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# *Foxa1* and *Foxa2* orchestrate development of the urethral tube and division of the embryonic cloaca through an autoregulatory loop with *Shh*

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## ABSTRACT

Congenital anomalies of external genitalia affect approximately 1 in 125 live male births. Development of the genital tubercle, the precursor of the penis and clitoris, is regulated by the urethral plate epithelium, an endodermal signaling center. Signaling activity of the urethral plate is mediated by Sonic hedgehog (SHH), which coordinates outgrowth and patterning of the genital tubercle by controlling cell cycle kinetics and expression of downstream genes. The mechanisms that govern *Shh* transcription in urethral plate cells are largely unknown. Here we show that deletion of *Foxa1* and *Foxa2* results in persistent cloaca, an incomplete separation of urinary, genital, and anorectal tracts, and severe hypospadias, a failure of urethral tubulogenesis. Loss of *Foxa2* and only one copy of *Foxa1* results in urethral fistula, an additional opening of the penile urethra. *Foxa1/a2* participate in an autoregulatory feedback loop with *Shh*, in which FOXA1 and FOXA2 positively regulate transcription of *Shh* in the urethra, and SHH feeds back to negatively regulate *Foxa1* and *Foxa2* expression. These findings reveal novel roles for *Foxa* genes in development of the urethral tube and in division of the embryonic cloaca.

## 1. Introduction

Most vertebrates possess a cloaca, a single chamber that functions as the common outlet for the gastrointestinal and genitourinary tracts. In therian mammals, however, the cloaca is a transitory embryonic structure that becomes partitioned into a ventral genitourinary sinus, which forms the lower bladder and urethra, and a dorsal anorectal sinus, which forms the rectum and anus. Defective division of the cloaca underlies some of the most severe human anorectal malformations, such as *cloacal dysgenesis*, in which the cloaca is unseptated and there is no external opening, and *persistent cloaca*, in which the urethra, rectum, and vagina are connected and share a single outlet (Warne et al., 2011; Williams et al., 2005; Winkler et al., 2012; Zhang et al., 2017).

Initiation of external genital development is marked by the emergence of paired genital swellings on either side of cloacal membrane, where cloacal endoderm abuts ventral body wall ectoderm (Perriton et al., 2002). The paired genital swellings merge to form the genital tubercle, the embryonic precursor of the penis and clitoris. The ventral wall

of the cloacal epithelium, which is endodermal in origin, is incorporated into the genital tubercle, where it forms the bilaminar urethral plate epithelium. In males, the bilaminar urethral plate undergoes tubulogenesis and is internalized to form the penile urethra. In addition to forming the penile urethra, the urethral plate epithelium is a signaling center that has polarizing activity and promotes outgrowth of the genital tubercle (Murakami and Mizuno, 1986; Perriton et al., 2002).

Signaling activity of the urethral plate is mediated by *Sonic hedgehog* (SHH), and loss of function mutations in *Shh* result in failure of genital tubercle formation, congenital absence of the phallus, and persistent cloaca (Haraguchi et al., 2001; Perriton et al., 2002). SHH sustains outgrowth of the genital tubercle by regulating the duration of the cell cycle (Seifert et al., 2010), and is required for urethral tubulogenesis (Lin et al., 2009; Miyagawa et al., 2009; Seifert et al., 2009). Although a number of downstream targets of SHH have been identified in the genital tubercle, little is known about the regulation of *Shh* expression in urethral epithelial cells. Transcriptional profiling of mouse genital tubercle cells identified two forkhead box genes, *Foxa1* and *Foxa2*, with enriched

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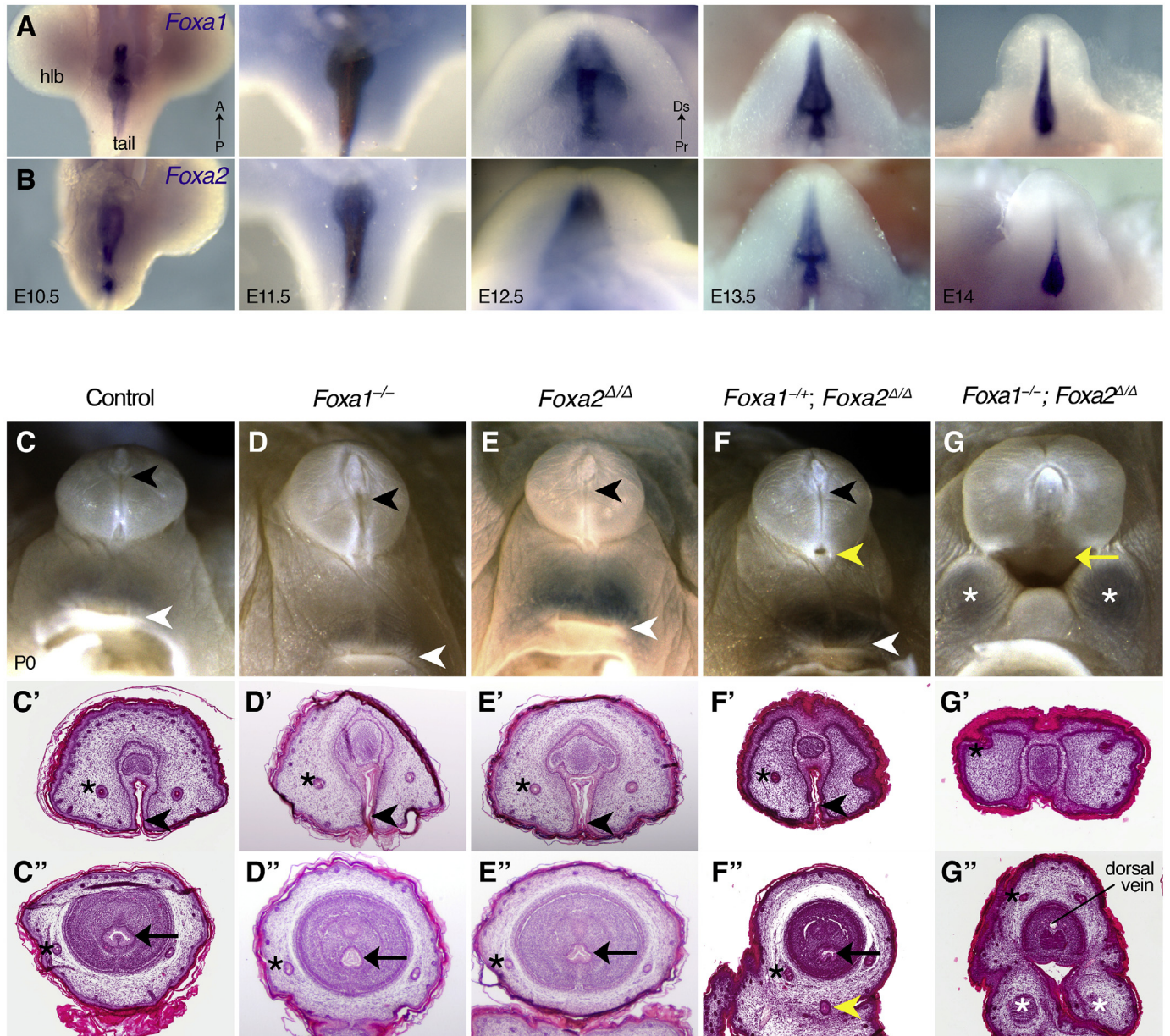
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expression in the urethral plate epithelium at embryonic day (E) 12.5 (Armfield et al., 2016). Based on their co-localization with *Shh* and evidence that FOXA1 and FOXA2 can regulate *Shh* transcription in other organ systems (Gao et al., 2005; Ma et al., 2019; Maier et al., 2013; Mavromatakis et al., 2011; Wan et al., 2005), we tested the hypothesis that these two transcription factors are required for development of the external genitalia in mice.

Here we report that *Foxa1* and *Foxa2* have essential and functionally redundant roles in external genital and cloacal morphogenesis. Although deletion of either *Foxa1* or *Foxa2* alone does not affect external genital development, loss of both transcription factors results in severe

anogenital anomalies. Mice lacking *Foxa1* and *Foxa2* develop persistent cloaca, which results from incomplete separation of the genitourinary and anorectal tracts, and severe hypospadias due to failure of urethral tubulogenesis. We also find that retention of a single copy of *Foxa1* in the absence of FOXA2 is sufficient for division of the cloaca but not for normal urethral tube formation. Molecular analyses of mutants revealed an autoregulatory loop between *Foxa1/a2* and *Shh*; deletion of *Foxa1* and *Foxa2* leads to downregulation of *Shh* expression in urethral epithelial cells, whereas loss of SHH causes urethral cells to upregulate *Foxa1* and *Foxa2*. Together these findings reveal novel roles for *Foxa1* and *Foxa2* in mammalian urogenital and anorectal development.



**Fig. 1.** *Foxa1* and *Foxa2* in urorectal development. (A, B) Whole mount *in situ* hybridization on wild type mouse embryos shows that *Foxa1* (A) and *Foxa2* (B) are expressed throughout the cloacal epithelium and the urethral plate epithelium of the genital tubercle. (C–G'') Light micrographs (C–G) and histological sections through the distal (C'–G') and proximal (C''–G'') penises of control (C), *Foxa1*<sup>-/-</sup> (D), *Foxa2*<sup>Δ/Δ</sup> (E), *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> (F), and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> (G) newborn males show that *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mice have hypospadias (yellow arrowhead in F, F'') and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mice have persistent cloaca (yellow arrow in G, G'') with bifid scrotum (white asterisks in G, G''). A distal urethral meatus (black arrowhead), internal penile urethra (black arrow), and anus (white arrowhead) are visible in each genotype except the *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants. Preputial glands (black asterisks) are annotated for reference. Note that the lumen visible inside the *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> penis in (G'') is the dorsal vein. hlb: hindlimb bud.

## 2. Materials and methods

### 2.1. Transgenic embryo generation and collection

Mouse strains with the *Foxa1*<sup>lacZ</sup> (Kaestner et al., 1999), *Foxa2*<sup>fllox</sup> (Sund et al., 2000), *Rosa26*<sup>lacZ</sup> (Soriano, 1999), *Shh*<sup>CreERT2</sup> (Harfe et al., 2004), and *Shh*<sup>C</sup> (Dassule et al., 2000) transgenes have been previously described. Timed matings were generated by crossing male mice carrying Cre and one floxed allele to females homozygous for the same floxed allele. Pregnant dams were administered tamoxifen dissolved in corn oil by oral gavage at 100 mg/kg at E10.5 to induce recombination by E11.5. Genotypes and sex were determined by standard PCR of DNA isolated from tail biopsies taken from individual embryos at euthanasia. For lineage studies, *Rosa26*<sup>lacZ</sup> expression, which labels cells with β-galactosidase, and deletion of conditional *Foxa2* alleles were simultaneously induced by tamoxifen administration. Male mutants were analyzed for penile and cloacal defects.

### 2.2. Lineage tracing, histology, in situ hybridization, and quantitative real-time PCR

β-galactosidase activity as detected by X-gal staining (Hogan et al., 1994), paraffin histology with hematoxylin and eosin staining (Gredler et al., 2015), whole mount *in situ* hybridization (Nieto et al., 1996), and qRT-PCR (Gredler et al., 2015) were performed according to published methods.

## 3. Results

### 3.1. *Foxa1* and *Foxa2* are expressed in the developing cloacal and urethral epithelia

To determine the roles of *Foxa1* and *Foxa2* in external genital development, we began by mapping their spatial and temporal expression patterns from E10.5 (when there is a single, undivided cloacal sinus and no genital tubercle) through E14 (after division of urogenital and anorectal sinuses and before sexual differentiation of the genital tubercle). *Foxa1* transcripts were evident throughout the cloacal epithelium of E10.5 embryos (Fig. 1A). At E11.5 and E12.5, *Foxa1* expression was detected in a contiguous domain that included cloacal and urethral plate epithelia (Fig. 1A). The expression patterns of *Foxa2* were similar to those of *Foxa1* at these stages (Fig. 1B). *Foxa1* and *Foxa2* expression persisted in the urethral epithelium through E13.5 and E14, when the urogenital sinus has separated from the hindgut. Neither *Foxa1* nor *Foxa2* were detected in the genital mesenchyme or ectoderm at any stages investigated (Fig. 1A and B).

### 3.2. *Foxa1* and *Foxa2* are required for development of the urethral tube and division of the embryonic cloaca

Based on the expression patterns of *Foxa1* and *Foxa2* in embryonic urorectal tissues, we asked whether these factors are required for cloacal, urethral, and/or anorectal morphogenesis. Single and compound mutants were generated using a *Foxa1* null allele (Kaestner et al., 1999), designated *Foxa1*<sup>-/-</sup>, and a floxed allele of *Foxa2* (Sund et al., 2000) – designated as *Foxa2*<sup>fllox</sup> before recombination and *Foxa2*<sup>Δ/Δ</sup> after deletion by the tamoxifen-inducible *Shh*<sup>CreERT2</sup> allele (Harfe et al., 2004) – to circumvent the early embryonic lethality of *Foxa2* knockouts (Weinstein, 1994). Phenotyping was conducted at E18.5.

Penile morphology of *Foxa1*<sup>-/-</sup> mutants and *Foxa2*<sup>Δ/Δ</sup> mutants appeared normal relative to control mice, with a single urethral meatus at the distal tip of the penis, a circumferentially contiguous prepuce, and a well-developed perineum separating the external genitalia from the anus (Fig. 1C–E). Histological analysis revealed that the cellular architecture of the penis in *Foxa1*<sup>-/-</sup> mutants and *Foxa2*<sup>Δ/Δ</sup> mutants was similar to that of control mice; the urethral meatus was evident in the distal penis,

and the penile shaft contained three concentric tissue layers: the prepuce surrounded the glans, which, in turn, enveloped the internal penile urethra (Fig. 1C'–E').

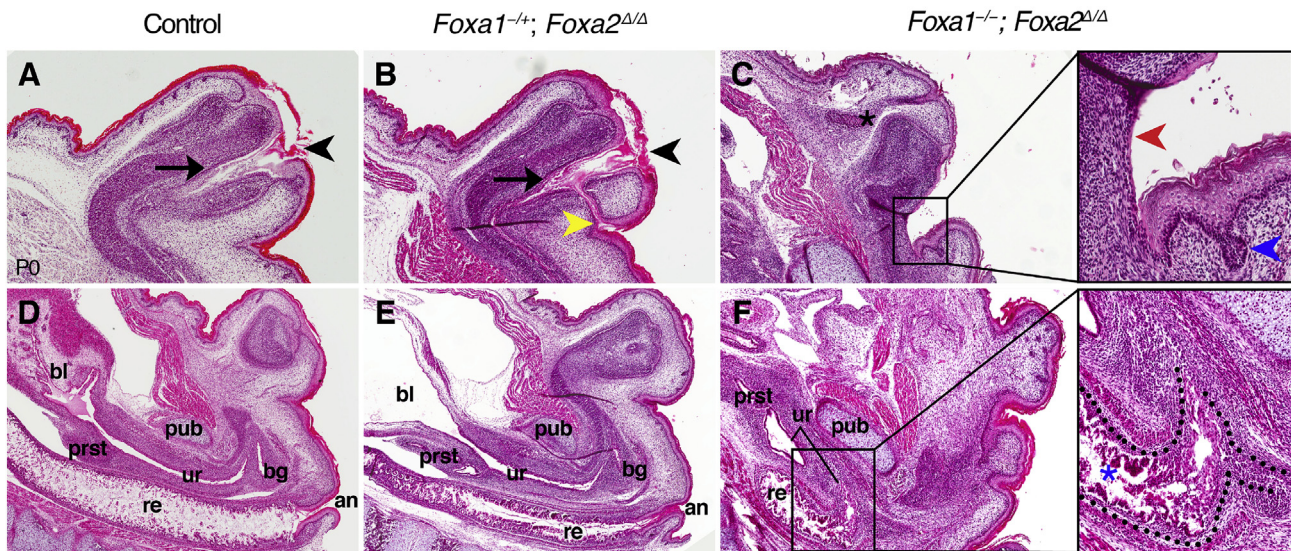
In a *Foxa2*<sup>Δ/Δ</sup> mutant background, loss of one *Foxa1* allele (*Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup>) disrupted urethral tubulogenesis but not cloacal septation (n = 7). The number, position and size of the urethral openings varied in *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants; the most severe urethral malformation was development of a secondary urethral meatus at the base of the penis, which formed in addition to the normal urethral opening at the distal tip (Fig. 1F). Histological analysis confirmed the presence of an ectopic urethral duct in the ventral prepuce (Fig. 1F'). Sagittal sections revealed that both the normal distal meatus and the ectopic urethral duct were connected to the primary urethral tube in *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (Fig. 2B, compare with control in Fig. 2A). Thus, the ectopic urethral opening observed on the ventral surface of the penis is the meatus of a congenital urethral fistula. In other *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, the urethral meatus was either normal (Figs. S1A–D) or it was expanded (Fig. S1E) or displaced (Fig. S1F) along the ventral side of the penis. Despite these urethral anomalies, a male-typical perineum and distinct anorectal canal were present in *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, indicating that the cloaca had undergone normal division (Fig. 2B, E). In contrast to the genital phenotype found in *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, conditional deletion of a single copy of *Foxa2* in a *Foxa1* homozygous mutant background (*Foxa1*<sup>-/-</sup>; *Foxa2*<sup>+/-</sup>) had no discernible effect on external genital morphology (data not shown).

Deletion of both copies of *Foxa1* and *Foxa2* (*Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup>) resulted in failure of penile urethra development altogether (compare control in Fig. 1A with double mutant in Fig. 1G). Agenesis of the ventral prepuce exposed an open urethral groove that extended along the entire ventral side of the penis (Fig. 1G'–G''). *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants also developed persistent cloaca (n = 5/6), which ranged in severity from a small opening at the base of the penis to a large cloacal orifice that occurred in conjunction with hypospadias and bifid scrotum (Fig. 1G; Figs. S1G and H). Anterior to the cloacal outlet, a rectourethral fistula was evident; the pelvic urethra and the rectum connected to a single cloacal chamber at the level of the pubis (Fig. 2F).

Since cloacal or external genital anomalies were not detected in either the *Foxa1* or the *Foxa2* homozygous mutants, the presence of anogenital malformations in compound *Foxa1/a2* mutant mice indicates that *Foxa1* and *Foxa2* function redundantly in urorectal development. The difference in severity of anogenital malformations seen in *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> versus *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (*Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mice developed urethral fistula, hypospadias, and/or persistent cloaca, whereas *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mice always have persistent cloaca) demonstrates that, in the absence of *Foxa2*, a single *Foxa1* allele can rescue cloacal division but not urethral morphogenesis.

### 3.3. *Foxa1* and *Foxa2* positively regulate *Shh* expression in the urethral epithelium

In other organ systems, *Foxa* transcription factors can function as upstream regulators of *Shh* and/or as downstream targets of hedgehog signaling (Gao et al., 2005; Jeong and Epstein, 2003; Maier et al., 2013; Mavromatakis et al., 2011; Wan et al., 2005). Co-expression of *Foxa1*, *Foxa2*, and *Shh* in cloacal and urethral epithelial cells, along with the similarity of cloacal and external genital defects observed in *Foxa1/a2* mutants and *Shh* conditional mutants (Lin et al., 2009; Miyagawa et al., 2009; Seifert et al., 2009), led us to hypothesize that the *Foxa1/a2* mutant phenotypes could be the result of disrupted *Shh* signaling. To test this hypothesis, we examined *Shh* expression in *Foxa1/a2* mutant external genitalia by *in situ* hybridization and quantitative real-time PCR (qRT-PCR). At E13.5, *Shh* transcripts were detected in the urethral epithelium of control, *Foxa1*<sup>-/-</sup>, and *Foxa2*<sup>Δ/Δ</sup> mice, but *Shh* staining in the urethra was weaker in *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mice and was extremely faint in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (Fig. 3A). Expression of *Ptch1* (a transcriptional target of *Shh* signaling and a marker of SHH-responding



**Fig. 2.** Defects in urethral tubulogenesis and cloacal septation in *Foxa1/a2* compound mutants. (A–C) Sagittal sections of the penis at P0 show that the penile urethra (black arrow) terminates at the definitive urethral meatus (black arrowhead) in controls (A) and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (B). The ectopic urethral opening of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants connects to the glandular urethra (yellow arrowhead in B). In the absence of a penile urethra, *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (C) have a cloacal opening composed of a thin, discontinuous epithelium on the ventral side (red arrowheads, inset) and a robust, stratified, and glandular epithelium on the dorsal side (blue arrowheads, inset). Note the preputial gland (asterisk in C). (D–F) Sections through the pelvis demonstrate that the pelvic urethra is connected to the bladder (bl), prostate (prst), and bulbourethral gland (bg) but is separate from the rectum (re) and anus (an) in control (D) and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutant (E) mice. A rectourethral fistula is visible as a connection between the urogenital and anorectal tracts at the level of the pubis (pub) in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (F), and indicates failed cloacal septation. The internal common cloacal chamber of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (dotted line in inset) is lined by epithelial crypts dorsally (blue asterisk) and a thin, fragmented epithelium ventrally.

cells) in genital mesenchyme adjacent to the urethra indicated that although hedgehog signaling may be diminished in *Foxa1/a2* compound mutants, it was not completely eliminated (Fig. 3B). Note that *Shh* and *Ptch1* expression appeared normal in the nascent preputial glands (Fig. 3A and B), which are not sites of *Foxa1* or *Foxa2* expression.

Quantitative analysis of *Shh* and *Ptch1* mRNA in *Foxa1/a2* mutant genital tubercles at E14.5 showed that *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants underwent the most severe reduction in *Shh* expression (10.34 fold decrease) and that *Ptch1* expression was also significantly diminished (1.89 fold decrease, Fig. 3C). *Shh* expression levels were also significantly reduced in *Foxa2*<sup>Δ/Δ</sup> (4.41 fold decrease) and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (4.13 fold decrease), although no significant changes in *Ptch1* were detected in these allele combinations (Fig. 3C). Neither *Shh* nor *Ptch1* expression levels were significantly affected in *Foxa1*<sup>-/-</sup> mutants (Fig. 3C). These data indicate that FOXA1 and FOXA2 are positive regulators of *Shh* transcription in urethral epithelial cells.

To determine whether SHH feeds back to regulate *Foxa1* and/or *Foxa2*, we conditionally deleted *Shh* at E10.5 and examined *Foxa1* and *Foxa2* in the genital tubercles of *Shh*<sup>CreERT2/Δ</sup> mutants at E14.5. *Foxa1* expression was detected in the urethral and cloacal epithelia of controls and *Shh*<sup>CreERT2/Δ</sup> mutants by *in situ* hybridization (Fig. 3D), and qRT-PCR revealed significant increases in both *Foxa1* (2.7 fold) and *Foxa2* (3.0 fold) transcripts in the *Shh* mutant genital tubercles compared to controls (Fig. 3E). These results show that loss of SHH results in upregulation of *Foxa1* and *Foxa2* in the genital tubercle, suggesting that SHH is a negative regulator of *Foxa1* and *Foxa2* in during external genital development.

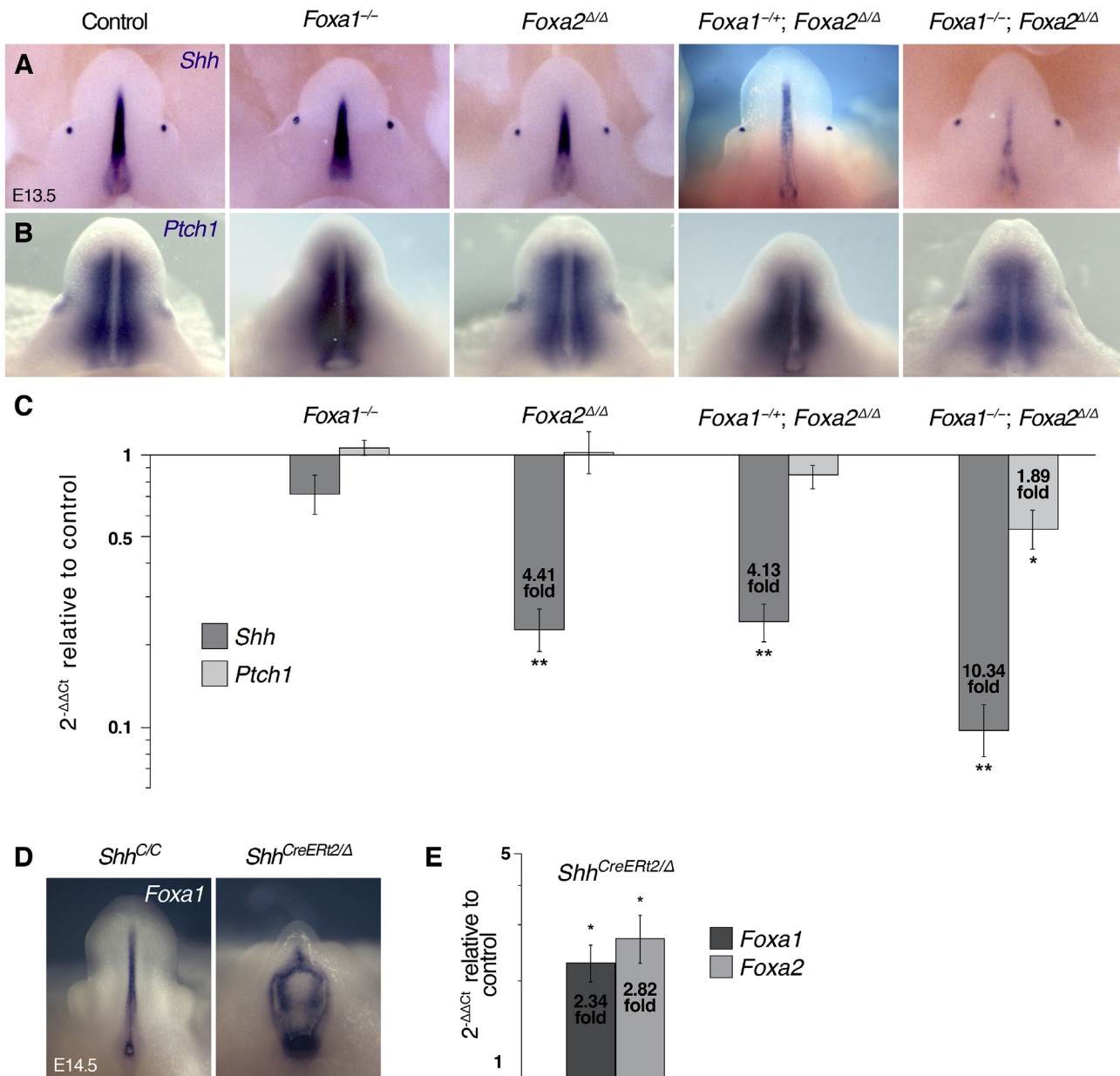
### 3.4. The persistent cloaca in *Foxa1/a2* double mutants comprises two distinct epithelia

Given the failure of cloacal division in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, we asked whether the epithelial lining of the persistent cloacal duct showed evidence of urethral or anorectal differentiation. In newborn controls, the penile urethra is a complex, stratified epithelium (Fig. 4A),

the pelvic urethra is a transitional epithelium (Fig. 4B, top inset), and the anus is a stratified, squamous epithelium with extensive cornified keratinocytes in the stratum corneum (Fig. 4B, bottom inset). Sagittal sections through *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants showed that the ventral wall of the cloacal opening (at the base of the penis) was lined by a thin, discontinuous epithelium, whereas the dorsal side of the cloaca was lined by a robust, stratified, and glandular epithelium (Fig. 2C and inset). The dorsal side of the common cloacal chamber had epithelial crypts that resembled the rectal epithelium of control mice, and the ventral side of the chamber was lined by a fragmented, poorly organized epithelium (Fig. 2G).

In *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, both the ventral surface of the penis and the ventral wall of the cloacal cavity were lined by stratified, pseudocolumnar epithelia that did not closely resemble any region of the control urethral epithelia (Fig. 4C and D, and top inset in D). However, the dorsal side of the cloacal duct (adjacent to the tail) had a prominent granular layer, keratinized stratum corneum, and columnar basal cells (Fig. 4D, bottom inset), similar to the anal epithelium of controls. Thus, although division of the embryonic cloaca and differentiation of ventral cloacal cells into urethral epithelium requires at least one functional *Foxa1* allele, differentiation of the dorsal cloacal epithelium into rectal tissue can occur in the absence of *Foxa1/a2* and does not require division of the cloaca.

Features of the *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutant cloacae, including polarized distribution of subepithelial glands and extensive maturation of the dorsal epithelium, prompted us to investigate the lineage of dorsal and ventral cloacal epithelia in *Foxa1/a2* mutants. We used the *Rosa26*<sup>lacZ</sup> reporter (R26R, Soriano, 1999) to examine the contribution of *Shh*<sup>CreERT2</sup>-expressing endodermal cells to the open cloaca of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants. In control mice at P0, the ventral surface of the penis was largely unstained, with the exception of β-gal-positive cells in the urethral meatus and along the penile raphe (Fig. 4E). A stripe of β-gal-positive epithelial cells between the base of the penis and the anus marked the junction of the urorectal septum and the perineum; however, the lateral and dorsal sides of the anal orifice were β-gal-negative

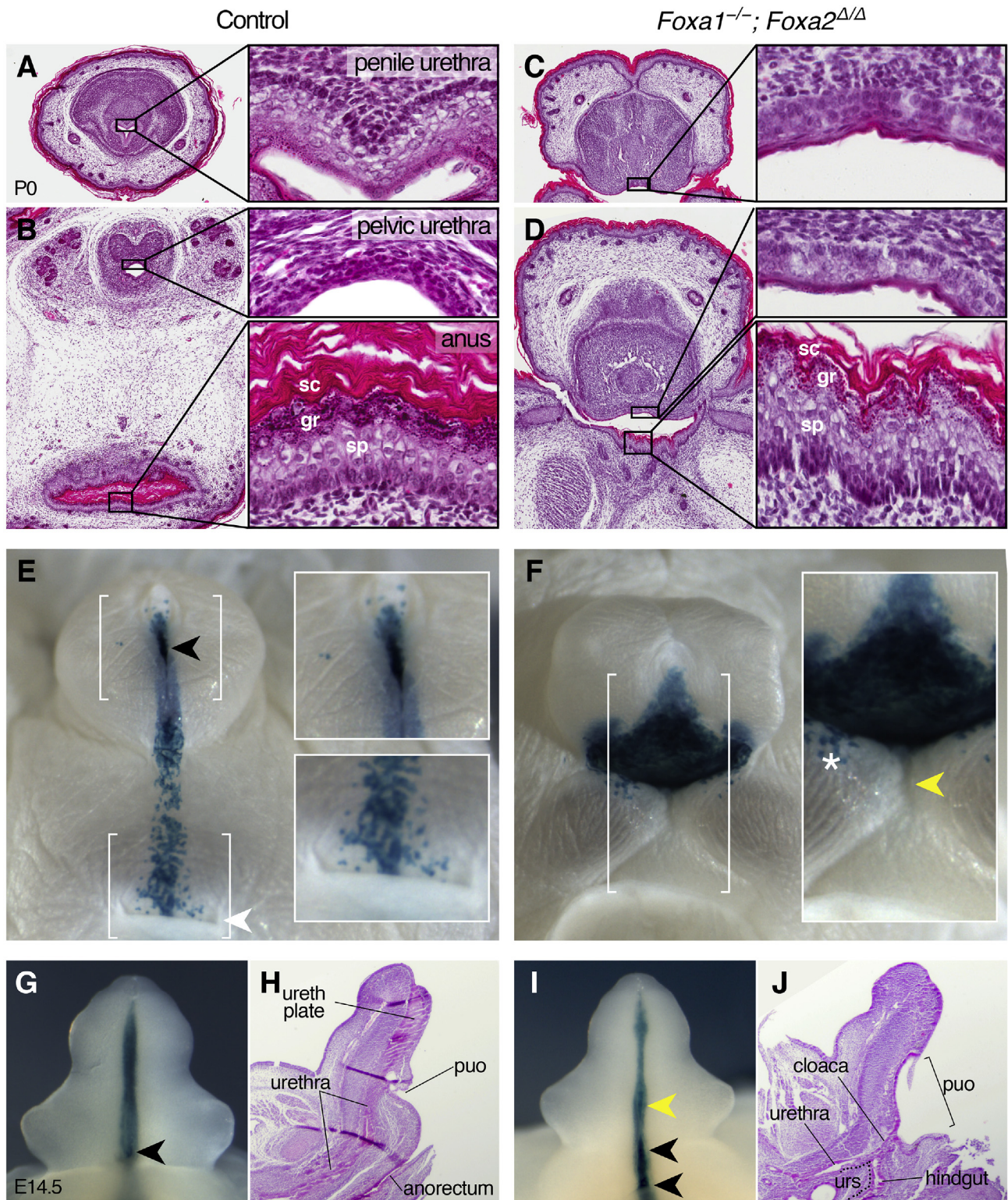


**Fig. 3. Regulatory interactions between *Foxa1/a2* and *Shh* in the genital tubercle.** (A, B) Whole mount *in situ* hybridization on E13.5 single and compound *Foxa1/a2* mutants showing expression of *Shh* (A) in the urethral epithelium and *Ptch1* (B) in the genital mesenchyme and adjacent to the preputial glands. (C) qRT-PCR performed on E14.5 whole genital tubercles shows significant reductions in *Shh* transcript levels in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> (10.34 fold), *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> (4.13 fold), and *Foxa2*<sup>Δ/Δ</sup> (4.41 fold) mutants, and decreased *Ptch1* in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (1.89 fold). (D) Whole mount *in situ* hybridization on E14.5 *Shh*<sup>CreERT2/Δ</sup> embryos shows abundant *Foxa1* transcript in the exposed urethral epithelium of control (*Shh*<sup>C/C</sup>) and *Shh*<sup>CreERT2/Δ</sup> mutant genital tubercles. (E) qRT-PCR analysis of *Foxa1* and *Foxa2* performed on E14.5 whole genital tubercles shows significantly increased levels of *Foxa1* (2.34 fold) and *Foxa2* (2.82 fold) in *Shh*<sup>CreERT2/Δ</sup> embryos relative to controls.

(Fig. 4E, insets). In *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, a triangular sheet of β-gal-positive tissue lined the sinus on the ventral penile surface (Fig. 4F). Isolated blue cells were detected on the surface epithelium immediately adjacent to the base of the penis, although it was unclear if these cells were remnants of perineum or urethral progenitor cells that had migrated into the ectodermal epithelium (Fig. 4F). While the ventral side of the *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> cloacal orifice (on the surface of the penis) was β-gal-positive, the dorsal side of the opening (on the tail side) was β-gal-negative, indicating that it is not derived from *Shh*<sup>CreERT2</sup>-expressing endoderm (Fig. 4F, inset). These data suggest that the epithelial lining of the external cloacal opening of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants is of mixed embryonic origin.

### 3.5. Cloacal division requires *Foxa1* and *Foxa2* activity at mid-gestational stages

Cell lineage and histological analysis also provided insight into the ontogeny of the urorectal defects in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mice. In control genital tubercles at E14.5, a ventral stripe of β-gal-positive cells marked the endodermal urethral plate, and the proximal urethral opening was visible as a β-gal-positive orifice (Fig. 4G). *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutant genital tubercles showed a similar ventral stripe of β-gal-positive cells, but an ectopic opening was evident at the level of the preputial swellings, and the proximal urethral opening extended from the base of the genital tubercle to the labioscrotal swellings (Fig. 4I). Histological sections of E14.5 control embryos revealed distinct urogenital and anorectal



(caption on next page)

**Fig. 4. Epithelial differentiation and cell lineage in the *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> cloaca.** (A–D) Transverse sections of male control and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutant urorectal epithelia at P0. In controls, the penile urethra (A) is a complex, stratified epithelium with columnar basal cells, round and vacuolar intermediate cells, intermittent keratohyalin granules, and minimal keratin fibers. (B) The control pelvic urethra is a transitional epithelium composed of densely packed cuboidal and rounded cells (top inset), and the anus is a stratified, squamous epithelium with elongate, cylindrical intermediate cells in the spinous layer (sp), abundant keratohyalin granules in the granular layer (gr), and extensive keratin in the stratum corneum (sc, bottom inset). In *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, both the ventral surface of the penis (C) and the ventral wall of the cloacal cavity (D, top inset) are lined by stratified, pseudocolumnar epithelia that do not resemble any region of the control urethral epithelia. The dorsal side of the cloacal duct (adjacent to the tail) in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants (D, bottom inset) is lined by a stratified, squamous epithelium that resembles the control anus. (E, F) Newborn X-gal stained, *Shh*<sup>CreERT2/+</sup>; *Rosa26*<sup>lacZ</sup> control and *Shh*<sup>CreERT2/+</sup>; *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup>; *Rosa26*<sup>lacZ</sup> external genitalia reveal β-gal-positive cells in the urethral meatus (black arrowhead and top inset in E), along the perineal raphe, and on the ventral side of the anus (white arrowhead and bottom inset in E) in controls. *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants have a triangular sheet of β-gal-positive cells on the ventral penile surface (F), isolated blue cells on the surface epithelium adjacent to the base of the penis (white asterisk), and βgal-negative cells on the dorsal side of the cloacal opening (yellow arrowhead). (G, I) X-gal staining of *Shh*<sup>CreERT2/+</sup>; *Rosa26*<sup>lacZ</sup> control and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> external genitalia at E14.5 shows the presence of the endodermal (β-gal-positive) urethral plate and proximal urethral opening (black arrowheads). Note the stretched proximal urethral opening and ectopic urethral opening (yellow arrowhead in I). (H, J) Sagittal sections of E14.5 control and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> external genitalia show failed cloacal septation in mutants. The urorectal septum (urs) is outlined in J. ureth plate: urethral plate, puo: proximal urethral opening/urethral duct.

orifices, and the proximal urethral opening (urethral duct) was situated at the base of the phallus, where the internal pelvic urethra was connected to the penile urethral plate (Fig. 4H). By contrast, in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, the urogenital and anorectal sinuses converged into a common duct, which emptied into a single cloacal chamber that extended from the ventral side of the phallus to the tail (Fig. 4J). The position of this large cloacal sinus corresponds to the ectopic opening that was seen in X-gal stained double mutants (compare Fig. 4F with 4J). Persistence of a common urorectal (cloacal) chamber in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> embryos is consistent with a role for *Foxa1* and *Foxa2* in division of the embryonic cloaca into separate genitourinary and anorectal sinuses.

#### 4. Discussion

Our data implicate *Foxa1* and *Foxa2* in development of the urethral tube and division of the cloaca and show that *Foxa1* and *Foxa2* regulate *Shh* transcription and respond to SHH in the genital tubercle. The secondary opening of the urethra in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants resembles a human malformation known as congenital urethral fistula (Karnak et al., 1995; Mukhopadhyay et al., 2011; Ritchey et al., 1994). Previous studies have proposed several mechanisms for displacement of the ventrally tethered urethral plate to form the internal urethral tube, including growth of the urorectal septum mesenchyme into the genital tubercle (Seifert et al., 2008) and lateral-to-medial growth of the genital mesenchyme (Hynes and Fraher, 2004). In *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> males, the presence of an ectopic urethral opening in conjunction with a distal urethral meatus suggests that urethral internalization is at least partially driven by lateral-medial tissue movements, since proximodistal migration of the urorectal septum alone cannot account for the presence of genital mesenchyme between the ectopic and normal urethral opening.

Embryonic cloacal epithelium lacks morphological distinction along the dorsoventral axis, indicating that differentiation of the cloacal endoderm into functional urethral and rectal epithelia occurs after physical separation of the two tracts. The relationship between structural division of the cloaca and differentiation of appropriate tissue types on the dorsal (anorectal) and ventral (genitourinary) sides is poorly understood. Despite absence of distinct urogenital and anorectal orifices in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, we found evidence for dorsoventral differentiation of the external cloacal opening. Given the dissimilarity between the cloacal epithelial cells of mutants and the pelvic urethral, penile urethral, anal, and rectal epithelia of normal mice, we conclude that the ventral cloacal epithelium of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants resembles the indeterminate epithelium that has been described for abnormal cloacal chambers in both mice and humans (Runck et al., 2014). The proctodeum, which normally forms the ectodermal component of the anus (Morgan, 1936; Yamaguchi et al., 2008), differentiates into properly patterned anal epithelium in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, despite its connection to an undivided cloaca. Thus, in contrast to the endodermally-derived rectal epithelium, differentiation of the

ectodermally-derived epithelium of the posterior anal canal does not require FOXA1/A2, nor is it dependent upon cloacal division or differentiation of the adjacent endodermal lineage. While it is possible that deletion of *Foxa2* could lead to downregulation of the *Shh* allele that contains cre, and diminished cre would affect later activation of the reporter in the endodermal lineage, absence of LacZ mosaicism suggests that the early activation of LacZ in cloacal endoderm labels the entire lineage. Therefore, we interpret the absence of LacZ-positive cells in the dorsal cloacal epithelium of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants, together with its structural similarity to the control anus, as evidence that these cells have the same identity as the ectodermally-derived posterior anal canal. An ectodermal origin could account for the ability of these cells to differentiate appropriately when *Foxa1* and *Foxa2* are deleted from the endodermal lineage.

Regulatory interactions among FOXA transcription factors and the hedgehog signaling pathway are abundant in organogenesis. Positive regulation of *Shh* by FOXA1/A2 proteins has been reported in the notochord (Maier et al., 2013), brain (Mavromatakis et al., 2011), and lung (Wan et al., 2005), whereas FOXA1 negatively regulates *Shh* in the developing prostate (Gao et al., 2005). We find that deletion of *Foxa1* and *Foxa2* causes significant reduction of *Shh* transcription in the urethral epithelium of the genital tubercle, indicating that FOXA1 and FOXA2 act upstream of *Shh* to promote its expression. Reciprocal signaling was recently reported in antler chondrocytes, where SHH acts upstream as a positive regulator of *Foxa* gene expression (Ma et al., 2019). By contrast, our finding that deletion of *Shh* from the urethral plate epithelium results in upregulation of *Foxa1* and *Foxa2* suggests that SHH negatively regulates expression of *Foxa* genes in the genital tubercle. This could reflect a mechanism that maintains a steady state of *Shh* expression in the genital tubercle, in which SHH controls the activity of own transcriptional activators. Accordingly, a reduction in SHH signaling triggers upregulation of *Foxa1* and *Foxa2* to increase transcription of *Shh*. It is noteworthy that *Ptch1* has been detected in genital tubercle mesenchyme adjacent to the urethral epithelium, but not in the urethral epithelium itself (Perriton et al., 2002). Thus, it is unlikely that *Foxa1* and *Foxa2* are direct targets of the SHH signal transduction pathway. Instead, the negative regulation of *Foxa1/a2* by SHH could reflect a relay mechanism that is mediated by adjacent mesenchymal cells.

Our finding that *Foxa2* deletion results in significantly diminished *Shh* expression but does not disrupt anorectal or external genital development suggests that even low levels of *Shh* transcript are sufficient to drive cloacal division and urethral tubulogenesis. It is intriguing that removal of one *Foxa1* allele in a *Foxa2* null background disrupts the *Hh* pathway in a manner that is quantitatively similar to that observed after loss *Foxa2*<sup>Δ/Δ</sup> alone, yet only the former perturbs urethral tubulogenesis. These data suggest that *Shh* is not the only FOXA target with a role in urethral tubulogenesis.

The discovery that loss of *Foxa2* results in a marked reduction in *Shh* mRNA but loss of *Foxa1* has no significant effect on *Shh* transcription indicates that *Foxa1* and *Foxa2* are not interchangeable in the context of



genital development. Non-equivalence of FOXA1 and FOXA2 is further demonstrated by the development of a urethral malformation in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants but not in *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>+/-</sup> mutants, which could reflect the difference in the ability of FOXA1 and FOXA2 to regulate *Shh*. These results are consistent with previous reports that FOXA2 is a more potent regulator of pancreas development than FOXA1, and that these proteins have different binding affinities for various *cis*-regulatory elements and promoters (Gao et al., 2008; Lai et al., 1991).

Cloacal septation is a sexually monomorphic process that occurs in both male and female embryos, whereas urethral tubulogenesis is a sexually dimorphic process mediated by sex hormones (Glenister, 1954; Seifert et al., 2009; Yucel et al., 2003; Zheng et al., 2015). FOXA1/A2 have been shown to interact with hormone receptors in multiple contexts; for example, sexual dimorphism of hepatocellular carcinoma is driven by differential regulation of *Foxa1/a2* and their targets by estrogen and androgen (Li et al., 2012). Our results demonstrate that *Foxa1* and *Foxa2* are required both for early/sexually monomorphic and late/sexually dimorphic anogenital development. Finally, this study raises the possibility that division of the cloaca into separate urogenital and anorectal tracts and specification of the cell types that comprise these organs might be controlled by distinct developmental processes.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ydbio.2020.06.009>.

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## Appendix A. Supplementary data

The following is the Supplementary data to this article:

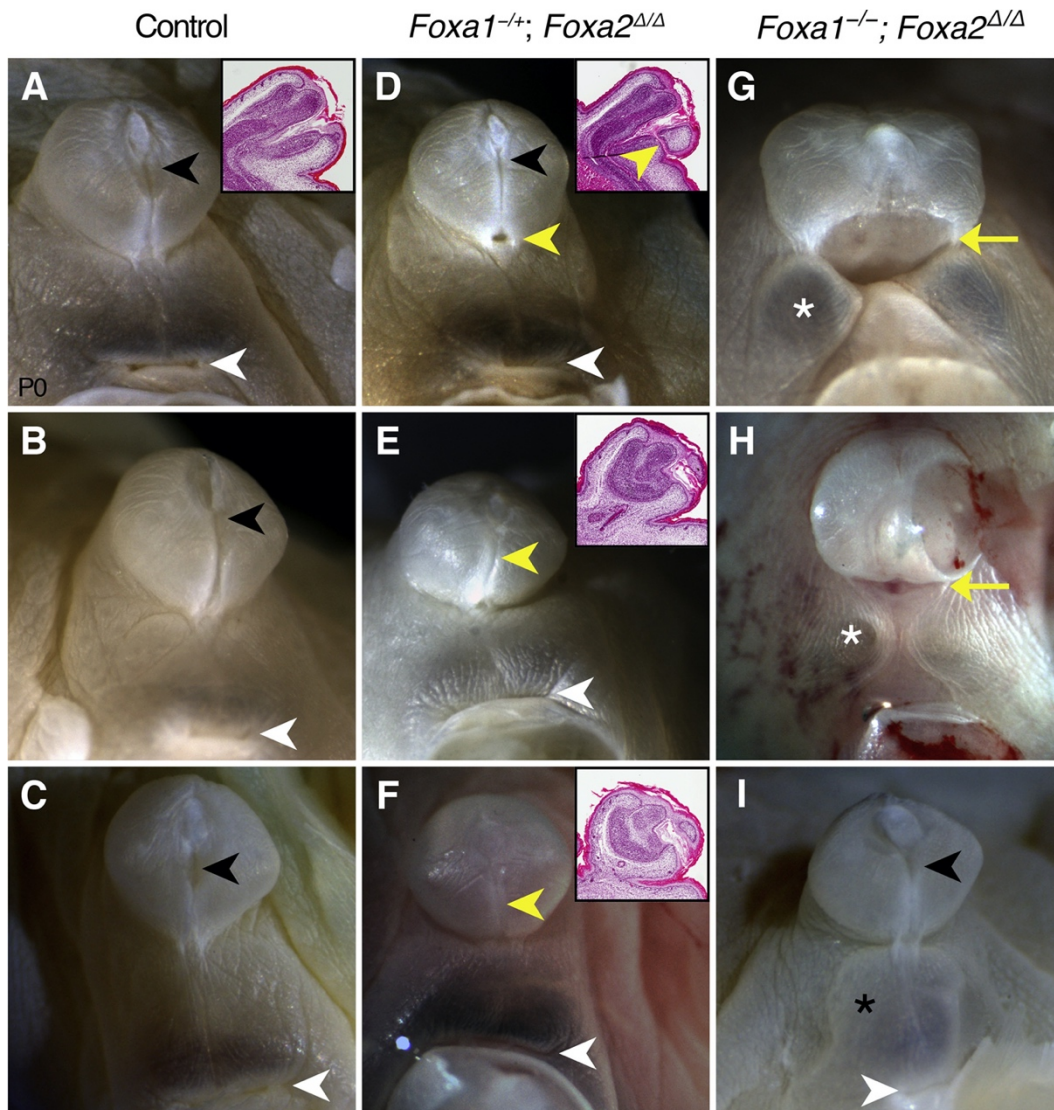


Fig S1. **Variable phenotypes of compound *Foxa1/a2* mutants.** Light micrographs of control (A-C), *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> (D-F), and *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> (G-I) male external genitalia show that *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mice have urethral tube defects of varying severity and *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mice have persistent cloaca (G, H) with the exception of one mutant that developed a distinct anus (I). A distal urethral meatus (black arrowhead) develops in control mice (A-C and inset in A). *Foxa1*<sup>-/+</sup>; *Foxa2*<sup>Δ/Δ</sup> mice develop either a second (hypospadiac) urethral opening (yellow arrowhead) in addition to the normal distal meatus (D and inset), or an abnormal urethral meatus that is extended (E and inset) or displaced (F and inset) proximally into the ventral prepuce. The most severe persistent cloaca (yellow arrow) of *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants is associated with agenesis of the ventral prepuce (G) and bifid scrotum (white asterisks). Other *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutants with persistent cloaca develop a ventral prepuce and have a single cloacal opening at the base of the penis with bifid scrotum (H). One *Foxa1*<sup>-/-</sup>; *Foxa2*<sup>Δ/Δ</sup> mutant mouse developed distinct urethral and anorectal openings (I) and a hypoplastic scrotum (black asterisk). The sample in panel H was damaged during dissection. Note that embryos shown in the panel A inset, panel D, and panel D inset are shown in figure 2A, 1F, and 2B, respectively.