



**United States Coast Guard**

**FACILITY SECURITY PLAN (FSP) REVIEW CHECKLIST**

<b>Facility Name:</b>	<b>Facility Type:</b>
<b>Facility ID Number:</b>	<b>MISLE Activity Number:</b>
<b>Date(s) Conducted:</b>	<b>CG Unit:</b>
<b>1<sup>st</sup> Reviewer:</b>	<b>2<sup>nd</sup> Reviewer:</b>

**Guidance for completing the *Facility Security Plan (FSP) Review Checklist* –**

Coast Guard facility inspectors shall complete the checklist by verifying the contents of the FSP submitted for review, are in line with the requirements as per 33 CFR 105.405. Each inspected item contained in the checklist must be notated as one of the following:

- Satisfactory*** - Item meets requirements contained in the guide and referenced regulations.
- Not Satisfactory*** - Item does not meet requirements in the guide and referenced regulations or is missing altogether.
- Not Applicable*** - Item does not apply to this facility; the FSP should state why the regulatory provision is not applicable.

*Sensitive Security Information (when filled out)*

**United States Coast Guard**

***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

Facility Identification Number:		OPFAC:	
Facility Name:		Facility Type:	
Reviewer:		QA Reviewer:	
		MISLE Activity #:	

<b>§105.405 Format &amp; Content of the Facility Security Plan (FSP)</b>	<b>Yes</b>	<b>No</b>
<b>(a) Does the plan follow the order as it appears below?</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>- If no, does the plan contain an index identifying the required elements and their location?</b>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(1) Security administration and organization of the facility</b> <i>Does the plan contain a security organization?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(2) Personnel training</b> <i>Does the plan contain personnel training procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(3) Drills and exercises</b> <i>Does the plan contain drill and exercise procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(4) Records and documentation</b> <i>Does the plan contain facility recordkeeping and documentation procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(5) Response to change in MARSEC Level</b> <i>Does the plan contain procedures for responding to MARSEC level changes?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(6) Procedures for interfacing with vessels</b> <i>Does the plan contain procedures for interfacing with vessels?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(7) Declaration of Security (DoS)</b> <i>Does the plan identify DoS procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(8) Communications</b> <i>Does the plan contain communication procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(9) Security systems and equipment maintenance</b> <i>Does the plan contain security systems and equipment maintenance procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(10) Security measures for access control, including designated public access areas</b> <i>Does the plan contain security measures for access control?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(11) Security measures for restricted areas</b> <i>Does the plan contain security measures for restricted areas?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(12) Security measures for handling cargo</b> <i>Does the plan identify security measures for handling cargo?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(13) Security measures for delivery of vessel stores and bunkers</b> <i>Does the plan address the security procedures for delivery of vessel stores and bunkers?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(14) Security measures for monitoring</b> <i>Does the plan identify security measures for monitoring?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(15) Security incident procedures</b> <i>Does the plan contain security incident procedures?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(16) Audits and security plan amendments</b> <i>Does the plan contain procedures for auditing and updating the plan?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(17) Facility Security Assessment (FSA) report</b> <i>Does the plan contain a FSA report?</i>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(18) Facility Vulnerability and Security Measures Summary (Form CG-6025)</b> <i>Does the plan contain a completed CG-6025 form?</i>	<input type="checkbox"/>	<input type="checkbox"/>

**Note:** If two or more of the above questions are marked “No” then the FSP may be returned to the originator for correction before being reviewed. The plan may not be approved if the FSA report or the CG-6025 form is missing.



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***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

Facility Identification Number:		OPFAC:				
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Reviewer:		QA Reviewer:				
		MISLE Activity #:				
<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>	
<p><b>(1) Security Administration and Organization of the Facility</b></p>						
<p><b>105.200 Owner or Operator</b></p>						
<p>(a) Each facility owner or operator must <b>ensure</b> that the facility operates in compliance with the requirements of this part.</p>						
<p>(b) For each facility, does the Facility Security Plan (FSP) <b>include</b> the following:</p>						
<p>(1) A defined security organization structure that identifies specific security duties and responsibilities;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(2) FSO designation in writing with a 24-hour contact method;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(3) Procedures to ensure that a Facility Security Assessment (FSA) is conducted;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(4) Procedures to ensure the development and submission for approval of an FSP;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(5) Procedures to ensure the facility operates in compliance with the approved FSP;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(6) Procedures to ensure the TWIC program is properly implemented as set forth in this part, including:</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(i) Only authorized TWIC holders permitted to escort;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(ii) Identifies actions an escort should take if escorted person engages in improper activities;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(iii) Notifications of secure areas and public access areas of facility &amp; the areas are clearly marked.</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(7) Procedures for ensuring restricted areas are controlled and TWIC provisions are coordinated, if applicable;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(8) Procedures for coordinating security issues between the facility and vessels;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(9) Procedures to ensure coordination of shore leave for vessel personnel or crew change-out, identified in the plan and communicated with vessel operators in advance of a vessel's arrival;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(10) Procedures for implementing <b>MARSEC Level</b> security measures, within 12 hours of notification of an increase;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(11) Procedures to ensure security for unattended vessels moored at the facility;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(12) Procedures for reporting Breaches of Security and Transportation Security Incidents (TSI's) to the National Response Center in accordance with part 101 of this chapter;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(13) Procedures to ensure consistency between security &amp; safety requirements;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(14) Procedures to ensure personnel are aware of the responsibilities of applying for and maintaining a TWIC per this part;</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>(15) Procedures to ensure protocols with section 105.255(c) of this part, for dealing with individuals requiring access who report a lost, damaged, or stolen TWIC, or applied for but not yet received TWIC, are in place.</p>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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		MISLE Activity #:	
<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
	<i>Satisfactory</i>	<i>Not Satisfactory</i>	<i>*Not Applicable</i>
<b>105.205 Facility Security Officer (FSO)</b>			
<b>(a) General:</b>			
(1) Does the FSP <b>ensure</b> that the FSO is able to perform the duties and responsibilities required of the FSO?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) If the same person serves as the FSO for more than one facility, does the FSP <b>identify</b> the facilities for which the FSO is designate, provided they are in the same COTP zone, not more than 50 miles apart, and the FSO is listed in each facility's FSP?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Does the FSP <b>ensure</b> that the FSO retains designated responsibilities although other individuals may perform specific tasks?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Does the FSP <b>identify</b> that the FSO must maintain a TWIC?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(b) Qualifications:</b>			
(1) Does the FSP <b>identify</b> the FSO as having knowledge/training in the following:			
(i) Security Organization of the facility;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) General vessel and facility operations and conditions;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Vessel & facility security measures at all MARSEC levels;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Emergency preparedness, response, and contingency planning;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Security equipment and systems, and their operational limits; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) Methods of conducting audits, inspections, control, and monitoring techniques.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) In addition to the above; the FSO must have knowledge/training in the following, <i>as appropriate</i> :			
(i) Relevant international laws and codes, and recommendations;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Relevant government legislation and regulations;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Responsibilities and functions of local, state, and federal law enforcement agencies;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Security assessment methodology;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Methods of facility security surveys and inspections;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) Instruction techniques for security training and education, including security measures and procedures;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vii) Handling sensitive security information and security related communications;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(viii) Current security threats and patterns;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ix) Recognizing and detecting dangerous substances and devices;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(x) Recognizing characteristics and behavioral patterns of persons who are likely to threaten security;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(xi) Techniques used to circumvent security measures;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(xii) Conducting physical searches and non-intrusive inspections;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(xiii) Conducting security drills and exercises, including exercises with vessels;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(xiv) Assessing security drills and exercises; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

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<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
(xv) Knowledge of TWIC requirements.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(c) Responsibilities:</b>					
(1) Does the FSP <b>identify</b> the following FSO responsibilities:			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Ensuring the Facility Security Assessment (FSA) is conducted;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Ensuring development and implementation of a FSP;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Ensuring annual audit program is implemented and maintained at the facility;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Ensuring FSP is exercised per 105.220 of this part;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Ensuring regular security inspections of the facility are conducted;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Ensuring adequate security awareness and vigilance of the facility personnel;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(8) Ensuring adequate training to personnel performing facility security duties;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(9) Ensuring that occurrences that threaten the security of the facility are recorded and reported to the owner or operator;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(10) Ensuring the maintenance of records required by this part;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(11) Ensuring the preparation and submission of any reports as required by this part;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(12) Ensuring the execution of any required Declarations of Security with Masters, Vessel Security Officers, or their designated representatives;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(13) Ensuring the coordination of security services in accordance with the approved FSP;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(14) Ensuring that security equipment is properly operated, tested, calibrated, and maintained;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(15) Ensuring the recording and reporting of attainment changes in <b>MARSEC Levels</b> to the owner or operator and the cognizant COTP;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(16) When requested, ensure that the Vessel Security Officers receive assistance in confirming the identity of visitors and service providers seeking to board the vessel through the facility;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(17) Ensuring notification to law enforcement and other emergency responders, as soon possible in order to permit a timely response to any transportation security incident;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(18) Ensuring that the FSP is submitted to the cognizant COTP for approval, as well as any plans to change the facility or facility infrastructure prior to amending the FSP;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(19) Ensuring that all facility personnel are briefed of changes in security conditions at the facility; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(20) Ensure the TWIC program is being properly implemented.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>105.210 Facility Personnel With Security Duties</b>					
Does the FSP <b>identify</b> a record keeping process to ensure that facility personnel responsible for security duties, maintain a TWIC, and have knowledge, through appropriate training or equivalent job experience in the following, <i>as appropriate</i> :					
(a) Knowledge of current security threats and patterns;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) Recognition and detection of dangerous substances and devices;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Recognition of characteristics and behavioral patterns of persons who are likely to threaten security;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
(d) Techniques used to circumvent security measures;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) Crowd management and control techniques;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) Security related communications;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(g) Knowledge of emergency procedures and contingency plans;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(h) Operation of security equipment and systems;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(i) Testing, calibration, and maintenance of security equipment and systems;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(j) Inspection, control, and monitoring techniques;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(k) Relevant provisions of the Facility Security Plan (FSP);			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(l) Methods of physical screening of persons, personal effects, baggage, cargo, and vessel stores;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(m) The meaning and the consequential requirements of the different <b>MARSEC Levels</b> ; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(n) Familiarity with all relevant aspects of the TWIC program and how to carry them out.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(2) Personnel Training</b>					
<b>105.215 Security Training for all Other Facility Personnel</b>					
Does the FSP <b>identify</b> procedures or policies to ensure personnel, including contractors, whether part-time, full-time, temporary, or permanent, have knowledge of, through training or equivalent job experience, in the following, <i>as appropriate</i> :					
(a) Relevant provisions of the Facility Security Plan (FSP);			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) The meaning and the consequential requirements of the different MARSEC Levels as they apply to them, including emergency procedures and contingency plans;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Recognition and detection of dangerous substances and devices;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) Recognition of characteristics and behavioral patterns of persons who are likely to threaten security;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) Techniques used to circumvent security measures; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) Familiarity with all relevant aspects of the TWIC program and how to carry them out.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(3) Drills and Exercises</b>					
<b>105.220 Drill and Exercise Requirements</b>					
(a) <i>General</i> :					
(1) Does the FSP <b>identify</b> drills and exercises for testing the proficiency of facility personnel in assigned security duties at all <b>MARSEC Levels</b> and validate the effective implementation of the FSP?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Does the FSP <b>direct</b> the Facility Security Officer (FSO) to identify related security deficiencies identified during drills and exercise?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
<b>(b) Drills:</b>					
(1) Have drills tested individual elements of the FSP, including response to security threats and incidents? (Drills should account for the types of operations of the facility, facility personnel changes, the type of vessel the facility is serving, and other relevant circumstances. Examples of drills include unauthorized entry to a restricted area, response to alarms, and notification of law enforcement authorities.)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) If a vessel is moored at the facility on the date the facility has planned to conduct any drills, has the facility identified that the vessel or vessel personnel are not required to be a part of or participate in the facility's scheduled drill?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(c) Exercises:</b>					
(1) Does the FSP <b>require</b> that exercises must be conducted at least once each calendar year, with no more than 18 months between exercises?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Does the FSP explain that exercises may consist of:					
(i) Full scale or live;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Tabletop simulation or seminar;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Combined with other appropriate exercises; or			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) A combination of the elements in paragraphs (c)(2)(i) through (iii) of this section.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Does the FSP identify that exercises can be either facility-specific or part of a cooperative exercise program with applicable facility and vessel security plans or comprehensive port exercises?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Does the FSP identify exercises that test communication and notification procedures, and elements of coordination, resource availability, and response?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Does the FSP identify exercises that test the entire security program and include substantial and active participation of FSOs and others, as appropriate?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(4) Records and Documentation</b>					
<b>105.225 Facility Recordkeeping Requirements</b>					
(a) Does the FSP direct the FSO to keep records of the activities as set out in paragraph (b) of this section for at least 2 years and make them available to the Coast Guard upon request?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) If the records are kept in an electronic format, does the FSP detail how they are protected against unauthorized deletion, destruction, or amendment?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>* Have procedures been identified to maintain the following records:</b>					
(1) Training. For each security training session, the date of each session, duration of session, a description of the training, and a list of attendees			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Drills and exercises. For each drill or exercise, the date held, description of drill or exercise, list of participants, and any best practices or lessons learned that may improve the FSP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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(3) Incidents and breaches of security. For each incident or breach of security, the date and time of occurrence, location within the facility, description of incident or breach, to whom it was reported, and description of the response.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Changes in <b>MARSEC Levels</b> . For each change in <b>MARSEC Level</b> , the date and time of notification received, and time of compliance with additional requirements			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Maintenance, calibration, and testing of security equipment. For each occurrence of maintenance, calibration, and testing, record the date and time, and the specific security equipment involved			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Security threats. For each security threat, the date and time of occurrence, how the threat was communicated, who received or identified the threat, description of threat, to whom it was reported, and description of the response			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Declaration of Security (DoS). A copy of each single-visit DoS and a copy of each continuing DoS for at least 90 days after the end of its effective period			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(8) Annual audit of the FSP. For each annual audit, a letter certified by the FSO stating the date the audit was completed			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the FSP include procedures to protect records from unauthorized access or disclosure?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(5) Response to Change in MARSEC Level</b>					
<b>105.230 Maritime Security (MARSEC) Level Coordination and Implementation</b>					
(a) Does the FSP identify procedures to ensure that the facility operates in compliance with the security requirements for the <b>MARSEC Level</b> in effect for the port?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) When notified of an increase in the <b>MARSEC Level</b> , does the FSP direct the facility owner and operator to ensure that:					
(1) Vessels moored to the facility and vessels scheduled to arrive at the facility within 96 hours of the <b>MARSEC Level</b> change are notified of the new <b>MARSEC Level</b> and the Declaration of Security is revised as necessary			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) The facility complies with the required additional security measures within 12 hours			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) The facility reports compliance or noncompliance to the COTP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the FSP require, at <b>MARSEC Levels 2 and 3</b> , the Facility Security Officer inform all facility personnel about identified threats, emphasize reporting procedures and stress the need for increased vigilance?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) Does the FSP identify procedures to inform the COTP and obtain approval prior to interfacing with a vessel or continuing operations, when not capable of operating in compliance with the increased MARSEC level?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) Does the FSP identify procedures to ensure that the facility operates in compliance with <b>MARSEC Level 3</b> requirements, including additional measures pursuant to 33 CFR Part 6, 160, or 165, <i>as appropriate</i> , which may include but are not limited to:					

*Sensitive Security Information (when filled out)*

**United States Coast Guard**

***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

Facility Identification Number:		OPFAC:			
Facility Name:		Facility Type:			
Reviewer:		QA Reviewer:			
		MISLE Activity #:			
<p align="center"><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b></p> <p align="center"><i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p align="center"><i>Satisfactory</i></p>	<p align="center"><i>Not Satisfactory</i></p>	<p align="center"><i>*Not Applicable</i></p>
(1) Use of waterborne security patrol;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Use of armed security personnel to control access to the facility and to deter, to the maximum extent practical, a transportation security incident; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Examination of piers, wharves, and similar structures at the facility for the presence of dangerous substances or devices underwater or other threats.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(6) Procedures for Interfacing with Vessels</b>					
<b>105.240 Procedures for interfacing with vessels</b>					
Does the FSP ensure that there are measures for interfacing with vessels at all <b>MARSEC Levels</b> ?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(7) Declaration of Security (DoS)</b>					
<b>105.245 Declaration of Security (DoS)</b>					
(a) Does the FSP ensure procedures are established for requesting a DoS and for handling DoS requests from a vessel?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) Does the FSP, at <b>MARSEC Level 1</b> , ensure a facility receiving a cruise ship or a manned vessel carrying Certain Dangerous Cargo (CDC), in bulk, comply with the following:					
(1) The FSO, prior to the arrival of a vessel to the facility, ensures that the designated representatives coordinate security needs and procedures and agree upon the contents of the DoS for the period of time the vessel is at the facility; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Upon the arrival of the vessel at the facility, the FSO and Master, VSO, or their designated representative, must sign the written DoS.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the FSP require that neither the facility nor the vessel may embark or disembark passengers, transfer cargo, or vessel stores until the DoS has been signed and implemented?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) Does the FSP at <b>MARSEC Levels 2 and 3</b> require the FSOs, or their designated representatives, of facilities interfacing with manned vessels subject to part 104, of this subchapter to sign and implement DoS documents as required in (b)(1) and (2) of this section?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) Does the FSP at <b>MARSEC Levels 1 and 2</b> indicate that the FSOs of facilities interfacing with the same vessels may implement a continuing DoS for multiple visits, providing that:					
(1) The DoS is valid for a specific <b>MARSEC Level</b>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) The effective period at <b>MARSEC Level 1</b> does not exceed 90 days			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) The effective period at <b>MARSEC Level 2</b> does not exceed 30 days			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) Does the FSP identify that when the <b>MARSEC Level</b> increases beyond that contained in the DoS or the continuing DoS, that it is void and new DoS must be executed?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Reviewer:		QA Reviewer:	
		MISLE Activity #:	
<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
		<i>Satisfactory</i>	<i>Not Satisfactory</i>
			<i>*Not Applicable</i>
(g) Does the FSP ensure a copy of all currently valid continuing DoS documents be kept with the Facility Security Plan?			
		<input type="checkbox"/>	<input type="checkbox"/>
(h) Does the FSP state that the COTP may require a DoS at any time, at any MARSEC level?			
		<input type="checkbox"/>	<input type="checkbox"/>
<b>(8) Communications</b>			
<b>105.235 Communications</b>			
(a) Does the FSP provide a means to effectively notify facility personnel of changes in security conditions at the facility?			
		<input type="checkbox"/>	<input type="checkbox"/>
(b) Does the identified communication system and procedures allow effective and continuous communications between the facility security personnel, vessels interfacing with the facility, the cognizant COTP, and national and local authorities with security responsibilities?			
		<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the FSP identify at each active facility access point, a means of contacting police, security control, or an emergency operations center, by telephones, cellular phones, portable radios, or other equivalent means?			
		<input type="checkbox"/>	<input type="checkbox"/>
(d) Does the FSP ensure facility communications systems have a backup means for both internal and external communications?			
		<input type="checkbox"/>	<input type="checkbox"/>
<b>(9) Security Systems and Equipment Maintenance</b>			
<b>105.250 Security Systems and Equipment Maintenance</b>			
(a) Does the FSP include procedures to ensure Security systems and equipment are in good working order and are inspected, tested, calibrated, and maintained according to manufacturers' recommendations?			
		<input type="checkbox"/>	<input type="checkbox"/>
(b) Does the FSP include procedures to ensure Security systems are regularly tested in accordance with the manufacturers' recommendations; noted deficiencies corrected promptly; and the results recorded as required in part 105.225 of this subpart?			
		<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the FSP include procedures for identifying and responding to security system and equipment failures or malfunctions?			
		<input type="checkbox"/>	<input type="checkbox"/>
<b>(10) Security Measures for Access Control</b>			
<b>105.255 Security Measures for Access Control</b>			
(a) Does the FSP have procedures to ensure the implementation of security measures to:			
(1) Deter the unauthorized introduction of dangerous substances and devices, including any device intended to damage or destroy persons, vessels, facilities, or ports;			
		<input type="checkbox"/>	<input type="checkbox"/>
(2) Secure dangerous substances and devices that are authorized by the owner or operator to be on the facility;			
		<input type="checkbox"/>	<input type="checkbox"/>

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Reviewer:		QA Reviewer:	
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<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
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		<i>Satisfactory</i>	<i>Not Satisfactory</i>
			<i>*Not Applicable</i>
(3) Control access to the facility; and		<input type="checkbox"/>	<input type="checkbox"/>
(4) Prevent an unescorted individual from entering an area of the facility that is designated as a secure area unless the individual holds a duly issued TWIC and is authorized to be in the area.		<input type="checkbox"/>	<input type="checkbox"/>
(b) Does the FSP ensure that:			
(1) The locations where there are restrictions or prohibitions that prevent unauthorized access are applied for each MARSEC level, including those points where TWIC access control provisions will be applied. Each location allowing means of gaining access to the facility must be addressed;		<input type="checkbox"/>	<input type="checkbox"/>
(2) The types of restriction or prohibitions to be applied and the means of enforcing them are identified;		<input type="checkbox"/>	<input type="checkbox"/>
(3) The means used to establish the identity of individuals not in possession of a TWIC, in accordance with 101.515 of this subchapter, and procedures for escorting them;		<input type="checkbox"/>	<input type="checkbox"/>
(4) Procedures for identifying authorized and unauthorized persons at any MARSEC level;		<input type="checkbox"/>	<input type="checkbox"/>
(5) The locations where persons, personal effects and vehicle screenings are to be conducted are identified. The designated screening areas should be covered to provide for continuous operations regardless of the weather conditions;		<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the Facility owner or operator ensure that a TWIC program is implemented as follows:		<input type="checkbox"/>	<input type="checkbox"/>
(1) All persons seeking unescorted access to secure areas must present their TWIC for inspection before being allowed unescorted access, in accordance with section 101.514 of this subchapter. Inspection must include:			
(i) A match of the photo on the TWIC to the individual presenting the TWIC;		<input type="checkbox"/>	<input type="checkbox"/>
(ii) Verification that the TWIC has not expired; and		<input type="checkbox"/>	<input type="checkbox"/>
(iii) A visual check of the various security features present on the card to ensure that the TWIC has not been forged or tampered.		<input type="checkbox"/>	<input type="checkbox"/>
(2) If an individual cannot present a TWIC because it has been lost or stolen, and he or she has previously been granted unescorted access to the facility and is known to have had a valid TWIC, the individual may be given unescorted access to secure areas for a period of no longer than 7 consecutive calendar days if:			
(i) The individual has reported the TWIC as lost or stolen to TSA as required by 49 CFR 1572.21;		<input type="checkbox"/>	<input type="checkbox"/>
(ii) The individual can present another identification credential that meets the requirements of section 101.515 of this subchapter; and		<input type="checkbox"/>	<input type="checkbox"/>
(iii) There are no suspicious circumstances associated with the individual's claim of loss or theft.		<input type="checkbox"/>	<input type="checkbox"/>

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***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

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Reviewer:		QA Reviewer:			
		MISLE Activity #:			
<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
(3) If the individual cannot present his or her TWIC for any other reason than outlined in paragraph (c)(2) of this section, he or she may not be granted unescorted access to the secure area. The individual must be under escort, as that term is defined in part 101 of this subchapter, at all times when inside of a secure area.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) With the exception of persons granted access according to paragraph (c)(2) of this section, all persons granted unescorted access to secure areas of the facility must be able to produce his or her TWIC upon request.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Uses disciplinary measures to discourage fraud and abuse.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) The facility's TWIC program should be coordinated, when practicable, with identification and TWIC access control measures of vessels or other transportation conveyances that use the facility.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) If the Facility owner or operator uses a separate identification system, does the FSP ensure that it complies and is coordinated with TWIC provisions in this part?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) Does the FSP establish the frequency of application of any access controls, particularly if they are to be applied on a random or occasional basis?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) <b>MARSEC Level 1:</b> Does the FSP at <b>MARSEC Level 1</b> ensure the following security measures are implemented at the facility:					
(1) Implemented TWIC as set out in paragraph (c) of this section.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Screen persons, baggage (including carry-on items), personal effects, and vehicles, for dangerous substances and devices at the rate specified in the approved FSP, excluding government-owned vehicles on official business when government personnel present identification credentials for entry;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Have signs been conspicuously posted describing the security measures in effect and state:					
(i) Entering the facility is deemed valid consent to screening or inspection; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Failure to consent or submit to screening or inspection will result in denial or revocation of authorization to enter.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Check the identification of any person not holding a TWIC and seeking entry to the facility, including vessel passengers, vendors, personnel duly authorized by the cognizant government authorities, and visitors. This check shall include confirming the reason for entering by examining at least one of the following:					
(i) Joining instructions			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Passenger tickets			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Boarding passes			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Work orders, pilot orders, or surveyor orders			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Government identification			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) Visitor badges issued in accordance with an identification system implemented in accordance with the rules of this section			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
(5) Deny or revoke a person's authorization to be on the facility if the person is unable or unwilling, upon the request of facility personnel or a law enforcement officer, to establish his or her identity in accordance with this part or to account for his or her presence. Any such incident must be reported in compliance with this part;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Designate restricted areas and provide appropriate access controls for these areas;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Identify access points that must be secured or attended to deter unauthorized access;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(8) Deter unauthorized access to the facility and to designated restricted areas within the facility;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(9) Screen by hand or device, such as x-ray, all unaccompanied baggage prior to loading onto a vessel; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(10) Secure unaccompanied baggage after screening in a designated restricted area and maintain security control during transfers between the facility and a vessel.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(g) <b>MARSEC Level 2:</b> In addition to the security measures required for <b>MARSEC Level 1</b> , does the FSP ensure the implementation of additional security measures, as specified for <b>MARSEC Level 2</b> , that may include:					
(1) Increasing the frequency and detail of the screening of persons, baggage, and personal effects for dangerous substances and devices entering the facility			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) X-ray screening of all unaccompanied baggage			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Assigning additional personnel to guard access points and patrol the perimeter of the facility to deter unauthorized access			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Limiting the number of access points to the facility by closing and securing some access points and providing physical barriers to impede movement through the remaining access points			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Denying access to visitors who do not have a verified destination			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Deterring waterside access to the facility, which may include using waterborne patrols to enhance security around the facility			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Except for government-owned vehicles on official business when government personnel present identification credentials for entry, screening vehicles and their contents for dangerous substances and devices at the rate specified for <b>MARSEC Level 2</b> in the approved FSP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(h) <b>MARSEC Level 3:</b> In addition to the security measures required for <b>MARSEC Levels 1 and 2</b> , in this section, at <b>MARSEC Level 3</b> does the FSP ensure the implementation of additional security measures that may include:					
(1) Screening all persons, baggage, and personal effects for dangerous substances and devices;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Performing one or more of the following on unaccompanied baggage:					
(i) Screen unaccompanied baggage more extensively; for example, x-raying from two or more angles			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Prepare to restrict or suspend handling unaccompanied baggage			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

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<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
		<i>Satisfactory</i>	<i>Not Satisfactory</i>
			<i>*Not Applicable</i>
(iii) Refuse to accept unaccompanied baggage		<input type="checkbox"/>	<input type="checkbox"/>
(3) Being prepared to cooperate with responders and other facilities		<input type="checkbox"/>	<input type="checkbox"/>
(4) Granting access to only those responding to a security incident or threat		<input type="checkbox"/>	<input type="checkbox"/>
(5) Suspending access to the facility		<input type="checkbox"/>	<input type="checkbox"/>
(6) Suspending cargo operations		<input type="checkbox"/>	<input type="checkbox"/>
(7) Evacuating the facility		<input type="checkbox"/>	<input type="checkbox"/>
(8) Restricting pedestrian or vehicular movement on the grounds of the facility		<input type="checkbox"/>	<input type="checkbox"/>
(9) Increasing security patrols within the facility		<input type="checkbox"/>	<input type="checkbox"/>
<b>105.257 Security Measures for Newly-Hired Employees</b>			
Does the FSP address the following TWIC rules in accordance with regulations?		<input type="checkbox"/>	<input type="checkbox"/>
(a) Newly-hired facility employees may be granted entry to secure areas of the facility for up to 30 consecutive calendar days prior to receiving their TWIC provided all of the requirements in this section are met, and provided that the new hire is accompanied by an individual with a TWIC while within the secure areas of the facility.		<input type="checkbox"/>	<input type="checkbox"/>
(b) Newly-hired facility employees and FSO have completed the following prior to access being granted:			
(1) The new hire has applied for a TWIC in accordance with 49 CFR Part 1572 by completing the full enrollment process, paying the user fee, and not be currently engaged in a waiver or appeal process. The facility owner or operator or the Facility Security Officer (FSO) must have the new hire sign a statement affirming this, and must retain the signed statement until the new hire receives a TWIC; and		<input type="checkbox"/>	<input type="checkbox"/>
(2) The facility owner or operator or the FSO enters the following information on the new hire into the Coast Guard's Homeport website ( <a href="http://homeport.uscg.mil">http://homeport.uscg.mil</a> ):			
(i) Full legal name, including middle name if one exists;		<input type="checkbox"/>	<input type="checkbox"/>
(ii) Date of birth;		<input type="checkbox"/>	<input type="checkbox"/>
(iii) Social security number (optional);		<input type="checkbox"/>	<input type="checkbox"/>
(iv) Employer name and 24 hour contact information; and		<input type="checkbox"/>	<input type="checkbox"/>
(v) Date of TWIC enrollment.		<input type="checkbox"/>	<input type="checkbox"/>
(3) The new hire presents an identification credential that meets the requirements of 101.515 of this subchapter.		<input type="checkbox"/>	<input type="checkbox"/>
(4) There are no other circumstances that would cause reasonable suspicion regarding the new hire's ability to obtain a TWIC, and the facility owner or operator or FSO have not been informed by the cognizant COTP that the new hire poses a security threat.		<input type="checkbox"/>	<input type="checkbox"/>
(5) There would be an adverse impact to facility operations if the new hire is not allowed access.		<input type="checkbox"/>	<input type="checkbox"/>
(c) This section does not apply to any individual being hired as a FSO, or any individual being hired to perform facility security duties.		<input type="checkbox"/>	<input type="checkbox"/>

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(d) The new hire may not begin working at the facility under the provisions of this section until the owner, operator, or FSO receives notification, via Homeport or some other means, the new hire has passed an initial name check.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(11) Security Measures for Restricted Areas</b>			
<b>105.260 Security Measures for Restricted Areas</b>			
(a) <i>General:</i> Does the FSP ensure the designation of restricted areas in order to:			
(1) Prevent or deter unauthorized access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Protect persons authorized to be in the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Protect the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Protect vessels using and serving the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Protect sensitive security areas within the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Protect security and surveillance equipment and systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Protect cargo and vessel stores from tampering	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) <i>Designation of Restricted Areas:</i> Does the FSP ensure restricted areas are designated within the facility? The policy shall also ensure that all restricted areas are clearly marked and indicate that access to the area is restricted and that unauthorized presence within the area constitutes a breach of security (the facility owner or operator may also designate the entire facility as a restricted area.) Restricted areas must include, as appropriate:			
(1) Shore areas immediately adjacent to each vessel moored at the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Areas containing sensitive security information, including cargo documentation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Areas containing security and surveillance equipment and systems and their controls, and lighting system controls	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Areas containing critical facility infrastructure, including:			
(i) Water supplies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Telecommunications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Electrical system	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Access points for ventilation and air-conditioning systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Manufacturing or processing areas and control rooms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Locations in the facility where access by vehicles and personnel should be restricted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Areas designated for loading, unloading or storage of cargo and stores	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(8) Areas containing cargo consisting of dangerous goods or hazardous substances, including certain dangerous cargoes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Does the FSP have processes that ensure that all restricted areas have clearly established security measures to:			
(1) Identify which facility personnel are authorized to have access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Determine which persons other than facility personnel are authorized to have access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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		<i>Satisfactory</i>	<i>Not Satisfactory</i>
			<i>*Not Applicable</i>
(3) Determine the conditions under which that access may take place		<input type="checkbox"/>	<input type="checkbox"/>
(4) Define the extent of any restricted area		<input type="checkbox"/>	<input type="checkbox"/>
(5) Define the times when access restrictions apply		<input type="checkbox"/>	<input type="checkbox"/>
(6) Clearly mark all restricted areas and indicate that access to the area is restricted and that unauthorized presence within the area constitutes a breach of security		<input type="checkbox"/>	<input type="checkbox"/>
(7) Control the entry, parking, loading and unloading of vehicles		<input type="checkbox"/>	<input type="checkbox"/>
(8) Control the movement and storage of cargo and vessel stores		<input type="checkbox"/>	<input type="checkbox"/>
(9) Control unaccompanied baggage or personal effects		<input type="checkbox"/>	<input type="checkbox"/>
(d) <b>MARSEC Level 1:</b> Does the FSP, at <b>MARSEC Level 1</b> , ensure the implementation of security measures to prevent unauthorized access or activities within the area that may include:			
(1) Restricting access to only authorized personnel		<input type="checkbox"/>	<input type="checkbox"/>
(2) Securing all access points not actively used and providing physical barriers to impede movement through the remaining access points		<input type="checkbox"/>	<input type="checkbox"/>
(3) Assigning personnel to control access to restricted areas		<input type="checkbox"/>	<input type="checkbox"/>
(4) Verifying the identification and authorization of all persons and all vehicles seeking entry		<input type="checkbox"/>	<input type="checkbox"/>
(5) Patrolling or monitoring the perimeter of restricted areas		<input type="checkbox"/>	<input type="checkbox"/>
(6) Using security personnel, automatic intrusion detection devices, surveillance equipment or systems to detect unauthorized entry or movement within restricted areas		<input type="checkbox"/>	<input type="checkbox"/>
(7) Directing the parking, loading, and unloading of vehicles within a restricted area		<input type="checkbox"/>	<input type="checkbox"/>
(8) Controlling unaccompanied baggage and/or personal effects after screening		<input type="checkbox"/>	<input type="checkbox"/>
(9) Designating restricted areas for performing inspections of cargo and vessel stores while awaiting loading		<input type="checkbox"/>	<input type="checkbox"/>
(10) Designating temporary restricted areas to accommodate facility operations. If temporary restricted areas are designated, the FSP must include a requirement to conduct a security sweep of the designated temporary restricted area both before and after the area has been established		<input type="checkbox"/>	<input type="checkbox"/>
(e) <b>MARSEC Level 2:</b> Does the FSP, at <b>MARSEC Level 2</b> , in addition to the security measures required for <b>MARSEC Level 1</b> ensure the implementation of additional security measures that may include:			
(1) Increasing the intensity and frequency of monitoring and access controls on existing restricted access areas		<input type="checkbox"/>	<input type="checkbox"/>
(2) Enhancing the effectiveness of the barriers or fencing surrounding restricted areas, by the use of patrols or automatic intrusion detection devices		<input type="checkbox"/>	<input type="checkbox"/>
(3) Reducing the number of access points to restricted areas, and enhancing the controls applied at the remaining accesses		<input type="checkbox"/>	<input type="checkbox"/>

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**United States Coast Guard**

***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

Facility Identification Number:		OPFAC:			
Facility Name:		Facility Type:			
Reviewer:		QA Reviewer:			
		MISLE Activity #:			
<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
(4) Restricting parking adjacent to vessels			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Further restricting access to the restricted areas and movements and storage within them			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Using continuously monitored and recorded surveillance equipment			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Enhancing the number and frequency of patrols, including waterborne patrols undertaken on the boundaries of the restricted areas and within the areas			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(8) Establishing and restricting access to areas adjacent to the restricted areas			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(f) <b>MARSEC Level 3:</b> Does the FSP at <b>MARSEC Level 3</b> , in addition to the security measures required for <b>MARSEC Levels 1 and 2</b> , ensure the implementation of additional security measures that may include:					
(1) Restricting access to additional areas;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Prohibiting access to restricted areas; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Searching restricted areas as part of a security sweep of all or part of the facility.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(12) Security Measures for Handling Cargo</b>					
<b>105.265 Security Measures for Handling Cargo</b>					
(a) <i>General:</i> Does the FSP ensure that security measures relating to cargo handling, some of which may have to be applied in liaison with the vessel, are implemented in order to:					
(1) Deter tampering			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Prevent cargo that is not meant for carriage from being accepted and stored at the facility without the knowing consent of the facility owner or operator			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Identify cargo that is approved for loading onto vessels interfacing with the facility			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Include cargo control procedures at access points to the facility			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Identify cargo that is accepted for temporary storage in a restricted area while awaiting loading or pick up			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Restrict the entry of cargo to the facility that does not have a confirmed date for loading, as appropriate.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Ensure the release of cargo only to the carrier specified in the cargo documentation.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(8) Coordinate security measures with the shipper or other responsible party in accordance with an established agreement and procedures.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(9) Create, update, and maintain a continuous inventory, including location, of all dangerous goods or hazardous substances from receipt to delivery within the facility, giving the location of those dangerous goods or hazardous substances.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) <b>MARSEC Level 1:</b> Does the FSP at <b>MARSEC Level 1</b> ensure the implementation of measures to:					
(1) Routinely check cargo, cargo transport units, and cargo storage areas within the facility prior to, and during, cargo handling operations to deter tampering.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Check that cargo, containers, or other cargo transport units entering the facility match the delivery note or equivalent cargo documentation.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Facility Identification Number:		OPFAC:	
Facility Name:		Facility Type:	
Reviewer:		QA Reviewer:	
		MISLE Activity #:	
<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
	<i>Satisfactory</i>	<i>Not Satisfactory</i>	<i>*Not Applicable</i>
(3) Screen vehicles	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Check seals and other methods used to prevent tampering upon entering the facility and upon storage within the facility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) <b>MARSEC Level 2:</b> Does the FSP at <b>MARSEC Level 2</b> ; in addition to the security measures required for <b>MARSEC Level 1</b> ensure the implementation of additional security measures that may include:			
(2) Intensifying checks, as appropriate, to ensure that only the documented cargo enters the facility, is temporarily stored there, and then loaded onto the vessel.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Intensifying the screening of vehicles.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Increasing frequency and detail in checking of seals and other methods used to prevent tampering.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Segregating inbound cargo, outbound cargo, and vessel stores.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6) Increasing the frequency and intensity of visual and physical inspections.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(7) Limiting the number of locations where dangerous goods and hazardous substances, including certain dangerous cargoes, can be stored.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) <b>MARSEC Level 3:</b> Does the FSP at <b>MARSEC Level 3</b> , in addition to the security measures required for <b>MARSEC Levels 1 and 2</b> , ensure the implementation of additional security measures that may include:			
(1) Restricting or suspending cargo movements or operations within all or part of the facility or specific vessels	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Being prepared to cooperate with responders and vessels	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Verifying the inventory and location of any dangerous goods and hazardous substances, including certain dangerous cargoes, held within the facility and their location	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(13) Security Measures for Delivery of Vessel Stores and Bunkers</b>			
<b>105.270 Security Measures for Delivery of Vessel Stores and Bunkers</b>			
(a) <b>General:</b> Does the FSP ensure that security measures relating to the delivery of vessel stores and bunkers are implemented to:			
(1) Check vessel stores for package integrity;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Prevent vessel stores from being accepted without inspection;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Deter tampering;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) For vessels that routinely use a facility, establish and execute standing arrangements between the vessel, its suppliers, and a facility regarding notification and the timing of deliveries and their documentation; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Check vessel stores by one of the following means:			
(i) Visual examination;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Physical examination;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Detection devices, such as scanners; or	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Facility Identification Number:		OPFAC:	
Facility Name:		Facility Type:	
Reviewer:		QA Reviewer:	
		MISLE Activity #:	
<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
		<i>Satisfactory</i>	<i>Not Satisfactory</i>
			<i>*Not Applicable</i>
(iv) Canines		<input type="checkbox"/>	<input type="checkbox"/>
(b) <b>MARSEC Level 1:</b> Does the approved FSP at <b>MARSEC Level 1</b> ensure the implementation of measures to:			
(1) Screen vessel stores at the rate specified;		<input type="checkbox"/>	<input type="checkbox"/>
(2) Require advance notification of vessel stores or bunkers delivery, including a list of stores, delivery vehicle driver information, and vehicle registration information;		<input type="checkbox"/>	<input type="checkbox"/>
(3) Screen delivery vehicles at the frequencies specified; or		<input type="checkbox"/>	<input type="checkbox"/>
(4) Escort delivery vehicles within the facility at the rate specified.		<input type="checkbox"/>	<input type="checkbox"/>
(c) <b>MARSEC Level 2:</b> Does the FSP at <b>MARSEC Level 2</b> ; in addition to the security measures required for <b>MARSEC Level 1</b> ensure the implementation of additional security measures that may include:			
(1) Detailed screening of vessel stores;		<input type="checkbox"/>	<input type="checkbox"/>
(2) Detailed screening of all delivery vehicles;		<input type="checkbox"/>	<input type="checkbox"/>
(3) Coordinating with vessel personnel to check the order against the delivery note prior to entry to the facility;		<input type="checkbox"/>	<input type="checkbox"/>
(4) Ensuring delivery vehicles are escorted within the facility; or		<input type="checkbox"/>	<input type="checkbox"/>
(5) Restricting or prohibiting the entry of vessel stores that will not leave the facility within a specified period.		<input type="checkbox"/>	<input type="checkbox"/>
(d) <b>MARSEC Level 3:</b> Does the FSP at <b>MARSEC Level 3</b> , in addition to the security measures required for <b>MARSEC Levels 1 and 2</b> , ensure the implementation of additional security measures that may include:			
(1) Checking all vessel stores more extensively;		<input type="checkbox"/>	<input type="checkbox"/>
(2) Restricting or suspending delivery of vessel stores; or		<input type="checkbox"/>	<input type="checkbox"/>
(3) Refusing to accept vessel stores on the facility.		<input type="checkbox"/>	<input type="checkbox"/>
<b>(14) Security Measures for Monitoring</b>			
<b>105.275 Security Measures for Monitoring</b>			
(a) <b>General:</b> Is there a description of security measures that have the capability to continuously monitor, through a combination of lighting, security guards, waterborne patrols, automatic intrusion-detection devices, surveillance equipment, or any other security measures for each of the following facility features:			
(1) Facility and its nearby approaches, on land and water;		<input type="checkbox"/>	<input type="checkbox"/>
(2) Restricted areas within the facility; and		<input type="checkbox"/>	<input type="checkbox"/>
(3) Vessels at the facility and/or areas surrounding the vessels.		<input type="checkbox"/>	<input type="checkbox"/>
(b) <b>MARSEC Level 1:</b> Does the approved FSP at <b>MARSEC Level 1</b> ensure the security measures in this section are implemented at all times, including the period from sunset to sunrise and periods of limited visibility. For each facility, ensure monitoring capability that:			

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**United States Coast Guard**

***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

Facility Identification Number:		OPFAC:	
Facility Name:		Facility Type:	
Reviewer:		QA Reviewer:	
		MISLE Activity #:	
<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
		<i>Satisfactory</i>	<i>Not Satisfactory</i>
			<i>*Not Applicable</i>
(1) When automatic intrusion-detection devices are used, an audible or visual alarm is activated that is either continuously attended or monitored;		<input type="checkbox"/>	<input type="checkbox"/>
(2) Provisions for monitoring equipment to function continually, including consideration of the possible effects of weather or of a power disruption;		<input type="checkbox"/>	<input type="checkbox"/>
(3) Monitors the facility area, including shore and waterside access to it;		<input type="checkbox"/>	<input type="checkbox"/>
(4) The capability of monitors access points, barriers and restricted areas;		<input type="checkbox"/>	<input type="checkbox"/>
(5) The capability of monitors access and movements adjacent to vessels using the facility, including augmentation of lighting provided by the vessel itself; and		<input type="checkbox"/>	<input type="checkbox"/>
(6) Provisions to limit lighting effects, such as glare, and their impact on safety, navigation, and other security activities.		<input type="checkbox"/>	<input type="checkbox"/>
(c) <b>MARSEC Level 2:</b> Does the FSP at <b>MARSEC Level 2</b> ; in addition to the security measures required for <b>MARSEC Level 1</b> ensure the implementation of additional security measures that may include:			
(1) Increasing the coverage and intensity of surveillance equipment, including the provision of additional surveillance coverage;		<input type="checkbox"/>	<input type="checkbox"/>
(2) Increasing the frequency of foot, vehicle or waterborne patrols;		<input type="checkbox"/>	<input type="checkbox"/>
(3) Assigning additional security personnel to monitor and patrol; or		<input type="checkbox"/>	<input type="checkbox"/>
(4) Increasing the coverage and intensity of lighting, including the provision of additional lighting and coverage.		<input type="checkbox"/>	<input type="checkbox"/>
(d) <b>MARSEC Level 3:</b> Does the FSP at <b>MARSEC Level 3</b> , in addition to the security measures required for <b>MARSEC Levels 1 and 2</b> , ensure the implementation of additional security measures that may include:			
(1) Switching on all lighting within, or illuminating the vicinity of, the facility?		<input type="checkbox"/>	<input type="checkbox"/>
(2) Switching on all surveillance equipment capable of recording activities within or adjacent to the facility?		<input type="checkbox"/>	<input type="checkbox"/>
(3) Maximizing the length of time such surveillance equipment can continue to record?		<input type="checkbox"/>	<input type="checkbox"/>
(4) A description of procedures to comply with the instructions issued by those responding to the security incident?		<input type="checkbox"/>	<input type="checkbox"/>
<b>(15) Security Incident Procedures</b>			
<b>105.280 Security Incident Procedures</b>			
For each <b>MARSEC Level</b> , Does the Facility Security Plan (FSP) ensure that the Facility Security Officer (FSO) and facility security personnel are able to:			
(a) Respond to security threats or breaches of security and maintain critical facility and vessel-to-facility interface operations;		<input type="checkbox"/>	<input type="checkbox"/>
(b) Evacuate the facility in case of security threats or breaches of security;		<input type="checkbox"/>	<input type="checkbox"/>
(c) Report security incidents as required in 101.305 of this subchapter;		<input type="checkbox"/>	<input type="checkbox"/>
(d) Brief all facility personnel on possible threats and the need for vigilance, soliciting their assistance in reporting suspicious persons, objects, or activities; and		<input type="checkbox"/>	<input type="checkbox"/>

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Facility Identification Number:		OPFAC:			
Facility Name:		Facility Type:			
Reviewer:		QA Reviewer:			
		MISLE Activity #:			
<p align="center"><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b></p> <p align="center"><i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p align="center"><i>Satisfactory</i></p>	<p align="center"><i>Not Satisfactory</i></p>	<p align="center"><i>*Not Applicable</i></p>
(e) Secure non-critical operations in order to focus response on critical operations.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(16) Audits and Security Plan Amendments</b>					
<b>105.415 Amendment and Audit</b>					
(a) <i>Amendments:</i> Does the FSP identify the amendment procedures to a Facility Security Plan (FSP) that is approved by the cognizant COTP or proposed amendments submitted to the cognizant COTP by the Facility owner or operator per 105.415(a)(1) through (4) of this subpart?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) <i>Audits:</i> Does the FSP define the audit process, describe who will conduct the audit, their experience/knowledge level, and procedures to perform audits when amendments have been made to the FSP per 105.415(b)(1) through (5) of this subpart?			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(17) Facility Security Assessment (FSA) Report</b>					
<b>105.305 Facility Security Assessment (FSA) Requirements (Subpart C)</b>					
(c) <i>FSA Report:</i>					
(1) Verify the written FSA report is prepared and included as part of the FSP, and must contain the following:					
(i) A summary of how the on-scene survey was conducted;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) A description of existing security measures, including inspection, control and monitoring equipment, personnel identification documents and communication, alarm, lighting, access control, and similar systems;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) A description of each vulnerability found during the on-scene survey;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) A description of security measures that could be used to address each vulnerability;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) A list of the key facility operations that are important to protect; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) A list of identified weaknesses, including human factors, in the infrastructure, policies, and procedures of the facility.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Are the following elements addressed within the FSA report:					
(i) Physical security;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Structural integrity;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Personnel protection systems;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Procedural policies;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Radio and telecommunication systems, including computer systems and networks;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) Relevant transportation infrastructure; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vii) Utilities.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Is there a list of the persons, activities, services, and operations that are important to protect, in each of the following categories within the FSA report:					
(i) Facility personnel;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Reviewer:	QA Reviewer:	MISLE Activity #:	
<b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>			
<i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i>			
	<i>Satisfactory</i>	<i>Not Satisfactory</i>	<i>*Not Applicable</i>
(ii) Passengers, visitors, vendors, repair technicians, vessel personnel, etc;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Capacity to maintain emergency response;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Cargo, particularly dangerous goods and hazardous substances;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Delivery of vessel stores;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) Any facility security communication and surveillance systems; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vii) Any other facility security systems, if any.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Does the FSA report account for the vulnerabilities in the following areas:			
(i) Conflicts between safety and security measures?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Conflicts between duties and security assignments?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) The impact of watch-keeping duties and risk of fatigue on facility personnel alertness and performance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Security training deficiencies?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Security equipment and systems, including communication systems?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Does the FSA report discuss and evaluate key facility measures and operations, including:			
(i) Ensuring performance of all security duties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(ii) Controlling access to the facility, through the use of identification systems or otherwise;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iii) Controlling the embarkation of vessel personnel and other persons and their effects (including personal effects and baggage whether accompanied or unaccompanied);	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(iv) Procedures for the handling of cargo and the delivery of vessel stores;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(v) Monitoring restricted areas to ensure that only authorized persons have access;	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(vi) Monitoring the facility and areas adjacent to the pier.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>(18) Facility Vulnerability and Security Measures Summary</b>			
- Example of Form CG-6025 is in Appendix A to Part 105 -			
<b>105.405 Facility Vulnerability and Security Measures Summary (Form CG-6025)</b>			
Has the Facility Vulnerability and Security Measures Summary (Form CG-6025) been completed using the following?			
• Information found in the FSA concerning identified vulnerabilities; and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Information found in the FSP concerning security measures in mitigation of these vulnerabilities.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Does the CG-6025 list the vulnerabilities identified from the above?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>§105.285 – 296 Additional Requirements Sections</b>			
<b>105.285 Additional Requirements – Passenger and Ferry Facilities</b>			
(a) Does the FSP identify, at all <b>MARSEC Levels</b> , the security measures that have been established in coordination with a vessel moored at the facility by:			

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Reviewer:		QA Reviewer:			
		MISLE Activity #:			
<p><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b>  <i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<p><i>Satisfactory</i></p>	<p><i>Not Satisfactory</i></p>	<p><i>*Not Applicable</i></p>
(1) Establishing separate areas for segregation of checked from unchecked persons and personal effects			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Assuring a defined percentage of vehicles to be loaded are screened prior to loading in accordance with current MARSEC Directive or orders issued by the Coast Guard			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Assuring that all unaccompanied vehicles are screened prior to loading			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Denying passengers access to security and restricted areas unless supervised by facility personnel			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Providing sufficient security personnel to monitor all persons in a facility with a public access area designated under 105.106			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) At <b>MARSEC Level 2</b> , in addition to the requirements stated paragraph (a) of this section, the owner or operator of a passenger or ferry facility with a public access area designated under 105.106 must increase the intensity of monitoring of the public access area			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) At <b>MARSEC Level 3</b> , in addition to the requirements stated paragraphs (a) and (b) of this section, the owner or operator of a passenger or ferry facility with a public access area designated under 105.106 must increase the intensity of monitoring and assign additional security personnel to monitor the public access area			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>105.290 Additional Requirements – Cruise Ship Terminals</b>					
At all <b>MARSEC Levels</b> , the facility owner or operator, in coordination with a vessel moored at the facility, must ensure the following security measures by:					
(a) Screening all persons, baggage, and personal effects for dangerous substances and devices;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) Checking the identification of all persons seeking to board the vessel. Persons holding a TWIC shall be checked as set forth in this part. For those not holding a TWIC this check includes confirming the reason for boarding by examining joining instructions, passenger tickets, boarding passes, government identification or visitor badges, or work orders;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) Designate holding, waiting, or embarkation areas within the facility’s secure area to segregate screened persons and their personal effects from unscreened persons and their personal effects;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) Providing additional security personnel to designated holding, waiting, or embarkation areas within the facility’s secure area; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(e) Denying individuals not holding a TWIC access to secure and restricted areas unless escorted.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>105.295 Additional Requirements – Certain Dangerous Cargo (CDC) Facilities</b>					
(a) At all <b>MARSEC Levels</b> , in addition to requirements of this part, owners or operators of CDC facilities must ensure the implementation of the following security measures by :					



*Sensitive Security Information (when filled out)*

**United States Coast Guard**

***FACILITY SECURITY PLANS (FSP) REVIEW CHECKLIST (General Facilities)***

Facility Identification Number:		OPFAC:			
Facility Name:		Facility Type:			
Reviewer:	QA Reviewer:	MISLE Activity #:			
<p align="center"><b><i>FSP Content Requirements per 33 CFR 105.405(a):</i></b></p> <p align="center"><i>* Note: Does the FSP briefly state why the regulatory provision is not applicable?</i></p>			<b><i>Satisfactory</i></b>	<b><i>Not Satisfactory</i></b>	<b><i>*Not Applicable</i></b>
(2) Controlling the parking, loading, and unloading of vehicles within a facility;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Requiring security personnel to record or report their presence at key points during their patrols;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Searching unmanned or unmonitored waterfront areas for dangerous substances and devices prior to a vessel's arrival at the facility; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5) Providing an alternate or independent power source for security and communications systems.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) At <b>MARSEC Level 2</b> , in addition to requirements of <b>MARSEC Level 1</b> , owners or operators of CDC facilities must ensure the implementation of the following security measures by:					
(1) Releasing of cargo only in the presence of the Facility Security Officer (FSO) or a designated representative of the FSO;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Continuously patrolling of restricted areas:			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(c) At <b>MARSEC Level 3</b> , in addition to requirements of <b>MARSEC Level 1 and 2</b> , owners or operators of CDC facilities must ensure the facilities are continuously guarded and restricted areas are patrolled.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>105.296 Additional Requirements – Barge Fleeting Facilities</b>					
(a) At <b>MARSEC Level 1</b> , in addition to the requirements of this part, an owner or operator of a barge fleeting facility must ensure the implementation of the following security measures by:					
(1) Designating one or more restricted areas within the barge fleeting facility to handle those barges carrying, in bulk, cargoes regulated by 46 CFR Chapter I, subchapters D or O, or Certain Dangerous Cargoes;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) Maintaining a current list of vessels and cargoes in the designated restricted area;			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) Ensuring that at least one towing vessel is available to service the fleeting facility for every 100 barges within the facility; and			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) Controlling access to the barges once tied to the fleeting area by implementing <b>TWIC</b> as described in 105.255 of this part.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(b) At <b>MARSEC Level 2</b> , in addition to the requirements of this part and <b>MARSEC Level 1</b> , an owner or operator of a barge fleeting facility must ensure security personnel are assigned to monitor or patrol the designated restricted area within the barge fleeting facility.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(d) At <b>MARSEC Level 3</b> , in addition to the requirements of this part and <b>MARSEC Level 2</b> , an owner or operator of a barge fleeting facility must ensure that both land and waterside perimeters of the designated restricted area within the barge fleeting facility are continuously monitored or patrolled.			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

