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Mancini, Enrico; Mansouri, Seyed Soheil; Gernaey, Krist V.; Luo, Jianquan; Pinelo, Manuel

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1 **From second generation feed-stocks to innovative fermentation and**
2 **downstream techniques for succinic acid production**

3 Enrico Mancini^a, Seyed Soheil Mansouri^a, Krist V. Gernaey^a, Jianquan Luo^{b*},
4 Manuel Pinelo^{a**}

5 *^aDepartment of Chemical and Biochemical Engineering, Technical University of Denmark,*
6 *Lyngby, Denmark; ^bState Key Laboratory of Biochemical Engineering Institute of Process*
7 *Engineering, Chinese Academy of Sciences, Beijing, China.*

8 Corresponding author information: * No. 1 North Second Street, Zhongguancun, Haidian
9 District, 100190, Beijing, China, e-mail: jqluo@ipe.ac.cn. ** Søtofts Plads, Building 227,
10 2800 Kgs. Lyngby, Denmark, e-mail: mp@kt.dtu.dk

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37 **From second generation feed-stocks to innovative fermentation and** 38 **downstream techniques for succinic acid production**

39 Succinic acid (SA) is one of the most important bio-building blocks in biorefinery. Its
40 production from fermentation of renewable biomass sources is becoming a
41 consolidated alternative that is more sustainable and potentially more economic than
42 the traditional petroleum-based path for SA production. Fermentative production of SA
43 has been successfully commercialized and a large and increasing number of SA-
44 derivatives are promoting the economic stability of this production. However, the
45 companies producing SA from fermentation are targeting specialized markets and the
46 production is far from large-scale bulk chemical synthesis. In order to develop
47 optimized and economic processes, the best candidates in every step of the SA
48 production process must be identified. In this paper, the most promising biomass
49 sources, pretreatment methods, fermentation conditions (i.e. host microorganism,
50 fermenter design and operative mode) and separation techniques for industrial SA
51 production are critically reviewed. Selection of the host microorganism is a key factor
52 for SA production. However, the availability, potential and sustainability of feed-
53 stocks, fermentation and separation process must also be carefully evaluated for a cost-
54 effective and environmentally sustainable SA production.

55 Keywords: succinic acid; lignocellulose; biomass pretreatment; membrane separation;
56 continuous and simultaneous saccharification and fermentation; *in situ* product
57 recovery; large-scale production of succinic acid.

58 **1.0. Introduction**

59 Refining biomass (biorefinery) is a promising strategy to reduce dependency on petroleum,
60 especially with respect to chemicals and fuel production. A biorefinery addresses several
61 challenges at the same time, such as the depletion of fossil fuel resources (with the associated
62 consequences), the requirement for increased human sustainability of production, waste
63 management, and political concerns (Chandel, Garlapati, Singh, Antunes, & da Silva, 2018;
64 Cherubini, 2010). Today, worldwide efforts are being made to develop efficient processes for
65 bio-based production of chemicals, and succinic acid (SA) is widely recognized as a

66 fundamental building block in such efforts (Werpy & Petersen, 2004). Succinity, a company
67 producing biomass-based SA, reported a reduction of more than 60% in greenhouse gasses
68 (GHG) emissions compared to petroleum-based SA production (Succinity, 2019). Currently,
69 more than 30 commercially valuable products can be synthesized from SA (Figure 1) or
70 include a derivative of it, examples are: solvents and lubricants, synthetic resins, and
71 biodegradable polymers such as polybutylene succinate (PBS) and polyamides, as well as
72 cosmetics, food additives and pharmaceuticals intermediates (Arshadi et al., 2008; Beauprez,
73 De Mey, & Soetaert, 2010). Between 1999 to 2011 the global market for SA, which increased
74 at 10% per year, more than doubled (Pinazo, Domine, Parvulescu, & Petru, 2015) and this
75 market is expected to grow at a CAGR (compound annual growth rate) of around 24% by
76 2020 (Nghiem, Kleff, & Schwegmann, 2017). Until recently, petrochemical-based SA
77 dominated the market and up to 2011 biorefinery-based SA production was reported to be
78 less than 5% of the total SA production (IEA Bioenergy, 2012; Weastra, 2012). However,
79 biorefinery-based SA increased to 48.7% of the market in 2013 (EC-DGE, 2015) and was
80 forecasted to reach even 60% in 2015 (Pinazo et al., 2015). Pinazo et al. (2015) confirmed
81 this trend, reporting that petrochemical-based SA production has remained stable for years,
82 whereas SA from fermentation is responsible for the worldwide growth in SA production. In
83 2013 total SA production was around 38,000 t with a total market value of \$108 million
84 (approx. 2,860 \$/t), while petrochemical-based global SA production was approximately
85 40,000 t with a market value of \$100 million (approx. 2,500 \$/t). In 2015 the estimated
86 addressable market for SA-derived chemicals was between \$7 and \$10 billion, including 1.4
87 butandiol (BDO - up to \$4 billion), tetrahydrofurane (THF) and oxalan-2-one (GBL) (EC-
88 DGE, 2015). Because of the wealth of industrial activity focused around biorefinery-based
89 SA production, SA was reported as the fastest growing bio-based market in 2015. If SA from
90 fermentation is economically competitive, it could easily replace many fossil-based building

91 block alternatives. In a report entitled “From the sugar platform to biofuel and biochemicals”,
92 the European Commission places SA production at a TRL between 7 and 8 today. This means
93 that some processes are at commercial scale, while others still need further research and
94 development to enter the market (EC-DGE, 2015). However, whilst significant advances
95 have been made in the field, barriers remain for full exploitation of lignocellulose (EC-DGE,
96 2015) which is expected to be the future major feedstock for industrial SA production (Efe,
97 van der Wielen, & Straathof, 2013; C. S. K. Lin et al., 2013).

98 Succinic acid has traditionally been a petrochemical by-product obtained from
99 catalytic hydrogenation, paraffin oxidation and electrolytic reduction of maleic anhydride or
100 maleic acid (Xu et al., 2018). The liquid-phase maleic anhydride hydrogenation to succinic
101 anhydride is followed by the hydration to SA (Figure. 2) (Pinazo et al., 2015).

102 The petrochemical synthesis of SA occurs by means of Ni or Pd based catalysts at a
103 temperature between 120 to 180 °C and moderate hydrogen pressure of 0.5 to 4.0 MPa,
104 which saturates the double bonds to release heat ($\Delta H = -133.89 \text{ kJ mol}^{-1}$) (Fumagalli, 2006).
105 The process efficiency reported in the literature is limited to the first step only (from maleic
106 anhydride to succinic anhydride, see Figure 2) with yields close to the theoretical yield
107 (Fumagalli, 2006; Pinazo et al., 2015). However, purification steps are still required to obtain
108 a marketable product, and after removing the catalyst by filtration, the raw succinic anhydride
109 is distilled under vacuum conditions and subsequently flaked (Fumagalli, 2006).

110 SA can also be chemically synthesized from levulinic acid, which is another
111 renewable feedstock that can be easily obtained from lignocellulose treatment. The process is
112 reported to be economically competitive compared to SA production from petroleum, and
113 offers also advantages compared with SA from fermentation of lignocellulose (Cukalovic &
114 Stevens, 2008). Nevertheless, SA synthesis from levulinic acid has only recently received
115 attention and is currently still far from full-scale implementation (Kawasumi et al., 2017). In

116 contrast, several industrial actors such as: Biosuccinium (former Reverdia), Succinity,
117 BioAmber and Myriant (Table 1), have already successfully commercialized SA based on
118 microbial fermentation. To develop more economic and optimized bio-based processes,
119 identification of the best candidates in every step must be performed.

120 This work comprehensively reviews the most recent advances in the development of
121 cost-efficient second generation biorefinery processes for SA production with an emphasis on
122 large-scale synthesis, and takes a look at the future. There are four main sections: the first
123 investigates the characteristics and availability of biomass feedstock candidates with a focus
124 on second generation biorefineries; the second provides an overview of the potential of SA
125 production from different feedstock candidates and reviews the relevance of process
126 configurations and operational modes that can be applied in the fermentation step; the third
127 section reviews the major separation techniques applied for SA separation and purification;
128 the last section identifies the best candidates for the process from a holistic point of view and
129 the associated challenges, laying solid foundations for future work in process simulation.

130 **2.0. Biomass-derived succinic acid: feedstock composition, distribution and** 131 **availability**

132 Biomass for SA production can originate from three main sources: agriculture and/or forestry
133 sources, industrial by-products, and food waste (Vassilev & Vassileva, 2016). To date, large-
134 scale production of SA has primarily focused on starch-based sugars, but for SA not to
135 compete with food production, inexpensive lignocellulosic-derived sugars should ideally be
136 extracted from non-food crops as feedstock for SA production (Salvachúa et al., 2016).
137 First generation feed-stocks for SA production are typically rich in carbohydrates, for
138 example wheat, corn, sugar beet, sugar cane or direct use of refined sugars, for example
139 glucose (Salvachúa et al., 2016). For many of the plant sources of such carbohydrates,

140 however, only a small fraction of the aerial parts of the plant is utilized for SA production
141 (Cherubini, 2010). Reduced chemical complexity and high concentration of degradable
142 carbohydrates are the major advantages of the first generation feed-stocks. SA production has
143 low dependence on a single feedstock since it can be chemically produced from basically any
144 carbohydrate fraction (Table 4). This flexibility is useful in overcoming seasonal and
145 geographical limitations that may be associated with producing biomass-based biorefinery
146 products.

147 Lignocellulosic biomass has been proposed as the future feedstock for SA production
148 (Efe et al., 2013; C. S. K. Lin et al., 2013). Unlike first generation feedstock, lignocellulosic
149 biomass encompasses nearly the whole plant (Cherubini, 2010). The composition of such
150 biomass ranges from 40-50% cellulose and 20-40% hemicellulose and lignin (Cherubini,
151 2010) and represents a cheap and abundant feedstock as well as a way to dispose of
152 agricultural wastes (Mulvihill, Beach, Zimmerman, & Anastas, 2011). Fermentable sugars
153 obtained from cellulose and hemicellulose, such as glucose, xylose, fructose, lactose are the
154 sources for SA production (Werpy & Petersen, 2004). The annual production of
155 lignocellulosic material from the agriculture industry and terrestrial plants is estimated to be
156 about 180 million tons per year (Figure 3).

157 Food waste represents a rather diffuse unexploited (or not fully exploited) resource
158 throughout the entire world. Nowadays, the vast majority is landfilled, burnt, or in the best-
159 case scenario anaerobically digested for biogas production (C. S. K. Lin et al., 2013). Many
160 studies have highlighted the great potential of food waste as potential feedstock for chemical
161 synthesis (Brunklau B, Rex E, Carlsson E, 2018; Erica, 2004; C. S. K. Lin et al., 2013). In
162 2012 the amount of dumped food worldwide was estimated to be around 1.3 billion t (1/3 of
163 the food production) (Buchner et al., 2012), with FAO reporting as much as 50% of food
164 wasted in the supply chain and after reaching the consumers. In the European Union, 89

165 million tons of food are wasted yearly, with 80% of this figure coming from manufacturing
166 (38%) and household waste (42%) (C. S. K. Lin et al., 2013). In this respect, it is important to
167 mention that SA has been produced successfully from selected food waste samples (Q. Li, J.
168 A. Siles, I. P. Thompson, 2010; Zhang et al., 2013).

169 Bakery and bread wastes have been pointed out as particularly suitable for SA
170 production because they are rich in easily fermentable carbohydrates (starch and simple
171 sugars) and can provide the required nutrients for efficient SA biosynthesis (A. Y. Z. Zhang
172 et al., 2013). Leung, Cheung, Zhang, Lam, & Lin (2012) used bread waste for solid state
173 fermentation, and from the 59.8 wt% detected starch per gram of bread (dry weight), they
174 obtained as much as 90.8% conversion to glucose, resulting in a sugar concentration of more
175 than 100 g/L after hydrolysis. Similar amounts of carbohydrates were reported by Zhang et
176 al. (2013) in pastry and cake residues, at 33.5 and 62.0% (g carbohydrate/g residue),
177 respectively. Treatment of a 30% (w/v) solution residue, i.e. 10.05 g carbohydrate/L, with
178 simultaneous hydrolysis and fungal autolysis released about 54.2 and 58.7 g/L glucose plus
179 fructose, for pastry and cake residues, respectively.

180 Citrus peel waste has also been studied for SA production. The major components of
181 citrus waste are water (80 wt%), soluble sugars, cellulose up to 23.17 ± 0.64 wt% (dry
182 weight) (Q. Li et al., 2010), hemicellulose, pectin and D-limonene. It is estimated that 31.2
183 million tons of citrus fruits are annually processed in the world, half of which is waste
184 (calculated on a wet basis). This waste comes mainly from oranges, lemons, limes,
185 grapefruits and tangerines, which are therefore potential substrates for SA production (Q. Li
186 et al., 2010; C. S. K. Lin et al., 2013). Seventy percent of the world's supply in citrus fruits
187 originates from Brazil, Italy, Spain, China, India, Egypt, South Africa, Morocco, Turkey, and
188 USA (C. S. K. Lin et al., 2013).

189 Cheese whey is a by-product of the cheese-making industry, and different studies
190 have reported on the potential of this low-cost substrate to produce SA in high concentration
191 and yield by using different bacterial hosts (Lee, Lee, Hong, & Chang, 2003; Samuelov,
192 Datta, Jain, & Zeikus, 1999; Wan, Li, Shahbazi, & Xiu, 2008). Cheese whey contains about
193 4.9% carbohydrate and 6 to 7% solids of which 70 to 80% is lactose and 10-15% consists of
194 milk proteins, lactate and salts (Samuelov et al., 1999). After separation of lactose-rich and
195 protein-rich fractions, the former could be used for SA production *via* fermentation (C. S. K.
196 Lin et al., 2013). Whey production in the U.S., expressed as dry matter, is about 470,332 t
197 (European Commission, 2018), whereas the global production was about 2.6 million tons in
198 2014 (FAO, 2018). Due to its high biological oxygen demand (BOD), whey cannot be
199 released into the environment and most of it is disposed of (Lee, Lee, Hong, & Chang, 2003)
200 or used in animal feed blends (Samuelov et al., 1999). The high organic carbon content
201 makes cheese whey a good substrate for SA production but it lacks available nitrogen (Lee,
202 Lee, Hong, & Chang, 2003). As a consequence, significant amounts of nitrogen could be
203 necessary to produce SA from whey (Pateraki et al., 2016).

204 Glycerol is a by-product of the biodiesel and bioethanol industries, and about 100mL
205 glycerol is produced with every liter of biodiesel (Borzani, 2006; Carvalho, Matos, Roca, &
206 Reis, 2014). Glycerol is highly promising as a substrate for SA production due to its higher
207 reduced chemical status as compared to C5 and C6 sugars (Pateraki et al., 2016). The cost of
208 raw glycerol is low but its quality largely depends on the feedstock used and the quality of
209 the produced biodiesel (Carvalho et al., 2014).

210 Lastly, algae are moderately rich in proteins although their organic composition can
211 vary significantly depending on the species and/or the growth conditions. This variety makes
212 this biomass very versatile for numerous commercial applications, such as production of
213 biofuel, biochemicals, pharmaceuticals, food etc. The amount of algal carbohydrates reported

214 in the literature is on average 29.9 wt% with a maximum of 83.6 wt% and a minimum of 4.0
215 wt% (Vassilev & Vassileva, 2016).

216 To summarize, nearly all food crops production has been constantly increasing during
217 the last 50 years – for example, fresh fruits and cereals production rose by around 4.5 fold
218 (FAO, 2018) – and this growth has also generated a constant increase in lignocellulosic
219 residues that can be utilized under the biorefinery concept. In this sense, the main SA
220 production from lignocellulose could be supplemented with that from local organic solid
221 waste (including food waste) or by exploiting regional resources. Important local resources
222 for SA production are: algae from areas close to the sea, non-food crops such as grass,
223 industrial wastes such as glycerol, cheese whey, spent sulfite liquor (from paper industry),
224 citrus peel etc.

225 **3.0. Manufacturing succinic acid**

226 ***3.1. Feed-stocks potential***

227 Waste biomass from cereal processing has been widely investigated as a potential feedstock
228 for SA production. The overall potential yield of SA from corn stover under different
229 conditions was 74 ± 2 wt% (J. Li et al., 2011; Salvachúa et al., 2016; Zheng et al., 2010)
230 when the process was started from straw hydrolysates only. Zheng, Dong, Sun, Ni, & Fang
231 (2009) reported a higher SA yield from corn straw (81 ± 2 wt%) compared with that from
232 wheat straw (74 ± 2 wt%) and rice straw (63 ± 2 wt%). The same authors reported a yield as
233 high as 89 ± 3 wt% from corncob only, which highlighted the potential of using specific parts
234 of the corn stover for SA production. The potential of corn stalks for SA production is also
235 relatively high in the lignocellulosic wastes group, and yields of about 83-87 wt% have been
236 reported (Liang et al., 2013; D. Wang et al., 2011). Sugarcane bagasse and molasses are also

237 attractive biorefinery substrates due to their potential SA yield. Reported yields for the former
238 are around 40 wt% (Borges & Pereira, 2011) up to 80 wt% (Chen, Tao, & Zheng, 2016)
239 when multiple enzymatic pretreatment is applied. While for sugar cane molasses SA yields
240 are between nearly 70 wt% (Cao et al., 2018b) to 80 wt% (Liu et al., 2008; Shen et al., 2015),
241 depending on the pretreatment steps and nitrogen sources. For both sugarcane bagasse and
242 molasses *A. succinogenes* was used as the microbial host.

243 Bread and bakery wastes are rich in fermentable carbohydrates and have a good
244 potential for biochemical SA production, with values of 55% (g SA/g bread) from solid-state
245 fermentation (Leung et al., 2012) and between 28 and 35% (g SA/g total bakery waste) (A. Y.
246 Z. Zhang et al., 2013). In the UK alone, waste from bakeries and dried food amounted to 1
247 million t in 2009. The conclusion is that relevant quantities of succinic acid can be produced
248 via fermentation of bakery products (C. S. K. Lin et al., 2013). For citrus waste, most of
249 which is peels, about 15.6 million t (wet basis) of citrus waste is produced yearly worldwide
250 (Q. Li et al., 2010; C. S. K. Lin et al., 2013). Q. Li et al., (2010), studied the potential SA
251 production from different concentrations of pretreated orange peel through exposing the peel
252 to the cellulolytic bacterium *F. succinogenes* S85 in an anaerobic batch reactor under a
253 carbon dioxide atmosphere. After removing D-limonene (see section 3.2), fermentation of 10
254 g/L orange peel gave a maximum yield of more than 12% (g SA/g pretreated orange peel)
255 with a production rate of 10 mg/L/h. Increasing the orange peel concentration significantly
256 lowered the yield to about a third (< 4% - g SA/g pretreated orange peel at 60 g pretreated
257 orange peel /L) but more than doubled the productivity (25 mg/L/h). Regarding cheese whey,
258 SA yields are reported to be between 57 to 91 wt% depending on the microbe used and the
259 fermentation conditions (K.-K. Cheng, Zhao, Zeng, & Zhang, 2012; Samuelov et al., 1999;
260 Wan et al., 2008).

261 **3.2. Pretreatment of biomass for SA production**

262 Biomass pretreatment is essential to make the carbohydrates of the selected raw material
263 available for fermentation. An efficient pretreatment aims to make as much as possible of the
264 carbohydrate fraction of the biomass accessible while at the same time removing potentially
265 inhibiting compounds in the mixture. On the other hand, feedstock production and grid
266 intensity in biomass pretreatment for SA production is reported as a major source for GHG
267 emissions (EC-DGE, 2015).

268 SA production from agricultural crops can exploit the already established treatment
269 processes of food production. Du et al. (2008) suggested that the processing of the raw
270 material fractions (e.g. flour separated from bran) and subsequent formation of a common
271 feedstock for fermentation and SA production is more economic and sustainable. These
272 authors reported that SA production from an integrated wheat biorefinery was twice that
273 obtained from a biorefinery process not using fractionation of the raw material; SA yields of
274 40 wt% (Du et al., 2008) were obtained for the former process compared to 19 wt% for the
275 latter (Du, Lin, Koutinas, Wang, & Webb, 2007).

276 With lignocellulosic material, pretreatment may involve harsh conditions to break
277 down the robust lignocellulosic structure, and operations vary from simple drying and
278 grinding (Q. Li, et al., 2010) to steam explosion at 215°C for 3 - 6 min (Kim et al., 2004; Lee,
279 Lee, Hong, Chang, & Park, 2003). Nonetheless, enzymatic pretreatments (after
280 thermochemical treatments) were reported as being less complex and more efficient and
281 sustainable than non-enzymatic pretreatments and extracted up to 90% of the sugars (Chandel
282 et al., 2018). Table 2 collects the advantages and disadvantages of the different pretreatment
283 methods. However, the process itself may produce toxic compounds (see section 3.4).
284 Salvachúa et al. (2016) significantly alleviated inhibition due to toxic compounds by applying

285 a deacetylation pretreatment before a diluted acid pretreatment to corn stover. When this
286 deacetylated corn stover was compared to pure sugar as a substrate for SA production, the
287 production rate only was lower while the final SA titer and yield were the same: a titer of 43
288 and 47 g/L and yield of 72 and 74 wt% for corn stover and pure sugar, respectively. The corn
289 stover was knife-milled, sieved through a 19 mm mesh and deacetylated for 2h in a bath of
290 0.4 wt% NaOH and 80°C, for a corn stover with 8 wt% total solids (TS). The pretreatment
291 was then run for 10 minutes at 160°C with addition of 8 g H₂SO₄ per kg of biomass. When
292 deacetylation was performed before dilute acid pretreatment of corn stover, SA production
293 yield was 42% higher (0.74 g SA/g sugars) with a 370% increase in production rate (1.27 g
294 SA/L/h) than SA yield (0.52 g SA/g sugars) and production rate (0.27 g SA/L/h) without
295 prior deacetylation. The gap between the theoretical yield and the obtained production in the
296 experiment reported by Salvachúa et al. (2016) (1.12 and 0.74 g SA/g sugars, respectively)
297 was explained by the generation of other co-products (i.e. formate, acetate) and biomass
298 formation of *A. succinogenes*.

299 Food waste pretreatment might involve a simple blending followed by enzymatic
300 hydrolysis and fungal autolysis, such as for bread and bakery waste (Leung et al., 2012; A. Y.
301 Z. Zhang et al., 2013), or may require more complex steps, such as for citrus waste. For
302 example, before conducting fermentation for producing SA, Q. Li, et al. (2010) minced citrus
303 peel to a particle size of 2 mm, then dried the resulting particles for 120 h at 65 °C and finally
304 applied steam to remove D-limonene which is a known antibacterial agent. According to the
305 authors, concentrations of D-limonene of 0.06 vol% inhibit cell growth; 0.06 vol%
306 corresponds to 27 g/L orange peel. Therefore limonene must be removed from orange peel in
307 concentrations greater than 27 g/L prior to fermentation.

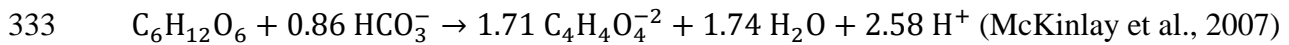
308 Production of SA from macroalgae such as *Laminaria digitata* and *Laminaria*
309 *japonica* requires pretreating by drying, chopping and milling followed by enzymatic

310 hydrolysis to release the intermediate sugars, such as glucose and mannose (Alvarado-
311 Morales et al., 2015; Vassilev & Vassileva, 2016). Micro and macroalgae have huge potential
312 in a biorefinery either for fuel or for chemicals production. However, up to the present there
313 have been only few studies on using algae for SA production. In the context of the
314 biorefinery as a cluster of bio-based facilities, algae hold a key role since they have few
315 geographical limitations, do not suffer from competition with arable land, and have wide
316 natural variety in their composition. For example, *Laminaria digitata* and *Saccharina*
317 *latissimi* are macroalgae consisting of about 60% carbohydrates, and some other species can
318 contain more than 80% carbohydrate (Vassilev & Vassileva, 2016), which also makes them
319 suitable as a substrate source for SA synthesis (Holdt & Kraan, 2011). Alvarado-Morales et
320 al. (2015) obtained a sugar solubilization of more than 78% from *L. digitata*, from which as
321 much as 86.5 wt% of the total sugars were converted to SA. Similarly, Bai et al. (2015)
322 obtained about 81 wt% yield of SA from total sugars from the macroalgae *Laminaria*
323 *japonica*, which was about 73% of the maximum theoretical potential.

324 **3.3. Biological synthesis**

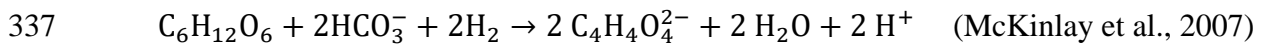
325 *3.3.1. Theoretical production*

326 One part of the process utilized for SA production, the purification step, is considered as a
327 major cost driver. Therefore organisms capable of producing SA at near-maximum
328 theoretical yields would contribute considerably to the cost-efficiency of the SA production
329 process. Thus, the potential SA yield of the different feed-stocks and particularly the
330 theoretical SA yields are benchmarks for evaluating the effective bacterial performance
331 (McKinlay, Vieille, & Zeikus, 2007). Theoretically, a mole of glucose can lead to about 1.71
332 moles of SA, as illustrated below:



334 $\Delta GH^{0'} = -173 \text{ Kj/mol}$

335 In the presence of CO₂ and additional reducing power (e.g. H₂), two moles of succinate per
336 mole glucose can theoretically be obtained:



338 $\Delta GH^{0'} = -317 \text{ Kj/mol}$

339 Fermentation of xylose, fructose and glycerol can theoretically generate 1.43, 1.20 and 1 mol
340 SA/mol substrate, respectively (Andersson, Hodge, Berglund, & Rova, 2007).

341 3.3.2. Fermentation: process configuration and operational techniques

342 After pretreatment, the biomass can be fermented through four main process configurations
343 and three main operational techniques. The configurations are SHF (Separate Hydrolysis and
344 Fermentation), SSF (Simultaneous Saccharification and Fermentation), SSCF (Simultaneous
345 Saccharification and Co-Fermentation), and CBP (Consolidated By-Processing), while the
346 operational modes can be batch, fed-batch and continuous. The two most relevant
347 configurations for SA production are SHF and SSF.

348 SHF is a configuration in which hydrolysis and fermentation occur in two separate
349 steps and it has been largely studied for SA production as the review from Akhtar, Idris, &
350 Abd. Aziz, (2014) shows. In SSF instead, hydrolysis and fermentation occur in the same
351 reactor simultaneously. Temperatures used in SSF are between 37 °C to 39 °C and pH is kept
352 neutral when the host microorganism is a bacterium and low pH when the host is yeast
353 (pH~3) (Chandel et al., 2018). The optimal configuration depends on the microbial host, from

354 the starting feedstock (Akhtar et al., 2014). Table 3 collects the advantages and disadvantages
355 of SHF and SSF. However, one of the main conclusions from the review of Akhtar, Idris, &
356 Abd. Aziz, (2014) on SA production from SHF and SSF is that SSF has a promising future
357 for SA production from lignocellulosic biomass. A recent study on organic acid production
358 (including SA) from various lignocellulosic biomasses and through SHF and SSF confirmed
359 the higher performance of SSF (Maslova, Stepanov, Senko, & Efremenko, 2019).

360 Regarding the operational techniques, companies producing SA at commercial scale
361 use batch or fed-batch (Table 1), which are simple and efficient in terms of production yield.
362 However, continuous production systems offer higher production rate (Table 4) and require
363 less sterilization times (Ferone, Raganati, Olivieri, & Marzocchella, 2019). The review of
364 Ferone et al., (2019) on bioreactors for SA production offers a clear view of the advantages of
365 continuous production systems, particularly for the possibility to operate the continuous with
366 immobilized cultures (biofilm), which significantly increase the productivity. The increasing
367 SA production yield observed when using immobilized cell bioreactors is particularly
368 interesting for *A. succinogenes*. The biofilm, naturally created by this bacteria, activates and
369 additional redox power, which permits to overcome one of the biggest limits of *A.*
370 *succinogenes* in SA synthesis, which is the lack of reducing power (see section 3.3.3.)
371 (Bradfield & Nicol, 2016; Maharaj, Bradfield, & Nicol, 2014). Table 3 shows major
372 advantages and disadvantages of the main reactor's configuration and operational modes.

373 To conclude, SSF in a continuous bioreactor system with immobilized cells emerges
374 as a very promising option for large-scale production of succinic acid.

375 3.3.3. Succinic acid producers

376 3.3.3.1. Wild-type microorganisms. SA is biologically synthesized as an intermediate in the
377 normal metabolic pathway of several anaerobic and facultative aerobic microorganisms

378 (Kawasumi et al., 2017). Three major pathways can be identified: (1) the TCA cycle
379 (oxidative pathway) also called the Krebs cycle, (2) the glyoxylate cycle, and (3) the
380 reductive TCA cycle. However, for wild-type microorganisms, the first two pathways cannot
381 be exploited for SA production because SA itself is an intermediate in the pathways, whereas
382 the last pathway allows the accumulation of SA in the cell (Nghiem et al., 2017).

383 Furthermore, metabolic pathways to SA by either the TCA or the glyoxylate cycle release
384 CO₂ and therefore only four of the six carbons in the glycolysis pathway are preserved. In
385 contrast, the reductive TCA pathway can produce two four-carbon SA molecules from one
386 six-carbon glucose molecule by incorporating CO₂. Therefore the anaerobic pathway is
387 preferred for SA production (Saxena, Saran, Isar, & Kaushik, 2016). Most anaerobic and
388 facultative anaerobe microorganisms ferment carbohydrates to a mixture of acids containing
389 mainly acetic, lactate, formate and succinate as the final products of the metabolism (Van Der
390 Werf, Guettler, Jain, & Zeikus, 1997). Phosphoenol pyruvate (PEP) is the key intermediate in
391 the TCA cycle, i.e. it can be converted to pyruvate and consequently to acetate, formate etc.,
392 or to oxaloacetate (OAA) then malate, fumarate and succinate (Figure 4) (Agarwal, Isar,
393 Meghwanshi, & Saxena, 2007; Macy, Ljungdahl, & Gottschalk, 1978).

394 The reductive TCA cycle, also identified as the fermentative pathway, occurs under anaerobic
395 conditions where the enzyme phosphoenolpyruvate carboxylase (PEPC) fixes CO₂ into a
396 molecule of phosphoenolpyruvate (PEP), converting the PEP to oxaloacetate (OAA).
397 Subsequently, the fermentative pathway converts OAA into malate, fumarate and finally
398 succinate. Therefore 2 moles of NADH and a mole of CO₂ are needed for every mole of SA
399 produced from PEP (Figure 5).

400 Even though the reductive TCA cycle can potentially generate two moles of SA from
401 a mole of glucose - instead of one as in the oxidative TCA cycle (where 2 moles of CO₂ are
402 fixed in the reductive pathway) - the maximum theoretical production is limited by the lack

403 of a reductant e.g. H₂ or NADH (see Figure 5) (K.-K. Cheng et al., 2012; McKinlay et al.,
404 2007; Vemuri, Eiteman, & Altman, 2002). Whilst engineered *Escherichia coli* is currently
405 used for commercial SA production (Nghiem et al., 2017), naturally occurring wild-type *E.*
406 *coli* produces SA as a minor fermentation product at an average of only 0.12 mol/mol (Van
407 Der Werf et al., 1997) and up to no more than 0.2 mol of succinate per mol of glucose
408 consumed (Chatterjee, Millard, Champion, Clark, & Donnelly, 2001).

409 The major wild-type SA producers are bacteria (*Actinobacillus succinogenes*,
410 *Mannheimia succiniciproducens*, *Ruminococcus flavefaciens*, *Anaerobiospirillum*
411 *succiniciproducens*, *Corynebacterium crenatum*), fungi (*Aspergillus fumigatus*, *Aspergillus*
412 *niger*, *Penicillium viniferum*, *Byssochlamys nivea*, *Lentinus degener*, and *Paecilomyces*
413 *varioti*) and the yeast *Saccharomyces cerevisiae* (Beauprez et al., 2010; Jiang et al., 2017;
414 Nghiem et al., 2017) (Table 4). Fungi and yeasts produce SA as a by-product which they can
415 synthesize under both aerobic and anaerobic conditions. However, production of SA seems
416 more favorable with bacteria than with fungi because succinate has to cross two membranes
417 (mitochondrial and cytoplasmic) in fungi rather than only one in bacteria in order to be
418 excreted (Roa Engel, Straathof, Zijlmans, Van Gulik, & Van Der Wielen, 2008).

419 *Actinobacillus succinogenes* and *Anaerobiospirillum succiniciproducens* are known to be the
420 highest SA producers, with the former recognized as the most promising for industrial scale
421 SA production (Carvalho, Roca, & Reis, 2016). *M. succiniciproducens*, *B. fragilis* (very
422 recently screened wild-type microorganisms) and *A. succinogenes* can utilize various carbon
423 sources, including carbon dioxide, to produce SA (Beauprez et al., 2010). Specifically, *A.*
424 *succinogenes*, among other carbon sources can use glycerol, maltose, lactose, fructose,
425 xylose, arabinose etc. (Bechthold, Bretz, Kabasci, Kopitzky, & Springer, 2008). *A.*
426 *succinogenes* is a highly versatile host since (I) it can efficiently ferment various cheap feed-
427 stocks (even mixed) while fixating CO₂ (Guettler, Rumler, & Jainf, 1999), (II) it can resist to

428 high glucose (S. K. C. Lin, Du, Koutinas, Wang, & Webb, 2008) and SA (Guettler et al.,
429 1999) concentrations, (III) it is non-pathogenic, (IV) it has the ability to form biofilms and
430 (V) can tolerate inhibitors from pretreatment e.g. furfural and HMF (Dessie et al., 2018; Diaz,
431 Blandino, & Caro, 2018; Van Der Werf et al., 1997).

432 3.3.3.2. Engineered microorganisms. Natural SA producing microorganisms are limited by a
433 series of auxotrophies (cofactors and/or nutrients) which inevitably increase the number of
434 required substrates and the production cost (Beauprez et al., 2010). Several metabolic
435 engineering strategies have therefore been explored to take account of the need to channel
436 microbial pathways to SA and divert fluxes away from alternative products (McKinlay et al.,
437 2007). However, genetic tools to modify the host must be developed (Beauprez et al., 2010)
438 and the currently applied strategies can be grouped in four categories: (1) deletion of
439 pathways involved in accumulation of by-product, (2) amelioration of pathways that lead to
440 SA synthesis, (3) enhancement of substrate transport, and (4) optimization of cofactor
441 metabolism. Recombinant *Saccharomyces cerevisiae* and *Escherichia coli* are model
442 engineered microbes both used for commercial SA production (Table 1).

443 *S. cerevisiae* can produce SA either anaerobically or aerobically but the natural fermentative
444 pathway does not efficiently produce SA (Nghiem et al., 2017). The most important
445 advantage offered by engineered *S. cerevisiae* is the ability to produce SA under low pH
446 fermentative conditions. Such tolerance reduces the costs and efforts to neutralize pH during
447 fermentation (Raab, Gebhardt, Bolotina, Weuster-Botz, & Lang, 2010). In fact, low pH
448 fermentation has been reported to be a key factor for an economic and sustainable SA
449 production (Cok, Ioannis, Alexander L., & Martin K., 2013). However, the metabolic flux of
450 *S. cerevisiae* is different and therefore, for an efficient SA production, aeration during
451 fermentation must be applied (Mazière, Pepijn, García, Luque, & Len, 2017).

452 *E. coli* is a very well-known engineered bacterium that can efficiently grow on a
453 restricted medium and thus reduce the number of required nutrients compared with naturally
454 occurring microbes (Beauprez et al., 2010). Nonetheless, *E. coli* is sensitive to high acetate
455 concentrations, which is typically found in cellulosic streams (Nghiem et al., 2017), lowering
456 therefore the potential application of this host for second generation biomasses. Furthermore,
457 major SA productivity of *E. coli* takes place through a dual-phase strategy where the
458 produced CO₂ is released and wasted (Vemuri et al., 2002). This factor also influences capital
459 and operating costs since oxygen must be supplied for *E. coli* to grow (Pateraki et al., 2016).
460 Metabolic engineering manipulation of *A. succinogenes* where recently performed to
461 overcome the limits of the natural strain in by-product formation, auxotrophy, pH tolerance
462 and product inhibition (Dessie et al., 2018). Even though manipulations of the *A.*
463 *succinogenes*' metabolism is possible (Joshi, Schindler, McPherson, Tiwari, & Vieille, 2014),
464 the results are not effective as those obtained for other metabolically modified SA-producing
465 strains (Dessie et al., 2018). However, metabolic engineering strategies of *A. succinogenes*
466 are still at its infancy (Dessie et al., 2018; Pateraki et al., 2016). To conclude, *S. cerevisiae*, *E.*
467 *coli*, and *A. succinogenes* amongst the best candidates for large-scale SA production. A
468 summary of the advantages and disadvantages of their use can be found in the supplementary
469 material Table S1.

470 **3.4. Separation of succinic acid**

471 Depending on the feedstock, pretreatment and fermentation processes, non-desired
472 by-products such as lactic acid, acetic acid and ethanol may be generated together with SA.
473 These by-products must be separated from SA since they not only reduce the purity (and thus
474 the value) of the SA stream but they also may act as inhibitors of SA production (McKinlay
475 et al., 2007). For example, pretreating lignocellulosic material could release acids (acetic,

476 formic, levulinic), furan derivatives (furfural, 5- hydroxymethylfurfural (HMF)) and phenolic
477 compounds, such as vanillin, phenol, and p-hydroxybenzoic acid (Palmqvist & Hahn-
478 Hagerdal, 2000). Separation of SA from the fermentation broth is estimated to account for
479 more than 60% to 80% of the total costs and represents the most important source of
480 expenses in SA production (Bechthold et al., 2008). No single specific method has been
481 identified as the best for SA separation and purification, however, the review of K. K. Cheng
482 et al. (2012) on the subject, reported direct crystallization, precipitation, membrane
483 separation, extraction, chromatography and *in situ* separation as major techniques for SA
484 separation. SA is hydrophilic and has a high boiling point. After fermentation, the next step is
485 usually the separation of microbial cells from the liquid phase by using membrane
486 technologies or centrifugation. Subsequently, SA is separated from the other compounds in
487 the fermentation broth and finally purified. Therefore several techniques are typically
488 integrated to separate SA from the fermentation broth. A high purity of the SA stream is
489 required to produce biopolymers, such as those based on butylene succinate (Alexandri et al.,
490 2019), and the polymerization process is inhibited by fermentation by-products such as acetic
491 and formic acid (López-Garzón & Straathof, 2014).

492 3.4.1. Membrane separation

493 Membranes play a fundamental role in purifying fermentation products, not only downstream
494 but also during product formation itself (i.e. membrane bioreactor), and potentially lower the
495 total number of unit operations needed to manufacture SA (Alexandri et al., 2019). Cao et al.
496 (2018) investigated the synthesis and separation of SA from glucose and CO₂ with a
497 membrane bioreactor while applying *A. succinogenes* as a production host. Up to 97%
498 separation and recycling of *A. succinogenes* was obtained with a ceramic membrane of 300
499 kDa pore size and 0.16-m² surface area. This pore size was found to be the best option of the

500 range studied, i.e. 0.2 μm , and 300, 150 and 50KDa. Cao et al. (2018) used NaOH to buffer
501 the pH during fermentation and consequent organic acid formation instead of the traditional
502 MgCO_3 . The latter is reported to be unattractive for large-scale SA production due to its cost
503 (J. Li et al., 2011), difficult solubilization and the need to handle the large amounts of CaSO_4
504 that accumulate in the SA extraction process. The use of NaOH simultaneously enables
505 exogenous CO_2 capture instead of the (by microorganisms) preferred intrinsic CO_3^{2-} from
506 MgCO_3 (Cao et al., 2018a). On the other hand, high Na^+ concentrations are toxic and
507 therefore the applied membrane in the bioreactor also separates Na^+ along with SA. Under
508 the studied conditions of 0.4 bar CO_2 and NaOH as buffer, the SA production from repeated
509 batch membrane bioreactors ranged from a product concentration of 27.8 to 30.4 g/L and a
510 productivity of up to 1.39 g/L/h, which identified a concentration limit for SA accumulation
511 at which *A. succinogenes* was inhibited. Only partial SA purification was performed after
512 lowering the pH to 2.0 and recovering unconsumed nitrogen with a spiral wound NF270
513 membrane. The final SA yield and purity were not investigated, but with this membrane
514 bioreactor and *in situ* separation of salts, SA productivity and CO_2 fixation were 1.39 g/L/h
515 and 0.52 g/L/h, respectively, which was an increase of 39.2% compared to batch culture.
516 Lubsungneon et al. (2014) exploited nanofiltration (NF) coupled with vapor permeation
517 (VP)-assisted esterification to purify SA from glucose-based fermentation broth. After pH
518 adjustment to 2.0 with H_2SO_4 (to obtain organic acids in non-dissociated form – Figure 6),
519 the *A. succinogenes* ATTC 55618 microorganisms were removed by centrifugation and a
520 subsequent cross-flow microfiltration unit (MF), which achieved up to 80% protein removal
521 (to 0.48 g/L). The authors reported membrane fouling by macromolecules and protein
522 adsorption as one of the main issues during the process. The final step was SA recovery
523 carried out through NF and subsequent VP-assisted esterification. Diananofiltration with a
524 tubular membrane module (membrane surface area of 55 cm^2 made of a selective layer of

525 TiO₂ coated on the supportive α -Al₂O₃ layer) was used to separate organic acids from the
526 fermentation broth. The subsequent SA recovery yield (in the retentate) was up to 98% of the
527 original concentration detected in the fermentation broth before separation. The filtration was
528 carried out over 205h and under a pressure of 400 KPa, at a pH equal to 2.0 and temperature
529 of 30.5 °C. To separate SA from the other organic acids, Lubsungneon et al. (2014) applied a
530 VP-assisted esterification. Permeate was concentrated with a rotary evaporator and then SA
531 was esterified with ethanol to produce diethyl succinate (highest reaction rate 11.13 g/L/h at
532 80-95°C, equilibrium time reached in 60 to 90 min). The reaction also generated water and
533 highly pure diethyl succinate was obtained through water removal (dehydration) which
534 consequently shifts the equilibrium towards product formation. Afterwards vacuum
535 distillation was applied, followed by ethanol dehydration (in VP with a NaA zeolite
536 membrane) and recirculation. The diethyl succinate was then hydrolyzed to obtain highly
537 pure SA as the final product.

538 Electrodialysis is a technology based on altering the concentration of electrolytes in a
539 solution and transporting them to another solution that is separated from the first solution by
540 an ion-exchange membrane. The driving force is the applied electrical potential. A key study
541 on SA recovery from a fermentation broth through electrodialysis was done in US Patent No.
542 5,143,834 (1992). In this study, *A. succiniciproducens* was grown on corn steep liquor and
543 CO₂ and SA purification was performed as follows: (1) the cells and succinate (as well as the
544 other ions) were separated from uncharged compounds e.g. proteins and from the water by
545 electrodialysis (viable cells were recycled). Subsequently, (2) the obtained sodium succinate
546 was converted through a water-splitting electrodialysis to NaOH and SA, and finally, (3) the
547 aqueous SA solution was subjected to an ion exchange purification process to obtain 60 and
548 80 wt% SA yield and purity, respectively. In contrast, Prochaska et al. (2018) explored
549 reactive extraction associated with bipolar membrane electrodialysis (EDBM) and obtained

550 up to 90 wt% SA extraction from a glycerol fermentation broth. The actual post-fermentation
551 broth (pH=8.5) was centrifuged for biomass removal, then filtrated with ultrafiltration, and
552 finally subjected to EDBM. The two major advantages of EDBM are: (1) the simultaneous
553 separation of cells (that can be recycled) and SA, with no need to incorporate a cell
554 separation step; and (2) NaOH is economically and theoretically completely recyclable
555 (Yedur, Berglund, & Dunuwila, 2001). Major disadvantages are the potential inhibition by
556 Na⁺ in the fermentation step (Cao et al., 2018a), potential membrane fouling (Szczygiełda,
557 Antczak, & Prochaska, 2017), the robustness and lifetime of EDBM (Jansen & van Gulik,
558 2014), and the high capital and operative costs (K. K. Cheng et al., 2012). However, some
559 recent studies have claimed that electro dialysis is cost-effective and can be used as a process
560 step for SA recovery in a large-scale fermentation plant (Fu et al., 2014; Szczygiełda et al.,
561 2017).

562 Overall, membrane technologies are key components in the preliminary downstream
563 steps (such as for cell and macromolecule separation) of fermentation-based SA production
564 (Jansen & van Gulik, 2014). Moreover, the toxic Na⁺ can be separated *in situ* when using
565 cheaply available NaOH to buffer the fermentation broth. The major problem associated with
566 membrane application is that filtration of post fermentation broths is based on pressure-driven
567 membrane techniques, which may lead to membrane fouling phenomena (Prochaska et al.,
568 2018). However, the physicochemical processes that occur in membrane fouling are rather
569 well-known (C. Wang et al., 2012) and several cleaning techniques have been established at
570 industrial scale (Shi, Tal, Hankins, & Gitis, 2014). Due to the relevance of membrane
571 technologies in SA separation, methods to control permeate flux decline and therefore also
572 membrane fouling (one of the biggest problems in membrane technology) are worth to be
573 mentioned. Actions made to reduce membrane fouling are related to (I) the selection of
574 appropriate membrane and modulus with specific characteristics, (II) selection of the

575 operating parameters, such as shear stress, permeate flux, pressure and temperature and
576 finally, (III) adjustment of the feed-water composition with respect of foulant components,
577 pH and ionic strength. In SA production membranes can be used in different steps,
578 consequently requiring different sets of modules, membranes, and operating conditions. For
579 removal of large-molecules when using UF a factor to control fouling is to ensure an
580 operating pressure below the so-called threshold pressure, while in SA separation with NF,
581 the isoelectric point of the membrane and the pH of the solution are key factors for an
582 effective separation (W. Zhang, Luo, Ding, & Ja, 2015).

583 3.4.2. *Precipitation*

584 Precipitation with $\text{Ca}(\text{OH})_2$ or CaO is a traditional and commercialized method for isolation
585 of organic acids from fermentation broths. The process consists of precipitating calcium
586 succinate by adding calcium ion sources directly into the fermentation broth. However, most
587 specialty and commodity-based SA commercial products require free SA (Bechthold et al.,
588 2008). Therefore, after calcium succinate recovery by filtration, SA is released by adding
589 H_2SO_4 and subsequently purified with active carbon absorption or ion exchange. SA
590 concentration is finally achieved by evaporation and then crystallization (US Patent No.
591 5,168,055, 1992). In the patented method (US Patent No. 5,168,055, 1992), the authors
592 separated SA from an *A. succiniciproducens* fermentation broth and obtained 94.2 % purity.
593 More recently, Alexandri et al. (2019) compared different methods for SA separation,
594 including calcium precipitation. The broths were from (1) a fermented synthetic media
595 exposed to *A. succinogenes* and (2) from a filtered spent sulfite liquor as feedstock (a by-
596 product of the paper industry) exposed to *Basfia succiniciproducens*. The SA yields from
597 calcium precipitation were 8.1% and 13.1% (g dry weight of recovered SA/g dry weight of
598 SA in the initial liquid medium) and the purities were 87.2 and 81% (g dry weight of

599 recovered SA per/ g total dry weight of recovered sample) for the two fermentation broths,
600 respectively. The SA purity from calcium precipitation was slightly lower than that reported
601 in the aforementioned patent (US Patent No. 5,168,055, 1992) (81 and 94%, respectively),
602 but the former was from an industrial waste which is a more complex feedstock than that
603 used in the patented work, i.e. glucose. Note that the yield is the same as that reported by
604 Luque et al. (2009) who achieved a yield of 13% (g dry weight SA recovered crystals per/g
605 initial dry weight of SA in the fermentation broth) by applying calcium precipitation in a
606 fermentation broth of a wheat flour hydrolysate medium exposed to *A. succinogenes*. Even
607 though the application of this well-known precipitation method with Ca(OH)₂ or CaO would
608 reduce the potential risks of establishing a different technology for large-scale production of
609 SA, a large number of reagents (not repeatedly usable) is needed, which consequently
610 produce large quantities of solids and slurry e.g. calcium sulfate (produced in equal amounts
611 to SA) (Zeikus, Jain, & Elankovan, 1999). These solids and slurries must be treated and
612 disposed of, which inevitably contributes to an increase in the operational costs. Furthermore,
613 the process is reported as being neither rapid nor energy efficient (Hestekin, Snyder, &
614 Davison, 2002).

615 Separation based on precipitation can also be achieved by using ammonia which
616 reacts with SA to produce di-ammonium succinate. The following addition of sulfuric acid in
617 the fermentation broth leads to SA precipitation and ammonium sulfate formation.
618 Subsequent purification of SA is achieved by addition of methanol and recrystallization. The
619 reagents can be recovered by pyrolyzing the by-product, ammonium sulfate, then
620 regenerating ammonia and ammonium bisulfate. Yedur et al. (2001) patented a method based
621 on di-ammonium succinate in which by-products are nearly completely regenerated. In this
622 process, pH is kept neutral at 8 with ammonium cations, and the di-ammonium succinate
623 formed is then reacted with ammonium bisulfate or with sulfuric acid at very low pH ranges

624 (1.5-1.8). The reaction leads to succinic acid and ammonium sulfate formation. Reagent
625 regeneration was carried out at about 300°C by cracking the ammonium sulfate. The
626 maximum final reported SA yield was 93.3 wt%. The advantage of using ammonia
627 precipitation is reduced waste formation and the fact that the reagents are to a large extent
628 reusable. The main drawbacks are the high energy consumption for reagent regeneration and
629 corrosion of equipment due to the low pH (K. K. Cheng et al., 2012). It is worth highlighting
630 that this technology is currently used by Myriant in a 14kt/y SA plant in the United States
631 (Table 1).

632 3.4.3. Crystallization

633 Direct crystallization either from acidification or using ion exchange resins has provided
634 better performances than traditional calcium precipitation (Alexandri et al., 2019; Luque et
635 al., 2009). Luque et al. (2009) separated SA by vacuum distillation-crystallization from two
636 synthetic broths and one real fermentation broth from which 35.7 g/L of SA were produced
637 from a wheat flour hydrolysate medium exposed to *A. succinogenes*. After removal of
638 biomass and impurities from the fermentation broth by centrifugation, membrane filtration
639 and activated carbon, separation was applied using vacuum distillation (at 60°C) and
640 subsequent crystallization (at 4°C) under controlled pH conditions (kept at 4.2) with
641 hydrochloric acid. Selective SA crystallization from the fermentation broth was achieved by
642 exploiting the different solubility of organic acids, which resulted in a purity of 45% (g SA
643 crystals per/ g total acid crystals) and yield of 28% (g dry weight SA recovered crystals per/g
644 initial dry weight of SA in the fermentation broth). This result represented a 50% and 87%
645 improvement in purity and yield, respectively, compared to a calcium precipitation process.
646 Much better results were reported from mock hydrolysates used, to obtain up to 97 and 75
647 wt% purity and yield, respectively. Similar purity but much higher yield was obtained with

648 direct crystallization (60-75 wt%) compared to calcium precipitation (20-27 wt%) of mock
649 hydrolysates (Luque et al., 2009). Currently, the highest SA recovery purity and yield values
650 from direct crystallization were reported by S. K. C. Lin et al. (2010). These authors exposed
651 a wheat hydrolysate medium to *A. succinogenes* and reported up to 99 and 89.5 wt% purity
652 and yield, respectively, as a result of applying a resin-based vacuum distillation-
653 crystallization method. Interestingly, Alexandri et al. (2019) in their comparative study of
654 different downstream separation processes, identified vacuum evaporation, cooling rate and
655 the previously reported pH (S. K. C. Lin et al., 2010) as the key factors for a successful
656 crystallization process. Vacuum evaporation enabled acetic and formic acid removal (which
657 prevent SA crystallization), while pH and cooling rate affected the form in which SA was
658 obtained (dissociated or non-dissociated – Figure 6) and the crystal formation process,
659 respectively. Optimal pH for direct crystallization of SA was reported at pH 2.0, where SA is
660 non-dissociated and can be selectively crystallized with higher yields (S. K. C. Lin et al.,
661 2010). Under this pH condition, only 3 to 4% of SA is solubilized, while the other organic
662 acids e.g. acetic acid and lactic acid are fully water miscible (S. K. C. Lin et al., 2010).
663 However, Alexandri et al. (2019) reported higher purity and yield by means of ion-exchange
664 resins compared to just lowering the pH to 2.0 (with H₂SO₄). Specifically, after vacuum
665 distillation and crystallization, the SA yield and purity from a real fermentation broth were,
666 respectively, 38.6% and 6.7% higher from cation-exchange than from pH decrease (79%
667 yield and 96% purity from cation-exchange and 57% yield and 90% purity from lowering the
668 pH). The lower values in the work of Alexandri et al. (2019) compared to the values reported
669 in the work of S. K. C. Lin et al. (2010), i.e. 99% yield and 89.5% purity, were attributed to
670 the higher complexity of the spent sulfite liquor used by the former authors instead of the
671 wheat hydrolysates used by S. K. C. Lin et al. (2010). High SA purity with less than 0.09
672 mol% of impurities is required for polymer synthesis (Alexandri et al., 2019). Even though

673 direct crystallization enables a rather good yield of SA crystals to be obtained without many
674 unit operations (Q. Li, Wang, et al., 2010), the purity is low since other compounds in the
675 fermentation broth can crystallize with SA (Q. Li, Wang, et al., 2010; Thuy, Kongkaew,
676 Flood, & Boontawan, 2017). Therefore crystallization is used and recommended as the final
677 step to purify SA (K. K. Cheng et al., 2012).

678 3.4.4. Extraction

679 Salting out is a potential SA separation method which simultaneously removes cells and
680 proteins from the fermentation broth and thus centrifugation and filtration steps can be
681 omitted (Sun, Yan, Fu, & Xiu, 2014). The process is based on the interaction between
682 electrolyte and non-electrolyte compounds, where (the non-electrolyte) would become less
683 soluble under high salt concentration conditions and as a consequence precipitates out. The
684 method allows the extraction of hydrophilic compounds, such as some organic solvents, from
685 an aqueous solution. For example, Sun et al. (2014) investigated SA separation from a real
686 (glucose-based fed-batch fermentation) and a synthetic fermentation broth by means of
687 salting out and subsequent crystallization. The salting out mechanism for SA separation is
688 governed by factors such as salt and solvent concentrations and SA dissociation form. In their
689 study, Sun et al. (2014) first lowered the fermentation broth pH (from *A. succinogenes* on
690 spent sulfite liquor feedstock) to 3.0 with H₂SO₄, then added acetone (30%) and (NH₄)₂SO₄
691 (20%) to induce SA partitioning. The SA-acetone phase was purified with activated carbon
692 which was then removed by filtration under vacuum evaporation to enable acetone recovery.
693 Subsequently, crystallization was carried out at pH 2.0 and 4°C for 24h. Finally, SA crystals
694 were washed and dried at 70°C for 12h. SA yield and purity were 65% and 97%,
695 respectively, from the synthetic fermentation broth, whereas the values for yield and purity
696 were 65% and 91%, respectively, from the actual fermentation broth, and 99.03% of the cells

697 and 90.82% of the proteins were removed by direct salting out (without any preceding
698 filtration steps). The same process was investigated by Alexandri et al. (2019) in their
699 comparative separation and purification study (previously mentioned) which achieved 50%
700 and 86% yield and purity, respectively. Even though extraction can lead to high SA purity
701 through simultaneously separating cells and proteins from the fermentation broth and thus
702 replacing for centrifugation and/or filtration steps, the yield is limited. Furthermore, if xylose
703 is present in the fermentation broth, it will crystalize with SA and lower the final product
704 purity. Therefore, since lignocellulosic material (which is rich in xylose) has been identified
705 as the future most important feedstock for SA production, a combination of salting out and
706 crystallization for product recovery would potentially not be a successful strategy to separate
707 and purify the SA if the fermentation process is not highly controlled to avoid the presence of
708 residual xylose.

709 To summarize, membrane separation and crystallization emerge as promising
710 techniques for SA production from biomass fermentation. However, several combinations of
711 the mentioned separation techniques could be potentially more efficient for SA production.

712 **4.0. Perspective on process alternatives**

713 Every process and unit operation candidate potentially used for SA production has its own
714 merits and limits. Different feedstock sources and host microorganisms will (I) require
715 different pre-treatments, (II) have different sensitivity to formation of fermentation process
716 inhibitors, (III) require a specific set of fermentation conditions, (IV) have specific by-
717 product formation patterns and (V), require a different downstream technique or combination
718 of techniques.

719 Companies producing SA from biomass fermentation at commercial scale targets
720 specialized markets and the production is far from large-scale bulk SA synthesis. In addition,

721 every company producing SA uses its own specific process which is different from the others
722 (supplementary material Figure S1). Other options and potential processes have been also
723 proposed (Klein et al., 2017; J. Li et al., 2011; Posada, Rincón, & Cardona, 2012).

724 Recently, Garg, Woodley, Gani, & Kontogeorgis, (2019) carried out an extensive
725 study which proposes a systematic methodology that integrates process synthesis-
726 intensification and it is capable of providing tools to evaluate a large search space of process
727 alternatives. Such methodology has been applied to produce SA from a co-fermentation with
728 CO₂, obtaining a base case process alternative from a superstructure optimization approach,
729 which was applied for process intensification. Thus, three more economic and sustainable
730 intensified options for SA production, compared with the current processes, were developed
731 (Figure 7). The optimized processes highlight the key role of membranes used both for the
732 synthesis (membrane bioreactor) and in the downstream, and also put emphasis on the use of
733 activated carbon and crystallization. However, the study of Garg et al. (2019) is based on first
734 generation biomasses and thus, it does not include biomass pretreatment.

735 Therefore, more studies need to be done to find an optimal processing pathway for
736 sustainable production of SA using a systematic approach. The lack of systematic studies on
737 how operation conditions and equipment design affect the operating cost, with regard to fixed
738 productivity, production and purity of SA, prevents the establishment of a standard
739 technology for large-scale production in an economically feasible way (Figure 8). In order to
740 carry out systematic studies, a clear view of the best candidates in every step of the succinic
741 acid production process is needed. In terms of availability, cost, potential, efficiency and
742 technological development, some major candidates can be identified:

743 **(1) Feedstock.** Valuable feed-stocks are glycerol, cheese whey, corn stover and other
744 cereal crop residues, sugarcane molasses and bread and bakery wastes. Glycerol and

745 cheese whey are waste streams and no pretreatment is required before fermentation,
746 consequently reducing greenhouse gasses (GHG) emissions (EC-DGE, 2015).
747 Furthermore, both cheese whey and glycerol could be part of an integrated biorefinery
748 system; valuable proteins could be extracted from the former prior to fermentation to
749 SA (C. S. K. Lin et al., 2013), while glycerol could be combined with biodiesel
750 production (Loureiro da Costa lira Gargalo, 2017). However, depending on the host
751 microorganism, a nutrient supply may be required to optimize the fermentation of
752 both cheese whey and glycerol (Carvalho et al., 2014; Mansouri et al., 2013)
753 inevitably rising the operative costs. Co-substrate fermentation, such as glycerol with
754 Kraft paper by-product (Carvalho et al., 2014) and cheese whey with corn steep liquor
755 (Lee, Lee, Hong, & Chang, 2003) could lower the costs of nutrient supply. High SA
756 yields were also reported from corn stover and other crop residues. These feed-stocks
757 are abundant and have less geographical limitations. However, harsh pretreating
758 condition are needed to be efficiently fermented. Bread and bakery waste were also
759 found to be optimal for SA production and provide all the required nutrients after
760 blending and hydrolysis and fungal autolysis as pretreatment (Leung et al., 2012; A.
761 Y. Z. Zhang et al., 2013).

762 **(2) Pretreatment.** Efficient and economic pretreatment methods allow extraction of
763 carbon and nourishment from the feedstock while simultaneously avoiding the
764 presence of fermentation inhibitors. While glycerol and cheese whey do not need
765 pretreatments, and bakery and molasses only demand simple pretreatments,
766 lignocellulose feed-stocks (corn stover, sugarcane, wheat flour by-products) pose
767 additional challenges due to energy consuming and wastewater production
768 pretreatment methods and the formation of fermentation inhibitors. However, some
769 promising methods can efficiently solubilize up to 90% sugars (Chandel et al., 2018)

770 and successfully remove fermentation inhibitors (Salvachúa et al., 2016), leading to
771 high SA yields (Table 4). Valuable pretreatment methods include a thermochemical
772 step with H₂SO₄ or H₂O₂ and especially an enzymatic step (Table 2). Deacetylation
773 with NaOH can also be done to limit the formation of inhibitory compounds
774 (Salvachúa et al., 2016).

775 **(3) Fermentation.** *A. succinogenes*, *S. cerevisiae* and *E. coli* are the most promising and
776 investigated SA producers. Engineered *S. cerevisiae* can efficiently produce SA at
777 low pH saving energy and cost in the downstream, while *E. coli* offers high
778 conversion efficiency and requires limited nutrient supply, however, both *S.*
779 *cerevisiae* and *E. coli* require aeration for efficiently produce SA. *A. succinogenes*
780 captures CO₂ to produce SA, can use various carbon sources rather efficiently, even
781 those derived from crude renewable sources, and can adequately tolerate inhibitors.
782 However, *A. succinogenes* may need nutrient supplies such as nitrogen (Pateraki et
783 al., 2016), and its biochemistry still needs to be fully understood (Beauprez et al.,
784 2010), which limits its potential for engineering manipulation. Another advantage of
785 *A. succinogenes* is the natural ability to create biofilms, which enables chemical
786 reactions capable of compensating the lack of cofactors in the feedstock (Bradfield &
787 Nicol, 2016). Biofilm shows also potential to detoxify inhibitory compounds in
788 fermentation (Bradfield et al., 2015). Continuous systems, different from batch, can
789 be operated with immobilized cells. Continuous operation typically has lower yields
790 compared to batch and fed-batch but higher productivity, less sterilization times and
791 lower contamination risks. SSF in a continuous bioreactor system with immobilized
792 cells emerges as very promising for large-scale production of succinic acid.

793 **(4) Downstream.** The downstream of SA production can be divided into some major
794 steps for which different technologies can be efficiently applied.

- 795 • *Cell separation.* Centrifugation and/or microfiltration are typically used to separate
796 cells from the fermentation broth (Alexandri et al., 2019). Membrane bioreactor in a
797 continuous fermentation system and with *in situ* cell recycle and inhibitors removal
798 (Na^+) (Cao et al., 2018a) is highly potential (Ferone et al., 2019).
- 799 • *Concentration, clarification and impurity removal.* This step is done to concentrate
800 SA and remove colors and impurities. Processes typically adopted are: evaporation for
801 removal of water or acetic acid, solvent extraction, adsorption with activated carbon,
802 centrifugation or ultrafiltration (K. K. Cheng et al., 2012). Adsorption through
803 activated carbon comes out as a key step to remove colorants (Garg et al., 2019) while
804 for protein removal, ultrafiltration has been reported to be more efficient than
805 centrifugation (C. Wang et al., 2013) and has been widely reported as economic, low
806 energy consuming and easily scalable (Chaiklahan, Chirasuwan, Loha, Tia, &
807 Bunnag, 2011; Shao, Hou, & Song, 2010; C. Wang et al., 2012). However, membrane
808 fouling can be severe in membrane separation (Lubsungneon et al., 2014) and
809 inexpensive membrane fouling removal techniques need to be developed.
- 810 • *Succinic acid separation.* Several technologies are used to separate SA, for example:
811 precipitation, absorption (e.g. ion exchange resin, zeolite), reactive extraction, bipolar
812 membrane electrodialysis, direct crystallization and nanofiltration. All these
813 technologies have different potentials. Direct crystallization is reported to be a better
814 solution than traditional precipitation (Alexandri et al., 2019; Luque et al., 2009), but
815 the yield is low and impurities could crystallize with SA (K.-K. Cheng et al., 2012).
816 Bipolar membrane electrodialysis has great potential to separate not only SA but also
817 proteins and to recycle cell and titrant (US Patent No. 5,143,834, 1992; Yedur et al.,
818 2001). Even though recent studies suggested bipolar membrane electrodialysis as an
819 efficient and economical solution for large-scale SA production (Fu et al., 2014;

820 Szczygiełda et al., 2017), doubts about its robustness and lifetime remain (Jansen &
821 van Gulik, 2014; Szczygiełda et al., 2017). Nanofiltration is a rather new technology
822 with unexplored potential for SA separation. High SA yields have been reported for
823 use of NF, but fouling can be severe if macromolecules are not removed beforehand
824 (Lubsungneon et al., 2014), and to date SA separation from other impurities has only
825 been partially achieved (Choi, Fukushi, & Yamamoto, 2008). Therefore further
826 studies on nanofiltration selectivity to SA need to be conducted.

827 • *Succinic acid purification and dried crystal production.* The final step is product
828 isolation and dried crystals formation. Crystallization is a major technology to
829 produce pure SA crystals. High purity is necessary for polymers synthesis (Alexandri
830 et al., 2019).

831 The arduous task of identifying an optimal route to cost-effective and sustainable
832 production of SA could be partially tackled by an integrated biorefinery system that combines
833 production of SA and other building block chemicals of significant value. For example,
834 Loureiro da Costa lira Gargalo (2017) investigated the potential of integrating SA and
835 biodiesel production, and reported that SA production is among the top three solutions for
836 potentially valorizing glycerol: adding SA production from glycerol carries less economic
837 risk and improves the environmental sustainability of the biodiesel production process. In this
838 sense, economic risk assessment of process alternatives from different feed-stocks would be
839 essential as a decision-support tool towards process implementations for SA production
840 (Mansouri et al., 2019).

841 **5.0. Conclusions**

842 Succinic acid is currently an established platform chemical that forms the basis for producing

843 several commercially valuable products and chemicals. Industrially produced SA, including
844 that derived from second generation biomasses, is entering the market. However,
845 environmentally sustainable bulk SA production requires major integration between different
846 feed-stocks and separation technologies and also requires production of other products in an
847 integrated biorefinery system; thus, systematic studies are needed in this direction. Some key
848 factors for a competitive SA production from biomass fermentation are identified in this
849 review:

- 850 • Many studies and the SA-producing companies themselves are focusing on first
851 generation biomasses for SA production. However, various second generation
852 biomasses show great potential and superior sustainability indicators compared to first
853 generation biomasses. Important feed-stocks are: corn stover, wheat flour by-
854 products, sugarcane molasses, glycerol, cheese whey and bread/bakery wastes.
855 However, important second generation feed-stocks, such as the lignocellulosic one,
856 may require harsh pretreatments to be used. On the other hand, co-fermentation of
857 strategically mixed feed-stocks can compensate auxotrophies. In each case, CO₂
858 should be fed alongside.
- 859 • While glycerol and cheese whey do not need elaborated pretreatment and
860 bread/bakery wastes require only simple operation, lignocellulosic feed-stocks must
861 undergo more complex pretreating conditions. Among the various pretreatments used
862 for the lignocellulosic matter, thermochemical steps with H₂SO₄ or H₂O₂ followed by
863 an enzymatic pretreatment step seem to offer better performances for SA production.
864 In addition, deacetylation during pretreatment can remove inhibitory compounds from
865 lignocellulosic biomasses, consequently improving the SA yields and potentially
866 reducing the separation steps in the downstream.

867 • Simultaneous saccharification and fermentation (SSF) reactors have shown several
868 advantages compared to other reactor configurations, including better performance
869 when fermenting lignocellulosic biomasses. Most of the studies and the companies
870 themselves use batch and fed-batch to produce SA substantially focusing on
871 maximizing the yield from (among others) simple feed-stocks. However, continuous
872 fermentation offers several important advantages such as cell immobilization.
873 Simultaneous saccharification and fermentation in a continuous immobilized cell
874 bioreactor, with *in situ* cell recycle has been reported to increase the biomass
875 concentration and thus increase the overall SA productivity. At the same time the
876 capital and operative costs would be reduced since a reduced dilution is required,
877 consequently reducing the needed reactor size.

878 • Engineered *E. coli* and *S. cerevisiae* are well established and efficient hosts for SA
879 production, however, pathogenicity, required aeration, emission of CO₂ during
880 production and low tolerance to some inhibitors are important limitations to their
881 utilization. *A. succinogenes* is a promising host and the development of engineering
882 tools for metabolic pathway manipulations, together with the development of
883 integrated biorefinery strategies, could open the door to the large-scale utilization of
884 *A. succinogenes* for SA production.

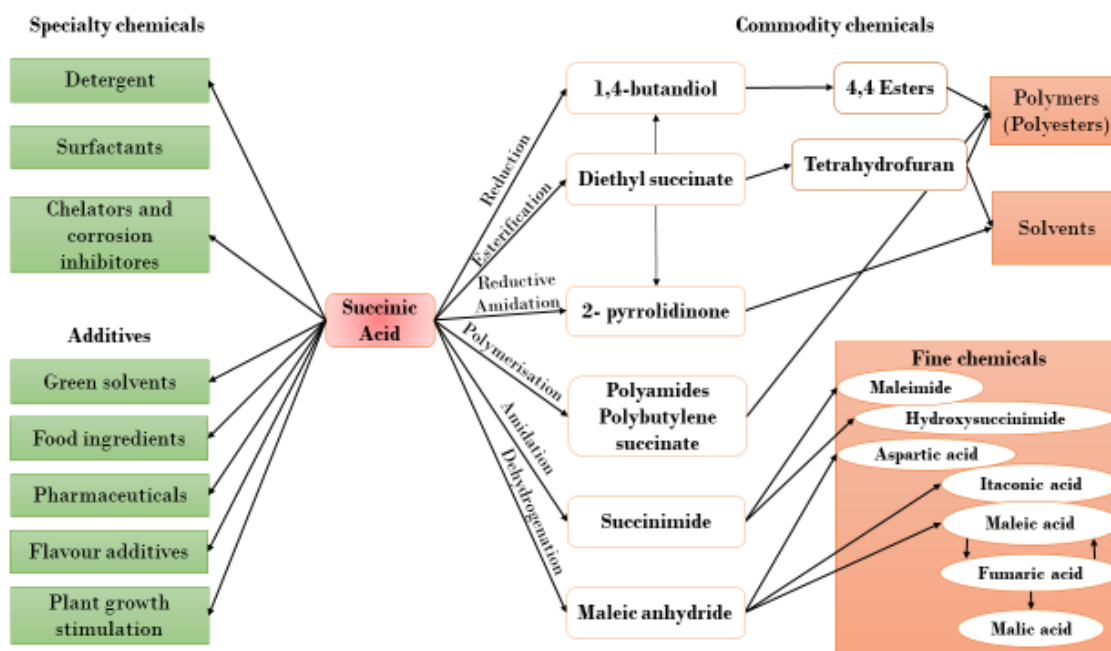
885 • Succinic acid recovery should be carried out at low pH, since lower environmental
886 impacts have been reported under those conditions. However, only yeasts, such as *S.*
887 *cerevisiae*, can tolerate low pH conditions. Membranes, activated carbon and
888 crystallization appear as key technologies for downstream processing of SA.

889 Further process optimization studies based on the data collected in this review are
890 needed to identify optimal processes. The conclusions of this work can be used to elaborate a

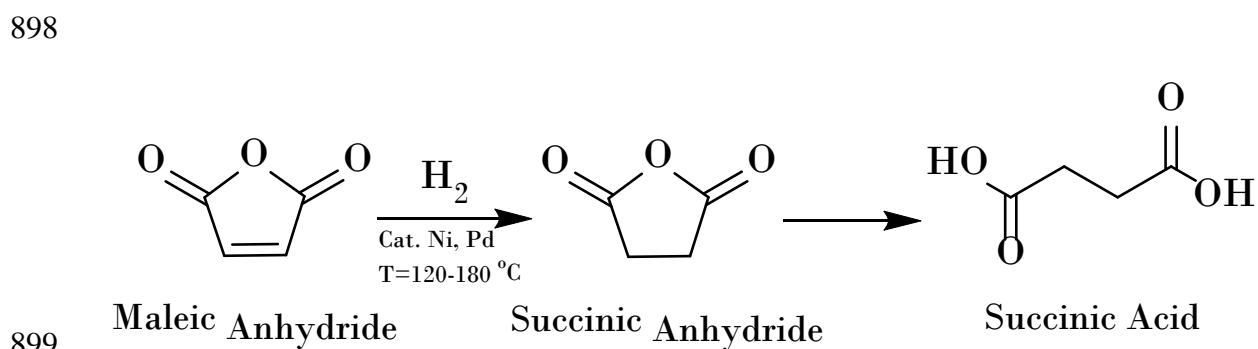
891 superstructure optimization that may suggest viable processes and sequences of processes for
892 feasibly large-scale production of SA.

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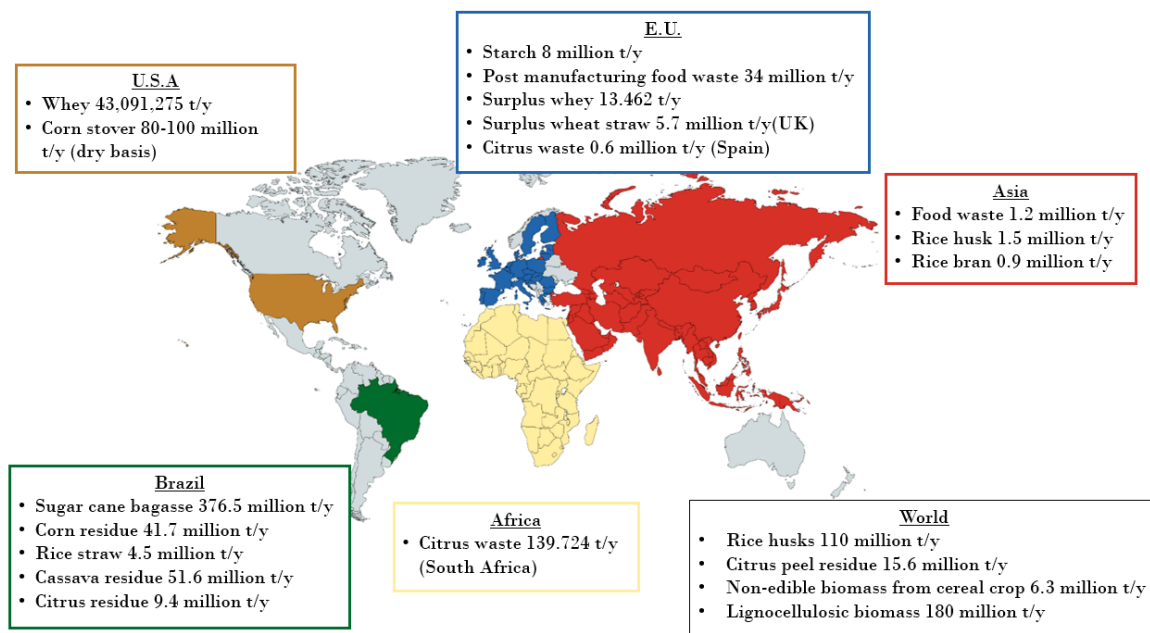
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895
 896 Figure 1. Overview of some selected specialty and commodity chemicals that can be
 897 synthesized from succinic acid (Arshadi et al., 2008; McKinlay et al., 2007).



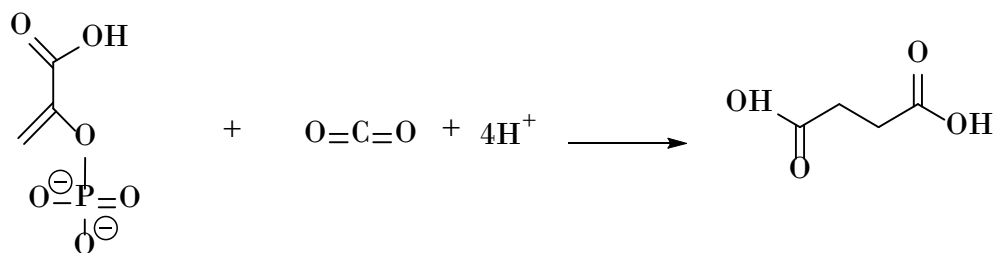
900 Figure 2. Production of succinic acid from petrochemical derived maleic anhydride.
 901



902

903 Figure 3. Distribution of world food waste that would be suitable for succinic acid
 904 production. With the exception for data on rice waste in Asia, which are from the work of
 905 Gunarathne et al. (2019), all the other data are based on the work of C. S. K. Lin et al. (2013)
 906 .

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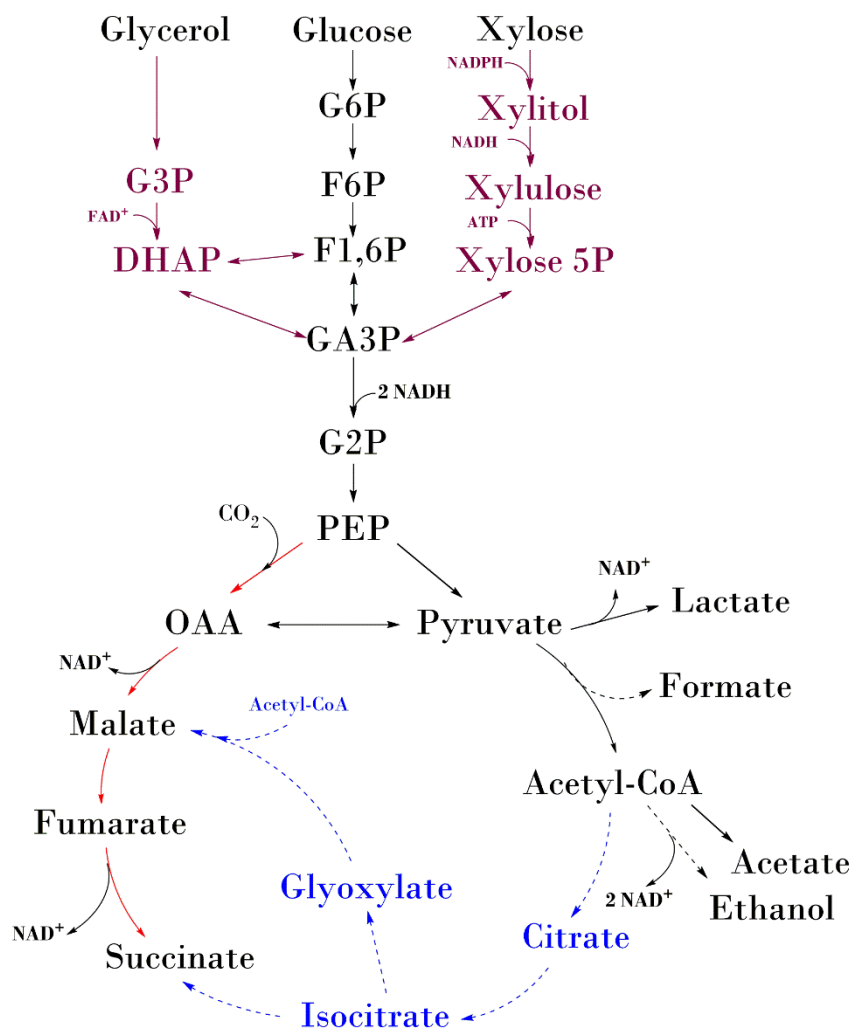
908 Phosphoenol pyruvate

Succinic acid

909 Figure 5. Reductive process in the tricarboxylic acid cycle (Saxena et al., 2016).

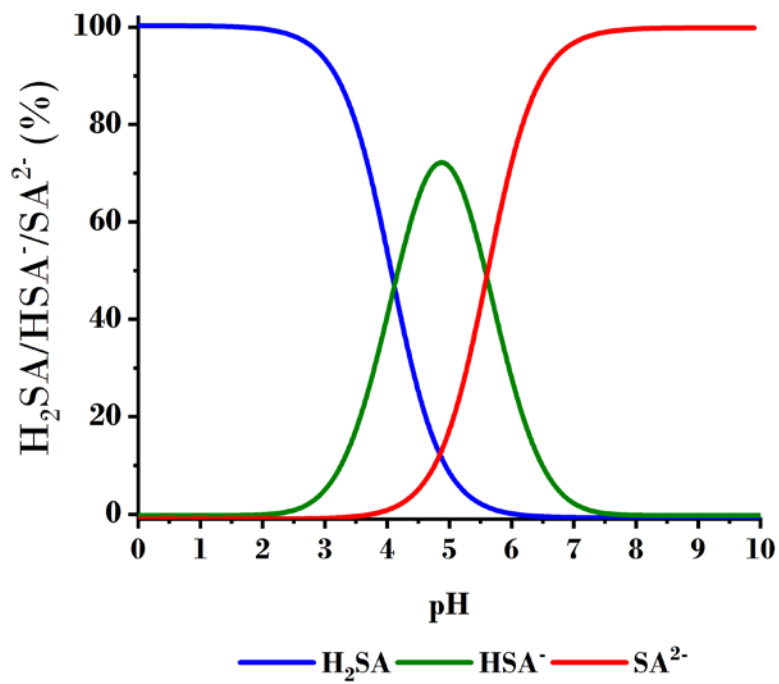
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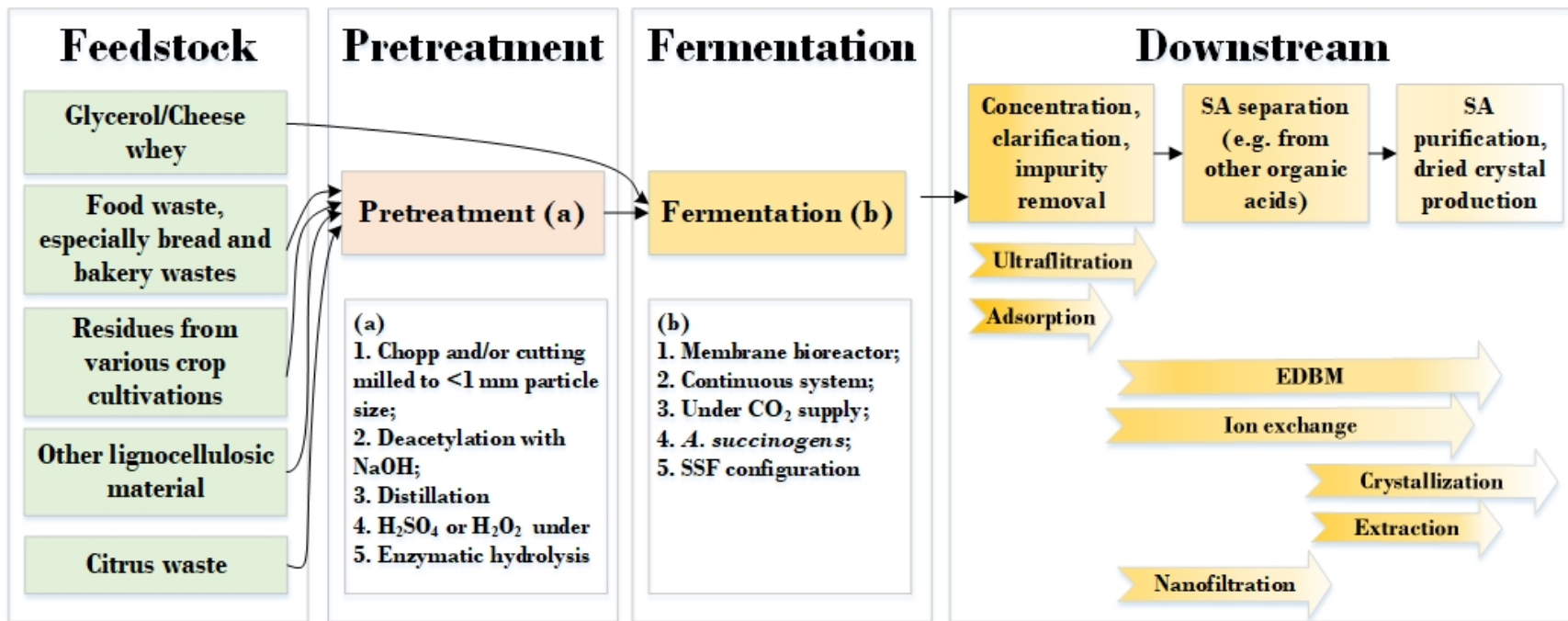
913 Figure 4. General TCA cycle found in many natural fermentative microorganisms, including
 914 *E. coli*, *A. succinogenes*, *A. succiniciproducens* and *M. succiniciproducens*. Lactate is not
 915 produced by *A. succinogenes*, ethanol is not produced by *M. succiniciproducens* when grown
 916 on glucose, and *A. succiniciproducens* does not synthesize formate (McKinlay et al., 2007).
 917 The reductive pathway of the TCA cycle is shown in red, while the pathway that specifically
 918 occurs in *A. succinogenes* for xylose and glycerol is shown in red burgundy. The glyoxylate
 919 shunt and the oxidative branch of the TCA cycle represented in blue (Carvalho et al., 2014;
 920 McKinlay et al., 2007; Pateraki et al., 2016; Xu et al., 2018). These metabolic pathways are
 921 exploited in anaerobic succinate engineered *E. coli* (McKinlay et al., 2007). G6P: glucose-6-
 922 phosphate; F6P: fructose-6-phosphate; F1.6P: fructose-1,6-biphosphate; G3P: glycerate-3-
 923 phosphate, GA3P: glyceraldehyde-3-phosphate; G2P: glycerate-2-phosphate; PEP:
 924 phosphoenolpyruvate; OAA: oxaloacetate.



925

926 Figure 6. Effect of pH on succinic acid dissociation to form HAS- ($\text{C}_4\text{H}_5\text{O}_4^-$) and SA2-
 927 ($\text{C}_4\text{H}_4\text{O}_4^{2-}$); the $\text{pK}_{a1} = 4.16$, $\text{pK}_{a2} = 5.6$ (Jansen & van Gulik, 2014).

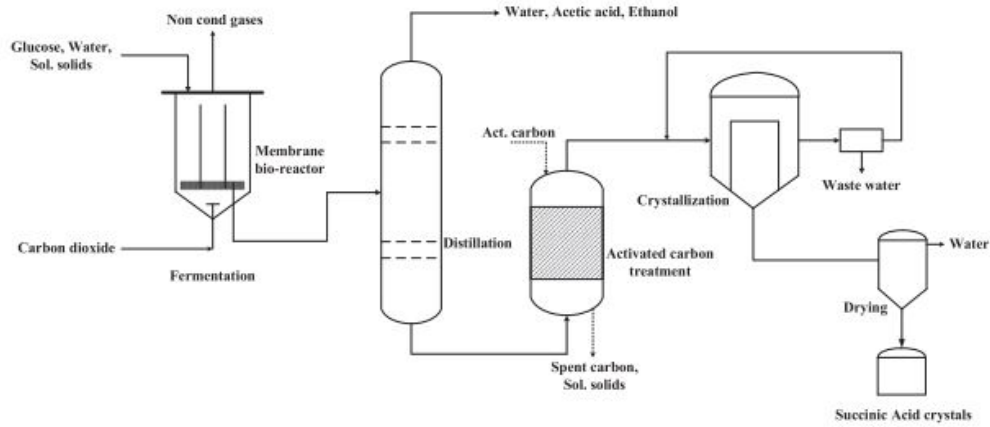
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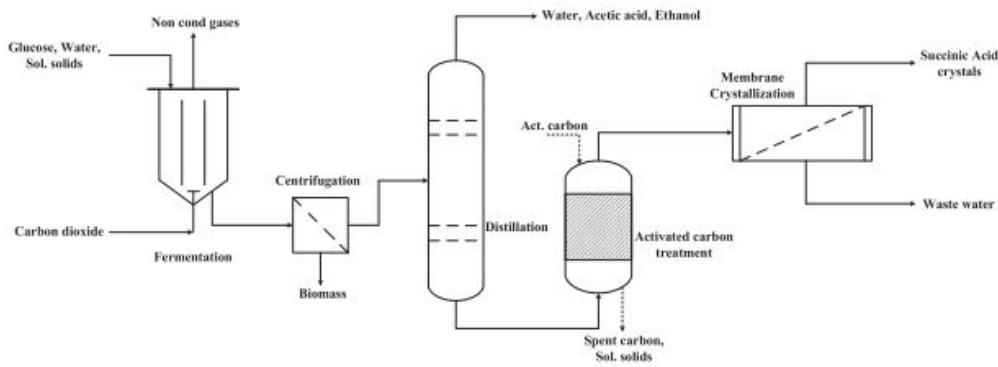
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930 Figure 8. Generic process for succinic acid production listing the most relevant second generation feed-stocks, the proposed
 931 pretreatments and fermentation conditions and the optimal range under which major separation techniques can operate.

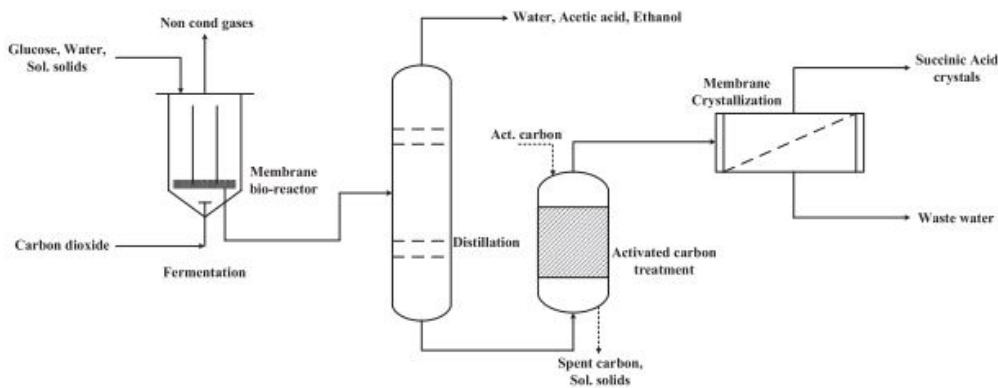
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a) Flowsheet alternative 1



b) Flowsheet alternative 2



c) Flowsheet alternative 3

933

934 Figure 7. Generated alternative processes for the production of bio-based SA (with permission
 935 from Garg et al. (2019).

936 Table 1. Overview of the major industrial actors producing succinic acid (SA) from fermentation today, their presumed technologies
 937 and resultant challenges.

Company	Capacity (kt/year)	Operative	Raw material	Fermentation/ Microorganism	Downstream recovery	Potential problems/Challenges	Location	Ref.
BioAmber ¹	3 t/y demo plant	2010	Wheat glucose	<i>E. coli</i>	Electrodialysis	- Electricity costs for EDBM - Effect of sodium in fermentation	Pomacle, France	(EC-DGE, 2015)
BioAmber ¹ Mitsui & Co	30-50	2015	Corn glucose	<i>Candida krusei</i> /pH 3, aerobic batch	DAS ² + reactive evaporation		Sarnia, Canada	(Cavani, Albonetti, Basile, & Gandini, 2016; EC-DGE, 2015; Finley et al., 2013)
Biosuccinium (Roquette)	10	2012	Starch/Sugar	pH 3, dual phase fed-batch/ Recombinant <i>S. cerevisiae</i> (by DSM) ³ .	Direct separation of SA	-Effect of low pH on fermentation performance.	Cassano, Spinola, Italy	(EC-DGE, 2015; Ferone et al., 2019; Jansen & van Gulik, 2014; Nghiem et al., 2017)
Myriant	14	2013	Glucose/ Sugars ⁴	<i>E. coli</i> ⁵	Ammonia precipitation	-SA recovery in di-ammonium - Ammonia effect in fermentation	Lake providence, Luisiana, USA	(EC-DGE, 2015; Myriant, 2019)
Succinity (joint venture BASF & Corbion-Purac)	10	2014	Glycerol/ Sugar/CO ₂	Anaerobic fed-batch/ <i>B. succiniciproducens</i>	MgOH as neutralizer followed by recycling	-Dependency on two recycles in process -Cost and performance of MgCl ₂ cracking -SA recovery in MgCl ₂ -stream	Montmelo, Spain	(BASF, 2014; EC-DGE, 2015; Pateraki et al., 2016)

938 1. BioAmber is currently in CCAA proceedings (Companies' Creditor Arrangement Act)(Blain, 2019)

939 2. DAS: diammonium succinate.

940 3. The company has developed a recombined *S. cerevisiae* for co-production of ethanol and SA. It is not clear if this is the strain used in the plant.

941 4. The glucose is obtained from sorghum, while sugars are extracted from lignocellulosic biomasses.

942 5. The *E. coli* strain was specifically developed to produce succinic acid from lignocellulose-derived sugars.

943 Table 2. Summary of some pretreatment methods used in biorefinery with their advantages and disadvantages and their use for SA

944 production (Modified from Kumar et al., (2009)).

Pretreatment method	Advantages	Disadvantages and limits	Examples in SA production	Specific details on used pretreatments/Notes	References
Steam explosion	Degradation of hemicellulose and lignin transformation; cost-effective	Partial destruction of xylan and of the lignin-carbohydrate matrix; generation of compounds inhibitory to microorganisms.	Oak and wood chips Crop stalks (including corn and cotton)	215 °C for 3 min in an 8 l exploder followed by enzymatic hydrolysis at 50°C for 3 d. 10 min at 1.5 MPa then filtration, dehydration, explosion to 1 % (w/v) NaOH and 4% (v/v) H ₂ O ₂ for 24 h at the room temperature, followed by enzymatic pretreatment.	(Kim et al., 2004; Lee, Lee, Hong, Chang, et al., 2003) (Q. Li, Yang, et al., 2010)
Ammonia Fiber explosion	Increase accessible surface area, partial removal of lignin and hemicellulose, does not produce inhibitors for downstream processes.	Not efficient for lignin-rich biomass.	-	-	

CO ₂ explosion	Increase accessible surface area; no fermentation of inhibitory compounds; cost-effective	Does not modify lignin or hemicelluloses	-	-	
Alkaline hydrolysis	Increase accessible surface area; removal of hemicellulose and lignin.	Long residence times required; irrecoverable salts formed and incorporated into biomass.	Corn stover	Soaked in 2% (v/v) H ₂ O ₂ solution (solid–liquid ratio of 1:15), then 4 M NaOH to pH 11.5 at 30 °C for 16 h	(Zheng et al., 2010)
Acid hydrolysis	Hydrolyze hemicellulose to xylose and other sugars; alters lignin structure	High cost; equipment corrosion; formation of toxic substances.	Corn stover	Hydrothermal pretreatment of 200°C, 0.75% H ₂ SO ₄	(T. Zhang, Kumar, Tsai, Elander, & Wyman, 2015)
			Sugarcane bagasse	H ₂ SO ₄ , 1% (v/v); solid : liquid ratio, 1:2; 121°C; 40-min	(Borges & Pereira, 2011)
			Sugarcane molasses	Soaked in 5 M H ₂ SO ₄ and heated at 60°C for 2h	
Oxidative agents	High conversion efficiency; no toxic compounds released	Incomplete lignin solubilization.	Hemp	Chopped and then cutting milled to <1 mm particle size; 2 M NaOH to pH 11.5, then autoclaved with H ₂ O ₂ at 121°C for 1h.	(Gunnarsson, Kuglarz, Karakashev, & Angelidaki, 2015)
Mechanical comminution	Reduce cellulose crystallinity	Usually requires more energy than the inherent biomass	Various lignocellulosic biomasses	This step is largely used in pretreatments of	

		energy; high greenhouse gas emissions ^a .		lignocellulosic biomasses also for SA production.	
Organosolv	Hydrolyze lignin and hemicelluloses	Solvents need to be drained from the reactor, evaporated, condensed, and recycled; high cost	-	-	
Biological	Degrade lignin and hemicelluloses; low energy requirements; less corrosion issues	Hydrolysis rate is very low. Cellulosic enzymes are expensive.	Various lignocellulosic biomasses	Many studies on SA production from lignocellulosic matter use an enzymatic step in the pretreatment process.	(Gunnarsson et al., 2015; Kim et al., 2004; Lee, Lee, Hong, Chang, et al., 2003; Q. Li, Yang, et al., 2010; Salvachúa et al., 2016)

945

946 Table 3. Major advantages and disadvantages of the two most relevant configurations for SA production (SHF and SSF) and the
 947 operational techniques (batch, fed-batch and continuous).

	Advantages	Disadvantages
<i>Reactor's configuration</i>		
SHF	Optimization of hydrolysis and fermentation processes. Higher control of fermentation inhibitors and potential reduction of downstream processes.	High capital and operative costs. Low yield with <i>E. coli</i> on glucose, galactose and sucrose (Akhtar et al., 2014).
SSF	Simple; cost-effective since low capital cost and low energy consumption; reduced substrate toxicity (Zheng et al., 2010).	Softwood lignocellulosic biomass contains 10% silicon, which is toxic for enzymes in SSF (Akhtar et al., 2014).

Operational techniques

Batch	Simple to operate; high yield	Low production rate; repeated inoculation and sterilization times; low biomass concentration which leads to big reactor's volume required.
Fed-batch	Simple; efficient for toxic feed-stocks; biomass can be concentrated, thus reduced reactor's volume are needed.	Reduced production rate; repeated inoculation and sterilization times.
Continuous	High production rate; high yield with cell immobilization; biomass concentration and thus reduced reactors volume.	Complex to operate; low yield if no cell immobilization applied.

948

949 Table 4. Fermentation-based succinic acid (SA) production from different carbon sources: the microorganisms, the substrates, the final
950 SA titer, production rate and SA yield are presented.

Raw material	Intermediate platform	Type of fermentation	Microorganism	Titer (g/L)	Productivity (g/l/h)	Yield	Ref.
Pure carbon sources and first generation biomasses							
Glucose		Dual-phase batch	<i>E. coli</i> (Tang1528)	89.4	1.24	83.0 wt%	(Yu et al., 2016)
Glucose		Micro-aerobic, fed-batch with membrane for cell recycling	<i>C. glutamicum</i> (Δ ldhA-pCRA717)	146	3.2	92.0 wt%	(Okino et al., 2008)
Glucose		Anaerobic batch	<i>A. succinogenes</i>	39.4 \pm 0.7	-	79.3 \pm 1.5 wt%	(Liu et al., 2008)
Glucose		Continuous with immobilized cells	<i>A. succinogenes</i>	12.0 at D = 0.56 h ⁻¹	6.35	69 \pm 2 wt%	(van Heerden & Nicol, 2013)
Glucose		Continuous with immobilized cells	<i>A. succinogenes</i>	18.0 at D = 0.5 h ⁻¹	9.2	70 wt%	(Brink & Nicol, 2014)
Sucrose		Anaerobic batch	<i>E. flavescens</i>	2.82 \pm 0.12	-	-	(Agarwal et al., 2007)

Sucrose	Fed-batch	<i>A. succinogenes</i> (NJ113)	60.4	2.16	83.0 wt%	(Jiang et al., 2014)
Sucrose	Anaerobic batch	<i>A. succinogenes</i>	40.3 ± 0.8	-	81.4 ± 1.6 wt%	(Liu et al., 2008)
Fructose	Anaerobic batch	<i>E. flavescens</i>	0.93 ± 0.04	-	-	(Agarwal et al., 2007)
Fructose	Anaerobic batch	<i>A. succinogenes</i>	1.2 ± 0.4	-	78.6 ± 1.8 wt%	(Liu et al., 2008)
Maltose	Anaerobic batch	<i>E. flavescens</i>	1.3 ± 0.07	-	-	(Agarwal et al., 2007)
Xylose	Anaerobic batch	<i>E. flavescens</i>	0.52 ± 0.02	-	-	(Agarwal et al., 2007)
Xylose	Anaerobic batch	<i>A. succinogenes</i>	32.6 ± 1.2	-	76.9 ± 2.7 wt%	(Liu et al., 2008)
Lactose	Anaerobic batch	<i>E. flavescens</i>	2.1 ± 0.09	-	-	(Agarwal et al., 2007)
Galactose	Anaerobic batch	<i>E. flavescens</i>	0.66 ± 0.03	-	-	(Agarwal et al., 2007)
Sorbitol	Anaerobic batch	<i>E. flavescens</i>	0.61 – 14.8	-	-	(Agarwal et al., 2007)
Mannitol	Anaerobic batch	<i>E. flavescens</i>	0.21±0.03	-	-	(Agarwal et al., 2007)
Rhamnose	Anaerobic batch	<i>E. flavescens</i>	0.24±0.04	-	-	(Agarwal et al., 2007)
Arabinose	Anaerobic batch	<i>E. flavescens</i>	0.13±0.04	-	-	(Agarwal et al., 2007)
Glycerol	Anaerobic batch	<i>A. succinogenes</i> (ATCC 55618)	24.39 ± 4.5	2.13 ± 0.56	95±20 wt%	(Carvalho et al., 2014)
Glycerol	Anaerobic fed-batch	<i>A. succinogenes</i> (ATCC 55618)	49.62	0.96	64 wt%	(Carvalho et al., 2014)
Glycerol	Anaerobic batch	<i>E. flavescens</i>	1.3±0.07	-	-	(Agarwal et al., 2007)
GAX (Glucose, Arabinose, Xylose)	Continuous with immobilized cells	<i>A. succinogenes</i>	20.5 at D = 0.7 h ⁻¹	15.0	0.56	(Ferone et al., 2018)

Starch		Anaerobic batch	<i>E. flavescens</i>	0.13±0.006	-	-	(Agarwal et al., 2007)
Wheat		SmF-based ¹	<i>A. succinogenes</i> (ATCC 55618)	16	0.31	19 wt%	(Du et al., 2007)
Wheat		Solid state fermentation	<i>A. succinogenes</i> (ATCC 55618)	64.2 ± 1.0	1.19 ± 0.05	40 wt%	(Du et al., 2008)
Second generation biomass							
<i>Arundo donax</i>	Glucose Xylose	Anaerobic batch	<i>B. succiniciproducens</i> BPP7	17	0.35	54% (g SA/g glucose+xylose)	(Cimini et al., 2016)
Cane molasses		Anaerobic batch	<i>A. succinogenes</i>	46.4	0.97	79.5% (g SA/g glucose)	(Liu et al., 2008)
Cane molasses		Anaerobic fed-batch	<i>A. succinogenes</i>	55.2	1.15	94% (g SA/g glucose)	(Liu et al., 2008)
Cane molasses		Anaerobic batch	<i>E. flavescens</i>	0.5 ± 0.02	-	-	(Agarwal et al., 2007)
Cane bagasse	Hemicellulose	Anaerobic batch	<i>A. succinogenes</i> (CIP 106512)	22.5	1.01	43 wt%	(Borges & Pereira, 2011)
Cane bagasse		Anaerobic batch	<i>A. succinogenes</i> (CCTCCM2012036)	120	1.65	80.5 wt%	(Chen et al., 2016)
Cane bagasse		Anaerobic batch	<i>E. coli</i> (BA305)	83	-	87.0 wt%	(Liang et al., 2013)
Wheat milling by-products		Solid state fermentation	<i>A. succinogenes</i> (ATCC55618)	62.1	0.91	8.7 wt%	(Dorado et al., 2009)
Wheat straw ²		Anaerobic batch	<i>F. succinogenes</i> S85 (ATCC 19169)	2.02	≈ 22.5	≈ 3 wt%	(Q. Li et al., 2010)
Corn straw hydrolysate	Glucose, Xylose	Anaerobic fed-batch	<i>A. succinogenes</i> (CGMCC1593)	53.2	1.21	82.5 wt%	(Zheng et al., 2009)
Corn straw hydrolysate	Glucose, Xylose	Anaerobic batch	<i>A. succinogenes</i> (CGMCC1593)	45.5	0.95	80.7 wt%	(Zheng et al., 2009)
Corn stalk		Anaerobic batch	<i>A. succinogenes</i> (BE-1)	15.8	0.56	66.0% (g SA/g total sugars)	(Q. Li, Yang, et al., 2010)
Corn stover		Anaerobic batch	<i>A. succinogenes</i> 130Z (ATCC 55618)	42.8	1.51	0.74% (g SA/g total sugars)	(Salvachúa et al., 2016)

Whey	Anaerobic fed-batch	<i>A. succiniciproducens</i>	24.0	2.1	72.0 wt%	(Samuelov et al., 1999)	
Bread waste	Anaerobic batch	<i>A. succinogenes</i> (ATCC 55618)	47.3	1.12	55 wt%	(Leung et al., 2012)	
Bakery waste	Solid state fermentation	<i>A. succinogenes</i>	24.8 ⁽³⁾ 31.7 ⁽⁵⁾	0.79 ⁽³⁾ 0.87 ⁽⁵⁾	28 wt% ⁽⁴⁾ 35 wt% ⁽⁵⁾	(A. Y. Z. Zhang et al., 2013)	
Third generation biomass							
Macroalgae <i>L. japonica</i>	Mannitol	Dual-phase batch	<i>E. coli</i> (BS002)	14.32 ± 0.09	-	1.39 ± 0.01 (mol SA/mol total sugars)	(Bai et al., 2015)
	Glucose	Dual-phase batch	<i>E. coli</i> (BS002)	9.86 ± 0.48	-	1.01 ± 0.05 (mol SA/mol total sugars)	(Bai et al., 2015)
Macroalgae <i>L. digitata</i>		Anaerobic batch	<i>A. succinogenes</i> 130Z (DSM 22257)	-	0.50	86.49% (g SA/g total sugars)	(Alvarado-Morales et al., 2015)

951

952 1. Submerged Fermentation

953 2. Not pretreated

954 3. Pretreated

955 4. From cake waste

956 5. From pastry waste

957

958

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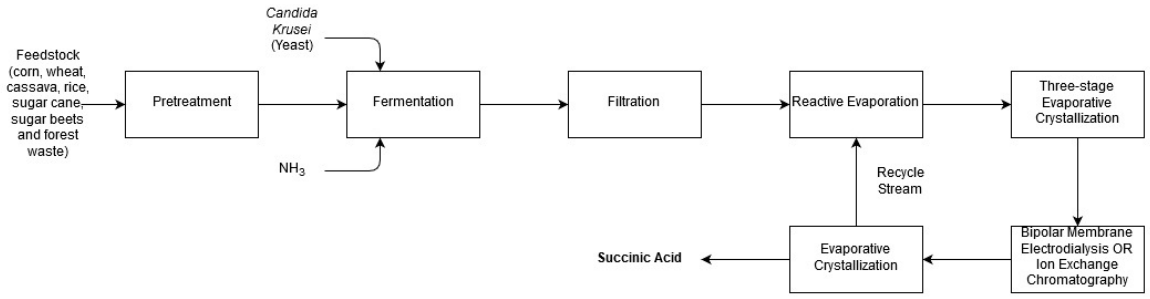
1393 **Supplementary material**

1394 Table S1. Summary of advantages and disadvantages of three of the most relevant microorganisms for SA production.

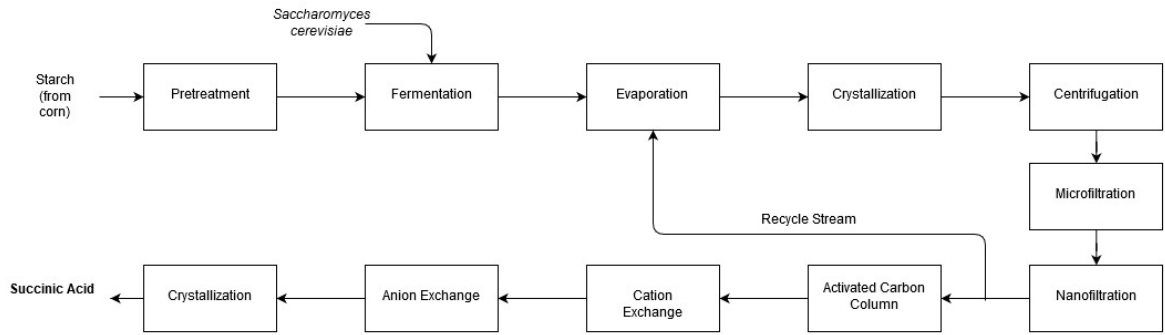
Microorganism	Advantages	Disadvantages
<i>S. cerevisiae</i>	<ol style="list-style-type: none"> 1. Can work at low pH being then cost effective and “green”. 2. Among the best known engineered microbes; 	<ol style="list-style-type: none"> 1. Complex gene editing required; 2. Oxygen required for the best performance; 3. Complex gene editing
<i>E. coli</i>	<ol style="list-style-type: none"> 1. Among the best known engineered microbes; 2. High yield and high efficiency; 3. Restricted amount of nutrients required 	<ol style="list-style-type: none"> 1. Gene editing required; 2. Limited application for second generation biomasses; 3. High capital and operative costs (Dual-phase reactor); 4. Pathogenic 5. CO₂ emission and oxygen provision required for best performance.
<i>A. succinogenes</i>	<ol style="list-style-type: none"> 1. High natural SA producer (no gene editing required); 2. Versatile to many substrates; 3. Tolerant towards pollutants from pretreatment of lignocellulose biomass: 4. Natural biofilm producer; 5. Low capital costs; 6. CO₂ uptake; 	<ol style="list-style-type: none"> 1. Requires auxotrophies, especially nitrogen; 2. By-products formation; 3. Relatively new microbe with limited engineering tools and knowledge;

1395

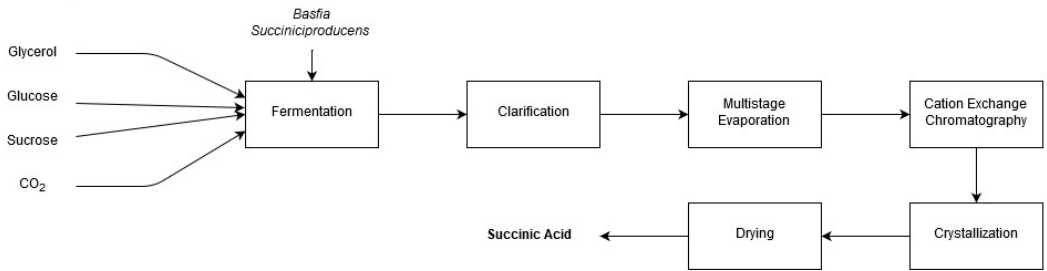
BioAmber



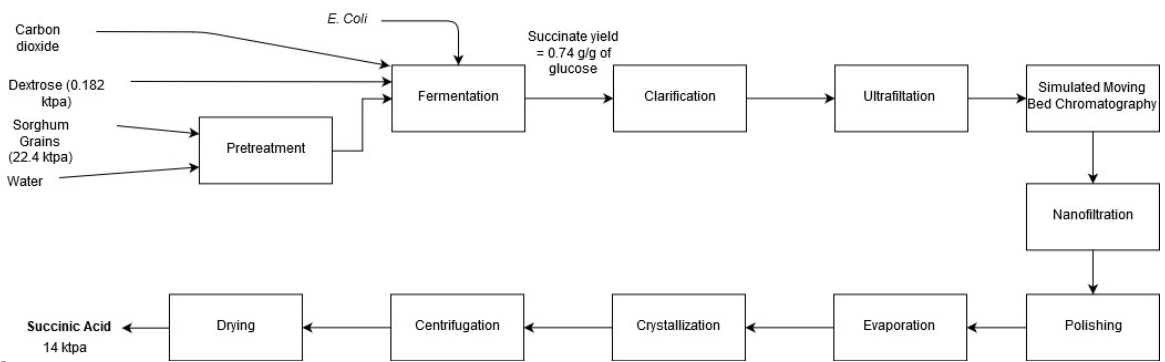
Reverdia



Succinity



Myriant



1397 Figure S1. Presumed processes used by the companies producing SA from fermentation at
 1398 commercial scale. While the Myriant flow process was released by the company itself
 1399 (Shmorhum, 2015), the other processes were draw based on the review of Nghiem et al., (2017).