

# POSITIONING AND APPLICATIONS



4.0 ANNOUNCEMENT  
 POSITIONING AND APPLICATION SYMPOSIUM IAG COM. 4  
 WUELS WROCLAW POLAND  
 2016 09 04 2016 09 07  
 51.11283 17.063761 3835751.626 -1177249.744 4941605.054  
 1 Emerging Positioning Technologies  
 2 Geospatial Mapping and Engineering Applications  
 3 Atmosphere Remote Sensing  
 4 Multi-Constellation GNSS

RIME% VERSION / TYPE  
 EVENT NAME / AGENCY  
 LOCATION / CITY / COUNTRY  
 TIME START / END  
 APPROX POSITION B / L / XYZ  
 SESSION NO / TOPIC  
 SESSION NO / TOPIC  
 SESSION NO / TOPIC  
 SESSION NO / TOPIC



# Efficient geo-referencing and analysis of terrestrial laser scanning data for slope stability assessments

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Joe Wartman



Keith Cunningham



# Motivation

- Long, isolated highways
- Proactive Risk Assessment – Performance-based Asset Management
- Identify priority locales for remediation, detailed monitoring
- Limited personnel
- Less money



# Scanning – is it the solution?

- Detail
- Accuracy
- GNSS Quality in heavily vegetated canyon
- Time Consuming – acquisition and processing
- Skill required for processing and analysis
- Where is the magic button?
- Can we make this more efficient/systematic?

*Disclaimer – this image is mobile lidar data*

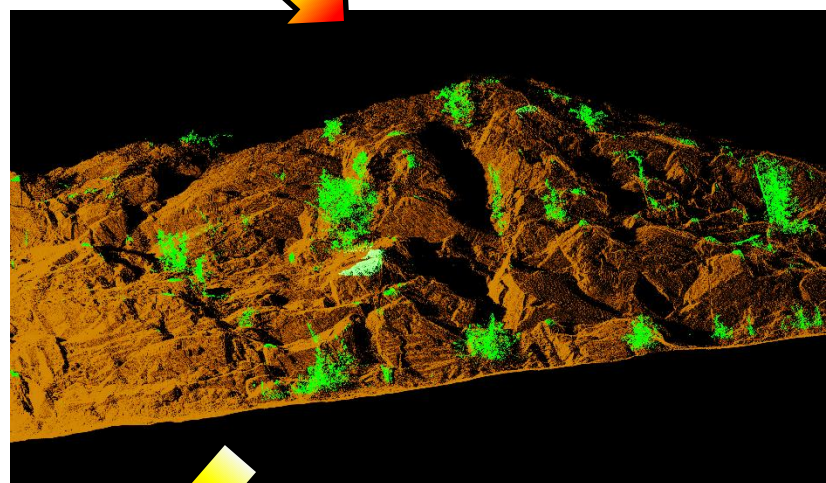
Collect



Geo-reference/Register

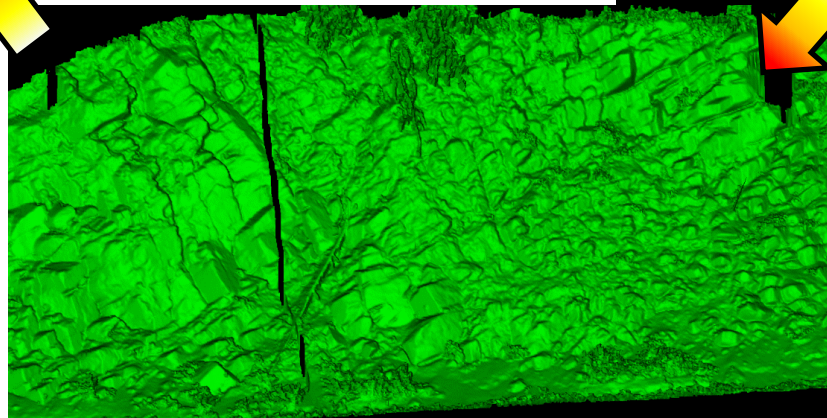


Filter\Clean

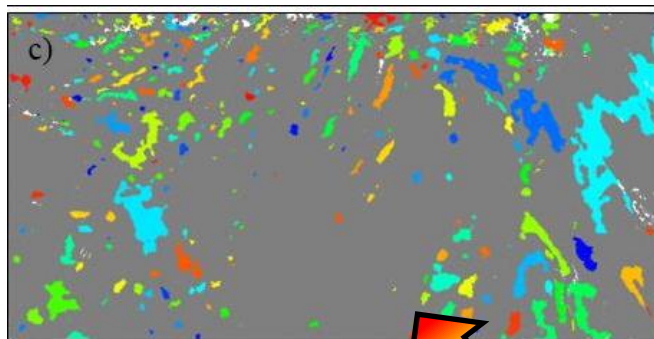


The Process

Model

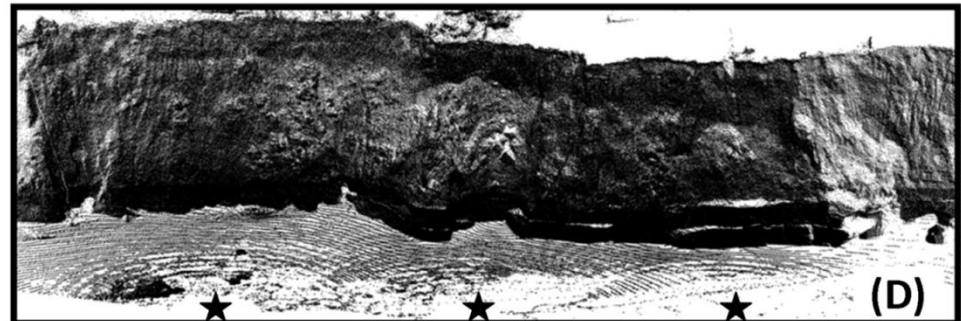
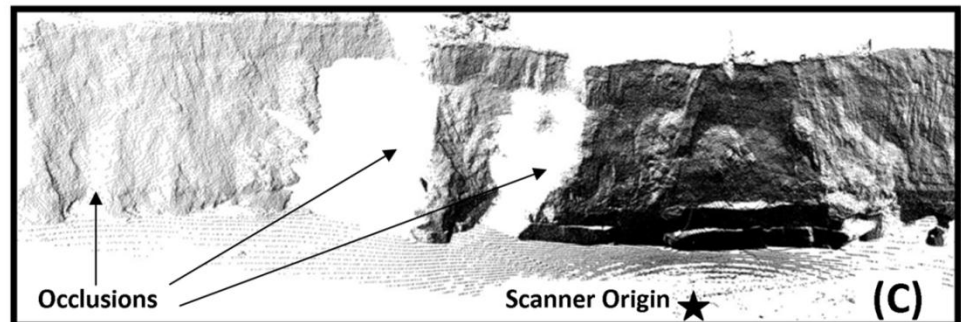
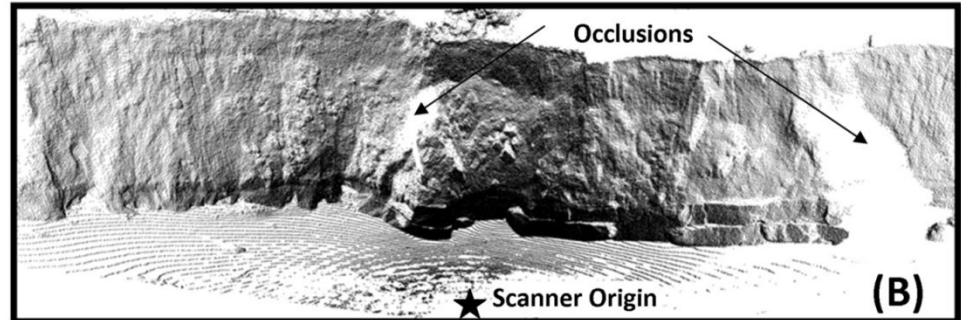
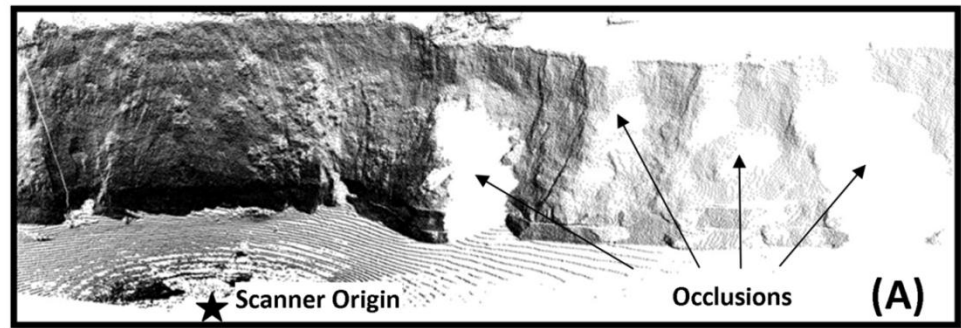
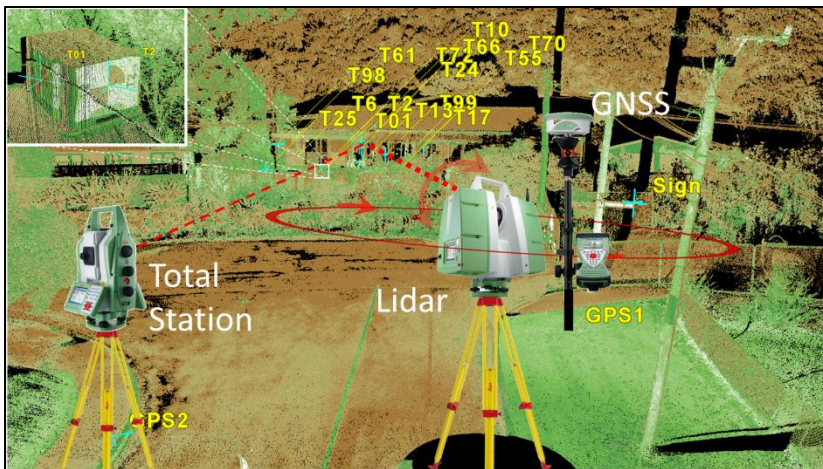


Analyze



# Point Cloud Registration

- Direct Geo-reference
- Target-based
- Iterative Closest Point
- Feature-based
- Mixed



# Geo-referencing

## 6 DOF

- GNSS coordinate at each scan location (translation X,Y,Z)
- Dual Axis Tilt/Level Compensator (rotation X,Y)
- Digital compass reading or back-sight (~ rotation Z)
- *Point Reg*- Registration to improve rotation Z and translation Z estimates.

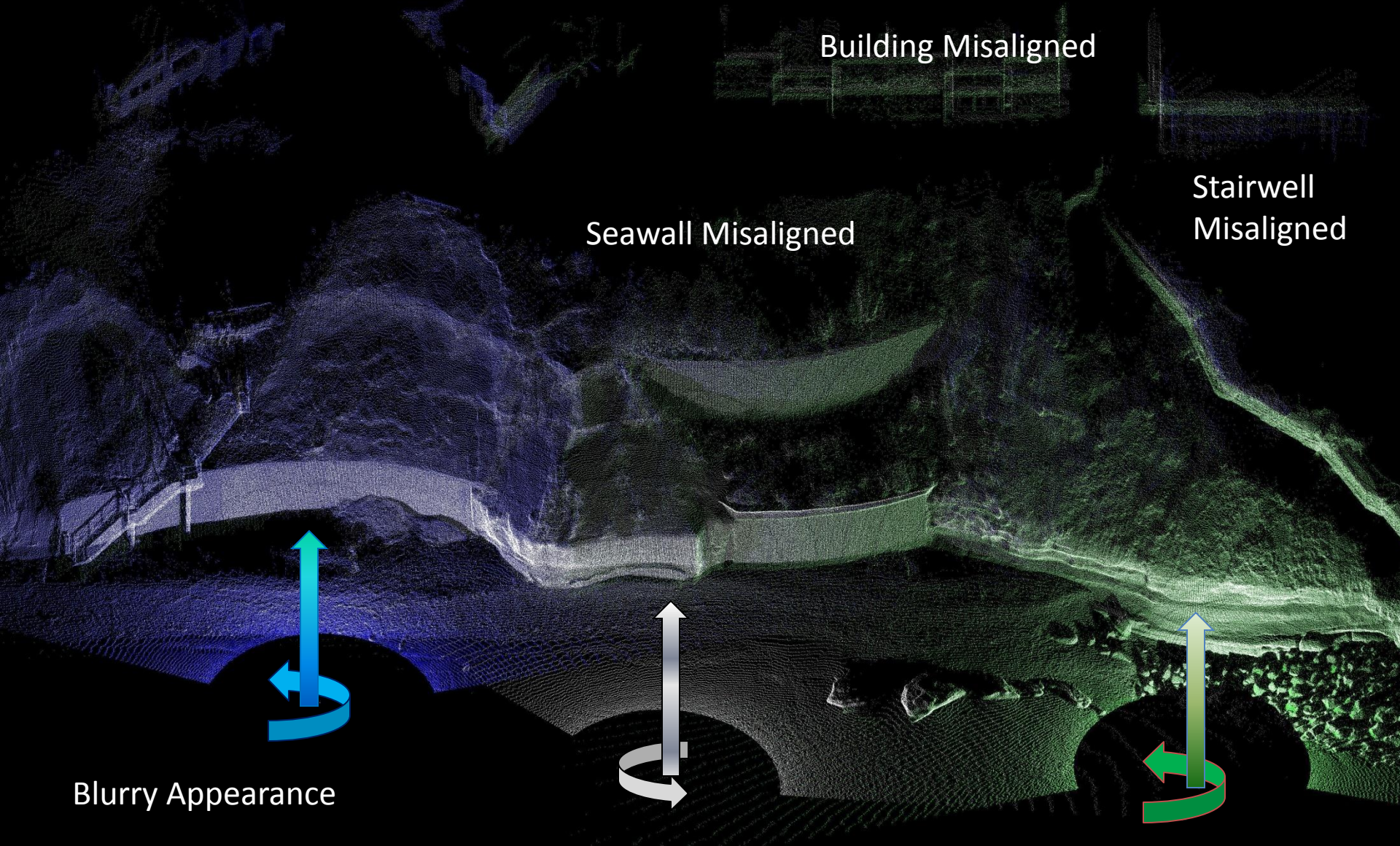
GPS Receiver

Laser Scanner

Laptop Controller



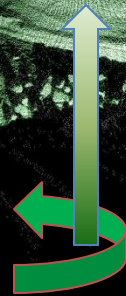
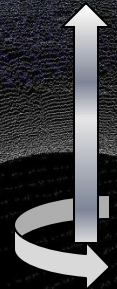
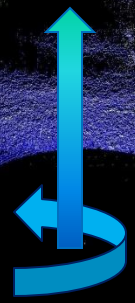
# Registered only using estimated rotation



Building Misaligned

Seawall Misaligned

Stairwell Misaligned



Blurry Appearance

# Least squares solution

Formulation:

$$\begin{pmatrix} v_{x1} & v_{y1} \\ v_{x2} & v_{y2} \\ \vdots & \vdots \\ v_{xn} & v_{yn} \end{pmatrix} = \begin{pmatrix} x_{B1} - X_{B0} & y_{B1} - Y_{B0} \\ x_{B2} - X_{B0} & y_{B2} - Y_{B0} \\ \vdots & \vdots \\ x_{Bn} - X_{B0} & y_{Bn} - Y_{B0} \end{pmatrix} \begin{bmatrix} \cos \alpha_B & \sin \alpha_B \\ -\sin \alpha_B & \cos \alpha_B \end{bmatrix} + \begin{pmatrix} X_{B0} & Y_{B0} \\ X_{B0} & Y_{B0} \\ \vdots & \vdots \\ X_{B0} & Y_{B0} \end{pmatrix} - \begin{pmatrix} x_{A1} & y_{A1} \\ x_{A2} & y_{A2} \\ \vdots & \vdots \\ x_{An} & y_{An} \end{pmatrix}$$

Sum of the squares of the errors:

$$V_{SS}^2 = v_{x1}^2 + v_{y1}^2 + v_{x2}^2 + v_{y2}^2 + \dots + v_{xn}^2 + v_{yn}^2$$

Minimizing the sum of the squares of the errors:

$$\frac{\partial V_{SS}^2}{\partial \alpha_B} = \frac{\partial v_{x1}^2}{\partial \alpha_B} + \frac{\partial v_{y1}^2}{\partial \alpha_B} + \frac{\partial v_{x2}^2}{\partial \alpha_B} + \frac{\partial v_{y2}^2}{\partial \alpha_B} + \dots + \frac{\partial v_{xn}^2}{\partial \alpha_B} + \frac{\partial v_{yn}^2}{\partial \alpha_B} = 0$$

Solution:

$$\alpha_B = -\tan^{-1} \left( \frac{\overline{\Delta y}}{\overline{\Delta x}} \right) = -\text{atan2}(\overline{\Delta y}, \overline{\Delta x})$$

$$\overline{\Delta y} = \sum_{i=1}^n x_{Ai} y_{Bi} - \sum_{i=1}^n y_{Ai} x_{Bi} + y_{B0} \left( \sum_{i=1}^n x_{Bi} - \sum_{i=1}^n x_{Ai} \right) + x_{B0} \left( \sum_{i=1}^n y_{Ai} - \sum_{i=1}^n y_{Bi} \right)$$

$$\overline{\Delta x} = \sum_{i=1}^n x_{Ai} x_{Bi} + \sum_{i=1}^n y_{Ai} y_{Bi} - y_{B0} \left( \sum_{i=1}^n y_{Ai} + \sum_{i=1}^n y_{Bi} \right)$$

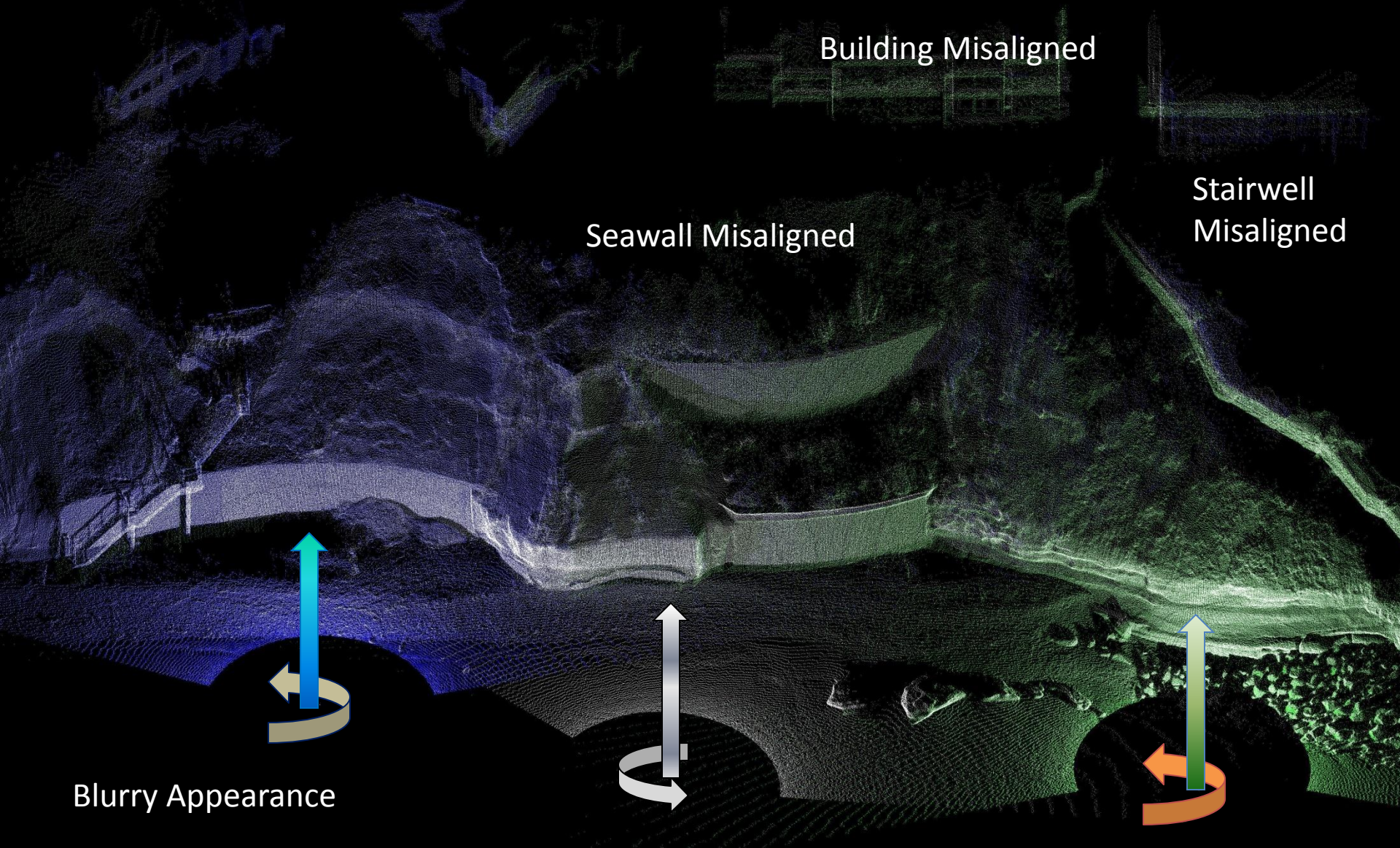
$$-x_{B0} \left( \sum_{i=1}^n x_{Ai} + \sum_{i=1}^n x_{Bi} \right) + n(y_{B0}^2 + x_{B0}^2)$$



# Elevation Adjustments

- Before matching scans, the X,Y,Z position of the scanner origin is adjusted for an out of level setup.
- Weighted least squares adjustment to allow each scan to move in Z, proportional to a weight ( $1/\sigma_z^2$ ) comparing all its neighboring scans Iterate

# Registered only using estimated rotation



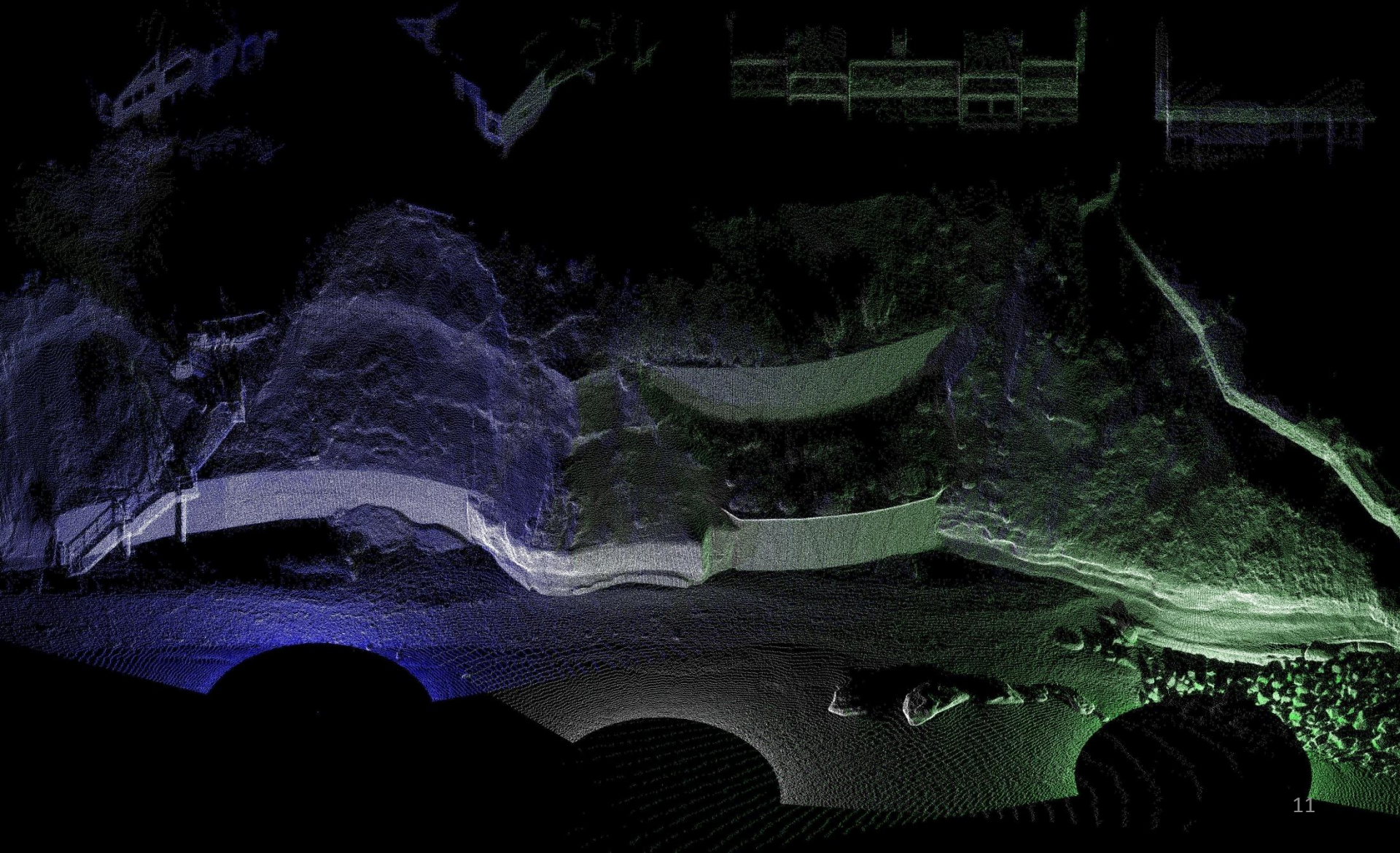
Building Misaligned

Seawall Misaligned

Stairwell  
Misaligned

Blurry Appearance

# Geo-referenced by *PointReg*



# Test Survey

- Site Glitter Gulch near Denali, Alaska
- 36 scans for 1.5 km along highway (~40 m)
- 5 static (2hr +) GNSS ground control points, 13 rapid static (20 min)
- Total Station (10 setups) used to link ground control points (pair spaced every 50 m) to lidar targets
- Control network processed in StarNet
- Reference laser scan data processed in Leica Cyclone using targets and cloud-cloud matches.



# Coordinate Comparison

Statistics	OPUS-RS* (m)				RTK-Lib# (m)			
	$\Delta X$	$\Delta Y$	$\Delta Z$	$\Delta 3D$	$\Delta X$	$\Delta Y$	$\Delta Z$	$\Delta 3D$
N	32	32	32	32	29	29	29	29
Average	0.0056	0.0047	0.0688	0.0723	<b>0.0186</b>	<b>0.0071</b>	<b>0.0009</b>	<b>0.0260</b>
Std Dev	0.0088	0.0181	0.0309	0.0313	0.0078	0.0125	0.0112	0.0073
Min	-0.0127	-0.0363	-0.1153	0.0139	-0.0057	-0.0353	-0.0239	0.0128
Max	0.0261	0.0581	-0.0099	0.1200	0.0308	0.0341	0.0242	0.0432
RMSE	0.0118	0.0185	0.0752	0.0786	<b>0.0201</b>	<b>0.0142</b>	<b>0.0110</b>	<b>0.0270</b>
95%conf	0.0254	0.0362	<b>0.1475</b>	0.1270	<b>0.0394</b>	<b>0.0279</b>	<b>0.0216</b>	<b>0.0437</b>

- \*L2C on Trimble Receivers (R8) affects P2 data such that it won't work in OPUS-RS (Smith et al. 2014).
- #Results in Leica Geo-Office (exporting Rinex 3.03, not 2.11) were very similar. Rinex 2.11 did not process in LGO.
- Some stations would process in LGO but not RTK-lib and vice-versa.
- Base Station located within 0.1 to 2.0 km of points
- RTK-lib processing against CORS GRNX (15km) Yields  $\Delta 3D = 0.06$  m @95% Conf.

# Ground Control Point Comparison

Statistics	OPUS-RS (18)				RTKLib (14)			
	$\Delta X$	$\Delta Y$	$\Delta Z$	$\Delta 3D$	$\Delta X$	$\Delta Y$	$\Delta Z$	$\Delta 3D$
Average	-0.0034	-0.0057	0.0087	0.0254	-0.0158	-0.0072	-0.0057	0.0213
Std. Dev	0.0081	0.0091	0.0271	0.0181	0.0082	0.0071	0.0085	0.0077
Min	-0.0168	-0.0211	-0.0617	0.0030	-0.0298	-0.0209	-0.0210	0.0077
Max	0.0130	0.0140	0.0572	0.0636	-0.0001	0.0058	0.0080	0.0339
RMS	<b>0.0086</b>	<b>0.0105</b>	<b>0.0277</b>	<b>0.0309</b>	<b>0.0176</b>	<b>0.0099</b>	<b>0.0099</b>	<b>0.0226</b>
95%conf	<b>0.0168</b>	<b>0.0207</b>	<b>0.0543</b>	<b>0.0499</b>	<b>0.0345</b>	<b>0.0195</b>	<b>0.0195</b>	<b>0.0365</b>

- RTK-lib results are GPS+Glonass, OPUS is GPS-only
- RTK-lib results with GPS-only are very similar
- Base Station within 0.1-2.0 km, nearest CORS – 15km

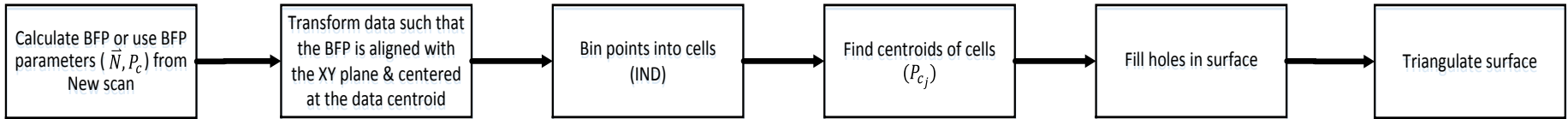
# Point Reg Results

Statistics	Point Reg (OPUS-RS)*				Point Reg (RTK-Lib)#			
	$\Delta Rx$	$\Delta Ry$	$\Delta Rz$	$\Delta Z$	$\Delta Rx (^{\circ})$	$\Delta Ry(^{\circ})$	$\Delta Rz (^{\circ})$	$\Delta Z (m)$
<b>N</b>	30	30	30	30	29	29	29	29
<b>Average</b>	-0.0007	0.0008	-0.0043	0.0718	-0.0003	0.0015	-0.0055	<b>-0.0026</b>
<b>Std. Dev</b>	0.0067	0.0065	0.0099	<b>0.0161</b>	0.0072	0.0067	0.0184	<b>0.0065</b>
<b>Min</b>	-0.0322	-0.0250	-0.0331	0.0281	-0.0322	-0.0250	-0.0789	-0.0138
<b>Max</b>	0.0085	0.0132	0.0154	0.1099	0.0121	0.0132	0.0290	0.0101
<b>RMS</b>	0.0066	0.0064	0.0106	0.0735	0.0071	0.0067	0.0188	0.0068
<b>95%conf</b>	0.0130	0.0126	<b>0.0209</b>	0.1441	0.0138	0.0132	<b>0.0369</b>	<b>0.0134</b>

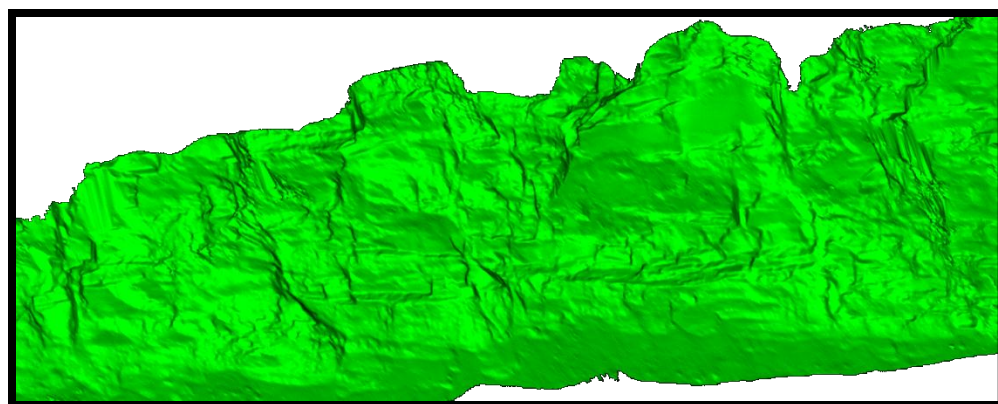
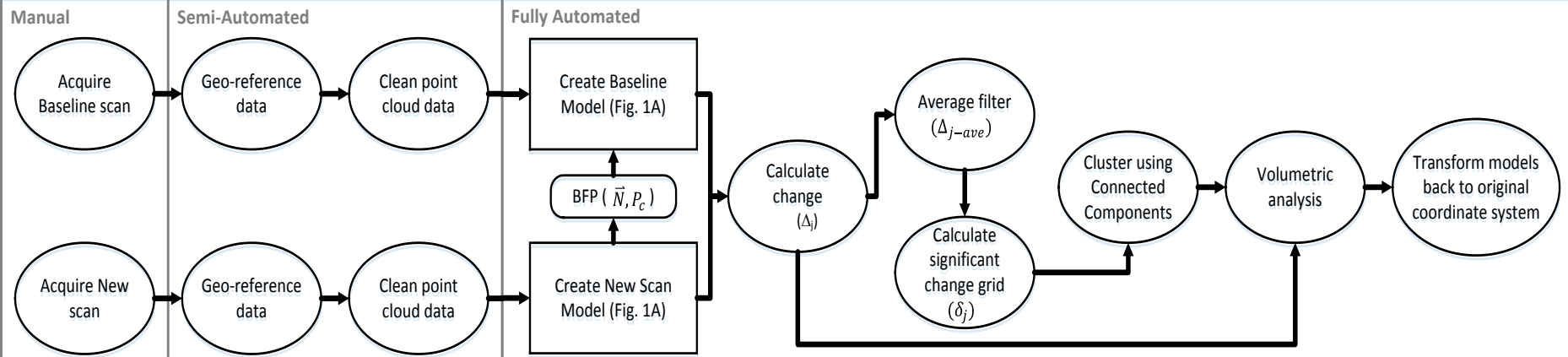
- For OPUS, reduction of Std. Dev by ½ to 0.0161 m
- Reduction of  $\Delta Z$  @95% confidence by ½ to 0.0134 m for RTKlib
- Rz agrees within 0.0209 - 0.0369 degrees, which is near the approximate sampling of the scanner (0.022°)

# Modeling Secret Sauce

## A) Model Creation



## B) Model Analysis



Remote Sens. 2015, 7, 12103-12134; doi:10.3390/rs70912103

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ISSN 2072-4292

www.mdpi.com/journal/remotesensing

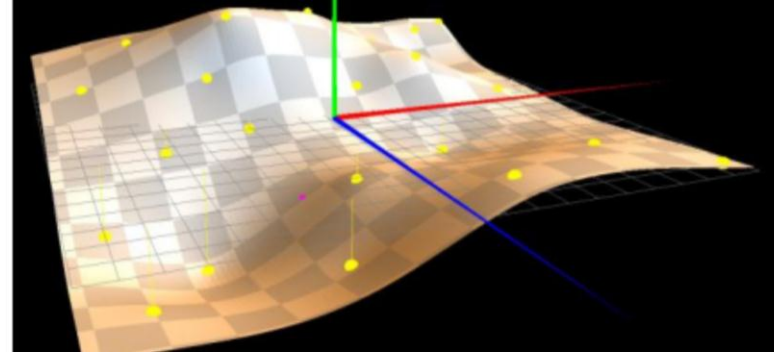
Article

**To Fill or Not to Fill: Sensitivity Analysis of the Influence of Resolution and Hole Filling on Point Cloud Surface Modeling and Individual Rockfall Event Detection**






Michael J. Olsen <sup>1,\*</sup>, Joseph Wartman <sup>2</sup>, Martha McAlister <sup>1,†</sup>, Hamid Mahmoudabadi <sup>1,†</sup>, Matt S. O'Banion <sup>1,†</sup>, Lisa Dunham <sup>2</sup> and Keith Cunningham <sup>3</sup>

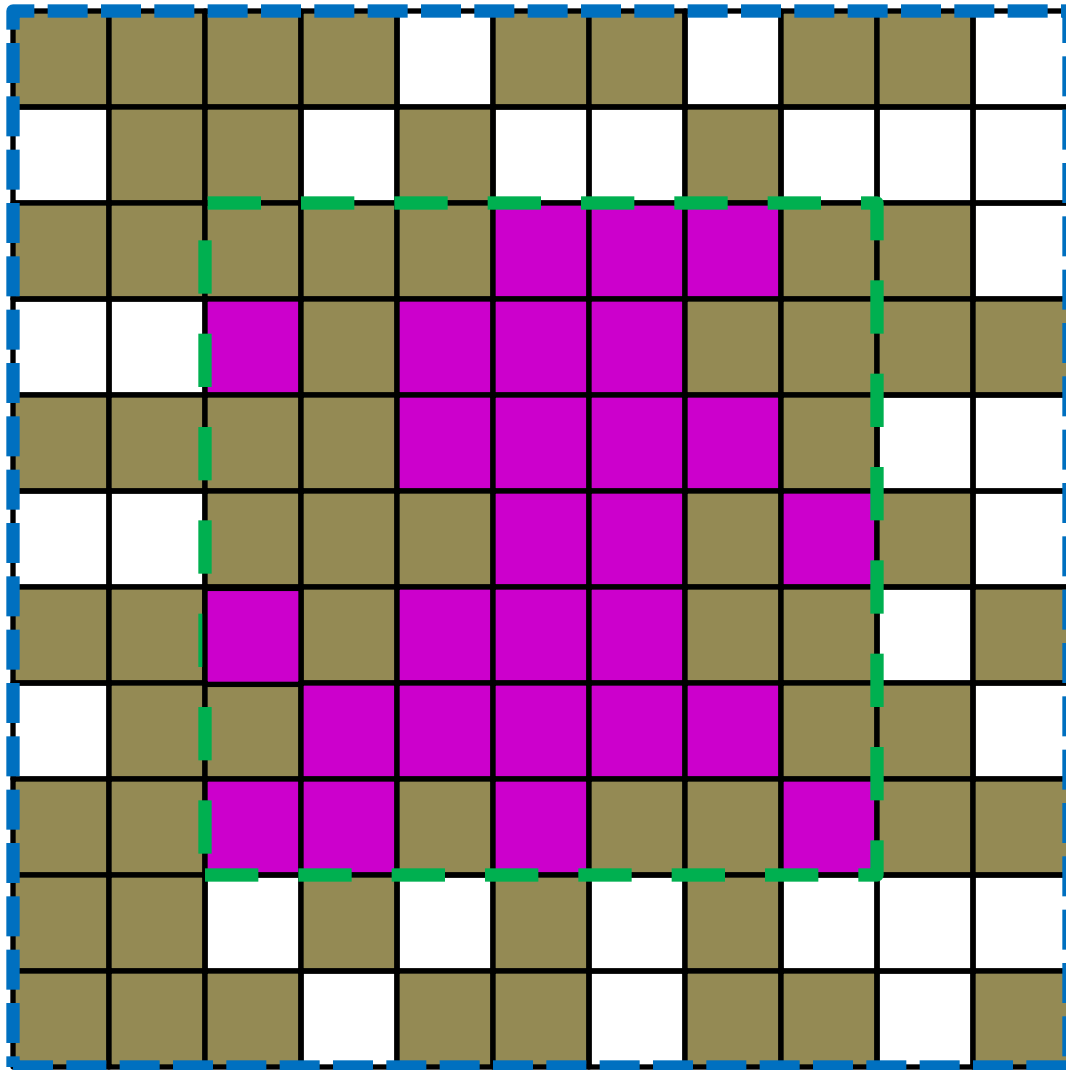


# Hole Filling Process

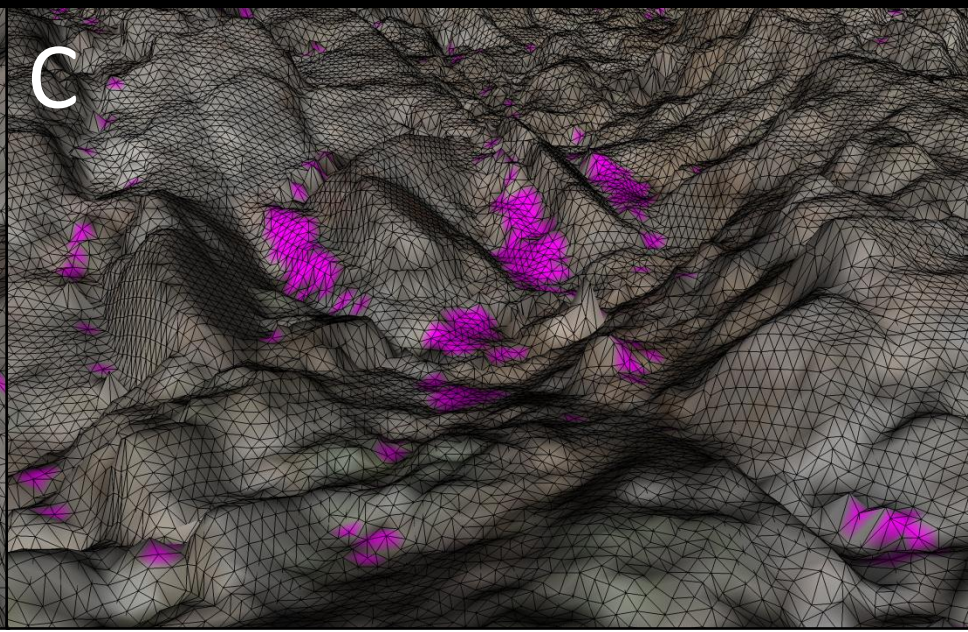
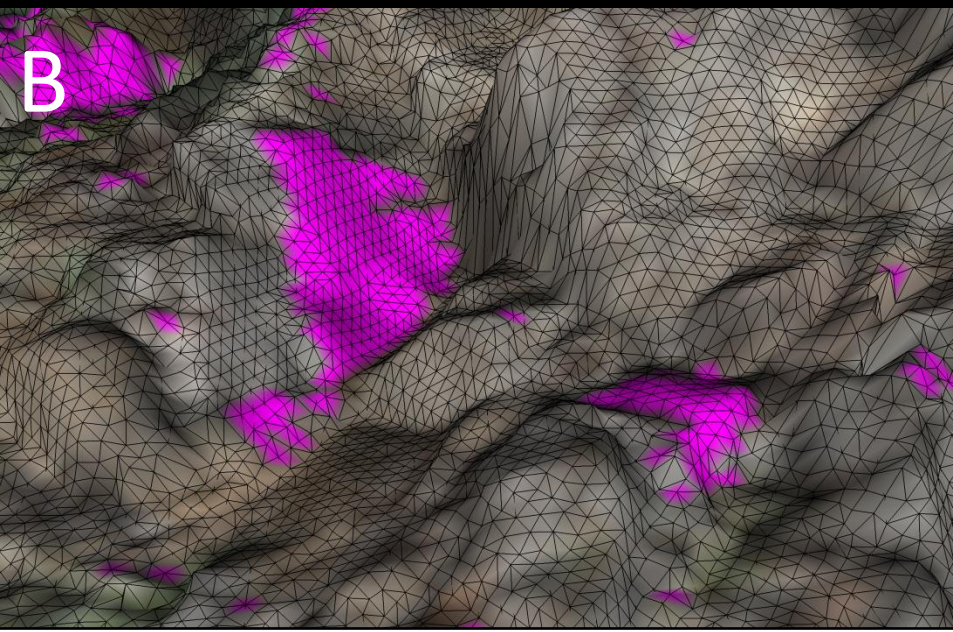
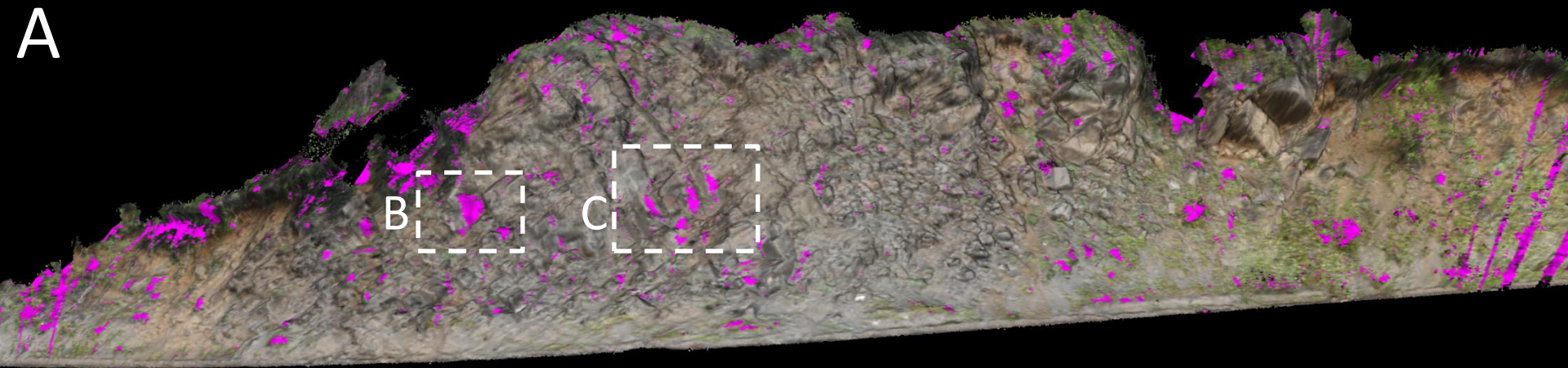


## Legend

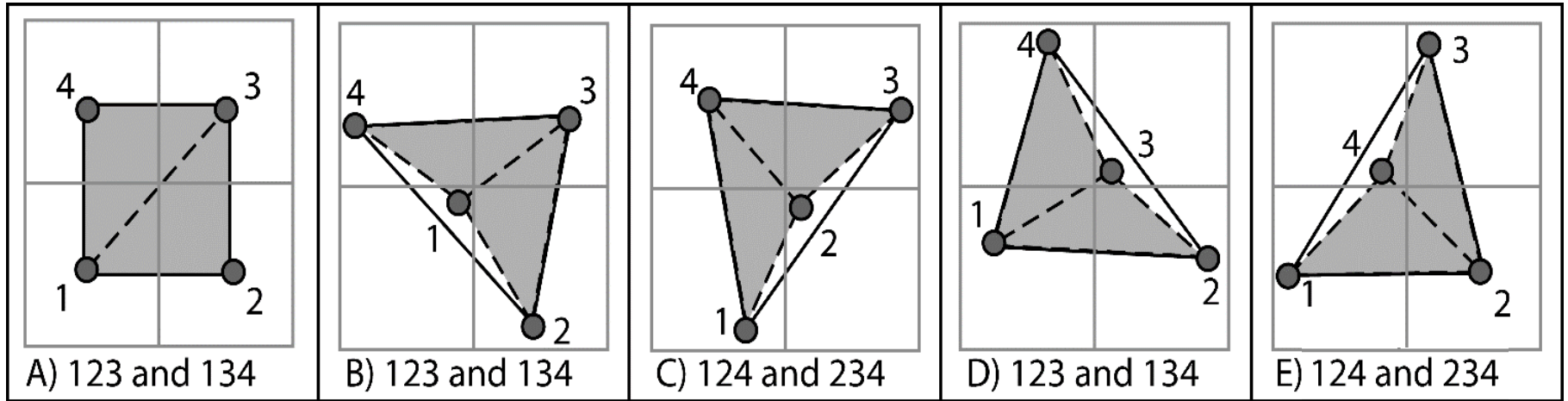
-  Cell with data whose centroid is used to compute the TPS
-  Cell with no data where a "centroid" is interpolated from the TPS
-  Cell with no data that is not filled with this TPS but will likely be filled with the TPS computed from the next overlapping search window.
-  Search window for centroid points to compute the TPS
-  Search window for cells to fill with the TPS



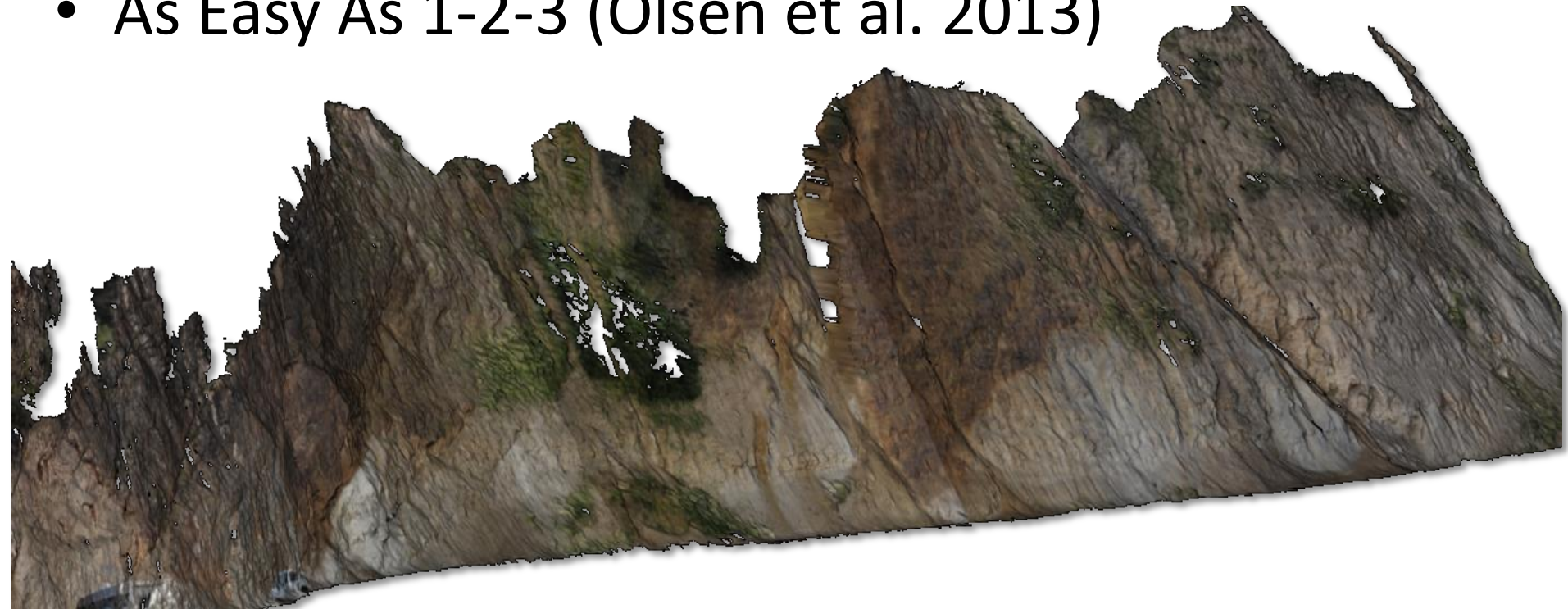
# Hole Filling



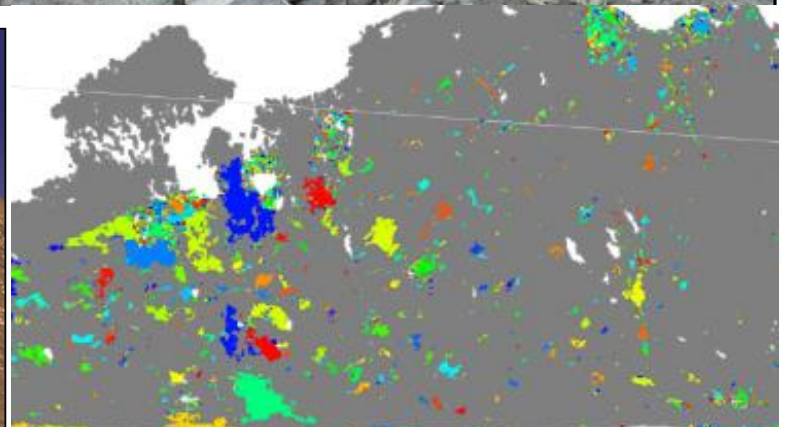
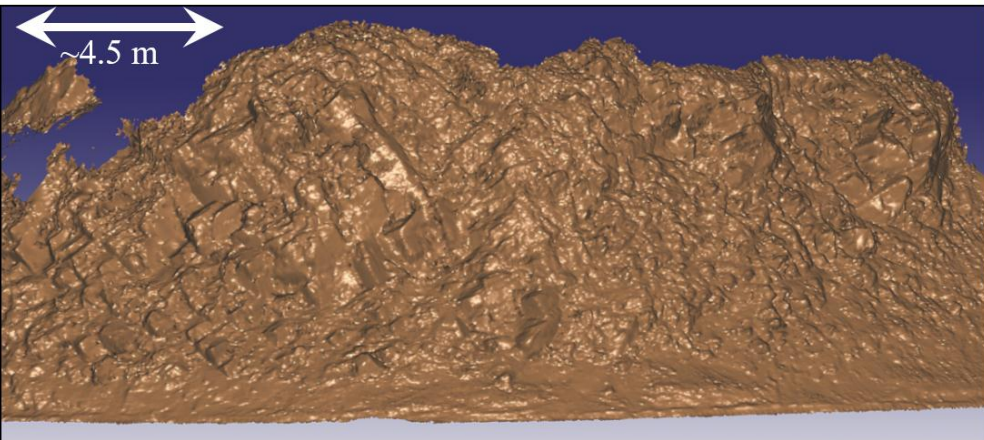
# Triangulation rules



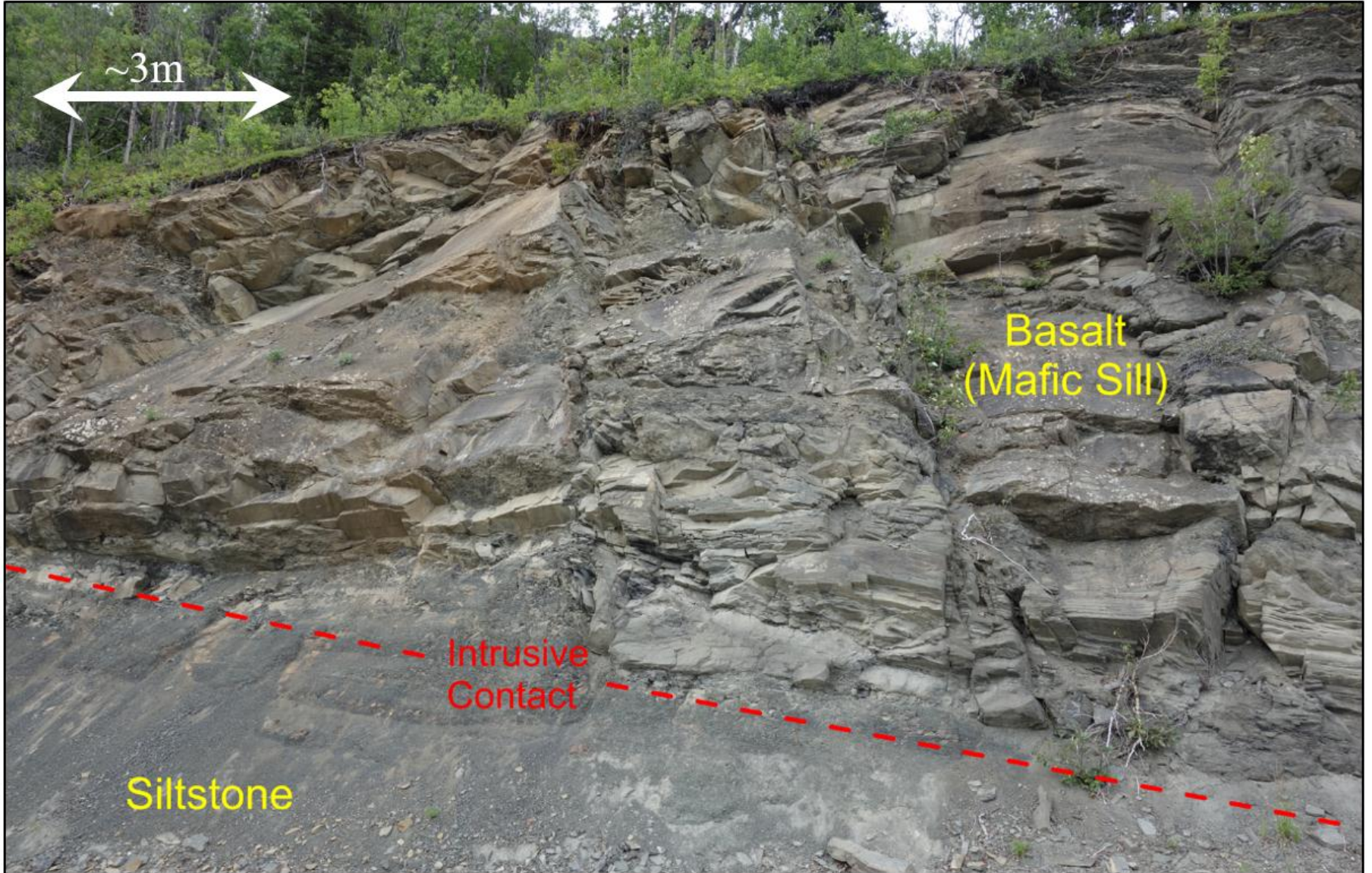
- As Easy As 1-2-3 (Olsen et al. 2013)



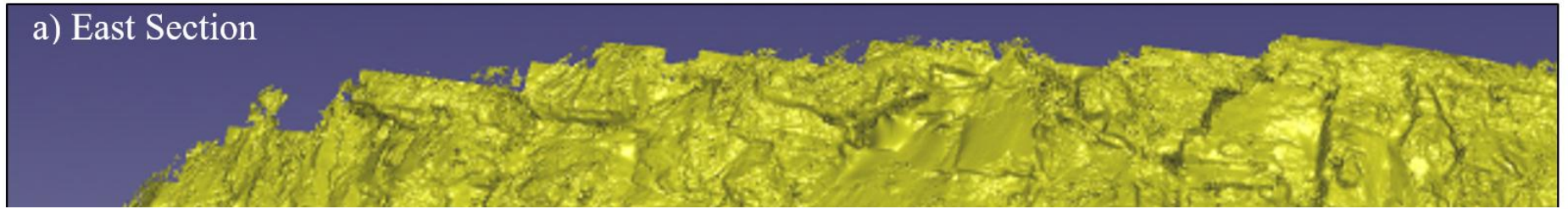
# Site A (LL85.5)



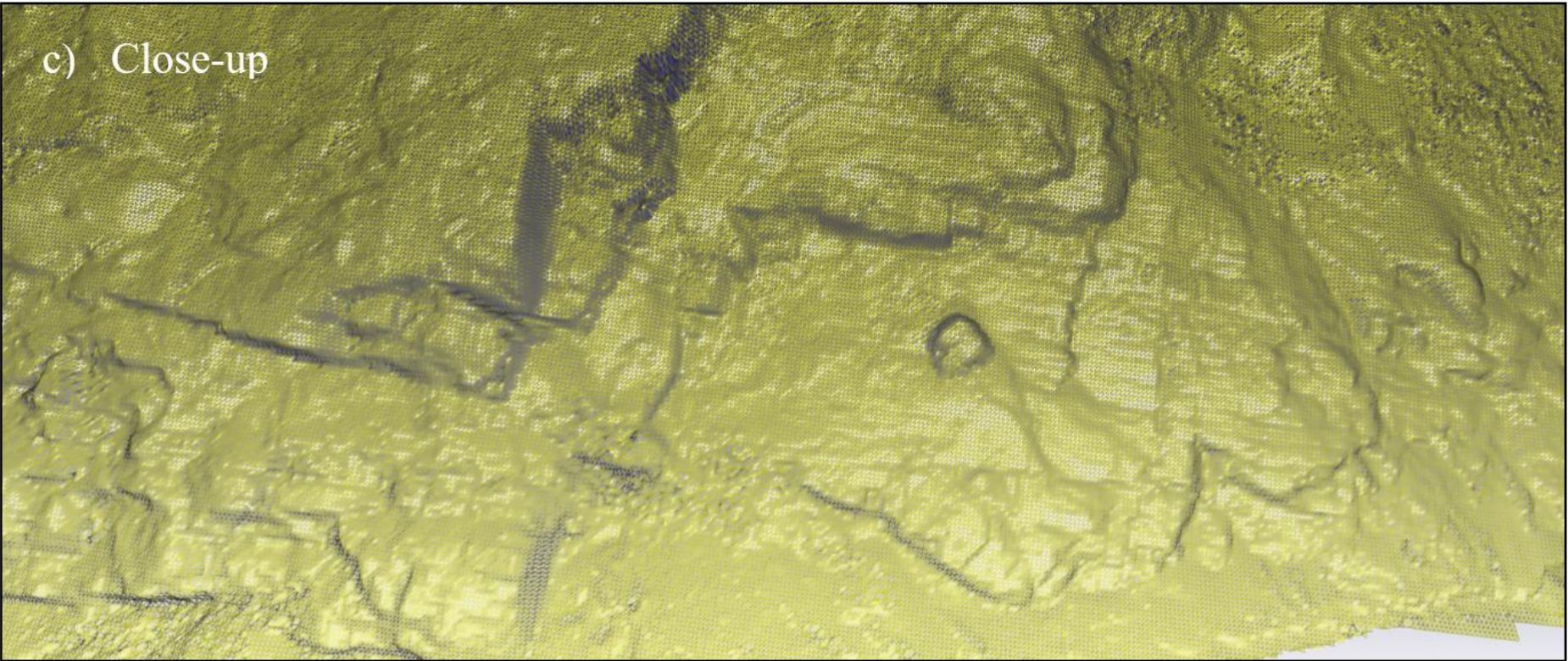
# Site B (LL87)



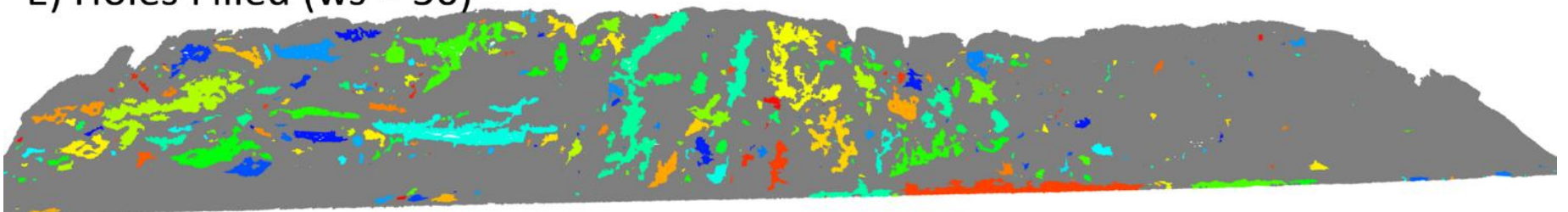
a) East Section



c) Close-up

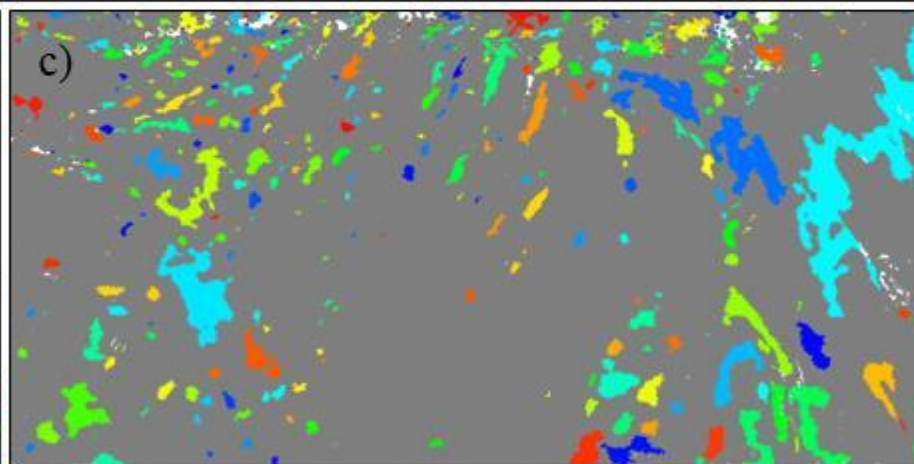
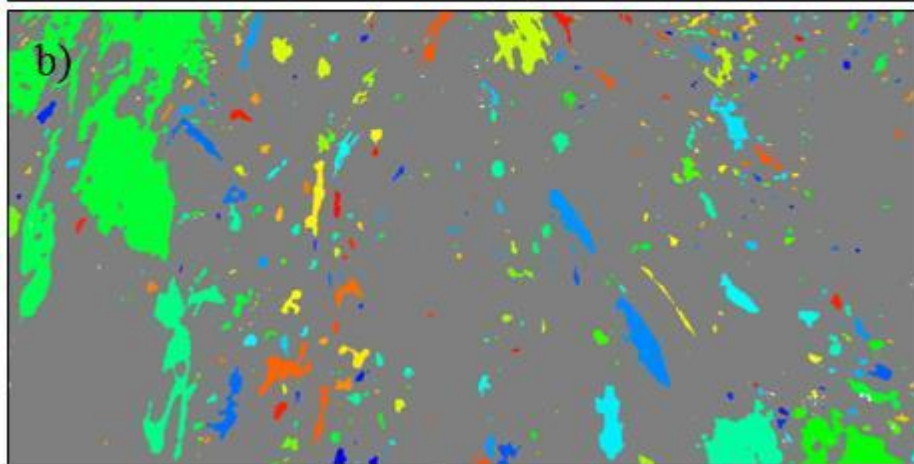
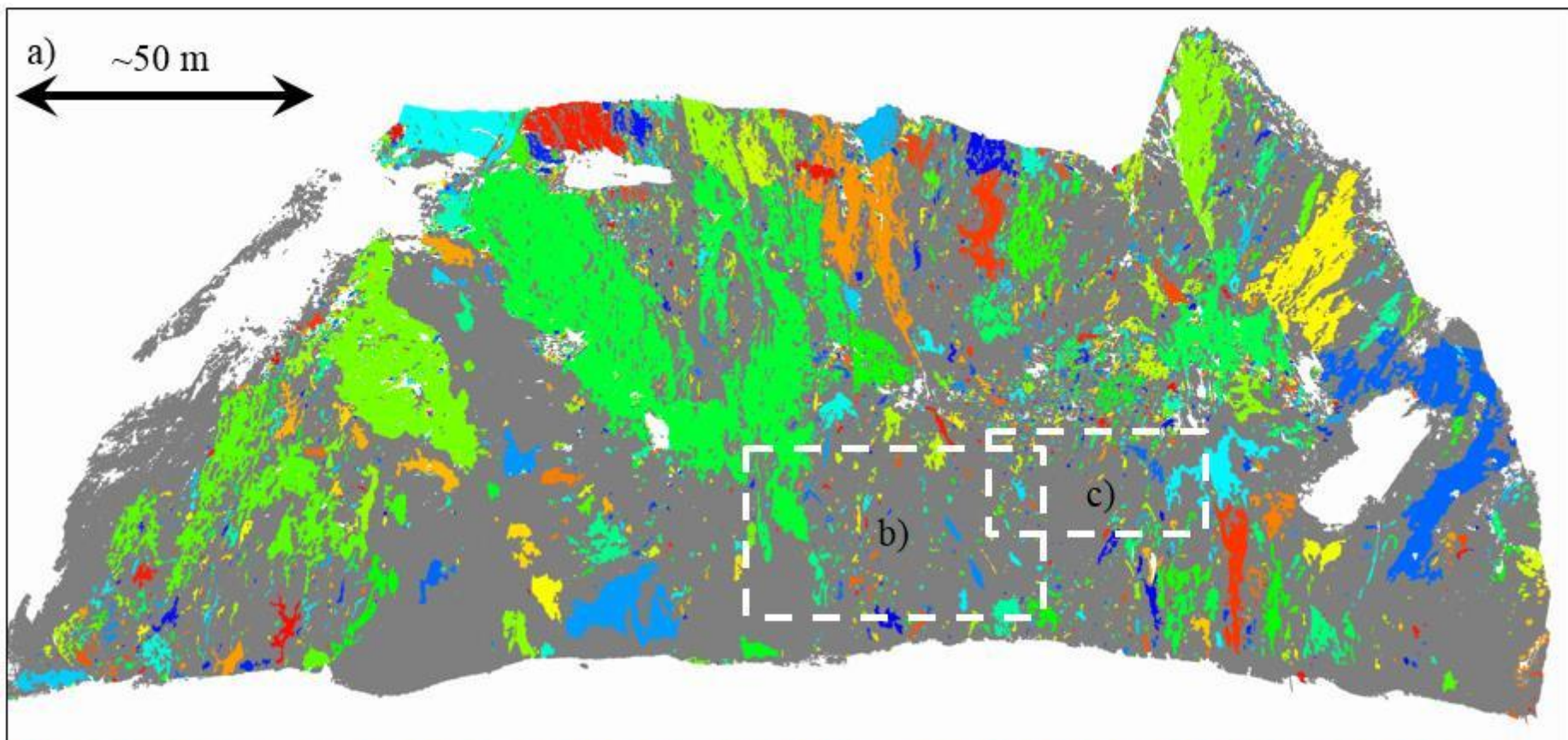


E) Holes Filled (ws = 50)



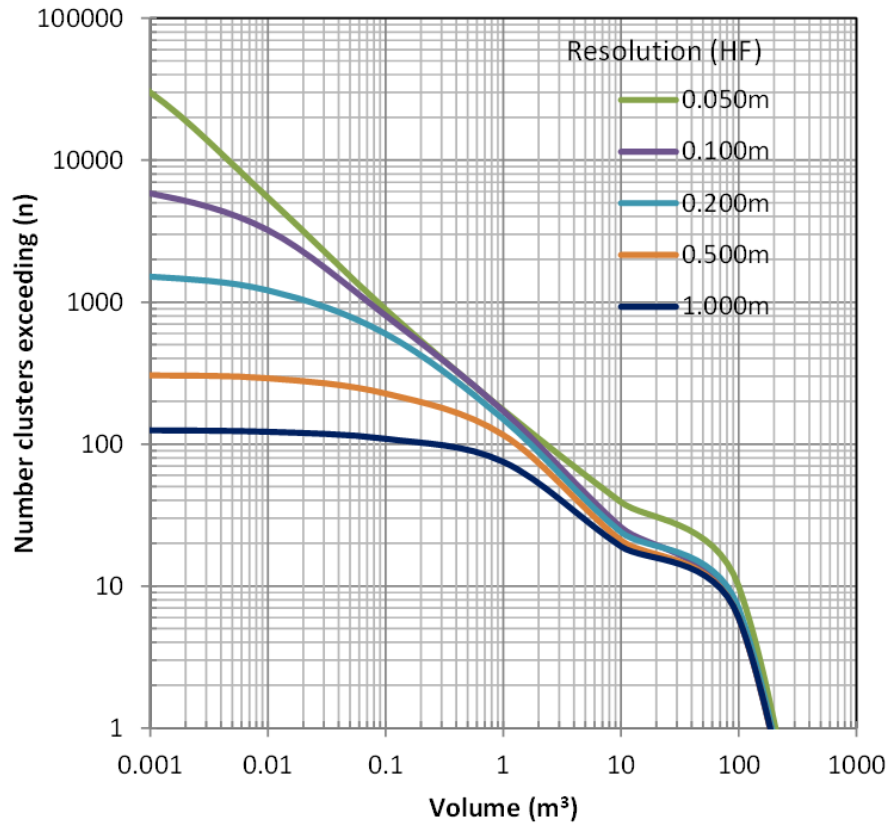
# Site C (GG239)



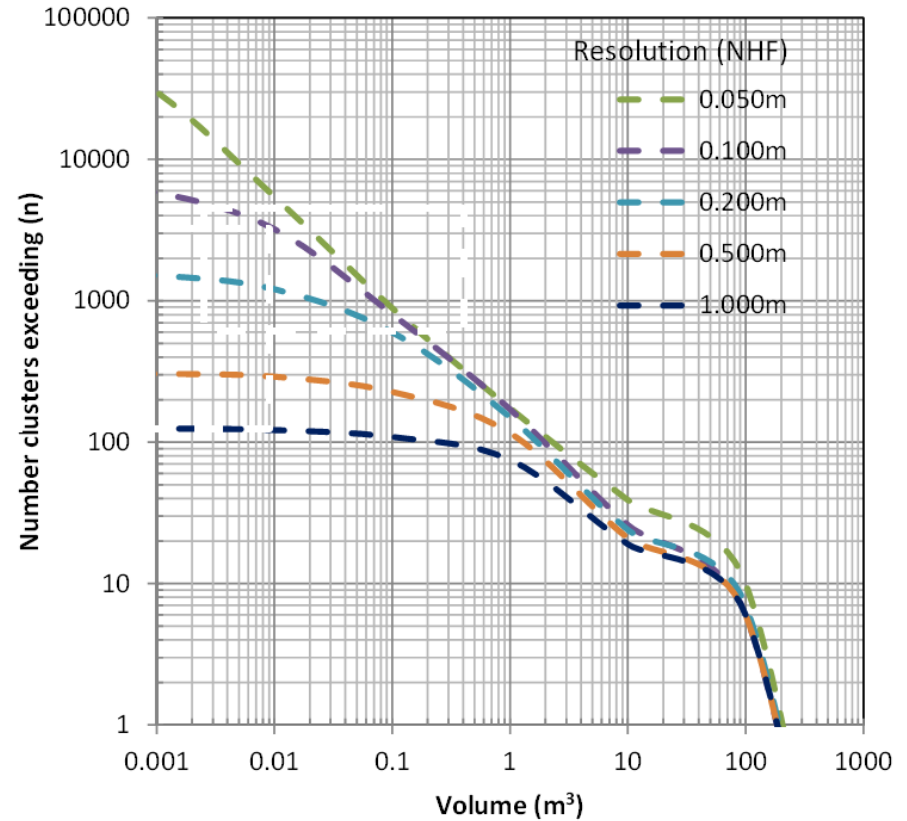




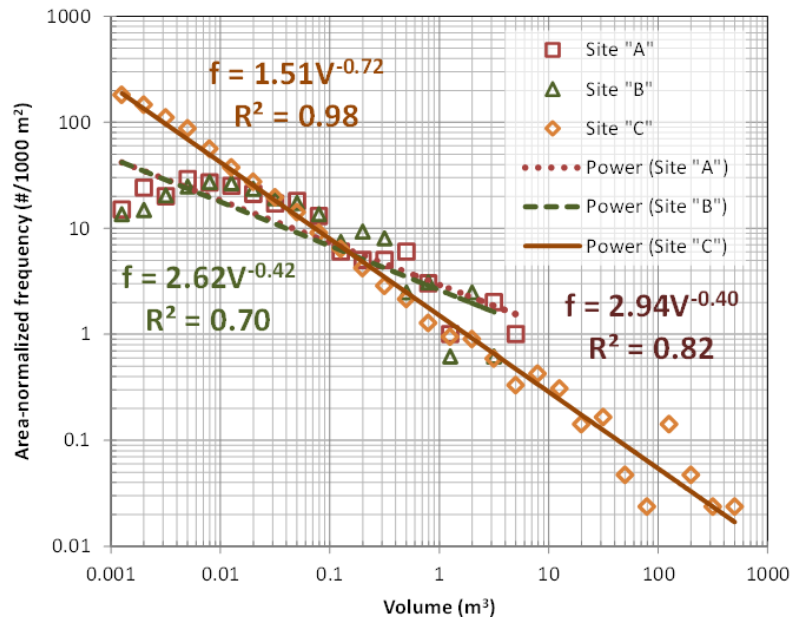
# Cumulative Mag-Freq Relationships (1 year)



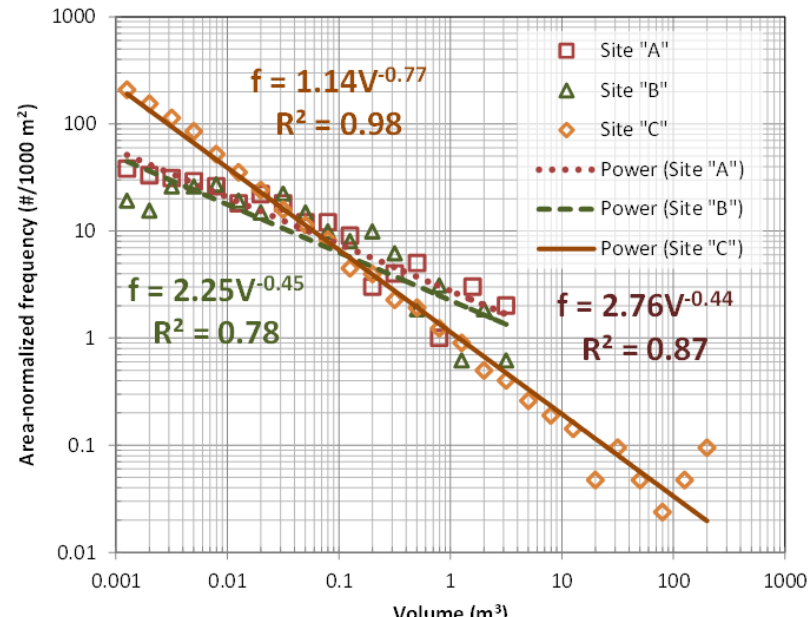
(a)



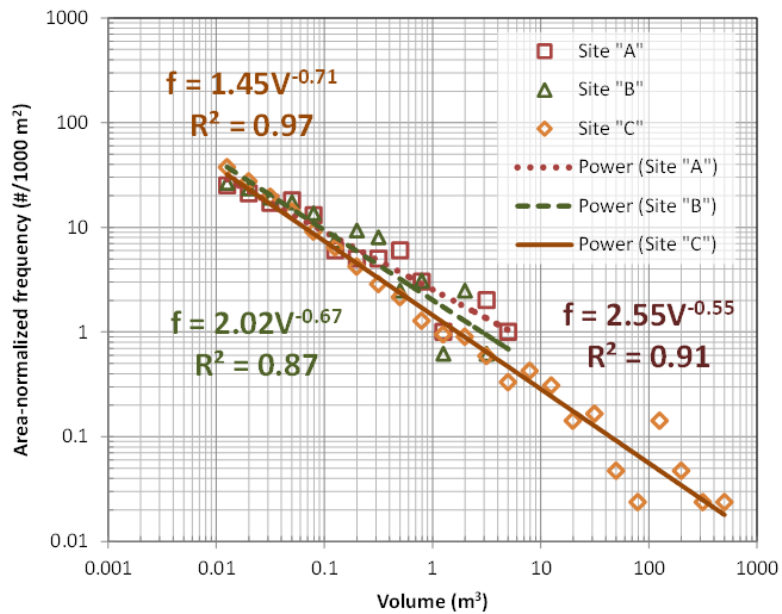
(b)



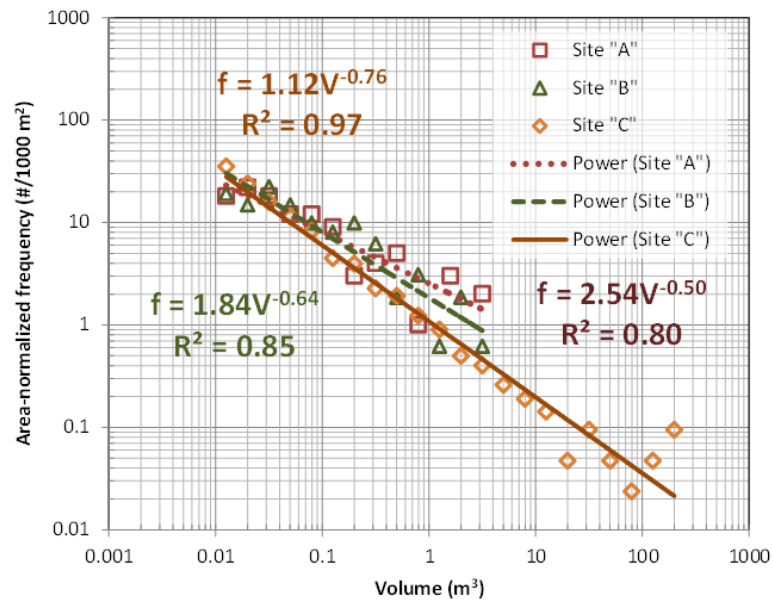
**HOLES FILLED**  
**(a)**



**HOLES NOT FILLED**  
**(b)**



**(c)**



**(d)**

# Key Observations

- Caution with L2C!!!
- Point Reg GNSS solution compares well with target based approach with much less effort
- Clustering approach captures rockfall events well
- Mag-freq curves highly dependent on modeling resolution
- Modest hole filling with TPS tended to improve the magnitude frequency relationships, but can result in poorer fit for small volumes.

# Acknowledgements

