

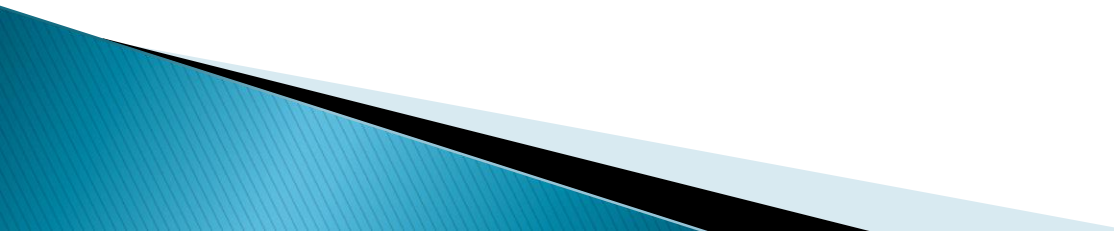
# Disinfection/Sterilization in the Ambulatory Clinic Setting: Infection Prevention Challenges and Opportunities

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Presenter has no conflict of interest



## Objectives:

1. Assess infection control risks and strategies related to instrument/device reprocessing in the medical office setting
  2. Describe basic principles of cleaning, disinfection, sterilization
  3. Identify required monitoring of high-level disinfection and sterilization processes
  4. Discuss Infection Control essentials related to environmental cleaning
- 

# Risk Assessment

- ▶ Annual and continuous
- ▶ Assess patient population
- ▶ Identify vulnerabilities in high risk patients
- ▶ Evaluate services provided
- ▶ Assess routine IC practices
- ▶ Patient care equipment
- ▶ Environmental control
  - Office Design, sinks, waiting areas, exam rooms
- ▶ Sterilization, Disinfection and Antisepsis
- ▶ Occupational Health
- ▶ Leadership & staff accountability for Infection Prevention

Probability

Impact

Current  
Situation

# Definitions

## Operating Room

- Restricted Area
- For invasive procedures that require an aseptic surgical environment
- Any form of anesthesia may be administered

## Procedure Room

- Unrestricted area
- For procedures that do not require an aseptic surgical environment, but may require use of sterile instruments or supplies
- No general anesthesia

# Spaulding Classification

Spaulding Classification	Definition	Example	Disinfection
Critical	Object enters sterile tissue or bloodstream	Surgical instruments	<b>Sterilization</b> Sterilant/disinfectant Sporicidal, chemical prolonged contact
Semi-critical	Object contacts mucous membranes or non-intact skin	Rectal or vaginal probes, diaphragm fitting rings, respiratory therapy equipment, endoscopes,	<b>High-level Disinfection</b> Sterilant / disinfectant Sporicidal chemical; short contact
Non-critical	Object contacts intact skin	Blood pressure cuff, glucometer, stethoscope	<b>Low-level Disinfection</b> Hospital disinfectant

# Reprocessing of Reusable Instruments and Devices

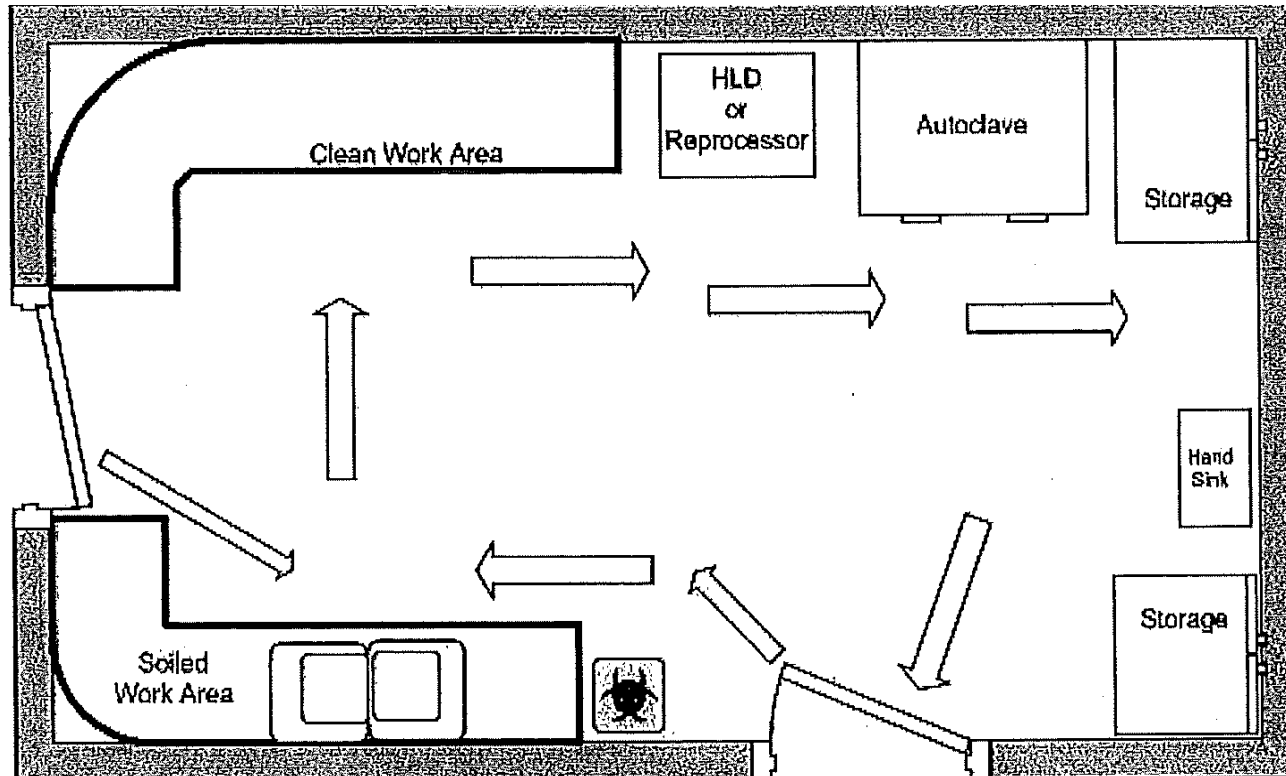
- ▶ Follow manufacturer instructions
- ▶ Discard single-use devices after use

Reprocessing area has a workflow pattern – clear separation between soiled & clean workspaces

- ▶ 2 areas: decontamination & clean
- ▶ One way traffic: **dirty** → **clean**
- ▶ Sink separated from clean work area by:
  - 4 feet from edge of sink or
  - A separating wall or screen
- ▶ 2 separate decontamination & hand hygiene sinks
- ▶ No clean supplies stored in dirty area

# Functional Work Flow Patterns In Office-based Facilities

2011 ANSI/AAMI ST79:2010 & A1&A2

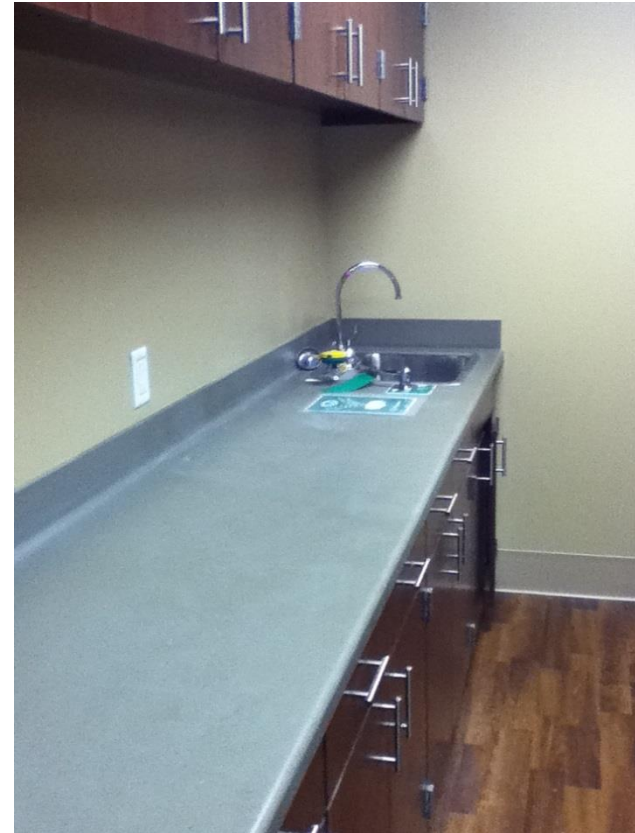


(b) Workflow in an office-based practice

Figure 2—Workflow



**Reprocessing area** has workflow pattern – clear separation between soiled & clean workspaces





# Reprocessing Basics

- ▶ Items pre-cleaned first by manufacturer's instructions or evidence-based guidelines
- ▶ Devices visually inspected for residual soil and re-cleaned as needed
- ▶ After reprocessing, store items in designated clean area so sterility is not compromised

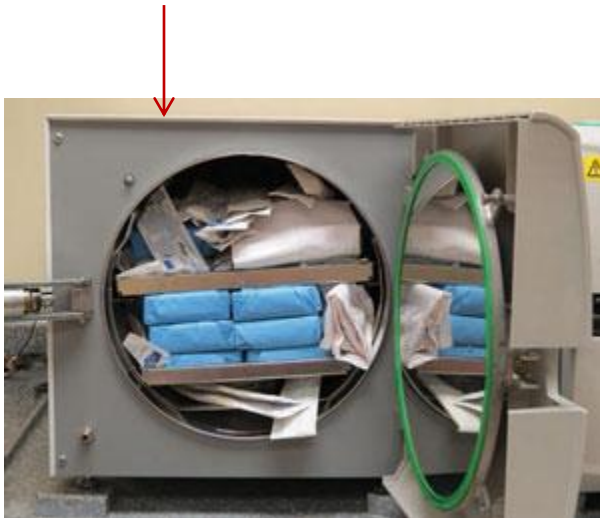


# Autoclave Operation

- ▶ **Load autoclave according to manufacturer guidelines:**
  - Do not overload or crowd items –
    - do not layer items
    - Items cannot touch each other
  - Do not allow packaging to come in contact with side of door of chamber
  - Separate items or arrange loosely in chamber
  - Autoclave using run time & temperature guidelines per item
  - Do not use an autoclave that is not working properly
  - Follow manufacturer's instructions for care & maintenance
  - Testing procedures for monitoring autoclave performance

To ensure proper sterilization of items, these general guidelines must be followed. This will ensure that **steam circulation and adequate drainage of condensed steam** can take place.

Not This



This



# Sterilization Monitoring

**Chemical Indicators** – respond w/ chemical  
– change to  $\geq$ one or more physical conditions  
sterilizer chamber –incorrect loading  
or packaging or sterilizer malfunctions  
lethality



External & Internal  
chemical monitoring



**Biological Indicators**  
Used weekly & with all  
implantable loads

Direct measure of the  
of the sterilization process



**Mechanical Indicators** – time, temperature & pressure recorders  
Maintain, date, initial, time & temperature recording chart, printer or  
tape

Real-time assessment of cycle conditions



# Instrument Decontamination at the Point-of-Use

**S**

## SOIL

Apply gloves, wipe gross **soil** from re-usable instruments, de-glove, perform hand hygiene

**T**

## TRANSPORT

Cover instruments / safely **transport** to dirty utility room

**E**

## EQUIPMENT

Don Personal Protective **Equipment** - gloves and eye protection or face shield required



**E**

## ENZYMATIC

Place instruments in open position in biohazard bin. Spray with **Enzymatic** spray ~15 squirts (saturate)



**L**

## LABEL

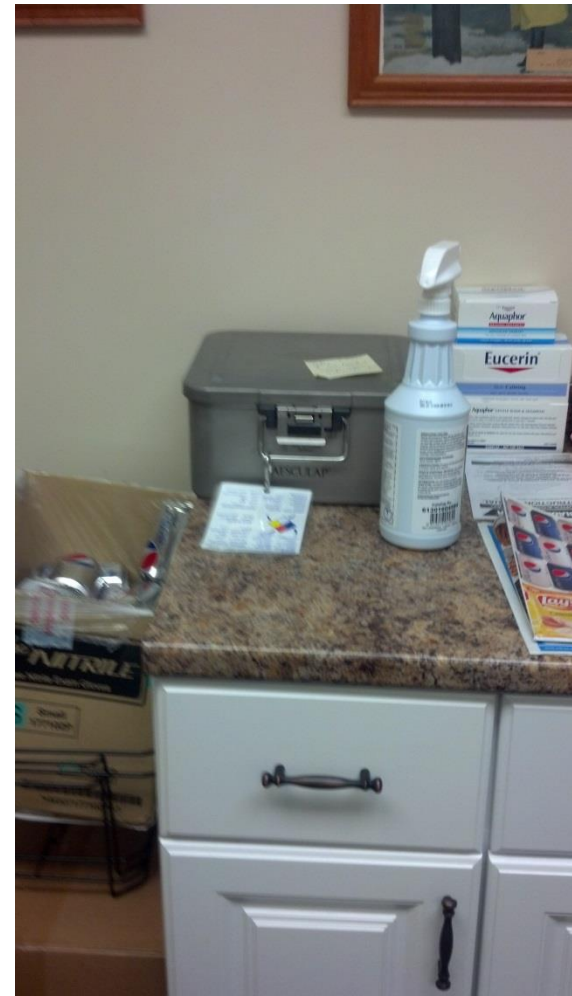
Cover **container**, **Label** with biohazard tag







**This**



**Not This**

# TJC – HLD–IC.02.02.01 EP2

- ▶ No endorsement of any specific brand, product, process or device for performing HLD or sterilization
- ▶ Expect organizations to follow manufacturer recommendations to ensure, safe, effective use
- ▶ Organization minimizes risks associated with selecting, handling, sorting, transporting, using & disposing of hazardous gases, vapors
- ▶ Organizational leadership decision
  - Scope of services provided
  - Patient population served
  - EBP guidelines – AAMI, CDC
  - Laws and regulations



# Breaches in Disinfection/Sterilization

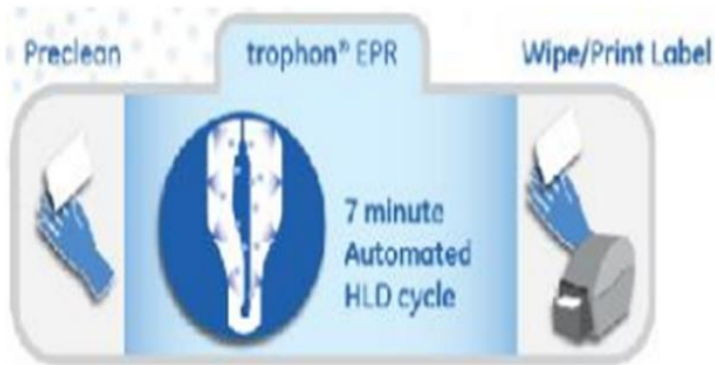
2014 Joint Commission surveys found 39% of office-based surgery practices had breaches in HLD pertaining to scope and US probe reprocessing & instrument sterilization process

- ▶ Lack of knowledge of/adherence to EBP guidelines
- ▶ Not following manufacturer instructions for use
- ▶ Lack of or incomplete documentation of competency, training and oversight
- ▶ Failure to adhere to & document physical/mechanical, chemical and biological monitoring of instruments
- ▶ Failure to maintain equipment to ensure HLD & sterilization efficacy
- ▶ Lapses in room pressure, temperature & humidity monitoring lapses

# Three Commonly Used High Level Disinfectants

- ▶ **OPA (Ortho-Phthalaldehyde):**
  - Introduced to market 1999
  - Mostly odorless
  - 12 minute soak time at  $\geq 68^{\circ}\text{F}$
  - Requires 3 large volume rinses – 1 minute each
  - PPE and ventilation requirements
- ▶ **Glutaraldehyde:**
  - Numerous brands with varying shelf-life, activation
  - Soak times vary – 20–45 minutes at  $77^{\circ}\text{F}$
  - OSHA – exposure level limit .2ppm, ACGIH lower exposure limit .05ppm
  - PPE and ventilation requirements
- ▶ **Hydrogen Peroxide:**
  - Soak time 8 minutes at  $68^{\circ}\text{F}$
  - Requires one low volume rinse
  - Resert SDS – ACGIH & OSHA exposure limit is 1 ppm
  - Requires 6–15 minimum air exchanges per hours depending on area
  - PPE

# Process Comparison



# High-level Disinfection (HLD)

- ▶ Semi-critical items HLD or sterilized?
- ▶ Pre-cleaned first by manufacturer's instructions or evidence-based guidelines
- ▶ Visually inspect for residual soil & reclean as needed
- ▶ Transport device to Processing in enclosed container or biohazard bag
- ▶ Chemicals prepared/used per manufacturer's instructions for use
- ▶ Chemical tested for appropriate concentration per IFU
- ▶ Solution replaced per IFU?
- ▶ Documentation of above per IFU?

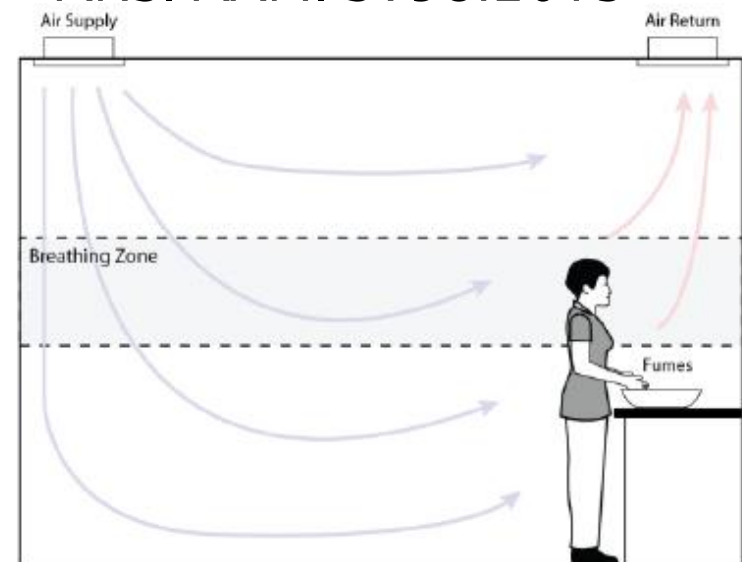


# HLD

- ▶ Appropriate length of time?
- ▶ Appropriate temperature?
- ▶ Rinsed appropriately
- ▶ Items allowed to dry?
- ▶ Transported and stored in a clean area in a manner to prevent contamination
- ▶ Neutralize solution prior to discarding



- ▶ Follow manufacturer's recommendation to ensure safe, effective use
- ▶ Environmental requirements where HDL products are used
- ▶ Protect healthcare workers from risk
  - HDL disinfectants are toxic, fumes are known irritants
  - Use EBP – national guidelines – ANSI AAMI ST58:2013
  - Eye wash station available



- ▶ Use chemicals in an area that is properly ventilated
- ▶ If outside exhaust system not available, install a ductless fume hood (disinfection soaking station)
- ▶ Replace system filters per manufacturer instructions





# Common Mistakes in Probe Disinfection

## What's wrong with these methods?



*Just put the probe straight into the bottle.*



*Topical Spray*



*No issue – as long as the tray is inside a vapor control system*



*Don't laugh! This is a common method for disinfecting probes.*



*Wipes are a widely used disinfectant for external ultrasound probes.\**

# Storage of Vaginal Probes



Ultrasound  
Probe Storage  
Rack



Ultrasound  
Probe Storage  
Cabinet



# New Technology - High-level Disinfection

- Hydrogen Peroxide
- FDA Approved – Feb. 2011
- Seven minute process
- In-process chemical indicator
- Water & oxygen by-products
- No exposure to harmful chemicals
- Quick & easy cartridge replacement



# Sterile Supply Storage

Store in a manner that reduces potential for contamination:

- ▶ Closed or covered cabinets
- ▶ Storage rooms – temp (75°F), 4 air exchanges,  $\leq 70\%$  humidity
- ▶ Storage carts – 8–10 inches from floor; 18” from ceiling, at least 2” from outside walls
- ▶ Solid bottom shelves
- ▶ Positioned so pack is not crushed, bent, compressed, punctured or sterility compromised
- ▶ No outside shipping cartons or corrugated boxes

# Suggestions for Sterilization & HLD

- ▶ Centralize
- ▶ Training –company reps, on–line, posters
- ▶ Competencies
- ▶ Assign accountability
- ▶ Model–specific protocols are current and posted
- ▶ Observe staff who perform equipment reprocessing

**Instructions for Use: Weekly biological monitoring is recommended.<sup>1</sup>**

**Attest™**  
Biological Monitoring System

Indicator No. & Type	Media Color	Time to Incubate (hours)	Color & Time to Report (hours)	Control Color	Control Time (hours)
1262P	Purple	24	Yellow	+	+
1262P	Purple	48	Yellow	-	-

**3. Record results** in the log book:

Indicator No.	Media Color	Time to Report (hours)	Control Color	Control Time (hours)
1262P	Purple	24	+	+
1262P	Purple	48	-	-

**Indicator Selection for Steam Autoclaves**

Sterilization Process	Time (minutes)	Packaging Materials	Attest Indicator (loop color)
250°F (121°C) (steam)	15 30 250	Nase Wrigped Continuous fabric	1262P (Brown) Biological Indicators (25)
270°F (132°C) (steam)	15 10	Nase Wrigped	1261P (Blue)* Biological Indicators (25)

\*The 1261P (Brown) may be substituted for the 1262P (Blue) when monitoring the 15-minute, 270°F cycle.

**Results**  
Negative Test (purple)  
Positive Control (yellow)  
Positive Test (yellow)  
Positive Control (yellow)  
Negative Test (purple)  
Negative Control (purple)

**Interpretations**  
Spores were killed. The sterilization process was successful.  
Sterilization process failure. Recall all loads since last negative test. Determine cause for sterilization process failure. Reprocess load. Do not process any other loads until biological indicators test negative in three successive cycles.  
There is a problem with spore viability, the growth media or the incubator temperature. Check dating of the Attest indicators used. Repeat test. If results are the same, send incubator in for servicing.

Reference: 1. Mads, C. JCD, March 1992

**3M™ Attest™**  
Biological Monitoring System (Item No. 116K)  
Includes: one incubator, one 1262P box of 25 indicators and one log book.

**3M Reliability**

# Cleaning / Disinfection Device and Environmental Surfaces– Clinic Setting



- ▶ Designated trained personnel
- ▶ Products used per manufacturer instructions
  - Compatibility of cleaning product / surface or device
  - Use EPA–registered product with appropriate germicidal claim
  - Follow manufacturer’s safety precautions & instructions – dilution, safe use, storage, disposal – render safe for next user
- ▶ Staff can state contact times and meaning
- ▶ Scheduled cleaning / checklists





# Cleaning/Disinfecting

## ▶ Frequency of cleaning

- At least daily – patient care areas, medication prep areas (outside of pharmacy), bathrooms
- Exceptions: **Clean immediately**
  - BBF spills
  - Medication prep areas when visibly soiled
  - Bathrooms after use by patient with infectious diarrhea
  - All environmental surfaces & devices when visibly soiled
  - Patient care device involves blood glucose meter or other point of care testing device



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# Exam Rooms

- ▶ Change exam table paper between patients
- ▶ Place used linens in designated container
- ▶ Clean med prep area after each patient encounter
- ▶ Focus on cleaning high touch surfaces (at least daily) – exam table, blood pressure cuff, door knob, ophthalmoscope
- ▶ High touch surfaces



# Measuring Environmental Cleanliness

## ▶ ATP



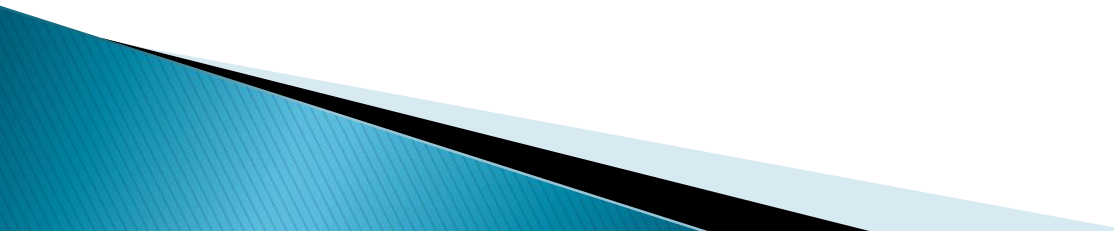
Adenosine triphosphate (ATP) is an enzyme that is present in all living cells, and an ATP monitoring system can detect the amount of organic matter that remains after cleaning an environmental surface, a medical device or a surgical instrument. Hospitals are using ATP-based sanitation monitoring systems to detect and measure ATP on surfaces as a method of ensuring the effectiveness of their facilities' sanitation efforts. The amount of ATP detected, and where this ATP was detected, indicates areas and items in the healthcare setting that may need to be recleaned, and the possible need for improvement in a healthcare facility's cleaning protocols

# Review – Cleaning, Disinfection, Sterilization of Medical Equipment

- ▶ **Ensure that reusable medical equipment is:**
  - cleaned/reprocessed appropriately prior to use on another patient
  - Clean, reprocessed & maintained according to manufacturer instructions
- ▶ **Assign responsibility to HCP with appropriate training**
  - Maintain copies of manufacturer IFU
  - Observe procedure to document competencies
- ▶ **Assure HCP have access to & wear appropriate PPE**

# Summary

## ▶ IP Program

- Written infection prevention program
  - Assigned Infection Preventionist with training
  - Program based on national standards
  - Surveillance for infections
  - Education and Training
  - Policies and Procedures
  - Performance Improvement
  - Documentation
  - Know your state laws
- 

Questions???

No time for Information Overload

Refer to Published  
Guidelines



# References:

- CDC/HICPAC 2007 Guideline for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings.
- CDC Guide to Infection Prevention in Outpatient Settings: Minimum Expectations for Safe Care.
- CDC Infection Prevention Checklist for Outpatient Settings: Minimum Expectations for Safe Care.
- CDC /HICPAC Guidelines for Environmental Infection Control in Healthcare Facilities (2003).
- CDC Guideline for Disinfection and Sterilization in Healthcare Facilities, 2008.
- OSHA Bloodborne Pathogen Standard (29CFR 1910.1030)
- CDC Basic Infection Control and Prevention Plan for Outpatient Oncology Settings
- 2014 Guidelines for Design and Construction in Health Care Facilities
- 2011 Association for the Advancement of Medical Instrumentation ■ ANSI/AAMI ST79:2010 & A1 & A2: 2011
- 2014 The Association for Medical Ultrasound: Guidelines for Cleaning & Preparing External and Internal Use Ultrasound Probes Between Patients