

# Environmental Health Impacts of Ethanol Production

## *The Good, The Bad, and Future Solutions*

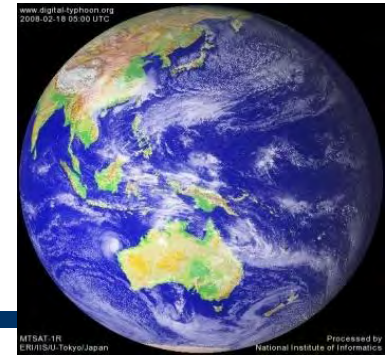


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Sigma Xi Chapter, University of Nebraska, 29 October 2008

# Presentation outline



- ◆ Guiding questions:
  - *How do environmental impacts of ethanol production & use compare with those of gasoline?*
  - *What solutions & alternatives exist?*
- ◆ Broad-based survey of ethanol & the environment
  - Current state of energy
  - Ethanol: background
  - Environmental impacts of corn-based ethanol production
  - Alternatives & potential solutions



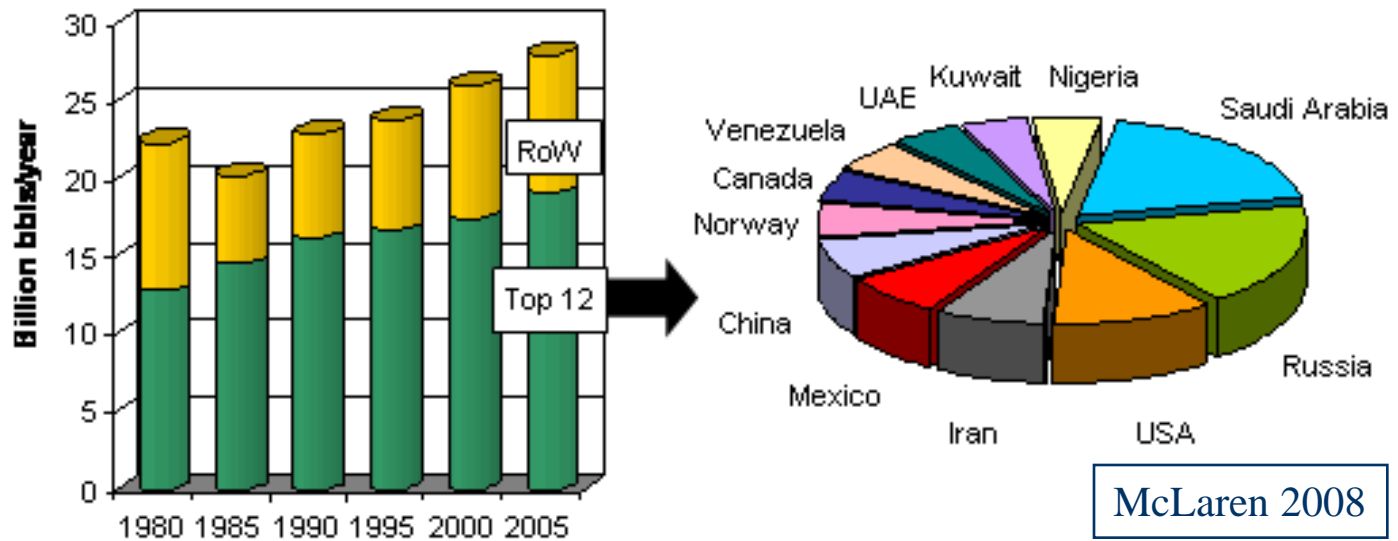
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# Current state of energy: Global & US

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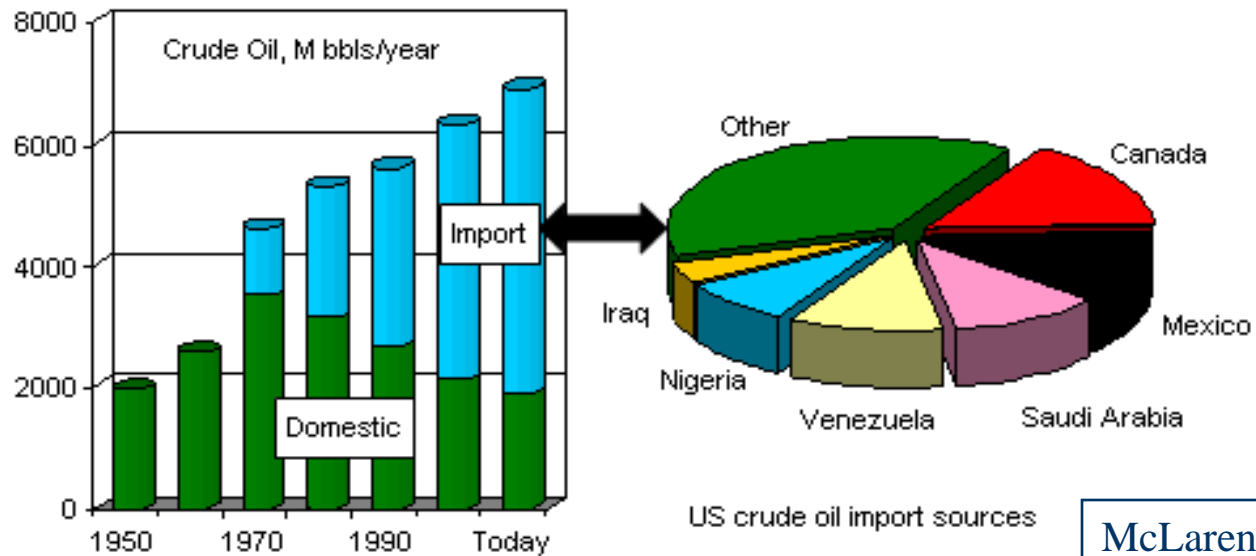
- ◆ Production
- ◆ Demand increasing, supply decreasing
- ◆ US energy use & imports

# Crude oil: Global production



- ◆ Improved living standards worldwide → increasing reliance on finite oil reserves for transportation, heating, industry
  - “Finite” – new oil forms in geological time-scales
- ◆ Total production mirrors total consumption: 30B bbls/yr
  - At this rate, proved reserves will deplete in 40 years

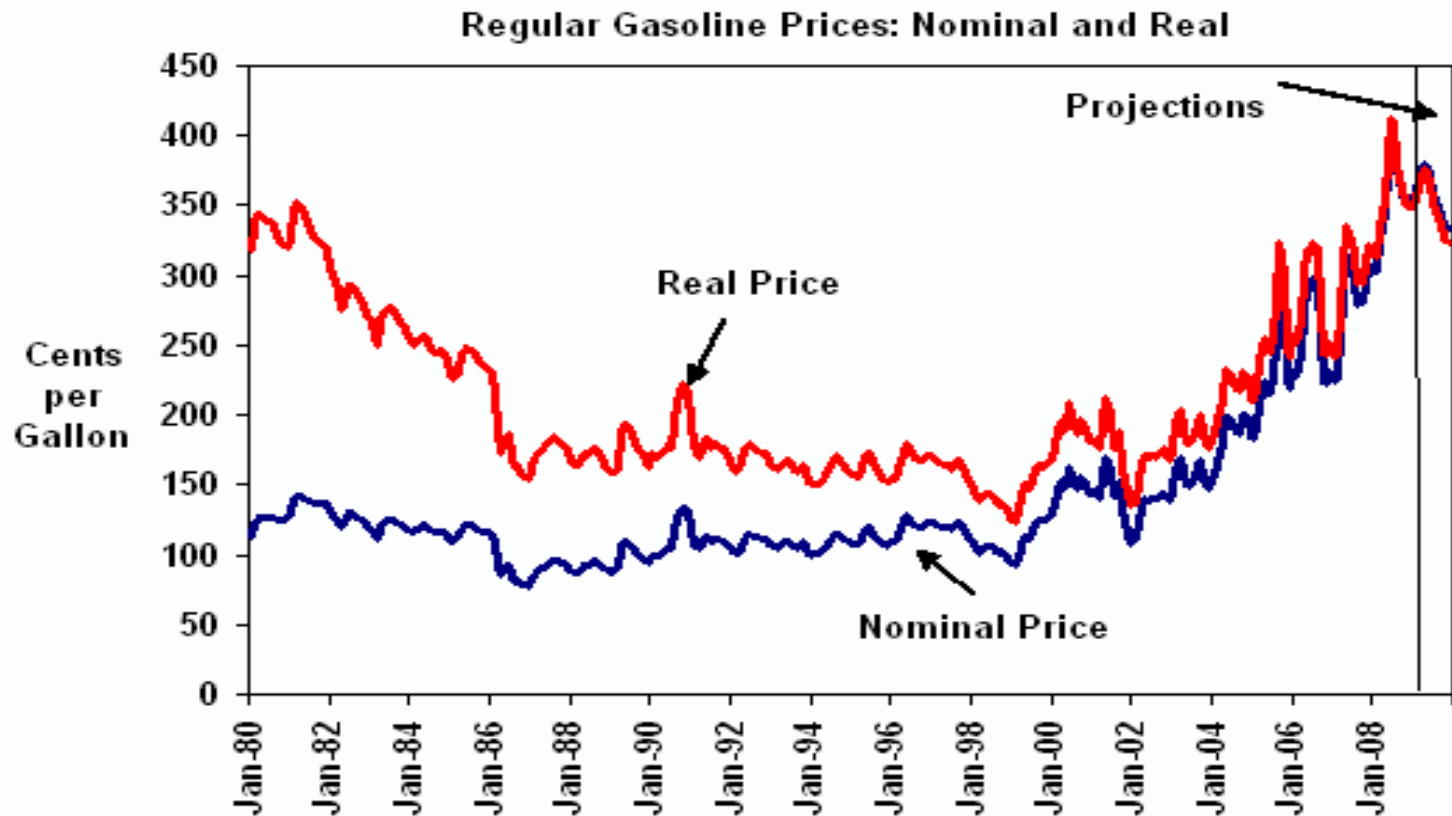
# Crude oil: US trends



McLaren 2008

- ◆ U.S. largest global user of oil energy (albeit efficiently)
- ◆ Increasingly, we rely on imported crude oil
- ◆ High % import from politically unstable regions
- ◆ → **Security** of future energy supply crucial policy issue

# Gasoline prices increased dramatically since 1990s



# Oil price affects agricultural production costs

- ◆ 2007: high petroleum costs affect US ag. expenditures (USDA 2008)
- ◆ Petroleum → fuel, fertilizers, chemicals, transportation, crop prices

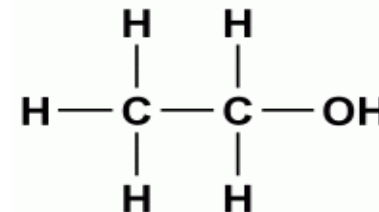
	<b>Total cost</b>	<b>% increase from 2006</b>
<b>US farm production expenditures (total)</b>	\$260 billion	9.3%
<i>Per farm:</i>		
<b>Fertilizer, lime, soil</b>	\$8,070	26%
<b>Feed</b>	\$18,412	22%
<b>Fuel</b>	\$6,137	15%
<b>Agricultural chemicals</b>	\$4,832	12%

# ... so US government looks to renewable fuels such as ethanol


- ◆ “America is addicted to oil.”
  - -President Bush, 2006 State of the Union Address
  
- ◆ Background on ethanol
  - What is ethanol?
  - Ethanol production trends in US
  - Ethanol use in vehicles
  - US policies supportive of ethanol



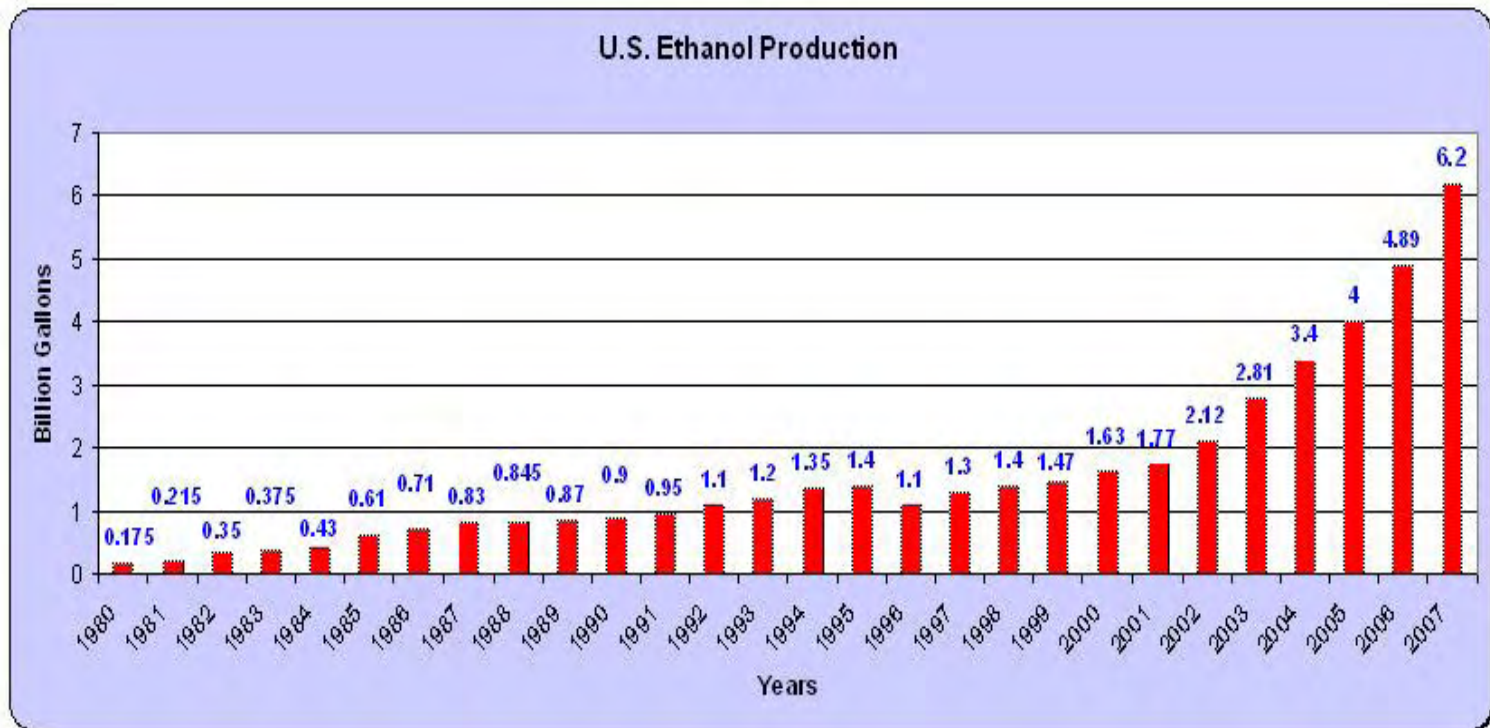
# Ethanol: background



- ◆ Pure alcohol, grain alcohol, drinking alcohol
- ◆ Fermentation of sugar into ethanol “earliest organic reaction known to humanity”
- ◆ Uses
  - Consumption
  - Flavorings & scents
  - Medicines
  - Solvent in chemistry
  - **FUEL** (98% from corn)

	<b>2007 actual</b>	<b>2015 expected</b>	<b>2020 potential</b>
<b>Ethanol produced (B gal)</b>	6.2	15.0	20.0
<b>Corn yield (bu/A)</b>	151	180	200
<b>Corn produced (Mbu)</b>	13,062	16,200	18,000
<b>Corn used for ethanol</b>	1670 (13%)	3866 (24%)	5150 (29%)
<b>Corn available for other uses (Mbu)</b>	11,392	12,334	12,850

# Corn-based ethanol production in U.S. (millions of gallons)



1 bu corn yields 2.7 gallons ethanol: 2.3B bu corn in 2007

U.S. goal: **15 x 15 x 15** (yield, ethanol, 2015)

# Existing & planned ethanol plants



Today: 134 existing plants; 77 under construction

# Ethanol doesn't replace gasoline...

- ◆ ... but complements it
- ◆ Flex-fuel vehicles (FFV): 0-85% ethanol mixed with gasoline
  - E.g., E10 (10% ethanol, 90% gasoline), E85
    - ~7.3 million US cars in use compatible with E85 (out of 65 million)
    - 68% Americans didn't know they owned E85 FFV (2005 survey)
    - ~13.7 million E85 FFVs in Brazil
  - Gasoline-powered cars can use E10



Ford Model T: Earliest FFV



Modern US postal FFV (E85)

# Policy support for ethanol

- ◆ 2007 “20-in-10” initiative:
  - Reduce gasoline consumption by 20% in 10 years
- ◆ Subsidies: \$0.51/gal (now \$0.45/gal) tax credit to refiners
- ◆ Congressional mandates to produce:
  - 4B gallons by 2006, 7.5B gallons by 2012
  - Recent legislation: 36B gallons by 2022
- ◆ DOT: Fuel-economy compliance credits for new E85 vehicles
  - E.g., Chevy S-10 that gets 25 mpg counted for federal compliance purposes as if it gets 40 mpg
  - 2007: Portland, OR: 1<sup>st</sup> city to require all gasoline sold within city limits contain  $\geq 10\%$  ethanol
  - Jan 2008: 3 states require ethanol blends: MO, MN, HI

# National Biofuels Action Plan (USDA/DOE)

- ◆ Released Oct. 7, 2008
- ◆ Interagency Biomass R&D Board focuses on 7 Action Areas:
  - Sustainability
  - Feedstock production
  - Feedstock logistics
  - Conversion science & technology
  - Distribution infrastructure
  - Blending
  - Environment, health & safety
- ◆ Many of these areas have strong **environmental** component

# Environmental health impacts of corn-based ethanol production

- ◆ Food supply
- ◆ Air quality
- ◆ Soil & water quality
- ◆ Energy use
- ◆ Carbon emissions
- ◆ Animal feed quality







# Food vs. fuel debate



- ◆ **“Does corn → ethanol compromise food supply?”**
- ◆ Not in US...
  - Most US corn production → animal feed
  - Corn for human consumption: high-fructose corn syrup
  - 5-10% increase in meat prices (Dale 2008)
- ◆ ...But possibly in poorer, corn-trading nations
  - Food corn same type as US animal feed
  - High food prices → Feb 2007 Mexico riot over tortilla price
  - Food demand will double in 50 years (Tilman 2007)
    - Population increases to 9 billion & meat consumption increases

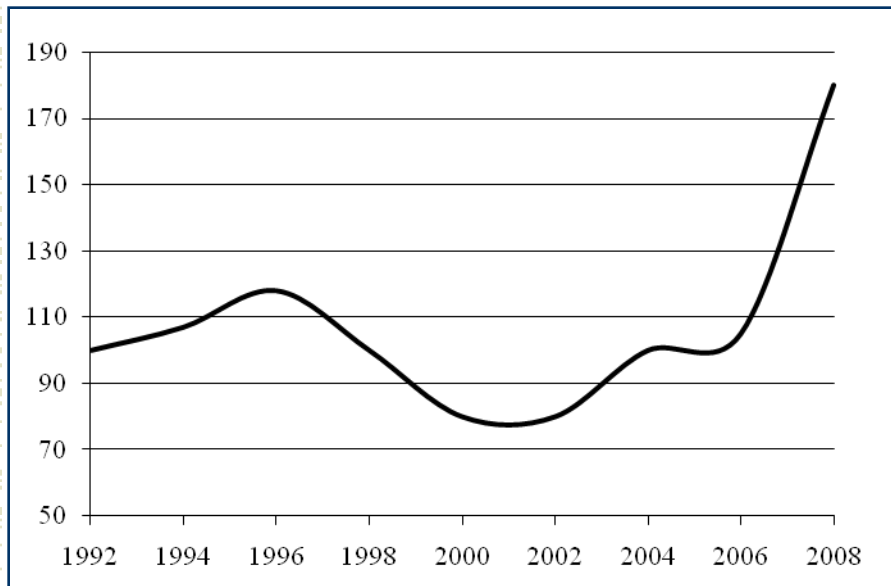


# Increased meat consumption in China & India

- ◆ 1 lb meat requires 10 lbs vegetable
  - ~90% corn, 10% soybean meal
- ◆ “Middle class” growing
- ◆ Statistics:
  - China: 1.33 billion people
  - India: 1.15 billion people
- If each person ate 3 lbs more meat/yr, would need 67 billion lbs more corn → 1.2 billion bushels
  - ~10% of U.S. corn production
  - High all-around demand for corn will raise prices



# Other factors also account for increased food prices



Food commodity prices rose >60%  
in last 2 years. Index: Jan 1992 = 100.

- ◆ Higher fuel prices: increased input & transportation costs
- ◆ Increased food demand as people in developing countries improve diets
- ◆ 2 years of drought: poor harvest in some world regions

# Air quality & health (excluding CO<sub>2</sub>)

- ◆ Air pollution 7<sup>th</sup>-leading cause of death worldwide (WHO 2008)
- ◆ Effects of ethanol (E85) vs. gasoline vehicles
  - Ethanol use **increases**:
    - Ozone (2.14X as much as gasoline!)
    - Acetaldehyde
    - Formaldehyde
  - Ethanol use **decreases**:
    - CO
    - NO<sub>2</sub>, SO<sub>2</sub>
    - Benzene
    - Butadiene
  - No significant change in particulate matter
- ◆ If Los Angeles → E85 vehicles (Jacobson 2007):
  - 9% increase in ozone-related mortality! (asthma, bronchitis, heart attack)
  - No decrease in NO<sub>2</sub>, SO<sub>2</sub>, benzene / butadiene-related illness (e.g., leukemia)



# Soil & water quality



- ◆ Soil overuse: erosion, nitrogen depletion
- ◆ Water use
  - 1 gal ethanol production requires 3-5 gal water
    - Problem for ethanol plants in arid locations
  - Irrigating new dedicated fields needs massive amounts of water
- ◆ Water quality: runoff of pesticides and fertilizers
  - >1000 kg nitrogen fertilizer / km<sup>2</sup> corn into Mississippi River (Schnoor 2008)
    - Hypoxia in Gulf of Mexico: reduced O<sub>2</sub> → fewer fish, shrimp, crabs

# “Carbon neutrality” and biofuels



- ◆ Main concern: Carbon dioxide’s contribution to global warming
- ◆ **Carbon neutral:** New Oxford American Dictionary’s Word of the Year, 2006
  - Carbon emissions from fuel consumption offset by carbon capture & sequestration (e.g., by planting crops)
    - Growing plants remove  $\text{CO}_2$  from air
    - Carbon in plants turned into biofuel (e.g., ethanol)
    - When biofuel combusted,  $\text{CO}_2$  released back into air
  - → If made properly, biofuels can be carbon neutral

# Per unit energy, ethanol trumps gasoline (Farrell et al. 2006)

	<b>Net fossil input</b>	<b>Net fossil ratio</b>	<b>Petroleum input</b>	<b>GHG emissions</b>
	MJ <sub>fossil</sub> needed for each MJ <sub>fuel</sub>	MJ <sub>fuel</sub> made for each MJ <sub>fossil</sub> input	MJ <sub>petroleum</sub> needed for each MJ <sub>fuel</sub>	g CO2 emitted per MJ <sub>fuel</sub>
<b>Gasoline</b>	<b>1.19</b>	<b>0.84</b>	<b>1.10</b>	<b>94</b>
<b>Corn ethanol</b>	<b>0.77</b>	<b>1.30</b>	<b>0.04</b>	<b>77</b>
<b>Cellulosic ethanol</b>	<b>0.10</b>	<b>10.0</b>	<b>0.08</b>	<b>11</b>

# Biofuels & carbon debt: Land use change



- ◆ Land in undisturbed ecosystems (esp. Americas & Asia) converted to:
  - Biofuel production
  - Food production, when agricultural land used for biofuel
- ◆ Converting native habitats to cropland releases CO<sub>2</sub> by burning plants & soils
  - ~50 years: decay of leaves & roots further releases CO<sub>2</sub>
  - This is “carbon debt”
- ◆ Over time, biofuels on converted land can repay carbon debts, if their greenhouse gas (GHG) emissions are less than GHG emissions of fossil fuels they displace.

# How many years for biofuels to repay carbon debt?

<b>Ethanol production type</b> ( <i>Searchinger et al. 2008</i> )	<b># years of increased GHGs</b>
Corn-based	<b>167</b>
Corn-based, improved yield & tech	<b>34</b>
Switchgrass-based (corn land)	<b>52</b>
Brazilian sugarcane (tropical rain forest)	<b>45</b>
Brazilian sugarcane (grazing land)	<b>4</b>

<b>Ethanol production type</b> ( <i>Fargione et al. 2008</i> )	<b># years of increased GHGs</b>
Corn-based (central grassland)	<b>93</b>
Corn-based (abandoned land)	<b>48</b>
Switchgrass-based (marginal cropland)	<b>0</b>
Brazilian sugarcane (wooded land)	<b>17</b>



# Ethanol production impacts on animal feed quality

- ◆ Ethanol produced from corn → **Mycotoxins** (toxins of fungal origin) concentrated up to 3X in co-products
- ◆ → 90% co-products fed to livestock & poultry in animal feed
  - Usually as Dried Distillers Grains plus Solubles (DDGS)



- ◆ *What is the impact to animal health?*

# Mycotoxins of concern in corn

Fumonisin	<i>Fusarium verticillioides, F. proliferatum</i>
Aflatoxin	<i>Aspergillus flavus, A. parasiticus</i>
Deoxynivalenol (DON, vomitoxin)	<i>F. graminearum, F. culmorum</i>
Zearalenone	<i>F. graminearum, F. culmorum</i>

## *Multiple adverse animal health effects*

**Left to right:**  
*Fusarium* ear rot,  
*Gibberella* ear rot,  
*Aspergillus* ear rot  
(photos: Gary Munkvold)



# DDGS risk to animals

- ◆ Impact to livestock industry ~\$10 millions annually in reduced animal weight alone
  - (Wu F, Munkvold GP, *J. Agric. Food Chem* 56:3900-3911, 2008)
  
- ◆ But not much economic incentive to change this
  - Ethanol plants want to sell DDGS to livestock producers...
    - 10-20% revenues come from DDGS: \$1.5 billion in 2006
    - Significant source of revenue for ethanol plants
  - ... and livestock producers want to keep buying it
    - High corn price → turn to cheaper feedstuffs
    - Currently, DDGS cheap: ~85% of cost of corn

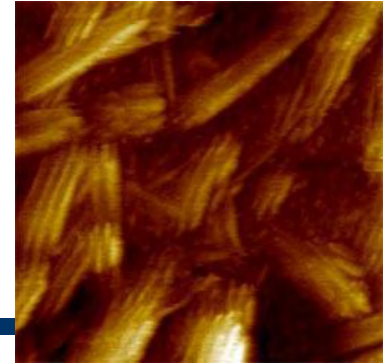
# Summary: Environmental impacts of corn ethanol, compared to gasoline

<b>Food supply</b>	Both implicated in higher food prices: Potential risk in poorer nations
<b>Air quality</b>	Increased respiratory mortality & morbidity
<b>Water use &amp; quality</b>	Similar water use, risks to water quality from increased runoff
<b>Energy use</b>	Per unit improvement over gasoline
<b>GHG emissions</b>	Over time, will result in lower emissions
<b>Animal feed</b>	Potentially increased mycotoxin risk

# Corn growers already adopting environmental solutions...

- ◆ No-till farming
  - Slows erosion, builds soil organic matter
- ◆ Advanced fertilizer technology
  - Improves crop nitrogen capture
- ◆ Cover crops
  - Sequester soil carbon, intercept nitrate & phosphorus runoff
- ◆ Crop genetic improvements
  - Reduce pesticides & mycotoxins, can increase stress tolerance & nutrient efficiency
- ◆ **Beneficiaries:**
  - Corn growers: lower input costs
  - Ethanol plants: lower grain costs
  - Environmental sectors: reduced chemicals → reduced hypoxia & water pollution, more efficient land & water use

# Lignocellulosic ethanol: Introduction

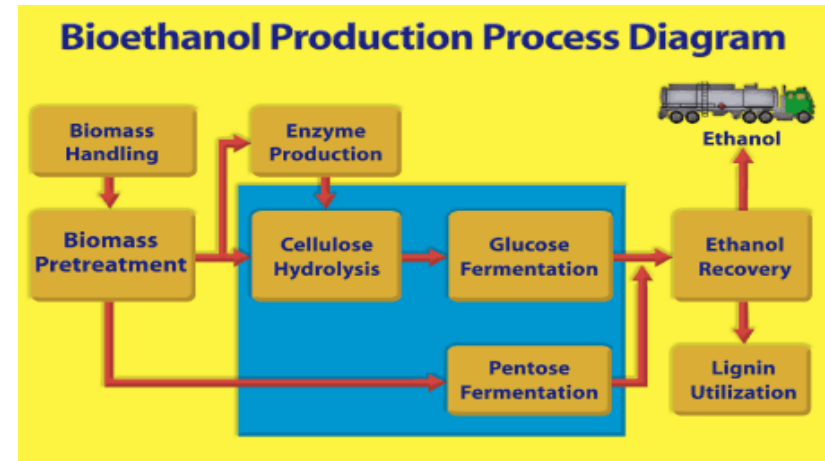


- ◆ “Lignocellulosic”: biomass composed of cellulose, hemicellulose (carbohydrates), & lignin (cell wall component)
- ◆ Can be converted to ethanol
- ◆ Sources
  - Agricultural residues (e.g., corn stovers, wheat straw)
  - Dedicated energy crops: switchgrass, hybrid poplars (cottonwoods), hybrid willows
  - Wood residues (e.g., sawmill & papermill discards)
  - Municipal paper waste

# Lignocellulosic ethanol production

(Image source: DOE 2008)

- ◆ Barriers to ethanol production
  - Sugars for fermentation trapped inside lignocellulose
  - High % of pentose vs. hexose in hemicellulose: difficult to ferment
- ◆ Solutions
  - Pretreatment: open cell wall materials for enzymatic attack
  - Enzymatic hydrolysis (cellulase): converts cell walls to sugars
  - Fermentation: to convert sugars to ethanol → engineered yeast to degrade pentose



# Energy return on investment for ethanol

- ◆  $r_E$  = ratio of energy in a gallon of ethanol to the nonrenewable energy required to make it
- ◆  $r_E = E_{\text{out}} / E_{\text{in,nonrenewable}}$ 
  - $r_E$  always  $< 1$  for nonrenewables like gasoline: at least some gross energy input is lost when refining energy product
  - If  $r_E > 1$ , we've captured some renewable energy value
  - If  $r_E > 0.76$ , it consumes less nonrenewable energy than gasoline
- ◆ Meta-analysis of ethanol studies (Hammerschlag 2006):
  - $0.84 \leq r_E \leq 1.65$  for corn-based ethanol
  - $4.40 \leq r_E \leq 6.61$  for cellulosic ethanol



# Lignocellulosic ethanol: Promises

- ◆ May 2008 Farm Bill: subsidies for cellulosic ethanol
  - Refiners: \$1.01 / gallon
  - Growers: \$45 / ton of biomass
- ◆ Potential environmental benefits:
  - Can grow cellulosic crops on marginal lands
  - Reduces (doesn't completely solve) “food vs. fuel” dilemma
  - Perennial crops do not have high water / pesticide / fertilizer requirements
  - Reduces GHG emissions

# Lignocellulosic ethanol: Potential issues

- ◆ Logistics: Harvesting, storage, preprocessing / grinding, transportation ~20% of current cost
- ◆ What to do with co-products?
  - Animals can't eat wood / paper residues
  - Ethanol plants prefer to make profit from all parts of feedstocks
- ◆ Even dedicated crops pose environmental concerns
  - Use of marginal land can destroy biodiversity
  - Some proposed crops are exotic or invasive: further threats to local biodiversity
  - “Food vs. fuel”: if profitable enough, may displace food crops

# Win-win: Alternatives & potential technology / policy solutions

- ◆ How to achieve environmental quality without making any sector worse off? Solutions in:
  - Transgenic (genetically modified) crops
  - Alternative biofuels
  - Improved conversion processes
  - Other renewable energy sources
  - More energy-efficient lifestyles

# Transgenics: Bt corn & other crops



Photo: G Munkvold

- ◆ Bt corn can provide benefits in both starch & lignocellulosic feedstocks
- ◆ Through reduced insect damage:
  - Increase in yield
  - Increase in biomass
  - Reduction of mycotoxins → more efficient conversion, healthier co-products for animal feed
  - *Munkvold, Wu, Pometto, USDA Biotechnology Risk Assessment Grant (2008-2011)*
- ◆ Other transgenic possibilities:
  - Stress-tolerant, drought-resistant crops to grow on marginal lands
  - Improved fuel conversion (e.g., more easily fermentable starch)

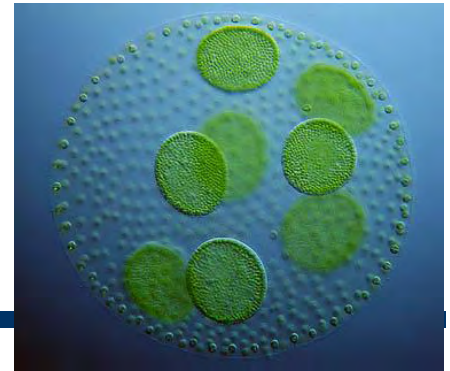
# New biofuels

- ◆ Butanol
  - Produced via fermenting biomass
  - Good energy density, but too costly to produce pure butanol
- ◆ Mixed alcohols
  - Mixture of microorganisms digest biomass to acids
  - Acids converted into corresponding alcohols (ethanol, butanol, etc.)
  - Needs pH control & massive biomass in silage-like piles
- ◆ Biogas (methane)
  - Generated by anaerobic conversion of waste residues
  - Methane combusted in furnace → heat or steam
  - Useful if liquefied natural gas more common as transport fuel

# Syngas → ethanol

- ◆ New progress in thermochemical conversion processes through improved catalysis
  - Synthetic gas, “syngas,” can be converted to ethanol
  - Syngas (CO & H<sub>2</sub>) made by gasification of carbon-based materials
    - High temperature / pressure, oxygen-controlled atmosphere
- ◆ DOE / Ames laboratory & ISU: develop nanotechnology-based catalyst to react with syngas to form ethanol
  - Avoids producing unwanted waste products
  - Advantages: can convert almost any carbon-based material to syngas (similar to feedstocks of cellulosic ethanol)

# Algae as biofuel source



- ◆ “Algaculture”: farming algae for biofuels
- ◆ Algae can be metabolically altered to generate high levels of oil
  - ~6000 gal biofuel/A
- ◆ Environmental benefits:
  - Doesn’t need freshwater, can use ocean or wastewater
  - DOE: If algal fuel replaced all petroleum fuel in US, would only require area equivalent to size of Maryland
- ◆ Challenges:
  - Containment, temp. control, high infrastructure costs

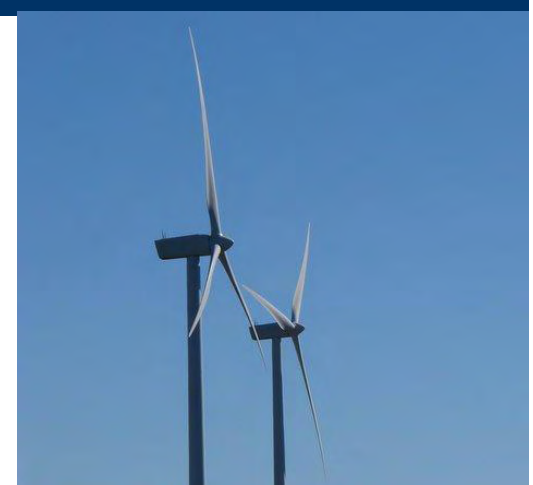
# “True renewables”: Wind and solar energy

## ◆ Benefits

- Wind & solar energy are plentiful, renewable, widely distributed, clean, & in theory have zero GHG emissions

## ◆ Current issues

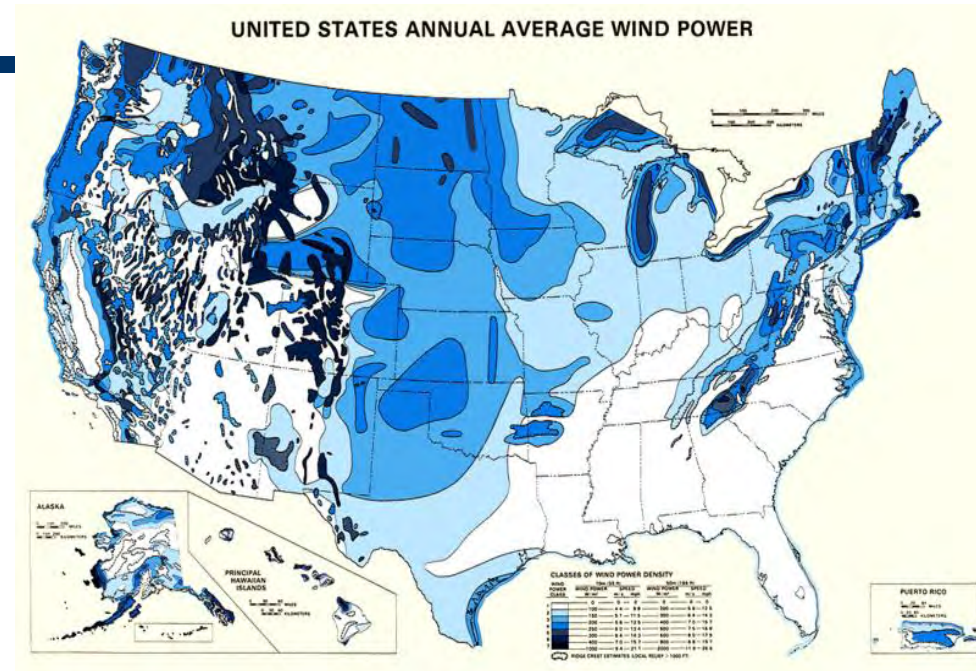
- Infrastructure costs
- Siting & transmission lines





# Windmills and Pickens' Plan

- ◆ T. Boone Pickens (July '08)
- ◆ Invest **\$1 trillion** in wind turbine farms in Great Plains Wind Corridor
- ◆ Turbines connect to power grid
- ◆ Displaced natural gas can fuel vehicles



- ◆ Could supply <20% US electricity
- ◆ Could save US **\$300 billion** annually in foreign oil
- ◆ Could reduce GHG emissions (natural gas lower-polluting)
- ◆ Issues: transmission lines expensive, natural gas still need for peak electricity demand

# More energy-efficient lifestyles

- ◆ Our energy demands drove up price of oil...

- ◆ Transport

- Fuel-efficient vehicles
- Mass transit
- Bicycling / walking

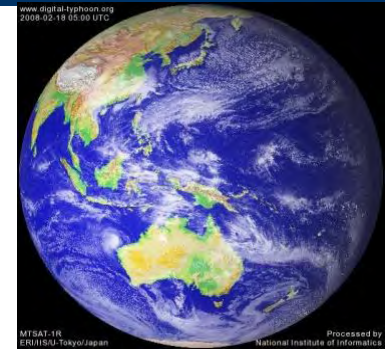


- ◆ Buildings

- Use as much energy as transportation, release twice as much greenhouse gas (Tilman 2007)
- Natural lighting, compact fluorescents, turning off lights & appliances, smaller homes (“Small House Society”)

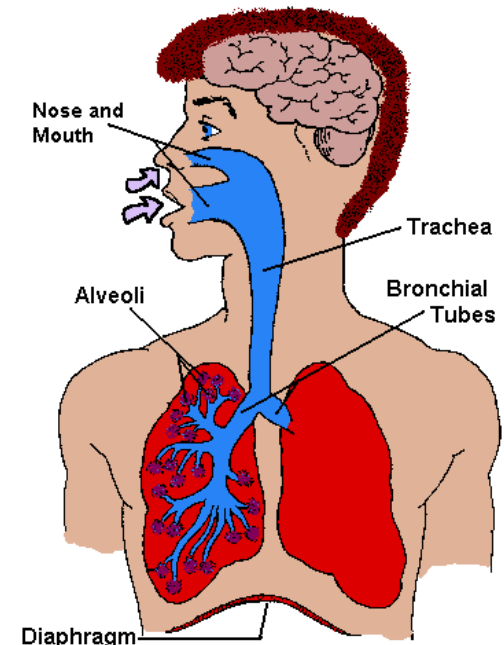
# Summary

- ◆ We can avoid a global energy crisis through renewable fuel sources
- ◆ Ethanol has unique environmental health benefits & risks compared with gasoline
- ◆ Technology & appropriate policies can make win-win situations for multiple sectors
- ◆ Other renewable sources & lifestyle changes should be considered in conjunction with ethanol for energy-secure future



# Final thoughts

- ◆ Ethanol & climate change: well-characterized
- ◆ But ethanol & ozone-related mortality:
  - **We need to pay more attention!**
- ◆ Many environmental solutions focus on how to produce ethanol more efficiently...
  - **But how to reduce ozone pollution?**
  - Focus technology \$ and policy here
- ◆ Get government agencies & universities to “reach across the aisle”



# Acknowledgment

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