

**Research Article** 





# Frankincense and bitumen of the middle period (1<sup>st</sup> century-5<sup>th</sup> century AD) from the ancient Harbour of Qâni' (Yemen)

#### Abstract

Thirty-nine samples from the religious complex of Qâni' which was excavated by the French Archaeological Mission, and two samples from a burnt-out warehouse in Sector VI, which was excavated by the Russian Archaeological Mission in Yemen, were investigated by screening geochemical techniques (Rock-Eval, dichloromethane extract, GC-MS of steranes and terpanes, carbon and deuterium isotopes of asphaltenes) completed by devoted GC-MS analyses in order to identify frankincense. Several types of frankincense (well preserved, thermally altered and carbonized), were identified. In addition sterane and terpane patterns reveal the occurrence of unexpected bitumen in potsherds. Bitumen samples were compared to some oil seeps and crude oils from Yemen but none of the potential sources showed geochemical characteristics matching the bitumen from Qâni'. The occurrence of  $18\alpha(H)$ -oleanane in an ozokerite suggests a possible origin from Khuzistan or Fars in Iran. A specific flowchart, giving access to the predominant triterpenic biomarkers, namely structures with oleanane, ursane and lupane skeletons allowed excluding Boswellia frereana as the frankincense present in the sample set. Various states of thermal degradation of frankincense were found among the frankincense samples of the religious complex through the occurrence of functionalized molecule which were formed by thermal processes.

Keywords: bitumen, frankincense, archaeological samples, crude oils, natural asphalts, gas chromatography-mass spectrometry, Rock-Eval, Yemen, religious complex

#### Introduction

The religious complex of Qâni' was excavated by the French Archaeological Mission in Jawf-Hadramawt in 1995 and 1996<sup>1</sup> and a burnt-out warehouse in the Sector VI, was excavated by the Russian Archaeological Mission in Yemen between 1985 and 1994.<sup>2</sup> Thirty-nine samples were gathered during the various campaigns to be investigated by geochemical techniques in order to identify frankincense.<sup>1-4</sup> The archaeological site near the modern village of Bi'r'Ali on the coast of the Arabian Sea cost, 100 kms southwest of al-Mukalla, is located in a bay (Figure 1). The site was easily identified as ancient Qâni' and its name appears in two inscriptions found there, CIH 621 and CIH 728. A Russian team excavated the site between 1972 and 1994. The French Archaeological Mission in Jawf-Hadramawt worked on the site for three seasons between 1995 and 1997 in collaboration with A. Sedov, head of the Russian team. An Italian Mission, directed by B. Davidde, ran two seasons of underwater excavations in the bay of Bi'r'Ali in 1996 and 1998.<sup>3</sup> The environment of the site does not lend itself to agriculture and only barely to pastoral activities. The bedrock is basalt covered by sand. Subterranean sweet groundwater is extant and feeds wells that are still in use today. This was a royal foundation in a remote region and dependent on an organized supply system. In founding Qâni', the intention of the rulers in Shabwa, capital of the Kingdom of Hadramawt, was to participate in the flourishing sea trade, as well as to create a maritime outlet for its own production of resins. Sailors believed, and this is borne out by maritime charts, that Bi'r'Ali was the bay with the best location along the whole Arabian coast between Aden in Yemen and Ra's Al Hadd in Oman, and the black peak of Husn al-Ghurāb is an excellent navigational landmark.

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Figure I The ancient city of Qâni' (© Joël Suire and Hélène David / Mission archéologique Jawf-Hadramawt).

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# **Religious Complex – Sector VII**

- A Column drum furnished in incense burners. They fell and are not in place
- P Altars for offerings or ritual fumigations
- H Rooms used for clergy living or administration

Cella - Rooms containing altars bearing statues of gods. One is confirmed while the other is the subject of speculation

Figure 2

Figure 2 The sanctuary in Sector VII (©Vincent Bernard / Mission archéologique Jawf-Hadramawt).

Since it was found in 1<sup>st</sup> century BCE, Qâni' maintained its position as the main harbour of South Arabia for six centuries. The site's phase of expansion occurred between the very end of 1<sup>st</sup> century AD-late 4<sup>th</sup> or early 5<sup>th</sup> century AD. Originally a royal warehouse,<sup>8</sup> the site gradually evolved into a town with dwellings and warehouses spread along its coast bordering the well-protected bay. To the west of the residential zone, two or perhaps, three sanctuaries were located on a long, flat slab of lava. Qâni' was at the heart of the aromatic resins trade. Resins were transported to its warehouses either by caravan routes from the interior of the Hadramawt, or by sea from foreign ports, including Sumhurân (Khor Rori), from where the Dhofar's production was chipped.<sup>4</sup> The samples presented here were found in situ in the archaeological layers of two excavated areas of the site.

Sector VII, from where most samples originated, is an enclosed religious complex (40x42m, Figure 2).<sup>5</sup> In its central area, the main sanctuary was reached by a straight staircase on a 7.2x7.3 m podium. To the north, an 11-metre-long long hypostyle hall was probably used for ritual meals and in the northwest corner of the enclosure, two rows of rooms were dedicated to the activities of the clergy (cooking equipment, common objects) which were later completed by a small cella which was likely to have sheltered a religious statue. Small stone platforms were built around the central sanctuary and the open space to the south contained approximately fifty hearths that were irregularly distributed in the sand. A warehouse (Sector VI, Figure 2),<sup>5</sup> was razed by fire at the end of the 2<sup>nd</sup> century or the beginning of the 3rd century AD. While working there, the Russian Mission discovered a large quantity of frankincense that was present in coffins6 which were preserved by the fall of the roof. The frankincense is of exceptional quality, according to present-day Yemenites.

Some preliminary observations of the samples, which were carried out by team members familiar with natural fragrances and the different varieties of Yemen's aromatic mixtures, were sufficiently encouraging to justify undertaking chemical analyses. Incense burners excavated in South Arabia attested to this diversity: they were inscribed with the names of 13 different perfumes<sup>7</sup> in South Arabian script. Names on Sabaean incense burners attested to the use of other products such as different varieties of woods, barks, roots, leaves and herbs. They may have been, as they still are, prepared and blended to be sold in souks as *burhūr*, or added to incense burners during fumigations. The results from resins found in Qanî' will complete the previously published study that was carried out at Sharma on Middle Age samples that identified copal from Madagascar as the main resin excavated from the warehouse.<sup>15</sup> Frankincense was not totally absent but its scarcity (confirmed in only two samples) raised the question of the real relationship of Sharma with the Hadrami hinterland. Some references to black amorphous residues suggest occurrences of burned materials, or mixtures of resin with bitumen, but the lack of analytical proof did not permit confirmation of this hypothesis. Geochemical analyses used for the identification of petroleum were applied as screening techniques to classify the samples and to characterize bitumen when recognized among the samples.<sup>16</sup> Specific methods, corresponding to spectroscopic (FT-IR) and chromatographic tools (GC-MS), already applied for the characterization of frankincense, were used on a selection of samples presumed to be composed of this resin.<sup>17</sup> In addition, mineralogical analyses were carried out to document the minerals used in mixtures with frankincense and bitumen. These mixtures are still prepared today to be used in fumigations.

## Archaeological samples analysed

Samples of so-called aromatic resins collected during excavations of Sector VII may be separated into four groups according to their geographic location (Table 1):

Group 1: in relation to sanctuary and altars

Around the large northern altar (18, 20, 21, 23, 24, 27, 31, 32)

Around the central podium (2, 3, 4, 6, 8, 9, 10, 11, 12, 13, 15)

Around the southern altars (19, 28, 36, 38)

Seven samples were collected around the main podium, four on stones supports, which were thought to be at the top of the staircase were they were burned. The path leading to the sanctuary was bordered by three stone altars on each side with another four altars located behind the sanctuary. Several samples were gathered around the eastern facilities and in the ballast from the altars, and these indicated offerings and fumigations.

Group 2: in the hypostyle hall (26, 29, 30).

This hall is interpreted as a refectory or a room used for ritual meals. Fish and mammal bones were found in the occupation level which partly validates this interpretation. The occurrence of a room for meals is attested elsewhere in Hadramawt in association with sanctuaries and were located within the cultural enclosure or integrated in a complex building at Raybûn.<sup>12</sup>

Group 3: in relation to the northwest corner of the building

Before the building (19)

Within the occupation levels (7, 14, 37)

 Table I Basic information concerning samples analyzed

Data bank number	Ref number	Excavation campaign	Excavation unit	Sample reference	<b>P</b> etroleum analyses	Frankin- cense analyses	Macroscopic description	Location	Date	Comment
961	I						present-day frankincense. pale yellow and yellow resin, some orange grains	souk of Sana'a		pure frankincense
962	2						black sample, hard with vacuolar texture. Burned?	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	on a table for frankincense

Data bank number	Ref number	Excavation campaign	Excavation unit	Sample reference	Petroleum analyses	Frankin- cense analyses	Macroscopic description	Location	Date	Comment
963	3						numerous black debris with vacuolar texture. Burned?	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	at the foot of the temple staircase
964	4						white powder	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	on a table for frankincense
1257	5	QAN.95	UF28	e.77			yellow powder with centimetric clusters		end of AD 1st century to beginning of AD 5th century	outside P51 (habitat or administration of priests)
1258	6	QAN.95	UF35	e.118			yellow powder without any scent	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level
1259	7	QAN.95	UF.6	e.18			yellow powder without marked scent.some orange grains	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	surface
1260	8	QAN.95	UFI5	e.93			black powder. Gray-brown mixture with vacuolar structures and some well- preserved grains. Partly burned?	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level
1261	9	QAN.95	UF31	e.104			brown mass with mineral elements and vacuolar structures. Burned?	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level, frankincense collected at the NE angle of the stair, on an incense burner
1262	10	QAN.95	UFI5	e.95			brown mass, heterogenous, carbonised, vacuolar structures	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level, ashes on a burned sanstone plate
1263	11	QAN.95	UF31	e.103			black powder, glossy, highly carbonised?	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level
1264	12	QAN.95	UF31	e.120			brown mass with vacuolar structures. Carbonised? Some sea shel land minerals trapped in the lump	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level
1265	13	QAN.95	UF26	e.105			brown mass inside a potsherd. Vacuolar structures of degasing but no evidence of burning	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level, potsherd

Data bank number	Ref number	Excavation campaign	Excavation unit	Sample reference	<b>P</b> etroleum analyses	Frankin- cense analyses	Macroscopic description	Location	Date	Comment
1266	14	QAN.95	UFI7	e.65			white powder agglomerated in 0.5 cm balls	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level
1267	15	QAN.95	UF29	e.98			white powder	central sanctuary P59	end of AD 1st century to beginning of AD 5th century	occupation level
1268	16	QAN.95	UF5	e.34			l 268a- yellow- reddish resin. l 268b-white- yellow powder		end of AD 1st century to beginning of AD 5th century	surface
1269	17	QAN.96	UF38	e.139			pure solid bitumen with chonchoidal structure	In hearths, at the beginning of the pathway leading to the sanctuary P59	end of AD 1st century to beginning of AD 5th century	
1270	18	QAN.96	UF39	e.183			yellow to orange grains- resin with a balsamic scent	in the destruction of altars (?) P107 and P92	end of AD 1st century to beginning of AD 5th century	
1271	19	QAN.96	UF59	e.220			pale yellow to white powder , red-orange grains	occupation of P10	end of AD 1st century to beginning of AD 5th century	
1272	20	QAN.96	UF46	e.169			orange to red grains	in the destruction of altars (?) P107 and P92	end of AD 1st century to beginning of AD 5th century	
1273	21	QAN.96	UF40	e.148			gray powder: ashes?	outdoor space, north of the hall of columns	end of AD 1st century to beginning of AD 5th century	
1274	22	QAN.96	UF51	e.206			yellow powder	in hearths, established in the whole outdoor space, at South-West of the enclosed space	end of AD 1st century to beginning of AD 5th century	
1275	23	QAN.96	UF40	e.146			1275a- brown- black lumps. Burned? 1275b black powder, glossy with some sea shells, sometime broken	outdoor space, north of the hall of columns	end of AD 1st century to beginning of AD 5th century	
1276	24	QAN.96	UF40	e.144			yellow powder	outdoor space, north of the hall of columns	end of AD 1st century to beginning of AD 5th century	
1277	25	QAN.96	UF58	e.196			black-brown lumps of several cm, hard and heavy	surface	end of AD 1st century to beginning of AD 5th century	

Data bank number	Ref number	Excavation campaign	Excavation unit	Sample reference	<b>P</b> etroleum analyses	Frankin- cense analyses	Macroscopic description	Location	Date	Comment
1278	26	QAN.96	UF55	e.194			some yellow grains	hall of columns P9, on the upper ground	end of AD 1st century to beginning of AD 5th century	
1279	27	QAN.96	UF46	e.192			brown mass with vacuolar structures but not carbonised inside a potsherd. Abundant degassing.	in the destruction of altars (?) P107 and P92 at NE	end of AD 1st century to beginning of AD 5th century	
1280	28	QAN.96	UF58	e.204			white powder in small grains, homogeous and pure	surface	end of AD 1st century to beginning of AD 5th century	
1281	29	QAN.96	UF64	e.240			brown mass with a carbonised aspect but likely amixture of bitumen and minerals	on the ground of the pillared hall P9	end of AD 1st century to beginning of AD 5th century	
1282	30	QAN.96	UF56	e.188			Brown to black mass with vacuolar aspect . Burned?	Hall of columns P9, on the upper ground	end of AD 1st century to beginning of AD 5th century	
1283	31	QAN.96	UF52	e.159			yellow to brown resin	outdoor space, north of the hall of columns	end of AD 1st century to beginning of AD 5th century	
1284	32	QAN.96	UF48	e.164			1284a- yellow powder mixed with black powder (burned?).1284b- Black mass (burned?)	in the destruction of altars (?) P107 and P92	end of AD 1st century to beginning of AD 5th century	
1285	33	QAN.96	UF5 I	e.205				in hearths, established in the whole outdoor space, at South-West of the enclosed space	end of AD 1st century to beginning of AD 5th century	
1286	34	QAN.96	secteur VI				large plate 3-4 cm long and I cm thick. White and red- orange grains agglutinated in all directions- pure frankincense?	sector VI. Burned warehouse excavated by A.V.Sedov	AD 150-200 or AD 225	

Table Continued

Data bank number	<b>R</b> ef number	Excavation campaign	Excavation unit	Sample reference	<b>P</b> etroleum analyses	Frankin- cense analyses	Macroscopic description	Location	Date	Comment
1287	35	QAN.96	UF36	e.140			1287a-white powder with mineral grians and black grains (burned resin?). 1287b-brown- black mass with vacuolar structures	surface and occupation	end of AD 1st century to beginning of AD 5th century	At the foot of altars, bordering the driveway leading to the sanctuary P59
1288	36	QAN.96	UF58	e.207			red-orange resin	surface	end of AD 1st century to beginning of AD 5th century	
1289	37	QAN.96	UF75	e.239			1289a- carbonised wood? 1289b brown mass with vacuolar structures (burned?)	remblais de P.10- reconstruction	end of AD 1st century to beginning of AD 5th century	
1290	38	QAN.96	UF58	e.210			black lumps , fairly hard with few vacuoles	surface	end of AD 1st century to beginning of AD 5th century	
1291	39	QAN.96	UF36	e.143			powder with big lump of yellow to orange resin.	surface et occupation	end of AD 1st century to beginning of AD 5th century	At the foot of altars, bordering the driveway leading to the sanctuary P59
1292	40	QAN.96?	secteur VI				brown mass with vacuolar structures: black glossy powder	sector VI. Burned warehouse excavated by A.V.Sedov	AD 150-200 or AD 225	
1293	41	QAN.95	UFS	e.28			fragrant substance encountered in balms of Egyptian mummies	surface	end of AD 1st century to beginning of AD 5th century	

The worship sets are currently enclosed by a wall and integrated buildings that were used by the clergy for their activities. The enclosure, with the two first western rooms, was built after the construction of the sanctuary and sample 19 corresponds to the utilization of the sanctuary before the building of the enclosure. The remnants of resin discovered in this area may be related to the cella, also housed in the building, where an incense burner was also preserved.

#### Group 4: in relation to hearths (17, 22, 33, 35? 39?)

Hearths, housed in soft sand, corresponded to ritual practices relating to the sacredness of the place but it was not possible to determine whether they were constructed during the main occupation of the sanctuary or afterwards, when it was partly abandoned. Flat shells (scallop or *Pecten maximus*) with ash and traces of combustion

that may have been used to burn aromatic resins for offerings or during communal meals were found in these hearths.

Two samples from the burnt-out warehouse in Sector VII, excavated by the Russian Archaeological Mission (34, 40) should be added to this group. Macroscopic inspection of these show a diversified panel between white powder (14 and 41, Figure 3) which was thought to be resin mixed with mineral matter (flour of calcite, gypsum): yellow-to-orange organic materials which was undoubtedly resin (18, Figure 3): brown residue which was possibly burned resin (25, Figure 3) or something else (27, Figure 3) and pitch-dark carbonized matter (40, Figure 3). For comparison purposes, a sample of present-day frankincense (1) from the market at Sana'a was also incorporated into the sample set.



Figure 3 The different macroscopic aspects of samples analyzed.

No.14#1266: sample containing calcium sulphate. No.41 #1293: sample with calcite, gypsum and NaCl. No. 18 #1270: preserved frankincense. No 25 #1277: thermally altered frankincense. No 27 # 1279: bitumen inside a potsherd. No.40 #1292: "carbonized" organic matter.

## **Experimental**

#### **Rock-Eval pyrolysis**

The Rock-Eval pyrolysis<sup>18</sup> which is widely used by the petroleum industry to characterize source rocks, has been adapted as a screening technique for archaeological samples (pitch, bitumen, resins, tar, etc.).<sup>19,20</sup> A small amount of raw sample (100 mg) was heated under helium at 300°C for three minutes and then the temperature was increased up to 600°C at 25°C/min. The thermovaporization at approximately 300°C gave a first peak (S1) corresponding to free hydrocarbons and is expressed in mg HC/g of sample. Hydrocarbons and CO<sub>2</sub> formed by cracking between 300 and 600°C gave the second peak (S2) which is also expressed in mg HC/g sample, and a third peak (S3) refers to oxygen-bearing compounds transformed into CO<sub>2</sub> in mg CO<sub>2</sub>/g sample. The temperature of the maximum of the S2 peak (Tmax) is expressed in °C. The organic carbon remaining after pyrolysis was obtained by oxidation under air (or oxygen) atmosphere at 600°C, and the resulting CO<sub>2</sub> peak (S4) is expressed in mg CO<sub>2</sub>/g sample. The total organic carbon (TOC in weight %) is computed using S1, S2 and S4.

## The Identification of frankincense

#### **Reagents and solvents**

All solvents and reagents were of analytical grade from Meck (Darmstadt, Germany). Crystalline reference samples of all triterpenic standards from frankincense were isolated and characterized in Laboratoire de Chimie Bioorganique.<sup>21</sup>  $\alpha$ - and  $\beta$ -amyrins and lupeol were purchased from Extrasynthese (Genay, France) and

 $\beta$ -amyrenone and lupenone were obtained from  $\beta$ -amyrin and lupeol by oxidation with pyridinium chlorochromate (PCC) in dichloromethane. Derivatization reagents employed to treat samples were hexamethyldisilazane (HMDS) and chlorotrimethylsilane (TMSCI), and the solvents used were pyridine (Sigma-Aldrich, ACS reagent, >99.0%) and dichloromethane (Sigma-Aldrich, ACS reagent, >99.5%).

# Gas Chromatography-Mass spectrometry instrumentation

Chromatographic analyses were carried out using a Varian Saturn 3900 gas chromatograph, with a Varian 1177 injector, coupled to a Varian 2100 T ion-trap mass spectrometer. Separation was performed on a capillary column Varian CP-Sil 5 CB Low Bleed/MS, 30 mx0.25 mm i.d.x0.25  $\mu$ m. The carrier gas was helium at a constant flow of 1mL/ min<sup>-1</sup>. The GC injector temperature was 250°C. The initial oven temperature was 50°C maintained for two mins during splitless injection. The injection volume was 1 $\mu$ L. A 8°C.min<sup>-1</sup> gradient was then applied to the oven until 250°C was attained, and another 3 °C.min<sup>-1</sup> gradient was applied until 350°C was reached. No hold time was performed at the upper limit. Ionization was carried out with standard conditions at 70 eV. The mass spectrometer was scanned in the m/z 50-650 range, with a cycle time of one second.

#### **Derivatization procedure**

Five mg of the material was trimethylsilylated with a solution of pyridine (0.5 mL), HMDS (0.45 mL) and TMSCI (0.3 mL). This derivatization reaction occurred at room temperature (30 mins) before the solution was dried with a stream of nitrogen while heating (<40 °C).

Thereafter, the residue was dissolved in 0.6 mL of dichloromethane, and after filtration on PTFE cartridge, it was directly injected into the gas chromatographic system.

#### **FT-IR** spectroscopy

All infrared spectra were collected at room temperature with a Nicolet (Madison, Wisconsin, USA) Avatar 360 ASP spectrometer equipped with a DTGS KBr detector and controlled by EZ OMNIC 60 software. The IR spectra were collected with 64 scans and 4 cm<sup>-1</sup> resolution. Samples were homogeneously crushed with anhydrous potassium bromide in a proportion of 1/20. The powder was then compressed under 10t/cm<sup>2</sup> to form translucent pellets.

#### Analysis of the extractable organic matter

The soluble portion of the sample was extracted with dichloromethane, and the emphasis was on the analysis of "saturated" hydrocarbon fractions. The dichloromethane extract was fractionated into three classes of compounds ("saturated hydrocarbons", "aromatic hydrocarbons" and polar compounds) by IATROSCAN MK IV apparatus on Chromarods (5 µ silica SIII). The quantitative measurement of each fraction was carried out by a flame ionization detector to obtain a gross composition of the extract. After the precipitation of asphaltenes by n-hexane and their quantitative gravimetric measurement, an aliquot of deasphalted extract (100 mg) was fractionated by MPLC (medium pressure liquid chromatography) on a LOBAR MERCK column (300 mm x10 mm, Lichro-prep 30-60 µm), activated at 120°C under N<sub>2</sub> for 30 mins. The "saturated hydrocarbon" fraction was eluted with n-hexane. Recovery of the "aromatic hydrocarbon" fraction was achieved with n-hexane using a back-flush step on both columns (pre-column and analytical column LOBAR-MERCK). This step could have re-introduced some polar compounds (ketones, esters) into the so-called "aromatic hydrocarbon" fraction in the case of archaeological samples.<sup>19</sup> The two "hydrocarbon" fractions in the asphalts were mainly C<sub>15+</sub>alkanes and C<sub>154</sub> aromatics. Finally, the polar compounds were eluted with dichloromethane/methanol (85/15) in back-flush mode from the precolumn. Each recovered fraction was then weighed.

Gas chromatography-Mass spectrometry (GC-MS) analysis of "saturated hydrocarbon" was performed using a Hewlett-Packard 6890/5973 GC-MS instrument equipped with an on-column injector and operating at 70 eV with a mass range of m/z 50-550. A DB5 fused silica capillary column was used (J&W, 60 mx0.25 mm, film thickness 0.1 µm). The samples were injected at 75°C, and the oven was programmed at 2°C/min to 300°C, where the temperature was held for 66 mins.  $\delta^{13}$ C were measured by an on-line process that couples a Carlo-Erba 2500 elemental analyzer with a Finnigan Delta Plus mass spectrometer via a Conflo II unit. The sample was transported by a helium flow (15 psi, 86 ml/min) and oxidized by pure oxygen (17.5 psi, 37 ml/min) at a temperature of 1020°C in a furnace containing tungsten oxide on alumina. An on-line magnesium perchlorate trap was used to remove the water formed by combustion. The generated CO<sub>2</sub> was introduced into the mass spectrometer. A pulse of reference CO<sub>2</sub> with known  $\delta^{13}$ C was injected before the CO<sub>2</sub> samples peak. The NBS 127 standard was used to calibrate the instrument and control the whole methodology. Isotope values are provided with an uncertainty of ±0.2‰/VPDB for CO<sub>2</sub>.

 $\delta D$  was measured by an off-line process. The sample was oxidized with copper oxide at 590°C for 15 hours. The water produced with copper oxide at 590°C for 15 hours. The water produced by the oxidation was subsequently reduced with zinc at 470°C for 30 mins and the resultant hydrogen introduced via a dual inlet into a Finnigan

Delta Plus mass spectrometer. A known standard was used to calculate the D value of the sample. The NBS 22 standard was used to calibrate the instrument. Deuterium values are given with an uncertainty of  $\pm$  4‰/SMOW.

## **Results and discussion**

#### Rock-Eval screening analysis

Rock-Eval pyrolysis on whole samples provided access to total organic carbon (TOC in %/sample) and, therefore, evaluated the contribution of organic matter in the samples. Other pyrolytic parameters, deduced from the pyrograms that are shown for four samples in Figure 4, provided the Hydrogen Index (HI in mg HC/g TOC), the Oxygen Index (OI in mg  $CO_2/g$  TOC) and the Tmax (in °C) of the S2 peak, and gave some insight into the nature of the sample. The plot of the Hydrogen Index as a function of Total Organic Carbon (TOC in w. % /sample) in Figure 5 showed a wide variety of sample properties: TOC varies from 0.18% -73% when HI ranged from 39-746 mg HC/g TOC. These data suggested that the sample set contains some preserved raw resins (e.g. samples 15 and 22) but also burned or highly carbonized samples (e.g. samples 11 and 30) and even some residual ashes (sample 21, not shown). In addition, the samples may contain rich in organic matter as indicated by TOC higher than 50% but were also composed of organic matter diluted by mineral phases, which may even be dominant. The nature of this mineral phase was explored on some samples by using X-ray diffraction analysis and FTIR analysis. The plot of the Hydrogen Index as a function of Tmax and OI in figure 6a confirmed the diversity of the encountered situation and a tendency of increasing the Tmax when the HI was reduced. This property fits with the occurrence of resins that are both well preserved and burnt. The choice of a representative Tmax may be sometimes difficult to assess due to flat (sample 5, Figure 4) or bimodal curves (samples 5 and 17). However, subsequent molecular information clarified the raw parameters, enabling the two main groups of samples in the whole set to be distinguished: those that contained bitumen and those in which bitumen was lacking. Bitumen-bearing samples are characterized by lower Oxygen Index and higher Tmax (Figure 6a & 6b). A Tmax between 420°C and 432°C is currently seen in archaeological samples.<sup>20,21</sup> Sample 13, which is bitumen-bearing, displayed a typical bitumen value at 434°C (Figure 4). The detailed molecular analysis shown below provides some insight into observed differences among bituminous samples by indicating that Sample 17 is pure paraffinic bitumen called ozokerite. This specific character explains the bimodal pyrogram with the main peak related to paraffin contribution at 392°C and the shoulder at 438°C to polymeric macromolecules (Table 2).

#### Analysis of the mineral phase

Investigation of the mineral content of the samples was carried out by X-ray diffraction, Fourier transform infrared spectroscopy (FT-IR) and also chemical means on both extracted residues after dichloromethane treatment and whole sample. The results obtained are compiled in Table 3. The selected samples covered a wide range from organic-rich powder (e.g. Samples 6 and 7) to those that were mineral dominant ones (e.g. Samples 28 and 41). The three samples (13, 27 and 29) that were identified as bituminous-bearing samples, were preferentially examined to be incorporated into a wider research program dealing with archaeological bitumen in the Near East and the Gulf.<sup>23</sup> Insoluble organic residues were mainly composed of mineral matter (I.O.C. = Insoluble Organic carbon between 0.3% and 3.5%) with calcite and clays as dominant constituents. Quartz was generally low, except in Sample 34, where it reached 28% with 25% NaCl! Occurrence of aragonite brought by carbonated bioclasts and of NaCl related to sea proximity, favoured a local contribution of bioclastic sands<sup>7</sup> However the identification of gypsum (and even anhydrite in one sample) in both extracted and whole sample suggested another

source that has yet to be located. Gypsum, found as a major constituent in the white powder of Sample 14 and as crystals with NaCl in Sample 41, was possibly added to carbonated mixtures available locally or from another source located elsewhere.

 Table 2 Rock-Eval data of samples analyzed. Significance of abbreviations: S1 (mg HC/g sample), S2 (mg HC/g sample), S3 (mg CO2/g sample), TOC (Total Organic Carbon in %/sample), IP = S1/S1 + S2, OI =Oxygen Index (mg CO2 / g of TOC), HI = hydrogen index (mg HC/g of TOC)

Data bank number	Ref number	Origin of sample	Tmax	<b>S</b> 1	S2	<b>S</b> 3	тос	IP	OI	HI
961	I	Present-day frankincense. pale yellow and yellow resin, some orange grains	309	627	142	12.2	65.5	0.81	18	216
962	2	Black sample, hard with vacuolar texture. Burned?	344	52.4	48.7	10.7	33.1	0.52	32	147
963	3	Numerous black debris with vacuolar texture. Burned?	351	87.1	78.3	8.3	21.6	0.53	38	362
964	4	white powder	364	4.7	105.1	9.8	20.5	0.52	47	513
1257	5	yellow powder with centimetric clusters	342	53.6	25.8	4	6.9	0.68	57	371
1258	6	yellow powder without any scent	349	396	279.8	45.1	61.8	0.58	72	452
1259	7	yellow powder without marked scent.some orange grains	338	255.4	360.6	35.2	57.2	0.41	61	630
1260	8	black powder.Gray-brown mixture with vacuolar structures and some well-preserved grains. Partly burned?	351	128	94.8	18.6	24.8	0.57	74	382
1261	9	brown mass with mineral elements and vacuolar structures. Burned?	383	81.9	85.9	7.7	24.6	0.48	31	351
1262	10	brown mass, heterogenous, carbonised, vacuolar structures	400	104.7	62.8	8.8	25.3	0.63	34	247
1263	П	black powder, glossy, highly carbonised?	400	26	13.8	20.8	36.3	0.65	59	39
1264	12	brown mass with vacuolar structures. Carbonised? Some sea shel land minerals trapped in the lump	404	92.8	81.6	9.8	30	0.53	32	272
1265	13	brown mass inside a potsherd.Vacuolar structures of degasing but no evidence of burning	432	12.1	31	3	8.3	0.28	36	370
1266	14	white powder agglomerated in 0.5 cm balls	388	29.48	13.44	1.55	3.56	0.69	43	377
1267	15	white powder	409	133	319.6	22.3	44.4	0.29	50	719
1268a	16	1268a- yellow-reddish resin. 1268b-white-yellow powder	338	354.5	247.1	31.3	55.7	0.59	55	443
1269	17	pure solid bitumen with chonchoidal structure	372	293.4	546	6.1	73	0.34	8.3	746
1270	18	yellow to orange grains- resin with a balsamic scent	332	283.4	307.7	33.9	56	0.48	60	549
1271	19	pale yellow to white powder , red-orange grains	323	274.8	247.7	25.2	49.4	0.53	51	501
1272	20	orange to red grains	341	286.1	296.1	40.3	57.8	0.49	69	511

Data bank number	Ref number	Origin of sample	Tmax	SI	<b>S</b> 2	<b>S</b> 3	тос	IP	OI	ні
1274	22	yellow powder	353	51.6	73.4	6.3	26.2	0.41	55	645
1275	23	1275a- brown-black lumps. Burned? 1275b black powder, glossy with some sea shells, sometime broken	399	59.7	45.4	9.4	15.9	0.57	62	298
1276A	24	yellow powder	323	203.1	150.5	30.4	33.5	0.57	90	449
1277	25	black-brown lumps of several cm, hard and heavy	388	45.8	19.3	12.1	9.9	0.7	130	192
1278A	26	some yellow grains	402	143.4	91.4	18	22.6	0.61	79	404
1279	27	brown mass with vacuolar structures but not carbonised inside a potsherd. Abundant degassing.	428	27.7	88. I	3.8	19.5	0.24	19	449
1281	29	brown mass with a carbonised aspect but likely amixture of bitumen and minerals	426	12.9	89.1	6.3	16.8	0.12	38	529
1282	30	Brown to black mass with vacuolar aspect . Burned?	394	44.4	33.6	11.8	38.6	0.57	30	87
1283	31	yellow to brown resin	353	36.9	62.2	6.6	9.3	0.37	71	671
1284	32	1284a- yellow powder mixed with black powder (burned?).1284b-Black mass (burned?)	390	112.1	57.3	12.5	18.3	0.66	77	327
1285	33		335	304	328.3	38.6	59.6	0.48	64	550
1286	34	large plate 3-4 cm long and 1 cm thick.White and red-orange grains agglutinated in all directions- pure frankincense?	320	257.9	134.9	25.6	37	0.66	69	364
1287A	35	1287a-white powder with mineral grians and black grains (burned resin?). 1287b-brown-black mass with vacuolar structures	406	55.2	36.8	4.2	11.2	0.6	38	329
1288	36	red-orange resin	375	354.9	217.3	41.7	53.8	0.62	77	404
I 289b	37	1289a- carbonised wood? 1289b brown mass with vacuolar structures (burned?)	384	53.3	23.5	10	32	0.69	31	73
1290	38	black lumps , fairly hard with few vacuoles	363	80.5	44	6.5	17.3	0.65	37	253
1291	39	powder with big lump of yellow to orange resin.	351	166.8	134.3	16	29	0.55	55	463
1292	40	brown mass with vacuolar structures: black glossy powder	366	109.6	132.7	8.4	28.5	0.45	29	466
1293A	41	fragrant substance encountered in balms of Egyptian mummies	451	0.03	0.78	0.22	0.18	0.04	122	433

-gypsum and calcite - TOC = 0.18% powder with white grains (minerals?) vith vacuolar white cristals comments mass , some shells in the ures, some powder.TOC ankincense prown mass nass with a vithout any vith a slight mass which seem to be "own resir carbonized carbonized TOC = 9.3lack shiny burned does not ellow to : 0.09%/ romatic nixture %/sample tellow oowder owder big plate owder rown sample powder Pllow powde aspect brown white white nell hells nell hite ha-lite × gypsum whole sample sul-fate gyp-sum × × × × cal-cite × × × T max 432 433 433 430 430 **Rock-Eval data on residue** 1234 ō 8 60 86 52 I 034 198 266 205 Ξ  $\vdash$ 1.0.C. (%) 3.55 3.43 2.69 0.32 I.3I 48.8 24.1 43.2 l6.8 35.9 I.R. HCI I.R. H2O I5% 93.3 92.5 67.4 94.1 89.I I.O.C. (%) 3.45 2.59 I.28 0.54 3.2 clays&mica (%) m 26 Ξ m ഹ pyrite (%) 0.2 0.4 0.2 0 0 traces fel-dspa-(%) 0 0 0 0 pla-gio-ses (%) Ч ъ Insoluble residue after extraction with dichloromethane anhy-drite % 0 0 0 0 m -dKB (%) 0 0 4 0 4 ara-go-nite (%) tram 0 0 m ha-lite (%) 25 9 ഹ ъ 9 응 후 후 후 송 m m ъ 4 4 cal-cite (%) 4 4 3 65 25 quartz (%) oui 28 6 6 m 00 61.8 17.8 0.18 57.2 25.5 36.3 3.56 0.09 11.2 6.5 9.3 R œ 37 sam-ple ber 2 m 4 28 29 = 27 З 34 35 4 50 6 Sample num-1258 1259 1263 1264 1265 1266 1279 1261 280 1283 I 286 1287 1293 1281 ber

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Table 3 Mineral composition of some samples

Frankincense and bitumen of the middle period (1st century-5th century AD) from the ancient Harbour of Qâni' (Yemen)



Figure 4 Examples of pyrograms obtained by the Rock-Eval device.



Figure 5 Plot of HI (mg HC/g TOC) vs.TOC (%/sample).



Figure 6 Rock-Eval data: a) plot of HI (mg HC/g TOC) vs. Tmax (°C), b) plot of HI (mg HC/g TOC) vs. OI (mg CO2/g TOC).

Four archaeological samples (#1258, #1263, #1264 and #1283) contained mineral fraction characterized by FT-IR. The interpretation of the obtained spectra showed that these contain inorganic sulphate and/or carbonate groups (Figure 7). Indeed, the infrared absorption spectra of inorganic carbonate and sulfate compounds were very distinctive. Normal inorganic carbonate linkages presented strong characteristic absorptions that could be observed around 1450-1410 cm<sup>-1</sup>, 880-860 cm<sup>-1</sup> and 750-700 cm<sup>-1</sup>. The last two lower frequency bands were very sharp. The last was not systematically observed but it is often useful to identify minerals such as calcite and dolomite. In calcium carbonate, these absorption bands occurred at 1430 cm<sup>-1</sup> and

876 cm<sup>-1</sup>. For inorganic sulfates, there were two characteristic bands, the first one, which was the strongest and was relatively broad, was shown in the range 1130-1080 cm<sup>-1</sup> while the second one at around 680-610 cm<sup>-1</sup>, was weaker and very narrow. In calcium sulfate the very strong band was at 1140cm<sup>-1</sup>.<sup>24</sup> We can conclude that samples #1263 and #1264 contain inorganic carbonate compounds corresponding to calcium carbonate and materials #1258, #1263 and #1283 show the presence of calcium sulphate. In these last samples, the simultaneous occurrence of triterpenoids, fatty substances and mineral matter could be a probable result of an anthropic mixture corresponding to a real formulation.



Sample #1258 (red), #1263 (purple), #1264 (green) and #1283 (blue)

**Figure 7** Infrared absorption spectra of four samples showing calcium carbonate in sample #1263 and #1264 and calcium sulphate in samples #1258,#1263 and #1283. Calcium carbonate displays absorption bands at 1430 cm-1 and 876 cm-1 and calcium sulphate at 1130-1080 cm-1 and around 680-610 cm-1.

Frankincense and bitumen of the middle period ( $1^{st}$  century- $5^{th}$  century AD) from the ancient Harbour of Qâni' (Yemen)

#### Extractable organic matter

Extractable organic matter (EOM) was isolated from a selection of diversified samples including yellow-to-orange resin-like powder and brown-to-dark brown mixtures, which were free of any support or stuck on potsherds. The quantified data are listed in Table 4. The GC-MS analysis of their respective alkane fractions has enabled us to distinguish samples corresponding to pure, well-preserved frankincense, burned frankincense and bitumen (Figure 8). The discovery of bitumen, not expected when the study was undertaken, entailed a geochemical analysis of the oil seeps in Yemen which outcrop at Balhaf (Wadi Harubya, Figure 9) in the vicinity of Qâni', from two salt mines at Shabwa (Shabwa 1 and 2, Figure 9),<sup>25</sup> and from a tar sand in the neighborhood of the Mintaq 1 well (Figure 10). A plot

of the gross composition of extracts in a ternary diagram (% saturates + aromatics vs. % resins, vs. % asphaltenes, Figure 11) shows four differentiated groups corresponding to preserved frankincense, burned frankincense, archaeological bitumen and finally, oil seeps. As usual, oil seeps appear as the most enriched in hydrocarbons, namely "saturates" + "aromatics", whereas the bitumen of Qâni' falls within the current area of archaeological oxidized bitumen with an abundant amount of asphaltenes, except for the sample #1269, which clearly belongs to the oil seep family. Its high organic carbon (TOC=72.8 w. %/sample) combined with its exceptional richness in high molecular weight n-alkanes and its distribution peaking at n-C<sub>37</sub> refine the diagnostic and indicate that the sample may be classified among geological paraffinic residues called ozokerites.<sup>26,27</sup>



**Figure 8** Comparison of alkanes from a frankincense (#1261) and a bitumen (#1279) sample from Qâni'. *m/z* 191 and 217 do show occurrence of terpanes and steranes in #1279 but terpenes in #1261. *m/z* 85 which represents n-alkanes, show high molecular weight n-alkanes with a slight odd-even predominance in the #1261 frankincense due to its vegetal origin. N-alkanes are restricted to C14-C18 in the bitumen and are associated with pristane and phtytane as currently seen in petroleum.

The GC-MS analysis of alkanes of the four samples from Qâni', which were expected to be bitumen-bearing, confirms the occurrence of bitumen that exhibit markedly different origins. The #1269 ozokerite is separate from other Qâni' samples with abundant diasteranes (cf. m/z 217, Figure 12) and the occurrence of  $18\alpha$ (H)-oleanane (cf. m/z 191, Figure 12). Other archaeological samples show various degrees of biodegradation in steranes and terpanes (Figure 12 & Figure 13) and changes in diagnostic ratios (Table 5) such as Ts/Tm, Gammacerane/C30 $\alpha\beta$ hopane, C29 $\alpha\alpha\alpha$ R/C29 $\alpha\alpha\alpha$ S, etc. suggesting different potential sources which are researched using data from oil seeps that were analyzed and by referring to data on crude oil from

various fields (Figure 9). Selective biodegradation of the C29aaaR, C28aaaR and C27aaaR steranes, which are the steranes with a biological configuration (#1281, Figure 13) were noticed among the recorded properties of biomarkers. This feature, which was also observed in other archaeological bitumen has been reproduced under laboratory conditions using *Nocardia and Arthrobacter* genera.<sup>28</sup> A plot of several molecular ratios currently used for correlation purposes, i.e. 27Sdia/29aaaR vs. Ts/Tm (Figure 14a), GA/31a $\beta$ HR vs. Ts/Tm (Figure 14b), 18a(H)-oleanane vs. Ts/Tm (Figure 14c), and a ternary diagram with  $\beta\beta$ -steranes (Figure 14d) did not show a match with either oil from oil fields or oil seeps especially Balhaf,

which was, according to its location, thought to be the likely source. Diasteranes were lacking in three samples (Figure 15a) from Qâni', but were abundant in all crude oil. The ozokerite (#1269) possesses special properties: abundant diasteranes, higher Ts/Tm (Figure 14a

& Figure 14c) and the occurrence of  $18\alpha(H)$ -oleanane (Figure 14c). This last characteristic suggests that #1269 may have originated from Khuzistan in Iran.<sup>23</sup>



Figure 9 Location of oil seeps and crude oil that was used as references for the bitumen identified at Qâni'.



Figure 10 Known oil seeps in Yemen that was not analyzed, except those close to the Mintaq well.



Figure 11 Gross composition of the dichloromethane extract in a ternary diagram: % sat.+ aro., % resins, % asphaltenes.

Frankincense and bitumen of the middle period ( $I^{st}$  century-5<sup>th</sup> century AD) from the ancient Harbour of Qâni' (Yemen)

comment	abundant diasteranes	abundant diasteranes	abundant diasteranes	diasteranes	low diasteranes -gammace- rane	diasteranes	diasteranes	diasteranes	diasteranes	diasteranes	diasteranes	diasteranes	diasteranes	<i></i>	no diasteranes	no diasteranes			no diasteranes	diasteranes	no diasteranes	no diasteranes	no diasteranes- tricyclic terpanes- extremely degraded	no diasteranes- extremely degraded	no steranes and terpanes -
29Ts	29Ts	29Ts	29Ts	29Ts		29Тs	26Ts	29Ts	29Ts	29Ts															
SI/ SI5	3.02	3.96	0.84	0.77	0.34	2.3	2.25	2.39	3.03	2.48	3.27	2.82	3.59	0.1	0.03	0.02	1.5 2	I.3	0	0.58	0	0			
С29/Н	0.36	0.35	0.4	0.43	0.61	0.52	0.7	0.45	0.36	0.44	0.51	0.55	0.46	0.7	0.47	0.73	0.36	0.35							
Ster/ Terp	0.46	0.52	0.6	0.69	1.05	0.23	0.38	0.66	0.65	0.66	0.71	0.71	0.73		0.83	0.52	0.45		0.23	0.56	0.45	0.24	2.13	0.77	
Re- arr/ Reg	3.09	3.42	0.66	9.0	0.14	3.75	3.36	6.1	3.08	2.09	3.3	2.98	3.7	0.36	0.12	0.03	1.28								
GA/ C3IR	0.12	0.04	0.64	0.63	4.45	0.21	0.69	0.08	0.05	0.08	0.08	0.07	0.08	5.23	0.52	0.99	0.65	0.61	0.44	0.15	60.1	0.55	Ŷ	<del></del>	
Ole- an/H	0	0.01	0	0.02	0.01	0.03	0.07?	0.01	0.02	0.01	0.01	0	0.01	0.5	0	0	0.01	0.013	0	0.07	0.08	0.04	0		
C29Ts/ Tm	.89	).63	).39	0.32	0.13	1.34	0.74	338	0.54	0.38	0.62	0.42	.57	10.1	0.12	207	.51	).52	91.6	0.22	217	1.0	L d	ż	
Ts/ Tm	1.59 0	2.01 0	1.54 0		0.13		2.02 0	1.13	2.46 0	1.17	I.65 0	1.12	I.56 0	5.71	0.32 0	0.17 0	2.16 0	2.22 0	0.26 0	0.73 0	0.34 0	0.15	19	-	0.55
%C29	38.8	34.9	37.2	38.4	24.8	42.2	40.5	35.5	38	36.3	40.6	40.8	40.7	10.5	58.1	43.3	37.9	38.7	54.5	41.7	43.5	49			
%C28	27.7	26.7	25.5	26.5	28.6	28.9	29.7	26.5	26.7	26.2	25.2	24.1	24.6	33.2	28.2	18.8	25.9	24.9	31.2	81.3	35.9	24			
%C27	33.5	38.4	37.3	1.1	9.9	6.8	8.9.8	00	15.3	17.5	14.3	15.2	14.7	m	3.7	17.9	1.9	4.98	4.3	12	10.6	1.72			
ne (m		•	_		59			50				5	10	÷	~	22	,	,							
yt t( Da Ci	40	6 28	5 76	8	4 39	2 23	2 82	8	2 28	6	2 29	9 54	38	6 8	6 53	12	8	5 76	5	4	4	2	2		
rg fg	8.	4.	1.5	1.5	0.3	2.9	2.0	9. I	9.1	4.	1.7	9. I	0.9	1.2	0.2		9.1	1.6	0.1	0.2	0.3	0.7	Ē		
ôDa																			-85	8	-70	-69	-86	-76	
δ <sup>13</sup> Cres															-28.7	-28.1									
δ <sup>13</sup> Casp															-27.9	-25.8			-26.5	-25.7	-27.1	-27.5	-26.3	-24.9	-26.4
813 <b>CI5+</b> are	-27.6	-27	-26.1	-26.3	-25.5	-23.8	-21.4	-27.1	-26.8	-27	-28.1	-27.8	-28.3	-28.21	-29.3	-27.7	-26.2	-26.3							
8 <sup>13</sup> CI5+ <sub>at</sub>	-29	-28.5	-28.2	-28.2	-26.9	-26.8	-23.3	-28.6	-28.4	-28.6	-29.3	-28.8	-29.5	-29.37	-30.01	-29.3	-28.2	-28.2							
age	U.Jurassic shales	M.Jurassic	Turonian	Tithonian		L.Jurassic	Eocene	U.Jurassic	U.Jurassic	M.Jurassic	L.Jurassic	L.Creta- ceous	Barremian		Upper jurassic	Upper Jurassic									
depth (in feet)	9039	3125	5700	0069	0		7050	6955- 7162	7628'	8202'	5575	5425	5614- 5650	surface	surface	surface	12090	12434	surface	surface	surface	surface	surface	surface	surface
Buc	9.049	6.865	5.803	5.85	9	0.4	0.176	7.03	7.03	7.03	9.049	9.236	9.053	8.16			5.9613	5.9613							
lat l	15.8 4	4.9	15.6 4	15.6 4	6	14.9 5	14.8 5	15.4 4	15.4 4	15.4 4	15.8 4	15.8	15.7 4	16.2 4			15.3 4	15.3 4							
eo- iark 1ab imber	YN0001	10001	V0002	V0005	90007	10007	10008	4000	01001	11001	40012	10013	V0014	400 I 6	100N	N0018	6100N	N0020	:65	69	52	18	(93	194	32
υΕδΕ	12 X	Ť	Ť	7	en 🌱	Ť	1ah Yr	va Yi	va ⊥	va Yr	۲. ۲	ar Yr	ial Yr	a- ₽D	.ev –	∧a 2 Yi		a-	12	12	13	12	3a- 13	a- 13	th 13
well	Sunal	Ayad	Alif	Azal	Maril Grab Seep	Sarar IX	Sharr I X	Shab <sup>v</sup> I	Shab. 2	Shab: 2	Sunał	Hem I	4 Cami	Hahn de se	Shab' salt mine	shab salt mine	Mous bah I	Mou: bah I	Qâni'	Qâni'	Qâni	Qâni	A'Ru- mah- Ihaf	Haru bya-B Ihaf	Minq

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Sample number	location		тос (%)	EO (%)	sat. (%)	aro. (%)	pol. (%)	sat. (%)	aro. r (%) (	res. a (%) (5	sp. 5	VPDB) %	8 <b>Dasp (%</b> / <b>SMOW)</b>	δ <sup>13</sup> Csat (‰ /VPDB)	δ <sup>ו3</sup> Caro (‰ / VPDB)	õ <sup>I3</sup> Cres. (‰ / VPDB)	õ¹³Cextract (‰ / VPDB)	õDextract (%。/ SMOW)
196	Qâni		67.6	95.2	0.8	17.3	81.9	0.7	21.6 7	75.3 2	4	.26.7						
962	Qâni		31.1	6.7	8.I	10.6	87.6	1.2	14.9 3	39.3 4	4.6	.24.8	-100					
963	Qâni		22.2	29.9	0.7	6.4	92.8	0	9	39.8 5	0.2	.25.5	=					
964	Qâni'		7.6	Ξ	0.02	2.9	97.08	0.1	2.3 5	52.5 4	5.1	.26.9	- 44					
1261	Qâni'		25.5	30.2	0.3	8.98	90.72	9.1	9.2 3	30.4 5	8.8	.25.6						
1265	Qâni'		6.5	14.7	4.4	4.8	90.8	1.7	2.2	15.2 8	6.0	26.5	-85					
1269	Qâni'		72.8	46.5	30.8	27.1	42	25.2	24.9 3	30.2	9.7	.25.7	-					
1271	Qâni'		49.1	6.66	0.01	16.05	83.94	0.3	5	54.5 3	9.2	.25.9	-104					
1279	Qâni'		8	28.6	2.5	4.3	93.2	2.9	4.3	18.8 7	4	27.1	-70					
1280	Qâni'		0.09															
1281	Qâni'		17.8	23.4	8.1	5.6	92.5	0.6	2.5 I	14.8 8	5.1	27.5	-69					
1286	Qâni'			73.7	0.01	4.49	95.5	0.4	5.4 4	19.4 4	8.4	25.2	-104					
1393	Balhaf I 985-A'Rumah	basement ? Infra-cambrian?			13.3	22.3	64.4	13.3	22.3 3	35.7 2	8.7	26.3	-86					
1393	Balhaf I 985-A'Rumah	basement ? Infra-cambrian?			16	28.3	44.3	16	28.3 2	.8.4 2	7.3			-28.2			-27.2	-112
1394	Balhaf 1985- Wadi Arubya	Mukalla sanstone-Lower Cretaceous			9.3	9.2	81.6	9.3	9.2	4.4 6	- I'Z	24.9	-76					
1394	Balhaf 1985- Wadi Arubya	Mukalla sanstone-Lower Cretaceous			9.4	7	16.4	9.4	-	12.7 7	6.0			-27.7			-25.6	-93
1332	near Mintaq	oil-stained sand-Tertiary		6.9	11.2	23.3	58.7	11.2	23.3 4	16.9	8.6	26.4	06-					
1822	Shabwa salt mine I	Upper Jurassic		18.7	20	13.1	33.1	20	13.1	3.8	3.1	27.9		-30	-29	-28.7		
1823	Shabwa salt mine 2	Upper Jurassic		4	11.2	1.7	12.9	11.2	1.7 8	.6 7.	8.2	25.8		-29.3	-27.7	-28.1		

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Table 5 Gross composition and isotopic data on samples from Qâni' and oil seeps



Figure 12 Total ion current of sample #1269 showing the high molecular weight n-alkanes which allowed classification of the sample among ozokerites.



Figure 13 Mass fragmentograms of steranes (m/z 217) and terpanes (m/z 191) of samples #1265 and #1269: identification of bitumen.



Figure 14 Mass fragmantograms of steranes (m/z 217) and terpanes (m/z 191) of samples #1279 and #1281: identification of bitumen.

In summary, archaeological bitumen from Qâni' did not obviously match the analyzed oil seeps of Yemen and may have been imported from Khuzistan and Fars in Iran as suggested by the occurrence of  $18\alpha(H)$ -oleanane. Such a trade link has been highlighted at the ruins of Al-Baleed near Salalah on the southern coast of Oman, near Yemen, through the analysis of caulking residues on some wooden planks, which date from the  $11^{th}$ - $12^{th}$  century, that were used in the walls of the Husn fortress. These planks are re-used materials that came from a sewn boat likely to have been Iranian in origin as shown by the abundance of  $18\alpha(H)$ -oleanane in the bitumen that was analyzed.

The plot of isotopic data of asphaltenes,  $\delta D$  vs.  $\delta^{13}C$  in Figure 15, allowed three groups of samples to be distinguished:

- 1. Frankincense (#964, #1271, #963, #1286, #962) was, as expected, depleted in deuterium. Within this group the detection of the effect of alteration (thermal?) on the isotope values may be possible. Thermal degradation entails a shift of both  $\delta D$  and  $\delta^{13}C$ . Thermally altered samples (#963, #962, #1286 and #1271) are enriched in deuterium and  $^{13}C$ , as usually reported
- 2. Oil seeps (Balhaf and Minqah), the deuterium values of which approach those of archaeological bitumen and may have been affected by oxidation
- Archaeological bitumen that tend, as seen elsewhere (As-Sabiyah, Failaka, Umm an-Namel, Akkaz)<sup>20</sup> to be enriched in deuterium due to severe oxidation.

# The characterization of frankincense by GC-MS analysis of selected samples

Archaeological frankincense has only been identified in various samples originating from sites located in Egypt, Yemen, France and Belgium.<sup>29-33,30-32</sup> This study offers a novel opportunity to add chemical information on preserved archaeological frankincense as well as remains from incense burners that were affected by thermal treatments of various intensities. Thirteen archaeological samples were selected for the research and identification of frankincense. They were analyzed by GC-MS (Gas Chromatography-Mass Spectrometry). Their chromatograms showed the presence of predominant triterpenic biomarkers with oleanane, ursane and lupane skeletons. The occurrence of boswellic and lupeolic acids and their O-acetyl derivatives indicate that these samples contain a triterpenic resin belonging to the Burseraceae family named olibanum (Boswellia spp.) or frankincense.<sup>34-42</sup> Previous research demonstrated that it is possible to chemically distinguish Boswellia frereana, an endemic species from North Somalia, from other botanical species of Boswellia.43 Indeed, B. frereana has a dominant triterpenoid named 3-epi-lupeol whereas the other Boswellia species also contain this component but in lower concentration and in association with a larger triterpenic population. Moreover, the usual biomarkers ( $\alpha$ - and β-boswellic and lupeolic acids and their O-acetyled derivatives) are not detected in B. frereana (Figure 16). Since 3-epi-lupeol was not the predominant component in the analyzed samples (Figure 17), B. frereana can be excluded as the source of the frankincense present in the sample set.



**Figure 15 (a)** Plot of 27Sdia/29αααR vs.Ts/Tm: comparison of the bitumen of Qâni' to oil seeps and oil from oil fields. **(b)** Plot of GA/C31αβHR vs.Ts/Tm: comparison of the bitumen of Qâni' to oil seeps and oils from oil fields.

(c) Plot of  $18\alpha(H)$ -oleanane vs.Ts/Tm: comparison of the bitumen of Qâni' to oil seeps and oils from oil fields.

(d) Ternary diagram with  $\beta\beta$ -steranes: comparison of the bitumen of Qâni' to oil seeps and crude oils from oil fields.



Figure 16  $\delta^{13}\text{Casp}$  vs.  $\delta D_{_{asp}}\text{:}$  comparison of frankincense and bitumen from Qâni'.

Chromatographic profiles of triterpenic structures differed in the various samples: the distinction mainly concerned the most polar compounds eluting between 45 and 50 min. The sample location and history of Qâni' suggest that resinous materials may have been exposed to various degrees of heating resulting in thermal degradation such as combustion and burning processes that may have affected their original composition. Polar compounds were degraded into non-polar molecules via thermal alteration and oxidation. The altered samples may be distinguished according to their degree of degradation corresponding to the extent of the effects of combustion. The specific chemical markers of frankincense are  $\alpha$ -(18) and  $\beta$ -boswellic (19) and lupeolic (20) acids and their corresponding O-acetyl-derivatives (2123). Molecular structures are given in Appendix 1. These molecules can undergo chemical transformation and form degradation products, i.e. 24-noroleanane-3,12-diene (3), 24-norursa-3,12-diene (5) and 24-norlupa-3,20(29)-diene (6). They were identified by their mass spectra and by referring to specialized literature (Table 6, Appendix 1).43,44 Other degradation compounds were also characterized: these are not functionalized and have one or two double bonds. They were identified with the NIST library of mass spectra as olean-11-12(18)-diene (1), ursa-11,13(18)-diene (2), olean-12-ene (4) and urs-12-ene (7). These molecules were generated by dehydrogenation of triterpenic precursors. Aromatic compounds can also be formed by further dehydration.45

CI2-CI3

CI2-CI3

CH,

CH,



Figure 17 Total ion chromatogram of the triterpenic area of Boswellia frereana. Table 6 Gross composition and isotopic data on samples from Qâni' and oil seep

 $\alpha$ -amyrone

 $\alpha$ -amyrin

14

15

S	keleton type	<sup>30</sup> <sup>12</sup> <sup>19</sup> <sup>19</sup> <sup>20</sup> <sup>19</sup> <sup>21</sup> <sup>20</sup> <sup>19</sup> <sup>21</sup> <sup>21</sup> <sup>21</sup> <sup>21</sup> <sup>21</sup> <sup>21</sup> <sup>21</sup> <sup>21</sup>		sane RI RI	29 4 10 10 10 10 10 10 10 10 10 10	
No	Compound	t <sub>R</sub> (min)	Skeleton type	RI	R2	Double bond(s)
I	oleana-11,13(18)-diene	37.72	0	Н	CH3	CII-CI2 and CI3-CI8
2	ursa-11,13(18)-diene	37.92	U	н	CH3	CII-CI2 and CI3-CI8
3	24-noroleana-3,12-diene	38.12	0	н		C3-C4 and C12-C13
4	olean-12-ene	38.31	0	Н	CH3	C12-C13
5	24-norursa-3,12-diene	39.12	U	н		C3-C4
6	24-norlupan-3,20(29)-diene	39.32	L	Н		C3-C4 and C20-C29
7	urs-12-ene	39.57	U	н	CH3	C12-C13
8	3-epi-β-amyrine	41.49	0	α-OH, β-H	$CH_3$	C12-C13
9	3-epi-α-amyrine	41.94	U	α-OH, β-H	$CH_3$	C12-C13
10	3-epi-lupeol	42.07	L	α-OTMS, β-H	$CH_3$	C20-C29
П	ursa-9(11),12-dien-3-one	42.91	U	0	$CH_3$	C9-CII and CI2-CI3
12	β-amyrone	43.54	0	0	CH3	C12-C13
13	β-amyrin	44.06	0	α-H, β-OH	CH,	C12-C13

Citation: Connan J, Joliot C, Mathe C. Frankincense and bitumen of the middle period (1st century-5th century AD) from the ancient Harbour of Qâni' (Yemen). J His Arch & Anthropol Sci. 2018;3(5):696-722. DOI: 10.15406/jhaas.2018.03.00153

Ο

**α-Η**, β-ΟΗ

U

U

44.4

44.61

Table Continued....

No	Compound	t <sub>R</sub> (min)	Skeleton type	RI	R2	Double bond(s)
16	lupenone	44.66	L	0	CH3	C20-C29
17	lupeol	44.95	L	α <b>-Η,</b> β-ΟΗ	CO <sub>2</sub> H	C20-C29
18	$\alpha$ -boswellic acid	45.15	0	α-OH, β-H	CO <sub>2</sub> H	C12-C13
19	$\beta$ -boswellic acid	45.63	U	α-ΟΗ, β-Η	CO <sub>2</sub> H	C12-C13
20	lupeolic acid	45.75	L	α-OH, β-H	CO <sub>2</sub> H	C20-C29
21	O-acetyl $\alpha$ -boswellic acid	48.55	0	α-OAc, β-H	CO <sub>2</sub> H	C12-C13
22	O-acetyl $\beta$ -boswellic acid	49.17	U	α-OAc, β-H	CO <sub>2</sub> H	C12-C13
23	O-acetyl lupeolic acid	49.28	L	α-OAc, β-H	$\rm CO_2 H$	C20-C29

By referring to the chemical composition of terpenic derivatives (Table 7), it may be possible to establish a classification of archaeological samples as a function of their degree of alteration. Samples #1270, #1258, #1259 and #1283 did not show any degradation compounds (1-7) and contained native biomarkers of olibanum (18-23), so could be considered as well-preserved frankincense. However, samples #962, #963, #1263, #1277 and #1292, were devoid of the O-acetylated frankincense biomarkers (21-23) but their degradation

derivatives (1-7) were ascribed as burned olibanum samples. In addition, a third class was defined with resins that contained  $\alpha$ - (18),  $\beta$ -boswellic (19) and lupeolic (20) acids as well as O-acetylated derivatives (21-23) and their altered corresponding compounds (1-7). This last class (#964, #1264, #1270, #1286, #1287) is interpreted as a partly degraded resin due to a mild thermal degradation and/or ageing processes.

Table 7 Analytical data on terpenoid compounds

Arch	aeological samples													
No	Compound	962	963	964	1286	1270	1277	1292	1258	1259	1263	1264	1287	1283
I	oleana-11,13(18)-diene	$\checkmark$	$\checkmark$	-	-	-	$\checkmark$	$\checkmark$	-	-	$\checkmark$	$\checkmark$	$\checkmark$	-
2	ursa-11,13(18)-diene	$\checkmark$	$\checkmark$	-	-	tr	$\checkmark$	$\checkmark$	-	-	$\checkmark$	$\checkmark$	$\checkmark$	-
3	24-noroleana-3, I 2-diene	$\checkmark$	$\checkmark$	$\checkmark$	-	tr	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$		-
4	olean-12-ene	$\checkmark$	$\checkmark$	-	-	$\checkmark$	$\checkmark$	$\checkmark$	-	tr	$\checkmark$	$\checkmark$	$\checkmark$	-
5	24-norursa-3,12-diene	$\checkmark$	$\checkmark$	$\checkmark$	-	$\checkmark$	-							
6	24-norlupan-3,20(29)-diene	$\checkmark$	$\checkmark$	-	-	$\checkmark$	$\checkmark$	$\checkmark$	-	-	-	-	-	-
7	urs-12-ene	$\checkmark$	$\checkmark$	$\checkmark$	-	-	-	tr	-	tr	$\checkmark$	$\checkmark$	tr	-
8	<b>3-epi-</b> β-amyrine	$\checkmark$												
9	3-epi-α-amyrine	$\checkmark$												
10	3-epi-lupeol	$\checkmark$												
П	ursa-9(11),12-dien-3-one	$\checkmark$												
12	$\beta$ -amyrone	$\checkmark$	tr	tr	$\checkmark$	$\checkmark$	$\checkmark$							
13	β-amyrin	$\checkmark$	tr	$\checkmark$	-	$\checkmark$	$\checkmark$	$\checkmark$						
14	α-amyrone	$\checkmark$												
15	α-amyrin	$\checkmark$	tr		$\checkmark$	$\checkmark$								
16	lupenone	$\checkmark$	$\checkmark$	tr	tr	$\checkmark$	$\checkmark$	$\checkmark$	-	-	tr	-	-	-
17	lupeol	$\checkmark$	tr	-	$\checkmark$	$\checkmark$	$\checkmark$							
18	α-boswellic acid	-	-	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	tr	$\checkmark$	$\checkmark$	-	$\checkmark$	$\checkmark$	$\checkmark$
19	$\beta$ -boswellic acid	-	-	$\checkmark$	$\checkmark$	$\checkmark$	-	-	$\checkmark$	$\checkmark$	-	$\checkmark$	$\checkmark$	$\checkmark$
20	lupeolic acid	-	-	$\checkmark$	$\checkmark$	$\checkmark$	tr	-	$\checkmark$	$\checkmark$	-	$\checkmark$	$\checkmark$	$\checkmark$
21	O-acetyl $\alpha$ -boswellic acid	-	-	tr	-		-	-	$\checkmark$	$\checkmark$	-	-	tr	$\checkmark$
22	O-acetyl $\beta$ -boswellic acid	-	-	tr	-		-	-	$\checkmark$	$\checkmark$	-	-	tr	$\checkmark$
23	O-acetyl lupeolic acid	-	-	tr	-	$\checkmark$	-	-	$\checkmark$	$\checkmark$	-	-	tr	$\checkmark$

Frankincense and bitumen of the middle period ( $1^{st}$  century-5<sup>th</sup> century AD) from the ancient Harbour of Qâni' (Yemen)

Apart from frankincense, fatty acids -myristic, palmitic and stearic acids- were identified in a number of other archaeological samples, i.e. #1258, #1263, #1264 and #1283 however their presence was not significant due to low concentrations. Their origin is questionable as they may originate from either from plants or animals that were incorporated with the minerals added to the frankincense. As discussed above these samples contained calcium carbonate and sulphate, and were anthropic mixtures that explain the occurrence of fatty acids as possible contaminants.

Analysis of three of the bitumen samples (#1265, #1279 and #1281) was undertaken using the frankincense flowchart in order to check if they were mixed with other ingredients, especially frankincense. The

resulting chromatograms of samples #1265 and #1279 showed the occurrence of molecules with an hopane skeleton between 35-42 min in m/z 191 (Figure18) but no other diagnostic terpenes. The bitumen samples that were analyzed were not therefore mixtures including frankincense but were mainly archaeological bitumen as found elsewhere.<sup>23</sup> Sample #1281 is slightly different with the occurrence of the same hopane derivatives as well as triterpene close to epilanosterol ( $\mathbf{t_R} = 42.99 \text{ min}$ ),  $\alpha$ -amyrin (15,  $\mathbf{t_R} = 44.86 \text{ min}$ ) and lup-12-en-3-ol ( $\mathbf{t_R} = 45.32 \text{ min}$ ), characterized with m/z = 189 and 203 and which may have originated from the introduction of vegetal matter into the bitumen (Figure 19). The presence of  $\alpha$ -amyrin indicated the occurrence of a triterpenic resin belonging to the Burseraceae family.



Figure 19 GC-MS chromatograms (m/z 189+191+203) of the archaeological samples #1281, #1265 and #1279, between 35-45 min.



Appendix I Chemical structures of triterpenoids.

**Citation:** Connan J, Joliot C, Mathe C. Frankincense and bitumen of the middle period (1<sup>st</sup> century-5<sup>th</sup> century AD) from the ancient Harbour of Qâni' (Yemen). J His Arch & Anthropol Sci. 2018;3(5):696–722. DOI: 10.15406/jhaas.2018.03.00153

# Discussion

# The results of the present s tudy lead to the following observations

Firstly, the identification of bitumen in several samples is remarkable: a likely hypothesis is that it was used as a fuel and, when mixed with aromatic resin, facilitated fumigations related to religious practices. However, no such mixture was identified within the temple area. In addition, it is possible that the bitumen was used as a binder in elaborate mixtures to create diverse texture and density. Several samples were found to be mineral matter mixed with frankincense which was always the major constituent. It is important to underline that all samples that were analyzed were frankincense (Boswellia), never myrrh and it is significant that 35 samples of fumigation and offering residue found in religious complex were basically from the same resin and used in relation to a god or a religious practice. Thus, the use of some aromatics was likely to have been precisely defined. Fatty acids of either of animal or vegetal origin were identified in some mixtures indicating that anthropic mixtures comparable to those called now known as bukhur, associated to resins with other aromatic ingredients (flowers, wood, oils, etc.) were in use. Fumigations in a religious context could have been made with these preparations and not exclusively with pure resins.

Secondly, the analysis of bitumen samples shows that they did not originate from a local source (Balhaf or elsewhere) but probably from the Khuzistan area in Iran. The exchanges between Qâni' and the Arabo-Persian Gulf are attested (mostly through the spread of pottery containers) but it is of particular interest to confirm the importance of a resource that was available locally. Bitumen was certainly available in the port of Qâni' and reused from the waterproofing of boats. A dedicated trade of bitumen is attested by jars from south Mesopotamia which showed a thick deposit of Iranian bitumen when analyzed: these were excavated from coastal sites in eastern Arabia, including Qâni'.<sup>46</sup>

## Conclusion

The geochemical study of thirty-one samples from Sector VII of Qâni', which were excavated by the French Archaeological Mission and from the Sector VI by the Russian mission, has led to the identification of frankincense and four bitumen samples. The frankincense was fully preserved in the burnt-out warehouse excavated by Sedov and in the religious complex of Sector VII, and was partly altered by thermal treatments associated with incense burners. No other type of resins, such as copal from Africa as identified at Sharma, was found at Qâni'. Some samples were also analyzed for their mineral content and NaCl, gypsum and calcium carbonate were identified. In addition to frankincense, bitumen samples were found in potsherds in the religious complex. The use of the bitumen was not understood but may have been a substance for caulking ceramics or lighting fires in incense burners. The geological origin of the bitumen samples was not confirmed, despite a comparison with Yemeni crude oil and oil seep. More precisely, the bitumen samples did not match the Balaf oil seeps, which are not far from Qâni'. The occurrence of 18α(H)-oleanane in an ozokerite and in some other bitumens suggests an import from Iran, as was shown to be the case in Salalah with another set of samples. We are also indebted to Michel Mouton who provided all the archaeological details related to the studied area and who collected the samples in the sanctuary of Qâni'.

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#### **Conflict of interest**

Authors declare that there is no conflict of interest.

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