

DoD Financial Management Workforce Development

Office of the Under Secretary of Defense (Comptroller)

2 June 2016
Ms. Glenda Scheiner
Director, Human Capital &
Resource Management
OUSD(C)









Agenda

- FM Workforce Demographics
- Strategic Workforce Planning
- GAO Green Book as Basis for Workforce Development
 - Expectations of Competence
 - Recruitment, Development, and Training
- FM Certification
- Q&A





FM Workforce Demographics

Key Civilian Demographics:

Education Levels

- Less than a Bachelor's degree 43%
- Bachelor's degree 37%
- Master's Degree 19%
- Doctorate Degree 0.12%

Career Levels

- GS 01-08/Equivalent 21%
- GS 09-12/Equivalent 46%
- GS 13-15/Equivalent 33%

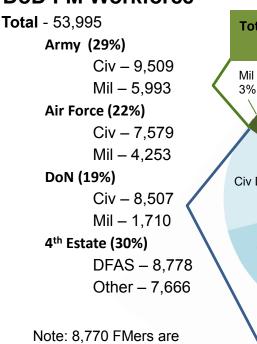
Age Distribution

- 29 and Under – 9% - 50-59 – 34% - 30-39 – 19% - 60-64 – 9% - 40-49 – 26% - Over 65 – 3%

Retirement Eligibility

- Currently Eligible to Retire 14%
- Eligible to Retire Next Year 4%
- Eligible to Retire in Two to Five Years –15%

DoD FM Workforce



dual-coded as part of the

Acquisition workforce

Total DoD FM Military Workforce 11,956 22% Mil DoN 3% Mil Army Mil AF 11% Civ DoN16% Civ 4th Estate 30% Civ Air Force 14% Civ Army 18% **Total DoD FM Civilian Workforce** 42,039 78%

As of 30 Sep 2015

By the Numbers



DoD FM Functional ement

GOVERNANCE STRUCTURE Senior FM Leadership Group | OFCM | CFCMs | Governing Body

FM Workforce

- Certification Policy
- Strategic Workforce
 Planning Policy
- ✓ USD(C) Awards Policy

Strategic Workforce Planning

- ✓ Strategic Workforce Plan
- ✓ Competency Development
- ✓ Competency Alignment
- ✓ Competency Gap Analysis
- ✓ Workforce Metrics & Analytics

Professional Development

- ✓ FM Certification Program
- ✓ FM Career Roadmaps
- ✓ Test-based Certifications
- FM Experiential Programs
- Leadership Programs USD(C) Awards
- ✓ Support DoD FM Schools
- ✓ FM Exchange Program

FM Training

- FM Online
- FM myLeam
- Learning Management System
- ✓ Web-based Courses
- C2C Alignment
- ✓ Governing Body/Tiger Team
- Certification Training
 Tools and Resources

FM STRATEGIC WORKFORCE PLAN

WELL-TRAINED CAPABLE FM WORKFORCE







Strategic Workforce Plan

10 U.S. Code Sect 115B requires the Secretary of Defense to submit a strategic workforce plan bi-annually

• FM Community represents one of the 23 Functional Communities making up the DoD workforce

The FY16-21 SWP includes:

- Assessment of critical skills and competencies of the FM workforce and projected future trends (e.g., retirement, other attrition)
- Assessment of critical skills and competencies needed in the future
- Plans to close identified skills and competency gaps
- Update on needed incentives and legislative changes
- Current career roadmaps for civilians in the FM workforce

SWP Status:

- Entire SWP in formal coordination with stakeholders Apr Aug
- FM Appendix submitted to DCPAS on 10 May 2016
- DCPAS to submit final report to Congress NLT 30 Sep 2016





GAO Green Book Standards

- Standards for Internal Control in the Federal Government, known as the "Green Book," sets the standards for an effective internal control system for federal agencies. Internal controls:
 - Help an entity run its operations efficiently and effectively
 - Report reliable information about its operations
 - Comply with applicable laws and regulations
- GAO revised the Green Book 10 Sep 2014
- Legislative Authority
 - Federal Managers' Financial Integrity Act (FMFIA) requires federal agency executives periodically review and annually report on the agency's internal control systems
 - FMFIA requires the Comptroller General to prescribe internal controls standards. These internal control standards, first issued in 1983, present the internal control standards for federal agencies for both program and financial management
- Effective Date
 - GAO's 2014 revision was effective beginning FY 2016 and the FMFIA reports covering that year





GAO Green Book Alignment

4th Principle: "Demonstrating Commitment to Competence"

- Ensures workforce has knowledge, skills, and abilities for the future
 - Expectations of Competence
 - Relevant knowledge, skills, and abilities gained from professional experience, training, and certifications
 - Standards of conduct, assigned responsibility, and delegated authority
 - Competencies, skills gaps
 - Recruitment, Development and Retention of Individuals
 - Recruit, train, mentor, and retain workforce
 - Succession and Contingency Plans and Preparation





Expectations of Competence

Green Book Principle 4.02/4.03/4.04





Competencies

There are 23 DoD FM competencies

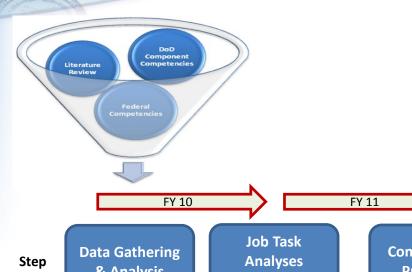
17 of the 23 apply to the DoD FM Certification Program

The the 20 apply to the 2021 in continuation in ogiam						
Accounting Analysis	Fundamentals & Operations of Accounting					
Accounting Concepts, Policies & Principles	Fundamentals & Operations of Budget					
Advanced Financial Management	Fundamentals & Operations of Finance					
Audit Concepts, Policies & Principles						
Budget Concepts, Policies & Principles	Fundamentals & Operations of Military & Civilian Pay					
Budget Execution	Payroll Concepts, Policies & Principles					
Budget Formulation, Justification & Presentation	Audit Planning & Management					
Commercial Pay Concepts, Policies & Principles	Audit Reporting					
Commission at any Company, Company at 1 milespice	Decision Support - Audit Execution					
Decision Support	Financial Management & Reporting Analysis					
Financial Concepts, Policies & Principles						
Financial Management Analysis	Financial Reporting					
Financial Management Systems	Financial Stewardship					
	48 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8					



Outcome

Competency Development Process Overview



Multi-Year Process:

- ✓ Develop
- ✓ Publish
- ✓ Assess
- √ Revalidate

& Analysis (SME Groups)

Prioritized list Draft list of of tasks linked to competencies competencies **Competencies Published**

Approved list of competencies for workforce FY 14-15

Defense Competency **Assessment Tool** (DCAT) Assessment Competency validation, gap

assessment

FY 16

MCO Competencies Revalidated

> Refreshed MCO competencies









FM Competency Revalidation Approach

- Formed Subject Matter Expert (SME) Groups for each Occupational Series (OS)
 - SMEs are experts from across the DoD FM community
- Each SME Group validates the tasks performed by members of that OS and which competencies align to it
- USD(C) will provide final approval of revalidated competencies
- The competency revalidation process was included in the FY 16-21 DoD Strategic Workforce Plan (SWP); the results will be included in the FY 18-23 DoD SWP
- Will assess revalidated competencies in future competency skills gap assessments
- All SME Groups have convened; recommendations will be presented to the CFCM Advisory Board this summer





Workforce Planning Initiatives

- DoD & CFOC collaboration and information sharing on DoD FM competencies and career roadmaps
- On-going Government-wide effort to close mission critical occupation (MCO) skill gaps for the Auditor occupation, GS-511
- Strategic Workforce Planning Strategies (2016-2021):
 - Close competency gaps in the Decision Support & Audit competencies
 - Maintain an FM Workforce with the required competencies
- Competency assessment improvements





Recruitment, Development, and Retention of Individuals

Green Book Principle 4.05





FM Career Roadmaps

DoD Enterprise-wide FM Civilian Career Path 510 Accounting

					310 Accounting
Developmental GS – 1	Journeyman			Expert (GS 14 & Above	
d8 – 1	P D I M /	RY FUNCTIONAL	COMPETENCIES		36
Financial Management Systems	Decision Support	Financial Stewardship	Accounting Analysis	Accounting Concepts, Policies & Principles	Financial Reporting
		ARY FUNCTIONA	L COMPETENCIES	•	
	udget Financial ecution Management Analysis	Fundamentals & Operations of Alternate Track	Budget Formulation, Justification & Presentation	Advanced Financial Management	Concepts, Policies & Principle of Alternate Track
		EADERSHIP COM	PETENCIES		
LEAD SELF		LEAD TEAMS/PROJECTS		LEAD PEOPLE	
Flexibility Resilience Continual Learning Service Motivation Integrity/ Custome Problem Technical	r Service • Mission Orientation Solving • Oral Communication	 Team Building Accountability Decisiveness Influencing/Negotiating DoD Mission and Cultur 	e	Human Capital Managem Leveraging Diversity Conflict Management	Developing Others DoD Corporate Perspectiv National Security Foundate
Develop technical proficiency Gain entry-level experience in prim (accounting or a related field) Seek experience at field level	ary discipline	Build technical depth an Seek experience at MAJ BSO, COCOM, agency le Explore organizational & Gain experience in alterr Seek career broadening Gain supervisory experience.	COM, MACOM, evel a geographic mobility nate FM discipline assignments		itional alternate FM discipline gic level (HQ, OSD, Joint Staff, etc. Inment career broadening
Build Tactical Leadership		Develop Operational Lead	The state of the s	Build Strategic Leadership	
Seek mentoring relationshipsJoin professional organizationsEstablish a professional network		 Expand mentoring relation Serve/lead professional Expand professional net 	committees	Coach and mentor othersServe/chair professional boardsBuild and maintain relationships	
Basic FM technical schools	Il schools • Intermediate FM training (e.g., DFM&CS, Army Comptroller Course - Syracuse)		 Advanced FM training (e.g., DRMI, CFO Academy, NPS, Senio Resource Managers Course - Syracuse) 		
 Primary developmental education (e.g., Acculturation training) 		 Intermediate developme (e.g., Intermediate Staff Co 	ntal education ollege & Fellowships)	 Senior developmental edu Eisenhower, School, Fellows 	cation (e.g., War College, hips, Harvard, Capitol Hill Worksho
Basic leadership training (e.g., DCE	LP)	 Intermediate leadership Supervisory, OPM Manage 	training (e.g., ELDP, ement Development Seminar)	Senior leadership training (e.g., DSLDP, FEI, Center for	Creative Leadership)
Acquisition Level I (if applicable)		 Acquisition Level II (if ap 	pplicable)	Acquisition Level III (if app	licable)
		 Pursue at least one FM- 	related test-based certification		lated test-based certification
DFMCP Level 1		DFMCP Level 2		DFMCP Level 3	
FM-related Associate's Degree		FM-related Bachelor's Degree		FM-related Master's Degree	





FM myLearn

FM myLearn e-catalog

- Catalogs over 6,600 FM and leadership-related education and training, mapped to FM Certification requirements
 - Active/Inactive DoD training
 - Active/Inactive Federal training
 - Commercial courses
 - Academic courses
- Includes registration/enrollment information, course descriptions, and eligibility requirements
- 76 OUSD(C)-developed web-based training courses







OUSD(C) Web-Based Training

4112 0					
Level 1	Course Hrs	Level 2	Course Hrs	Level 3	Course Hrs
DoD FM 101	24	Accounting	20	Accounting	8
Accounting	7	Finance/Financial	20	Finance/Financial	20
Finance	6	Budget	20	Budget	16.5
Budget	6	Payroll	10	FM Systems	4
Mil/Civ Pay	6	Commercial Pay	10	Decision Support	10
Decision Support	4	Audit	10	Audit Readiness	3.5
TOTAL HOURS	53	FM Systems	8	Ethics	3
Any course taken AFTER your initial certification may be used for CETs		Decision Support	8	Fiscal Law	4.5
		Audit Readiness	3	TOTAL HOURS	69.5
		Ethics	3		
		Fiscal Law	3		

 CETs are not restricted to your Certification Level



115

TOTAL HOURS





Leadership & Mentorship

- Expand mentoring relationships
- Join/Serve/Lead professional organizations
- Grow professional network
- Build and maintain relationships

Pass it on

"Before you are a leader, success is all about growing yourself. When you become a leader, success is all about growing others."

-Jack Welch



https://fmonline.ousdc.osd.mil/



Defense Civilian Emerging Leader Program (DCELP)

DCELP objectives:

- Develop a new generation of DoD civilian leaders
- Passuit individuals with the academic morit work experience and demonstrated landership skills to
- Offer rapid advancement, competitive compensation, and leadership opportunities to highly qualified civilian employees of the Department

Eligible applicants:

GS-07 through GS-12 DoD civilians performing FM duties

Application process (FY17 cohort):

- Application package available on DCPAS public website
- Components vet applicants internally and submit packages to OUSD(C) by 1 Aug 2016
- Final selection by OUSD(C); selectee packages due to DCPAS on 1 Sept 2016





Other Leader Development Programs

Executive Leadership Development Program (ELDP)

- Designed for high potential individuals who have the desire to progress into senior leadership roles in the Department
- Eligible applicants are GS 12-14 DoD civilians, active duty military O-3 and O-4 level and interagency organizations
- Application package and instructions available on DCPAS public website; deadline was 15 Apr 2016

Defense Senior Leader Development Program (DSLDP)

- Designed to develop senior DoD civilian leaders to excel in the 21st Century joint, interagency, and multi-national environment
- Eligible applicants must occupy, on a permanent basis, a position at GS-15/ equivalent or be a GS-14/equivalent with tremendous advancement potential (i.e., demonstrated work at the next higher level)
- Application package and instructions available on DCPAS public website; deadline is 1 Sept 2016

Deadlines for your Agency May Vary...Check with your Training Focal Point



Recently Approved: FM Exchange Pilot Program

- Authorized in the FY16 NDAA
- Pilot exchange program for non-traditional defense contractors and DoD employees (detail assignment for DoD employees)
- Duration will be between three months and one year, with SECDEF approval
- Purpose: For both parties to share best practices, gain a better understanding of financial management practices and challenges, and develop partnerships to address these challenges
- Program policy details forthcoming





USD(C) FM Awards Program

 Recognizes Military and Civilian teams and individuals who make outstanding contributions to the improvement of DoD financial management

Award Categories

- Contributions in budget formulation or execution
- Contributions in financial management (excluding budget)
- Contributions to financial improvement and audit readiness
- Contributions in financial management in a combat zone*

Award Types/Levels

- Types: Team and Individual
- Levels: Headquarters and Major Command; and Below Major Command
- Regulated by DoD FMR Volume 1 Chapter 6
- Q1 FY17: Nominations for CY16 awards scheduled to open
 - Nominations submitted through FM Awards site (available Fall 2016) located on FM Online



^{*}This category will only award one individual and one team, regardless of organizational level



DoD Recognized Test-based FM Certifications

Accredited Business Accountant (ABA)	Certified Government Financial Manager (CGFM)
Accredited in Business Valuation (ABV)	Certified in Financial Forensics (CFF)
Certified Accounts Payable Professional (CAPP)	Certified Information Systems Auditor (CISA)
Certified Business Manager (CBM)	Certified Internal Auditor (CIA)
Certified Cost Estimator/Analyst (CCE/A)	Certified Management Accountant (CMA)
Certified Cost Professional (CCP)	Certified Payroll Professional (CPP)
Certified Defense Financial Manager (CDFM)	Certified Public Accountant (CPA)
Certified Forensic Accountant (Cr.FA)	Certified Quality Auditor (CQA)
Certified Fraud Examiner (CFE)	Certified Treasury Professional (CTP)
Certified Government Audit Professional (CGAP)	Forensic Certified Public Accountant









FM Certification Update

FM Certification Program

- Implementation for Active Component/Defense Agencies in FY2014
- Implementation for Guard/Reserve completed FY2015

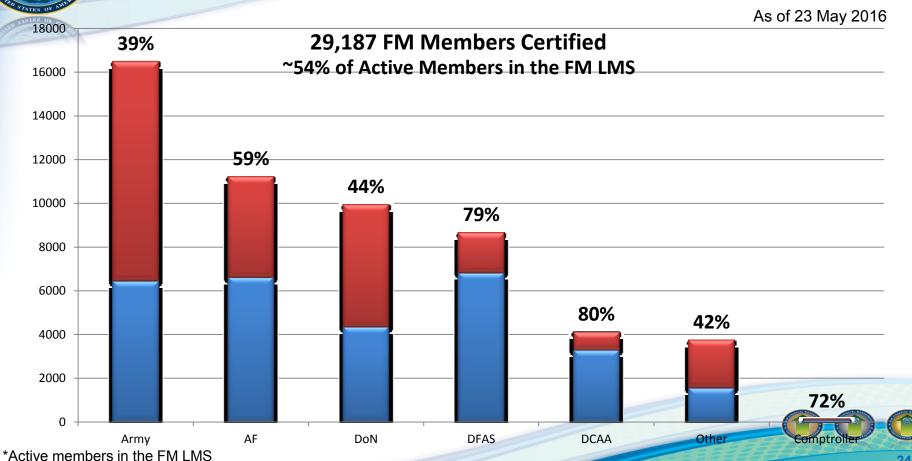
Progress to date:

- 29,187 (54%) certified as of 23 May 2016
- Developed 76 web-based courses; 3 more planned this FY
- Over 342,000 web-based course completions thus far!





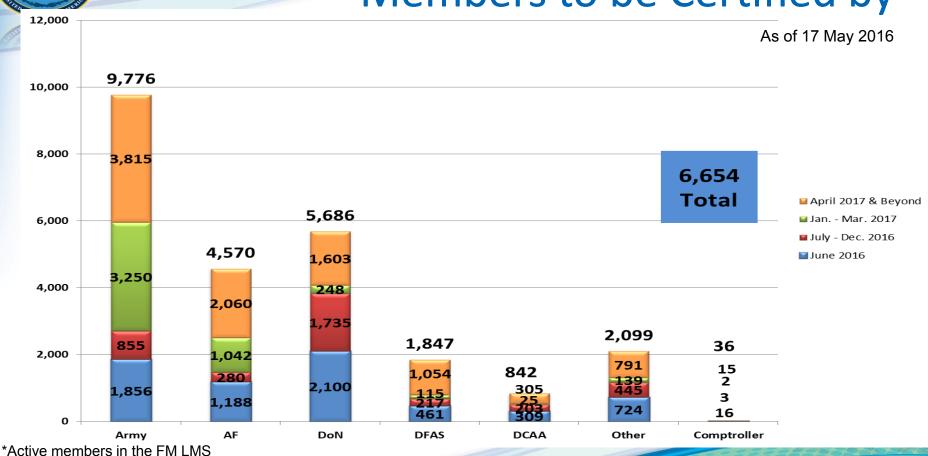
Percent Certified by Component*





with Due Dates assigned

Members to be Certified by*







Meet Your Certification Deadline!

- Many FM members have a 30 June 2016 deadline
- Per DoDI 1300.26 Encl 3, para 4.a.1, participants in the FM Certification Program have two years to obtain certification
- If unable to obtain/maintain FM Certification in the required two-year time period, may request a one-year time waiver from CCA
 - Certification is a condition of employment
 - If CCA denies waiver, or approved waiver expires, per DoDI the individual is subject to adverse personnel actions



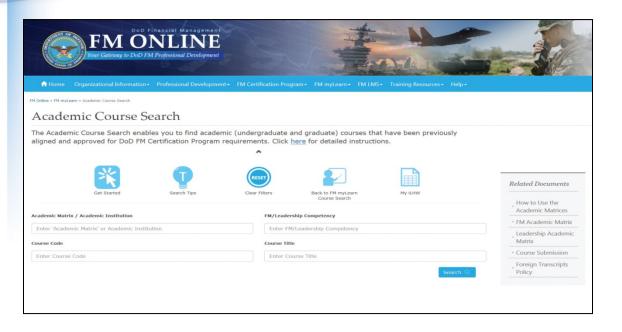
Policy Update: Time Extensions

If an FM member cannot meet his/her certification deadline:

- Member must submit a Time Extension Request (30-90 days prior to his/her initial certification deadline), with appropriate rationale selected:
 - Experience Years Not Met
 - DAWIA Requirements Not Met (for dual-coded FM members)
 - Deployment/Extended Reserve Component Training >180 days
 - Medical Situation for Self and/or Family Members
 - Other (requires detailed explanation)
- Member records learning for the time extension in the FM LMS and uploads Time Extension Request form
- CCA reviews and approves/disapproves request
- Approved request forwarded via FM LMS to OUSD(C) for QA review
- Member is notified via FM LMS of approval; Components will provide instructions on processes for updating personnel systems of record
- Time Extension Requests and instructions available on FM Online



Academic Course Search



Find academic courses that have been previously aligned and approved to meet FM Certification Program requirements.

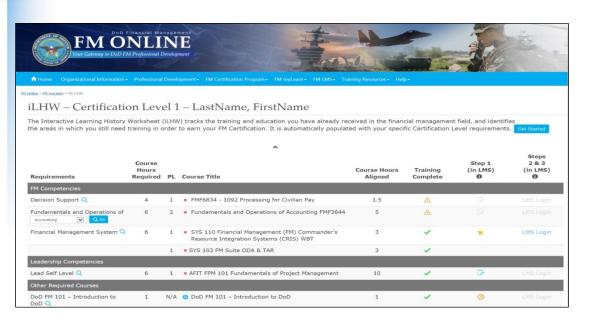
- Accessible from FM myLearn
- Automated Academic Matrix
- Filter by Institution, Course Title, Course Code, Competency

For detailed instructions, visit http://go.usa.gov/3hHD4.





Interactive Learning History Worksheet



For detailed instructions, visit http://go.usa.gov/ck6px.

Track your training history and identify training gaps in this automated worksheet.

- Auto-populated with program requirements
- Tracks training and education achievements
- Inter-operable with FM myLearn and Academic Course Search
- Record Learning (Step 1) with one click



Maintaining Certification: CET Requirements





Maintain your DFMCP by accruing a certain number of Continuing Education and Training (CET) credits every two years.

The number of CETs required is based on your level:

FM Certification Level 1 – 40 CETs

FM Certification Level 2 – 60 CETs

FM Certification Level 3 – 80 CETs

CETs must be recorded in the FM LMS – see the User Guide on FM Online for step-by-step instructions

DoD FM Certification Program... Making a Good Workforce Better!



CETs: What Counts

What counts for CET credit?

- FM and Leadership courses, symposiums or training events
 - CET hours: Total hours of course/symposium/training event
 - Example: Speaker briefing at local AGA meeting was 1 hour = 1 CET
- Courses or training used to satisfy continuing professional education requirements for any of the 20 approved FM-related Test-Based Certifications (e.g., CDFM, CPA)
 - CET Hours: Unit-for-unit (e.g., 1 CPE = 1 CET)
- Courses or training used to satisfy continuing professional education for Acquisition (DAWIA) certifications (e.g., 1 CLP = 1 CET)
- Courses listed in FM myLearn e-catalog (including OUSD(C)-developed web-based training)
 - CET hours: Number of aligned hours listed as shown in FM myLearn
- Academic FM and Leadership courses
 - CET hours: 1 semester hour course = 15 CETs; 1 quarter hour = 10 CETs





CET Tracking, Audits & Time Extensions

Tracking CETs

- Record Learning for all CETs in the FM LMS with the appropriate number of hours
- CET documentation not uploaded in the FM LMS, but maintain in your personal files

CET Audits

- Records are randomly selected for audit on a monthly basis
- Components will contact individuals to collect CET documentation

CET Time Extension Policy

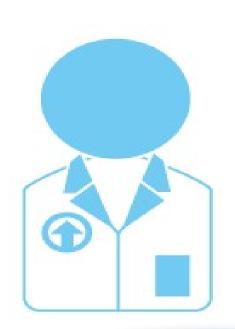
- If you will be unable to achieve required CETs within your two-year time frame you must request a time extension from your CCA and be approved in the FM LMS prior to your CET deadline
- Extension of CETs for the given time frame does not delay the start date of your next twoyear CET cycle
- Policy and procedures for a time extension coming soon



Certification Help at National PDI

FM Certification Lab

- The OSD Comptroller team is at your disposal at National PDI in Orlando
- Get one-on-one assistance from the experts anything from answering questions to providing FM LMS assistance
- Appointments available 31 May 3 June
- Sign up online today at http://go.usa.gov/c7aHa
- Walk-in appointments available; first-come, first-served







FM Certification Resources

available @FM Online or join us on LinkedIn

Quarterly Newsletter



Certification Update Lessons Learned Best Practices

Practical Exercise



Follow "Kelly," a GS-14 through each step of certification

Competencies & Proficiency Levels



Defines each of the 17 FM competencies and provides examples at each Proficiency Level.

Frequently Asked Questions



Compilation of over 100 questions, organized by category

DoD FM Certification Handbook



Program background & overview
Detailed requirement descriptions
Checklist to get started

Overview and Training Videos



Video series introducing key elements of program

FM myLearn



E-catalog of FM courses aligned to FM and leadership competencies.

LMS Job Aids



Provide step-by-step instructions for all FM LMS tasks.

Requirements Datasheets

Outline requirements for each level

http://ow.ly/sZfDv



Learning History Worksheets (LHW)



Organize your prior training using these worksheets

DAWIA/FM Certification LHWs



Pre-populated w/ acquisition courses helping you focus

LMS User Quick Start Guide



Outlines the Three-step Process for achieving certification using the FM LMS







Wrap up

- FM Workforce Demographics
- Strategic Workforce Planning
- GAO Green Book as Basis for Workforce Development
 - Expectations of Competence
 - Recruitment, Development, and Training
- FM Certification
- Q&A