



# Enhancing the Performance of Zintl Phases via Defect Chemistry

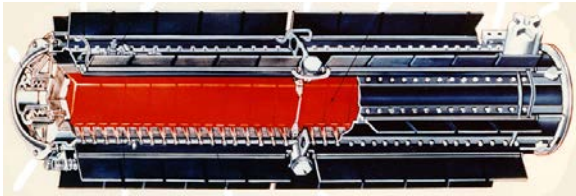
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Jet Propulsion Laboratory

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February 24, 2015

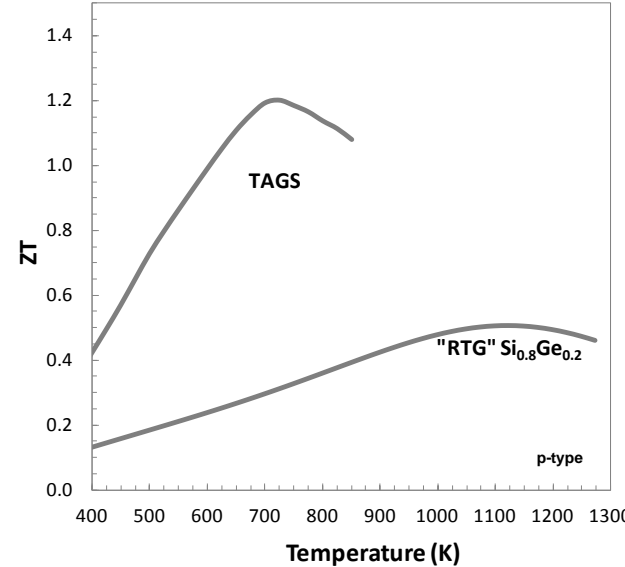
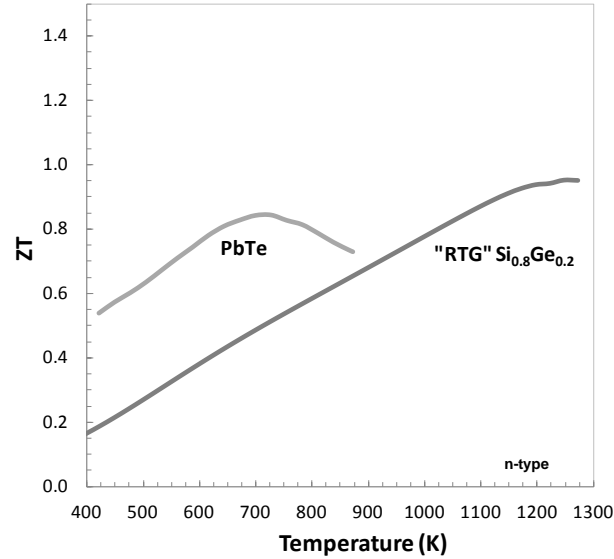
2015 Nuclear and Emerging Technologies for Space  
Albuquerque, NM



SiGe GPHS RTG (1980-2006)



PbTe/TAGS  
MMRTG  
(2006-  
present)



- **RTGs for the past 50 years have either been PbTe (ZTave 0.7) or SiGe (ZTave 0.6) based**
  - High level of reliability and redundancy and long life
  - ~6.5% efficiency at the system level
- **Increasing demand for higher scientific payload and higher specific power per kilogram**
  - Limited amount of expensive heat source....Need **Higher ZT materials!!**

# Thermoelectrics: Power Generation

Efficiency =  $\frac{\Delta T}{T_h} f(zT)$

Carnot efficiency  $f = \frac{\sqrt{1 + z\bar{T}} - 1}{\sqrt{1 + z\bar{T}} + \frac{T_c}{T_h}}$

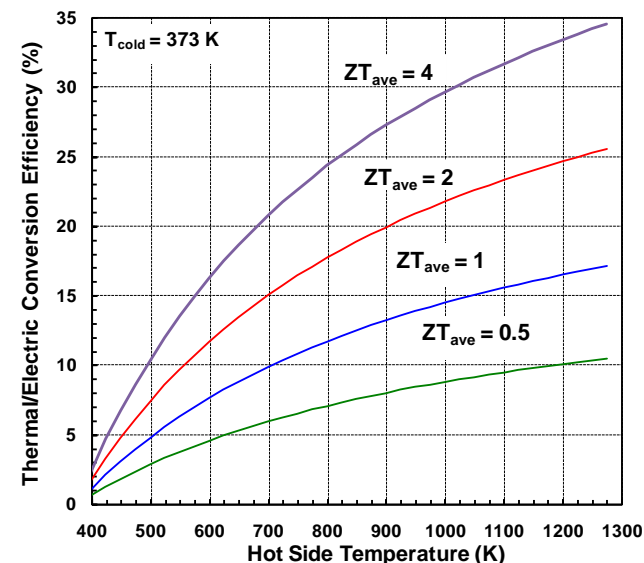
Conversion efficiency is a direct function of ZT and  $\Delta T$

$$ZT = \frac{S^2 \sigma T}{\lambda}$$

$S$ , Seebeck coefficient  
 $\sigma$ , electrical conductivity  
 $\lambda$ , total thermal conductivity  
 $T$ , temperature

$$\lambda = \lambda_{\text{lattice}} + \lambda_{\text{electronic}}$$

$$S = \Delta V / \Delta T$$

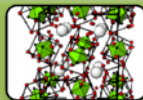




# Radioisotope Power Systems Program: Advanced Thermoelectrics Technology Roadmap



Fundamental materials research (NASA, Academia)



Advanced Thermoelectric Materials (ATOM) (TRL 0-2)

2005

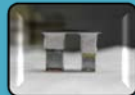
2010

2020

10%  
Efficient  
TE  
Materials

15%  
Efficient  
TE  
Materials

> 20%  
Efficient  
TE  
Materials



Advanced TE Couples (ATEC) (TRL 2-4)

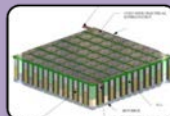
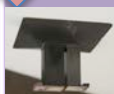
2013

2019

10%  
Efficient  
TE  
Couple  
Tech

Materials, Device production  
(Industry, NASA)

15%  
Efficient  
TE  
Couple  
Tech



Technology Maturation (TRL 4-5)

2018

eMMRTG

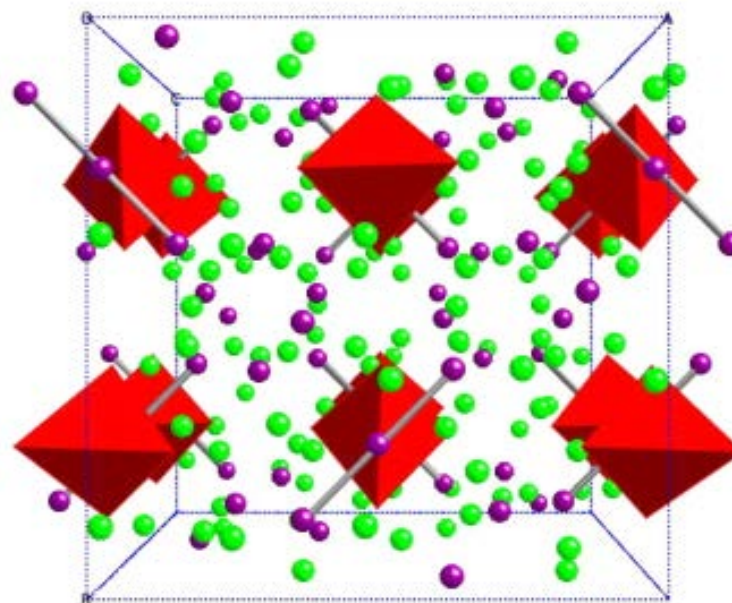
Converter and generator system engineering (Industry, DOE, NASA)

Flight system development and support (Industry, DOE, NASA)

## Key materials design strategies to achieve high ZT values across wide $\Delta T$

- Complex crystal structures
  - Inherently low thermal conductivity due to structural complexity
  - Need to control and optimize electronic properties

- Main experimental challenge:
  - Develop synthesis methods that enable precise stoichiometric control and practical scaling up
  - Provide theoretical guidance using first principles simulations



$\text{Yb}_{14}\text{MnSb}_{11}$  Zintl Phase  
104 atoms/unit cell

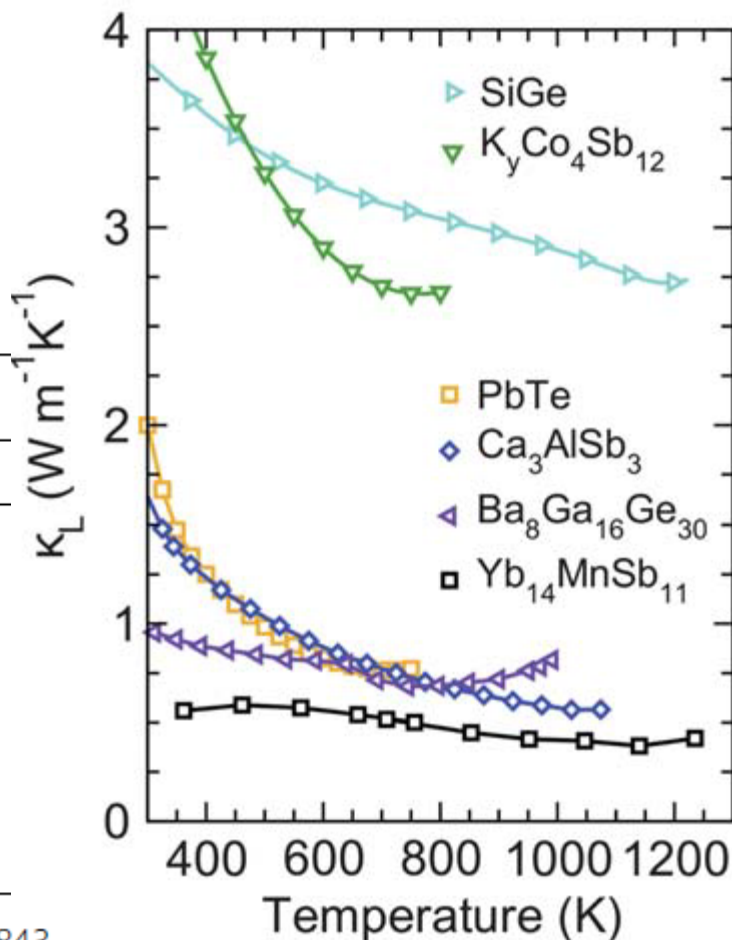
- **Low thermal conductivity in complex crystal structures**
  - Some of the lowest seen in Zintl structures

Table 1

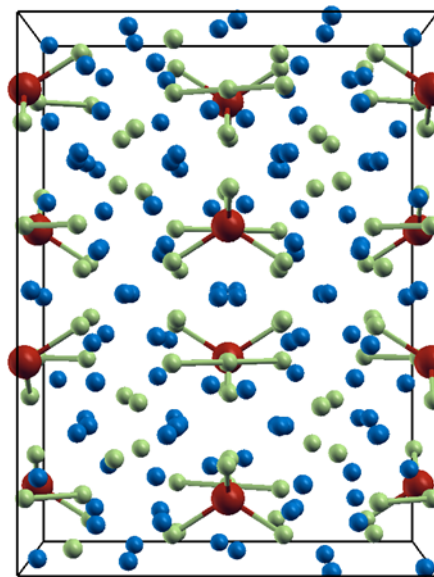
Material	$N$	$\kappa_L$ (300 K) W/mK
LaPO <sub>4</sub>	24	2.5
W <sub>3</sub> Nb <sub>14</sub> O <sub>44</sub>	61	1.8
LaMgAl <sub>11</sub> O <sub>19</sub>	64	1.2
La <sub>2</sub> Mo <sub>2</sub> O <sub>9</sub>	624	0.7
$\alpha$ -Al <sub>14.7</sub> Mn <sub>3.5</sub> Si <sub>1.8</sub>	138	1.5
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Yb <sub>11</sub> Sb <sub>10</sub>	42	0.8
Yb <sub>11</sub> InSb <sub>9</sub>	42	0.8
Ba <sub>8</sub> Ga <sub>16</sub> Ge <sub>30</sub>	54	1.1
Yb <sub>14</sub> AlSb <sub>11</sub>	104	0.6



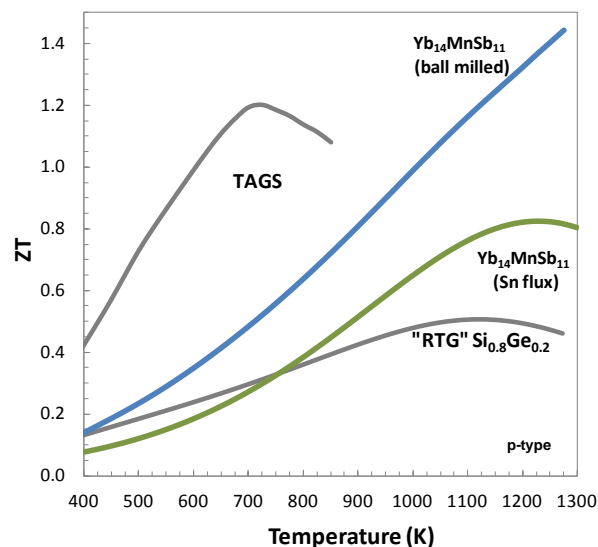
*J. Mater. Chem.*, 2011, **21**, 15843



- Zintl Structures
  - Covalent, anionic substructures
  - Zintl-Klemm valence count
- Thermal properties:
  - Complex structures leads to low thermal conductivity
- Electronic properties
  - Semiconducting-metallic
  - Carrier concentration optimized through doping
- $\text{Yb}_{14}\text{MnSb}_{11}$ 
  - 104 atoms per unit cell
  - TE properties reported in 2006
  - Peak ZT  $\sim 1.4$  at 1275 K
    - Factor of 3x over SOA SiGe

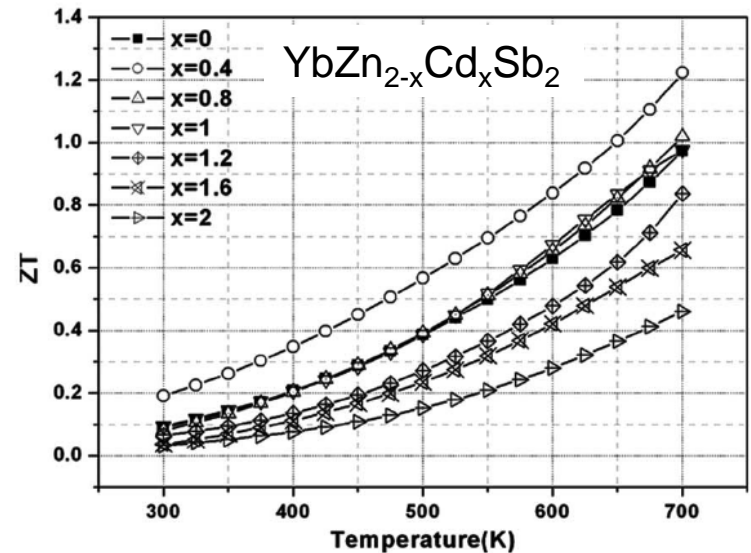
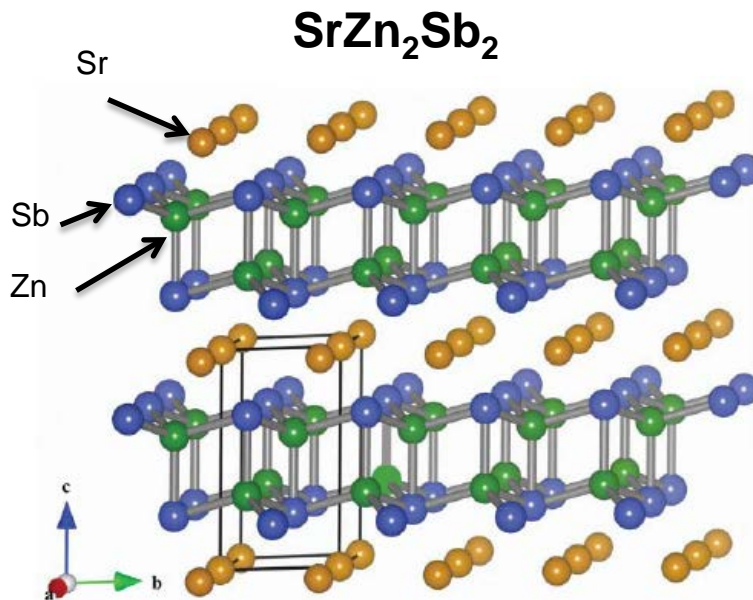


Body centered tetragonal  
 $I4_1/acd$  space group  
 Unit cell: total of 104 atoms  
 Block (4X):  
 $[\text{MPn}_4]^{9-}$ ,  $[\text{Pn}_3]^{7-}$ ,  
 $4\text{Pn}^{3-}$ ,  $14\text{A}^{2+}$





- **CaAl<sub>2</sub>Si<sub>2</sub> structure type**
  - slabs of covalently bonded M<sub>2</sub>Sb<sub>2</sub> are separated by rows of A atoms
  - M = Zn, Cd, Mn
  - A = Ca, Sr, Yb, Eu
  - only 5 atoms per unit cell

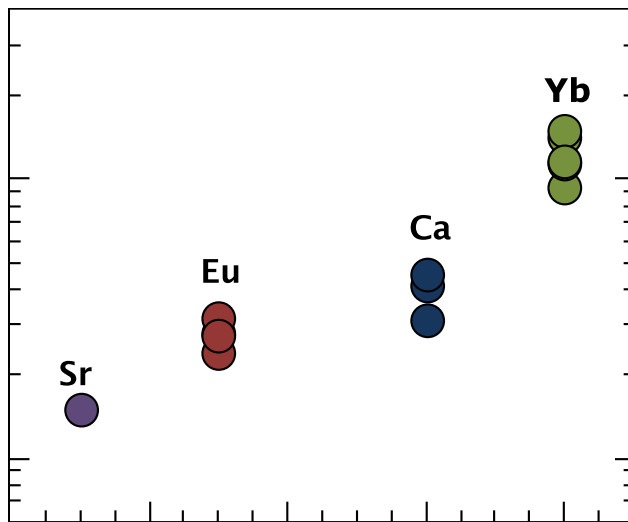


X.-J. Wang, M.-B. Tang, H.-H. Chen, X.-X. Yang, J.-T. Zhao, U. Burkhardt, Y. Grin, *Appl. Phys. Lett.* **2009**, *94*, 092106.

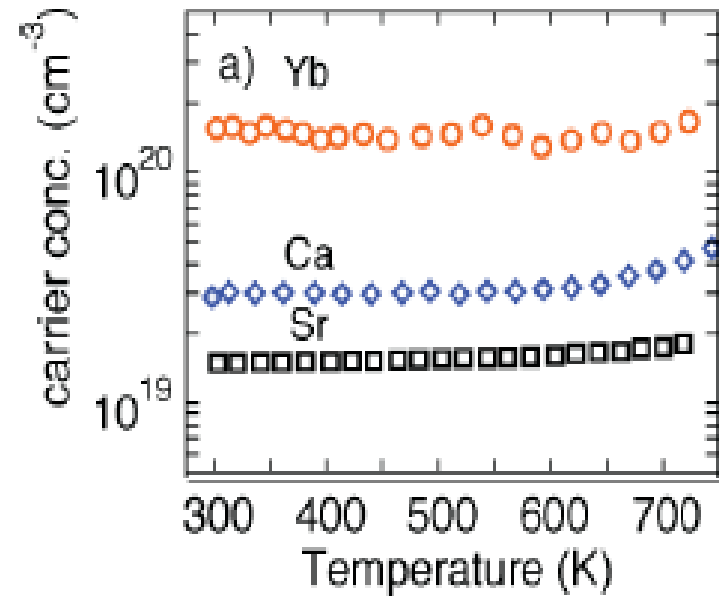
- **One of most studied Zintl, peak  $zT \sim 1.2$  at 775 K**
- **Similar performance to skutterudites**



- **Zintl phases: valence precise with expected semiconducting properties**
  - Classically thought as line compounds
- Orders of magnitude changes in carrier concentration observed in system despite isoelectronic substitution



Allred-Rochow electronegativity

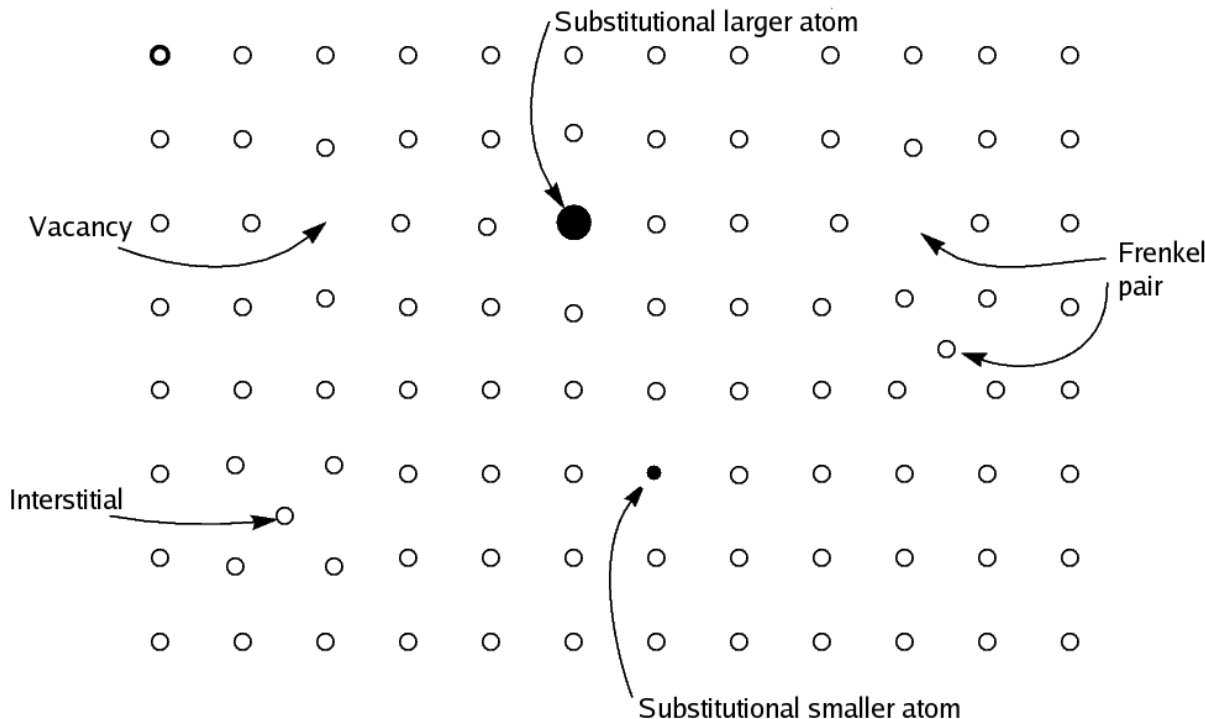


Sr → forms most ionic bonds

Yb → forms the most covalent bonds

- **What causes crystallographic defects?**

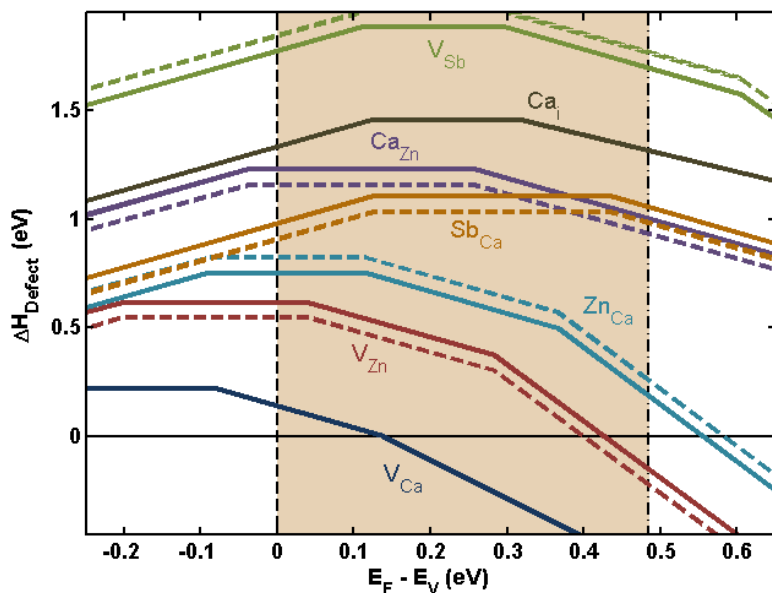
- Thermodynamic competition between entropy gain from defect formation vs energy needed to form the defect
- Many types of defects



- **Impact of defects?**

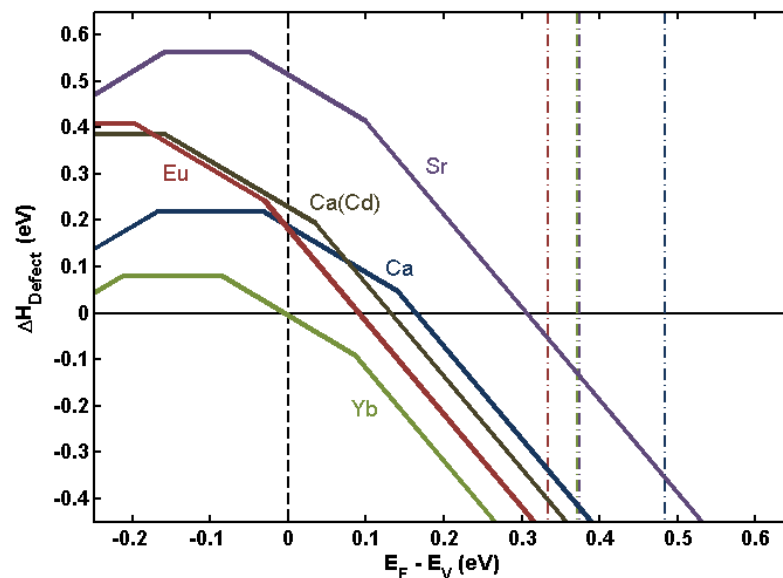
- Some defects can significantly impact TE properties
  - Previously demonstrated in other TE material systems such as oxides, clathrates, and chalcogenides
  - ***Effect of defects not studied in Zintl phases***

$\Delta H_{\text{defect}}$  at 0 K for selected defects in  $CaZn_2Sb_2$

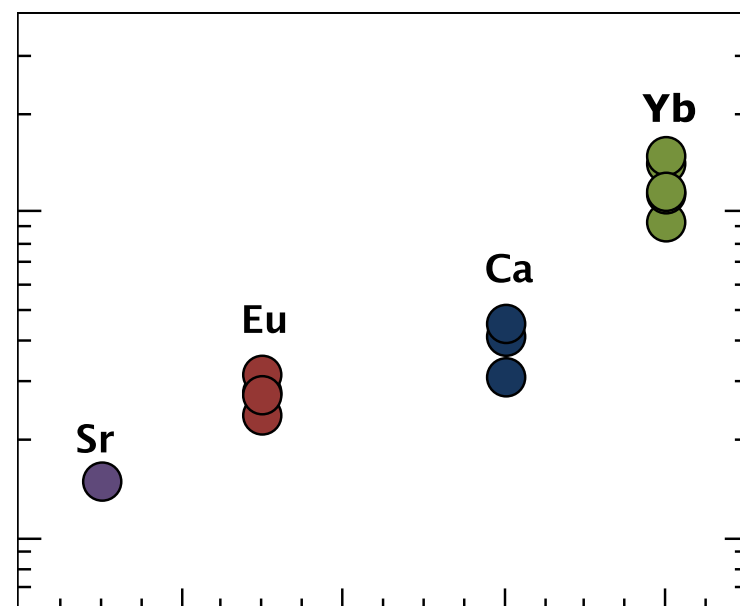
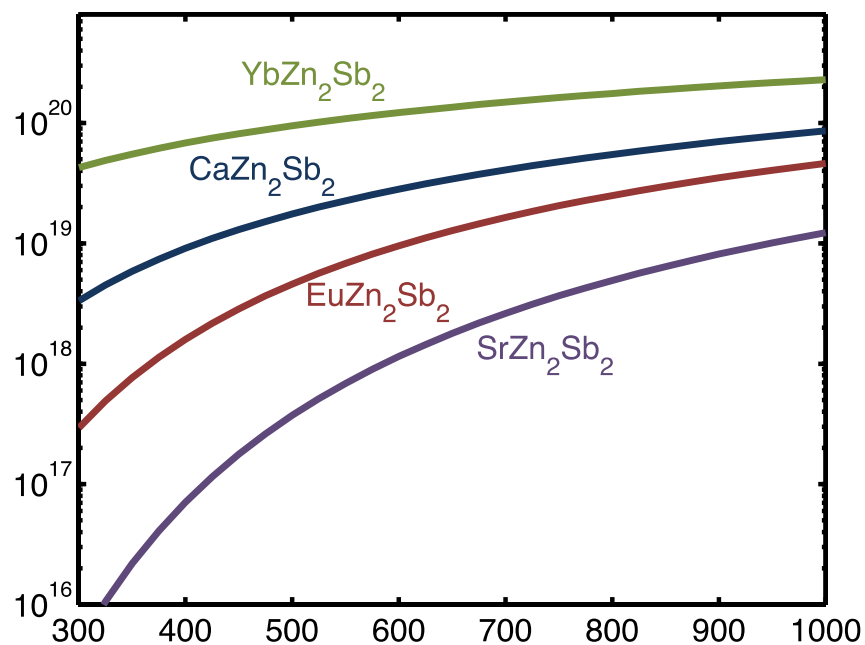


- Vacancies on the Ca and Zn sites have lowest energies
- In all  $AZn_2Sb_2$  compounds, A-site vacancy is most favorable

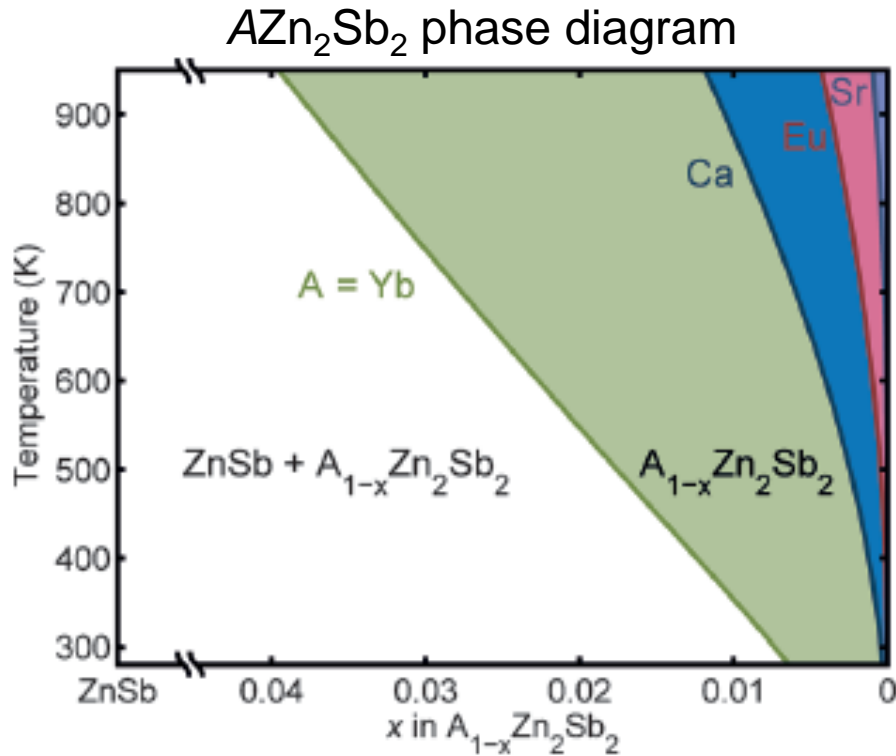
A site vacancies only – at 0 Kelvin



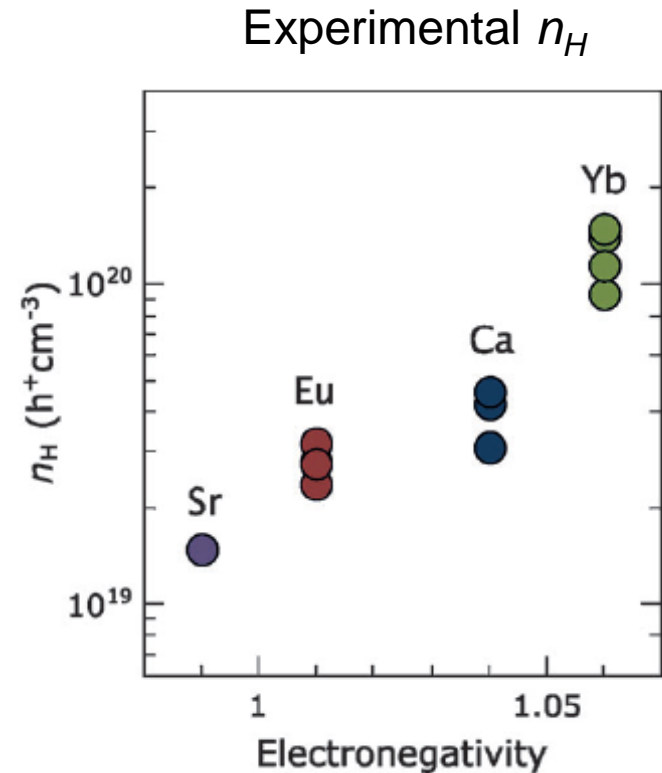
- Yb vacancies are more favorable than ionic Sr vacancies



- Calculated trend in carrier concentrations matches trends in experimental results



- A vacancies in lead to wide single-phase region
- Maximum vacancy concentration depends on electronegativity of A



- AZn<sub>2</sub>Sb<sub>2</sub> samples have large experimental  $n$
- Trend in  $n$  is consistent with calculated phase diagrams

## Objective:

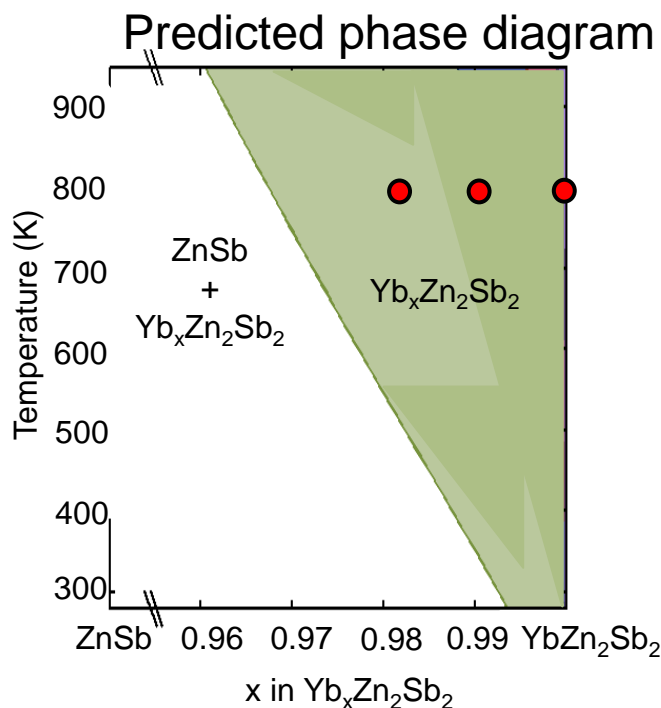
- Determine phase width of  $\text{YbZn}_2\text{Sb}_2$
- Optimize the carrier concentration and ZT via Yb content

Nominal Yb content,  $x$ , in  $\text{Yb}_x\text{Zn}_2\text{Sb}_2$

0.98	0.99	1.00	1.025	1.05
------	------	------	-------	------

← Yb deficient

Excess Yb →



Predicted behavior:

## Yb deficient samples

- Phase pure
- Linear change in lattice parameters and carrier concentration

## Yb excess:

- Precipitation of secondary phase
- No change to majority phase
- Similar carrier concentration



## Synthesis:

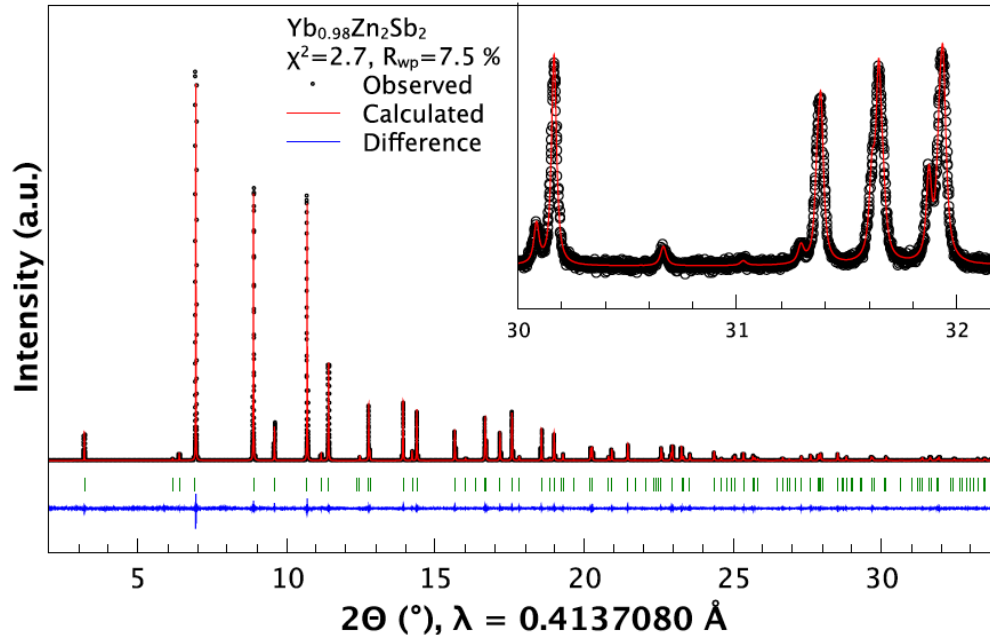
- **$\text{Yb}_x\text{Zn}_2\text{Sb}_2$  ( $x = 0.98, 0.99, 1.00, 1.025, \text{ and } 1.05$ )**
  - Ball milling of elements in Ar glove box to homogenize powder
- **Hot pressed for 1.5 h at 823 K using 160 MPa of pressure.**
  - Pellets ~99% of theoretical density

## Special Characterization techniques:

- **High resolution synchrotron powder diffraction data were collected using beamline 11-BM at the Advanced Photon Source (APS), Argonne National Laboratory**



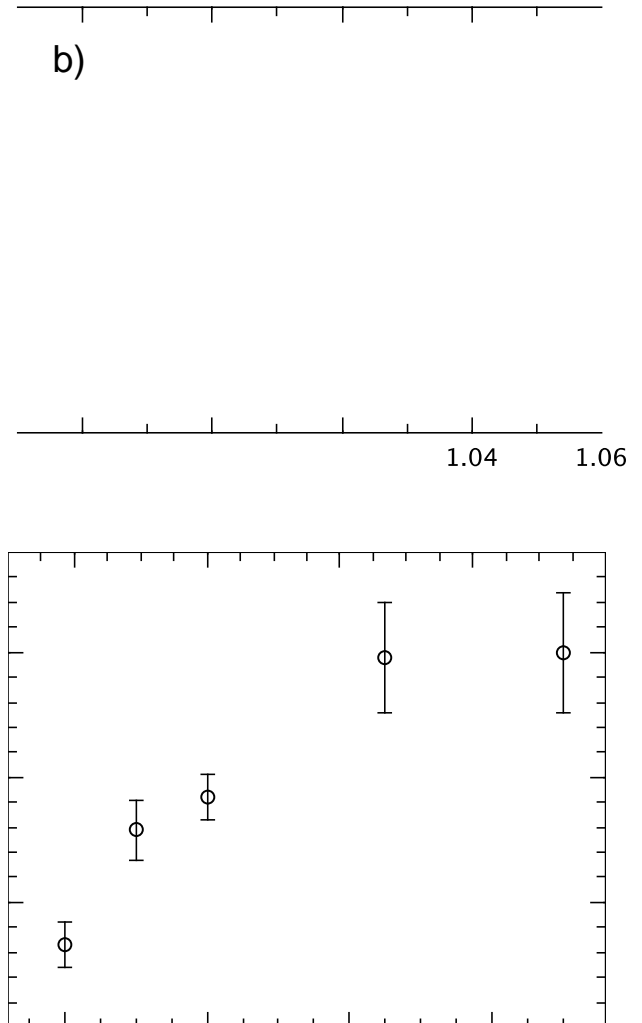
## 11-BM Synchrotron XRD



All samples highly phase pure

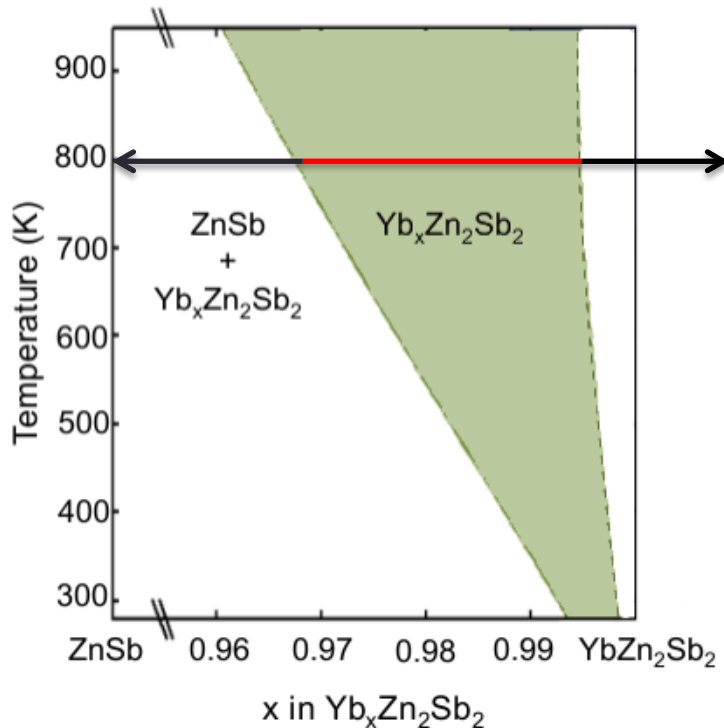
With decreasing nominal Yb content:

- I. Lattice contracts in z-direction
- II. Vegard's law obeyed in Yb-deficient samples (  $x < 1.00$  )



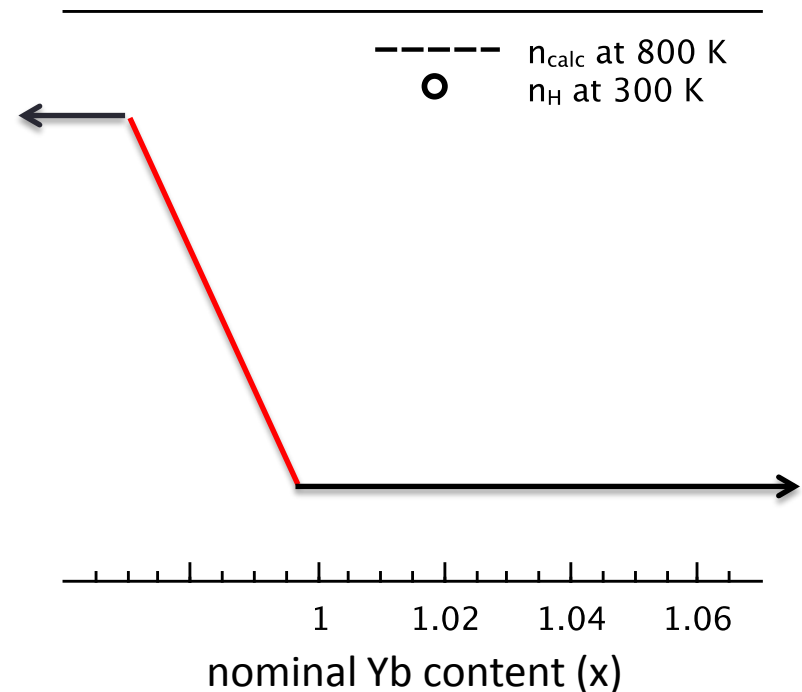
## Assumptions:

1. composition is “frozen” at 800 K
2.  $n$  varies linearly in single phase region
3.  $n$  is constant outside single phase region



## Conclusions:

1.  $n_H$  confirms calculated Yb-deficient stability line.
2. Max Yb content may be  $< 1.00$



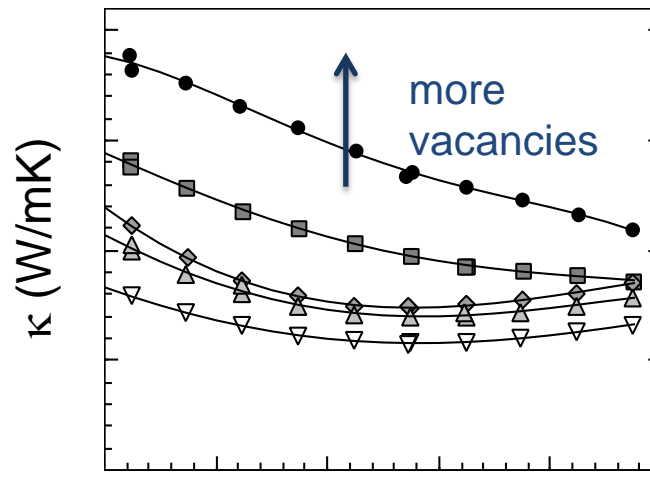
b)

$\rho$  ( $m\Omega cm$ )

↓ more vacancies

$\alpha$  ( $\mu V/K$ )

↓ more vacancies



- $x=0.98$
- $x=0.99$
- ◆  $x=1.00$
- △  $x=1.025$
- ▽  $x=1.05$
- $\kappa_{min}$

$\kappa_L$  (W/mK)

700 800

### Yb-deficient samples:

- Yb content determines  $n_H$
- Yb vacancies lead to degenerate behavior

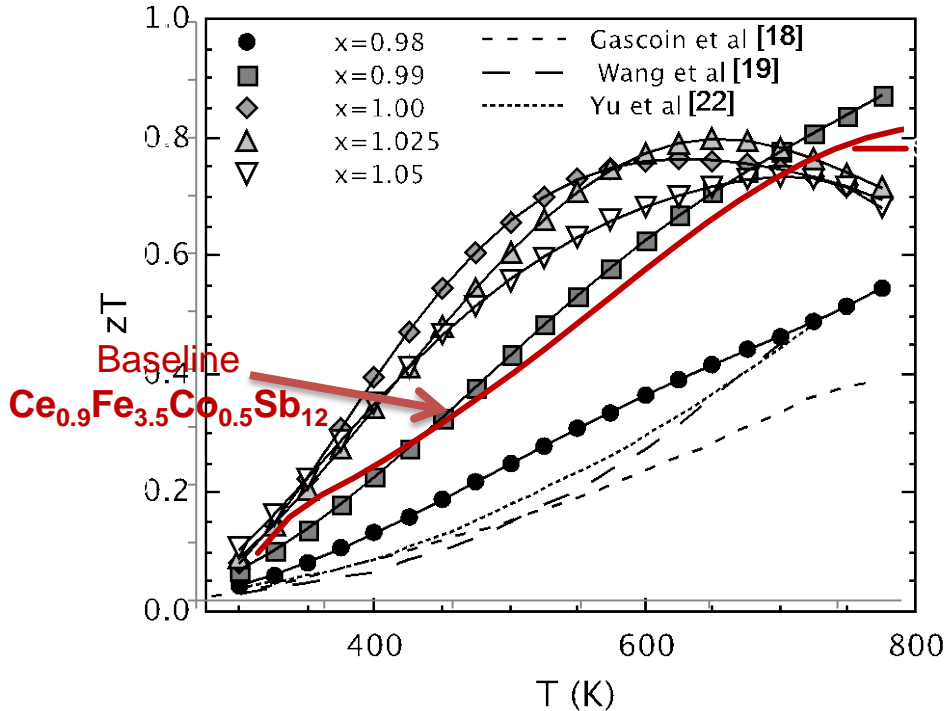
### Yb-excess samples:

- Yb content has no effect on  $n_H$

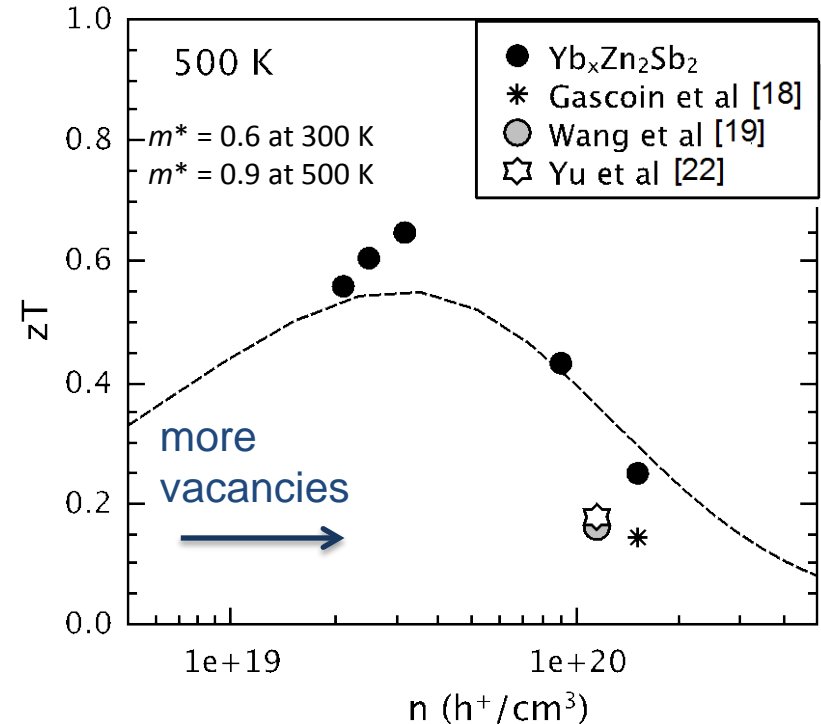
### Peak Seebeck:

$\alpha_{max}$  yields  $E_g = 0.25$  eV

### Figure of Merit



### SPB model



- Yb deficient samples (lower vacancy concentrations) have optimized carrier concentrations
- Peak  $zT = 0.85$ 
  - 50% improvement in peak  $zT$ , 100% improvement in average  $zT$  relative to previous literature reports
- New method of controlling carrier concentration in Zintl phases
- 30% improved average ZT vs p-type skutterudites

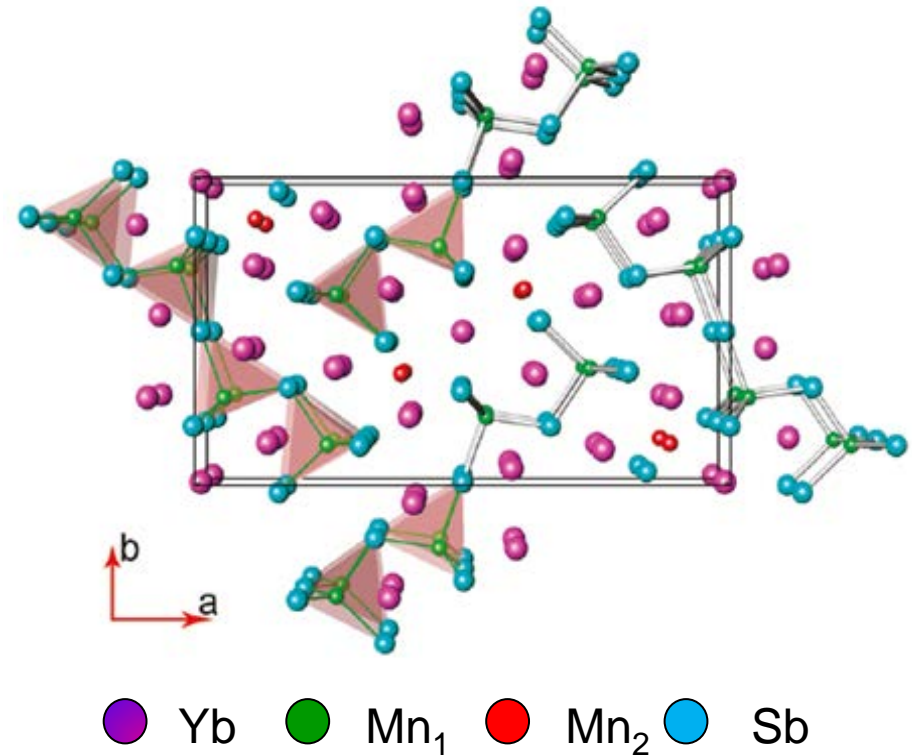


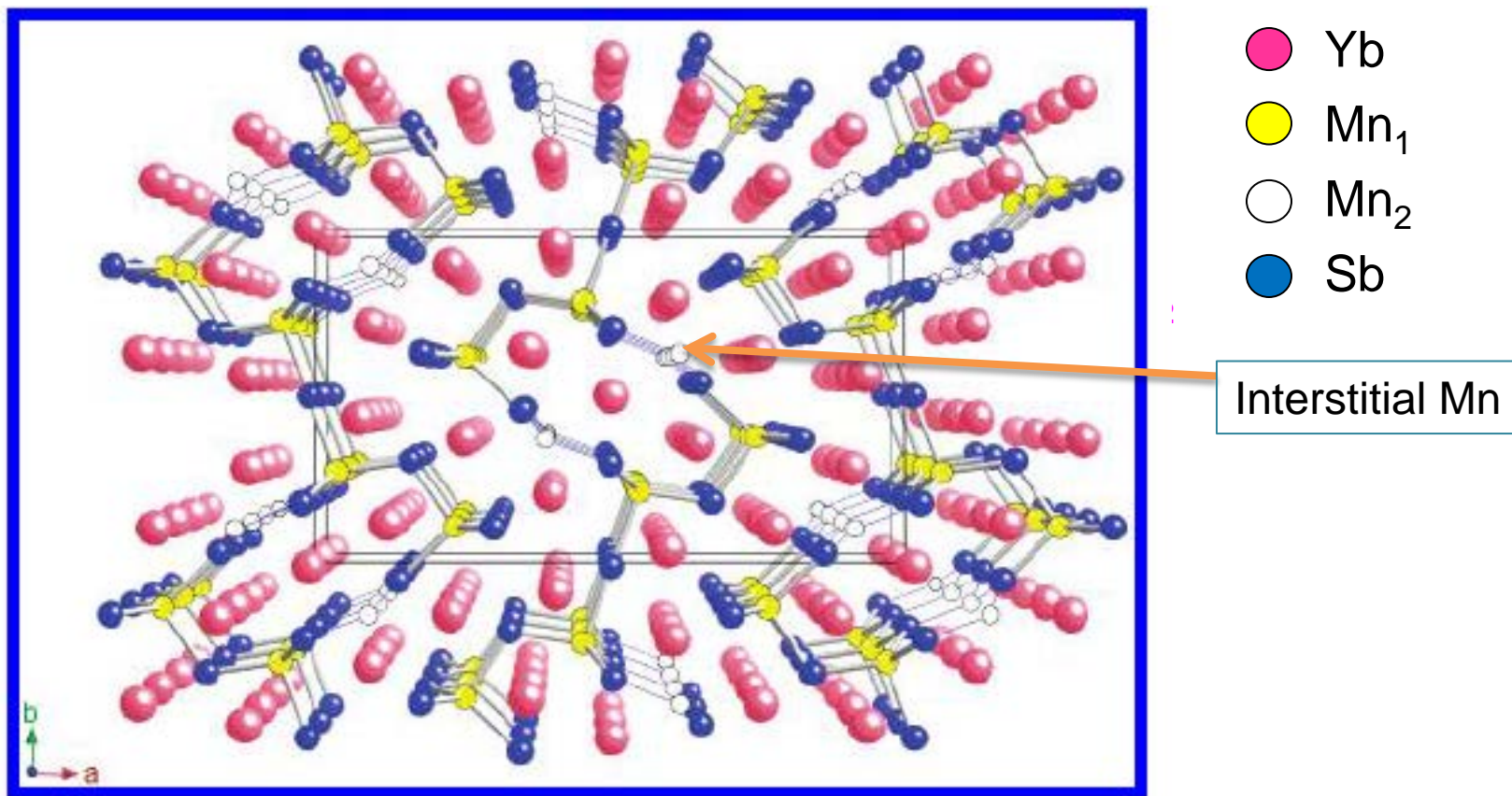
# Defect Chemistry and Thermal Transport

## In some systems, vacancies effect thermal transport more than electronic

– Vacancies behave as point defect scattering sites

- Crystal structure reported by Bobev et al 2010
- Complex Zintl structure
  - 9 Yb<sup>2+</sup> coordinated to chains of [Mn<sub>4</sub>Sb<sub>9</sub>]<sup>19-</sup> sublattice of corner shared MnSb<sub>4</sub> tetrahedra
  - Defect structure
    - Interstitial Mn connecting chains,
    - Nominal Zintl composition: Yb<sub>9</sub>Mn<sub>4.5</sub>Sb<sub>9</sub>
      - Difficult to synthesize due to high entropy of defect formation
- 44 atoms/UC
- Orthorhombic structure

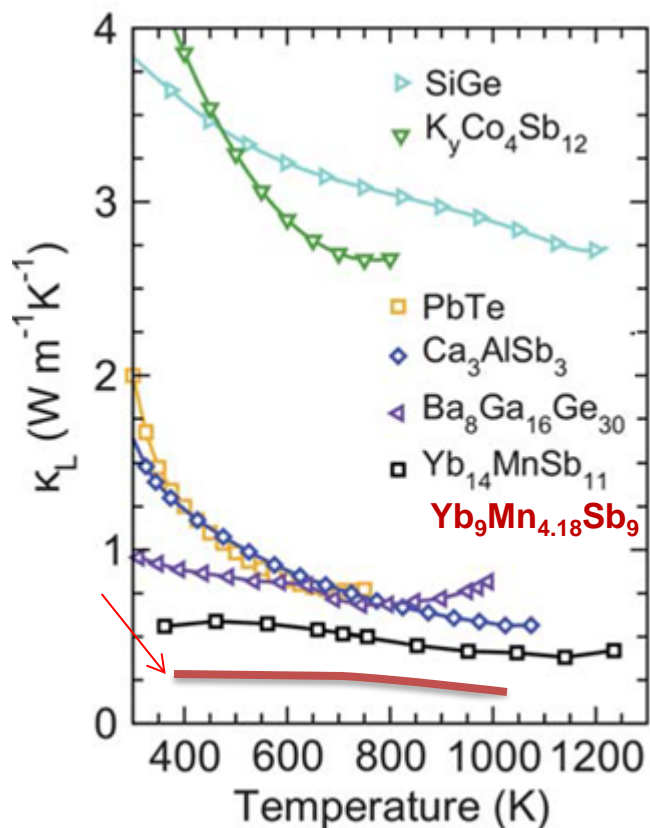




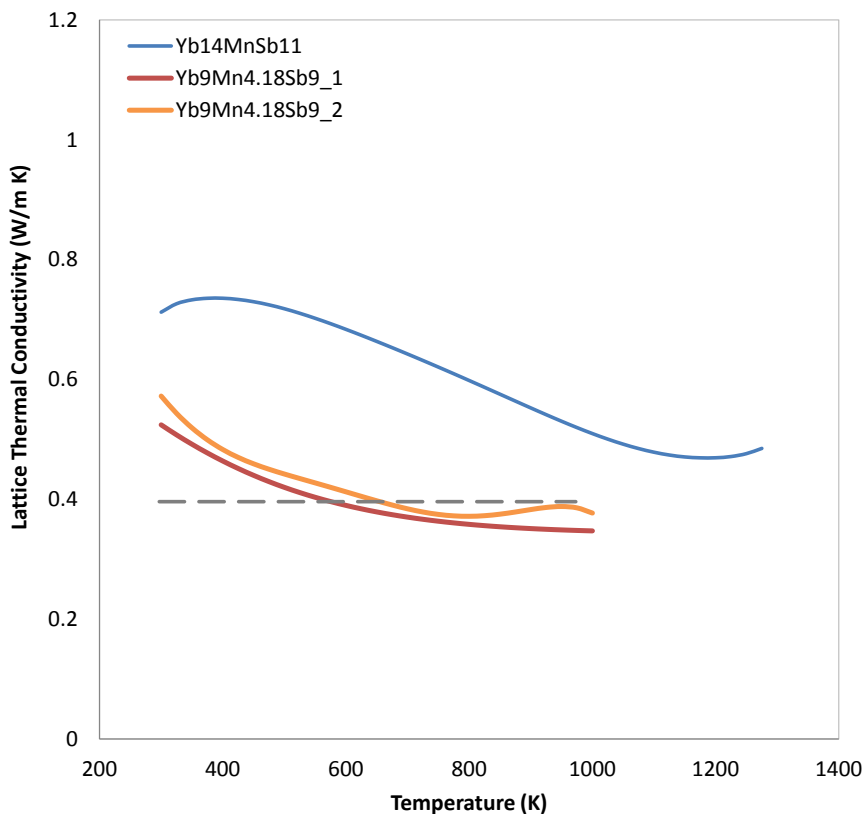
- Idealized:  $\text{Yb}_9\text{Mn}_{4.5}\text{Sb}_9$  structure
  - Partially filled interstitial Mn
    - links  $\text{Mn}_4\text{Sb}_9$  sublattice chains



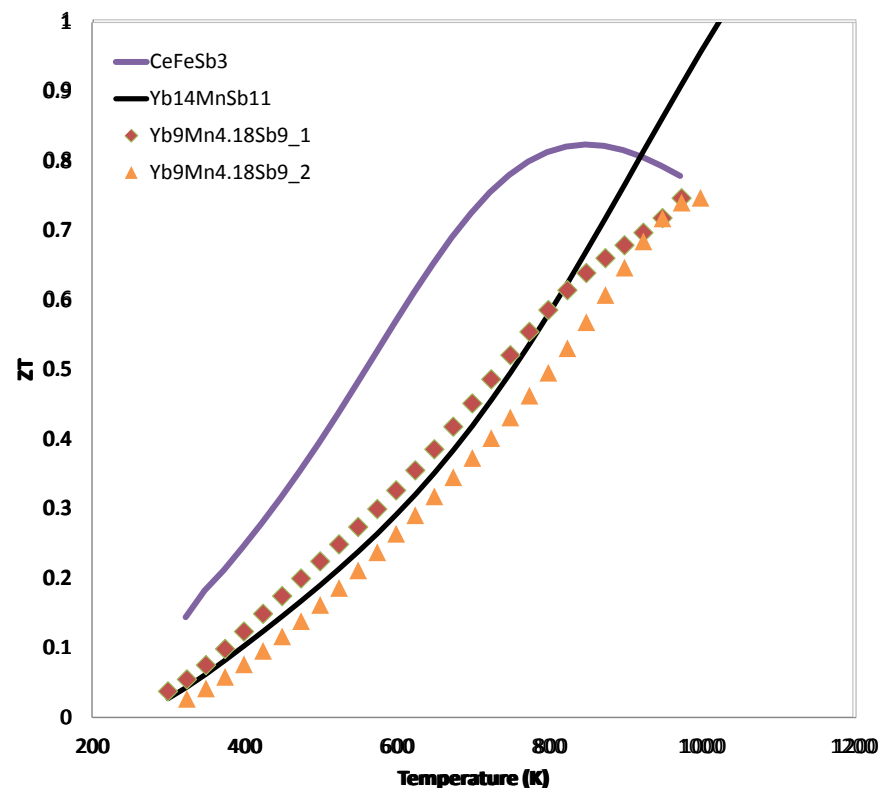
- $\text{Yb}_9\text{Mn}_{4.18}\text{Sb}_9$  possesses one of the lowest thermal conductivities,
  - Yet it has a relatively smaller unit cell of 44 atoms



Material	N (atoms/UC)	$k_L$ (W/ mK)
LaPO <sub>4</sub>	24	2.5
W <sub>3</sub> Nb <sub>14</sub> O <sub>44</sub>	61	1.8
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Ba <sub>8</sub> Ga <sub>16</sub> Ge <sub>30</sub>	54	1.1
Yb <sub>14</sub> AlSb <sub>11</sub>	104	0.7



Thermal conductivity approaches glassy limit at moderate temperatures



ZT of 0.7 at 1000K  
Comparable to skutterudites  
and 14-1-11



- **Defects can play a significant role on the electronic and thermal properties of Zintl phases**
  - Electronic
    - DFT predicts large cation vacancy concentrations in  $A_{1-\delta}Zn_2Sb_2$ .
    - Controlling the vacancy concentration allows for control of electronic properties, improved zT.
  - Thermal:
    - Defects can lead to low glass like thermal conductivities in already low thermal conductivity complex Zintl phases
- **New mechanisms and insights to improve efficiency of thermoelectric materials**
- **New Zintl phases, could be potential alternates to p-type skutterudites for advanced RTG applications**

# Acknowledgements

- L. Danny Zoltan, George Nakatsukasa, Greg Grierg JPL
  - TECT Group, JPL
  - Power and Sensors Systems Section, JPL

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