



1. IMPORTANT INFORMATION

- Registered companies must complete this form and sign the client declaration.
- This form must also be completed when a company is funding an individual or a trust or is involved with such an individual or trust's investment/s.
- If Platform Securities deems a client to pose a higher money laundering risk, an Enhanced Due Diligence (EDD) process may be performed, and additional information will be required. For more information of the EDD process, please [click here](#).

2. REGISTERED COMPANY DETAILS

Registered company name

Registration number

Country of incorporation

Tax number

How will the account be opened? In person Online Via post

Description of business operations

Type of products sold/manufactured

Primary geographic area of trade

Other countries where the company operates

Length of company's existence

Is the company listed? Yes No

Is it an NPO, charity or NGO? Yes No

Is it a Non-banking Financial Institution? Yes No

Contact number of company

Size of proposed investment (ZAR) with PSG

Size of existing business (ZAR) with PSG (if applicable)

What is the intended purpose of the account?

Anticipated frequency of account activity

Names of beneficial owners with more than 25% shareholding

Company's shareholding structure (provide shareholding diagram)

Directors of the company (provide proof of ID and address for each individual)

DIRECTOR'S FIRST NAME(S) AND SURNAME	RESIDENTIAL ADDRESS	DATE OF BIRTH
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Are any of the directors a director or beneficial owner of another company? Yes No

If 'Yes', please provide details:

Director Beneficial owner Company name Duration of position



Complete the information for all beneficial owners (individuals or entities that own or control, directly or indirectly, 25% of the company or more). If the beneficial owner is an entity, please include the details for the natural person/s who are the beneficial owners of those entities.

SHAREHOLDERS' FIRST NAME(S) AND SURNAME	RESIDENTIAL ADDRESS	DATE OF BIRTH

3. SOURCE OF FUNDS

Source of funds refers to how the investment with PSG is funded. Proof of source of funds may be required if Platform Securities deems a client to pose a higher money laundering risk.

SOURCE OF FUNDS	APPROXIMATE AMOUNT (ZAR)
Operating income	
From shareholders	
From group companies	
Investment	
Credit facilities	
Trust (If selected, complete the "Customer Due Diligence form: Trusts")	
Others (please specify)	

4. SOURCE OF WEALTH

The source of wealth refers to the origin of the company's wealth, and how it was acquired.

Brief history of how the company was established and what funds were used

SOURCE OF WEALTH	APPROXIMATE AMOUNT (ZAR)
Operating income	
From shareholders	
From group companies	
Investment	
Credit facilities	
Others (please specify)	

CLIENT/AUTHORISED SIGNATORY'S* DECLARATION

I hereby declare that:

- to the best of my knowledge, the information provided in these forms is true and correct.
- I have read and understood the [terms and conditions](#) of PSG Securities' offshore services provider and custodian I have read and understood the features of the PSG Wealth Offshore Share Trading Account as outlined in the [Key Information Document](#).

Name of client/agent	
Identity/passport number	
Date	
Signature	

* Authorised Signatory refers to someone acting on behalf of the client with power of attorney privileges

DOCUMENT CHECKLIST

The following documents must be provided to verify the information provided:

- Certified copy of company registration papers (CM1 and CM22 documents for South African companies. For foreign companies, official documents issued by the authority with whom the company is registered, must be supplied)
- Certified copy of Memorandum of Incorporation/Articles of Association
- Certified copy of proof of bank details
- Certified copy of proof of ID and residential address for each of the directors and natural person beneficial owners with more than 25% shareholding
- Certified copy of a diagram of the company's shareholding structure
- Certified copy of annual accounts for the company (at least for the past two years)
- Certified copy of resolution authorising individual to transact on behalf of company



ANNEXURE A - ACCEPTABLE DOCUMENTS FOR PROOF OF ID AND PROOF OF ADDRESS

ID for registered company

Certified copy of articles of association/ memorandum of incorporation

Address for registered company

Certified extract from company register or the equivalent local document (less than 3 months old), confirming the company name, legal form, address of registered office

ID for directors and natural person beneficial owners with more than 25% shareholding

Valid official identification document for the customer (passport, national identity card or equivalent document in the country) bearing the customer's photograph

Address for directors and natural person beneficial owners with more than 25% shareholding

Documents accepted as proof of address:

- Utility bill, e.g. municipal water and lights account or property managing agent statement
- Bank statement
- Municipal councillor's letter
- Tax certificate
- Recent active lease or rental agreement
- Municipal rates and taxes invoice not older than 3 months
- Account statement from an NCR (National Credit Regulator) registered service provider (NCR number must be visible/recorded on the document)
- Security service providers registered with PSIRA (Private Security Industry Regulatory Authority), e.g. Chubb, ADT (PSIRA number must be visible/recorded on the document)
- Telephone or cellular telephone statement
- Official SARS document (not eFiling documentation)
- Valid television license renewal letter
- Television license renewal/confirmation letter
- Subscription TV, e.g. MultiChoice statement
- Home loan statement
- Long/short-term insurance policy documents from a Financial Services Provider (FSCA number must be visible/recorded on the document)
- Motor vehicle registration/license documents
- Body corporate/governing body letter or statement
- Official employer letter for employees residing on company/ institution premises
- Official university/technicon/college or tertiary institution registration letter
- Posted traffic fine from a Metro police department (e-toll statements are not accepted)
- Medical aid statement or policy document (policy number must be visible on the document)
- Letter from municipality confirming residential address or business address (for business clients)
- Tribal authority letter confirming residential address or business address (for business clients)
- Signed letter from an independent auditor/accountant on their company letterhead confirming physical/trading/operational address for the business (for business clients).
- Affidavit to confirm address (if none of the above available – but affidavit to be used in exceptional circumstances)

All of the documents listed above must be certified and be less than 3 months old, or in force at the date of submission to Platform Securities.

List of documents more than 3 months old that may also be used as proof of address:

- Signed lease or rental agreement (less than one year old)
- Home loan statement from another financial institution (less than six months old)
- Official SARS document (less than one year old)
- Long/Short-term insurance policy documents from another financial services provider (less than one year old)
- Body corporate/governing body letter or statement (less than one year old)
- Motor vehicle registration/license documents (less than one year old)
- Television license renewal confirmation letter (less than one year old)

Who can certify: notary, lawyer, financial planner, chartered accountant, solicitor, Commissioner of Oaths, bank, councillor, minister of religion, dentist or teacher.

Who can't certify: any person related or in relation to the individual/UBO, or living at the same address



ANNEXURE B - ACCEPTED DOCUMENTS TO EVIDENCE THE DIRECTORS/ENTITY'S REPRESENTATIVES

Elements identifying the legal entity's representatives/executive director - Any of the below documents:

- Original or certified copy of the up-to-date statutes (the most recent version available) or the articles of association: it will refer to (i) the procedures for nominating the representatives, (ii) the procedures for representing the company in relation to third parties (by decision of the Board of Directors, or by its Chairperson, Chief Executive Officer, etc.), or (iii) the name of the company's representatives in dealing with third parties if they are directly designated in the statutes.
- Copy of the minutes of the general meeting or board of directors meeting designating the representatives and their powers;
- Extract from the official register of business and companies (RCS) or the equivalent local document, dated less than 3 months ago, where this specifies the list of executive directors
- Copy of the mandate or delegation by separate instrument if the company has delegated the power to represent it in dealing with third parties, in particular for opening the account for a corporate officer or a third party (other than the company's legal representatives).
- Official identification document for the legal representatives (passport, national identity card or equivalent document in the country)
- Official identification document for the authorised representatives or holders of mandates or delegations