

ENHANCED CUSTOMER DUE DILIGENCE QUESTIONNAIRE

Type: (MAIN (M) / JOINT (J))

Name of Customer (M): _____ (J): _____

Identification Number (NRIC / Passport) (M): _____ (J): _____

PART A – CUSTOMER BACKGROUND CHECK

Please answer **all** the following questions.

1. Location

Are you / do you:

- 1) incorporated in the following countries / jurisdiction;
- 2) have registered address in the following countries / jurisdiction;
- 3) have a mailing address in the following countries / jurisdiction; or
- 4) born in / a citizen of the following countries / jurisdiction.

Afghanistan, Albania, Anguilla, Bahamas, Balkans, Barbados, Belarus, Botswana, Burundi, Cambodia, Central African Republic, Colombia, Cuba, Darfur, Democratic Republic of the Congo, Egypt, Equatorial Guinea, Ghana, Guatemala, Guinea-Bissau, Haiti, Iceland, Iran, Iraq, Jamaica, Kazakhstan, Lebanon, Liberia, Libya, Mali, Mauritius, Mongolia, Myanmar, Nicaragua, North Korea, Pakistan, Panama, Seychelles, Somalia, South Sudan, Sudan, Syria, The Southern Philippines, The Sulu/Sulawesi Seas Littoral, The Trans-Sahara, Trinidad and Tobago, Turkey, Turkmenistan, Uganda, Ukraine, Vanuatu, Venezuela, Yemen, Zimbabwe.
This list may be updated from time to time. The latest version of this list can be found at www.ifastfinancial.com.

(M) Yes No

(J) Yes No

2. Occupation / Nature of business

Are you involved in the business of / employed by the following activities / employers that are:

Money changers; remittance agents; pawnbrokers; internet-based stored value facility holders.

This list may be updated from time to time. The latest version of this list can be found at www.ifastfinancial.com.

(M) Yes No

(J) Yes No

If your answer to the above is 'Yes', please specify the type of business and job title.

Type of business: (M): _____ (J): _____

Job title: (M): _____ (J): _____

3. Politically Exposed Person ("PEP")

Are you a PEP / close associate of a PEP / family member of a PEP?

PEP means a domestic PEP, foreign PEP or international organisation PEP;

"Close associate" means a natural person who is closely connected to a PEP, either socially or professionally;

"Domestic PEP" means a natural person who is or has been entrusted domestically with prominent public functions;

"Family member" means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP;

"Foreign PEP" means a natural person who is or has been entrusted with prominent public functions in a foreign country;

"International organisation" means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognised by law in member countries and which is not treated as a resident institutional unit of the country in which it is located;

"International organisation PEP" means a natural person who is or has been entrusted with prominent public functions in an international organisation;

"Prominent public functions" includes the roles held by a head of state, a head of government, government ministers, senior civil or public servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, members of the legislature and senior management of international organisations.

(M) Yes No

(J) Yes No

For Customer

If your answer to Part A.1 or A.2 is 'Yes', please complete Part B, D and E.

If your answer to Part A.3 is 'Yes', please complete Part B, C, D and E.

For FA Representative

Please sign under "For FA Representative" section below.

PART B – SOURCE OF FUNDS AND SOURCE OF WEALTH

1. Please indicate your source of funds (please tick one):

Source of funds refers to the origin of the particular funds or other assets which are the subject of the establishment of business relations (e.g. the amounts being invested, deposited, or wired as part of the business relations).

(M) Business Profits (M) Gift / Inheritance (M) Savings (J) Business Profits (J) Gift / Inheritance (J) Savings
(M) Sale of Real Estate (M) Salary (M) Investment Earnings (J) Sale of Real Estate (J) Salary (J) Investment Earnings

2. Please indicate your source of wealth (please tick one):

Source of wealth generally refers to the origin of the entire body of wealth (i.e. total assets).

(M) Business Profits (M) Gift / Inheritance (M) Savings (J) Business Profits (J) Gift / Inheritance (J) Savings
(M) Sale of Real Estate (M) Salary (M) Investment Earnings (J) Sale of Real Estate (J) Salary (J) Investment Earnings

Please answer the following questions to which you have indicated your source of funds and source of wealth above, and provide supporting documents.

1. Business Profits

What is the name of the company and the country of incorporation (including registration number if incorporated in Singapore)? How long has it been since the business started / incorporation?

(M): _____

(J): _____

Please describe the nature of the business and its operation. What capacity do you hold in the business that enabled you to receive profits?

(M): _____

(J): _____

2. Gift / Inheritance

Please provide the name of the person whom you inherited the wealth from? What is the relationship between the two of you? What is the reason for such gift / inheritance?

(M): _____

(J): _____

How was the wealth given to you generated? What type of wealth did you receive? (E.g. Land, Securities, Company, Trusts, etc.)

(M): _____

(J): _____

3. Sale of Real Estate

Who does the real estate legally belong to?

(M): _____

(J): _____

Type of real estate sold? (E.g. private condominium, terrace house, HDB, etc.) When was it sold?

(M): _____

(J): _____

4. Salary

What is the name of your employer? In what industry is your employer in?

(M): _____

(J): _____

What is your profession, including area of speciality?

(M):

(J):

How many years have you been in this line of work? How many years have you been with your employer?

(M):

(J):

5. Savings

Please provide the name of your previous employer which contributed to the greatest share of your savings.

(M):

(J):

In which industry does the above-mentioned company belong to? What was your position held then?

(M):

(J):

How many years were you with the above-mentioned company?

(M):

(J):

6. Investment Earnings

How long has this investment been held for?

(M):

(J):

Are these investment earnings resulting from regular income? (E.g. fixed coupon payments / fixed dividends)

(M):

(J):

PART C – POLITICALLY EXPOSED PERSON

Please answer **all** the questions below.

1. Please indicate the name of your i) organisation / employer and ii) your occupation / position. If you are not the PEP, please indicate i) the name of that immediate family member(s) or close associate (s) who is, ii) the name of his/her/their organisation / employer and iii) their occupation / position.

(M):

(J):

2. Is there any expected receipt of large sums of monies from governmental bodies or state owned entities? If yes, from who / where?

(M):

(J):

3. Will there be any usage of government account(s) as a source of funds in a transaction?

(M):

(J):

PART D - ADDITIONAL INFORMATION

Please indicate your expected amount for each transaction (please tick one):

Less than 10,000 10,000 – 50,000

50,001 – 100,000 Above 100,000

Please let us know if you have any other additional information that is relevant, but not covered above.

PART E - DECLARATION

I/We hereby declare and warrant that the information given in this form submitted to you are complete, true and accurate and that I/we have not wilfully withheld any material fact/information. I/We shall give iFAST Financial Pte Ltd ("iFAST") written notice of any change in my/our particulars. I/We acknowledge that I /we am/are responsible for my/our own tax affairs. I/We have not committed or been convicted of any tax crimes. I/We also declare that I/we am/are hereby consent the Representative and iFAST to contact me/us to obtain further information immediately from me/us for the purpose of Representative's and iFAST's compliance with the Notices and Guidelines on Prevention of Money Laundering and Countering the Financing of Terrorism. If any information is found false or misleading, or if the need to obtain further information is not met, iFAST may reject any of my/our application and/or instructions including but not limited to, any transactional-related activities. I/We consent to iFAST collecting, using and disclosing the information provided in this form.

Customer (MAIN) / Authorised Signature 1

Customer (JOINT) / Authorised Signature 2

Date:

Date:

FOR FA REPRESENTATIVE

I declare that this document has been duly completed by my customer and the information provided in this form is strictly confidential and will only be used for the purpose of additional due diligence.

Name of FA Representative

FA Representative Signature

Date:

For Official Use Only:

To be completed by a member of the Compliance team

Account Opening Date (DD/MM/YYYY): _____

1. Is there any finding from internal screening? If yes, what are the findings?

2. Any concerns if the Company establish or continue business relationship with the customer?

3. Describe the source of fund and source of wealth of the customer. Are there inconsistencies between all available information and the source of fund and source of wealth?

4. Based on information from Part C or internal screening results, is the account holder/joint account holder considered a PEP?

Should the business relationship be established or continued? (YES / NO)

Should the account be set to high-risk? (YES / NO)

Reasons(s) for setting the account to high-risk:

Politically Exposed Person High-risk Jurisdiction

High-risk Occupation / Nature of business

Suspicious Transaction or Other Reason(s) *Please elaborate further below*

Reviewed by	Name	Signature	Date
COMPLIANCE			

Comments by Senior Management:

Approved by	Name	Signature	Date
SENIOR MANAGEMENT			