

1 G. Zhou, Y. Ye, W. Zuo, X. Zhou, X.Xu. 2018. “Fast and efficient prediction of finned-tube  
2 heat exchanger performance using wet-dry transformation method with nominal data”. *Applied  
3 Thermal Engineering*. 145, pp. 133-146, DOI: 10.1016/j.applthermaleng.2018.09.020.

## 4 **Fast and efficient prediction of finned-tube heat exchanger performance** 5 **using wet-dry transformation method with nominal data**

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### 14 **Abstract**

15 Water-to-air finned-tube heat exchanger (FTHE) is a common component in air-conditioning systems.  
16 Mathematical models of water-to-air FTHE under the wet-cooling condition are necessary in evaluating the  
17 performance of air-conditioning system with water-to-air FTHEs during the system design phase. However,  
18 existing water-to-air FTHE models are computationally expensive and require detailed geometric data, which  
19 hinder the model applications during the system design phase. To address the above limitations, the current  
20 paper proposes a new water-to-air FTHE model which is computationally efficient, relatively accurate and only  
21 requires nominal data as inputs. The new water-to-air FTHE model is derived using wet-dry transformation  
22 method and the heat transfer process is calculated using the nominal data. Then the model is implemented in  
23 Modelica, which is an equation-based, object-oriented modeling language. In addition, experimental  
24 measurements of a water-to-air FTHE are conducted. The new model is then evaluated by experimental data  
25 and an existing model. The results show that the relative deviations of outlet temperatures and heat transfer rate  
26 between the modeled and experimental data are within 7% and 11%, respectively, which is much better than  
27 the existing model (19% and 13%). In addition, the new model is 1,047 times faster than the existing model.

28 *Key words:* finned-tube heat exchanger, model, wet-dry transformation method, Modelica

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**Nomenclature**

$A$	heat transfer area, $m^2$	$T$	temperature, K
$B$	local atmospheric pressure, Pa	$X$	water vapor mass fraction, dimensionless
$b$	coefficient from the boundary layer analysis	$U$	overall heat transfer coefficient, $W/(m^2K)$
$c_p$	specific heat capacity under constant pressure, $J/(kgK)$	<i>Greek letters</i>	
$\dot{C}$	heat capacity rate, $J/(Ks)$	$\varepsilon$	heat transfer effectiveness, dimensionless
$c$	constant	$\zeta$	contact factor, dimensionless
$d$	humidity ratio, $kg/kg_{da}$ or differential symbol	$\eta$	fin efficiency, dimensionless
$H$	specific enthalpy, $J/kg_{da}$	$\chi$	factor for thermal variation of fluid properties
$h$	sensible convective heat transfer coefficient, $W/(m^2K)$	<i>Subscripts</i>	
$h_m$	convective mass transfer coefficient, $kg/(m^2s)$	0	nominal condition
$Le_f$	Lewis factor, dimensionless	3	saturation state point of tube surface
$Le$	Lewis number	$a$	air side of heat exchanger
$\dot{m}$	mass flow rate, $kg/s$	$in$	inlet
$N$	number of pipe segments in WCCF model	$max$	maximum
$n$	exponent of heat transfer correlation	$min$	minimum
$NTU$	number of heat transfer units, dimensionless	$out$	outlet
$Nu$	Nusselt number, dimensionless	$s$	sensible heat or saturation state
$\dot{Q}$	(total) heat transfer rate, $W$	$t$	overall
$Re$	Reynolds number, dimensionless	$v$	condensed water vapor
		$w$	water side of heat exchanger

$RH$	relative humidity, dimensionless	$i$	mark number of the microelements
$r$	ratio of convective heat transfer coefficients, dimensionless		

33 **1. Introduction**

34 As a common component in air handling units, water-to-air finned-tube heat exchangers (FTHEs) are widely  
35 used for various air-conditioning systems to control air temperature and humidity [1]. The water-to-air FTHE  
36 consists of a set of parallel round tubes which are distributed uniformly in a block with parallel fins. Water  
37 flows inside the tubes and indirectly interacts with the air passing over the tubes. Air dehumidification occurs  
38 if the surface temperature of the heat exchanger is lower than the air dew point temperature. As a result, there  
39 are simultaneous heat and mass transfers on the external surface of tubes and fins [2]. This condition is called  
40 “wet-cooling process” [3-5]. A model of water-to-air FTHE with wet-cooling condition is usually necessary to  
41 evaluate performances and conduct optimizations. In order to achieve wide engineering applications, it is of  
42 great importance to develop an accurate and computationally efficient water-to-air FTHE model [6]. In addition,  
43 the model utilized in design phase should be independent of operational data, only with input data which are  
44 available during the design phase [7].

45 The existing water-to-air FTHE models under the wet-cooling condition can be classified into three categories  
46 [8, 9]: Numerical models [10-18], Analytical models [5, 19-22] and lumped models [23-35]. The numerical  
47 models discretize the space of cooling coil into numerous elements and the results of each element are obtained  
48 by using iterative algorithms [10-14]. These models can be used to systematically and comprehensively analyze  
49 the heat transfer process and provide accurate and informative results for optimal design of the heat exchanger.  
50 However, those models are computationally expensive [5, 8, 26, 36]. In some cases, numerical models have  
51 problems related to convergence, stiffness and stability [21, 33]. Moreover, most of models require details of  
52 geometric data (e.g. length and diameter of tubes, thickness of the fins), which are difficult to obtain during the  
53 design phase.

54 The analytical models solve differential equations for the heat and mass transfer process in FTHE using  
55 advanced algorithms, such as Fourier transformations [37], Laplace transformations [19, 20, 22], matrix  
56 operations [38], and integral methods with simplification [5, 21]. Although analytical models have high

57 computational efficiency, some transform methods (e.g. Laplace transformations) need to inverse the solution  
58 from the s-domain to the time domain, but the inversion may fail in some cases[21, 33]. In addition, the  
59 analytical models still require the details of geometric data, which hinders its engineering applications.

60 The lumped models utilize the enthalpy difference between air and coolant to simulate the heat and mass transfer  
61 process [23-35]. The lumped models are relatively accurate and computationally efficient [5, 8, 26]. However,  
62 the existing lumped models still require geometric data, specific heat transfer coefficients, and some operational  
63 data, which are difficult to obtain during the design phase.

64 To improve the existing models for the water-to-air FTHE with wet-cooling conditions, current research  
65 proposes a new model with two innovations: 1) It adopts a wet-dry transformation method (WDTM) [27] so  
66 that a classic effectiveness-NTU method [25] can be applied in these equivalent dry-cooling processes of the  
67 wet-cooling conditions. As a result, the new model is faster than the numerical models and simpler than the  
68 analytical models; 2) The new model calculates the heat transfer using nominal data available in the design  
69 phase and does not require geometric data, specific heat transfer coefficients, and operational data. These  
70 characteristics of the new model will facilitate the optimal design of the air-conditioning system in the design  
71 phase.

72 In the current paper, section 2 illustrates derivation of the new proposed water-to-air FTHE model and  
73 determinations of model parameters. Section 3 shows the implementation in Modelica. Then, experimental  
74 measurement is shown in section 4. Finally, the new model is evaluated by experimental data and an existing  
75 model in section 5.

## 76 **2. Mathematical Description**

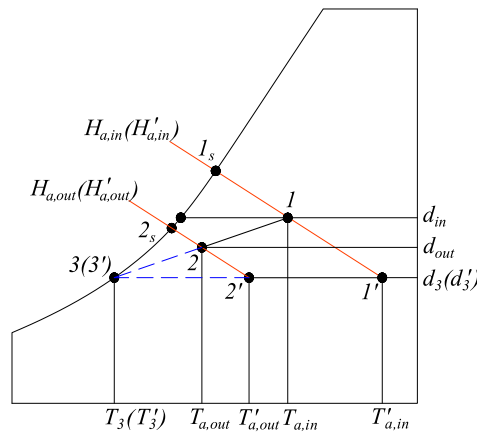
77 The newly proposed water-to-air FTHE model is based on the wet-dry transformation method [27], utilizing a  
78 hypothetical equivalent dry-cooling condition to reflect the water-to-air FTHEs performance in the presence of  
79 wet-cooling condition. Then the classic effectiveness-NTU method [25] is applied in this equivalent dry-cooling  
80 process to calculate heat transfer under wet-cooling condition. To simplify the model, several assumptions are  
81 adopted as follows: 1) The fouling and thermal resistances of different materials are neglected; 2) There is no  
82 water leakage or heat loss; 3) The air pressure is 1 bar; 4) The specific heat capacity and the overall fin efficiency

83 are constant values; 5) The model is applied in steady state. The following description of the new FTHE model  
 84 summaries the main steps of mathematical derivation and the relevant details are provided in Appendix A.

85 *2.1. Equivalent Dry-cooling Condition*

86 Compared with a wet-cooling condition, the equivalent dry-cooling condition has the same mass flow rate  
 87 (air/water), contact factor, inlet and outlet air enthalpies or water temperature [27]. The contact factor  $\zeta$  reflects  
 88 the close extent of the final air state to its saturation state. According to the above definitions, the heat transfer  
 89 rates of the wet-cooling condition and its equivalent dry-cooling condition are identical.

90 Fig. 1 shows the wet-cooling process and its equivalent dry-cooling process on the air side [27]. Line “1-2”  
 91 represents a wet-cooling process with moist air flowing through a FTHE. Line “1’-2’” is the equivalent dry-  
 92 cooling condition of process “1-2”. Line “1-1’” and “2-2’” are two constant enthalpy lines. Point 1 and point 2  
 93 represent the inlet and outlet states in the wet-cooling process respectively. Similarly, point 1’ and point 2’ are  
 94 the inlet and outlet states of the equivalent dry-cooling condition. Point 3 and point 3’ are the saturation states  
 95 on the tube surface under the wet-cooling condition and its equivalent dry-cooling condition, respectively. Point  
 96 3 and point 3’ are overlapped in the psychrometric chart.



97  
 98 Fig. 1. Wet-cooling condition and its equivalent dry-cooling condition in the psychrometric chart

99 The relationship between the wet-cooling condition and its equivalent dry-cooling condition can be expressed  
 100 as [27]:

$$\zeta = \frac{H_{a,in} - H_{a,out}}{H_{a,in} - H_3} = \frac{H'_{a,in} - H'_{a,out}}{H'_{a,in} - H_3}, \quad (1)$$

101 and

$$\zeta = \frac{T_{a,in} - T_{a,out}}{T_{a,in} - T_3} = \frac{T'_{a,in} - T'_{a,out}}{T'_{a,in} - T_3}. \quad (2)$$

102 where  $H$  is specific enthalpy of the air;  $T$  is temperature of medium;  $\zeta$  is the contact factor. The variables with  
 103 superscript “'” are the equivalent dry-cooling condition; the variables with subscript “a” are on the air side; the  
 104 variables with subscript “in” and “out” are the ones of the inlet and outlet of the heat exchanger, respectively.

105 The outlet states of the FTHE under wet-cooling condition  $H_{a,out}$  and  $T_{a,out}$  can be obtained using the Eq. (1)  
 106 and Eq. (2):

$$H_{a,out} = H_{a,in} - \frac{T'_{a,in} - T'_{a,out}}{T'_{a,in} - T_3} (H_{a,in} - H_3), \quad (3)$$

107 and

$$T_{a,out} = T_{a,in} - \frac{T'_{a,in} - T'_{a,out}}{T'_{a,in} - T_3} (T_{a,in} - T_3). \quad (4)$$

108 The values of  $H_{a,out}$  and  $T_{a,out}$  can be obtained if  $T'_{a,in}$ ,  $T'_{a,out}$ ,  $H_3$  and  $T_3$  are known. The key in calculation of  
 109  $T'_{a,in}$ ,  $T'_{a,out}$ ,  $H_3$  and  $T_3$  is the convective heat transfer coefficients on air/water sides of the heat exchanger with  
 110 the equivalent dry-cooling condition. Thus, section 2.2 will describe the procedure to calculate the convection  
 111 heat transfer coefficients. Then section 2.3 will show the calculation of  $T'_{a,in}$ ,  $T'_{a,out}$ ,  $H_3$  and  $T_3$ .

## 112 2.2. Calculation of Convective Heat Transfer Coefficients

113 In the wet-cooling process, heat transfer at the air side of the water-to-air FTHE is driven by the enthalpy  
 114 difference between main stream and saturated air near the tube surface. The equation can be written as [39]:

$$d\dot{Q} = \frac{\eta_t h_a (H - H_3) dA}{c_{p,a} Le_f}, \quad (5)$$

115 where  $dA$  is a discretized heat transfer area;  $d\dot{Q}$  is the heat transfer rate in  $dA$ ;  $\eta_t$  is overall fin efficiency [25];  
 116  $h_a$  is sensible convective heat transfer coefficient;  $c_{p,a}$  is the specific heat capacity of the air;  $Le_f$  is Lewis factor  
 117 that reflects the relative strength of heat transfer and mass transfer [40].  $Le_f$  is a parameter given by users in  
 118 this new model. The selection of  $Le_f$  is discussed in section 2.4.2. Based on Eqs. (1), (2) and (5), the contact  
 119 factor  $\zeta$  can be derived as (details see Appendix A.1):

$$\zeta = 1 - \exp\left[-\frac{(\eta_t hA)_a}{\dot{C}_a Le_f}\right] = 1 - \exp\left[-\frac{(\eta_t hA)'_a}{\dot{C}_a}\right], \quad (6)$$

120 where  $A$  is the total heat transfer area;  $\dot{C}_a$  is the heat capacity rate of air flow, and  $\dot{C} = \dot{m}c_p$ . From Eq. (6), we  
 121 can obtain the relationship between convective heat transfer coefficients in wet-cooling condition and  
 122 equivalent dry-cooling condition on the air side:

$$(\eta_t hA)'_a = (\eta_t hA)_a Le_f^{-1}. \quad (7)$$

123 In Eq. (7),  $Le_f$  is defined as a parameter in this new model. If the  $(\eta_t hA)_a$  is known, the heat conductivity  
 124  $(\eta_t hA)'_a$  under its equivalent dry-cooling condition can be obtained. Then based on Eq. (6), the value of  $\zeta$  can  
 125 be calculated.

126 For the water side, the wet-cooling process and its equivalent dry-cooling process are the same. As a result, the  
 127 relationship between convective heat transfer coefficients in the wet-cooling condition and its equivalent dry-  
 128 cooling condition at the water side is:

$$(hA)'_w = (hA)_w. \quad (8)$$

129 where,  $w$  means water side;  $h_w$  and  $h'_w$  are the convective heat transfer coefficients of the wet-cooling  
 130 condition and its equivalent dry-cooling condition on the water side respectively.

131 The next step is solving  $(\eta_t hA)_a$  and  $(hA)_w$  in the actual conditions. For the air side, the  $h_a$  is correlated with  
 132  $h_{a,0}$  [14]:

$$(\eta_t hA)_a = \chi_a(T_{a,in}) \left( \frac{\dot{m}_a}{\dot{m}_{a,0}} \right)^{n_a} (\eta_t hA)_{a,0}, \quad (9)$$

133 where  $h_{a,0}$  is the convective heat transfer coefficient at the air side under the nominal condition;  $n_a$  is the  
 134 exponent of Reynolds number in the convective heat transfer correlation on the air side. In this new FTHE  
 135 model,  $n_a$  is a parameter, which needs to be given in advance. The selection of  $n_a$  is discussed in section 2.4.3.  
 136 The  $\chi_a(T_{a,in})$  illustrates the variations of air properties, which could be regarded as a function of the inlet air  
 137 temperature, i.e.[14]:

$$\chi_a(T_{a,in}) = 1 + 7.8532 \times 10^{-4} (T_{a,in} - T_{a,in,0}). \quad (10)$$

138 If the nominal  $(\eta_t hA)_{a,0}$  is known, the  $(\eta_t hA)_a$  can be calculated based on Eq. (9) and Eq. (10).

139 For the water side, the  $h_w$  has the following correlation with  $h_{w,0}$  [14]:

$$(hA)_w = \chi_w(T_{w,in}) \left( \frac{\dot{m}_w}{\dot{m}_{w,0}} \right)^{n_w} (hA)_{w,0}, \quad (11)$$

140 where,  $h_{w,0}$  is the convective heat transfer coefficient at the water side under the nominal condition;  $n_w$  is the  
 141 exponent of water flow velocity in the convective heat transfer correlation on the water side;  $\chi_w(T_{w,in})$  is the  
 142 variable defining the physical properties of water. In this new FTHE model,  $n_w$  is a parameter and needs to be  
 143 given by users. The selection of  $n_w$  is discussed in section 2.4.3. The  $\chi_w(T_{w,in})$  is calculated as follows [14]:

$$\chi_w(T_{w,in}) = 1 + \frac{0.014}{1 + 0.014(T_{w,in,0} - 273.15)} (T_{w,in} - T_{w,in,0}). \quad (12)$$

144 If the nominal  $(\eta_t hA)_{w,0}$  is known, the  $(\eta_t hA)_w$  can be calculated based on Eq. (11) and Eq. (12).

145 The next task is to calculate  $(\eta_t hA)_{a,0}$  and  $(hA)_{w,0}$  under nominal condition. The nominal  $(\eta_t hA)_{a,0}$  can be  
 146 derived by [7]:

$$(\eta_t hA)_{a,0} = (UA)_0 (r + 1), \quad (13)$$



147 where,  $U$  is overall heat transfer coefficient;  $r$  is the quotient of the convective heat transfer coefficients on the  
 148 two sides (water/air) of the heat exchanger under nominal condition, i.e.,

$$r = \frac{(\eta_t hA)_{a,0}}{(hA)_{w,0}}. \quad (14)$$

149 where  $r$  is set as a parameter in this new FTHE model. The selection of  $r$  is discussed in section 2.4.2.

150 In the water-to-air FTHEs, the mass transfer has trivial impacts on the sensible heat transfer [39]. Thus, the  
 151 mass transfer and the sensible heat transfer could be assumed to be independent. In the nominal situation, the  
 152 sensible heat flow rate is  $\dot{Q}_{s,0}$ , the overall heat transfer coefficient is  $U_0$ , inlet temperatures and mass flow rates  
 153 at air/water sides are  $\dot{m}_{a,0}$ ,  $\dot{m}_{w,0}$ ,  $T_{a,in,0}$  and  $T_{w,in,0}$ , respectively. Then, the effectiveness-NTU method is used  
 154 to calculate  $(UA)_0$  (see details in Apendix A.2). In the new FTHE model, the nominal data ( $\dot{Q}_{s,0}$ ,  $\dot{m}_{a,0}$ ,  $\dot{m}_{w,0}$ ,  
 155  $T_{a,in,0}$ ,  $T_{w,in,0}$  and *flow arrangement*) are set as nominal parameters. The *flow arrangement* is associated  
 156 with the structure of heat exchanger, such as flow arrangement, cross flow arrangement, etc (see details in  
 157 Apendix A.2). The selections of these parameters are discussed in section 2.4.1.

158 After obtaining the convective heat transfer coefficients  $(\eta_t hA)'_a$  and  $(hA)'_w$  under the equivalent dry-cooling  
 159 condition, the next step is to simulate the heat transfer process in the equivalent dry-cooling process.

### 160 2.3. Heat Transfer Calculation

161 The heat transfer process under the equivalent dry-cooling condition is simulated by adopting the effectiveness-  
 162 NTU method. The thermal resistance of the materials and the fouling on different surfaces are neglected [41].  
 163 Based on the effectiveness-NTU method, the heat transfer effectiveness  $\varepsilon'$  under the equivalent dry-cooling  
 164 condition can be obtained. Based on the  $\varepsilon'$ ,  $T'_{a,in}$  can be calculated by (see details in Apendix A.3):

$$T'_{a,in} = \frac{\dot{C}_{min} \varepsilon' T_{w,in} - \dot{C}_a \zeta T_3}{\dot{C}_{min} \varepsilon' - \dot{C}_a \zeta}, \quad (15)$$

165 where  $\dot{C}_{min}$  is the minimum value of  $\dot{C}_a$  and  $\dot{C}_w$ . Until now, only  $T_3$  remains unknown. The quantitative  
 166 relationship between saturation humidity ratio  $d_3$  and saturation temperature  $T_3$  is [42]:

$$d_3 = \frac{622}{7.5B \cdot \exp \left[ -18.5916 + \frac{3991.11}{(T_3 - 39.31)} \right] - 1}, \quad (16)$$

167 where B is local atmospheric pressure (101,325 Pa).

168 As Fig. 1 shown, the enthalpy, humidity ratio and temperature of point 1' are  $H_{a,in}$ ,  $d_3$  and  $T'_{a,in}$ , respectively.

169 The relationship can be expressed as [43]:

$$H_{a,in} = 1006(T'_{a,in} - 273.15) + 1000 \times [2501 + 1.86(T'_{a,in} - 273.15)]d_3. \quad (17)$$

170 According to Eqs. (15), (16) and (17),  $T'_{a,in}$ ,  $T_3$  and  $d_3$  can be obtained.  $H_3$  is calculated by using the function

171 of the enthalpy, humidity ratio and temperature as Eq. (17). The  $T'_{a,out}$  can be obtained according to Eq. (2).

172 Finally,  $H_{a,out}$  and  $T_{a,out}$  are calculated by Eq. (3) and Eq. (4).

#### 173 2.4. Selection of Model Parameters

174 According to the mathematical description of the newly proposed FTHE model, there are some model

175 parameters to be defined by the users:  $\dot{Q}_{s,0}$ ,  $\dot{m}_{a,0}$ ,  $\dot{m}_{w,0}$ ,  $T_{a,in,0}$ ,  $T_{w,in,0}$ , *flow arrangement*,  $r$ ,  $Le_{f,0}$ ,  $n_a$  and

176  $n_w$ . The detailed definitions of these parameters are described above and their selections are discussed below.

##### 177 2.4.1. Selection of Nominal Parameters

178 The nominal condition is decided by users during the design phase. It can be the design condition or the

179 maximum load condition. The nominal parameters ( $\dot{m}_{a,0}$ ,  $\dot{m}_{w,0}$ ,  $T_{a,in,0}$  and  $T_{w,in,0}$ ) are selected from the

180 nominal data of the water-to-air FTHE, which are often available from the manufacturers. It is noticed that the

181 heat transfer coefficients of this newly proposed model are derived from the nominal sensible heat transfer rate

182  $\dot{Q}_{s,0}$  rather than the nominal total heat transfer rate  $\dot{Q}_0$ . The  $\dot{Q}_{s,0}$  can be defined by users or calculated using

$$\dot{Q}_{s,0} = \dot{m}_{a,0}(T_{a,in,0} - T_{a,out,0}) \quad (18)$$

183 where  $T_{a,out,0}$  is the outlet air temperature in the nominal condition.

184 The selection of *flow arrangement* is determined by the configuration type of heat exchanger. There are six

185 different flow arrangements of heat exchanger corresponding to six different equations for  $\varepsilon$  and  $NTU$  [29]. In

186 other words, the selection of the parameter *flow arrangement* is selecting equations for  $\varepsilon$  and  $NTU$ . When  
 187 the number of the tube rows of an water-to-air FTHE is above three, it can be considered as the counter flow  
 188 arrangement [39].

#### 189 2.4.2. Selection of $r$ and $Le_f$

190 There is an analytical formula for the calculation of  $r$  [7]:

$$r \approx \frac{a_2}{a_1} \left( \frac{V_{a,0}}{V_{w,0}} \right)^{0.8} \quad (19)$$

191 where  $a_1 = 1.025$  and  $a_2 = 0.208$ , respectively. The  $V_{a,0}$  is the face air velocity of the heat exchanger and  
 192  $V_{w,0}$  is the water velocity in tube under nominal condition. In a cooling coil or tube, the face air velocity  $V_a$  is  
 193 generally between 1.0 m/s and 3.0 m/s, and  $V_w$  is between 1.0 m/s and 2.0 m/s [44]. Thus, according to Eq.  
 194 (19),  $r$  is in the range from 0.1 to 0.5.

195 A Lewis factor correlation can be represented by numerically simulated results [45]:

$$Le_f = -1.331 + \frac{3.853}{RH} - \frac{2.231}{RH^2} + \frac{0.421}{RH^3} - \frac{26.21}{Re} \quad (20)$$

196 where,  $RH$  is the inlet relative humidity of the air and the range of  $RH$  ranges from 35% to 100%,  $Re$  is  
 197 Reynolds number based on  $V_a$ . For Eq. (20), the range of  $V_a$  is between 0.30 m/s and 3.5 m/s. Since  $V_a$  has  
 198 minor impacts on the variation of  $Le_f$  [45], to simplify Eq. (20), we can ignore  $26.21/Re$  in Eq. (20) and get  
 199  $Le_f \in [0.712, 1.285]$  when  $RH \in [0.35, 1]$ . In addition, the literature indicates that the value of Lewis factor  
 200  $Le_f$  is roughly between 0.6 and 1.2 [46]. Considering these two analyses, we select  $Le_f \in [0.6, 1.3]$ . It is worth  
 201 to mention that Lewis factor  $Le_f$  varies a lot under different operating conditions. To simplify this issue, the  
 202 current paper adopts the nominal Lewis factor to represent the actual Lewis factor ( $Le_f = Le_{f,0}$ ).

203 Once the nominal data are determined, only the parameters  $r$ ,  $Le_{f,0}$ ,  $n_a$  and  $n_w$  are unknown. Based on the Eq.  
 204 (9) and Eq. (11), when conducting the heat transfer calculation using the new FTHE model under the nominal  
 205 condition, it has nothing to do with  $n_a$  and  $n_w$ . Thus, we only need to determine  $r$  and  $Le_{f,0}$  in this case. Under

206 the nominal condition,  $r$  and  $Le_{f,0}$  are the values that can minimize the relative deviation between the  
 207 calculated and measured values of heat transfer rats:

$$\min \left( \sqrt{\left( \frac{\dot{Q}_0^c - \dot{Q}_0}{\max|\dot{Q}_0^c - \dot{Q}_0|} \right)^2 + \left( \frac{\dot{Q}_{s,0}^c - \dot{Q}_{s,0}}{\max|\dot{Q}_{s,0}^c - \dot{Q}_{s,0}|} \right)^2} \right) = \min (f(r, Le_{f,0})) \quad (21)$$

208 s. t.

$$0.1 \leq r \leq 0.5,$$

$$0.6 \leq Le_{f,0} \leq 1.3,$$

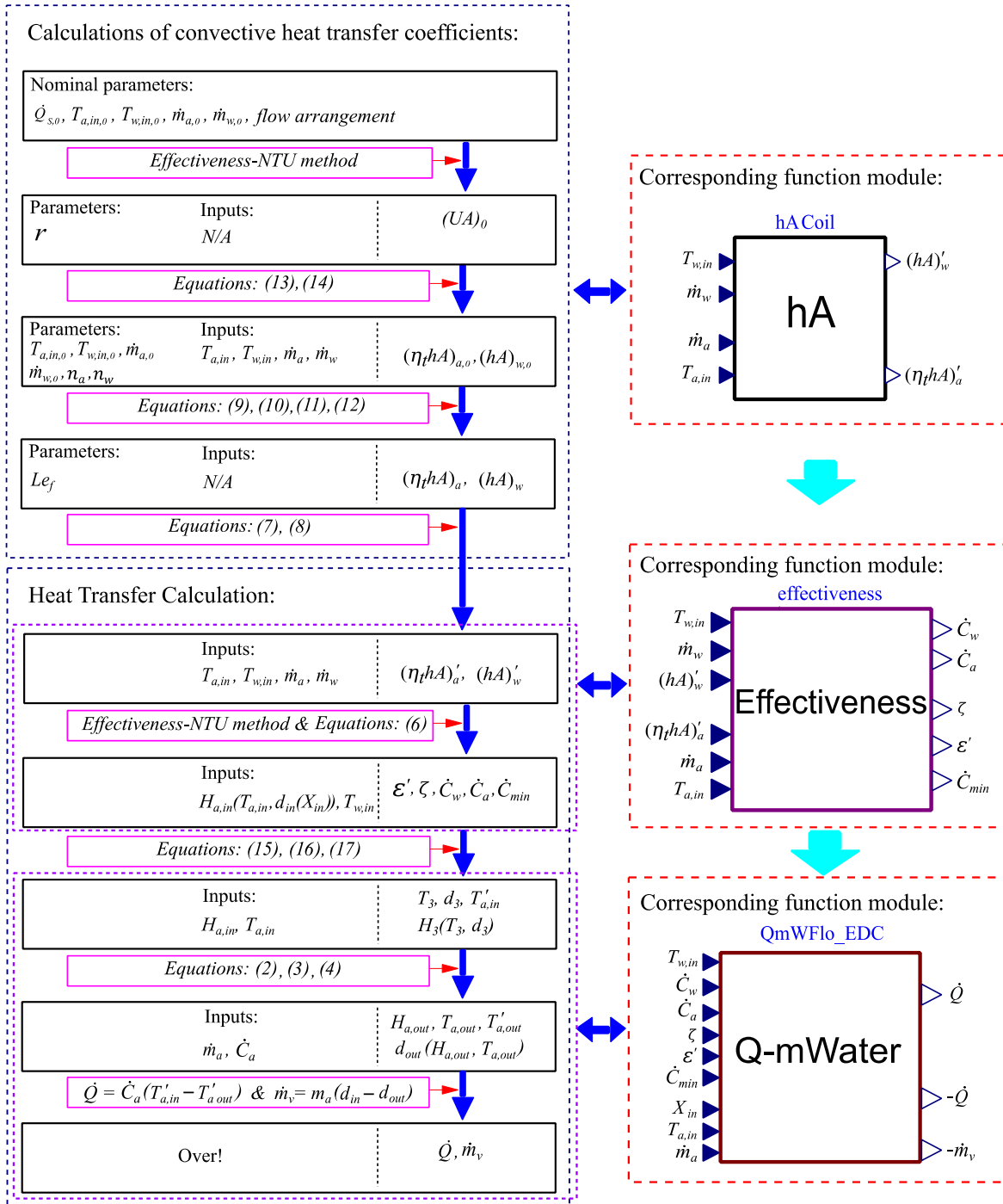
209 where  $\dot{Q}_0^c$  and  $\dot{Q}_{s,0}^c$  are the calculated values of total heat transfer rate and sensible heat transfer rate under the  
 210 nominal condition.

### 211 2.4.3. Selection of $n_a$ and $n_w$

212 This paper proposes to identify the heat transfer correlations related to the type of selected product from  
 213 literatures and determine the value of  $n_a$  accordingly. The  $n_a$  is between 0 and 1 with a common range from  
 214 0.34 to 0.95 [7, 44, 47-54]. In the current paper, we select  $n_a = 0.65$ , which is approximately the median value  
 215 of the range. For the  $n_w$ , 0.8 or 0.85 is commonly selected [39, 55]. In this work, we adopt  $n_w = 0.85$ .

## 216 3. Implementation

217 The new FTHE model is implemented using Modelica, an equation-based, object-oriented modeling language  
 218 [56]. As an effective and promising modeling approach for building energy and control system, the Modelica  
 219 has been widely used to conduct rapid prototyping of innovative building energy systems, model-based building  
 220 control, and simulation of zero energy communities [57-64]. Our implementation is based on the free open-  
 221 source Modelica Buildings Library [14, 65]. The following part introduces the detailed implementation of the  
 222 newly proposed FTHE model.



224

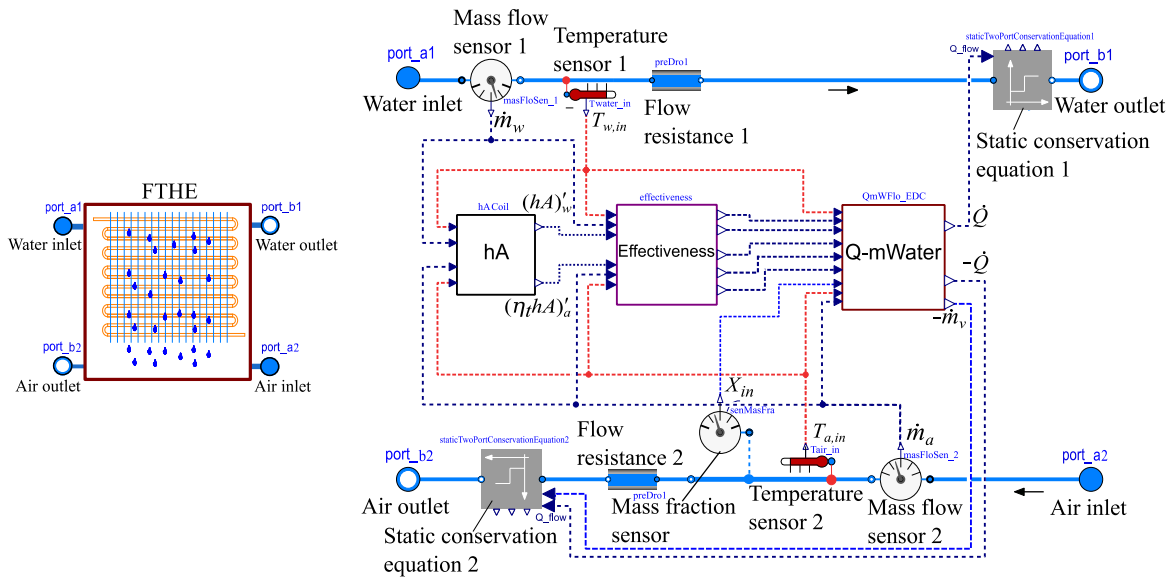
225

Fig. 2 Numerical scheme for implementing the new FTHE model

226 Fig. 2 shows the numerical scheme for the model implementations. The left side of the figure describes the  
 227 procedures to calculate the convective heat transfer coefficients and simulate the heat transfer process, which  
 228 is shown in section 2. The right side shows the corresponding function modules to be implemented in Modelica.

229 3.2. Modelica Model

230 Fig. 3 shows the implementations of the newly proposed FTHE model under wet-cooling condition in Modelica  
 231 based on numerical scheme described in section 3.1. In Fig. 3, the fluid ports (inlets and outlets) connect the  
 232 new FTHE model with an air-conditioning system. The  $hA$  module calculates the heat conductivity, and  
 233 provides the results to the *Effectiveness* module to compute  $\zeta$  and  $\epsilon'$ . Then the *Q-m Water* module receives the  
 234 values of  $\zeta$  and  $\epsilon'$ , and calculates the heat transfer rate  $\dot{Q}$  and mass flow rate of condensate water,  $\dot{m}_v$ , under  
 235 non-nominal conditions. After that, the *Q-m Water* module provides  $\dot{Q}$  to the *Static conservation equation 1*  
 236 module (Water-side), and  $-\dot{Q}$  and  $-\dot{m}_v$  to the *Static conservation equation 2* module (Air-side). Since the air  
 237 side is cooled and dehumidified, the values of  $-\dot{Q}$  and  $-\dot{m}_v$  are negative. It is noticed that these two *Static*  
 238 *conservation equation* modules transport fluid between their two ports based on steady state simulation without  
 239 storing or leaking mass or energy. In Fig. 3, *Mass fraction sensor* is used to measure the mass fraction of water  
 240 vapor in air ( $X_{in}$ ), which is utilized to calculate inlet humidity ratio  $d_{in}$ .



(a) Icon of FTHE model

(b) Detailed construction of FTHE model

241 Fig. 3. Modelica model of FTHE model under the wet-cooling condition

## 242 4. Experiment

### 243 4.1. Experiment Setup

244 To validate the new model, a prototype of water-to-air FTHE shown in Fig. 4 is used to conduct an experiment  
245 in a laboratory certified by the China National Accreditation Service for Conformity Assessment (CNAS). As  
246 shown Fig. 4, the water-to-air FTHE consists of a set of parallel round heat exchange tubes which are distributed  
247 uniformly within a block of parallel fins. A steel frame is used to fix heat exchange tubes and protect fins. The  
248 dispenser and collector tube are used to distribute and collect water from the heat exchange tubes. To ensure  
249 the accuracy of the results, Table 1 briefly summarizes the experimental conditions and relevant instruments.

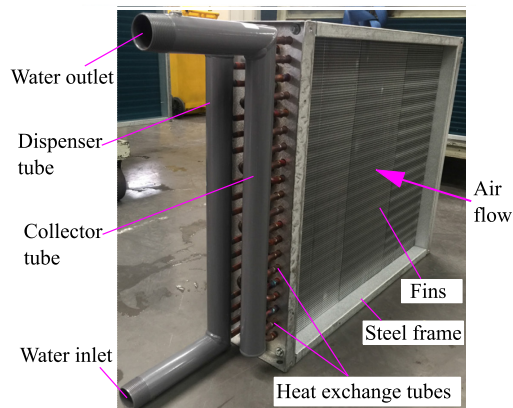
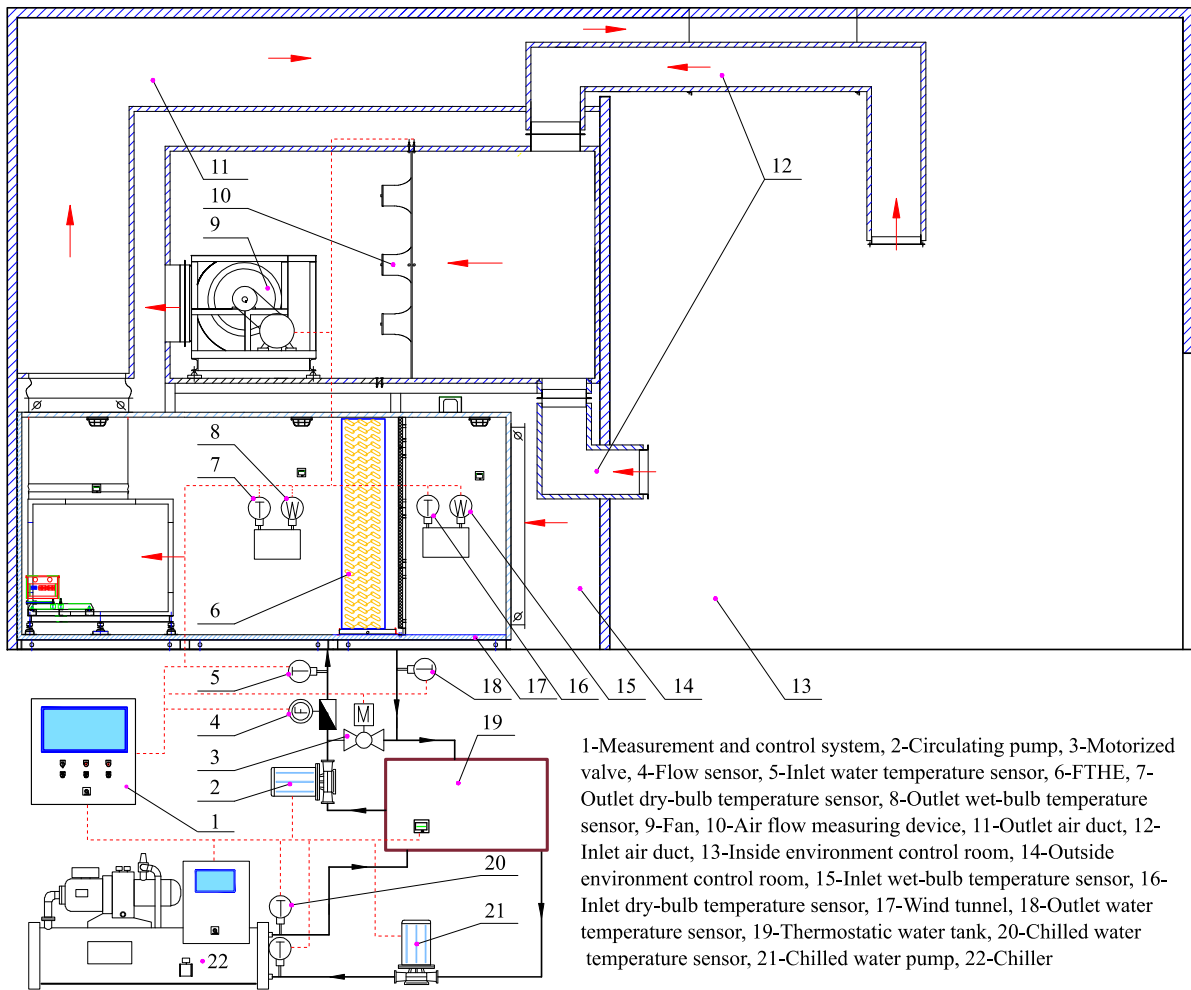


Fig. 4. Water-to-air FTHE used in the experiment

250 Table 1. The experimental conditions and instruments

Objects	Conditions	Instruments
Temperature	Measurement accuracy and control accuracy of temperatures are $\pm 0.05 K$ and $\pm 0.2 K$ , respectively. Accuracy of thermocouple compensation wire is $\pm 0.1 K$ .	Platinum resistance thermometer, Pt100.
Water mass flow rate	Measurement tolerance of water mass flow rates is $\pm 1.0\%$ .	Flow sensor, AXF040G

Air flow rate	Repeatability precision: the relative deviations between the three test results and their mean value are within $\pm 1.5\%$ . Accuracy: the relative deviation between the tested FTHE and the standard prototype is within $\pm 2.0\%$ .	Nozzles for air flow measurement (range: 0-1000 Pa). Pressure transmitter (range: 0-2.5 Mpa).
Heat transfer rate	Repeatability precision: the relative deviations between the three test results and their mean value are within $\pm 1.5\%$ . Accuracy: the relative deviation between the tested FTHE and the standard prototype is within $\pm 2.0\%$ .	N/A



251

252

Fig. 5. Schematic of the experiment setup

253

Fig. 5 and Fig. 6 show the schematic of the experiment setup and main experimental facilities respectively.

254

Main devices include control system, pumps, temperature, humidity and mass flow sensors, fan, nozzles air

255

flow measurement, wind tunnel, thermostatic water tank, and chiller.





(a) Wind tunnel



(b) Control system



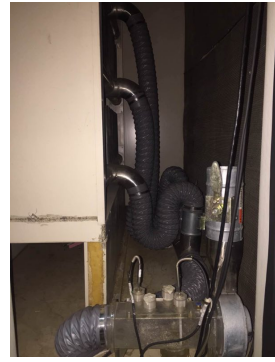
(c) Fan



(d) Nozzles for air flow measurement



(e) Air sampling apparatus



(f) Measuring device of dry-bulb and wet-bulb temperatures

Fig. 6. Parts of experimental facilities

256 4.2. Experimental Data

257 Table 2 summarizes the key parameters of the water-to-air FTHE experiments. Besides, the nominal data of this  
 258 FTHE are:  $\dot{Q}_0 = 86,040 \text{ W}$ ,  $\dot{Q}_{s0} = 35,562 \text{ W}$ ,  $\dot{m}_{a,0} = 2.004 \text{ kg/s}$ ,  $\dot{m}_{w,0} = 4.046 \text{ kg/s}$ ,  $T_{a,in,0} = 308.13 \text{ K}$ ,  
 259  $T_{w,in,0} = 280.13 \text{ K}$ ,  $X_{in,0} = 0.0209$ ,  $T_{a,out,0} = 290.65 \text{ K}$ , and  $T_{w,out,0} = 285.20 \text{ K}$ . Based on these nominal  
 260 data,  $(UA)_0 = 2,084.21 \text{ W/K}$ . These data are utilized to evaluate the performance of the newly proposed FTHE  
 261 model. The main procedures and results are summarized in section 5.

262 Table 2. Experimental data of the water-to-air FTHE

Case	$\dot{m}_a$ (kg/s)	$\dot{m}_w$ (kg/s)	$T_{a,in}$ (K)	$X_{in}$	$T_{w,in}$ (K)	$T_{a,out}$ (K)	$T_{w,out}$ (K)	$\dot{Q}$ (W)
1	1.331	1.241	300.19	0.0109	280.13	286.46	285.12	26,535
2	1.478	1.341	300.03	0.0110	280.11	286.68	285.15	28,815

Case	$\dot{m}_a$ (kg/s)	$\dot{m}_w$ (kg/s)	$T_{a,in}$ (K)	$X_{in}$	$T_{w,in}$ (K)	$T_{a,out}$ (K)	$T_{w,out}$ (K)	$\dot{Q}$ (W)
3	1.774	1.522	300.07	0.0110	280.11	287.03	285.2	32,940
4	1.922	1.638	300.22	0.0109	280.12	287.36	285.16	35,115
5	2.070	1.688	300.26	0.0109	280.11	287.42	285.19	36,555
6	1.289	2.924	308.15	0.0209	280.12	288.89	285.16	61,960
7	1.432	3.180	308.14	0.0209	280.13	289.23	285.14	67,110
8	1.718	3.604	308.14	0.0209	280.18	289.92	285.23	76,515
9	1.861	3.927	308.13	0.0209	280.14	290.39	285.17	82,870

263

264 Uncertainty calculation is performed to analyze the measurement errors. In Table 2, the maximum measurement  
265 uncertainties of temperatures, water mass flow rates, air flow rates, water vapor mass fraction and heat transfer  
266 rates are within  $\pm 0.20$  K,  $\pm 0.023$  kg/s,  $\pm 0.016$  kg/s,  $\pm 0.00023$  and  $\pm 3.46\%$ , respectively.

## 267 5. Evaluation

268 To evaluate the newly developed FTHE model, we compare the computed results of the new model with an  
269 existing model, *WetCoilCounterFlow* (WCCF) from the Modelica Buildings Library [14, 65]. Both models are  
270 adopted to simulate the cases listed in Table 2. Based on experimental results, the relative deviations of the  
271 results obtained by the two models are compared. In addition, the computing speed is also discussed.

### 272 5.1. Reference Model: WCCF

273 The WCCF model is used to simulate counter flow heat exchangers with water vapor condensation, and the  
274 two-flow paths are discretized into  $N$  elements. Fig. 7 shows the top-level structure of the WCCF model in  
275 Modelica. In Fig. 7, the *HADryCoil* module has the similar function as the *hA* module in the new FTHE model.  
276 The difference between these two modules is that  $(UA)_0$  needs to be given by users directly in *HADryCoil*  
277 module while in the *hA* module, it is not needed. The WCCF model has a *HexElementLatent* module which  
278 models the heat and mass exchanges between the discretized elements in both sides. In this module, the  
279 condensate water  $\dot{m}_{v,i}$  is obtained by [66]:

$$\dot{m}_{v,i} = \frac{h_a A_i}{c_{p,a} Le_f} (X_{3,i} - X_i). \quad (22)$$

280 where  $A_i$  is the heat transfer area of each discretized element;  $X_{3,i}$  and  $X_i$  are the water vapor mass fractions in  
 281 the boundary layer and in the bulk of the air respectively in each discretized element;  $Le_f = Le^{(1-b)}$ ,  $Le$  is  
 282 Lewis number and  $b$  is a coefficient from the boundary layer analysis, typically  $b = 1/3$  [66]; the subscript “ $i$ ”  
 283 represents the No. of microelement. The total condensate water  $\dot{m}_v$  is the sum of all the  $\dot{m}_{v,i}$ . More details about  
 284 the WCCF model are summarized in the Modelica Buildings Library [14, 65].

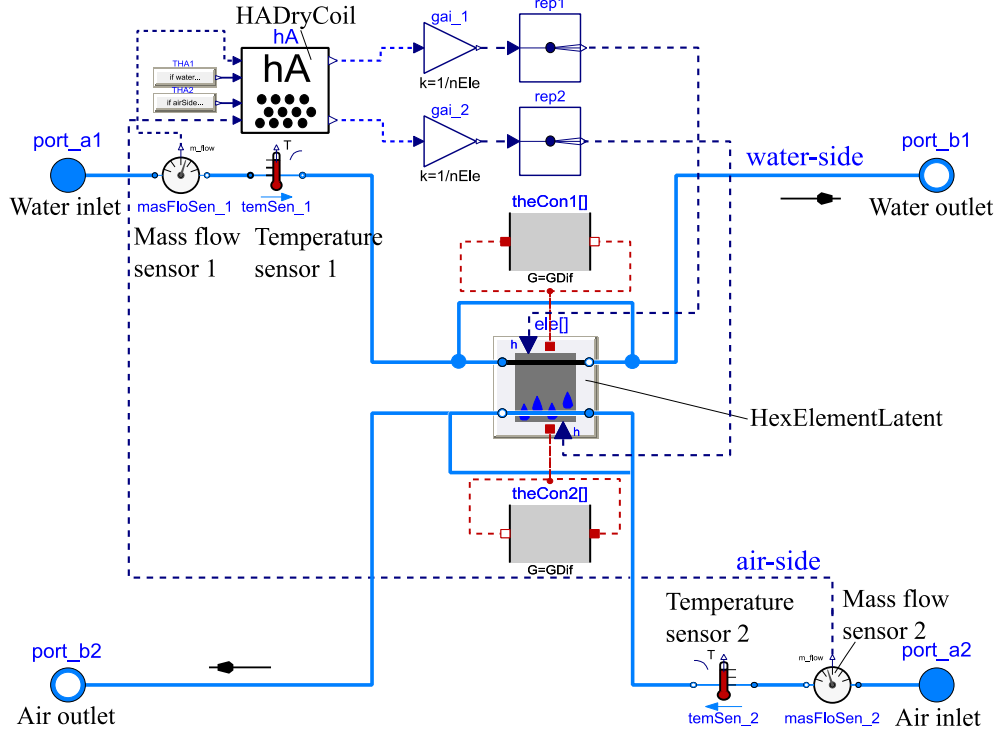


Fig. 7. Top-level structure of WCCF model in Modelica

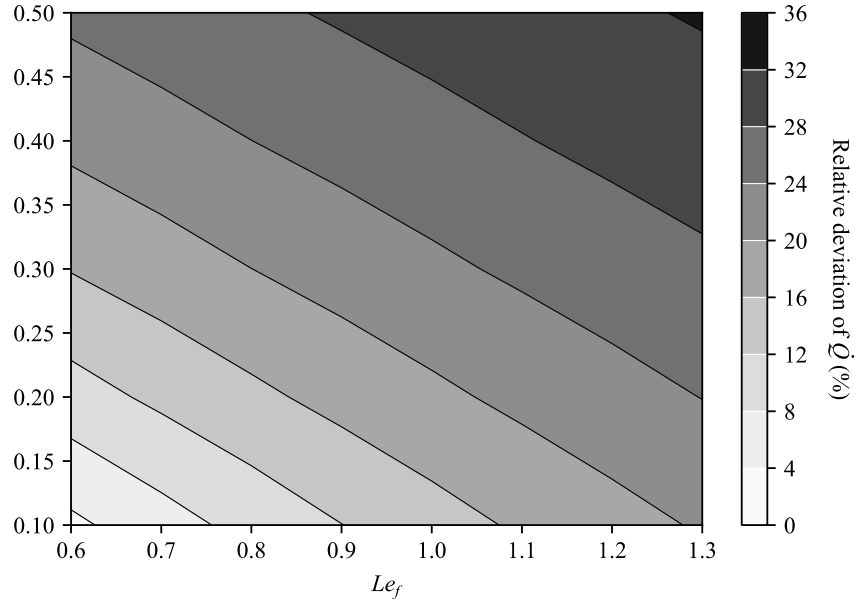
### 5.2. Determination of Parameters

Before using these two models, the parameters need to be determined in advance. We use the method described in section 3.2 to set the parameters for the newly proposed FTHE model. The  $r$  and  $Le_f$  in the WCCF model are used to minimize the relative deviation of  $\dot{Q}$  between the calculated and the measured values of heat transfer rate under nominal condition. Besides,  $N$  also needs to be determined by users in the WCCF model. The methods determining these parameters are described in the following paragraphs. For the remaining parameters, we obtain  $(UA)_0 = 2,084.21$  W/K based on the nominal data and the values adopted in the FTHE model.

295 The first step is determining the values of  $r$  and  $Le_f$ . As mentioned above, the reasonable ranges for  $r$  and  $Le_f$   
 296 under nominal condition are  $r \in [0.1, 0.5]$  and  $Le_f \in [0.6, 1.3]$ , respectively. We conduct the comparison of  
 297 the simulation results with different combinations of  $r$  and  $Le_f$  with  $N = 30$ . Fig. 8 shows the relative  
 298 deviations ( $\dot{Q}$ ) of WCCF with various combinations of  $r$  and  $Le_f$  based on experimental data in nominal  
 299 condition. The *relative deviation* and *mean relative deviation* are calculated by:

$$\text{Relative deviation} = \frac{|\text{result of simulation} - \text{measurement}|}{\text{measurement}} \times 100\% \quad (23)$$

$$\text{Mean relative deviation} = \frac{\text{sum of mean Relative deviation at every case}}{\text{number of cases}} \quad (24)$$



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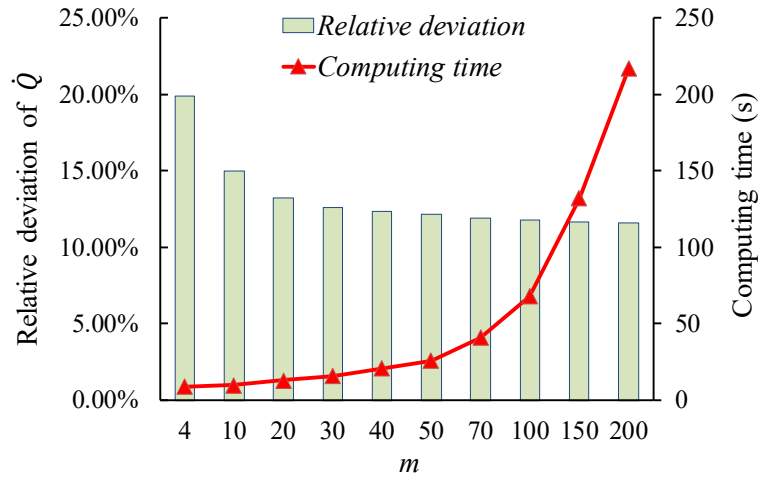
301 Fig. 8. Relative deviation of  $\dot{Q}$  between WCCF under different various combinations of  $r$  and  $Le_f$  and  
 302 experimental data

303 Fig. 8 shows that the relative deviation of  $\dot{Q}$  increases when  $Le_f$  or  $r$  increases. As a result, the combination of  
 304  $r = 0.1$  and  $Le_f = 0.6$  is selected to minimize the relative deviation of  $\dot{Q}$ .

305 The next step is selecting appropriate value of  $N$ . We use Case 1 in Table 2 to identify the minimum  $N$  value,  
 306 which could ensure the required accuracy. Fig. 9 shows the relative deviations of  $\dot{Q}$  and computing time over  
 307 different values of  $N$  (computer configuration: Inter® Xeon® CPU X-5675, 3.07GHz; 48GB memory). It

308 clearly shows that when  $N$  is over 20, increasing  $N$  has neglectable impacts in decreasing relative deviation,  
 309 but significantly increases computing time. The relationship between relative deviation of  $\dot{Q}$  and computing  
 310 time is correlated quantitatively as Eq. (25). It can be found that the minimum value of the relative deviation is  
 311 11.461%. Considering reasonable accuracy and computing time cost, it is acceptable that the relative deviation  
 312 of  $\dot{Q}$  deviates from its minimum value within 10%. Thus, we set  $N = 32$  in WCCF model and the  
 313 corresponding relative deviation of  $\dot{Q}$  is 12.57% which is only slightly larger than the minimum value (11.46%)  
 314 obtained with  $N \rightarrow \infty$ .

$$\text{Relative deviation of } \dot{Q} = \left( \frac{34.123}{\text{computing time}} + 11.461 \right) \% \quad (25)$$



315

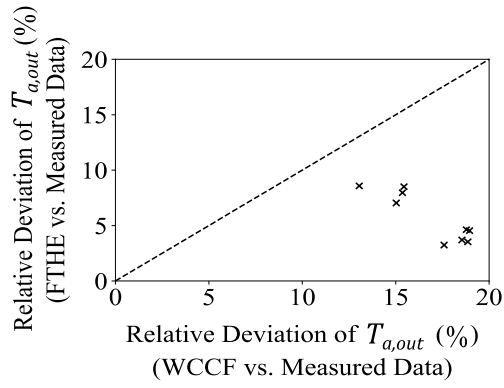
316 Fig. 9. Relative deviation of  $\dot{Q}$  and computing time over different  $m$  under Case 1 (WCCF model)

317 Table 3 summaries all the parameters in the newly developed FTHE model and existing WCCF model. The  
 318 performances of the two models were evaluated by comparing with the experimental data in Table 2. In each  
 319 case, the iterations continue until the relative deviation is lower than 1E-4.

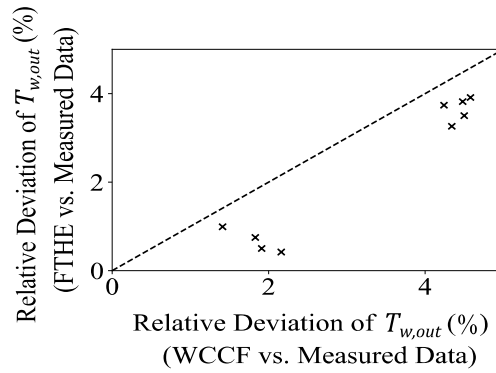
320

Table 3. Parameters setting for evaluation simulations

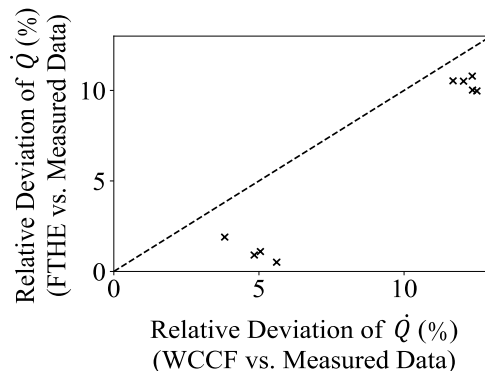
	$\dot{m}_{a,0}$ (kg/s)	$\dot{m}_{w,0}$ (kg/s)	$T_{a,in,0}$ (K)	$T_{w,in,0}$ (K)	$\dot{Q}_{s,0}$ (W)	$(UA)_0$ (W/K)	$n_a$	$n_w$	$r$	$Le_f$	$N$
FTHE	2.004	4.046	308.13	280.13	35,562	N/A	0.65	0.85	0.209	0.6	N/A
WCCF	2.004	4.046	308.13	280.13	N/A	2,084.21	0.65	0.85	0.100	0.6	32



(a)  $T_{a,out}$



(b)  $T_{w,out}$



(c)  $\dot{Q}$

322 Fig.10. Comparison of the new FTHE model and WCCF model with experimental data

323 The relative deviations of  $T_{a,out}$ ,  $T_{w,out}$ , and  $\dot{Q}$  obtained by model predictions and experimental data are shown

324 in Fig.10. Here, the Celsius scale was used to calculate the relative deviation of temperature. Therefore, when

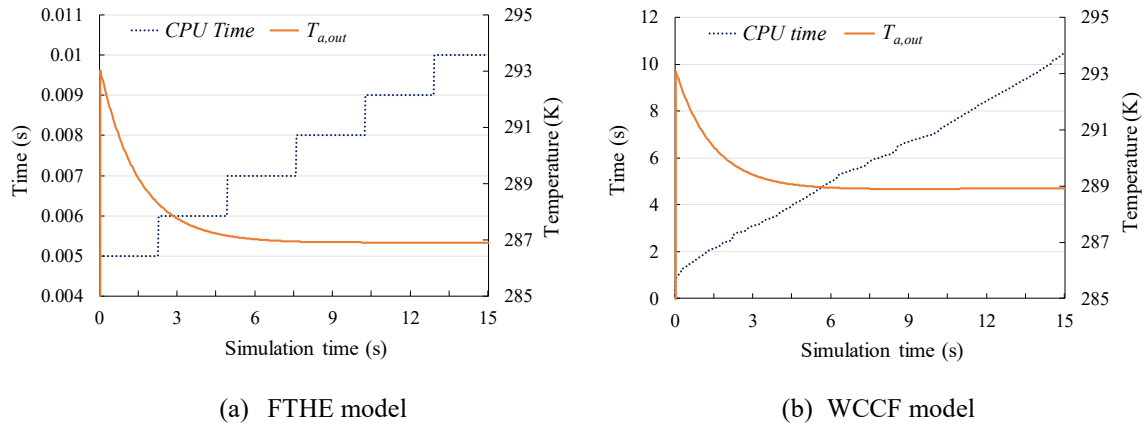
325 Eq. (23) is adopted to calculate the relative deviation of temperatures, its denominator is changed from  
326 “*measurement*” to “*measurement* – 273.15”. In Fig.10, the new FTHE model has a better performance in  
327 prediction accuracy. Fig.10(a) shows that the *mean relative deviation* of  $T_{a,out}$  is 5.20% for the new FTHE  
328 model and 16.85% for the existing WCCF model respectively. The maximum *relative deviation* of  $T_{a,out}$  is  
329 6.15% for the new FTHE model and 18.95% for the WCCF model. Fig.10(b) illustrates that the  
330 *mean relative deviation* of  $T_{w,out}$  is 2.32% for the new FTHE model and 3.27% for the WCCF model while  
331 the maximum *relative deviation* of  $T_{w,out}$  is 3.91% for the new FTHE model and 4.58% for the WCCF  
332 model. Fig.10(c) shows the *mean relative deviation* of  $\dot{Q}$  is 6.52% for the new FTHE model and 8.92% for  
333 the WCCF model, and the maximum *relative deviation* of  $\dot{Q}$  is 10.79% for the new FTHE model and 12.51%  
334 for the WCCF model.

335 The new FTHE model has a better performance because it uses an indirect solution to determine the  $\dot{m}_v$ . This  
336 indirect solution avoids the inconvenience and errors caused by directly solving the condensate water and latent  
337 heat equations. On the contrary, the WCCF model uses a direct approach to derive the  $\dot{m}_v$  as Eq. (22). In Eq.  
338 (22), the derivation of  $X_{3,i}$  was based on an approximation correlating the partial pressure of saturation water  
339 vapor and saturation  $T_{3,i}$  [14]. At the same time,  $X_i$  in the bulk of air is replaced by the outlet water vapor mass  
340 fraction  $X_{i,out}$  to conduct the approximate calculation. These approximations inevitably lead to some errors.  
341 Moreover, discrepancies exist in the calculations of  $T_{3,i}$  and  $X_{i,out}$ . The heat transfer and mass transfer are  
342 calculated simultaneously in the WCCF model. The errors of the mass transfer calculation inevitably influence  
343 the sensible heat transfer rate. Based on the discussions, it can be explained that the relative deviations of  $T_{a,out}$ ,  
344  $T_{w,out}$  and  $\dot{Q}$  in the new FTHE model are smaller than those in the WCCF model.

#### 345 5.4. Comparison of Computing Speed

346 Fig.11 compares the computing time of the newly developed FTHE and existing WCCF models. In this figure,  
347 the convergence procedure of  $T_{a,out}$  corresponds to the case 1 in Table 2. It can be seen that after 15 s (physical  
348 time), the convergences of  $T_{a,out}$  of the two models are obtained perfectly. The new FTHE model takes about  
349 0.01 s CPU time to complete the simulation of a 15 s long heat transfer process, while the WCCF model needs  
350 about 10.47 s. Thus, the new FTHE model is about 1047 times faster than the WCCF model, due to the fact that

351 the WCCF model needs to solve additional 205 differential-algebraic equations for each discretized element.  
 352 Totally, the WCCF model needs to solve about 6,776 equations for 32 elements, while the new FTHE model  
 353 only needs to solve about 272 equations.



354 Fig.11. Comparison of computing time of the new FTHE and WCCF models

## 355 6 Conclusion

356 This paper proposes a new water-to-air FTHE mathematical model for wet cooling conditions using wet-dry  
 357 transformation method. The model only requires nominal data as inputs. The new model is implemented using  
 358 Modelica. Experimental measurement is conducted for the model validation. The new FTHE model is then  
 359 compared with an existing model and experimental data. The results show that the relative deviations of outlet  
 360 temperatures between the modeled results and experimental data are within 7% for the new model and 19% for  
 361 the existing model, respectively. The relative deviations of heat transfer rate are lower than 11% for the new  
 362 model and 13% for the existing model. Meanwhile, the new model is about 1047 times faster than the existing  
 363 model.

## 364 Acknowledgement

365 This work was supported by the National Science Foundation of USA [No. IIS-1802017]. This work was also  
 366 supported by the key project fund for the collaborative innovation of the universities from the Bureau of  
 367 Education of Guangzhou City in China [No.1201610004] and the special fund for energy saving research from  
 368 the Guangzhou Housing and Urban-Rural Construction Committee in China [No. J-2016-11].



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## 504 **Appendix A. Detailed mathematical derivation of the new FTHE model**

### 505 **1. Derivation of Contact Factor $\zeta$**

506 Under the wet-cooling condition, the air losses the heat  $d\dot{Q}$  within the  $dA$  can also be calculated by:

$$d\dot{Q} = -\dot{m}_a dH. \quad (\text{A.1})$$

504 According to Eq. (5) in the main body of the paper and Eq. (A.1), we can get:

$$\frac{dH}{H - H_3} = -\frac{\eta_t h_a dA}{\dot{C}_a Le_f}, \quad (\text{A.2})$$

505 where  $\dot{C}_a$  is the heat capacity rate of air flow and  $\dot{C} = \dot{m}c_p$ . In Eq. (A.2),  $H_3$  is the average enthalpy of the  
 506 saturated air near the tube wall and is a constant. So, the integral of Eq. (A.2) in the whole computational  
 507 domain can be expressed as:

$$\int_{H_{a,in}}^{H_{a,out}} \frac{d(H - H_3)}{H - H_3} = \int_0^A -\frac{\eta_t h_a dA}{\dot{C}_a Le_f}, \quad (\text{A.3})$$

508 where A is the whole heat transfer area. Eq. (A.3) is calculated as

$$\frac{H_{a,in} - H_{a,out}}{H_{a,in} - H_3} = 1 - \exp\left[-\frac{(\eta_t hA)_a}{\dot{C}_a Le_f}\right]. \quad (\text{A.4})$$

509 According Eq. (1) in the main body of the paper and Eq. (A.4),  $\zeta$  can be calculated by:

$$\zeta = 1 - \exp\left[-\frac{(\eta_t hA)_a}{\dot{C}_a Le_f}\right]. \quad (\text{A.5})$$

510 Under the equivalent dry-cooling condition,  $d\dot{Q}'$  is:

$$d\dot{Q}' = \eta_t h'_a (T' - T_3) dA, \quad (\text{A.6})$$

511 where  $h'_a$  is the convective heat transfer coefficient under the equivalent dry-cooling condition. At the same  
 512 time, the air losses the heat  $d\dot{Q}'$  within the  $dA$ :

$$d\dot{Q}' = -\dot{m}'_a c_{p,a} dT'. \quad (\text{A.7})$$

513 Based on Eq. (A.6) and Eq. (A.7), the equation is rewritten as:

$$\frac{dT'}{T' - T_3} = -\frac{\eta_t h'_a dA}{\dot{C}'_a}. \quad (\text{A.8})$$

514 In, Eq. (A.8),  $T_3$  is the average temperature of the saturated air near the tube wall and constant on the surface  
 515 of the tube. So, the Eq. (A.8) in the whole computational domain can be expressed as:

$$\int_{T'_{a,in}}^{T'_{a,out}} \frac{d(T' - T_3)}{T' - T_3} = \int_0^A -\frac{\eta_t h'_a dA}{\dot{C}'_a}. \quad (\text{A.9})$$

516 Then

$$\frac{T'_{a,in} - T'_{a,out}}{T'_{a,in} - T_3} = 1 - \exp\left[-\frac{(\eta_t hA)'_a}{\dot{C}'_a}\right]. \quad (\text{A.10})$$

517 According to Eq. (2) in the main body of the paper and Eq. (A.10):

$$\zeta = 1 - \exp\left[-\frac{(\eta_t hA)'_a}{\dot{C}_a}\right]. \quad (\text{A.11})$$

504 Based on Eq. (A.5) and Eq. (A.11), we can get the Eq. (6) shown in the main body.

## 505 2. Calculation of $(UA)_0$

506 In the assumed independent sensible heat transfer process, the heat flow rate is  $\dot{Q}_{s,0}$ , the overall heat transfer  
 507 coefficient is  $U_0$  and inlet temperatures and mass flow rates are  $\dot{m}_{a,0}$ ,  $\dot{m}_{w,0}$ ,  $T_{a,in,0}$  and  $T_{w,in,0}$ . Then, the heat  
 508 transfer effectiveness can be calculated by:

$$\varepsilon_0 = \frac{\dot{Q}_{s,0}}{\dot{Q}_{max,0}}, \quad (\text{A.12})$$

509 where  $\dot{Q}_{max,0}$  is the possibly maximum heat transfer rate:

$$\dot{Q}_{max,0} = \dot{C}_{min,0} |T_{w,in,0} - T_{a,in,0}|, \quad (\text{A.13})$$

510 and

$$\dot{C}_{min,0} = \min(\dot{C}_{a,0}, \dot{C}_{w,0}), \quad (\text{A.14})$$

511 where,  $\dot{C}_{a,0}$  and  $\dot{C}_{w,0}$  are the heat capacity rate of the air flow and water flow under nominal condition.

512 The capacity rate ratio  $R_{C,0}$  is defined as:

$$R_{C,0} = \frac{\dot{C}_{min,0}}{\dot{C}_{max,0}}, \quad (\text{A.15})$$

513 where,

$$\dot{C}_{max,0} = \max(\dot{C}_{a,0}, \dot{C}_{w,0}). \quad (\text{A.16})$$

514 The number of heat transfer units  $NTU_0$  is:

$$NTU_0 = f(\varepsilon_0, R_{C,0}, \text{flow arrangement}), \quad (\text{A.17})$$

515 where, *flow arrangement* is a parameter in this new FTHE model. It is associated with the structure of heat

516 exchanger. Specific formulas of Eq. (A.17) for different heat exchanger flow arrangements are listed in Table

517 A.1.

518 Table A.1. Equations of  $\varepsilon$  and NTU for different heat exchanger flow arrangements [29]

Flow arrangement	$\varepsilon = f(NTU, R_C, \text{flow arrangement})$	$NTU = f(\varepsilon, R_C, \text{flow arrangement})$
counter flow heat exchanger	$\varepsilon = \frac{1 - \exp[-NTU(1 - R_C)]}{1 - R_C \exp[-NTU(1 - R_C)]}$	$NTU(R_C \neq 1) = \frac{1}{R_C - 1} \ln\left(\frac{1 - \varepsilon}{1 - \varepsilon R_C}\right)$

		$NTU(R_C = 1) = \frac{\varepsilon}{1 - \varepsilon}$
parallel flow heat exchanger	$\varepsilon = \frac{1 - \exp[-NTU(1 + R_C)]}{1 + R_C}$	$NTU = \frac{\ln(-\varepsilon - \varepsilon R_C + 1)}{R_C + 1}$
cross flow heat exchanger with two streams unmixed	$\varepsilon = 1 - \exp\left\{\frac{NTU^{0.22}}{R_C} [\exp(-R_C NTU^{0.78}) - 1]\right\}$	$NTU = f(\varepsilon, NTU, R_C)$
cross flow heat exchanger with two streams mixed	$\varepsilon = \left[ \frac{1}{1 - \exp(-NTU)} + \frac{R_C}{1 - \exp(-R_C NTU)} - \frac{1}{NTU} \right]^{-1}$	$NTU = f(\varepsilon, NTU, R_C)$
cross flow heat exchanger with the stream with the higher capacity mixed and the stream with the lower capacity unmixed	$\varepsilon = \frac{1}{R_C} \{1 - \exp[R_C(\exp(-NTU) - 1)]\}$	$NTU = -\ln\left[\frac{\ln(1 - \varepsilon R_C)}{R_C} + 1\right]$
cross flow heat exchanger with the stream with higher capacity unmixed and the stream with lower capacity mixed	$\varepsilon = 1 - \exp\left\{-\frac{1}{R_C} [1 - \exp(-R_C NTU)]\right\}$	$NTU = \frac{-\ln[R_C \ln(1 - \varepsilon) + 1]}{R_C}$

504  $(UA)_0$  is calculated by:

$$(UA)_0 = \dot{C}_{min,0} NTU_0. \quad (A.18)$$

505 **3. Calculation of  $\varepsilon'$  and  $T'_{a,in}$**

506 The overall heat transfer coefficient  $(UA)'$  under equivalent dry-cooling condition is calculated by [41]:

$$(UA)' = \left[ \frac{1}{(hA)'_w} + \frac{1}{(\eta_t hA)'_a} \right]^{-1}. \quad (A.19)$$

507 The number of exchanger heat transfer units  $NTU'$  is:

$$NTU' = \frac{(UA)'}{\dot{C}'_{min}} = \frac{(UA)'}{\dot{C}_{min}}, \quad (A.20)$$

508 where

$$\dot{C}'_{min} = \dot{C}_{min} = \min(\dot{C}_a, \dot{C}_w), \quad (A.21)$$

509 where  $\dot{C}_w$  is the heat capacity rate of water flow. Then the heat transfer effectiveness  $\varepsilon'$  is calculated by:

$$\varepsilon' = f(NTU', R'_C, \text{flow arrangement}), \quad (A.22)$$

510 where

$$R'_C = \frac{\dot{C}'_{min}}{\dot{C}'_{max}} = \frac{\dot{C}_{min}}{\dot{C}_{max}}. \quad (A.23)$$

504 In Eq. (A.23),

$$\dot{C}'_{max} = \dot{C}_{max} = \max(\dot{C}_a, \dot{C}_w). \quad (\text{A.24})$$

505 The heat transfer effectiveness,  $\varepsilon'$ , is defined as the actual heat transfer  $\dot{Q}'$  divided by the maximum possibly  
506 heat transfer  $\dot{Q}'_{max}$ :

$$\varepsilon' = \frac{\dot{Q}'}{\dot{Q}'_{max}} \quad (\text{A.25})$$

507 The actual heat transfer rate  $\dot{Q}'$  is:

$$\dot{Q}' = \dot{C}'_a(T'_{a,in} - T'_{a,out}) = \dot{C}_a(T'_{a,in} - T'_{a,out}). \quad (\text{A.26})$$

508 The maximum possibly heat transfer rate  $\dot{Q}'_{max}$  can be calculated by:

$$\dot{Q}'_{max} = \dot{C}'_{min}(T'_{a,in} - T'_{w,in}) = \dot{C}_{min}(T'_{a,in} - T'_{w,in}). \quad (\text{A.27})$$

509 Substituting Eq. (A.26) and Eq. (A.27) into Eq. (A.25):

$$\varepsilon' = \frac{\dot{C}_a(T'_{a,in} - T'_{a,out})}{\dot{C}_{min}(T'_{a,in} - T'_{w,in})}. \quad (\text{A.28})$$

510 According to Eq. (2) in the main body of the paper,  $T'_{a,out}$  can be calculated by:

$$T'_{a,out} = T'_{a,in} - \zeta(T'_{a,in} - T_3). \quad (\text{A.29})$$

511 Then Eq. (A.29) is substituted into Eq. (A.28), we can get  $T'_{a,in}$  as the Eq. (15) shown in the main body.