## **Spectroscopic Literature**

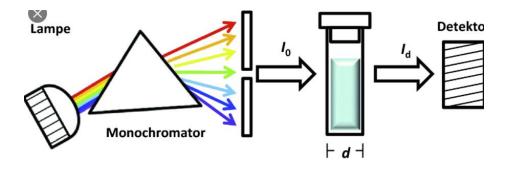
D. C. Harris, M.D.Bertolucci, Symmetry and Spectroscopy
P. W. Atkins, Molecular Quantum Mechanics
J. J. Sakurai, Modern Quantum Mechanics
R. S. Drago, Physical Methods in Chemistry
F. A. Cotton: Chemical Applications of Group Theory

## Interaction of electromagnetic waves with matter

#### **Objective**

research field

Change electromagnetic waves: Change matter: Analyze matter: optical physics photochemistry **spectroscopy** 

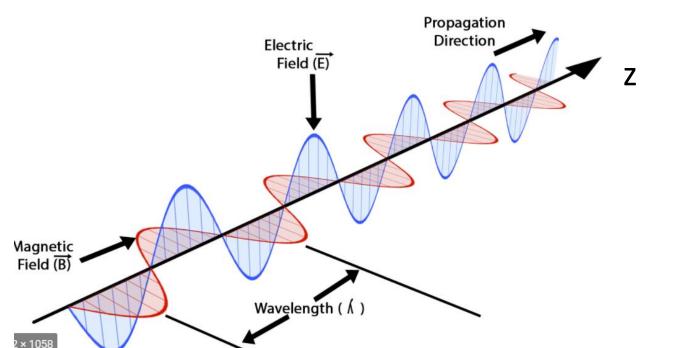


### **Keyword: electromagnetic waves**

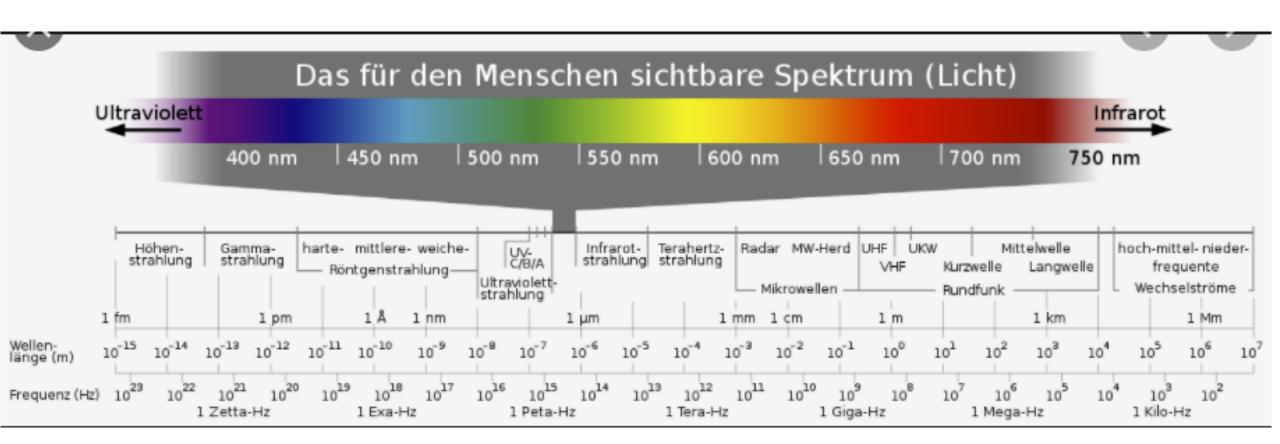
 $\mathcal{E} = \mathcal{E}_0 \exp i(kz - \omega t + \phi)$  $\mathcal{E} = \mathcal{E}_0 \exp i(kz - \omega t + \phi)$ 

 $\mathcal{S}_0$ : Amplitude, k: wave vector = $2\pi/\lambda$  $\omega = 2\pi\nu$  = frequency  $\phi$  = phase

 $v = c/n = v\lambda$ propagation velocity  $E = hv = \hbar\omega$ 



# **The Electromagnetic Spectrum**



### Generation of electromagnetic waves .....and detection

General principle: oscillation of charges -> emission of electromagnetic waves mx + fx = 0 ->  $x = x_0 \exp(\omega_0 t)$  with  $\omega_0 = \sqrt{f/m}$  $\omega_0 = 1/\sqrt{LC}$ 10uF  $C = \frac{1}{4\pi\varepsilon_0\varepsilon_r}\frac{A}{d}$  $L = \mu_0\mu_r S \frac{n^2}{l}$ **Radiowaves:** Electromagnetic Tank circuits **Tank circuits** induction -> U  $\sim$  $N^+$ velocity modulated electron **Rectification -> Q** Microwaves N ensembles Heat transfer -> T N<sup>+</sup> Heat transfer -> T **Molecular oscillators** Infrared Photoel. effect -> U **Black-body (thermal) radiators** (dopedPbTe, CdTe **Electron relaxation (lasers)** Photoel. effect -> U **Direct or indirect** Ultraviolet **Electron relaxation (following ionization)** (Photostimulated **Cyclotrons (Synchrotron) Detectors**) X-Ray

**Nuclear relaxation** 

Gamma Ray

Scint. Counter Nal(TI) Photo-electrons

### **Keyword: Matter**

### From spectroscopist's viewpoint: A sum of Hamiltonians

$$\mathcal{H} = \mathcal{H}_{rot} + \mathcal{H}_{vib} + \mathcal{H}_{el} + \mathcal{H}_{nucl} + \mathcal{H}_{z}$$

For each Hamiltonian there are exact solutions of the Schröder equation, yielding eigenfunctions and energy eigenvalues for relatively simple model systems and approximate energies for more complex systems:

Rotation: 3D-rigid free rotor (U = 0) Vibration: harmonic oscillator U = ½ f q<sup>2</sup> Electrons: H-like one-electron systems Zeeman: Stern-Gerlach system

E = B h J(J+1) with B =  $\hbar/4\pi\Theta$  ( $\Theta$  = moment of inertia) E = (n<sub>v</sub> + ½)  $\hbar\omega_0$  with  $\omega_0 = \sqrt{f/m}$  (m = mass) E ~ 1/n<sup>2</sup>; many-el.: E = f(L, M<sub>L</sub>, J)) due to inter-electronic interactions E = m<sub>s</sub>µ<sub>B</sub>gB (EPR)-----m<sub>l</sub>γħB (NMR) (µ<sub>B</sub> = Bohr magneton = e  $\hbar/2m_e$ 

#### **Spectroscopy**

Magnetic resonancem<sub>I</sub> (NMR) or m<sub>s</sub> (EPR) spin orientational quantum numberRotational spectroscopyJ (angular momentum quantum number of molecular rotation)Vibrational spectroscopyn<sub>v</sub> (vibrational quantum numberElectronic spectroscopy (VIS,UV,X-ray)L,J,M<sub>L</sub>,M<sub>J</sub>, n (angular momentum or principal quantum number)MössbauerI (nuclear spin quantum number)

relevant quantum number

## **Born-Oppenheimer Approximation.**

The different parts of the Hamiltionian are independent and Schrödinger equations can be solved separately. Processes can be considered ",decoupled" -> this simplifies the theoretical analysis significantly.

## **Thermal Equilibrium**

The fractional populations  $n_i/N$  of the levels having the energy  $\epsilon_i$  and degeneracy  $g_i$  are given by the Boltzmann Distribution.

$$n_{i}/N = \frac{g_{i}exp - \varepsilon_{i}/k_{B}T}{\sum_{i} g_{i}exp - \varepsilon_{i}/k_{B}T}$$

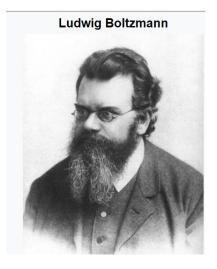
Most spectroscopic experiments are conducted at thermal equilibrium. By the experiment the transitions between the energy levels take place, so that the system is transformed into a non-equilibrium state.

The return to thermal equilibrium is called **relaxation** Relaxation can occur by re-emission or by transfer of energy to the lattice. The time Constant governing this return is called spin-lattice relaxation times.

Photolysis, chemical reaction, nuclear decay or cross-relaxation may create non- equilibrium states, from which return to equilibrium may occur via photon emission. (luminescence: emission spectroscopy) Interaction with photons may create virtual states emitting electromagnetic radiation



Max Born 1882-1970 Göttingen, Edinburgh Nobel Prize 1954

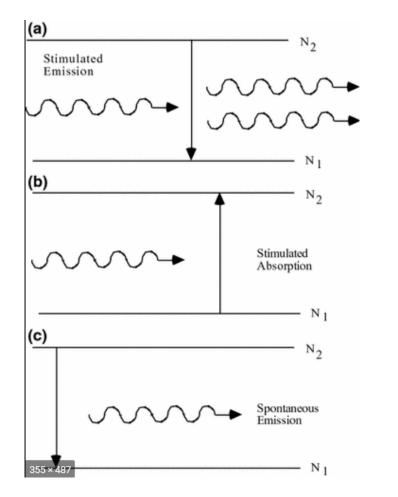


1844-1906 Universität Wien

Robert Oppenheimer 1904-1967 UC Berkeley, Caltech Princeton

### **Keyword: Interaction**

### Population changes in a two-level system (Einstein)



rates

$$dN_2/dt = -B_{21}N_2\rho(v)$$

spectral energy density

$$\rho(\nu) = \frac{8\pi h\nu^3}{c^3} \frac{1}{exp\left(\frac{h\nu}{k_BT}\right) - 1}$$

**Planck's radiation law** 

 $dN_2/dt = B_{12}N_1\rho(v)$ 

 $dN_2/dt = -A_{21}N_2$ 

Equilibrium: Balance from all the Processes:  $dN_2/dt = B_{12}N_1\rho(v) - B_{21}N_2\rho(v) - A_{21}N_2 = 0$ 

Simplest case: non-degenerate states

$$p_1 = N_1 / N_{tot} = \frac{\exp -\varepsilon_1 / k_B T}{\sum_i \exp -\varepsilon_i / k_B T} \qquad P2 = N_2 / N_{tot} = \frac{\exp -\varepsilon_2 / k_B T}{\sum_i \exp -\varepsilon_i / k_B T}$$

Equilibrium: Balance from all the Processes:  $dN_2/dt = B_{12}N_1\rho(v) - B_{21}N_2\rho(v) - A_{21}N_2 = 0$ 

$$\rho(\mathbf{v}) = \frac{A_{21}N_2}{B_{12}N_1 - B_{21}N_2} = \frac{A_{21}exp - \varepsilon_2/k_BT}{B_{12}exp - \left(\frac{\varepsilon_1}{k_BT}\right) - B_{21}exp - \left(\frac{\varepsilon_2}{k_BT}\right)} = \frac{A_{21}}{B_{12}exp\left(\frac{\varepsilon_2 - \varepsilon_1}{k_BT}\right) - B_{21}} \quad \text{to be compared with}$$

$$\rho(\mathbf{v}) = \frac{8\pi hv^3}{c^3} \frac{1}{exp\left(\frac{hv}{k_BT}\right) - 1}$$

The result is: 
$$A_{21} = \frac{8\pi hv^3}{c^3} B_{21}$$
 and  $B_{12} = B_{21}$ 

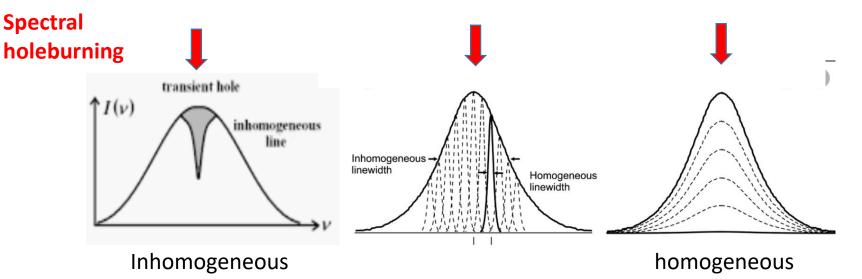
-> at high frequencies spontaneous emission favored over stimulated emission

#### Mechanistically two conditions have to be fulfilled for energy transfer to occur:

- 1) Resonance condition:  $hv = \varepsilon_2 \varepsilon_1$  energy of the electromagnetic wave = energy difference between states
- Coupling condition: interaction between electromagnetic wave and the wave function of the molecule (electric oder magnetic dipole moment): group-theoretical criteria.

## **Spectroscopic observables**

- 1. Frequency of absorption/emission
- 2. Width
  - a) natural linewidth Heisenberg uncertainty  $\delta E \delta t > \hbar/2$
  - ( $\delta t$  = lifetime of excited state)  $\delta(\hbar\omega)\delta t > \hbar/2 \rightarrow \delta\omega = 1/2\delta t$
  - b) instrumental broadening (monochromatization limits, field inhomogeneity)c) physical broadening
    - inhomogeneous broadening: anisotropy or distribution of energy levels
    - homogeneous broadening: dynamic processes
    - unresolved hyperfine structure (low-energy splitting due to weak interactions)



## 3. Intensities:

proportional to the rate:  $dN_2/dt$ Remembering  $dN_2/dt = B_{12}N_1\rho(v) - B_{21}N_2\rho(v) - A_{21}N_2$ 

Neglecting the contribution from spontaneous emission (valid at low frequencies):

$$dN_2/dt = B_{12}N_1\rho(\nu) - B_{21}N_2\rho(\nu) = B_{12}\rho(\nu)(N_1 - N_2) = B_{12}\rho(\nu)(p_1 - p_2)N_{tot}$$

Dependent on four factors:

- Population difference given by the Boltzmann law
- Intensity (wave amplitude, i.e. number of photons)
- Total number of molecules; basis of analytical applications
- Absorption coefficient B<sub>12</sub>, transition probality, relating to the coupling condition

Absorbance A = log 
$$\frac{T_0}{T}$$
 =  $\varepsilon$ cd

Lambert-Beer law

- T = transmission of the sample
- $T_0$  = transmission of the sample without analyte (c = 0)
- d = optical path length (diameter of cuvette)
- c = analyte concentration (mol/dm<sup>3</sup>)
- $\epsilon$  = extinction coefficient (dm<sup>2</sup>/mol)