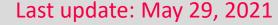


Data Management 10 NoSQL Systems

Matthias Boehm

Graz University of Technology, Austria Computer Science and Biomedical Engineering Institute of Interactive Systems and Data Science BMK endowed chair for Data Management









Announcements/Org

#1 Video Recording

- Link in TeachCenter & TUbe (lectures will be public)
- https://tugraz.webex.com/meet/m.boehm
- Corona traffic light RED → May 17: ORANGE (but tests required)



- Newsgroup: news://news.tugraz.at/tu-graz.lv.dbase
- Office hours: Mo 12.30-1.30pm (https://tugraz.webex.com/meet/m.boehm)

#3 Exercises/Exams

- **Grading:** Exercise 1 done, Exercise 2 this/next week
- Submission: Exercise 3: last chance Jun 1, Exercise 4: published May 29
- Exams: Jun 30 5.30pm (i11, i12, i13), Jul 5 3.30pm (i13), 6.30 (i13)

#4 Course Evaluation

Please participate; open period: June 1 – July 15







SQL vs NoSQL Motivation

#1 Data Models/Schema

- Non-relational: key-value, graph, doc, time series (logs, social media, documents/media, sensors)
- Impedance mismatch / complexity
- Pay-as-you-go/schema-free (flexible/implicit)

#2 Scalability

- Scale-up vs simple scale-out
- Horizontal partitioning (sharding) and scaling
- Commodity hardware, network, disks (\$)

NoSQL Evolution

- Late 2000s: Non-relational, distributed, open source DBMSs
- Early 2010s: NewSQL: modern, distributed, relational DBMSs
- Not Only SQL: combination with relational techniques
- → RDBMS and specialized systems (consistency/data models)



[Credit: http://nosql-database.org/]





Agenda

- Consistency and Data Models
- Key-Value Stores
- Document Stores
- Graph Processing
- Time Series Databases
- Exercise 4: Large-Scale Data Analysis

Lack of standards and imprecise classification

HOW TO WRITE A CV







Leverage the NoSQL boom

[http://geek-and-poke.com/]



[Wolfram Wingerath, Felix Gessert, Norbert Ritter: NoSQL & Real-Time Data Management in Research & Practice. **BTW 2019**]





Consistency and Data Models





Recap: ACID Properties

Atomicity

- A transaction is executed atomically (completely or not at all)
- If the transaction fails/aborts no changes are made to the database (UNDO)

Consistency

 A successful transaction ensures that all consistency constraints are met (referential integrity, semantic/domain constraints)

Isolation

- Concurrent transactions are executed in isolation of each other
- Appearance of serial transaction execution

Durability

- Guaranteed persistence of all changes made by a successful transaction
- In case of system failures, the database is recoverable (REDO)





Two-Phase Commit (2PC) Protocol

Distributed TX Processing

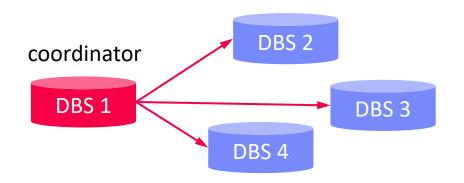
- N nodes with logically related but physically distributed data (e.g., vertical data partitioning)
- Distributed TX processing to ensure consistent view (atomicity/durability)

Two-Phase Commit (via 2N msgs)

- Phase 1 PREPARE: check for successful completion, logging
- Phase 2 COMMIT: release locks, and other cleanups
- Problem: Blocking protocol

Excursus: Wedding Analogy

- Coordinator: marriage registrar
- Phase 1: Ask for willingness
- Phase 2: If all willing, declare marriage









CAP Theorem

Consistency

- Visibility of updates to distributed data (atomic or linearizable consistency)
- Different from ACIDs consistency in terms of integrity constraints

Availability

Responsiveness of a services (clients reach available service, read/write)

Partition Tolerance

- Tolerance of temporarily unreachable network partitions
- System characteristics (e.g., latency) maintained
- CAP Theorem "You can have AT MOST TWO of these properties for a networked shared-data systems."

[Eric A. Brewer: Towards robust distributed systems (abstract). **PODC 2000**]



Proof

[Seth Gilbert, Nancy A. Lynch: Brewer's conjecture and the feasibility of consistent, available, partition-tolerant web services. **SIGACT News 2002**]







CAP Theorem, cont.

- CA: Consistency & Availability (ACID single node)
 - Network partitions cannot be tolerated
 - Visibility of updates (consistency) in conflict with availability → no distributed systems

- write A 1 2 3 4 5 d) read A
- CP: Consistency & Partition Tolerance (ACID distributed)
 - Availability cannot be guaranteed
 - On connection failure, unavailable
 (wait for overall system to become consistent)
- AP: Availability & Partition Tolerance (BASE)
 - Consistency cannot be guaranteed, use of optimistic strategies
 - Simple to implement, main concern: availability to ensure revenue (\$\$\$)
 - **→** BASE consistency model







BASE Properties

Basically Available

- Major focus on availability, potentially with outdated data
- No guarantee on global data consistency across entire system

Soft State

 Even without explicit state updates, the data might change due to asynchronous propagation of updates and nodes that become available

Eventual Consistency

- Updates eventually propagated, system would reach consistent state if no further updates, and network partitions fixed
- No temporal guarantees on changes are propagated





Eventual Consistency

[Peter Bailis, Ali Ghodsi: Eventual consistency today: limitations, extensions, and beyond. **Commun. ACM 2013**]



Basic Concept

- Changes made to a copy eventually migrate to all
- If update activity stops, replicas will converge to a logically equivalent state
- Metric: time to reach consistency (probabilistic bounded staleness)

Amazon SimpleDB	500ms
Cassandra	200ms
Amazon S3	12s

#1 Monotonic Read Consistency

• After reading data object A, the client never reads an older version

#2 Monotonic Write Consistency

After writing data object A, it will never be replaced with an older version

#3 Read Your Own Writes / Session Consistency

After writing data object A, a client never reads an older version

#4 Causal Consistency

If client 1 communicated to client 2 that data object A has been updated, subsequent reads on client 2 return the new value



Key-Value Stores





Motivation and Terminology

Motivation

- Basic key-value mapping via simple API (more complex data models can be mapped to key-value representations)
- Reliability at massive scale on commodity HW (cloud computing)

System Architecture

- Key-value maps, where values can be of a variety of data types
- APIs for CRUD operations (create, read, update, delete)
- Scalability via sharding (horizontal partitioning)

users:1:a "Inffeldgasse 13, Graz"

users:1:b "[12, 34, 45, 67, 89]"

users:2:a "Mandellstraße 12, Graz"

users:2:b "[12, 212, 3212, 43212]"

Example Systems

- **Dynamo** (2007, AP) → **Amazon DynamoDB** (2012)
- Redis (2009, CP/AP)





[Giuseppe DeCandia et al: Dynamo: amazon's highly available **key-value store**. **SOSP 2007**]





Example Systems

Redis Data Types



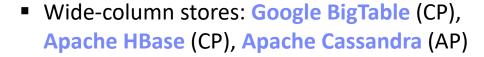
- Redis is not a plain KV-store, but "data structure server" with persistent log (appendfsync no/everysec/always)
- Key: ASCII string (max 512MB, common key schemes: comment:1234:reply.to)
- Values: strings, lists, sets, sorted sets, hashes (map of string-string), etc

Redis APIs

- SET/GET/DEL: insert a key-value pair, lookup value by key, or delete by key
- MSET/MGET: insert or lookup multiple keys at once
- INCRBY/DECBY: increment/decrement counters
- Others: EXISTS, LPUSH, LPOP, LRANGE, LTRIM, LLEN, etc

Other systems





























Log-structured Merge Trees

[Patrick E. O'Neil, Edward Cheng, Dieter Gawlick, Elizabeth J. O'Neil: The Log-Structured Merge-Tree (LSM-Tree). Acta Inf. 1996]

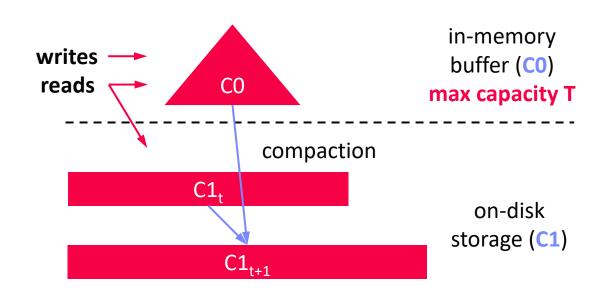


LSM Overview

- Many KV-stores rely on LSM-trees as their storage engine (e.g., BigTable, DynamoDB, LevelDB, Riak, RocksDB, Cassandra, HBase)
- **Approach:** Buffers writes in memory, flushes data as sorted runs to storage, merges runs into larger runs of next level (compaction)

System Architecture

- Writes in CO
- Reads against CO and C1 (w/ buffer for C1)
- Compaction (rolling merge): sort, merge, including deduplication



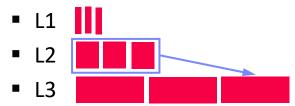




Log-structured Merge Trees, cont.

LSM Tiering

- Keep up to T-1 runs per level L
- Merge all runs of L_i into 1 run of L_{i+1}

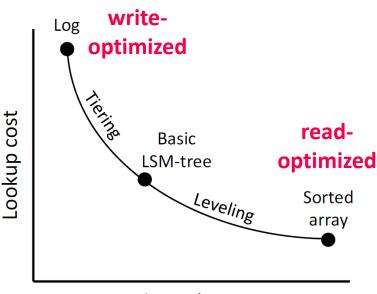


LSM Leveling

- Keep 1 run per level L
- Merge run of Li with Li+1



■ L3



Insertion cost

[Niv Dayan: Log-Structured-Merge Trees, Comp115 guest lecture, 2017]



[Stratos Idreos, Mark Callaghan: Key-Value Storage Engines (Tutorial), **SIGMOD 2020**]







Document Stores





Recap: JSON (JavaScript Object Notation)

JSON Data Model

- Data exchange format for semi-structured data
- Not as verbose as XML (especially for arrays)



Popular format (e.g., Twitter)

Query Languages

- Most common: libraries for tree traversal and data extraction
- JSONig: XQuery-like query language
- JSONPath: XPath-like query language

JSONiq Example:

```
declare option jsoniq-version "...";
for $x in collection("students")
  where $x.id lt 10
  let $c := count($x.courses)
  return {"sid":$x.id, "count":$c}
```

[http://www.jsoniq.org/docs/JSONiq/html-single/index.html]





Motivation and Terminology

Motivation

- Application-oriented management of structured, semi-structured, and unstructured information (pay-as-you-go, schema evolution)
- Scalability via parallelization on commodity HW (cloud computing)

System Architecture

- Collections of (key, document)
- Scalability via sharding (horizontal partitioning)
- Custom SQL-like or functional query languages

```
{customer:"Jane Smith",
1234
         items:[{name:"P1",price:49},
               {name:"P2",price:19}]}
```

1756

{customer:"John Smith", ...}

989

{customer:"Jane Smith", ...}

Example Systems

- MongoDB (C++, 2007, CP) → RethinkDB, Espresso, Amazon DocumentDB (Jan 2019)
- CouchDB (Erlang, 2005, AP) → CouchBase







Example MongoDB

[Credit: https://api.mongodb.com/
python/current]

Creating a Collection import pymongo as m
conn = m.MongoClient("mongodb://localhost:123/")
db = conn["dbs19"] # database dbs19
cust = db["customers"] # collection customers

Inserting into a Collection

```
mdict = {
    "name": "Jane Smith",
    "address": "Inffeldgasse 13, Graz"
}
id = cust.insert_one(mdict).inserted_id
# ids = cust.insert_many(mlist).inserted_ids
```

Querying a Collection

```
print(cust.find_one({"_id": id}))

ret = cust.find({"name": "Jane Smith"})
for x in ret:
    print(x)
```



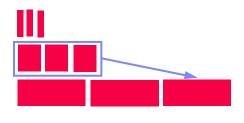


BREAK (and Test Yourself)

- NoSQL Systems (10/100 points)
 - Describe the concept and system architecture of a key-value store, including techniques for achieving high write throughput, and scale-out in distributed environments. [...]

Solution

- Key-value store system architecture [4]
- Write-throughput via LSM (log-structured merge tree) [3]
- Horizontal partitioning [3]
 (see 07 Physical Design)



R1 =
$$\sigma_{k <=5}(R)$$

R2 = $\sigma_{k>5 \land k <=10}(R)$
R3 = $\sigma_{k>10 \land k <=15}(R)$

$$R = (R1 \cup R2) \cup R3)$$

11					
V					
Blob1					
Blob2					
Blob4					
Blob7					
Blob15					
Blob9					
Blob14					
Blob8					

R





Graph Processing





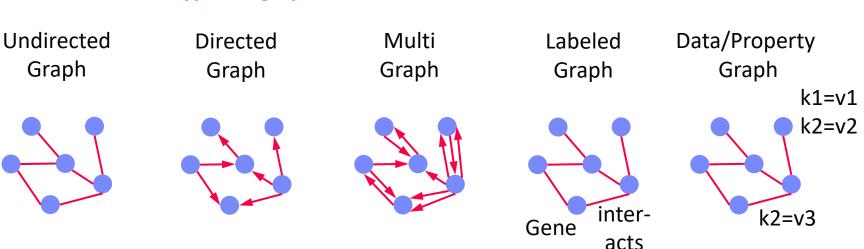
Motivation and Terminology

Ubiquitous Graphs

- Domains: social networks, open/linked data, knowledge bases, bioinformatics
- Applications: influencer analysis, ranking, topology analysis

Terminology

- Graph G = (V, E) of vertices V (set of nodes)
 and edges E (set of links between nodes)
- Different types of graphs







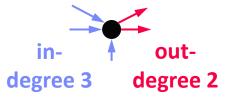
Terminology and Graph Characteristics

Terminology, cont.

- Path: Sequence of edges and vertices (walk: allows repeated edges/vertices)
- Cycle: Closed walk, i.e., a walk that starts and ends at the same vertex
- Clique: Subgraph of vertices where every two distinct vertices are adjacent

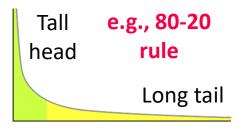
Metrics

- Degree (in/out-degree): number of incoming/outgoing edges of that vertex
- Diameter: Maximum distance of pairs of vertices (longest shortest-path)



Power Law Distribution

 Degree of most real graphs follows a power law distribution







Vertex-Centric Processing

[Grzegorz Malewicz et al: Pregel: a system for large-scale graph processing. **SIGMOD 2010** SIGMOD 2020 Test of Time Award



Google Pregel

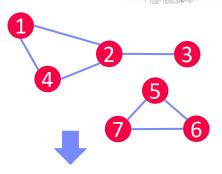
- Name: Seven Bridges of Koenigsberg (Euler 1736)
- "Think-like-a-vertex" computation model
- Iterative processing in super steps, comm.: message passing



Programming Model

- Represent graph as collection of vertices w/ edge (adjacency) lists
- Implement algorithms via Vertex API
- Terminate if all vertices halted / no more msgs

```
public abstract class Vertex {
  public String getID();
  public long superstep();
  public VertexValue getValue();
  public compute(Iterator<Message> msgs);
  public sendMsgTo(String v, Message msg);
  public void voteToHalt();
```



- [1, 3, 4]
- [5, 6]

Worker

- [1, 2]
 - [1, 2, 4]
- [6, 7]

[5, 7]

Worker

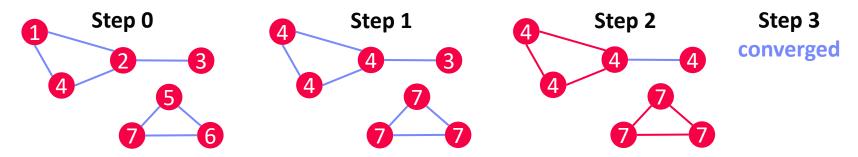




Vertex-Centric Processing, cont.

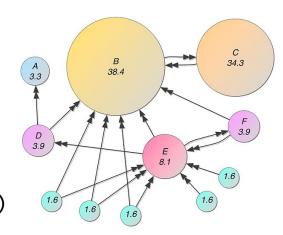
Example1: Connected Components

- Determine connected components of a graph (subgraphs of connected nodes)
- Propagate max(current, msgs) if != current to neighbors, terminate if no msgs



Example 2: Page Rank

- Ranking of webpages by importance / impact
- #1: Initialize vertices to 1/numVertices()
- #2: In each super step
 - Compute current vertex value: value = 0.15/numVertices()+0.85*sum(msg)
 - Send to all neighbors: value/numOutgoingEdges()



[Credit: https://en.
wikipedia.org/wiki/PageRank



Graph-Centric Processing

Motivation

- Exploit graph structure for algorithm-specific optimizations (number of network messages, scheduling overhead for super steps)
- Large diameter / average vertex degree

Programming Model

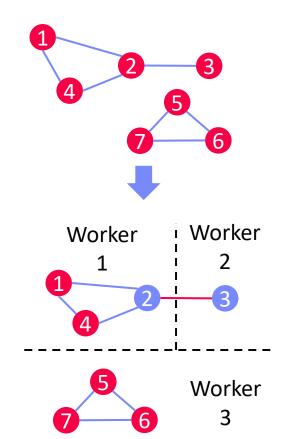
- Partition graph into subgraphs (block/graph)
- Implement algorithm directly against subgraphs (internal and boundary nodes)
- Exchange messages in super steps only between boundary nodes → faster convergence



[Yuanyuan Tian, Andrey Balmin, Severin Andreas Corsten, Shirish Tatikonda, John McPherson: From "Think Like a Vertex" to "Think Like a Graph". **PVLDB 2013**]



[Da Yan, James Cheng, Yi Lu, Wilfred Ng: Blogel: A Block-Centric Framework for Distributed Computation on Real-World Graphs. **PVLDB 2014**]

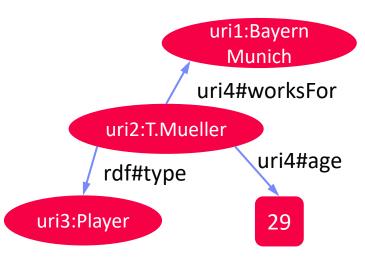




Resource Description Framework (RDF)

RDF Data

- Data and meta data description via triples
- Triple: (subject, predicate, object)
- Triple components can be URIs or literals
- Formats: e.g., RDF/XML, RDF/JSON, Turtle
- RDF graph is a directed, labeled multigraph



Querying RDF Data

- SPARQL (SPARQL Protocol And RDF Query Language)
- Subgraph matching

SelectedExample Systems













Example Systems







- Understanding Use in Practice
 - Types of graphs user have
 - Graph computations run
 - Types of graph systems used



[Siddhartha Sahu, Amine Mhedhbi, Semih Salihoglu, Jimmy Lin, M. Tamer Özsu: The Ubiquity of Large Graphs and Surprising Challenges of Graph Processing. **PVLDB 2017**]

Technology	Software		Jsers
	ArrangoDB [3]	40	
	Caley [8]		
Graph Database	DGraph [14]	33	233
System	JanusGraph [35]	32	233
	Neo4j [48]	69	
	OrientDB [53]	45	
	Apache Jena [38]		
RDF Engine	Sparksee [64]	5	115
	Virtuoso [67]	23	
Distributed Graph	Apache Flink (Gelly) [17]		
Processing Engine	Apache Giraph [21]	8	39
Trocessing Engine	Apache Spark (GraphX) [27]		
Query Language	Gremlin [28]	82	82
	Graph for Scala [22]	4	
	GraphStream [24]		
Graph Library	Graphtool [25]		97
	NetworKit [50]		71
	NetworkX [51]	27	
	SNAP [62]	20	
Graph Visualization	Cytoscape [13]		116
Elasticsearch		23	110
(X-Pack Graph) [16]		23	
Graph Representation	Conceptual Graphs [11]	6	6









Summary of State of the Art Runtime Techniques [Da Yan, Yingyi Bu, Yuanyuan Tian, Amol Deshpande, James Cheng: Big Graph Analytics Systems. **SIGMOD 2016**]







Time Series Databases





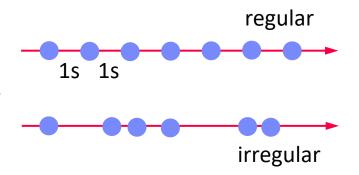
Motivation and Terminology

Ubiquitous Time Series

- Domains: Internet-of-Things (IoT), sensor networks, smart production/planet, telemetry, stock trading, server/application metrics, event/log streams
- Applications: monitoring, anomaly detection, time series forecasting
- Dedicated storage and analysis techniques
 Specialized systems

Terminology

- Time series X is a sequence of data points x_i for a specific measurement identity (e.g., sensor) and time granularity
- Regular (equidistant) time series (x_i)
 vs irregular time series (t_i, x_i)



















Example InfluxDB

Measurement



[Paul Dix: InfluxDB

Input Data

cpu, region=west, host=A

Tags

Time

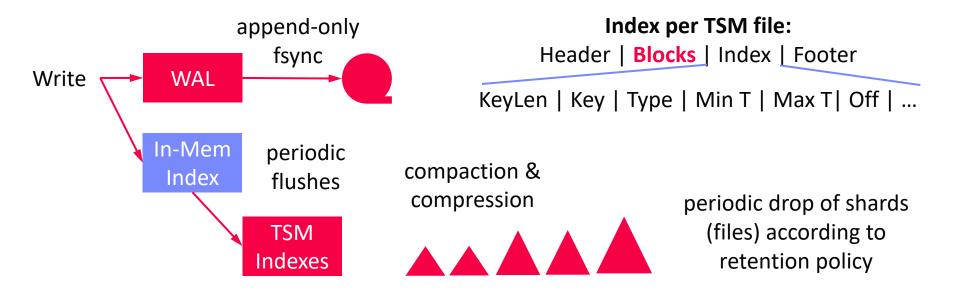
user=85,sys=2,idle=10 **1443782126**

Fields (values)

Storage Engine Internals, CMU Seminar, 09/2017]

System Architecture

- Written in Go, originally key-value store, now dedicated storage engine
- Time Structured Merge Tree (TSM), similar to LSM
- Organized in shards, TSM indexes and inverted index for reads







Example InfluxDB, cont.

Compression (of blocks)

- Compress up to 1000 values per block (Type | Len | Timestamps | Values)
- Timestamps: Delta + Run-length encoding for regular time series;
 Simple8B or uncompressed for irregular
- Values: double delta for FP64, bits for Bool, double delta + zig zag for INT64,
 Snappy for strings

Query Processing

- SQL-like and functional APIs for filtering (e.g., range) and aggregation
- Inverted indexes

FROM cpu WHERE time>now()-12h
AND "region"='west'
GROUP BY time(10m), host

Posting lists:

Measurement to fields: cpu
$$\rightarrow$$
 [1,2,3,4,5,6]
cpu \rightarrow [user,sys,idle] host=A \rightarrow [1,2,3]
host \rightarrow [A, B] host=B \rightarrow [4,5,6]
Region \rightarrow [west, east] region=west \rightarrow [1,2,3]





Other Systems

Prometheus

 Metrics, high-dim data model, sharding and federation custom storage and query engine, implemented in Go



OpenTSDB

TSDB on top of HBase or Google BigTable, Hadoop



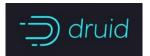
TimescaleDB

TSDB on top of PostgreSQL, standard SQL and reliability



Druid

Column-oriented storage for time series, OLAP, and search



IBM Event Store

- HTAP system for high data ingest rates, and data-parallel analytics via Spark
- Shard-local logs → groomed data

[Ronald Barber et al: Evolving Databases for New-Gen Big Data Applications. **CIDR 2017**]



Db2 Event Store: A Purpose-Built IoT Database Engine. **PVLDB 13(12) 2020**]







Exercise 4: Large-Scale Data Analysis

Published: May 29

Deadline: June 22

Entire Exercise is Extra Credit





Task 4.1 Apache Spark Setup

3/25 points

- #1 Pick your Spark Language Binding
 - Java, Scala, Python

#2 Install Dependencies

- Java: Maven spark-core, spark-sql
- Python:
 pip install pyspark

- (#3 Win Environment)
 - Download https://github.com/steveloughran/winutils/tree/master/hadoop-2.7.1/bin/winutils.exe (or https://github.com/steveloughran/winutils (or https://github.com/steveloughran/winutils (or <a href="https://github.com/steveloughran/
 - Create environment variable HADOOP_HOME="<some-path>/hadoop"





Task 4.2 Query Processing via Spark RDDs

10/25 points

- #1 Spark Context Creation
 - Create a spark context sc w/ local master (local[*])
- #2 Implement Q07 via RDD Operations
 - Implement Q07 in self-contained executeQ07RDD()
 - All reads should use sc.textFile(fname)
 - RDD operations only → stdout

https://spark.apache.org/ docs/latest/rddprogramming-guide.html

```
Appendix A.1
```

```
-- Determine the top-10 athletes that participated
-- between 1948 and 2016 in the most event occurrences
-- but never won a single medal.

SELECT A.AKey, A.Name, A.DoB, count(*)
FROM Athletes A, Results R
WHERE A.AKey = R.AKey
AND A.AKey NOT IN( -- not in medal winners
SELECT DISTINCT A2.AKey FROM Athletes A2, Results R2
WHERE A2.AKey = R2.AKey AND R2.Medal IS NOT NULL)
AND R.Year BETWEEN 1948 AND 2016
GROUP BY A.AKey
ORDER BY count(*) DESC, A.Name ASC
LIMIT 10
```



Task 4.3 Query Processing via Spark SQL

5/25 points

- #1 Spark Session Creation
 - Create a spark session via a spark session builder and w/ local master (local[*])
- → SQL processing of high importance in modern data management

- #2 Implement Q07 via Dataset Operations
 - Implement Q07 self-contained in executeQ07Dataset()
 - All reads should use sc.read().format("csv")
 - SQL or Dataset operations only \rightarrow out07.json
- **WebUI** INFO Utils: Successfully started service 'SparkUI' on port 4040. INFO SparkUI: Bound SparkUI to [...] http://192.168.108.220:4040

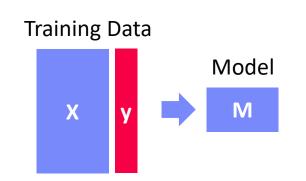




Task 4.4: Medal Prediction

7/25 points

- Regression Model (or Classification Model)
 - Training data Summer Olympics 1896-2012
 - Test data Summer Olympics Rio 2016
 - Predict # meals won by individual athletes at a specific instance of the games
 - Data preparation and training pipeline in Spark



Example Data

Country?

One-Hot Encoding?

(Year - DoB)?

Other Features?

V

Train: 146,839 Test: 11,179

akey integer	name character varying (128)	gender character (1)	dob date	height integer	weight integer	year integer	count bigint
88428	Michael Francisco Okantey	М	1940	175	66	1964	0
36781	Michael Frankenberg	М	1978	187	88	2004	0
94406	Michael Fred Phelps; II	М	1985	193	91	2000	0
94406	Michael Fred Phelps; II	М	1985	193	91	2004	8
94406	Michael Fred Phelps; II	М	1985	193	91	2008	8
94406	Michael Fred Phelps; II	М	1985	193	91	2012	6
81183	Michael Friedrich Mllenbeck	М	1970	200	120	1996	0



Conclusions and Q&A

- Summary 10 NoSQL Systems
 - Consistency and Data Models
 - Key-Value and Document Stores
 - Graph and Time Series Databases
- Next Lectures (Part B: Modern Data Management)
 - 11 Distributed Storage and Data Analysis [Jun 07]
 - 12 Data stream processing systems, Q&A [Jun 14]

