



# Evidence-Based Practices Community of Practice (CoP) 2.0

Learning Cycle #1 Webinar: Building Understanding

Megan Austin | Lyzz Davis | Cassie Meyer

2/8/2018



**State Support Network**

Partnering for School Improvement

# Meet the Facilitators



**Megan Austin**

*The Network  
Co-Subject Matter  
Expert*



**Lyzz Davis**

*The Network  
Co-Subject Matter  
Expert*



**Cassie Meyer**

*The Network  
Community of Practice  
(CoP) Lead Facilitator*

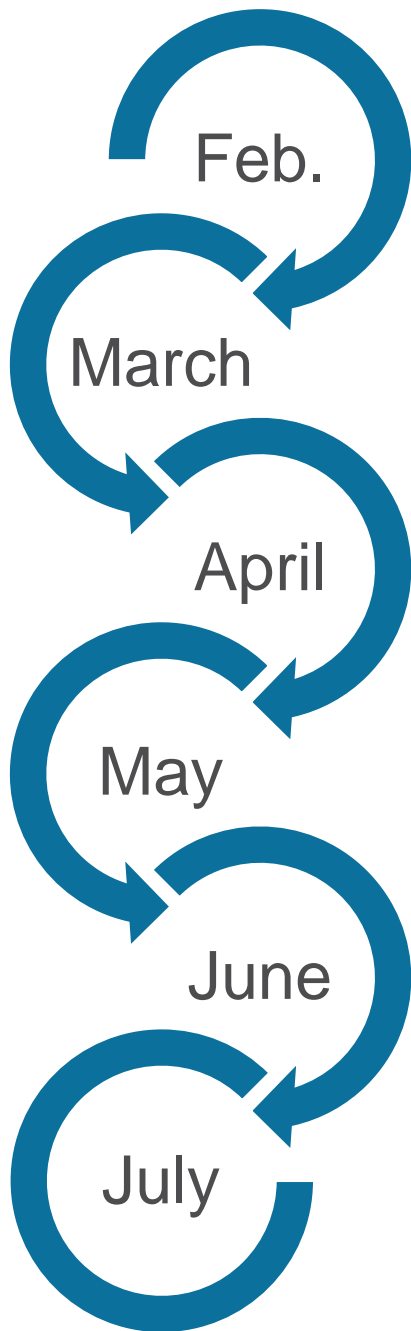


**Erin Shackel**

*U.S. Department of Education  
Office of State Support*



**State Support Network**  
Partnering for School Improvement



## CoP Timeframe and Activities

- There are six learning cycles
- A learning cycle is approximately four weeks
- Learning cycles include a synchronous virtual meeting and asynchronous online collaborative activities

# What's on the Collaborative Space

- Calendar
- Collaborative Documents
- Discussion
- Resource Library

# CoP Activities

Activity

Feb

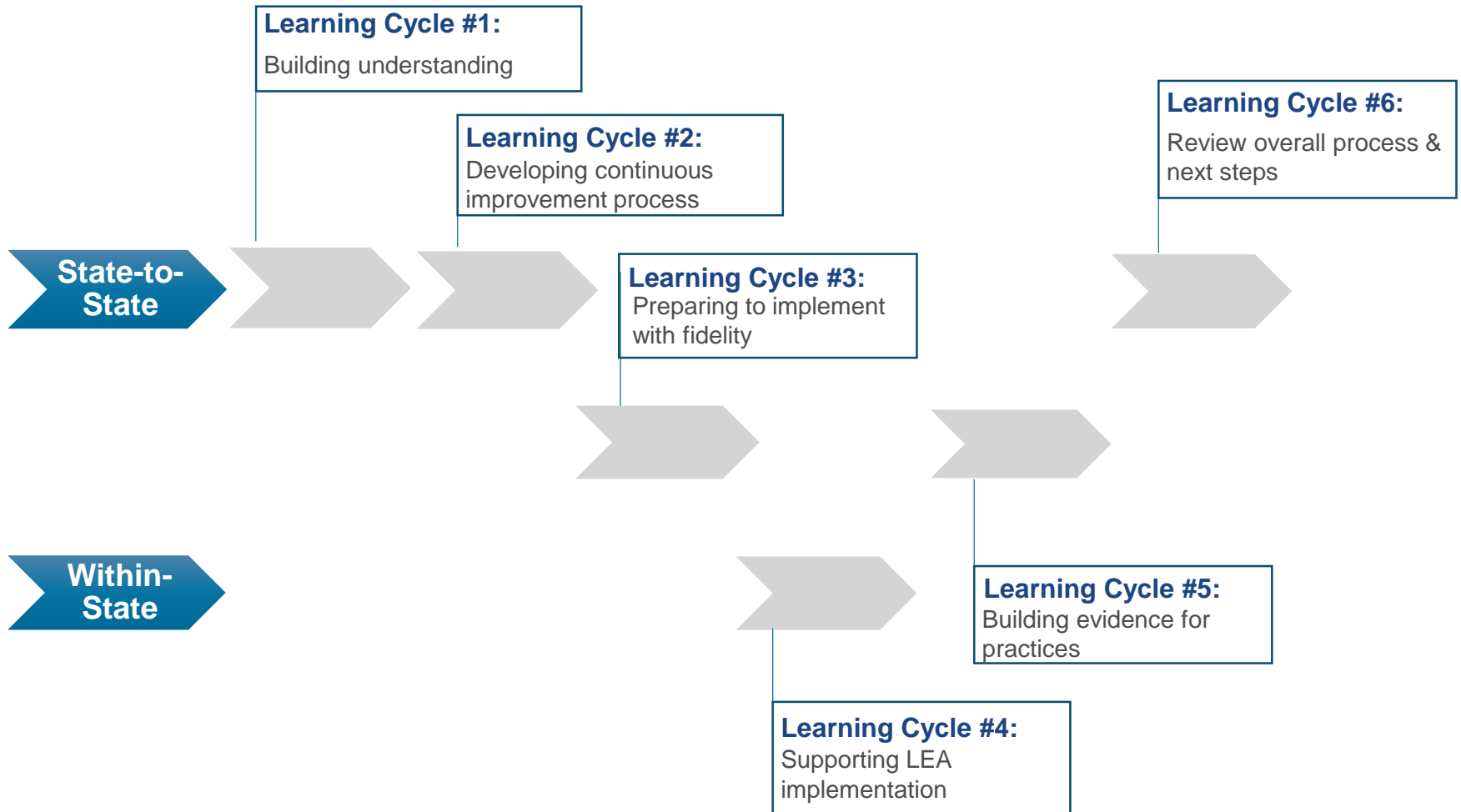
Mar

Apr

May

Jun

Jul



# Goals for Today's Virtual Meeting

1. Get to know one another
2. Establish common understanding of evidence-based practices requirements
3. Introduce cycles of continuous improvement
4. Agree on next steps for the CoP

# Introductions

In one minute or less, introduce yourself and give an example of a time you've been part of a community of practice and what made it valuable (or not!).





# USING EVIDENCE TO STRENGTHEN EDUCATION INVESTMENTS

FEBRUARY 2018

ERIN SHACKEL, EDUCATION PROGRAM SPECIALIST, OFFICE OF STATE SUPPORT



# EVIDENCE IN ESSA

- “Evidence-based” interventions in Titles I, II, IV, VI
- Defines “evidence-based” as having 4 levels
  - Strong evidence
  - Moderate evidence
  - Promising evidence
  - Evidence that demonstrates a rationale
- Higher levels of evidence required for select competitions and school improvement funds (1003)



# MEANING, RATIONALE, AND IMPLEMENTATION

- **Evidence** is a broad term, capturing a range of information; *suggests something may work*
- **Rationale** - using information on what has been shown to work in other locations will lead to better investments and, therefore, better results for students
- **Implementation** – requires stakeholder buy-in; quality and fidelity of implementation matter or else could be viewed as just another compliance exercise



# NON-REGULATORY GUIDANCE ON STRENGTHENING THE USE OF EVIDENCE

## ■ Guidance on Evidence in ESEA

<http://www2.ed.gov/policy/elsec/leg/essa/guidanceuseininvestment.pdf>

## ■ Purpose of the guidance

- 1) **Clarification** – answers many questions ED received
- 2) **Standardized framework** – how to use evidence/  
understanding 4 levels

## ■ Background

- Non-binding, non-regulatory guidance
- Applies to all programs in ESEA; use with program guidance
- Designed to support SEA/LEA/partner use of evidence
- Informs ED's technical assistance materials for consistency



	Strong Evidence	Moderate Evidence	Promising Evidence	Demonstrates a Rationale
Study Design	Experimental study	Quasi-experimental study	Correlational study with statistical controls for selection bias	Provides a well-specified logic model informed by research or evaluation
WWC Standard	Meets WWC Evidence Standards <u>without</u> reservations (or is the equivalent quality)	Meets WWC Evidence Standards <u>with</u> or <u>without</u> reservations (or is the equivalent quality)	N/A	N/A
Favorable Effects	Shows a statistically significant and positive (i.e., favorable) effect of the intervention on a student outcome or other relevant outcome	Shows a statistically significant and positive (i.e., favorable) effect of the intervention on a student outcome or other relevant outcome	Shows a statistically significant and positive (i.e., favorable) effect of the intervention on a student outcome or other relevant outcome	Relevant research or an evaluation that suggests that the intervention is likely to improve a student outcome or other relevant outcome
Other Effects	Is not overridden by statistically significant and negative (i.e., unfavorable) evidence from other findings in studies that meet WWC Evidence Standards with or without reservations (or are the equivalent quality)	Is not overridden by statistically significant and negative (i.e., unfavorable) evidence from other findings in studies that meet WWC Evidence Standards with or without reservations (or are the equivalent quality)	Is not overridden by statistically significant and negative (i.e., unfavorable) evidence from other findings in studies that meet WWC Evidence Standards with or without reservations (or are the equivalent quality)	An effort to study the effects of the intervention, ideally producing promising evidence or higher, will happen as part of the intervention or is underway elsewhere
Sample Size and Overlap	Includes a large sample and a multi-site sample, overlapping with populations <u>and</u> settings proposed to receive the intervention	Includes a large sample and a multi-site sample, overlapping with populations <u>or</u> settings proposed to receive the intervention	N/A	N/A

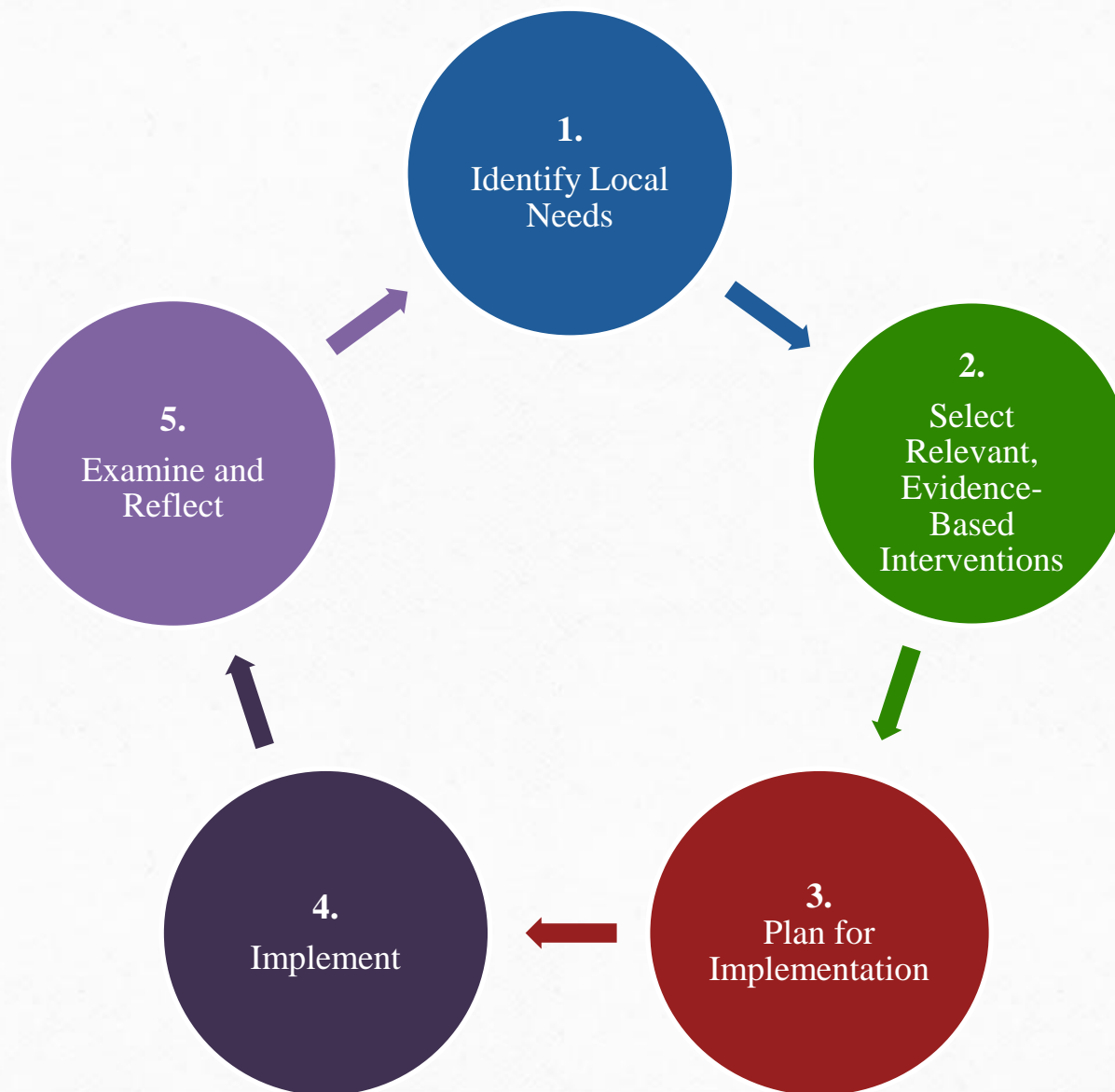
# USING EVIDENCE

## GENERAL RECOMMENDATIONS

- Breadth - Look at the entire body of literature, not just one study
- Focus on important outcomes
- Rigor and relevance matter
  - Local context is important
  - If all else equal, use more rigorous evidence (e.g. strong or moderate)
    - Can start with WWC or look at studies of equivalent quality



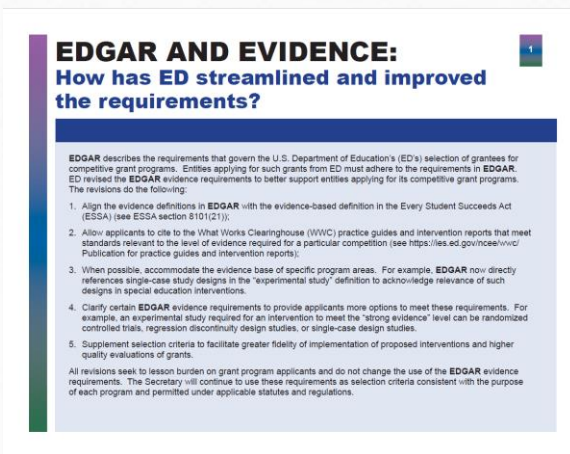
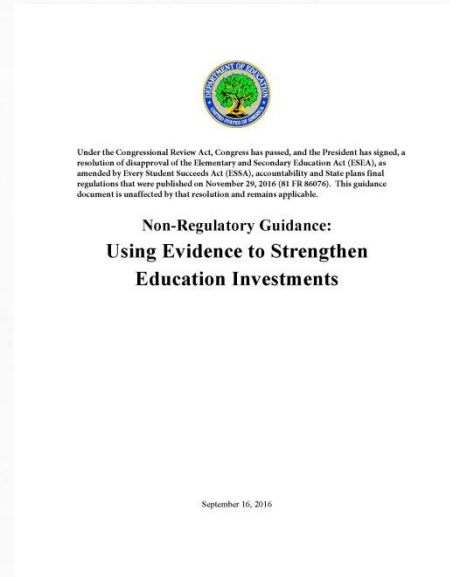
# 5 STEPS FOR DECISION-MAKING



# EVIDENCE IN ESEA

## EVIDENCE RESOURCES

- [Non-Regulatory Guidance: Using Evidence to Strengthen Education Investments](#) (September, 2016)
- [Education Department General Administrative Regulations: Technical Revisions Fact Sheet](#) (October, 2017)
- [Technical Assistance Resources](#) (Case studies, resource inventory, webinars)



# QUESTIONS?

CONTACT [ERIN.SHACKEL@ED.GOV](mailto:ERIN.SHACKEL@ED.GOV)

PLEASE REACH OUT TO YOUR OSS PROGRAM OFFICER  
AND THE OSS MAILBOX SUCH AS [OSS.TEXAS@ED.GOV](mailto:OSS.TEXAS@ED.GOV)





# Aligning ESEA Tiers of Evidence with Existing Resources

# What Works Clearinghouse Standards

## Meets Standards without Reservations

- Well designed and executed experimental design (randomized controlled trial [RCT] with low attrition)

## Meets Standards with Reservations

- Well designed and executed quasi-experimental design with baseline equivalence OR a RCT with high attrition



**How do these standards tie in with what we know about the ESEA tiers of evidence?**

# ESEA Evidence Tiers 1 & 2

<b>Strong Evidence (Tier 1)</b>	<b>Moderate Evidence (Tier 2)</b>
Well-designed and implemented experimental study	Well-designed and implemented quasi-experimental study OR an RCT with high attrition

Aligns with WWC Meets standards with (Tier 2) and without (Tier 1) reservations

# ESEA Evidence Tiers 1 & 2

## Strong Evidence (Tier 1)

## Moderate Evidence (Tier 2)

Significant positive effect on relevant outcome  
No overriding negative effects from causal studies  
Large, multisite sample

Not factored into WWC ratings, but information is available

# ESEA Evidence Tiers 1 & 2

**Strong Evidence (Tier 1)**

**Moderate Evidence (Tier 2)**

Study sample(s) overlap with population of interest

Different for each state, district, or other context

## ESEA Tier 3

### Promising Evidence (Tier 3)

Well-designed and implemented correlational study

OR

Well-designed and implemented RCT or QED without a large/multisite sample

Correlational studies are not reviewed by WWC

RCTs and QEDs would otherwise meet strong or moderate evidence.

# ESEA Tier 3

## Promising Evidence (Tier 3)

Statistical controls for selection bias

No baseline equivalence necessary



# ESEA Tier 3

## Promising Evidence (Tier 3)

Significant positive effect on relevant outcome  
No overriding negative effects from causal studies

Aligns with WWC positive and potentially positive effectiveness ratings

**Remember! No large/multisite sample requirement for Tier 3.**

# WWC-ESSA—Alignment

WWC standard	Positive/ potentially positive	Large, multisite sample	ESSA standard
Meets standards without reservations	✓	✓	Strong Evidence (Tier 1)
	✓	✗	Promising Evidence (Tier 3)
	✗	✗ / ✓	Does not meet ESSA Tiers 1–3
Meets standards with reservations	✓	✓	Moderate Evidence (Tier 2)
	✓	✗	Promising Evidence (Tier 3)
	✗	✗ / ✓	Does not meet ESSA Tiers 1–3

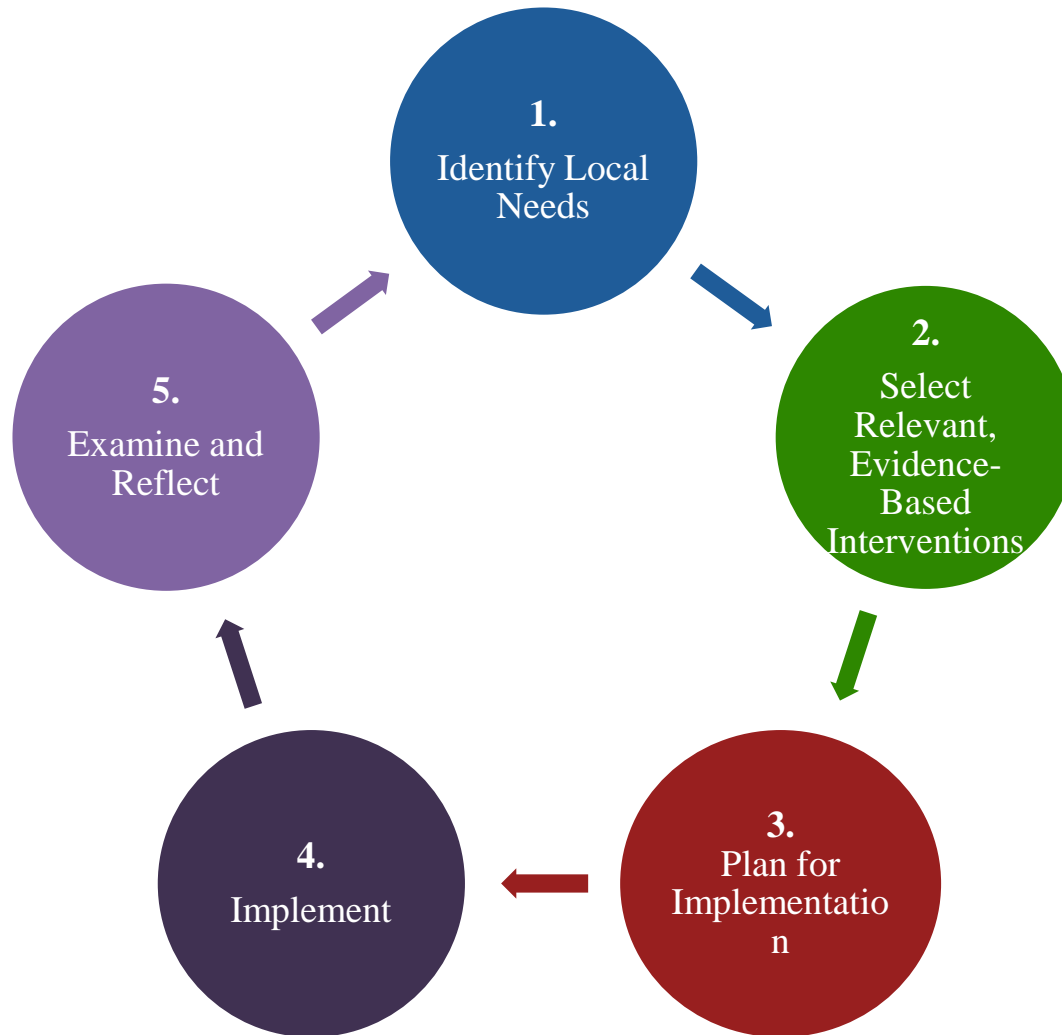
**Remember! Always look for studies with samples that align with your population of interest.**

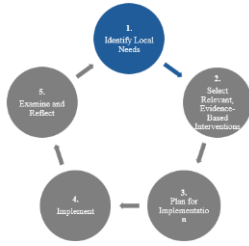
# Reflecting on Evidence

- What questions do you still have about the requirements for evidence-based practices in ESEA?
- How does or will your state build understanding among LEAs of evidence use and how evidence can support improvement?

# Continuous Improvement Frameworks

# Revisiting the ESEA Guidance





# 1. Identify Local Needs

**Identify Stakeholders**

Parents – Students – Educators – Community partners

**Collect Information**

Interviews – Focus groups – Surveys – Administrative data – School climate data

**Identify Gaps**

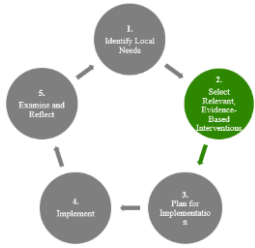
Student outcomes versus performance goals

**Identify Root Cause**

Possible explanations for gaps

**Prioritize**

How needs should be prioritized

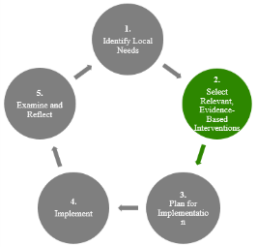


## 2. Select Relevant, Evidence-based Interventions

Intervention = Policy, practice, program, or strategy to improve outcomes.

Two sets of questions:

1. Are there evidence-based interventions available that meet our needs?
  - What level of evidence exists?
2. What is our local capacity for implementing the interventions that meet our needs?



## 2. Select Relevant, Evidence-based Interventions

### Local Capacity

Staffing

Buy-In

Funding

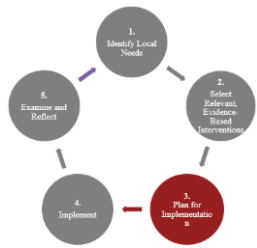
Expertise

Alignment w/ Goals

Cost/Benefit

Sustainability





## 3. Plan for Implementation

Having a plan in place before implementation:

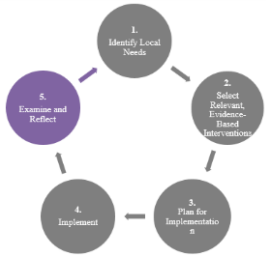
1. Logic model
2. Goals
3. Roles/responsibilities
4. Timeline
5. Resources
6. Data collection/analysis plan



## 4. Implement

Continuous monitoring:

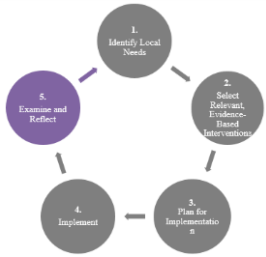
1. What is the quality/fidelity of implementation?
2. In practice, is the implementation plan being followed?
3. Are there adequate resources, time, stakeholder engagement?
4. Are there unforeseen barriers?
5. Is the intervention working well with other efforts in the schools?



## 5. Examine and Reflect

A few strategies for determining to what extent the intervention is working:

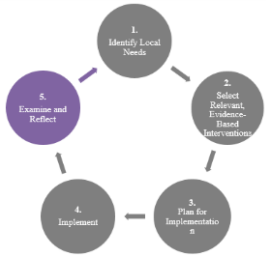
- Performance monitoring
- Evaluating effectiveness



## 5. Examine and Reflect

Performance monitoring:

- Allows for tracking progress towards goals
- Measures fidelity of implementation
- Is formative in nature



## 5. Examine and Reflect

### Evaluating effectiveness

- Requires more rigorous research design: looking at impacts on outcomes
- Is summative in nature
- Produces studies that could meet strong (Tier 1) or moderate (Tier 2) evidence

# State Example: Ohio Improvement Process

## STAGE 0 Prepare for the OIP

Preparing for the OIP provides the basics on establishing the collaborative structures and processes necessary to develop, implement, monitor, and evaluate the OIP. In addition to defining the necessary collaborative structures, it describes the practices of communication and engagement, decision making, and resource management that are threaded throughout the OIP.

## STAGE 1

Identify Critical Needs of Districts and Schools.

### How

do these teams work in districts and schools?

Use data to identify critical needs.

### How

do these teams work in districts and schools?

Review data.  
Gather evidence of implementation and impact.

## STAGE 4

Evaluate the Improvement Process.

## STAGE 2

Develop a Focused Plan.

### How

do these teams work in districts and schools?

Develop goal(s), strategies, indicators, and action steps focused on Stage 1 critical needs.

### How

do these teams work in districts and schools?

Implement strategies and action steps to achieve district goals. Monitor fidelity of implementation and effect on changes in adult practice and student learning.

## STAGE 3

Implement and Monitor the Focused Plan.

### Who is Involved?

- District and Community School Leadership Team (DLT/CSLT)
- Building Leadership Teams (BLTs)
- Teacher-Based Teams (TBTs)



# Reflect on Continuous Improvement

- Do you have a continuous improvement framework in place? How do you use it?
- How do you currently approach implementation and process monitoring? What works well? What doesn't work well?
- What questions do you still have about continuous improvement frameworks?

# Options for Next Learning Cycle

What formats for learning from one another would be most useful?

- State presentations on their work
- State consultancies where you collaborate to address a question
- Both



# Additional Options

Would you be interested in sharing materials with other states and seeing the materials other states have created? For example:

- Continuous improvement plans
- Implementation examples
- Materials for communicating with districts
- Rubrics

# Next Steps

- Submit documents and questions to Cassie Meyer at [cmeyer@air.org](mailto:cmeyer@air.org) or post to online space
- Help us select the date for our next 90-minute meeting. Vote via the AdobeConnect poll:
  - Wed., March 7 from 2-3:30pm ET/11am-12:30pm PT
  - Thurs., March 8 from 2-3:30pm ET/11am-12:30pm PT
  - Fri., March 9 from 2-3:30pm ET/11am-12:30pm PT
- Volunteer to present
- Look for communications about pre-work
- Contribute to discussion in the online space

# Coming Your Way...

- Participant list
- Today's meeting materials, plus any follow-ups discussed
- Evidence-based practice resources
- Continuous improvement cycle resources