



# Principles of Cytogenetics

## Categorical Course

### Introduction to Cytogenetics 1

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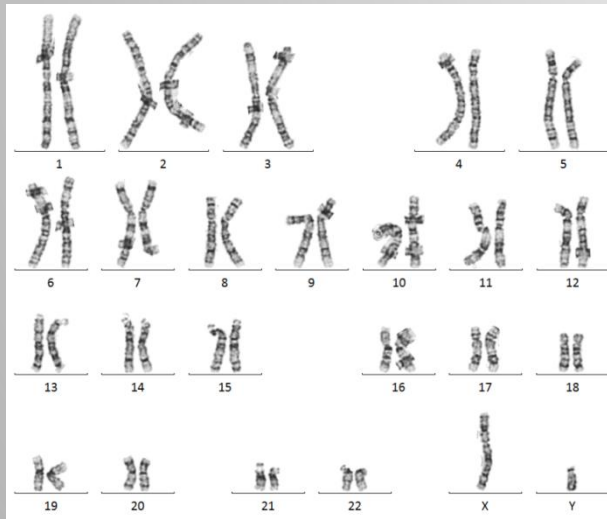
University of Utah

Email: [erica.f.andersen@aruplab.com](mailto:erica.f.andersen@aruplab.com)

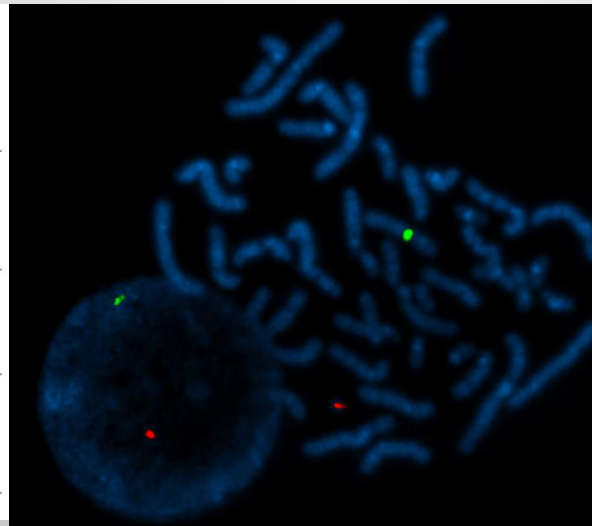
# What is Cytogenetics?

- The study of chromosomes and genomic structure, function, and variation and their role in human disease and heredity

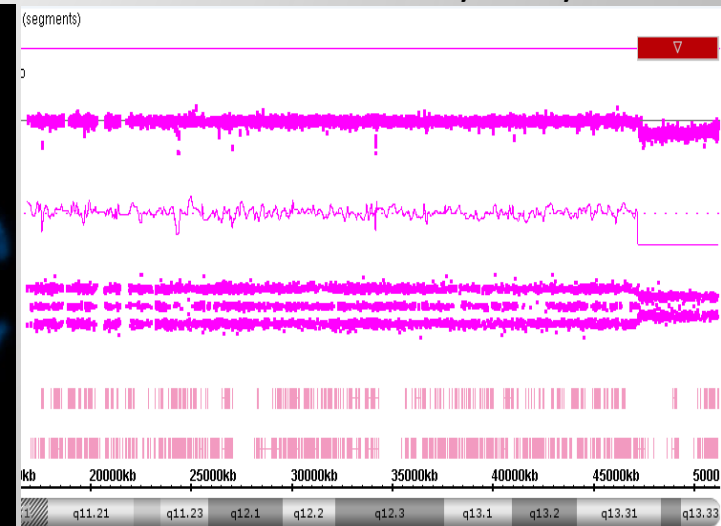
Chromosome analysis/  
karyotyping



Fluorescence in situ hybridization



Genomic microarray analysis



# Constitutional versus cancer cytogenetics

- Constitutional cytogenetics: diagnosis of heritable genetic abnormalities in children, adults, pregnancy, and fetal loss
  - Abnormalities may be inherited or *de novo*
- Cancer cytogenetics: detection of acquired or somatic (versus germline/constitutional) genetic abnormalities for the diagnosis, prognosis, therapy, and/or monitoring of many types of cancer, esp. hematologic

# Indications for Cytogenetic Analysis (Constitutional)

- Postnatal, childhood growth and development
  - Perinatal/newborn: Birth defects, malformations, dysmorphisms, ambiguous genitalia
  - Growth: failure to thrive, growth delay, short stature
  - Developmental delay (fine and gross motor, speech)
  - Cognitive: intellectual disability, learning disability
  - Neurological: hypotonia, seizures, ataxia
  - Behavioral: autism, OCD, psychiatric illness

Tissues studied: Peripheral blood, buccal swab, skin biopsy

# Indications for Cytogenetic Analysis (Constitutional)

- Adolescent, adult sexual development and fertility
  - Amenorrhea, primary or secondary ovarian failure, premature menopause
  - Azoospermia, oligospermia, hypogonadism
  - History of infertility or spontaneous abortions
  - Birth of a child with a chromosomal abnormality

Tissues studied: Peripheral blood

# Indications for Cytogenetic Analysis (Constitutional)

- Prenatal
  - Abnormal maternal serum screening (first or second trimester)
  - Abnormal cell-free DNA testing (cfDNA), non-invasive prenatal testing (NIPT)/screening (NIPS)
  - Abnormal ultrasound findings: cystic hygromas/hydrups, cardiac defects, other malformations, IUGR, etc.
  - Advanced maternal age (AMA), generally  $\geq 35$  yrs
  - Parental or familial chromosome/genomic abnormality
- Fetal or neonatal demise (products of conception, POC)

Tissues studied: Amniotic fluid, chorionic villus sampling, fetal tissues

# Indications for Cytogenetic Analysis (Cancer)

- Hematologic oncology
  - Myeloid diseases: AML, CML, MDS, MPNs
  - Lymphoid diseases: ALL, CLL, NHL, PCNs/MM
- Bone marrow transplant
- Other areas of oncology (solid tumors)

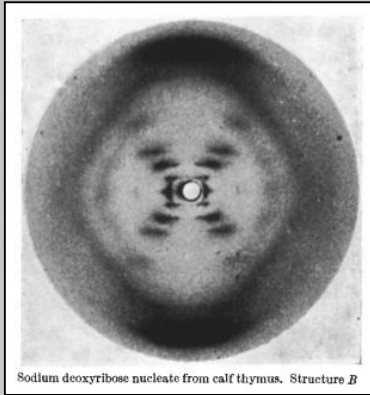
Tissues studied: Bone marrow, peripheral blood, lymph nodes, solid tumor, pleural fluid, spinal fluid

# Introduction to Cytogenetics I

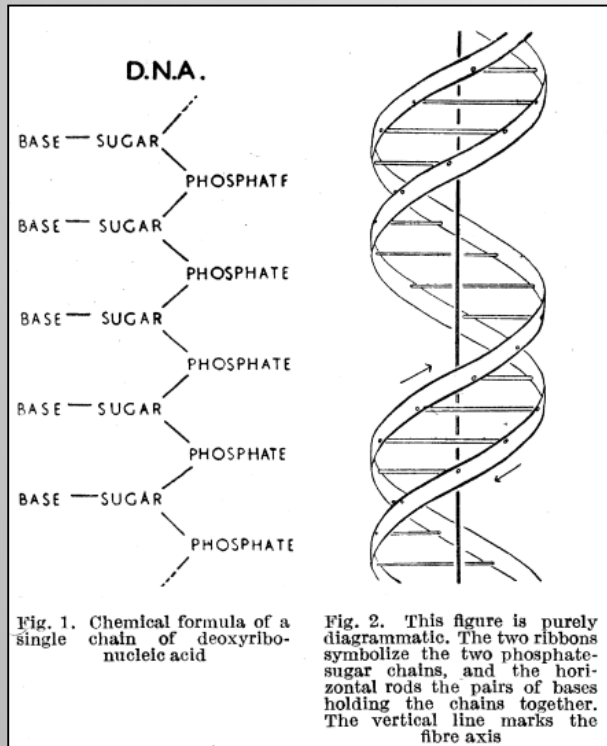
- DNA and Chromosomal Structure
- Cell Cycle, Mitosis and Meiosis
- Gametogenesis
- Nondisjunction and Aneuploidy
- Polyploidy and Errors at Fertilization
- Imprinting and Uniparental Disomy
- Sex Chromosomes
- Karyotyping and Nomenclature



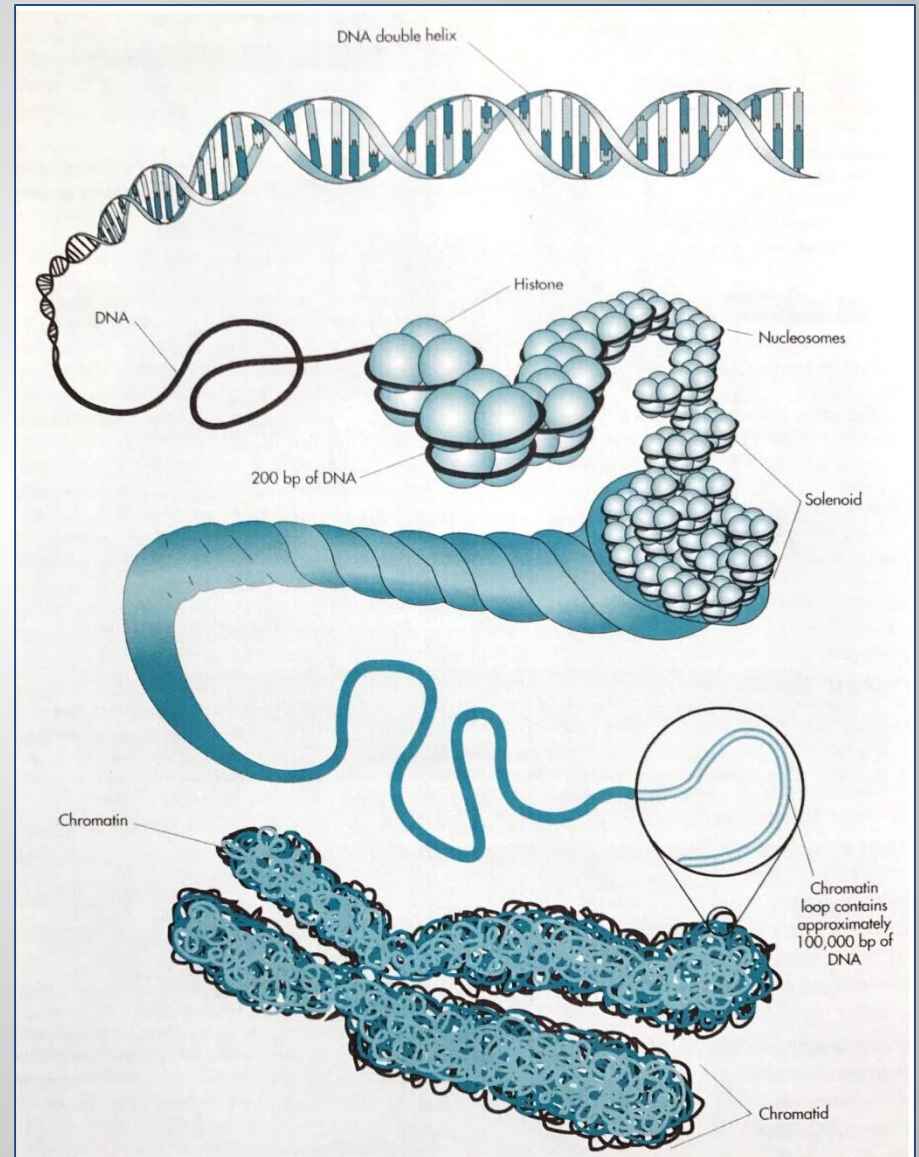
# DNA Structure and Organization



Franklin and Gosling (April 1953) Nature v171



Watson and Crick (May 1953) Nature v171

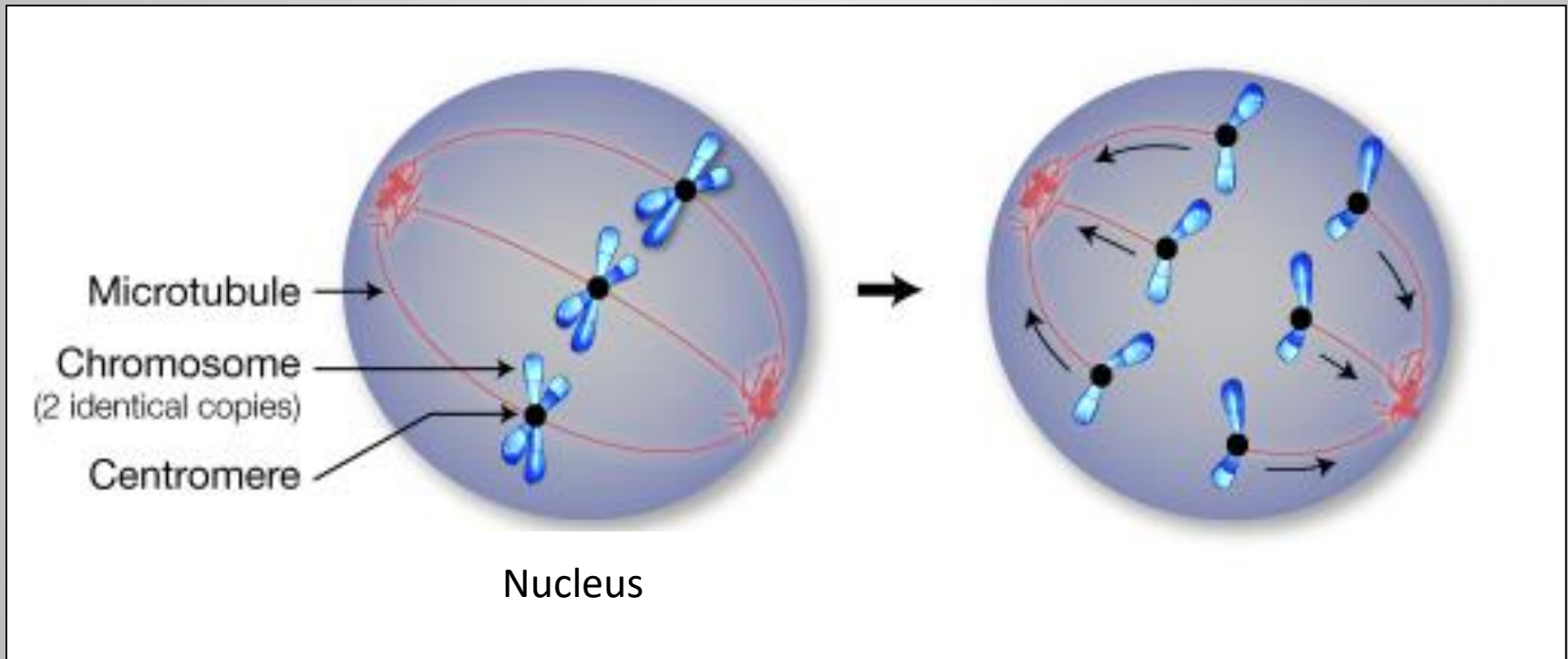


Gersen and Keagle, Principles of Cytogenetics, 3<sup>rd</sup> Ed 2013

# Role of the Centromere in Cell Division

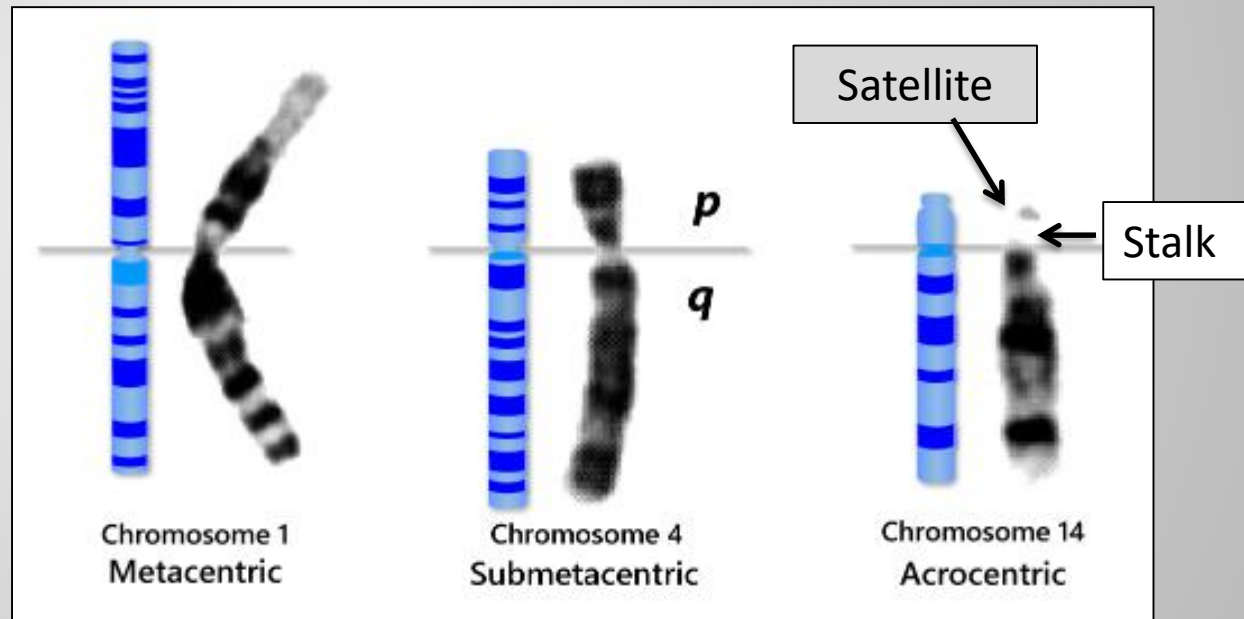
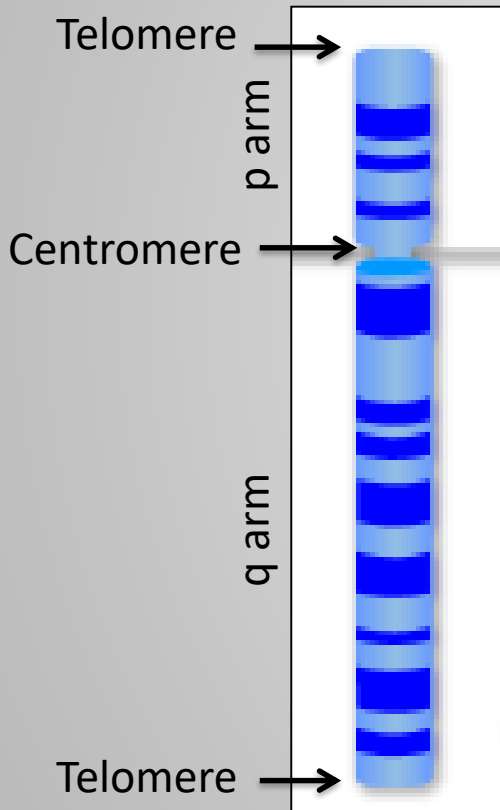
## Metaphase

## Anaphase



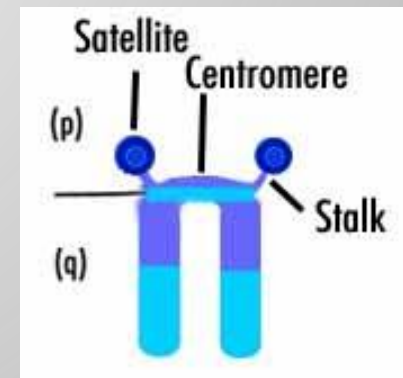
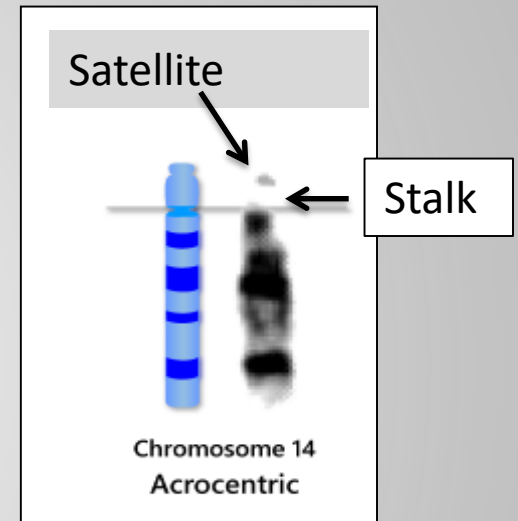
Images modified, source: <http://learn.genetics.utah.edu/content/chromosomes/readchromosomes/>

# Chromosome Structure and Classification



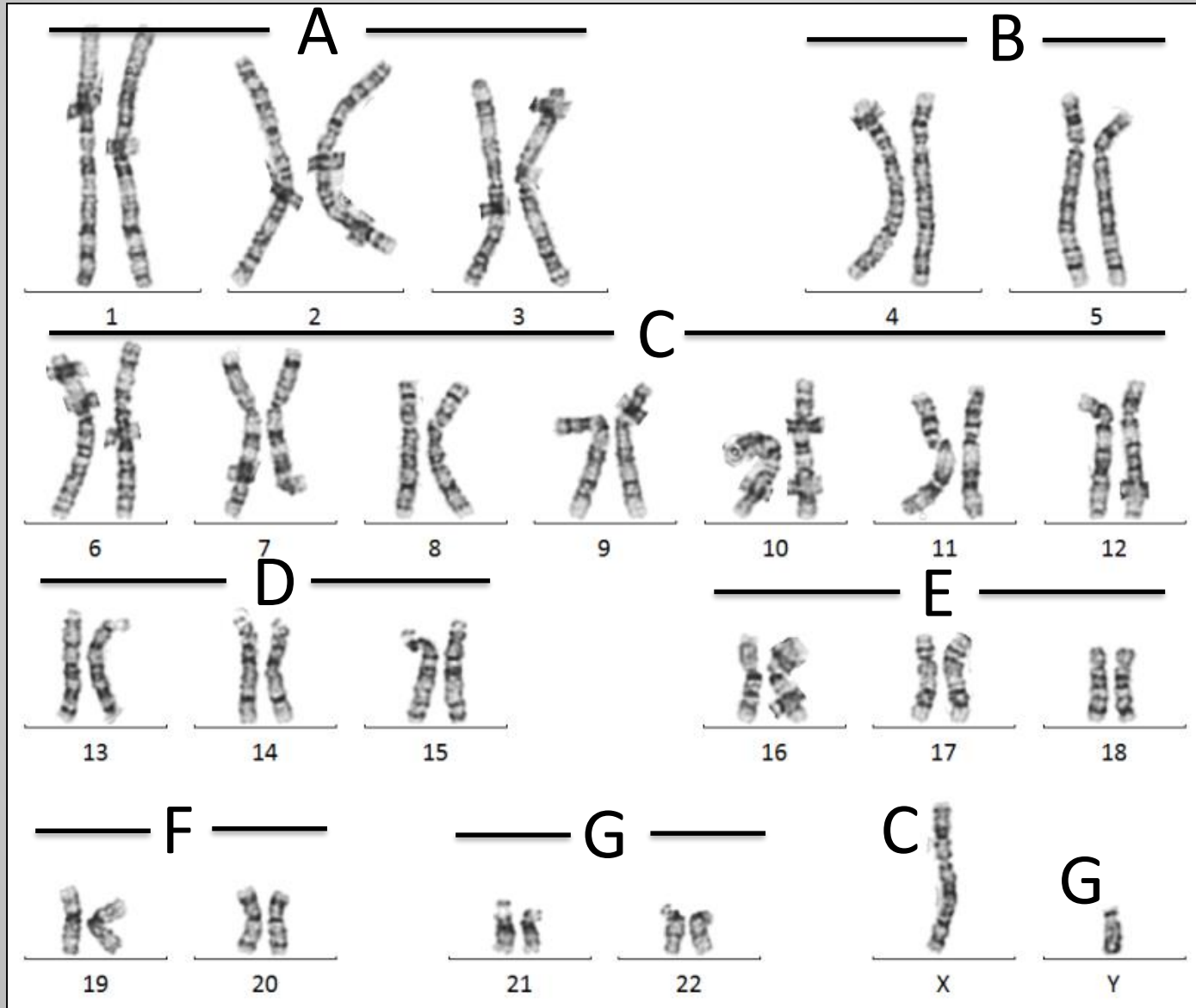
# Acrocentric Chromosomes

- Chromosomes 13, 14, 15, 21, 22
- Small p arm composed of a stalk (pstk) and a satellite (ps)
- Stalk: contains multiple copies of ribosomal DNA genes
  - Stalks associate during interphase to form the nucleolus (also known as nucleolar organizing regions, NORs)
  - Nucleolus is the site of rRNA transcription and processing and ribosome assembly
- Satellite: a non-coding region
- Size of both regions is variable, or polymorphic



# Chromosome Classification

## Karyogram



# DNA Classification

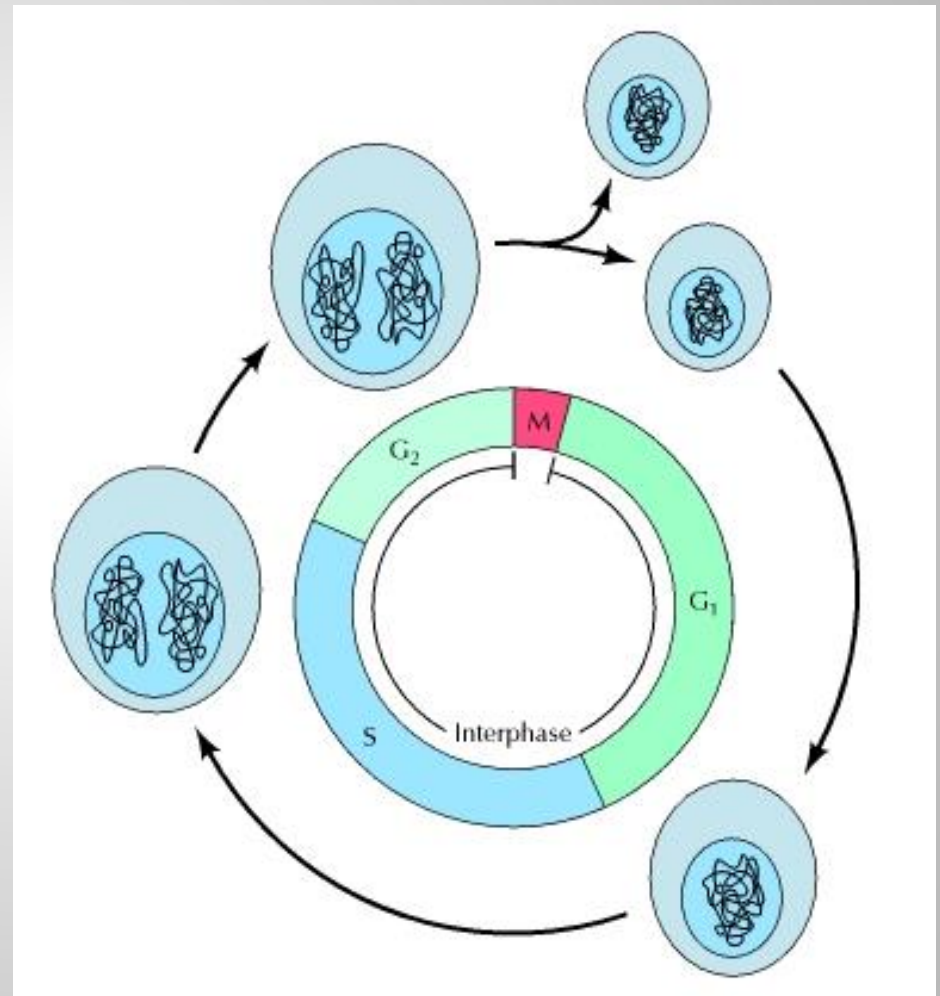
- Human genome comprises unique (75%) and repetitive (25%) DNA sequences
- Unique sequence occurs once per haploid (1n) set, includes genes and non-coding sequence
  - Genes: n= ~20,000, genome is 1.5% exonic, 26% intronic, ~8% regulatory, distribution is uneven
- Repetitive sequence includes tandemly arranged, satellite DNA:  $\alpha$ -satellite, minisatellite, microsatellite and dispersed short or long elements (SINEs, LINEs)
  - $\alpha$ -satellite DNA: 171 bp, centromeres
  - Mini- (20-70 bp) and microsatellites (2-4 bp): variable across individuals, used for mapping and identity testing

# Chromatin

- Euchromatin: loosely organized, contains active, early replicating genes
- Heterochromatin: highly contracted, contains late replicating genetically inactive sequence
  - Constitutive : located at centromeres and at the distal end of the Y chromosome long arm
  - Facultative: defines heterochromatin on the inactive X chromosome in females

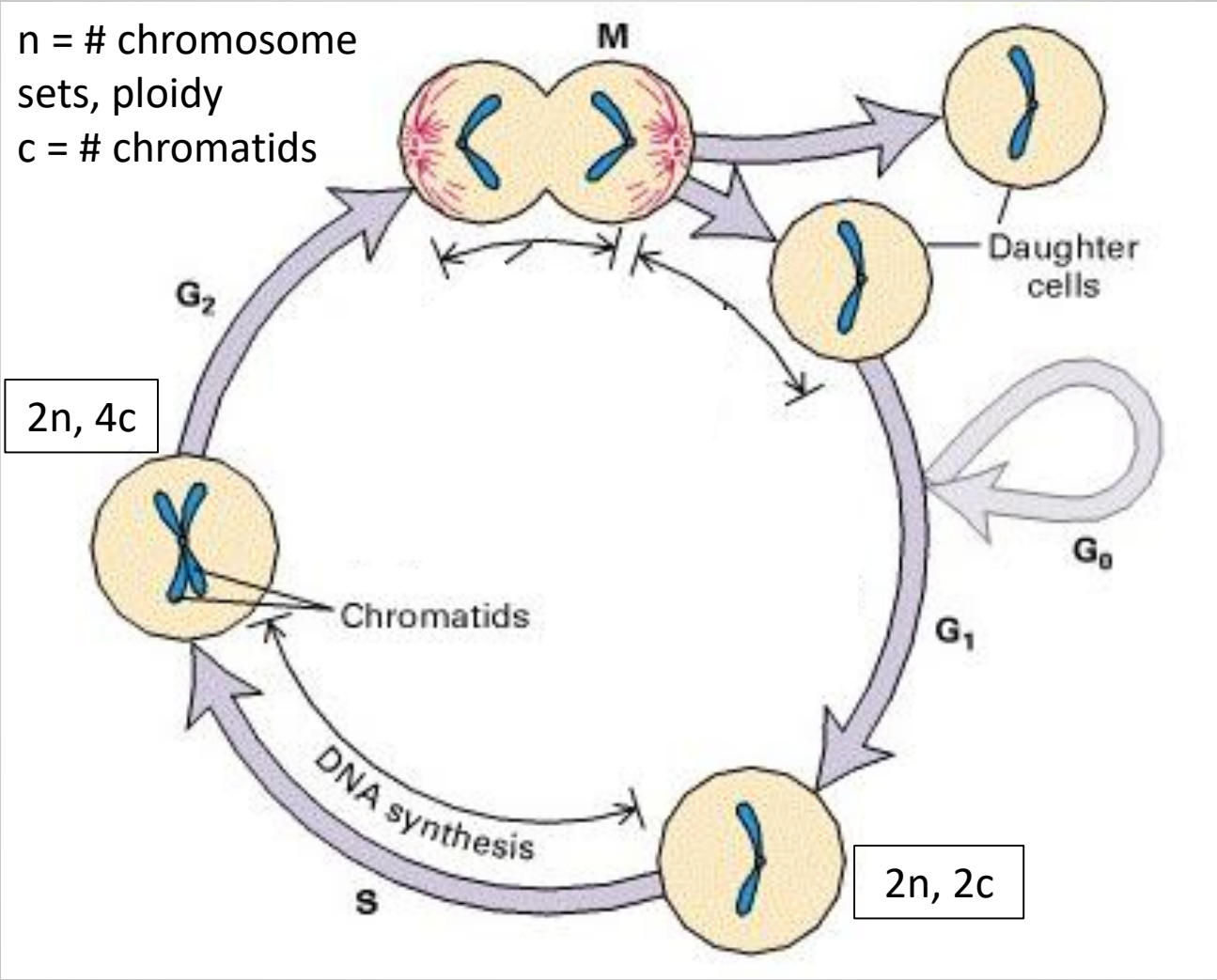
# Cell Division and The Cell Cycle

- Mitosis: division of somatic cells
- Meiosis: division of gametic cells
- Cell cycle: 4 phases: Mitosis (M), Gap1 (G<sub>1</sub>), Synthesis (S), Gap2 (G<sub>2</sub>)





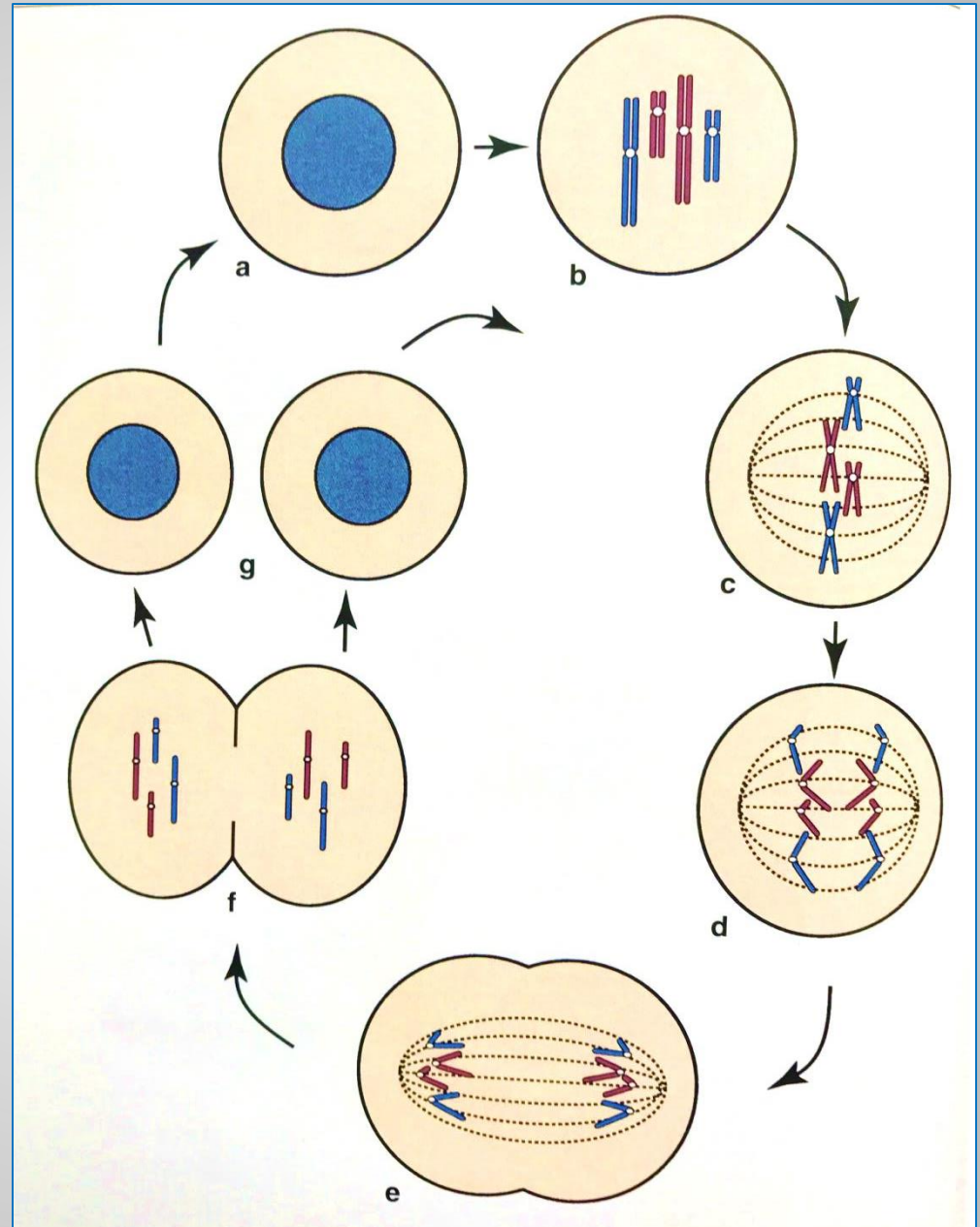
# Cell Division and The Cell Cycle



# Mitosis

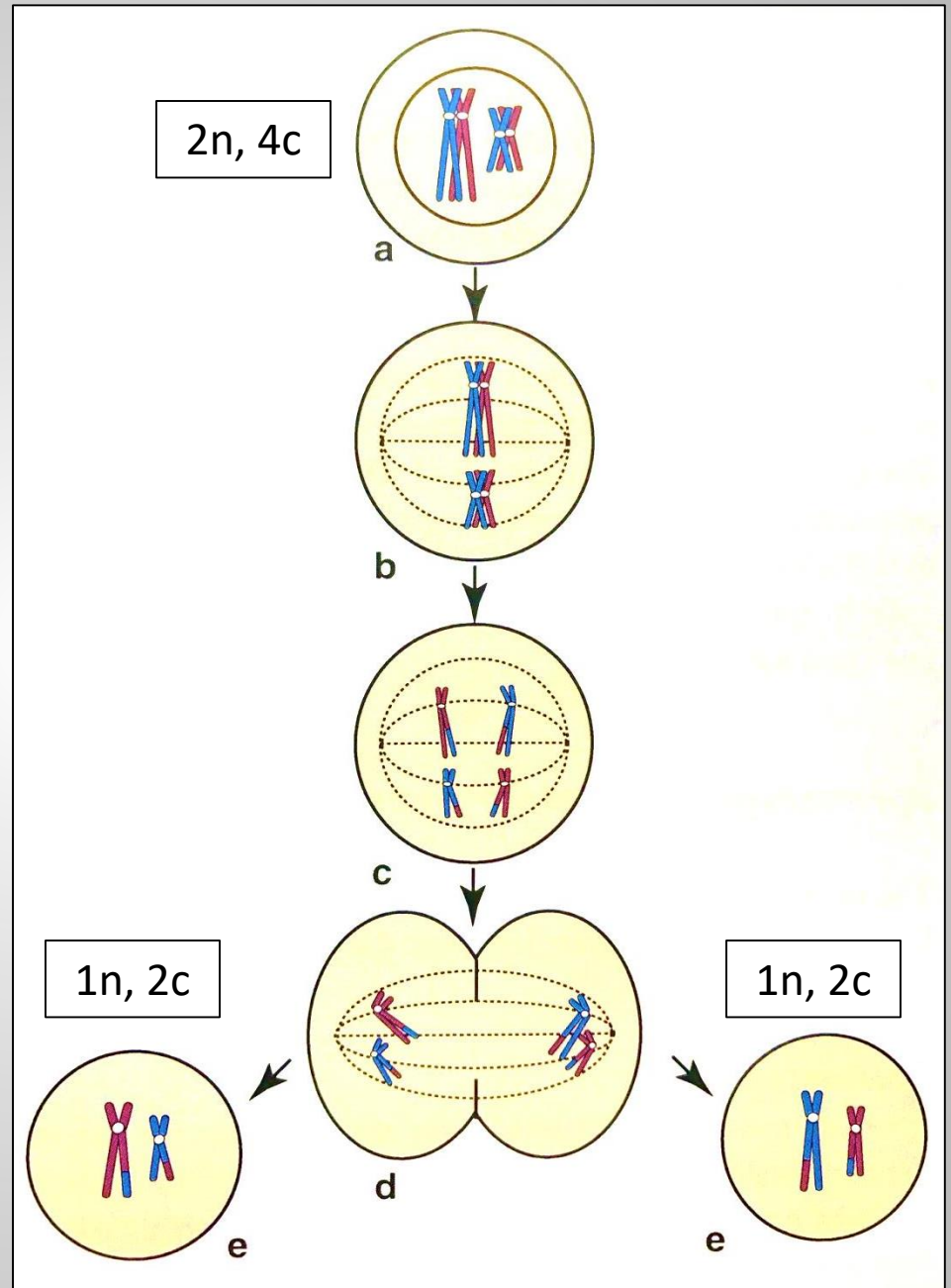
- a) Interphase
- b) Prophase
- c) Metaphase\*
- d) Anaphase
- e) Telophase
- f) Cytokinesis
- g) Interphase

\* Stage observed in chromosome analysis



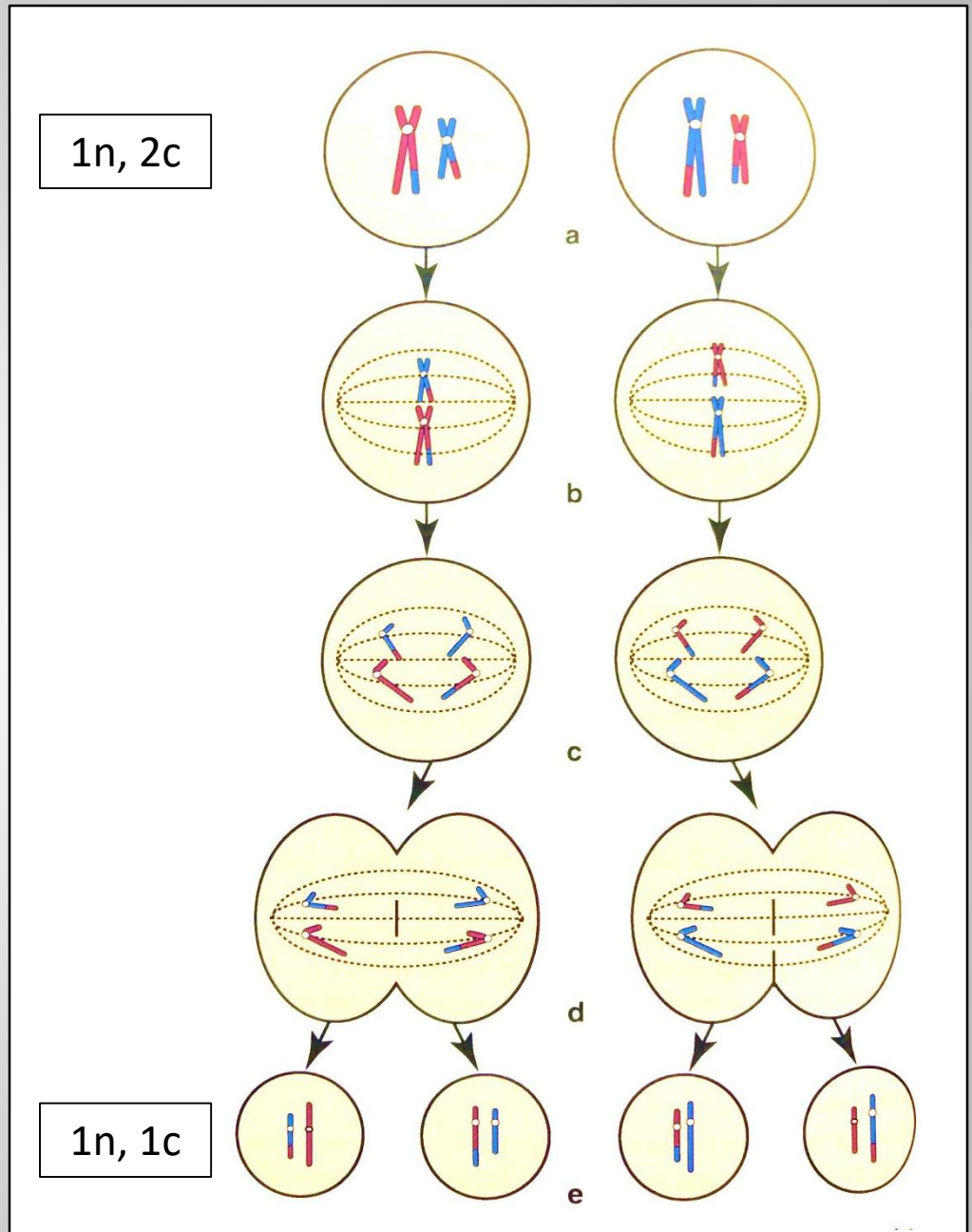
# Meiosis I

- a) Prophase I:  
Homologs pair,  
cross-over
- b) Metaphase I
- c) Anaphase I
- d) Telophase I
- e) Daughter cells



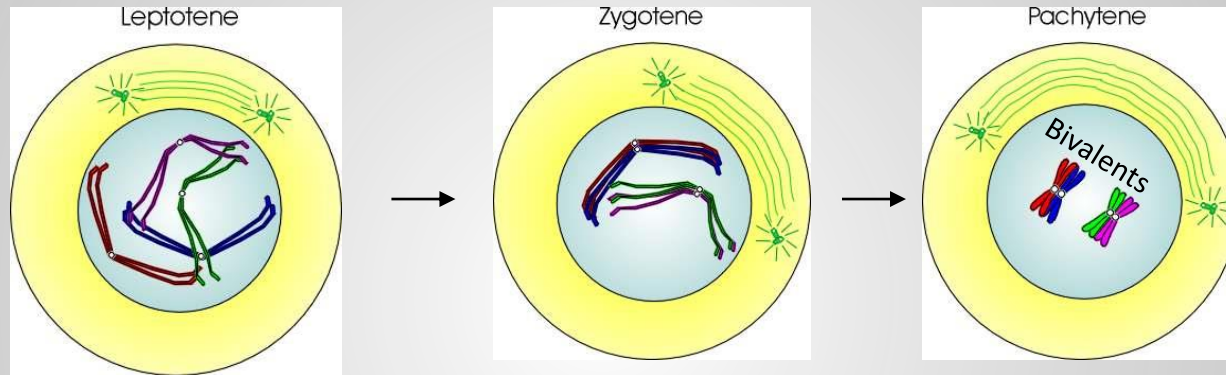
# Meiosis II

- a) Prophase II
- b) Metaphase II
- c) Anaphase II
- d) Telophase II
- e) Daughter cells



# Prophase I

## Interaction of homologous chromosomes



➤ Only one sister chromatid is involved in each crossover event

### Leptotene:

- Chromosomes begin to condense but cannot yet be seen by light microscopy

### Zygotene:

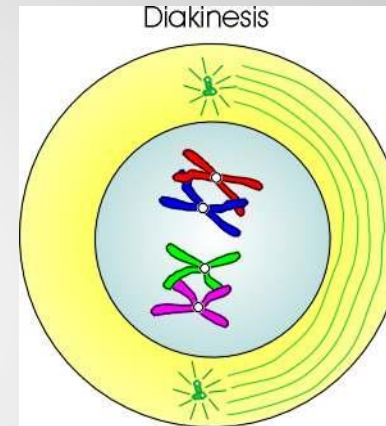
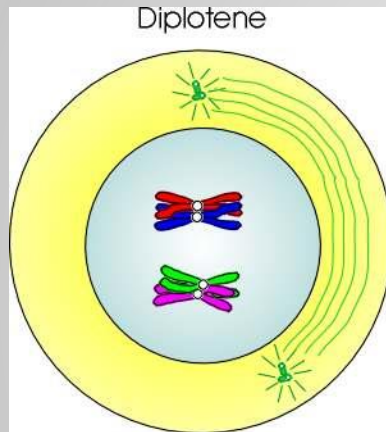
- Homologs appear as long thread-like structures and begin to pair (synapse)
- X and Y pair only at pseudoautosomal regions

### Pachytene:

- Chromosomes continue to condense, synapsis completes and homologous recombination (crossing over) occurs

# Prophase I

## Interaction of homologous chromosomes



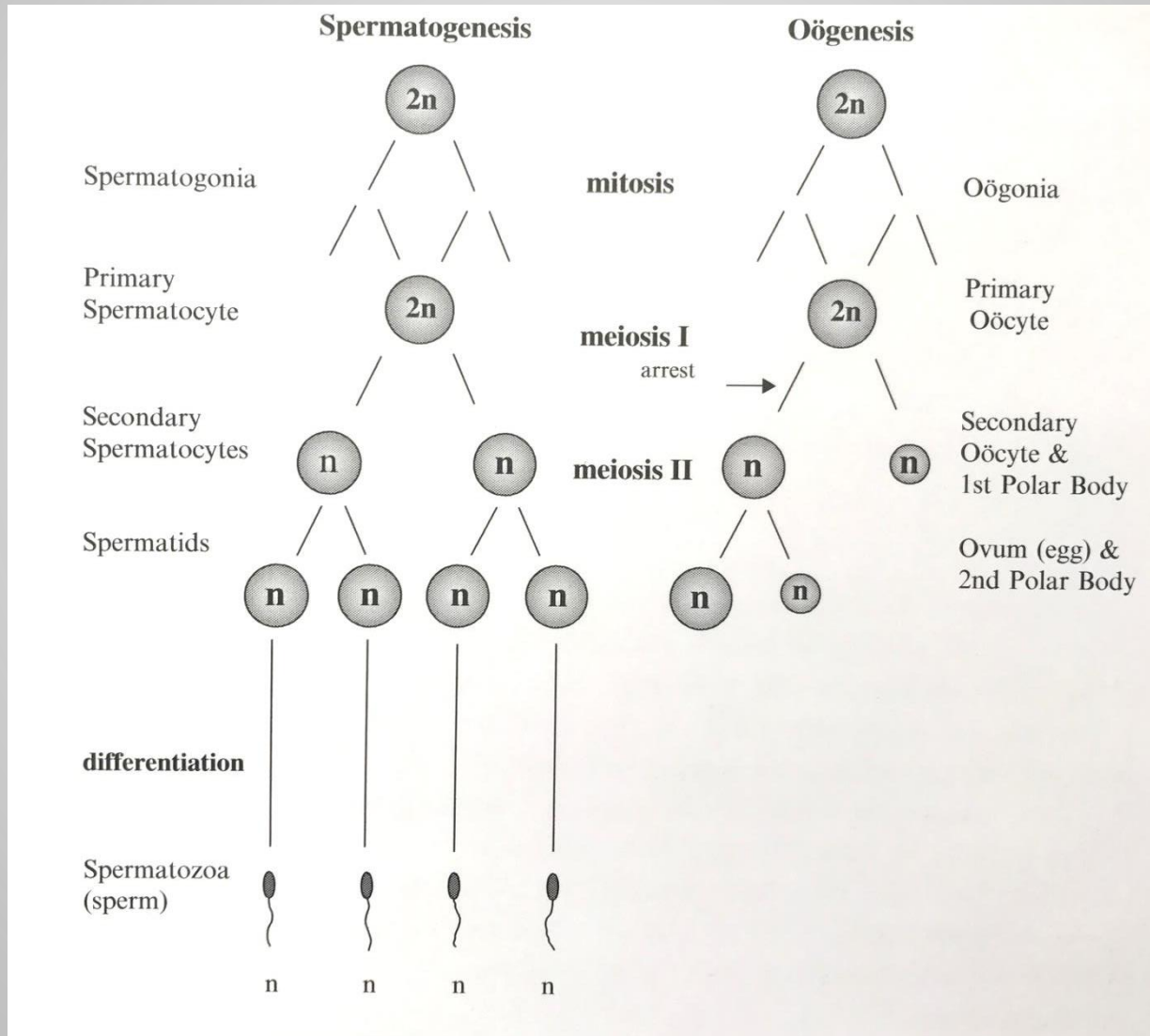
### Diplotene:

- Chromosomes continue to shorten and thicken, homologs begin to repel each other, only holding on at the regions of recombination (chiasmata)

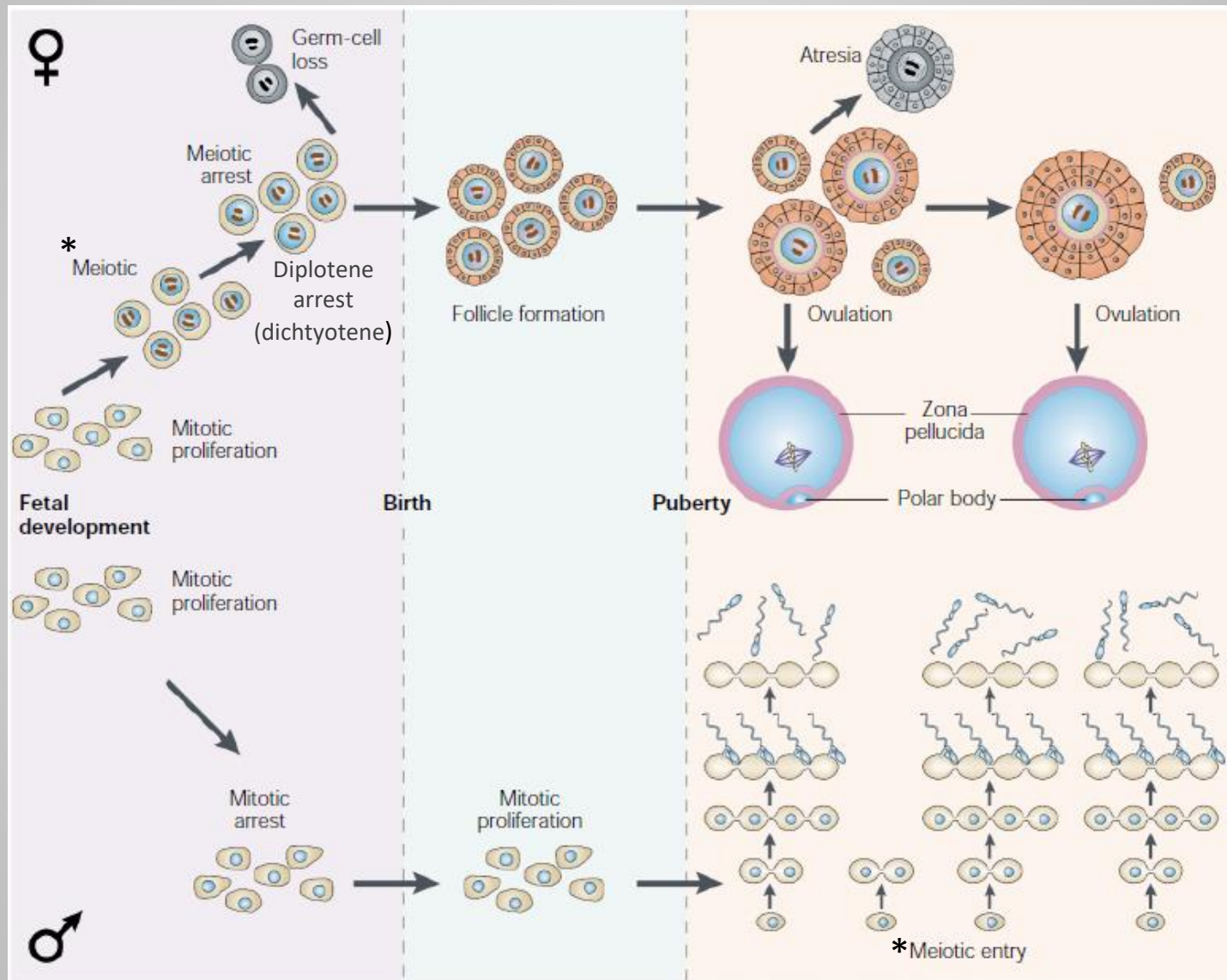
### Diakinesis:

- Chromosome completely condensed
- Centrioles have migrated to the poles and nuclear envelope begins to break down

# Spermatogenesis vs Oogenesis

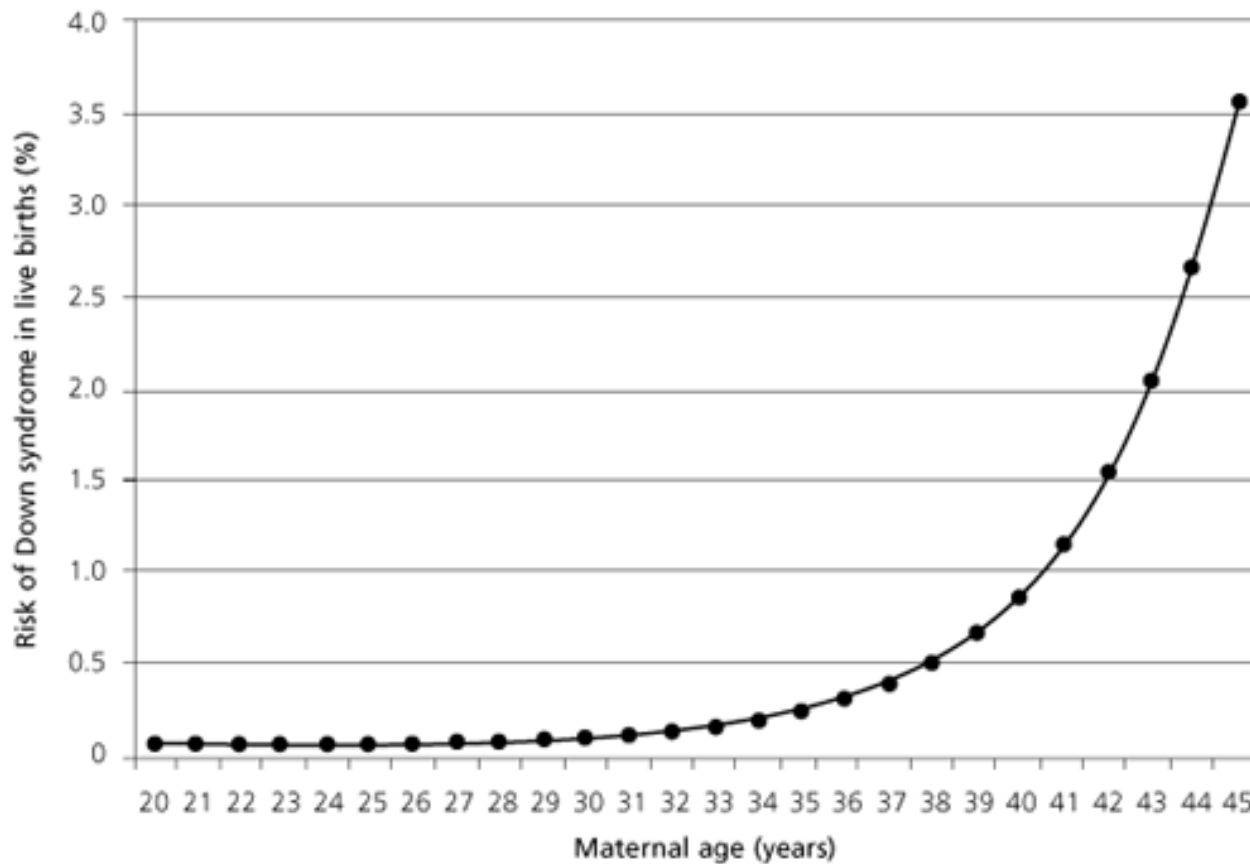


# Spermatogenesis vs Oogenesis



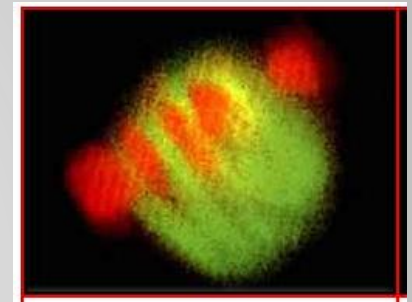


# Down Syndrome and Maternal Age

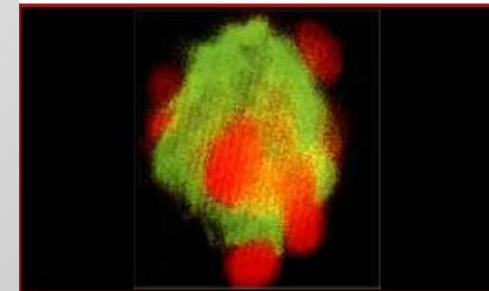


Newberger (2000) Am Fam Physician

Ovum from a woman  
in her 20's



Ovum from a woman  
in her 40's



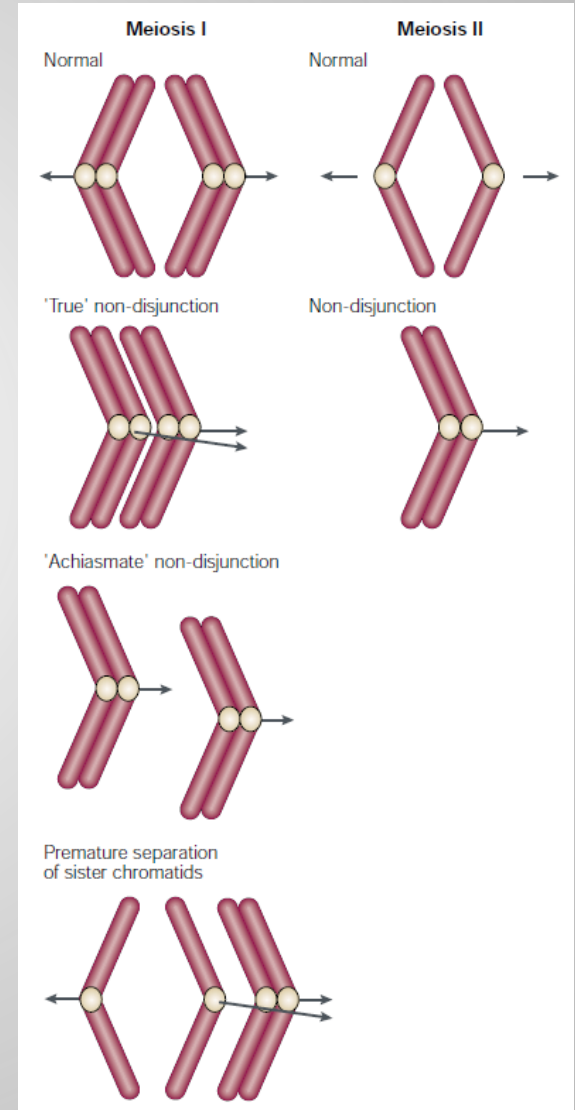
Battaglia et al., 1996

# Errors in Meiosis, Mitosis and Cell Division Lead to Genomic Imbalance

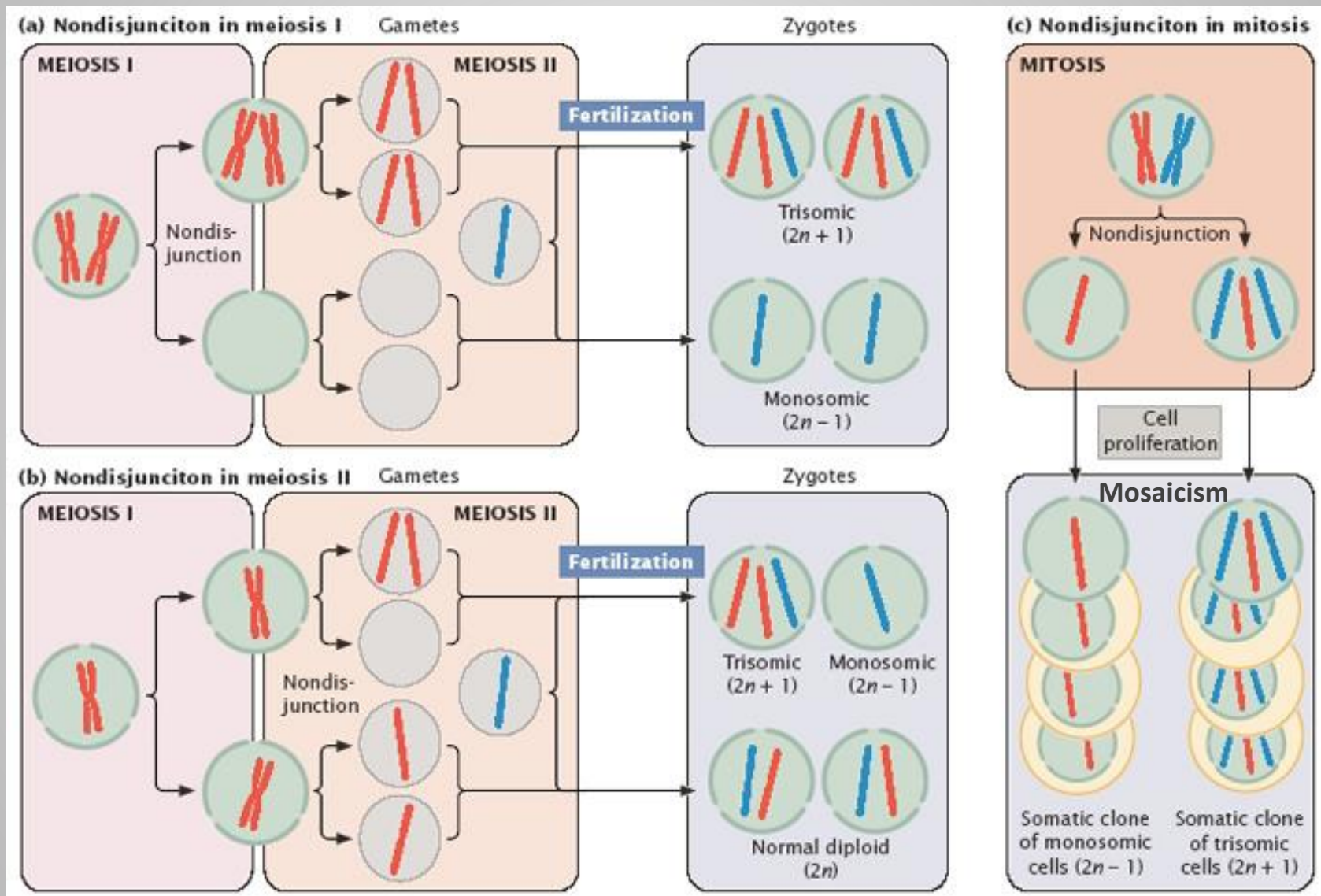
- Euploid: normal chromosome complement
  - Diploid:  $2n$ , 23 pairs of chromosomes = 46 count
  - Haploid:  $1n$ , 23 chromosomes = 23 count
- Aneuploid: abnormal chromosome complement
  - Trisomy:  $2n+1$ , additional chromosome = 47 count
  - Monosomy:  $2n-1$ , missing chromosome = 45 count
- Polyploid: abnormal number of chromosome sets
  - Triploidy:  $3n$ , 3 sets of chromosomes = 69 count
  - Tetraploidy:  $4n$ , 4 sets of chromosomes = 92 count

# Mechanism Leading to Aneuploidy: Chromosomal Nondisjunction (ND)

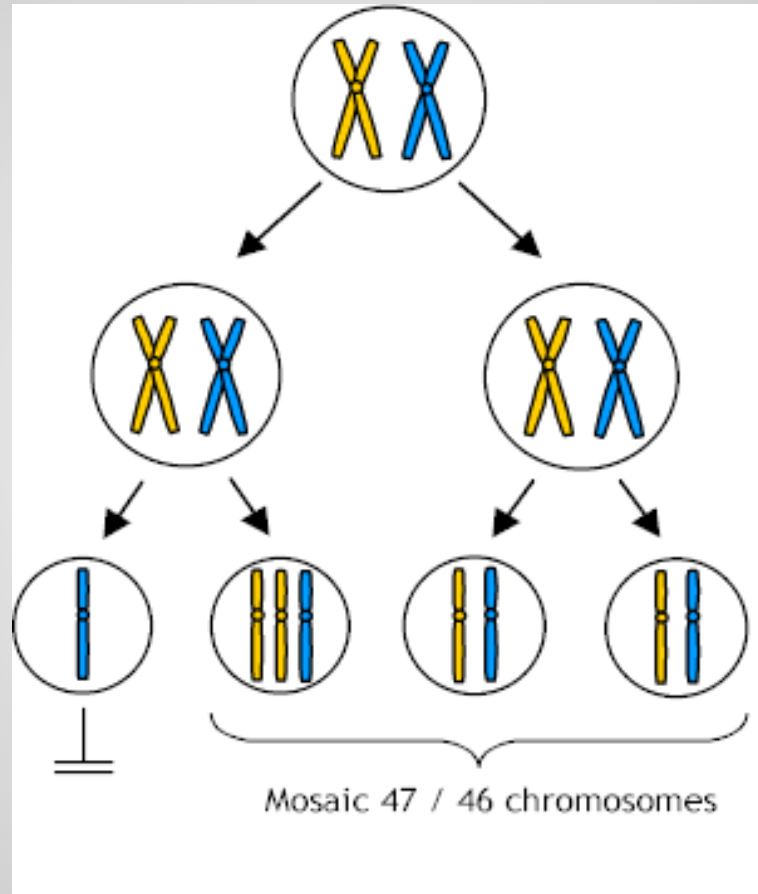
- Definition: the failure of homologous chromosomes or sister chromatids to separate (segregate) properly
  - Meiotic or mitotic ND can occur
- Proposed mechanisms for MI ND
  - True: homologs travel together to same pole
  - Achiasmate: homologs failed to pair and travel independently to the same pole
  - Premature separation of sister chromatids: chromatids, rather than homologs, segregate



# Modes of Nondisjunction



# Mitotic ND in a normal zygote



e.g. trisomy 8  
mosaicism

- Monosomic cell line often has a growth disadvantage and is lost

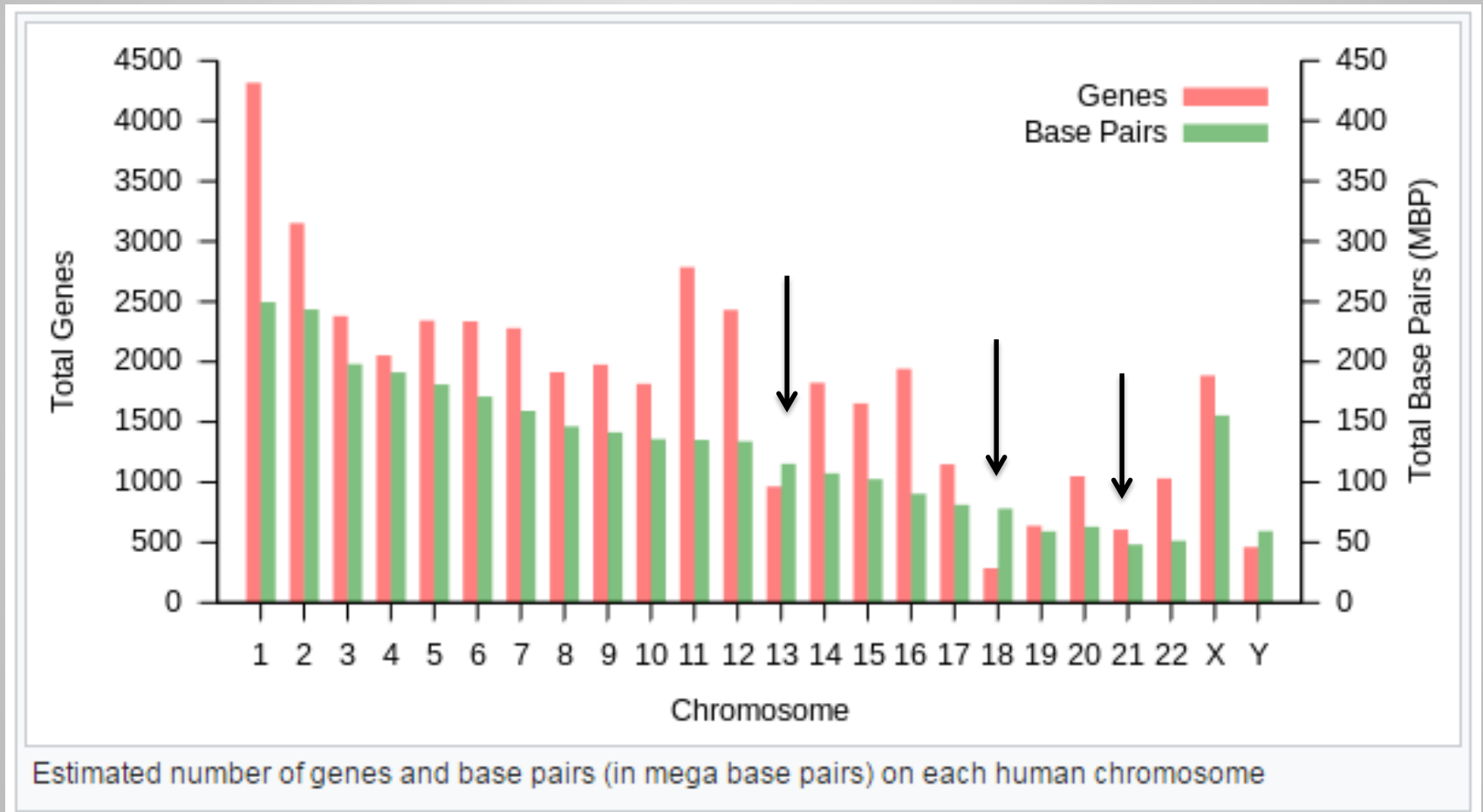
# Parental Origins of Aneuploidy

**Table 1.** Summary of studies of the origin of human trisomies<sup>a</sup>

Trisomy	<i>n</i>	Maternal		Paternal		PZM (%)
		MI (%)	MII (%)	MI (%)	MII (%)	
<i>Acrocentrics</i>						
13	74	56.6	33.9	2.7	5.4	1.4
14	26	36.5	36.5	0.0	19.2	7.7
15	34	76.3	9.0	0.0	14.7	0.0
21	782	69.6	23.6	1.7	2.3	2.7
22	130	86.4	10.0	1.8	0.0	1.8
<i>Non-acrocentrics</i>						
2	18	53.4	13.3	27.8	0.0	5.6
7	14	17.2	25.7	0.0	0.0	57.1
8	12	50.0	50.0	0.0	0.0	50.0
16	104	100	0.0	0.0	0.0	0.0
18	150	33.3	58.7	0.0	0.0	8.0
XXX	46	63.0	17.4	0.0	0.0	19.6
XXY	224	25.4	15.2	50.9	0.0	8.5
X		~30%		~70%		

<sup>a</sup>Adapted from Hall *et al.* (6). MI, meiosis I; MII, meiosis II; PZM, post-zygotic mitotic.

# Chromosome size and gene content correlates with incidence of *postnatal* trisomy



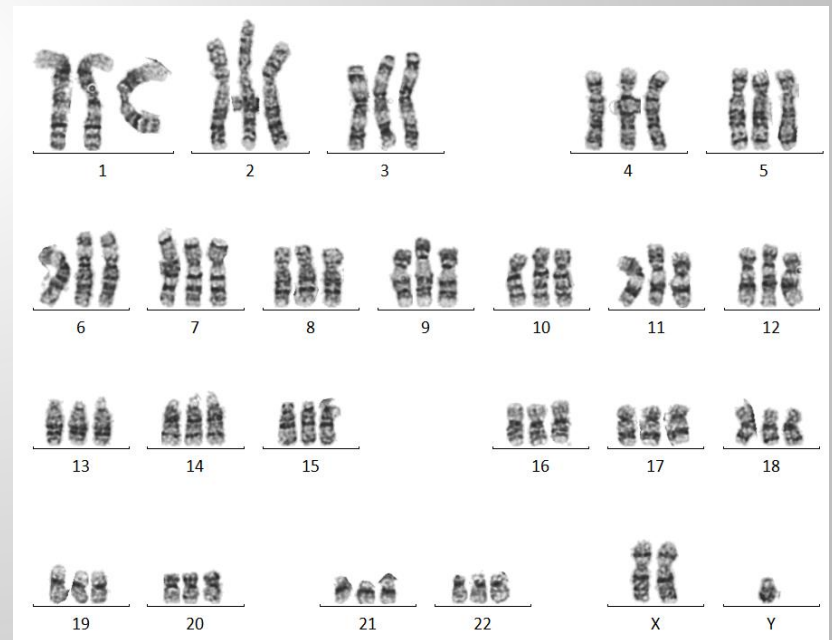
# Common constitutional numerical abnormalities)

## Aneuploidy

- 47,XXY (Klinefelter syndrome)
- 45,X (Turner syndrome)
- 47,XX,+21 (Down syndrome)
- 47,XY,+18 (Edwards syndrome)
- 47,XY,+13 (Patau syndrome)
- 47,XX,+16
- 45,XX,-21

## Polyploidy

- Triploidy (e.g. 69,XXY)



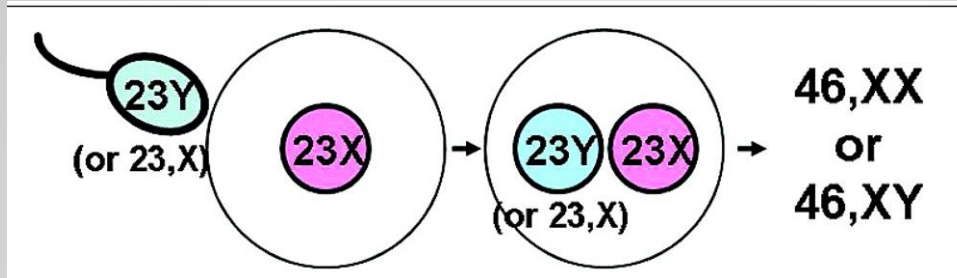
- Tetraploidy (e.g. 92,XXYY)



# Mechanisms Leading to Aberrant Ploidy: Fertilization and Early Cell Division Errors

## Mechanism

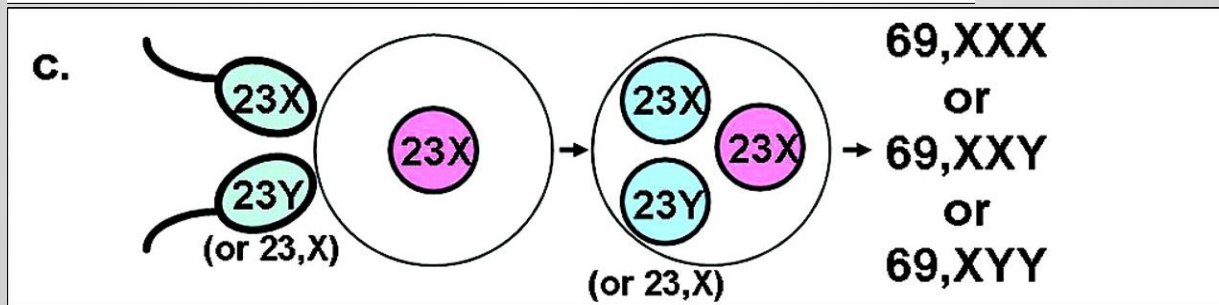
Normal fertilization



## Phenotype

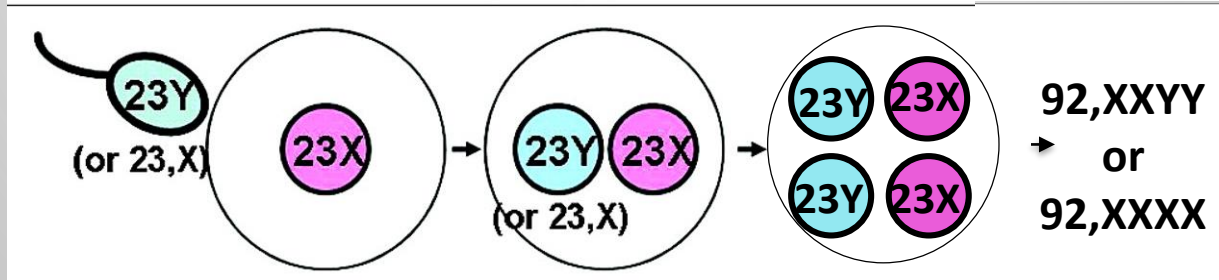
Normal,  
diploid

Dispermy of 23,X egg (not shown: failed polar body extrusion)



Triploidy

Failure of first cell division



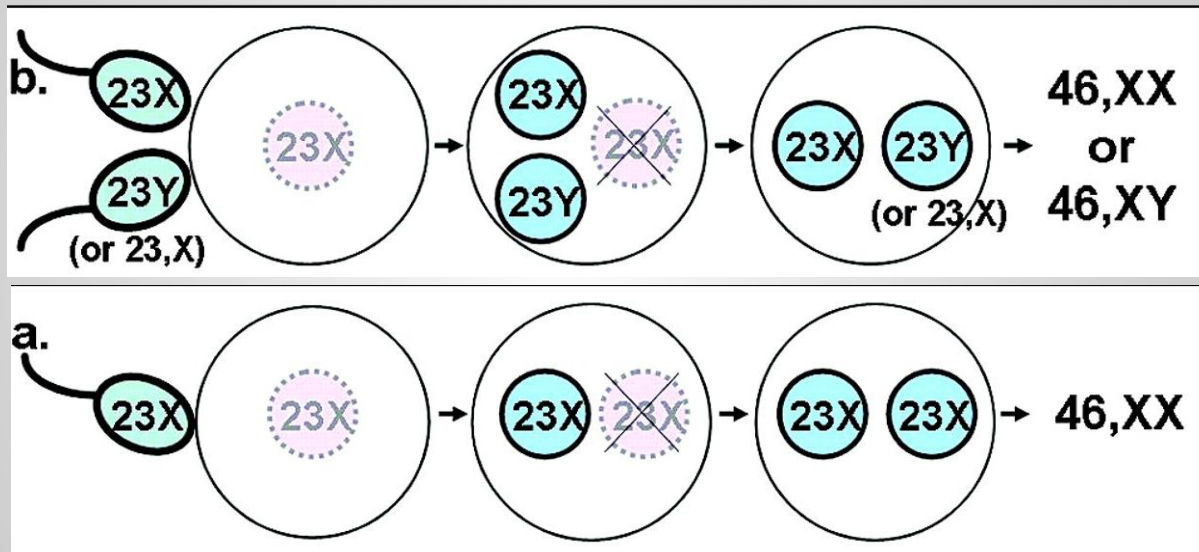
Tetraploidy

# Other Fertilization and Early Cell Division Errors

## Mechanism

Dispermy and loss or missing maternal nucleus

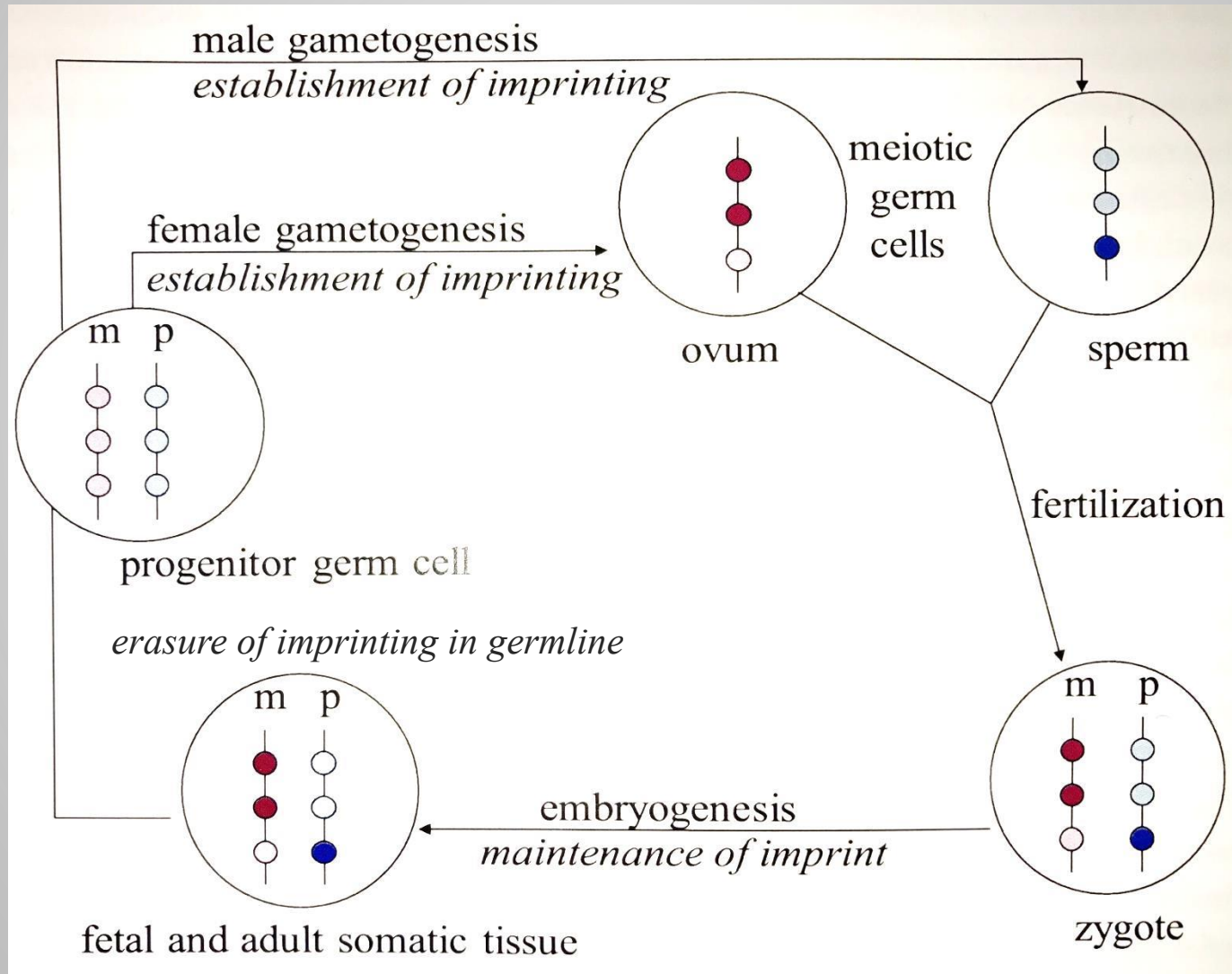
Endoreduplication of haploid genome



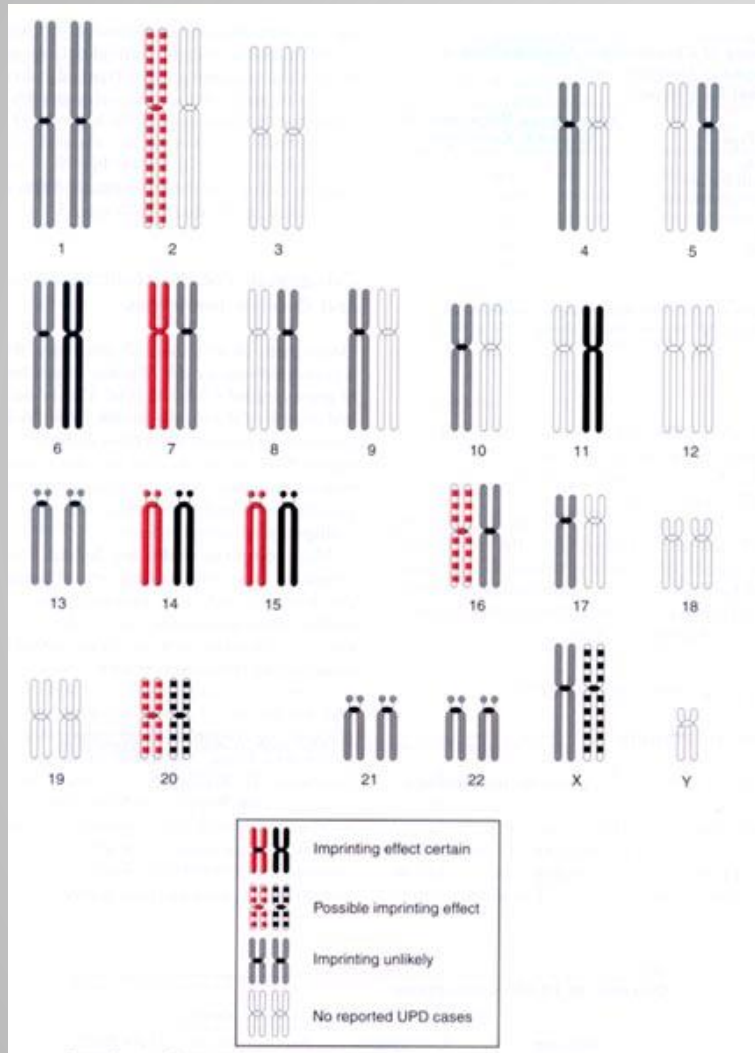
## Phenotype

Molar Pregnancy (Uniparental disomy, genome-wide)

# Imprinting



# Imprinted chromosomes and human disease due to uniparental disomy (UPD)



Chromosome UPD and Inheritance	Associated Genetic Disease or Abnormalities
Paternal UPD 6	Transient neonatal diabetes mellitus
Maternal UPD 7	Silver-Russell syndrome
Paternal UPD 11	Beckwith-Wiedemann syndrome
Maternal UPD 14	Hypotonia, motor development delay, mild dysmorphic facial features, low birth weight, growth abnormalities
Paternal UPD 14	Severe mental and musculoskeletal abnormalities
Maternal UPD 15	Prader-Willi syndrome
Paternal UPD 15	Angelman syndrome
Maternal UPD 16	Intrauterine growth retardation
Maternal UPD 20	Intrauterine growth retardation and/or postnatal growth retardation

Image from: [http://carolguze.com/text/442-10-nontraditional\\_inheritance.shtml](http://carolguze.com/text/442-10-nontraditional_inheritance.shtml)

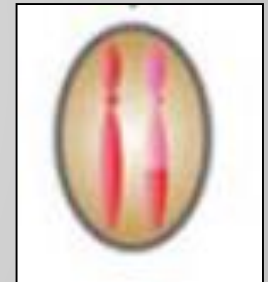
# Uniparental disomy (UPD)

- Biparental: one copy derived from each parent, the normal chromosome complement
- Uniparental disomy: both chromosomes are derived from a single parent
  - Uniparental Heterodisomy: homologous, non-identical copies or regions
  - Uniparental Isodisomy: identical copies or regions
    - Carries risk for recessive disease if a recessive mutation resides in the chromosome/segment

Biparental



Heterodisomy

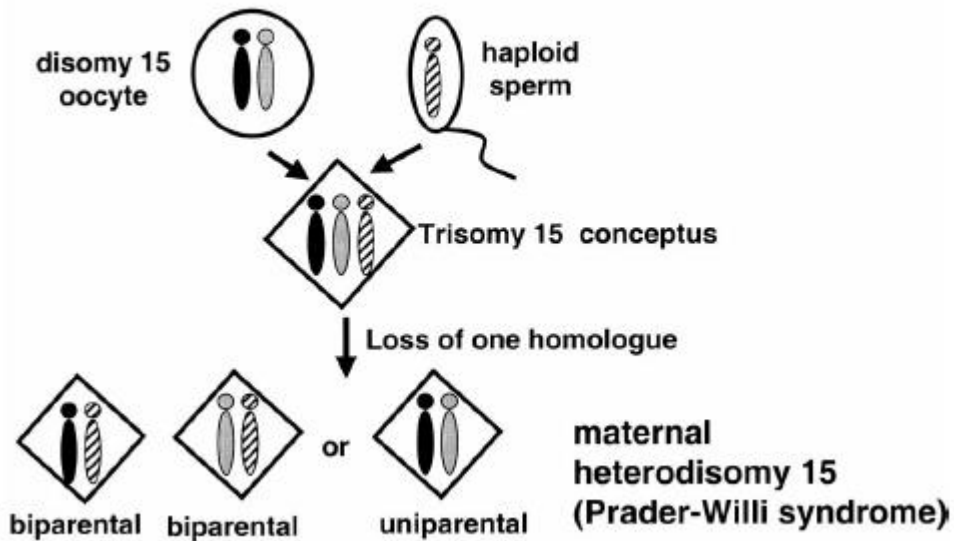


Isodisomy

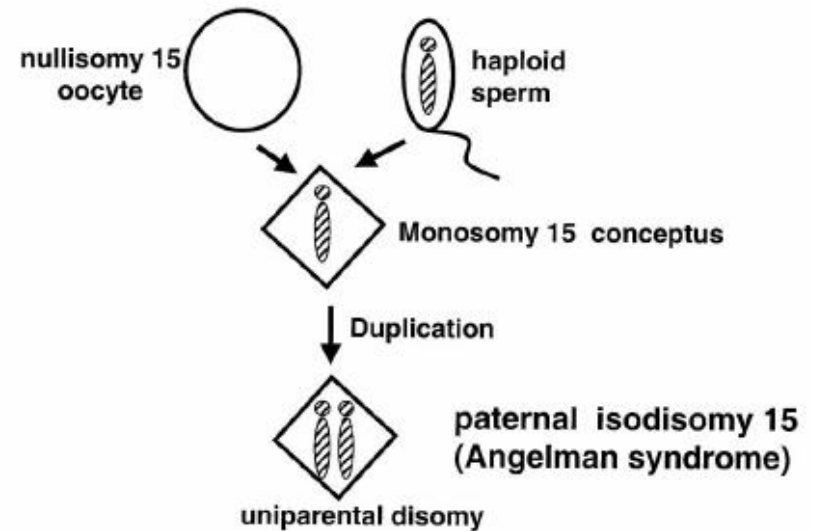


# Mechanisms Leading to UPD: Chromosomal Nondisjunction

## (a) Trisomy Rescue



## (b) Monosomy Rescue



# Incidence of aneuploidy detected in newborns

Abnormality	Rate/1000	Rate (1/n)
<b>Autosomal Trisomy</b>	<b>1.62</b>	<b>617</b>
+C (6,7, <b>8,9</b> ,10,11,12)	0.01	120,290
+D ( <b>13</b> ,14,15)	0.04	24,058
+E (16,17, <b>18</b> )	0.21	4,812
+G ( <b>21,22</b> )	1.37	730
<b>Sex Chromosome Aneuploidies (All)</b>	<b>2.70</b>	<b>375</b>
45,X and variants	0.29	3,509
47,XXX and 47,XXX/46,XX	0.50	2,000
47,XXY and variants	0.72	1,400
47,XYY and 46,XY/47,XYY	0.53	1,887

➤ Incidence of sex chromosome aneuploidy is higher

Data from: Milunsky and Milunsky, Genetic Disorders of the Fetus, 6<sup>th</sup> Ed. (2010). Benn, Chp. 6

➤ True rates are underestimated, especially for sex chromosome aneuploidies, which may be unrecognized at birth

# Sex chromosome aneuploidy

Syndrome	Karyotype	Prevalence	Somatic features	Reproductive impact
Turner	XO <sup>a</sup>	0.04% (1/2500 females)*	Growth retardation Congenital heart disease Horseshoe kidney Visual impairment	Humans: sterile Mice: fertile but reduced oocyte pool and reproductive lifespan
Klinefelter	XXY <sup>b</sup>	0.1% (1/500-1000 males)	Variable Tall stature Gynecomastia Mild developmental and behavioral problems	Humans and mice sterile unless spontaneous X chromosome loss
Double Y	XYY	0.1% (1/1000 males)	Tall stature	Humans: commonly fertile because of high incidence of Y chromosome loss Mice: sterile because of low probability of Y chromosome loss

Do not use XO in karyotypes!

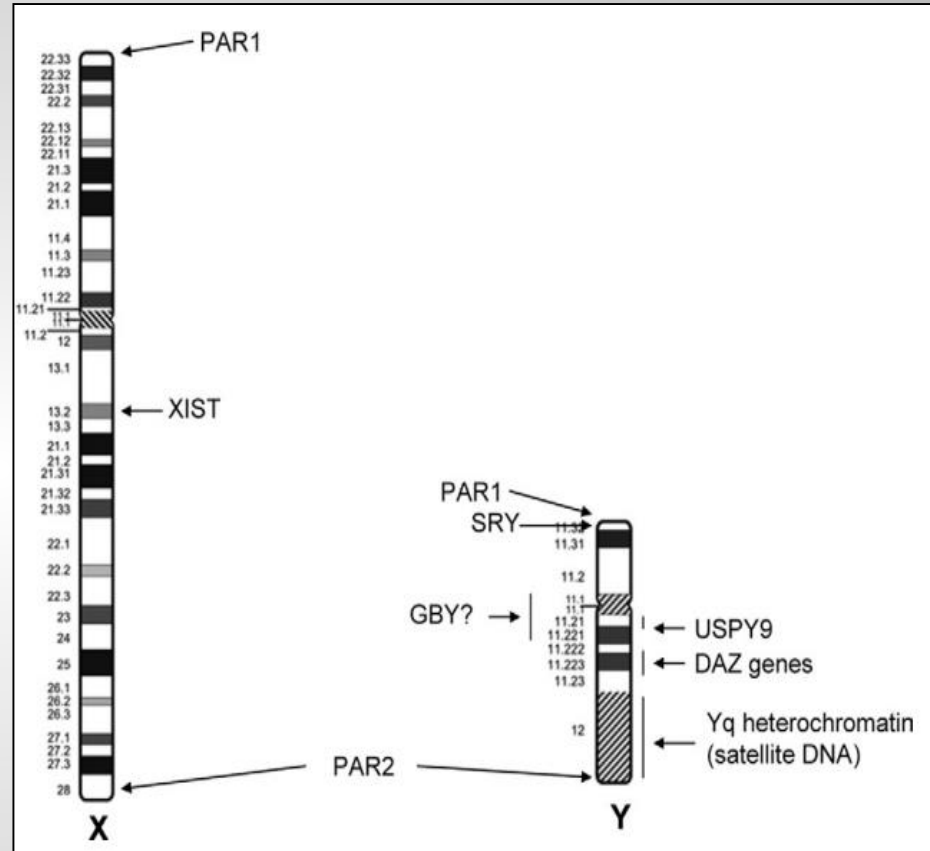
Modified from Heard and Turner, 2011

\* 99% of 45,X conceptuses result in spontaneous abortion

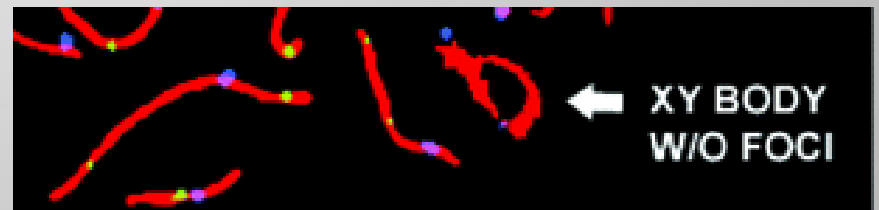


# Sex Chromosomes

- X chromosome: 1000's of genes, one X is inactive in females
  - XIST: dosage compensation
- Y chromosome: main function is in male sexual development
  - SRY determines male phenotype
  - Other genes regulate sexual development
  - Yqh is inactive
- Pseudoautosomal (PAR) regions are required for pairing and recombination between the X and Y in males
  - Obligatory crossing-over occurs in PAR1
  - Errors in XY pairing lead to increased incidence of XY nondisjunction, higher rates sex chromosome aneuploidy



Li, Clin Lab Med 31 (2011)



Ma et al., 2005

# Dosage compensation: X-inactivation

- X-inactivation rescues the potential damaging effect of increased X gene dosage in 47,XXX and 47,XXY
- In female somatic cells, only one X is active, the second is condensed/inactive (appears as Barr body in interphase cells)
- Number of Barr bodies =  $n(X) - 1$  \*
  - \*Applies to diploid cells only
  - 46,XX (1 Barr body)
  - 47,XXY (1 Barr body)
  - 47,XXX (2 Barr bodies)
  - 45,X (0 Barr bodies)

Barr body (Xi) in a normal female cell

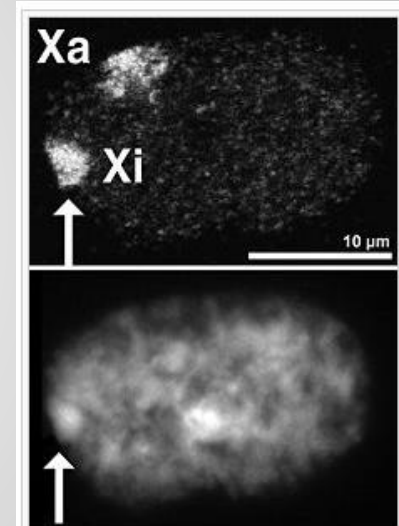


Image source: Wikipedia

# Dosage compensation: X-inactivation

## Lyon hypothesis (now Lyon Law) to explain X chromosome dosage:

- One X is inactivated in females
- X-inactivation occurs in early development
  - ~2 weeks after fertilization, ~100's cell stage/blastocyst
  - Must be re-activated in the germline
- X-inactivation is random
- X inactivation is clonal (females are essentially mosaics for X-linked genes)

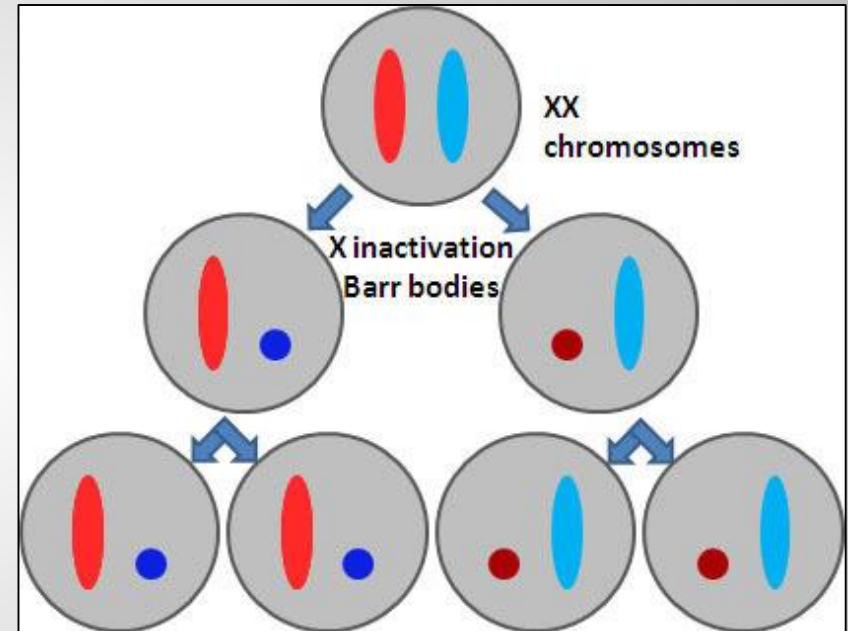
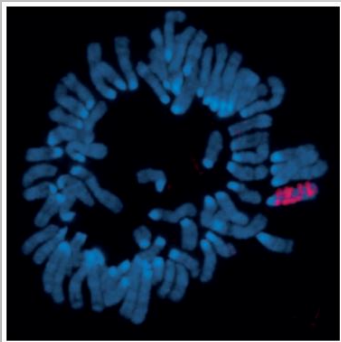


Image source:

<http://www.scoop.it/t/molcyt/p/293460152/2011/07/14/50-years-of-the-lyon-hypothesis-and-x-inactivation-at-the-european-cytogeneticists-association-eca-conference-porto>

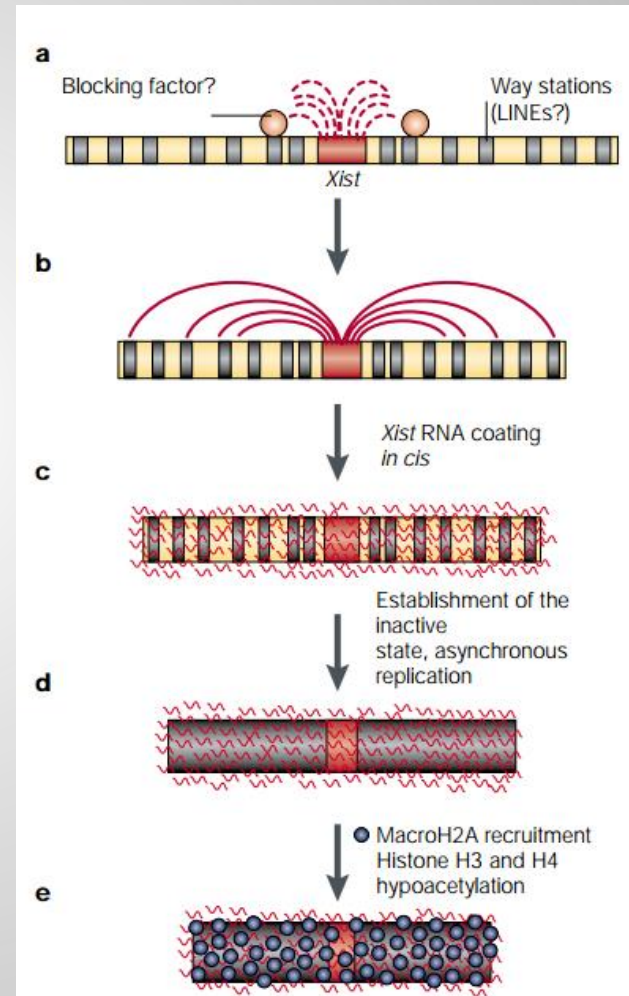
# Mechanism of X-inactivation

- X-inactivation specific transcript (XIST) at Xq13 is transcribed only from the inactive X
- XIST mRNA acts *in cis*, coating the inactive X, which triggers condensation, affecting replication
- Histone modification leads to inactivation



*Xist* RNA-FISH in a mouse metaphase spread

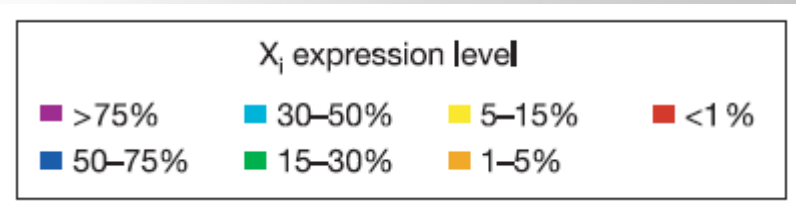
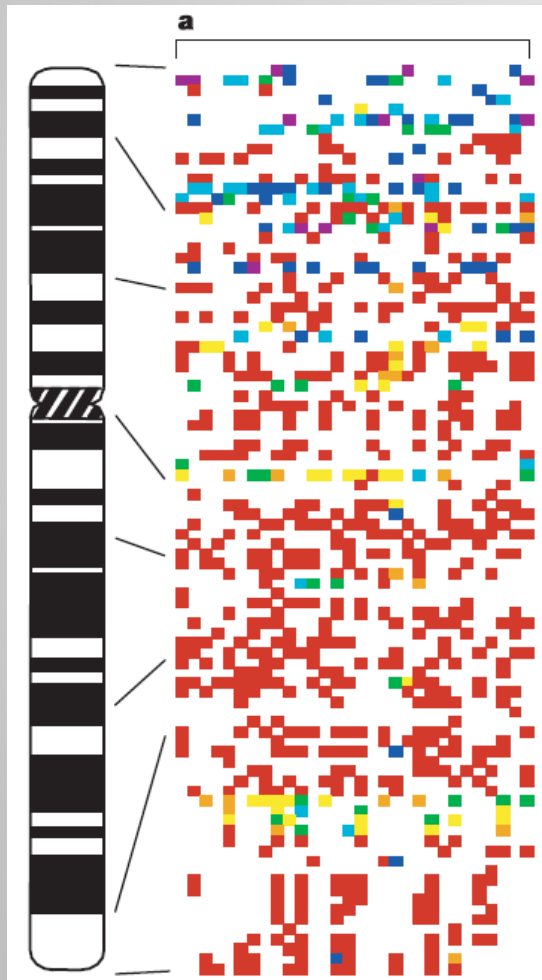
Ng et al., 2007, EMBO reports



Avner and Heard, 2001, Nat Rev Genet

# Not all X-chromosome genes are inactivated

- Explains why 45,X is associated with an abnormal phenotype

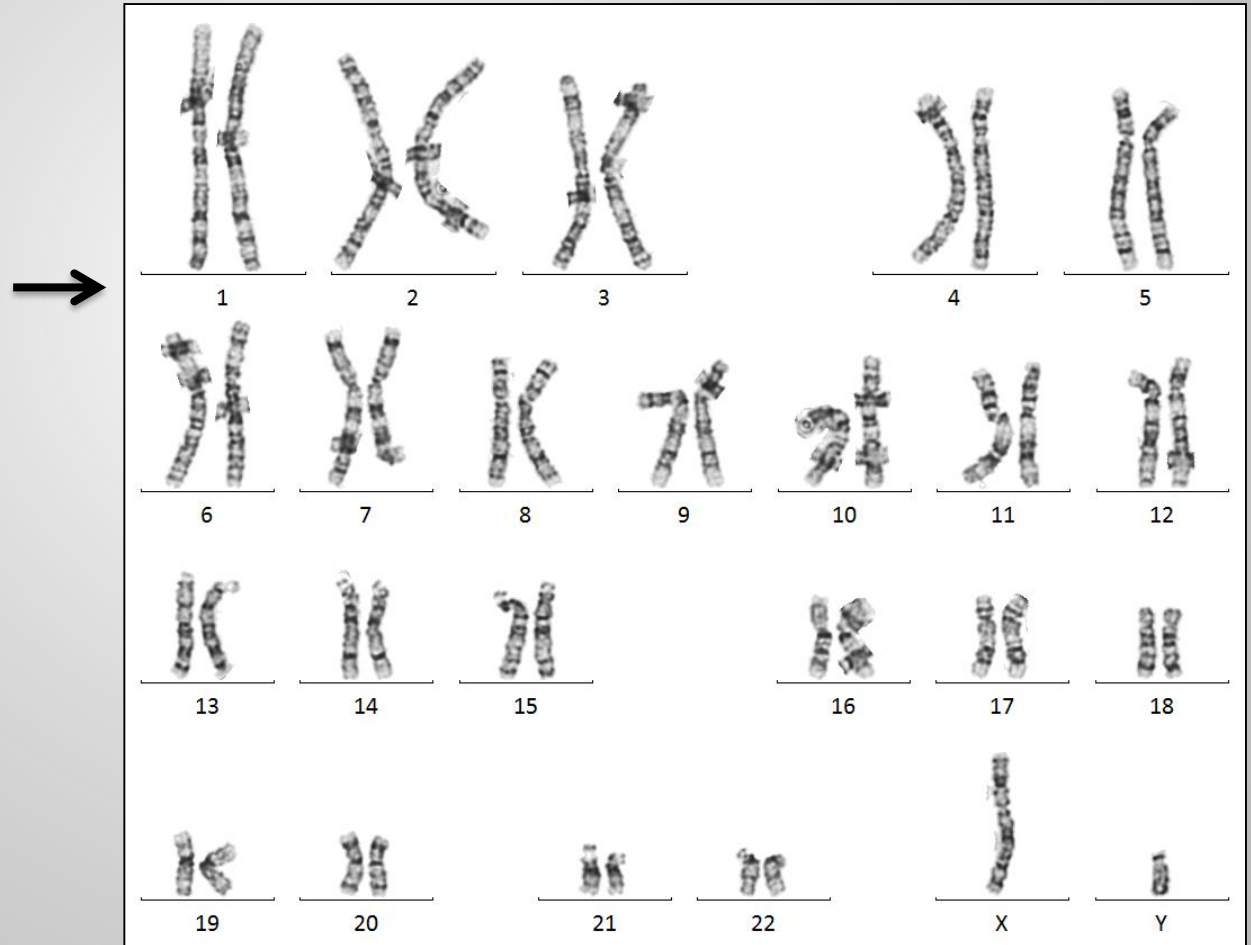


# Karyotyping

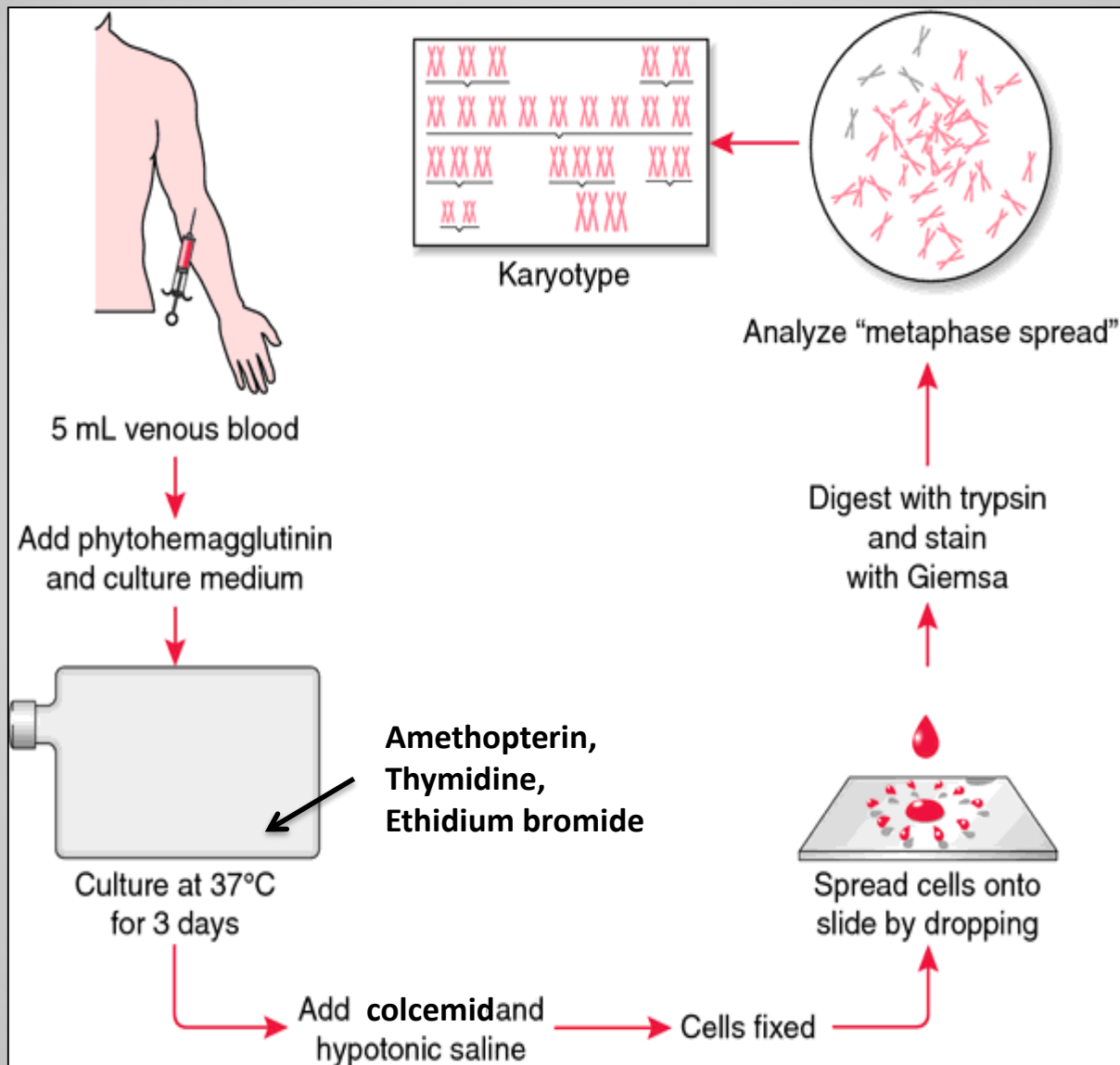
Metaphase spread



Karyogram

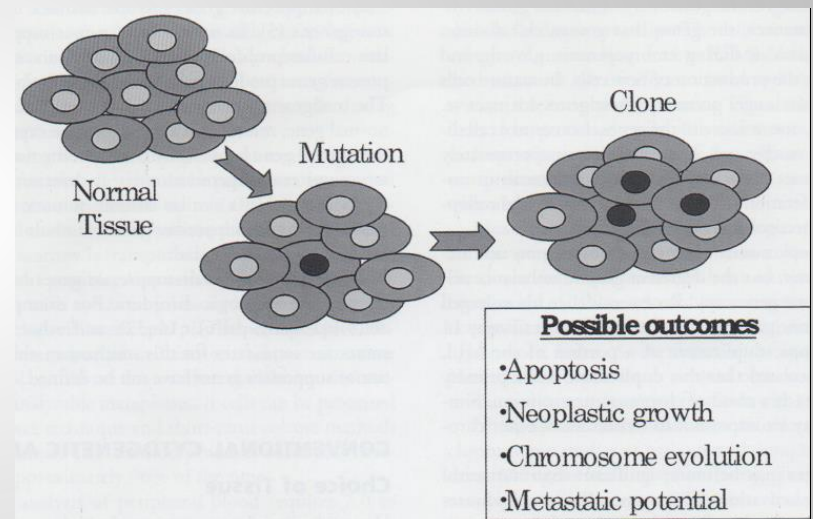


# Preparation of metaphase chromosomes



# Overview of chromosome analysis

- Generally, 20 cells are analyzed from multiple cultures
- Definition of a clone:
  - At least two metaphase cells with the same extra chromosome or structural abnormality
  - At least three metaphase cells with the same chromosome loss
  - Abnormality must be observed in two independent cultures (r/o in vitro artifacts)



Dewald *et al.*, Cytogenetic Studies in Neoplastic Hematologic Disorders 2<sup>nd</sup> Ed.



# Mixture of Cell Lines: Mosaicism versus Chimerism

- Mosaicism: the presence of at least two genetically distinct, but related cell lines (clones) arising in the same individual:
  - Somatic and/or germline mosaicism
  - e.g. constitutional, Turner syndrome: 45,X[15]/46,XX[5]
  - e.g. acquired, CML: 46,XX,t(9;22)(q34;q11.2)[9]/46,XX[11]
- Chimerism: the presence of at least two genetically distinct cell lines that are derived from different conceptions: e.g. twin-twin fusions or transfusions, tissue/organ transplants
  - e.g. Bone marrow transplant patient: 46,XX[3]//46,XY[17]
- Clinical presentation: may be variable or a milder clinical phenotype, may see skin pigmentation anomalies, may be suggestive by recurrent cytogenetic abnormality in offspring and normal constitutional result (i.e. gonadal mosaicism)

NOTE: there are special considerations when mosaicism is observed in a prenatal study

# Standard Nomenclature for Karyotype Designation

General designation includes:

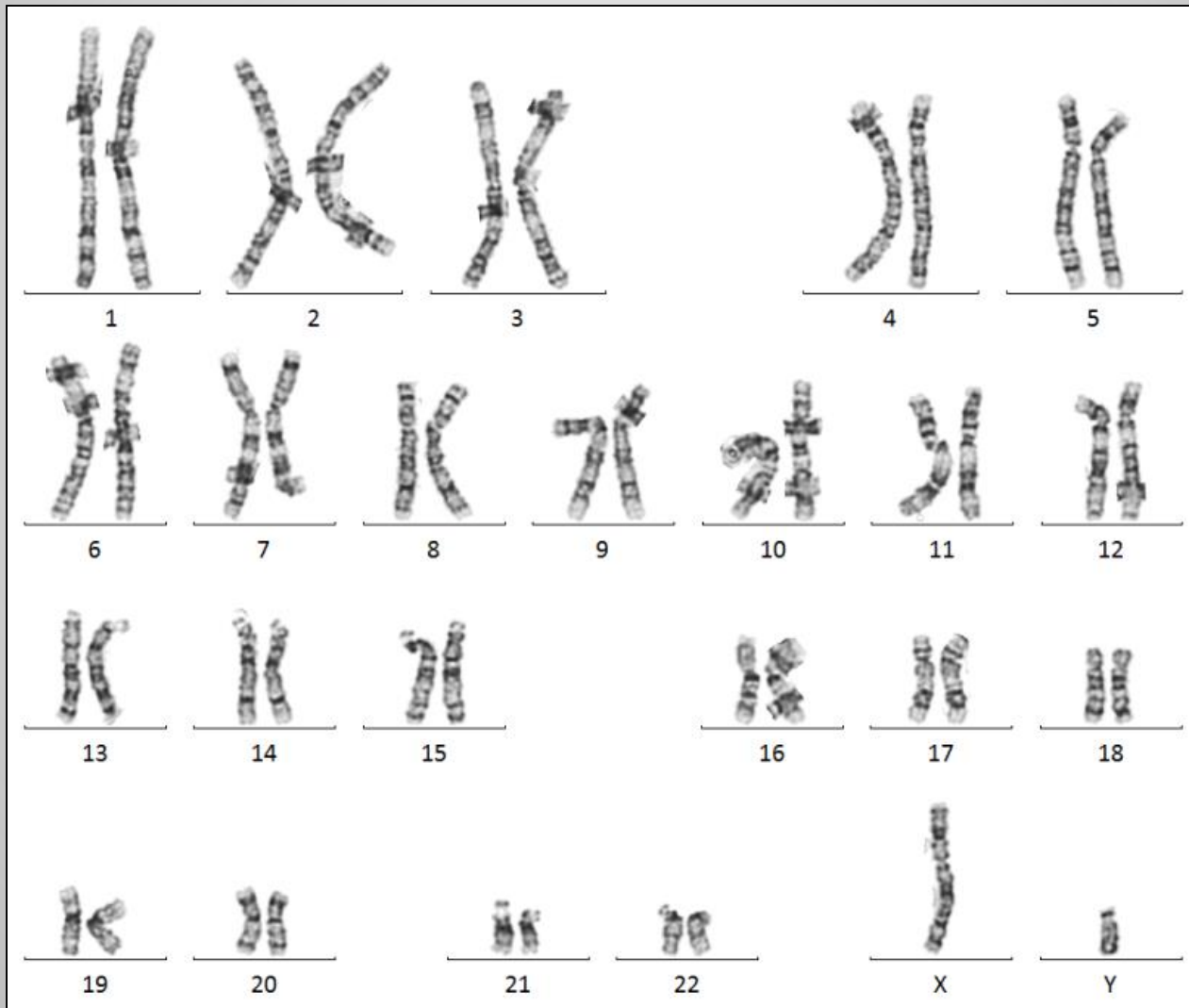
- Chromosome number (count)-based on #centromeres
  - Expressed relative to the ploidy level
- Sex chromosome constitution
  - Use +/- for acquired sex chromosome aneuploidy only
- List of abnormalities present
  - Ordered by chromosome number (sex chromosomes, then autosomes 1-22) and abnormality type (numerical abnormalities/aneuploidies, then structural abnormalities, listed alphabetically and by location/band, low to high)
- Multiple cell lines
  - Mosaicism: List abnormal clone(s) first, list multiple abnormal clones from largest to smallest in size
  - Chimerism: List recipient (individual's karyotype) first

# Common symbols and abbreviated terms

- + additional normal or abnormal chromosome (trisomy)
- - loss of a chromosome (monosomy)
- add added material of unknown origin, typically resulting in a loss of material distal to breakpoint
- del deletion
- der derivative chromosome, due to structural rearrangement(s)
- dic dicentric chromosome
- dup duplication
- dn de novo (not inherited)
- i isochromosome (composed of two identical chromosome arms)
- idic isodicentric chromosome (isochromosome w/ two centromeres)
- ins insertion
- inv inversion
- mar marker chromosome, unknown origin
- mat maternal origin
- mos mosaic (multiple cell lines/clones present)
- pat paternal origin
- r ring chromosome
- rob Robertsonian translocation, a whole arm translocation between acrocentric chromosomes
- t translocation
- / separates clones (for mosaic karyotypes)
- // separates clones (for chimeric karyotypes)
- [ ] indicate number of cells (for mosaic or chimeric karyotypes)

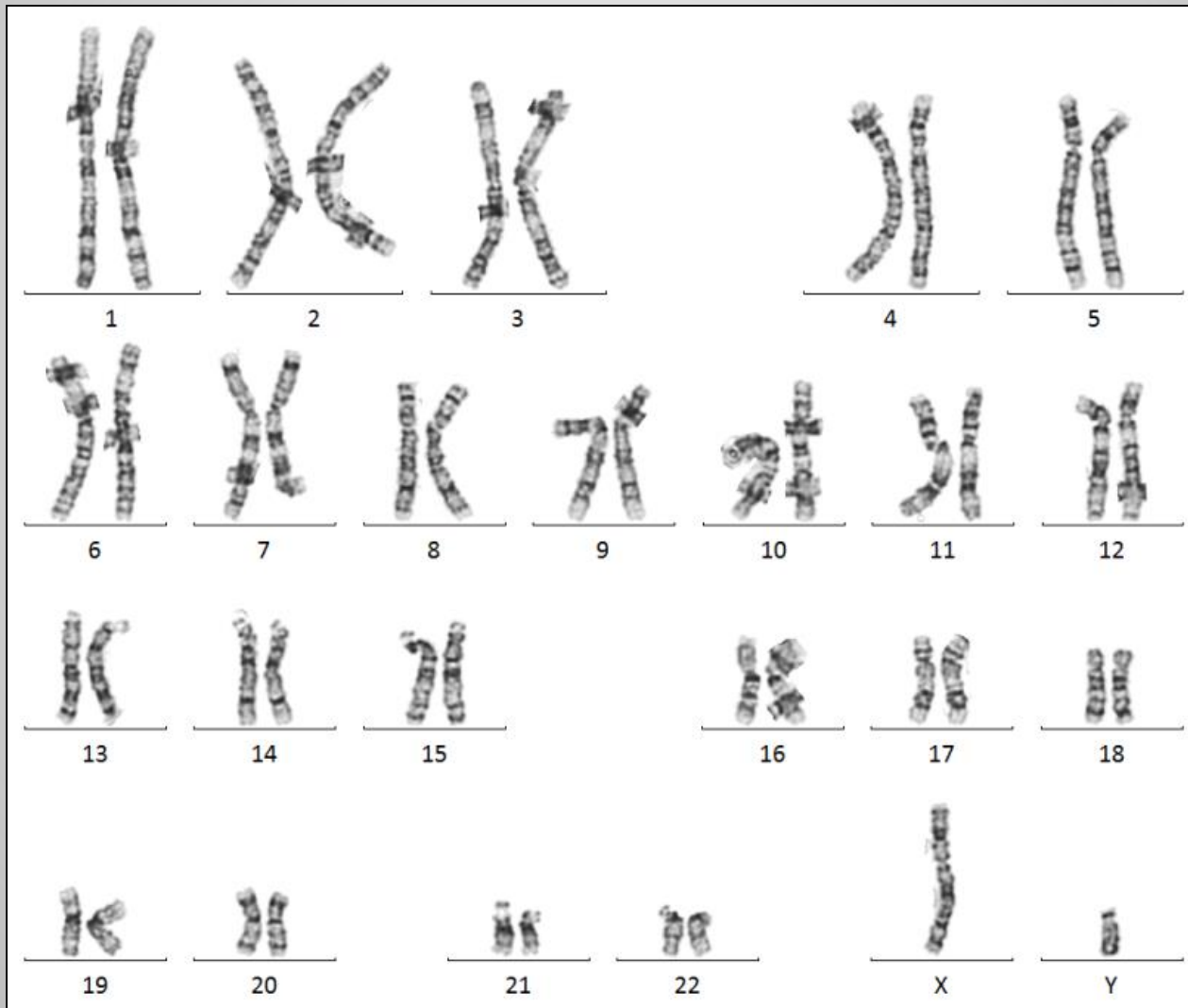
# Nomenclature Practice: Numerical Abnormalities

# Normal, constitutional

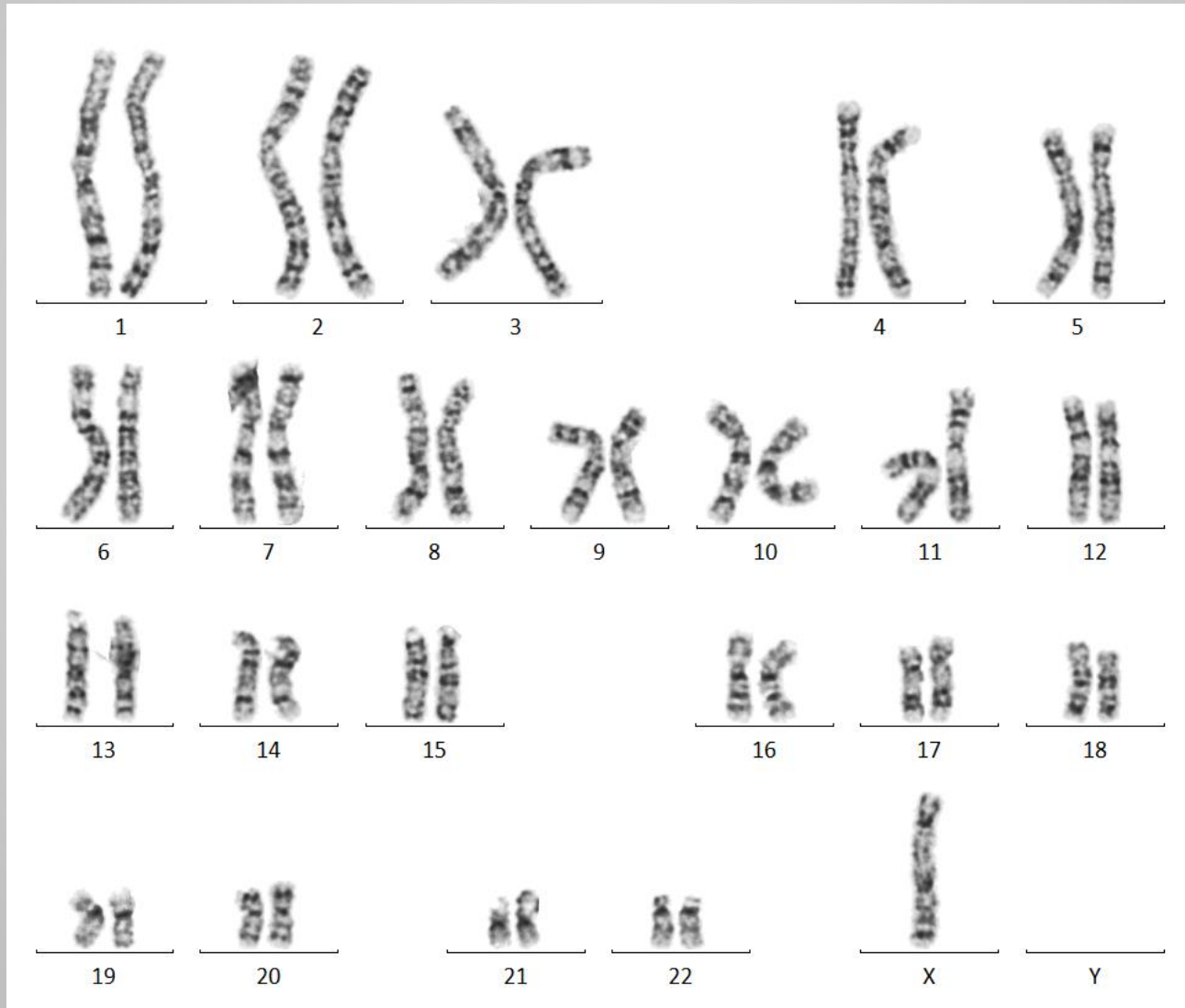


# Normal, constitutional

Karyotype: 46,XY

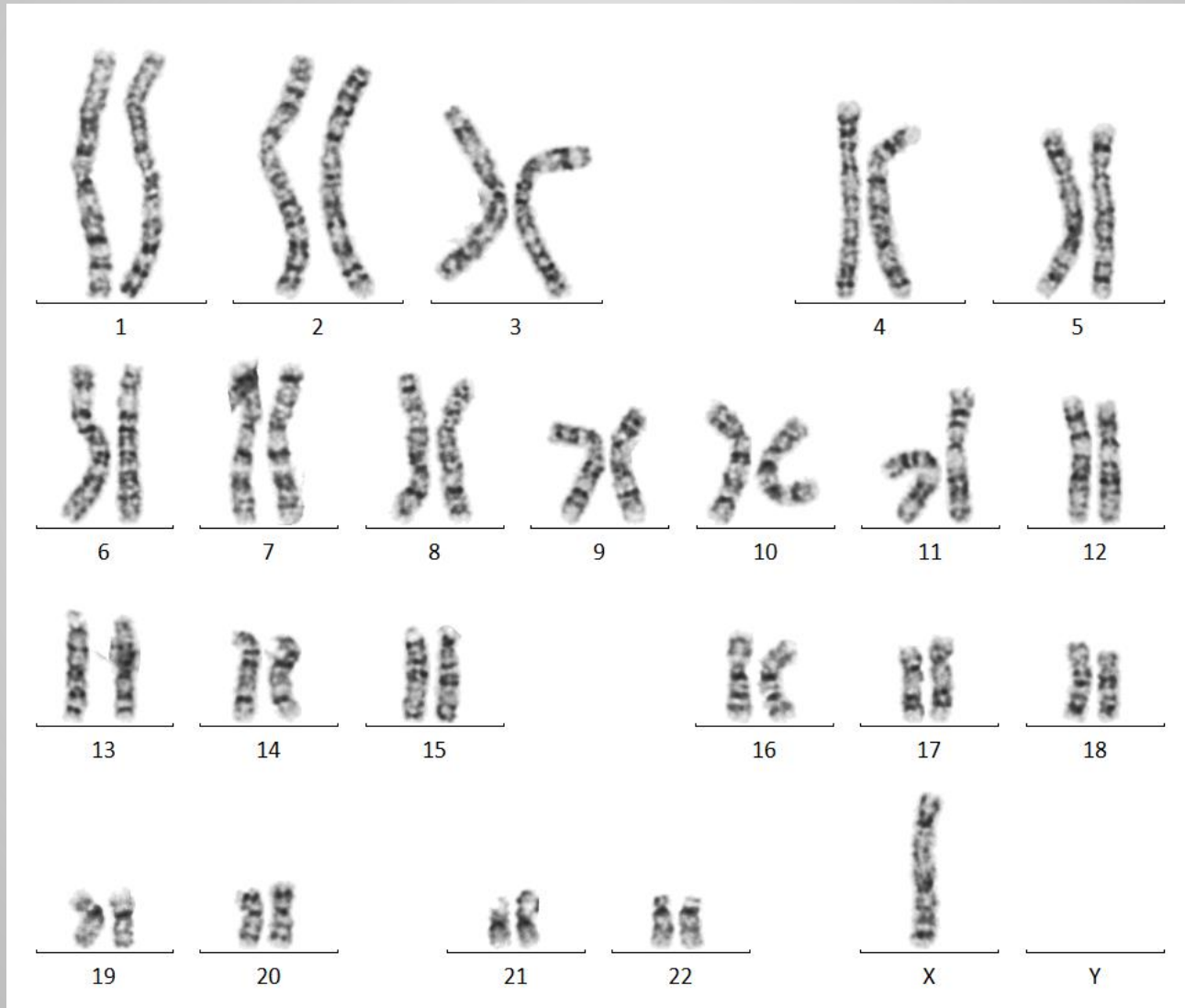


# Abnormal, constitutional



# Abnormal, constitutional

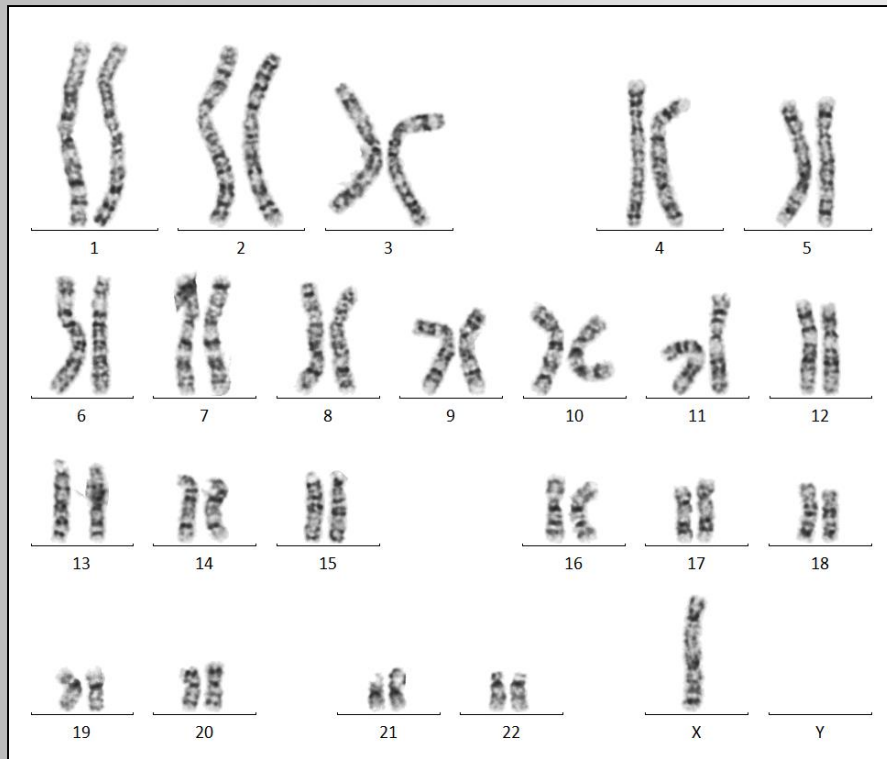
Karyotype: 45,X



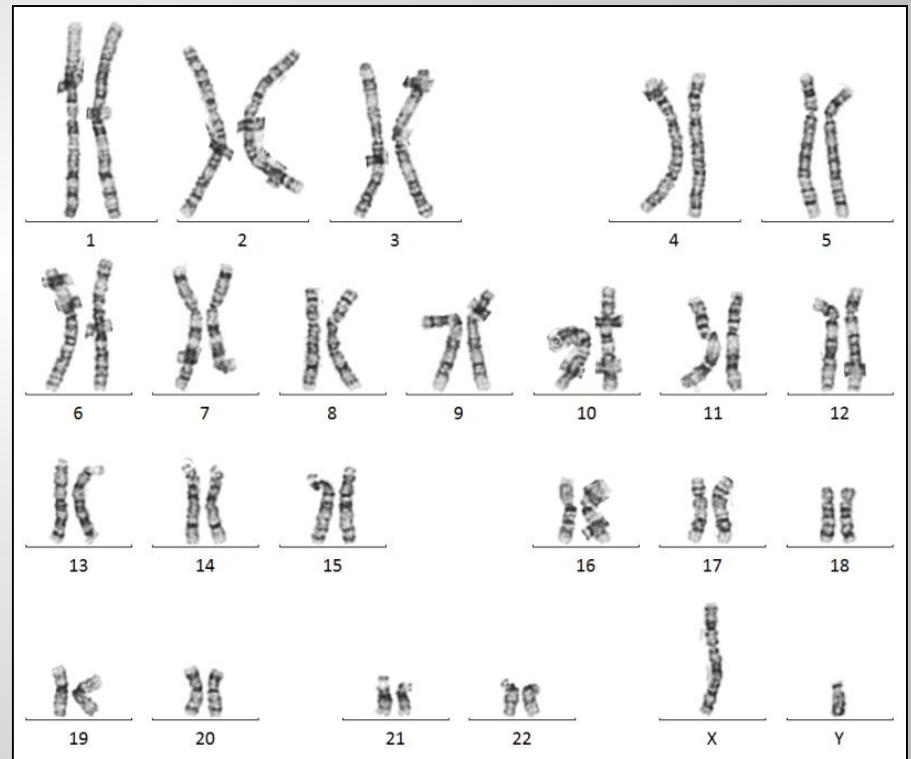


# Abnormal, constitutional

12 cells



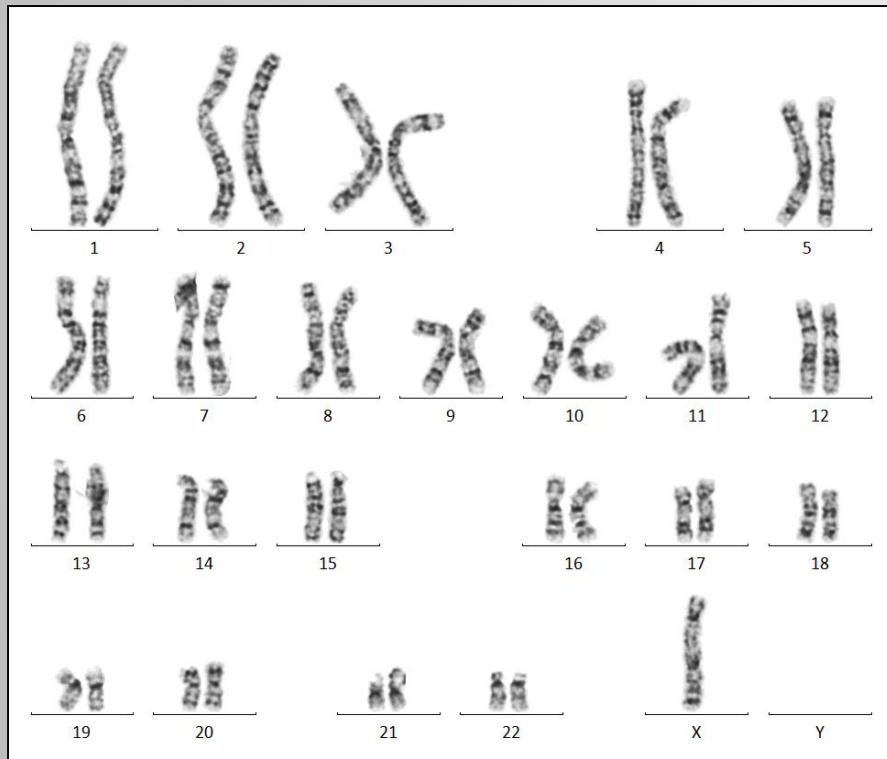
8 cells



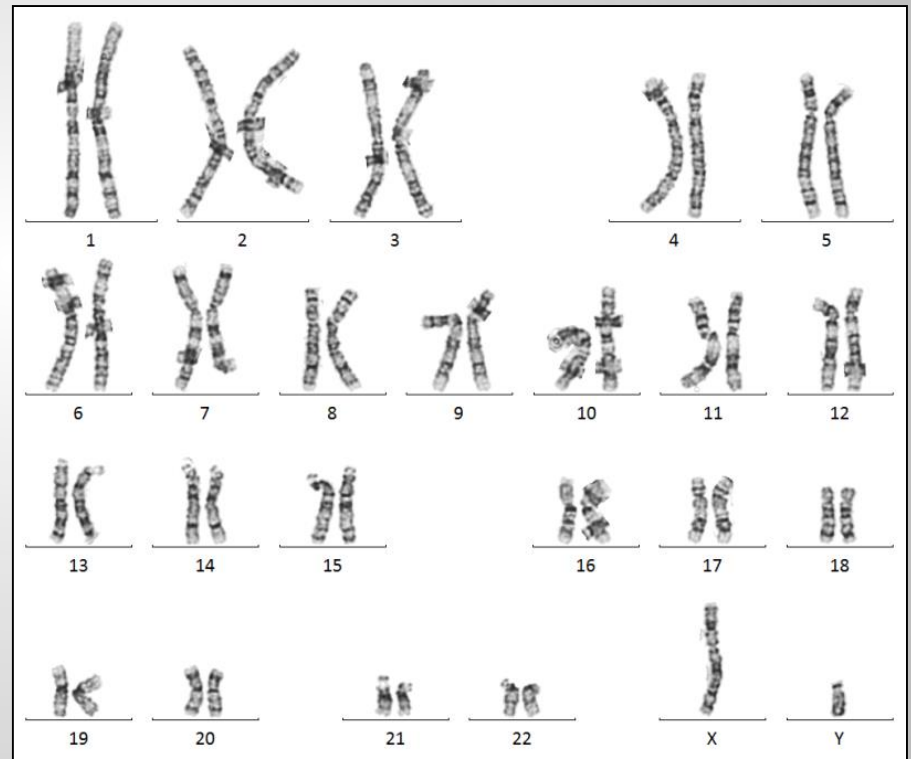
# Abnormal, constitutional

Karyotype: 45,X[12]/46,XY[8]

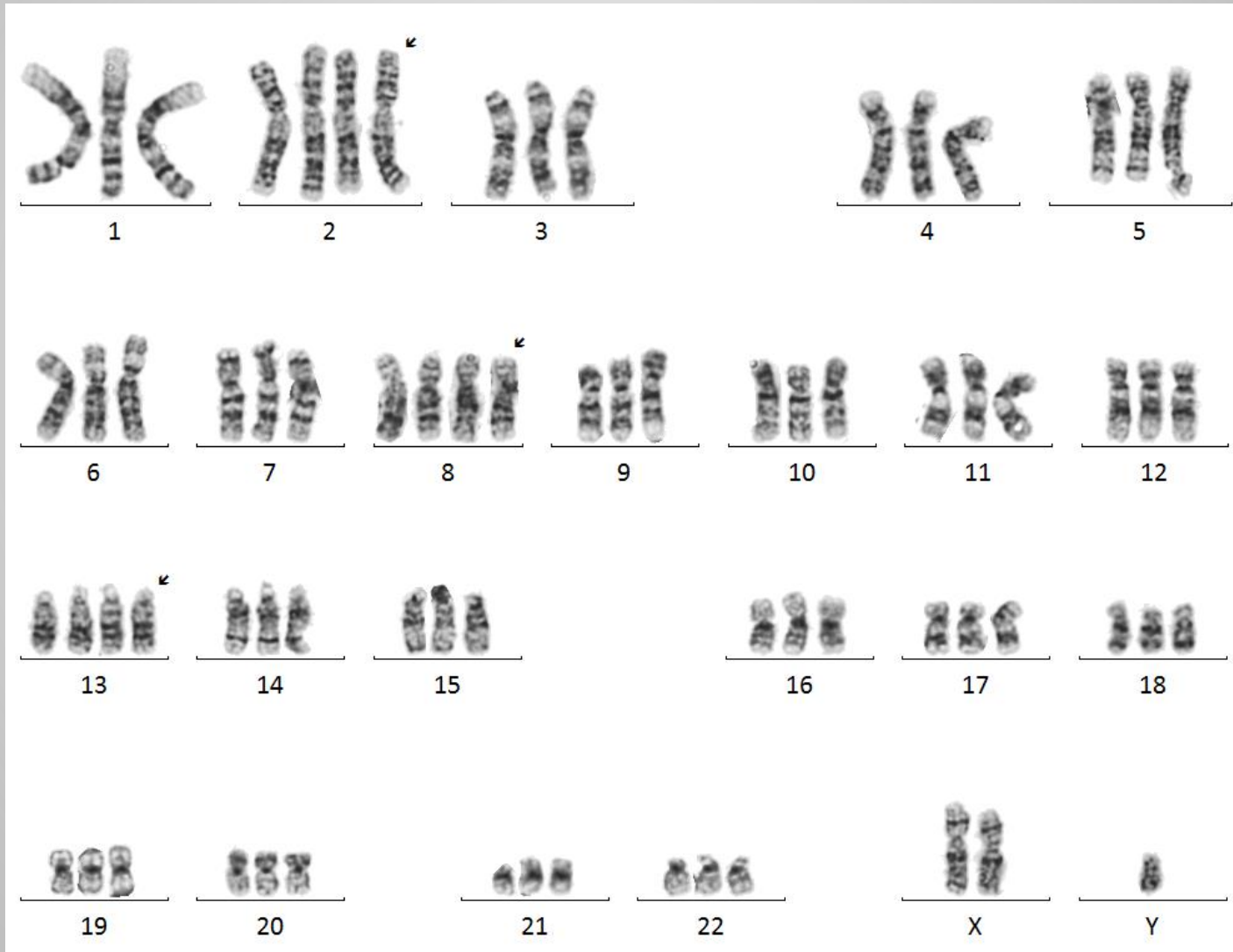
12 cells



8 cells

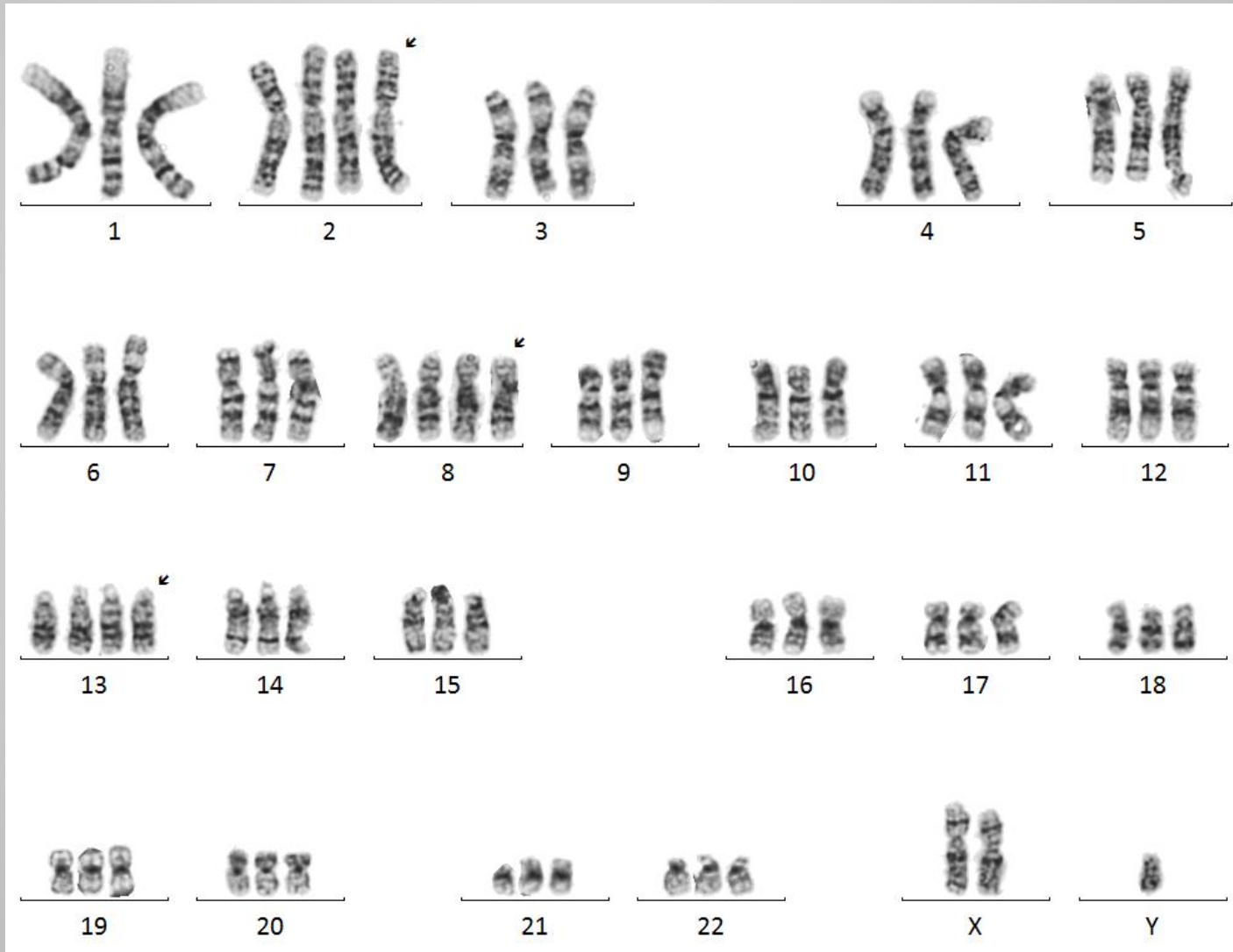


# Abnormal, constitutional

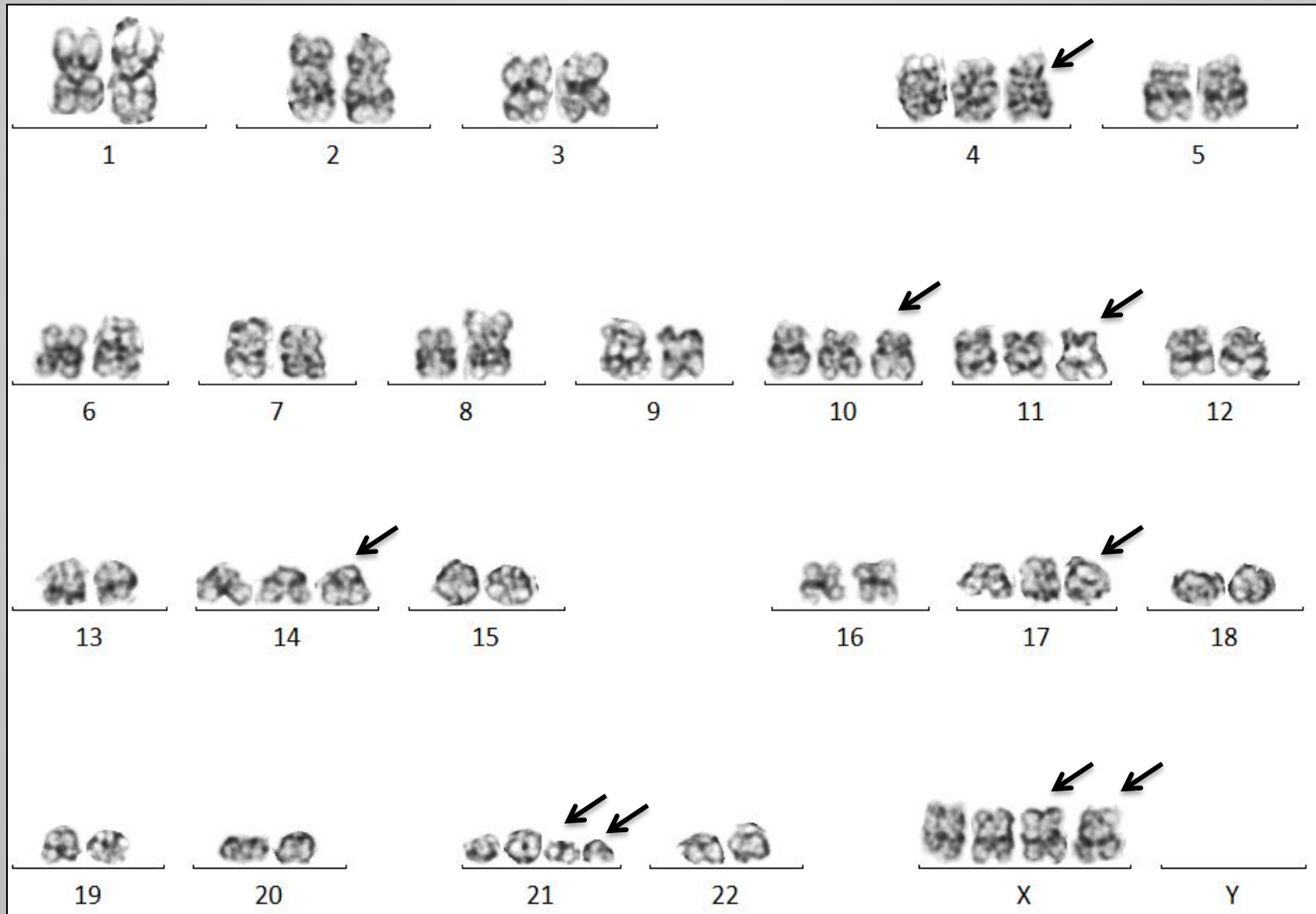


# Abnormal, constitutional

Karyotype: 72,XXY,+2,+8,+13



Abnormal, oncology, 9 extra chromosomes, 20 cell study, 3 normal male (BM donor) cells present



Abnormal, oncology, 9 extra chromosomes, 20 cell study, 3 normal male (BM donor) cells present

Karyotype: 55,XX,+X,+X,+4,+10,+11,+14,+17,+21,+21[17]//46,XY[3]

