

REPLACES B.6.3	PENNSYLVANIA DEPARTMENT OF TRANSPORTATION PROJECT OFFICE MANUAL	PART B	SECTION 6	PAGE 3-1
DATED 04/01/2016		DATE April 1, 2017		
SUBJECT CONSTRUCTION MATERIAL CERTIFICATION, FORMS CS-4171, CS-4171B, CS-4171C, CS-4171F, AND CS-4171LA, <u>CS-4171S, CS-4171SI</u>				

Construction Material Certification

Bulletin materials must be certified to be from a Bulletin source and to be in compliance with the material specification requirements, using Form [CS-4171](#), Certificate of Compliance. Bulletin materials are defined in Publication 408, Section 106.02(a)1.

As indicated in POM Sections B.6.1 and B.6.2, the contractor is required to obtain properly completed certifications for all Bulletin materials delivered to a project.

Acceptance of the manufacturer's certification is not a substitute for Verification or Assurance Sampling. In addition, the Department reserves the right to inspect and test any material. All certified material should be examined carefully at the delivery point to validate the certifications and to check the material quality with the specifications.

If the material appears questionable, sampling and testing by LTS is recommended (even if it has the appropriate CS-4171)

Alternate or Supplemental Certification

All material listed in Bulletin 15 will be accepted solely by certification Form CS-4171, except for specific types and classes of material. These exceptions include structural steel, aluminum, or precast/prestressed concrete products produced in a Bulletin 15 approved facility with an on-site state inspector or a state representative. The inspector or representative will stamp acceptable fabricated materials that are furnished with the Form CS-4171 prepared by the fabricator, prior to shipment to the project.

Sections 701 and 702, Publication 408 material must be certified by the bill of lading method (all product quality is verified by the Laboratory Testing Section (LTS) testing).

Daily bituminous mixtures must be certified using Form [CS-4171B](#).

Locally approved non-Bulletin materials may be certified by submission of Form [CS-4171LA](#).

Fabricators of epoxy coated or galvanized reinforcement steel must supplement Form CS-4171 with Form [CS-4171F](#) (Fabrication Facility). Epoxy coaters and galvanizers of reinforcement steel must supplement Form CS-4171 with Form [CS-4171C](#) (Epoxy Coating or Galvanizing Facility). Forms CS-4171C and CS-4171F provide traceability for the materials used in the manufacturing of epoxy coated and galvanized reinforcement.

The manufacturers of products containing foreign steel may must use the supplemental form CS-4171S to certify the foreign steel content in its products and to determine compliance with the

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PA Steel Products Procurement Act and FHWA Buy America. Receipts showing the cost of the domestic and foreign steel must be submitted with the Form CS-4171S.

The producers of structural products, containing foreign steel and receiving in-plant inspection by a Department Inspector or Department Representative, ~~may~~ must use the supplemental form CS-4171SI to certify the foreign steel content in its products and to allow the In-Plant Inspector (Department Inspector or Department Representative) to verify that the corresponding receipts/invoices are accurate and on file.

Form CS-4171 Completion

Form CS-4171 is completed by the manufacturer, fabricator, or producer of Bulletin material provided to the project or to a distributor/supplier. When material is provided to a distributor/supplier, the distributor/supplier completes a new Form CS-4171 for the material shipped to the project.

The Originator of the Form CS-4171 always maintains the original form and provides a copy of Form CS-4171 for each direct shipment of material.

The following items must be filled in on form CS-4171:

1. Job Description (To be completed by the party that ships the material to the project, otherwise leave blank)
 - a. County
 - b. State Route (Legal Route) and Section (Segment)
2. Manufacturer, Fabricator, Coater, Precaster, or Producer's name listed in Bulletin 14, 15, 41 or 42.
3. Publication 408 Section, AASHTO, ASTM, Federal, or other designation applicable to the material
4. Shipping destination (Manufacturer, Fabricator, Coater, Precaster, Producer, Supplier, Distributor, or Contractor)
5. Material Identity
 - a. Lot Number
 - b. Quantity shipped (include units, e.g. feet, lbs., etc....)
 - c. Material description as it appears in Bulletin 14 or Bulletin 15
6. Appropriate boxes checked for iron or steel products
7. Appropriate box checked for vendor classification
8. Vendor Identification
 - a. Name of Vendor representative
 - b. Representative's title
 - c. Company Name
 - d. Representative's signature
 - e. Date signed
9. Vendor's source (when vendor classification box #2 is checked. See instructions)

For any materials listed in Bulletin 15 produced from 'unidentifiable' steel as defined in Section 106.01 of Publication 408, the Bulletin 15 supplier must provide certified mill test reports

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or other acceptable certification from the steel producer (in addition to Form CS-4171) that positively identifies that the steel product was "melted and manufactured in the United States". For any materials that are not listed in Bulletin 15 and which contain either 'identifiable' or 'unidentifiable' steel as defined in Section 106.01, the contractor must furnish documentation including invoices, bills of lading, mill test reports or other acceptable certification from the supplier that positively identifies that the steel product was "melted and manufactured in the United States". To facilitate certification documentation reviews by field staff and to improve traceability, suppliers may provide additional handwritten markups on the documentation. For example, if a guiderail manufacturer's bill of lading lists its 'lot number' for steel, this lot number may be marked on the appropriate mill certification document.

Form CS-4171 Submission for Project Shipments

A properly completed and signed copy of Form CS-4171 must be received for each project shipment of material.

Certification should be distributed as follows:

1. Original copy retained by the originating company
2. One copy sent with the shipment to the next destination (i.e. either to the project, to the next manufacturer, or to a distributor/supplier).
3. If a manufacturer or fabricator adds to, modifies or changes a product, it must complete a new CS-4171 certifying the work performed.

A copy of the new Form CS-4171 must be forwarded to the next manufacturer, to the distributor/supplier, or to the project. If items contain steel, copies of the appropriate CS-4171 forms and mill certifications, when required, from all different primary steel manufacturers must be forwarded as well.

4. If a distributor/supplier ships the material to the project, it must complete a new CS-4171 certifying that the material being supplied is the same as that provided to them by the manufacturer. The name of the manufacturer and the quantity of material provided to the project must be listed on the CS-4171.

The contractor may divert material from one project to another or from contractor stock, provided the material is the same as produced under the original certification and the appropriate certification documentation is provided. This is the only instance in which an original certification is required at the project along with a newly completed certification for the amount of material diverted or shipped from the contractor. (Examples #4 and #5)

Form CS-4171 is to be sent with each shipment of material(s) to the job site or submitted electronically to the project. Copies of original mill certifications or other documentation, when required and as described above, must be attached to the CS-4171 submitted to the Department. Electronic submission requirements for material certification documentation (e.g. where to submit – Sharepoint, resource account, etc....) should be established at the Pre-Construction Conference.

Manufacturers or suppliers are permitted to submit a computer-generated certification document identical to the current CS-4171 (i.e. the same information in the same format as the

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current CS-4171) ONLY if the document is approved by the BOPD, Construction and Materials Division, New Products and Innovations Section. Manufacturers and suppliers who have been approved by NPI to submit a computer-generated CS-4171 certification document will be designated as such in Bulletin 15.

CS-4171 Record Retention

Manufacturers, fabricators, coaters, precasters, or producers providing materials to the project will retain copies of Form CS-4171 and all other certifications for components, sub-assemblies, or subcomponents that have been incorporated into the finished product, for a period of not less than three (3) years from the date of shipment to a Department project or from the date of shipment to a distributor supplying Department projects. These files must be available for inspection by a Department representative. Failure to have proper certifications on hand at time of inspection will be grounds for removal from Bulletin 15.

Improper or Missing Material Certification

Material is not to be incorporated into the work or paid on estimates without proper certification to verify material specification compliance.

Material listed in Bulletin 15 that is delivered to the project without the appropriate certification and documentation should be returned to the vendor or stocked in an isolated area. Do not use this material until certification is received or until Project-Specific LTS approval, per Publication 408 Section 106.02(a)2.b, is obtained. If circumstances require incorporation of the material (e.g. guiderail installation), no payment is to be made until proper certification is received or Project-Specific LTS approval is obtained. Non-payment, however, cannot be used to circumvent Buy America requirements. Incorporation of any material failing to comply with Buy America requirements is not allowed on any Federal-Aid project without the prior approval of FHWA.

Material Certification Examples

The following examples are included to assist project personnel in reviewing CS-4171 forms to determine if the submitted forms meet the documentation requirements. Form CS-4171 is included (with instructions for completion) in the Appendix.

Example 1:

This example shows how a manufacturer of precast products, who is listed in Bulletin 15, certifies material shipped directly to a Department project.

The manufacturer (precaster) initiates one (1) Form CS-4171 that covers the quality and quantity of the item(s) and sends a copy along with the shipment to the job site. The original CS-4171 is maintained on file at the manufacturer's facility as per Publication 408, Section 106.03(b)3. For products containing steel, copies of the original mill certifications or the CS-4171 forms from the steel manufacturer must be maintained in the same file with the original CS-4171 at the manufacturer's facility.

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The contractor retains all certifications of quality and quantity that it receives from the manufacturer/supplier and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 to the Department Representative for each material shipment delivered to the jobsite.
See example certification #1 attached.

Example 2:

*This example shows how a supplier, who is **not** listed in Bulletin 15, ships materials produced by a manufacturer, who **is** listed in Bulletin 15, to a Department project.*

The general supply house sends one (1) CS-4171 to the job site. This CS-4171 would cover the quality and quantity of all components and items supplied. The original CS-4171 is kept on file at the general supply house’s main facility. The supplier is to maintain the original copy of the CS-4171 along with copies of all prior certifications. For products containing ‘unidentified’ steel (as defined in Section 106.01), steel other than fabricated structural steel, or precast/prestressed products receiving in-plant inspection, copies of the original mill certifications indicating the steel products were melted and manufactured in the United States are required to be furnished along with Form CS-4171 and maintained in the same file with the original CS-4171 at the supplier’s facility as per Publication 408, Section 106.03(b)3.

The contractor retains all certifications of quality and quantity that it receives from the manufacturer/supplier and maintains these certifications on file as per Publication 408, Section 106.03(b)3 . The contractor submits a copy of the CS-4171 to the Department Representative for each material shipment delivered to the jobsite.

See example certification #2 attached.

Example 3, Example 3.1 and Example 3.2:

These examples show how reinforcement steel (black, epoxy coated or galvanized) is certified by the fabricator who ships the fabricated reinforcement steel to a Department project.

The fabricator would retain copies of all certifications of quality and quantity that it receives from the epoxy coater or galvanizer (CS-4171 and CS-4171C, when applicable). These certifications would be kept on file as per Publication 408, Section 106.03(b)3 and Section 709.5. If the reinforcement is not identifiable, as defined in Section 106.01, copies of the original mill certifications indicating the steel products were melted and manufactured in the United States are required to be furnished along with Form CS-4171.

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For Black Steel Reinforcement:

The fabricator initiates a new CS-4171 to accompany the shipment to the contractor, along with certified mill test reports, if required. On the CS-4171, line 5 must contain the grade of steel and size of the reinforcement, the length or type of reinforcement, the number of pieces (quantity), and the name and heat number from the original mill certification. This CS-4171 from the fabricator would cover the quality and quantity of all components and items supplied and would also include fabrication, cutting and bending. The original will be maintained in a file at the fabricator's location. For reinforcement that is unidentifiable, as defined in Section 106.01, copies of the original mill certifications and the CS-4171 forms from the steel manufacturer must also be maintained in the same file with the original CS-4171 at the fabricator's facility as per Publication 408, Section 106.03(b)3.

The contractor retains all certifications of quality and quantity that it receives from the fabricator and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 and certified mill test reports, if the material is unidentifiable to the Department Representative for each material shipment delivered to the jobsite.

See example certification #3 attached.

For Epoxy Coated Reinforcement:

The fabricator initiates a new CS-4171, certified mill test reports (for unidentifiable steel), and CS-4171F to accompany the shipment to the contractor. On the CS-4171, line 5 may refer to the CS-4171F. The CS-4171F must contain the fabricator's name and location, the fabricator's Bulletin 15 supplier code, the reinforcement steel specification and steel grade, a Structure Number when applicable, the fabricator's Bill of Lading number and Bill of Lading date, the designated size of the reinforcement steel, the reinforcement steel Bulletin 15 supplier code, heat number from mill certification, the epoxy coater's lot/tag number, the quantity and unit of measure, the epoxy coater's Bulletin 15 supplier code, the epoxy powder manufacturer's Bulletin 15 supplier code and the powder manufacturer's lot number applied to the reinforcement. The CS-4171, certified mill test reports, and CS-4171F from the fabricator would cover the quality and quantity of all components and items supplied and would also include fabrication, cutting and bending. The original will be maintained in a file at the fabricator's location. Copies of the original mill certifications and/or the CS-4171 forms from the steel manufacturer must also be maintained in the same file with the original CS-4171 at the fabricator's facility as per Publication 408, Section 106.03(b)3 and Section 709.5.

The contractor retains all certifications of quality and quantity that it receives from the fabricator and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171, mill certifications for unidentifiable reinforcement steel and CS-4171F to the Department Representative for each material shipment delivered to the jobsite.

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See example certification #3.1 (2 pages) attached.

For Galvanized Reinforcement Bar:

The fabricator initiates a new CS-4171 and CS-4171F to accompany the shipment to the contractor. On the CS-4171, line 5 may refer to the CS-4171F. The CS-4171F must contain the fabricator's name and location, the fabricator's Bulletin 15 supplier code, the reinforcement steel specification and steel grade, a Structure Number when applicable, the fabricator's Bill of Lading number and Bill of Lading date, the designated size of the reinforcement steel, the reinforcement steel Bulletin 15 supplier code, heat number from mill certification, the galvanizer's lot/tag number, the quantity and unit of measure, the galvanizer's Bulletin 15 supplier code. The CS-4171, certified mill test reports and the CS-4171F from the fabricator would cover the quality and quantity of all components and items supplied and would also include fabrication, cutting and bending. The original will be maintained in a file at the fabricator's location. Copies of the original mill certifications and/or the CS-4171 forms from the steel manufacturer must also be maintained in the same file with the original CS-4171 at the fabricator's facility as per Publication 408, Section 106.03(b)3 and Section 709.5.

The contractor retains all certifications of quality and quantity that it receives from the fabricator and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171, mill certifications for unidentifiable reinforcement steel and CS-4171F to the Department Representative for each material shipment delivered to the jobsite.

See example certification #3.2 (2 pages) attached.

Example 4:

This example shows how a contractor certifies material that is transferred from one project to another.

The manufacturer initiates one (1) Form CS-4171 that covers the quality and quantity of the item(s) and sends a copy along with the shipment to the initial job site. The original CS-4171 is maintained on file at the manufacturer's facility as per Publication 408, Section 106.03(b)3. For products containing unidentified steel, copies of the original mill certifications and the CS-4171 forms from the steel manufacturer must be maintained in the same file with the original CS-4171 at the manufacturer's facility.

The contractor retains all certifications of quality and quantity that it receives from the manufacturer/supplier and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 to the Department Representative for each material shipment delivered to the jobsite.

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To transfer material to another project, the **contractor** initiates a new CS-4171 that includes the QUANTITY that will be transferred to the second or subsequent projects. The contractor will also make a copy of the CS-4171 that accompanied the shipment when the material was originally delivered to the first project. The contractor will attach the original certification to the transfer certification, including copies of certified mill test reports for products containing unidentified steel, as defined in Section 106.01.

Add a note in line 5 that identifies the project that the material was transferred from. The contractor retains all certifications of quality and quantity that it receives from the first project and must maintain these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 to the Department Representative for each material shipment delivered to the jobsite.

See example certification # 4 (2 pages) attached.

Example 5:

This example shows how a contractor certifies material that is delivered to his stockyard and is later distributed to multiple projects at a later date.

The manufacturer initiates one (1) Form CS-4171 that covers the quality and quantity of the item(s) and sends a copy along with the shipment to the initial job site. The original CS-4171 is maintained on file at the manufacturer's facility as per Publication 408, Section 106.03(b)3. For products containing unidentified steel, copies of the original mill certifications and the CS-4171 forms from the steel manufacturer must be maintained in the same file with the original CS-4171 at the manufacturer's facility.

To transfer material to a project, the **contractor** initiates a new CS-4171 that includes the QUANTITY that will be shipped to the jobsite. The contractor will also make a copy of the CS-4171 that accompanied the shipment when the material was originally delivered to the contractor's stockyard. The contractor will attach the original certification to the transfer certification, including copies of certified mill test reports for products containing unidentified steel, as defined in Section 106.01.

Add a note in line 5 that identifies that the material was transferred from contractor stock.

The contractor retains all certifications of quality and quantity that it receives from the manufacturer/supplier and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 to the Department Representative for each material shipment delivered to the jobsite, including certified mill test reports for products containing unidentified steel.

See example certification #5 (2 pages) attached.

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Example 6:

This example shows how a manufacturer with Department in-plant inspection of its precast, prestressed or structural steel products which contain domestic and foreign steel, who is listed in Bulletin 15, certifies material shipped directly to a Department project.

The manufacturer (~~precaster~~) initiates one (1) Form CS-4171 that covers the quality and quantity of the item(s) and one (1) Form CS-4171SI that documents the cost of the domestic and foreign steel included in the product. Upon verification and acceptance via invoices received by the manufacturer/supplier who will retain this documentation to prove that the requirements of Section 106 of Publication 408 have been met, tThe manufacturer (~~precaster~~) sends a copy of both forms along with the shipment to the job site. The original CS-4171 is maintained on file at the manufacturer's facility as per Publication 408, Section 106.03(b)3. For products containing steel, copies of the original mill certifications or the CS-4171 forms from the steel manufacturer must be maintained in the same file with the original CS-4171 at the manufacturer's facility.

The contractor retains all certifications of quality and quantity that it receives from the manufacturer/supplier and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 and CS-4171SI approved by the in-plant Department Inspector to the Department's field rRepresentative for each material shipment delivered to the jobsite.

See example certification #6 attached.

Example 7

This example shows how a manufacturer of a steel product containing domestic and foreign steel that does not receive in-plant inspection, who is listed in Bulletin 15, certifies material shipped directly to a Department project.

The manufacturer initiates one (1) Form CS-4171 that covers the quality and quantity of the item(s) and one (1) Form CS-4171S that documents the cost of the domestic and foreign steel included in the product. For products containing 'unidentified' steel (as defined in Section 106.01), copies of the original mill certifications indicating the steel products were melted and manufactured in the United States are required to be furnished along with Form CS-4171. Additionally, receipts verifying the cost of the product's foreign steel and domestic steel are required to be furnished with Form CS-4171S. The manufacturer sends a copy of both Forms CS-4171 and CS-4171S and required supporting documentation along with the shipment to the job site. The original CS-4171 is maintained on file at the manufacturer's facility as per Publication 408, Section 106.03(b)3. For products containing steel, copies of the original mill certifications or the CS-4171 forms from the steel manufacturer must be maintained in the same file with the original CS-4171 at the manufacturer's facility.

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The contractor retains all certifications of quality and quantity that it receives from the manufacturer/supplier and maintains these certifications on file as per Publication 408, Section 106.03(b)3. The contractor submits a copy of the CS-4171 and CS-4171S with receipts to the Department Representative for each material shipment delivered to the jobsite.

See example certification #7 attached.

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Example #1: Page 1 of 1 - Bulletin 15 Supplier (Precaster) to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

- ◆ **COUNTY:** Any County ◆ **LR/SR:** 00000 ◆ **SEC/SEG:** 000 ◆ **ECMS#:** 000000
 (◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)
- I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Precaster Listed in Bulletin 15 Supplier Code in Bulletin 15
 (Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)
- and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 714
AASHTO, ASTM, Federal or other designation _____
- The material listed below is being shipped to: Contractor Name
 (Company Name)
- | LOT NO. | QUANTITY | APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15
BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF. |
|-----------------|----------|--|
| <u>08/21/16</u> | <u>2</u> | <u>Precast Concrete Manhole Assemblies (Base, Riser, and Conical Top ea)</u> |
| | | |
| | | |
| | | |
- CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**

Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**

'Unidentified Steel' – Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**
- VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

<input checked="" type="checkbox"/> #1 Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42 <i>I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.</i>	<input type="checkbox"/> #2 Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9 <i>I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.</i>
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- NAME (print) :** Company Representative **TITLE:** Company Title
COMPANY NAME : Bulletin 15 Precaster Name
SIGNATURE : _____ **DATE:** _____
 By Responsible Company Official
- List company that sold you the material(s) documented above: _____
 (Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

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Example #2: Page 1 of 1 - Non Bulletin 15 Supplier to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** Any County **◆LR/SR:** 00000 **◆SEC/SEG:** 000 **◆ECMS#:** 000000
 (◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

- Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Manufacturer Name Supplier Code in Bulletin 15
 (Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 705.4(d)1.a

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: Contractor Name
 (Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF. Pavement Seal V-1625
X99	10,000 ft	

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

- Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America
 Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' – Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

- #1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42** #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Non Bulletin 15 Supplier Name

SIGNATURE : _____ **DATE:** _____
 By Responsible Company Official

9. List company that sold you the material(s) documented above: Bulletin 15 Manufacturer Name
 (Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

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Example #3: Page 1 of 1 - Bulletin 15 Fabricator to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

1. ◆**COUNTY:** Any County ◆**LR/SR:** 00000 ◆**SEC/SEG:** 000 ◆**ECMS#:** 000000
 (◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Fabricator Name Supplier Code in Bulletin 15
 (Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 709.1

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: _____
 (Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.
Heat# 32876	25,452 lbs.	Grade 60, #8 Rebar - Marion Steel Co.
Heat# 43289	12,354 lbs.	Grade 60, #11 Rebar - ReSteel Supply Co.

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42** #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Bulletin 15 Fabricator Name

SIGNATURE : _____ **DATE:** _____
 By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
 (Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

PART B	SECTION 6	PAGE 3-14	DATE April 1, 2017
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Example #3.1: Page 1 of 2 - Bulletin 15 Fabricator to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** Any County **◆LR/SR:** 00000 **◆SEC/SEG:** 000 **◆ECMS#:** 000000
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Fabricator Name Supplier Code in Bulletin 15
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 709.1

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: Contractor Name
(Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.
Refer to Attached CS-4171F		

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42** #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title


COMPANY NAME : Bulletin 15 Fabricator Name

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

Example #3.1: Page 2 of 2 - Fabricator to Contractor

CS-417F (4-14)



pennsylvania
DEPARTMENT OF TRANSPORTATION
www.dot.state.pa.us

SUPPLEMENTAL CERTIFICATION FOR EPOXY COATED OR GALVANIZED REINFORCEMENT STEEL - FABRICATION FACILITY

THIS FORM IS TO BE COMPLETED BY THE FABRICATOR. ATTACH THE COMPLETED FORM TO THE CS-4171 "CERTIFICATE OF COMPLIANCE" TO ACCOMPANY THE SHIPMENT TO THE PROJECT, DISTRIBUTOR, SUPPLIER, PRIVATE LABEL COMPANY OR PRECAST PLANT

MAINTAIN THE ORIGINAL ON FILE AT THE FABRICATOR'S LOCATION

SUPPLIERS, DISTRIBUTORS OR PRIVATE LABEL COMPANIES MUST INCLUDE A COPY OF THIS FORM ALONG WITH THEIR CS-4171 WHEN BLOCK 2, LINE 7 IS CHECKED

Fabricator & Location: _____ Bulletin 15 Fabricator Name and Location: _____ Reinforcement Steel AASHTO, ASTM: _____ Steel type: _____ Steel Grade: _____

Fabricator's Supplier Code: _____ Bulletin 15 Code: _____

Structure Number: _____ S-MINNN

1	Bar / Wire Size	Bar / Wire Manufacturer Supplier Code	Heat Number	Epoxy Coater's or Galvanizer's Lot or Tag Number	Bill of Lading (BOL):		Epoxy Powder Manufacturer Supplier Code	Epoxy Powder Lot Number	Date of BOL
					Quantity (Area, Sheets, Pounds, etc.)	Supplier Code			
2	"	"	"	"	"	"	"	"	"
3	"	"	"	"	"	"	"	"	"
4	"	"	"	"	"	"	"	"	"
5	"	"	"	"	"	"	"	"	"
6	"	"	"	"	"	"	"	"	"
7	"	"	"	"	"	"	"	"	"
8	"	"	"	"	"	"	"	"	"
9	"	"	"	"	"	"	"	"	"
10	"	"	"	"	"	"	"	"	"
11									
12									
13									
14									
15									
16									
17									
18	<p>* - This supplemental form must be completed and a copy attached to the CS-4171 "Certificate of Compliance" form by the FABRICATOR for all reinforcing steel being shipped or transferred to a PROJECT, DISTRIBUTOR, SUPPLIER, PRIVATE LABEL COMPANY or PRECAST PLANT for use in a Pennsylvania Department of Transportation funded project. Information required on line 5 of the CS-4171 may be referenced to this form.</p>								

PART B	SECTION 6	PAGE 3-16	DATE April 1, 2017
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Example 3.2: Page 1 of 2 - Fabricator to Contractor

CS-4171 (8-16)



pennsylvania
DEPARTMENT OF TRANSPORTATION
www.penndot.gov

CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** Any County **◆LR/SR:** 00000 **◆SEC/SEG:** 000 **◆ECMS#:** 000000
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Fabricator Name Supplier Code in Bulletin 15
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 709.1(e)

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: Contractor Name
(Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.
<u>Refer to Attached CS-4171F</u>		

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America
Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42 **#2 Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**
I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed. *I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.*

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Bulletin 15 Fabricator Name

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

Example 3.2: Page 2 of 2 - Fabricator to Contractor

CS-4171F (4-14)

www.dci.state.pa.us

SUPPLEMENTAL CERTIFICATION FOR EPOXY COATED OR GALVANIZED REINFORCEMENT STEEL - FABRICATION FACILITY

Reinforcement Steel AASHTO, ASTM : Steel type Grade : Steel Grade

THIS FORM IS TO BE COMPLETED BY THE FABRICATOR. ATTACH THE COMPLETED FORM TO THE CS-4171 "CERTIFICATE OF COMPLIANCE" TO ACCOMPANY THE SHIPMENT TO THE PROJECT, DISTRIBUTOR, SUPPLIER, PRIVATE LABEL COMPANY or PRECAST PLANT
 MAINTAIN THE ORIGINAL ON FILE AT THE FABRICATOR'S LOCATION
 SUPPLIERS, DISTRIBUTORS OR PRIVATE LABEL COMPANIES MUST INCLUDE A COPY OF THIS FORM ALONG WITH THEIR CS-4171 WHEN BLOCK 2, LINE 7 IS CHECKED

Fabricator & Location : Bulletin 15 Fabricator Name and Location

Fabricator's Supplier Code : Bulletin 15 Code

Structure Number : S-NNNNN

1	Bar / Wire Size	Bar / Wire Manufacturer Supplier Code	Heat Number	Epoxy Coater's Lot or Tag Number	Bill of Lading (BOL):		Date of BOL
					Quantity (Area, Sheets, Pounds, etc.)	Quantity	
		Bar/Wire Manuf. Supplier Code	Bar/Wire Manuf. Heat Number	Galvanizer's Tag Number	Epoxy Coater or Galvanizer Supplier Code	Epoxy Powder Manufacturer Supplier Code	Epoxy Powder Lot Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							

* - This supplemental form must be completed and a copy attached to the CS-4171 "Certificate Of Compliance" form by the FABRICATOR for all reinforcing steel being shipped or transferred to a PROJECT, DISTRIBUTOR, SUPPLIER, PRIVATE LABEL COMPANY or PRECAST PLANT for use in a Pennsylvania Department of Transportation funded project. Information required on line 9 of the CS-4171 may be referenced to this form.

PART B	SECTION 6	PAGE 3-18	DATE April 1, 2017
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Example #4: Page 1 of 2 - Contractor Transfer



CERTIFICATE OF COMPLIANCE

- ◆ **COUNTY:** Any County ◆ **LR/SR:** 00000 ◆ **SEC/SEG:** 000 ◆ **ECMS#:** 000000
 (◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)
- I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Manufacturer Name Supplier Code in Bulletin 15
 (Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)
- and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 735 & 865
AASHTO, ASTM, Federal or other designation _____
- The material listed below is being shipped to: Contractor Name
 (Company Name)
- | LOT NO. | QUANTITY | APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15
BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF. |
|---------------|---------------|---|
| <u>A00135</u> | <u>100 LF</u> | <u>PennDOT3818 18" HT Silt Fence w/Posts</u>
<u>Transferred from Project# 104183 SR 0119-492 Indiana County</u>
<u>(see attached CS-4171)</u> |
| | | |
| | | |
- CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**

Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**

'Unidentified Steel' – Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**
- VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

<input type="checkbox"/> #1 Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42 <i>I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.</i>	<input checked="" type="checkbox"/> #2 Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9 <i>I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.</i>
--	--
- NAME (print) :** Company Representative **TITLE:** Company Title
COMPANY NAME : Contractor Name
SIGNATURE : _____ **DATE:** _____
 By Responsible Company Official
- List company that sold you the material(s) documented above: Bulletin 15 Manufacturer Name
 (Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

PART B	SECTION 6	PAGE 3-19	DATE April 1, 2017
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Example #4: Page 2 of 2 - Manufacturer to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** Indiana **◆LR/SR:** SR 0119 **◆SEC/SEG:** 492 **◆ECMS#:** 123456
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Manufacturer Name Supplier Code in Bulletin 15
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 735 & 865

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: Contractor Name
(Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.
A00135	100 LF	PennDOT3818 18" HT Silt Fence w/Posts

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America. Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42** #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Bulletin 15 Manufacturer Name

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

Example #5: Page 1 of 2 - Contractor Transfer from Stock

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** Any County **◆LR/SR:** 00000 **◆SEC/SEG:** 000 **◆ECMS#:** 000000
 (◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Manufacturer Name Supplier Code in Bulletin 15
 (Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 711.2(a)

AASHTO, ASTM, Federal or other designation AASHTO M148

4. The material listed below is being shipped to: Contractor Name
 (Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.
<u>A199</u>	<u>100 Gal.</u>	<u>Concrete curing compound, white pigmented</u> <u>Transferred from contractor stock (See attached CS-4171)</u>

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America. Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42** #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15.**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Contractor Name

SIGNATURE : _____ **DATE:** _____
 By Responsible Company Official

9. List company that sold you the material(s) documented above: Bulletin 15 Manufacturer Name
 (Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

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Example #5: Page 2 of 2 - Manufacturer to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** Any County **◆LR/SR:** 00000 **◆SEC/SEG:** 000 **◆ECMS#:** 000000
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Bulletin 15 Manufacturer Name Supplier Code in Bulletin 15
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 711.2(a)

AASHTO, ASTM, Federal or other designation AASHTO M148

4. The material listed below is being shipped to: Contractor Name
(Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.
<u>A199</u>	<u>100 Gal.</u>	<u>Concrete curing compound, white pigmented</u>

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America
Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' - Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42** #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.

I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Bulletin 15 Manufacturer Name

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

PART B	SECTION 6	PAGE 3-22	DATE April 1, 2017
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Example #6: Page 1 of 2 – Manufacturer of Product containing Foreign Steel with In-Plant Inspection to Contractor

CS-4171 (8-16)



pennsylvania
DEPARTMENT OF TRANSPORTATION
www.penndot.gov

CERTIFICATE OF COMPLIANCE

1. **◆**COUNTY: Any County **◆**LR/SR: 00000 **◆**SEC/SEG: 000 **◆**ECMS#: 000000
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured Fabricated Coated Precasted Produced

By Precaster Listed in Bulletin 15 Supplier Code in Bulletin 15
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 714

AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: Contractor Name
(Company Name)

LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF. Precast Inlet Boxes, Type 6
05/14/17	3	

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America
Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

- 'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**
- 'Unidentified Steel' – Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

- #1 Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42
I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.
- #2 Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15.
Also, complete line 9
I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : Bulletin 15 Precaster Name

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

Example #6: Page 2 of 2 – Manufacturer of Product containing Foreign Steel with In-Plant Inspection to Contractor

CS-4171SI (9-17)



**SUPPLEMENTAL CERTIFICATION
STRUCTURAL PRODUCTS WITH FOREIGN STEEL
AND DEPARTMENT IN-PLANT INSPECTION**

This Form is to be completed by the precast/prestressed plant or the structural steel fabricator, for the product containing foreign steel. Attach this completed form to the CS-4171, *Certificate of Compliance*, to accompany the shipment to the project. The plants and fabricators are required to maintain files of supporting receipts and mill certifications at their facility, for a period of not less than 3 years from the date of the project shipment.

ECMS# 000000 S.R. 0000 SEC. 000 County Any County

Contract Amount (\$): \$1,000,000 Contractor Name Contractor

Federal Project No. 0000-000-0000 or 100% State Project

Product Description	Product Quantity	Domestic Steel Cost	Foreign Steel Cost	Foreign Steel Description
Precast Inlet Boxes, Type 6	3	\$150 (\$50 ea)	\$30 (\$10 ea)	6 lifters (\$4 ea), tie wire

I certify that the information provided above is correct:

Name (print): Company Representative Title: Company Title

Company Name: Bulletin 15 Precaster Name

Signature _____ Date: _____

Receipts for the cost of domestic and foreign steel as listed on this document have been verified by the In-Plant Inspector (Department Inspector or Department Representative):

Name (print): Dept Inspector or Dept Representative Title: In-Plant Inspector

Signature _____ Date: _____

****This Section for Department Use Only – To be Completed by the Inspector at the Project****

Compliance with PA Steel Products Procurement Act (Applies to all projects)

Cost of Total Steel (Domestic & Foreign) \$180

25% of Total Steel Cost \$45

Foreign Steel Cost \$30

Foreign Steel Cost < 25% of Total Steel Cost: **Yes** or No

If 'Yes', Accept. If 'No', Do not accept without a waiver from the Secretary or his/her designee.

Compliance with FHWA Buy America (Applies to all projects with Federal funding and to 100% State projects that are eligible for assistance under NEPA)

Cost of Foreign Steel used on this project to date (excluding this invoice) \$0

Cost of Foreign Steel used on this project to date (including this invoice) \$30

Buy America Minimal Use Threshold (greater of 0.10% of contract or \$2,500) \$2,500

Total cost of Foreign Steel (including this invoice) < Threshold: **Yes** or No

If 'Yes', Accept. If 'No', Do not accept without a waiver from FHWA.

PART B	SECTION 6	PAGE 3-24	DATE April 1, 2017
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Example #7: Page 1 of 2 – Manufacturer of Steel Product containing Foreign Steel to Contractor

CS-4171 (8-16)



CERTIFICATE OF COMPLIANCE

- ◆ **COUNTY:** Any County ◆ **LR/SR:** 00000 ◆ **SEC/SEG:** 000 ◆ **ECMS#:** 000000
 (◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)
- I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

Manufactured **Fabricated** **Coated** **Precasted** **Produced**

By Bulletin 15 Manufacturer Name Supplier Code in Bulletin 15
 (Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)
- and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) 1014
AASHTO, ASTM, Federal or other designation _____
- The material listed below is being shipped to: Contractor Name
 (Company Name)
- | LOT NO. | QUANTITY | APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15
BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF. |
|--------------|---------------|--|
| <u>A3814</u> | <u>100 ft</u> | <u>Steel Bridge Railing</u> |
| | | |
| | | |
| | | |
- CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL.** I certify the material identified above conforms to Sections 106.01 and 106.10(a) of Publication 408.

CHECK ONE OF THE TWO BOXES:

Product is 100% US Steel. Product contains minimal foreign steel in accordance with Act 3 and Buy America Attach receipts verifying the cost of the product's foreign steel and domestic steel.

CHECK THE BOX THAT APPLIES TO YOUR PRODUCT:

'Identifiable Steel' - Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States. **Only Form CS-4171 is required.**

Steel Products with In-Plant Inspection by a Department Representative - Steel products and products containing steel which received in-plant inspection by the Department or Department representative where it was verified that the steel was melted and manufactured in the United States. **Only Form CS-4171 is required.**

'Unidentified Steel' – Steel products that do not meet the definition of "Identifiable Steel" and do not receive in-plant inspection as defined above. **Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.**
- VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**

#1 Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42 **#2 Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**

I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed. *I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.*
- NAME (print) :** Company Representative **TITLE:** Company Title

COMPANY NAME : _____

SIGNATURE : _____ **DATE:** _____

By Responsible Company Official
- List company that sold you the material(s) documented above: _____
 (Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

Example #7: Page 2 of 2 – Manufacturer of Steel Product containing Foreign Steel to Contractor

CS-4171S (9-17)



**SUPPLEMENTAL CERTIFICATION FOR
STEEL PRODUCTS CONTAINING FOREIGN STEEL**

This Form is to be completed by the manufacturer of the product containing foreign steel. **Attach this completed form to the CS-4171, Certificate of Compliance, to accompany the shipment to the project.** The manufacturer is required to maintain files of supporting receipts and mill certifications at their facility, for a period of not less than 3 years from the date of the project shipment.

ECMS# 000000 S.R. 0000 SEC. 000 County Any County

Contract Amount (\$): \$1,000,000 Contractor Name Contractor

Federal Project No. 0000-000-0000 or 100% State Project

Product Description	Product Quantity	Domestic Steel Cost	Foreign Steel Cost	Foreign Steel Description
Steel Bridge Railing	100 ft	\$100	\$16,900	bridge railing hardware (clamps, screws) is made of foreign steel

I certify that the information provided above is correct:

Name (print): Company Representative Title: Company Title

Company Name: Bulletin 15 Manufacturer Name

Signature _____ Date: _____

Receipts for the cost of domestic and foreign steel as listed on this document must be provided.

****This Section for Department Use Only – To be Completed by the Inspector at the Project****

Compliance with PA Steel Products Procurement Act (Applies to all projects)

Cost of Total Steel (Domestic & Foreign) \$17,000

25% of Total Steel Cost \$4250

Foreign Steel Cost \$100

Foreign Steel Cost < 25% of Total Steel Cost: **Yes** or No

If 'Yes', Accept. If 'No', Do not accept without a waiver from the Secretary or his/her designee.

Compliance with FHWA Buy America (Applies to all projects with Federal funding and to 100% State projects that are eligible for assistance under NEPA)

Cost of Foreign Steel used on this project to date (excluding this invoice) \$600

Cost of Foreign Steel used on this project to date (including this invoice) \$700

Buy America Minimal Use Threshold (greater of 0.10% of contract or \$2,500) \$2,500

Total cost of Foreign Steel (including this invoice) < Threshold: **Yes** or No

If 'Yes', Accept. If 'No', Do not accept without a waiver from FHWA.

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