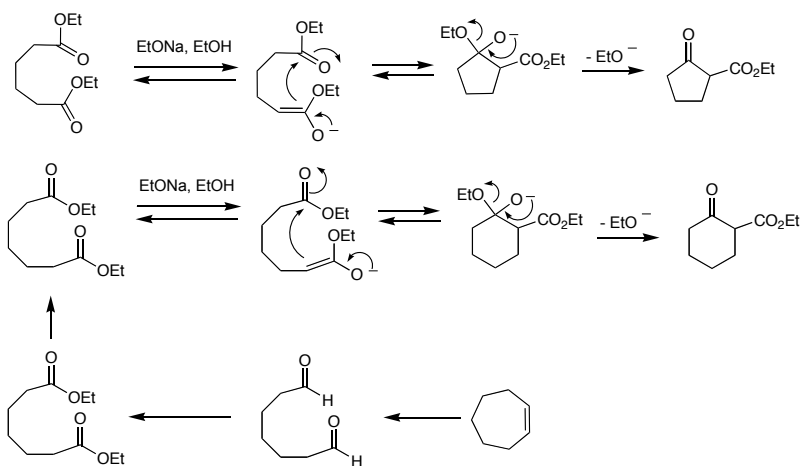
23.10 Intramolecular Claisen Condensations:  
The Dieckmann Cyclization

Dieckmann cyclization is an intramolecular Claisen condensation.

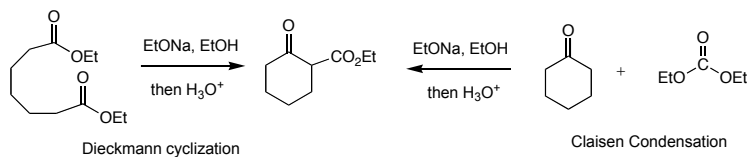
Mechanism: same as the Claisen (Fig. 23.6, page 879)

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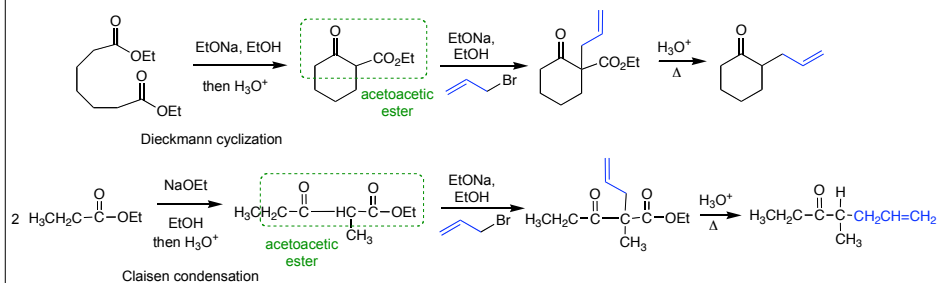
Dieckmann Cyclization works best with 1,6-diester, to give a 5-membered cyclic  $\beta$ -keto ester product, and 1,7-diester to give 6-membered cyclic  $\beta$ -keto ester product.



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The product of a Claisen condensation or Dieckmann cyclization is an acetoacetic ester ( $\beta$ -keto ester)

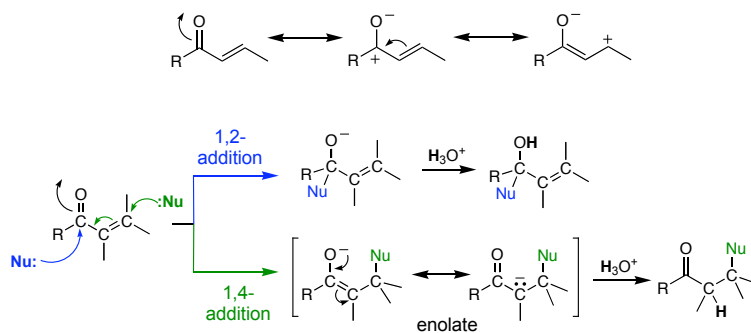


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### 23.11 The Michael Reaction

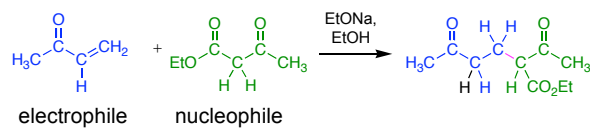
The conjugate (1,4-) addition of an enolate with an  $\alpha,\beta$ -unsaturated ketone

Recall from Chapter 19.14



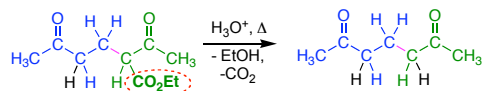
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The Michael reaction: works best with enolates of  $\beta$ -dicarbonyls.



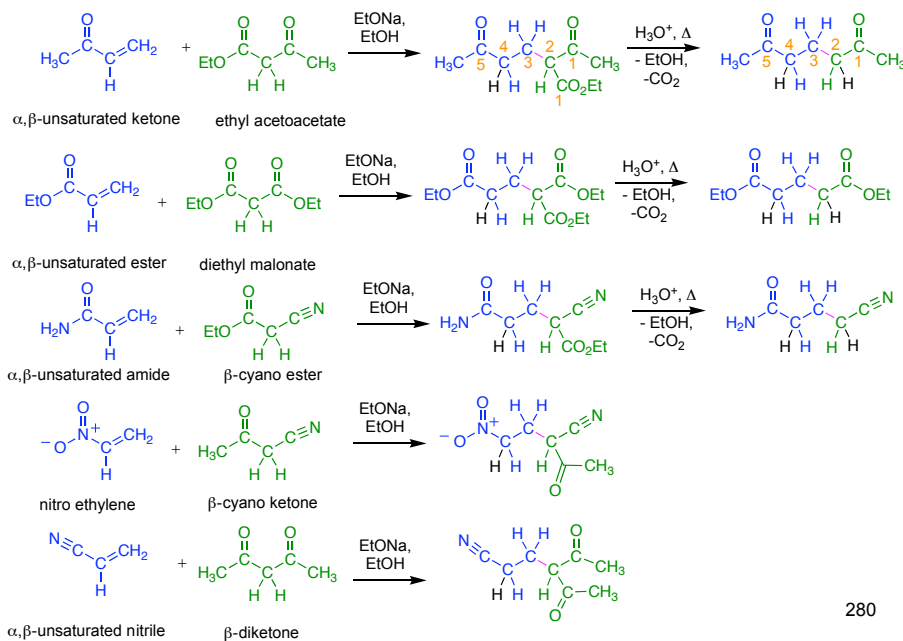
Mechanism (Fig 23.7, page 872)

This Michael addition product can be decarboxylated



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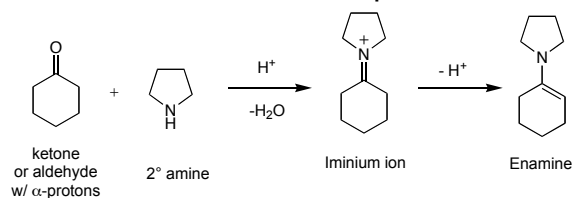
A Michael addition product is a 1,5-dicarbonyl compound



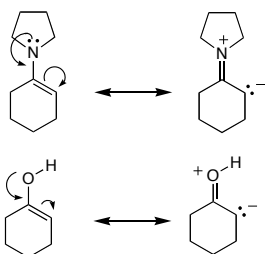
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### 23.12 The Stork Enamine Reaction

recall enamine formation from Chapter 19.9



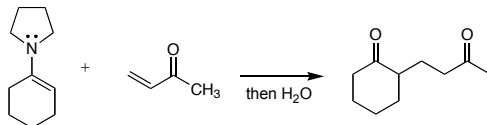
Enamines are reactive equivalents of enols and enolates



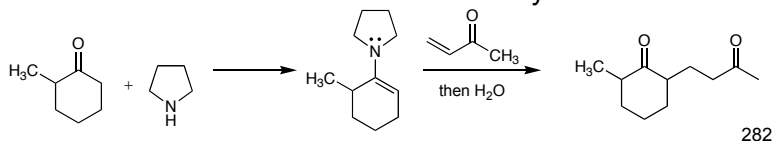
Enamines undergo  $\alpha$ -substitution with electrophiles

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Reaction of enamine with  $\alpha,\beta$ -unsaturated ketones (Michael reaction). Mechanism: Page 875



Enamines react on the less hindered side of unsymmetrical ketones

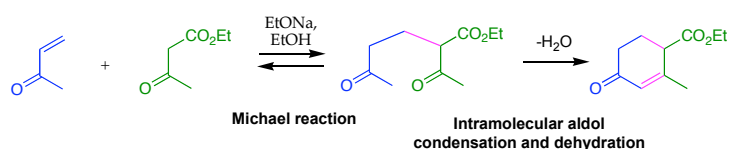


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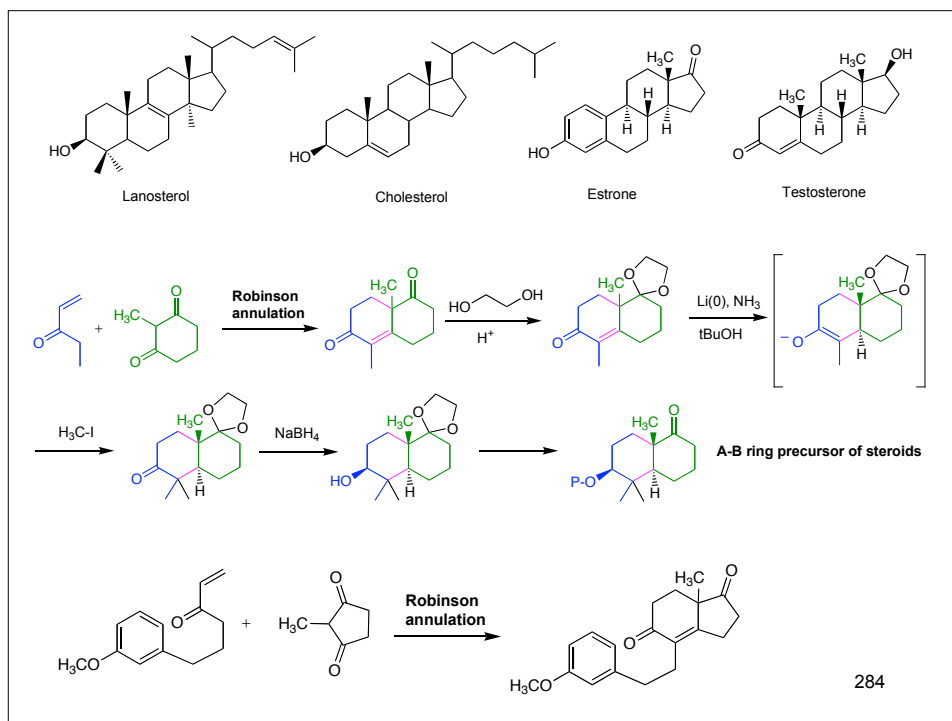
### 23.13 Carbonyl Condensation Reactions in Synthesis: The Robinson Annulation Reaction

annulation: to build a ring onto a reaction substrate.

Robinson annulation: two stage reaction involving a Michael reaction followed by an intramolecular aldol reaction.

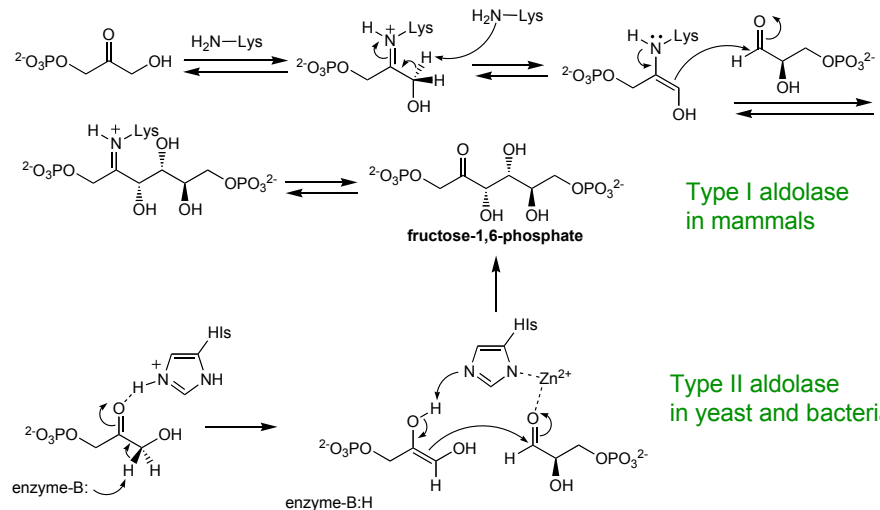


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### 23.14 Biological Carbonyl Condensation Reactions (please read)

Aldolase enzyme: involved in carbohydrate biosynthesis



Enzymatic Claisen condensations in Chapter 27

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