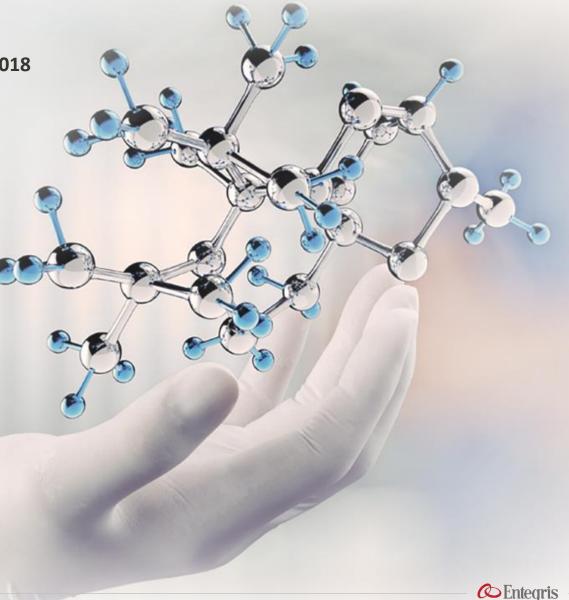


20TH SURFACE PREPARATION AND CLEANING CONFERENCE (SPCC) – 2018

Fundamentals of Post-CMP Cleaning of Dielectric Surface Contaminated with Ceria (Nano-to-Micro) Particles

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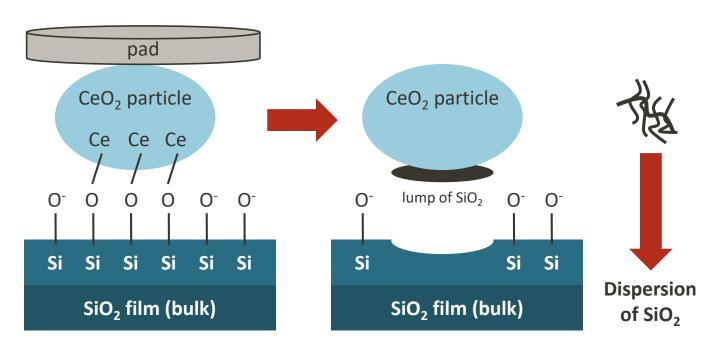
AGENDA

- **01** Fundamentals of Ceria-Silica CMP Process
- **02** Reactivity Between Ceria and SiO₂ and Si₃N₄
- **03** Commodity Cleaner vs. Formulated Cleaners
- **04** Formulation Design Concept and Components
- 05 Ceria Cleaning Mechanism Spectroscopic Evidences
- 06 Positive vs. Negatively Modified Ceria Cleaners
- 07 Entegris Recommendations
- **08** Conclusions



POLISHING OF SiO₂ FILMS BY CeO₂ PARTICLES – PROPOSED MECHANISM

Ceria – CMP process



Overall reaction

- $R \longrightarrow Si \longrightarrow R \longrightarrow Si \longrightarrow O^{-} + BaseH^{+}$
- $R \longrightarrow Si \longrightarrow O^{-} + HO \longrightarrow Ce \longrightarrow R' \longrightarrow R \longrightarrow Si \longrightarrow O^{-} Ce \longrightarrow R' + OH^{-}$

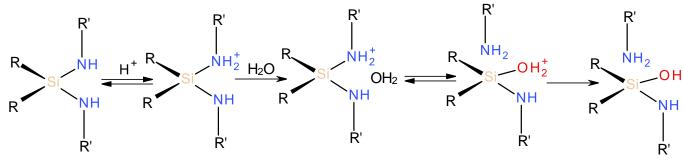
- Key steps and factors determine silica removal:
 - Si–O–Ce bond formation
 - Particle size distribution
 - Hydrodynamic forces acting at the interface
 - Pad properties
 - Fluid Rheology
- Challenges:
 - CMP doesn't always remove
 Si O Ce from surface
 - An effective post-CMP formulation needed to clean the surface



REACTIVITY BETWEEN CERIA AND SILICON NITRIDE (WHY MODIFY CeO₂?)

CeO₂–Si₃N₄ surface interactions (3 key steps)

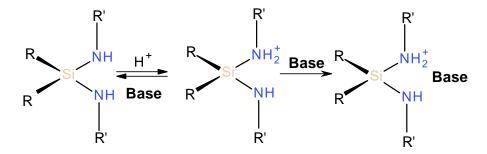
Step 1: Silicon nitride hydrolysis



R = subsurface neighboring atomR' = surface atom covalently bonded to nitrogen (silicon or hydrogen)

Step 2: Reaction of the surface silanols/oxoanions Step 3: Removal of the surface oxide layer with ceria particle

Appropriate organic base can influence silicon nitride hydrolysis



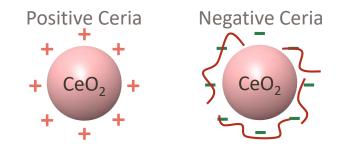
- Organic base can create a new acid-base equilibrium
- Deprotonate the surface amide
- Site-block water from silicon metal

CeO₂ is modified with appropriate organic base to tune the Si₃N₄ removal

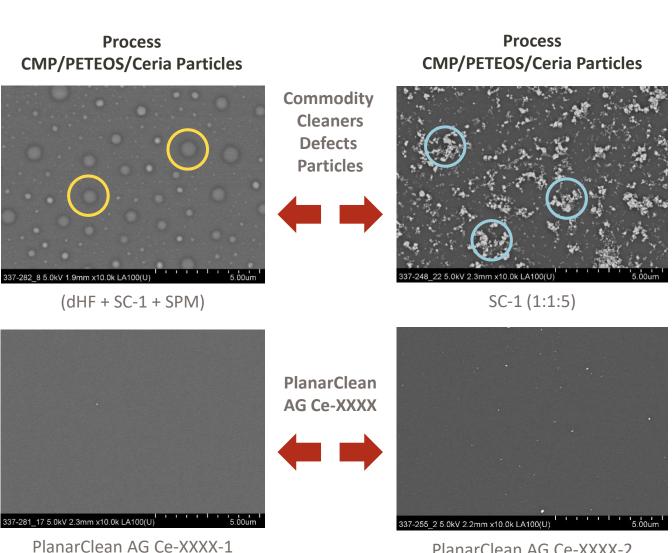
T. P. Johns et al. Electrochemical and Solid-State Letters, 8 q8r G218-G221 (2005)

WHY FORMULATED CERIA CLEANERS VS. COMMODITY?

- **1.** EHS/Safety concerns with traditional cleans (hot SPM, dHF, SC-1, TMAH + dHF)
- 2. One-step clean process requirement for throughput improvement
- 3. Need for improved particle removal
- Need for improved metal removal 4.
- No damage to dielectric substrates 5.



- **1.** Many different ceria particles and chemistries are on the market
- 2. Cleaning challenges vary greatly depending on slurry type \rightarrow no universal cleaner so far
- **3.** Best approach is for slurry supplier to collaborate directly with cleaning supplier and customer to develop the best BKM



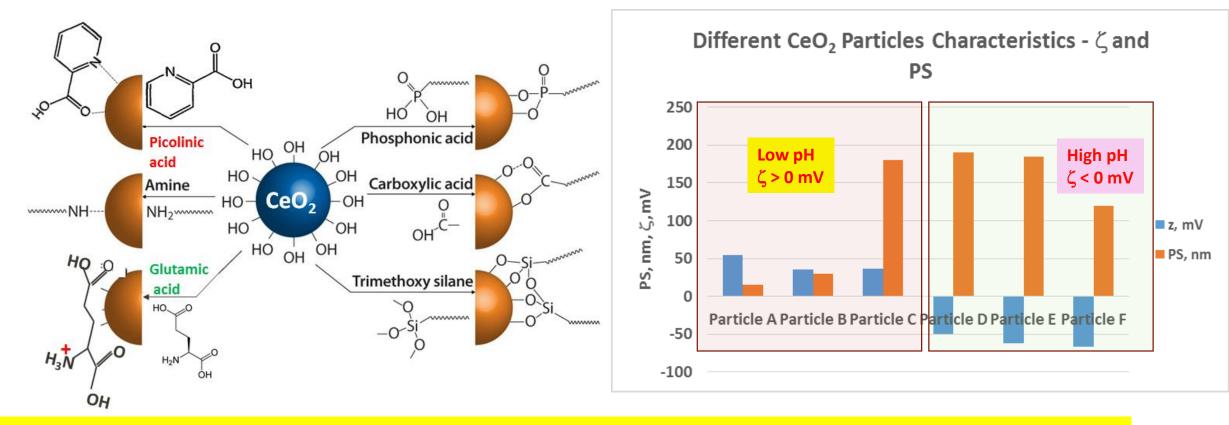
PlanarClean AG Ce-XXXX-2



INTERACTIONS OF ORGANIC MOLECULES WITH CERIA SURFACE AND SURFACE CHARGE VS. pH

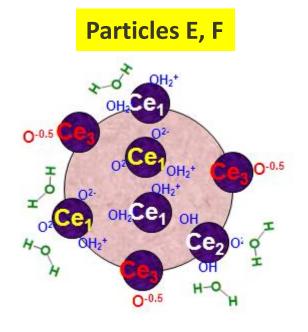
Surface-modified ceria in CMP slurries

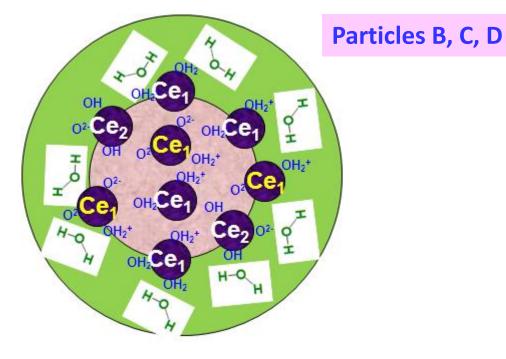
Various CeO₂ Particles Tested



- Surface modified CeO₂ particles in the CMP slurries: positive or negative surface charge;
- Particle size: 15 200 nm;
- Need to understand CeO₂ surface chemistry and types of interactions with the dielectric surfaces;
- Six different types of CeO₂ particles tested can we design an universal cleaner?

DIFFERENT CLEANING FORMULATIONS FOR DIFFERENT CERIA SURFACE CHEMISTRIES?



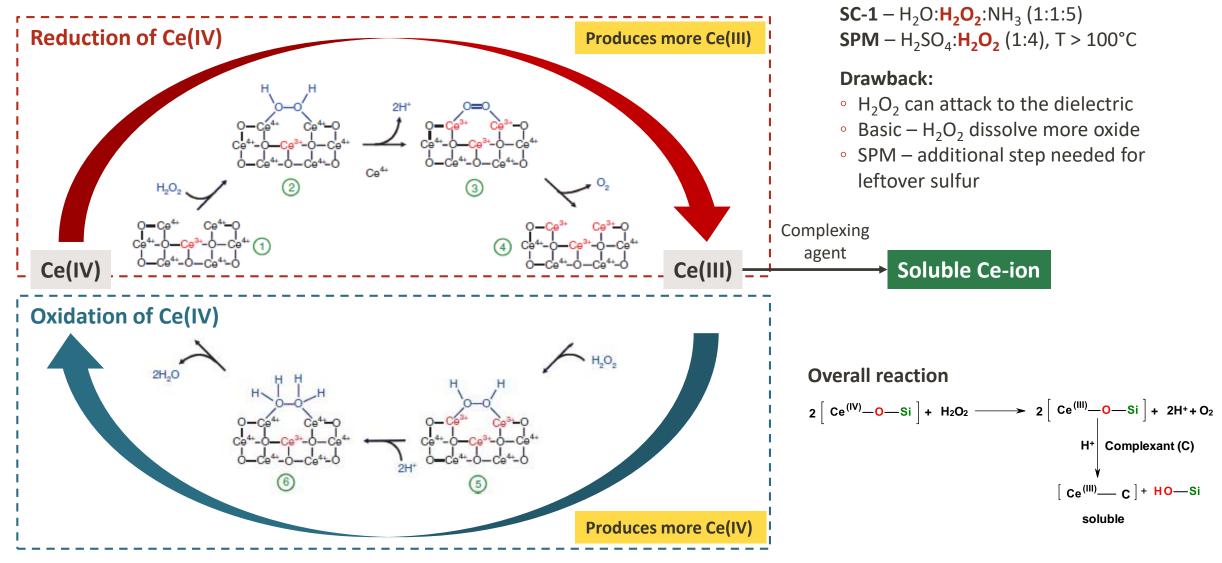


- More acidic surface, partially hydroxylated
- Small amount of surface water H-bonded
- Surface exposed –OH for -Si-O⁻ condensation
- Stronger Ce-O-Si bonds, difficult to break/clean

- More basic surface, more hydroxylated
- Outer-sphere shell of H-bonded water
- Reduced surface reactivity
- Weaker Ce-O-Si bonds, easier to break

Based on the FTIR-ATR, UV-VIS and titration experiments data

HOW DOES THE COMMODITY CLEANER WORK? AN EXAMPLE

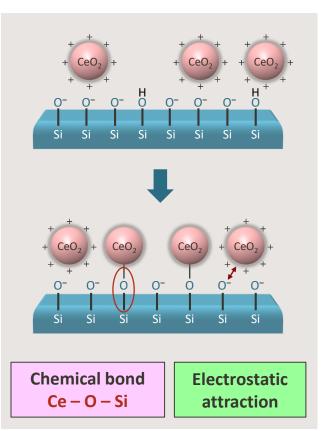


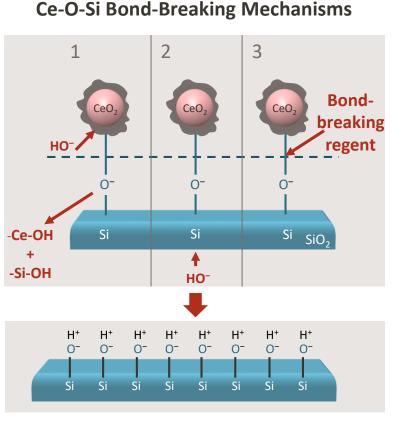
Wei-Tsu T. et al. Journal of Solid state Science and Technology, 6 (10) P7118

Commodity cleaners as controls:

SURFACE INTERACTIONS AND KEY BOND-BREAKING STEPS

CeO₂-SiO₂ Surface Interactions





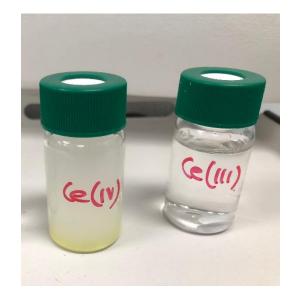
Cleaning formulation (low or high pH) can be designed based on types of ceria and its interaction to the surface Three possible options:

- 1. Nucleophilic attack of OH- to Ce-center
- 2. Partial etch of the surface
- **3.** Breaking of (CeO_2) -SiO₂ bond

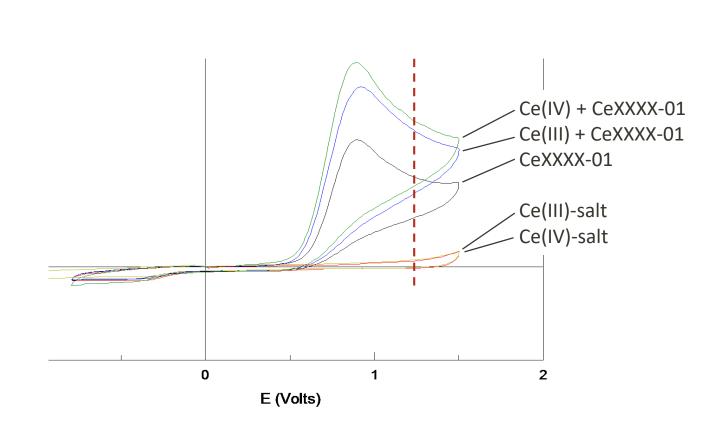
Formulation design concepts:

- 1. High pH hydrolysis of -Ce-O-Si- bonds by HO- nucleophilic attack to Ce-center
- 2. Additives needed to stabilize –Ce-OH species and prevent redeposition
- **3.** High pH partial etch/dissolution of the surface –Si-O-Ce- groups + redisposition prevention.
- 4. Low pH: Bond-breaking additives, followed by CeO2 complexation, and particles stabilization and dispersion

INTERACTIONS OF CE(IV) AND CE(III) SALTS WITH CEXXXX-01: MONITORED BY CV EXPERIMENT

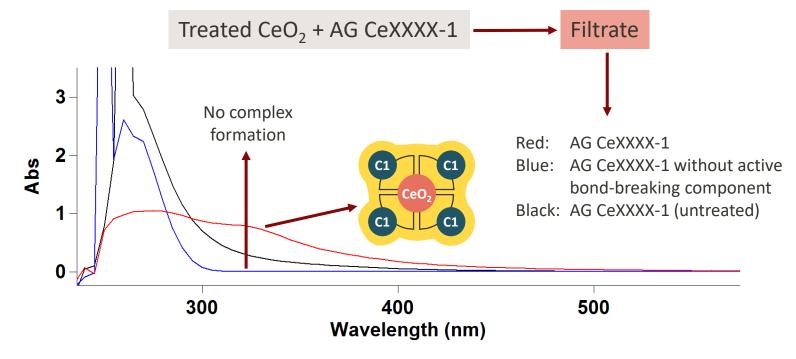


- Ce(IV)/Ce(III) redox couple can't be detected in the solvent potential range
- CeXXXX-01 redox wave remains unchanged after the treatment with Ce(III) or Ce(IV) salts
- Redox property of CeXXXX-01 component remains active for Si – O – Ce bond breaking



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SPECTROSCOPIC EVIDENCE OF ACTION OF BOND-BREAKING COMPONENT

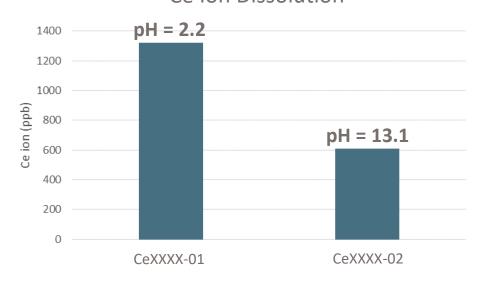


Samples were collected after the PCMP process

Without the active bond-breaking component, no complex formation was observed, indicating component helps to keep the ceria soluble

LOW PH FORMULATION DEVELOPMENT

CeO₂ (powder) + Formulation



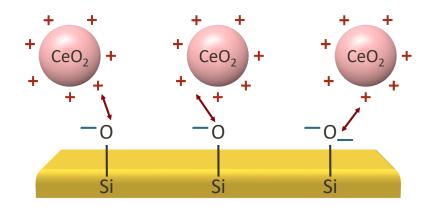
Ce Ion Dissolution

- Purpose
 - Ceria particle dissolution comparison
- Test conditions
 - CeO₂ powder (from Aldrich)
 - Chemistry: CeXXXX-01, CeXXXX-02
 - Chemistry dilution (100:1)
 - Procedure
 - Add CeO₂ in chemistry
 - Stir for 15 min
 - Filter the powder
 - ICP MS analysis for Ce ion

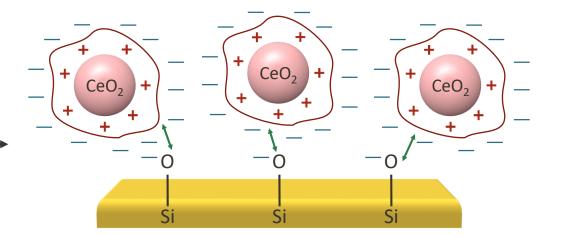
CeXXX-01 and CeXXX-02 can dissolve certain amount of Ceria powder

CeXXX-01 shows better Ceria powder dissolving than CeXXX-02

LOW PH FORMULATION FOR NEGATIVE CERIA



Additive changes the surface charges

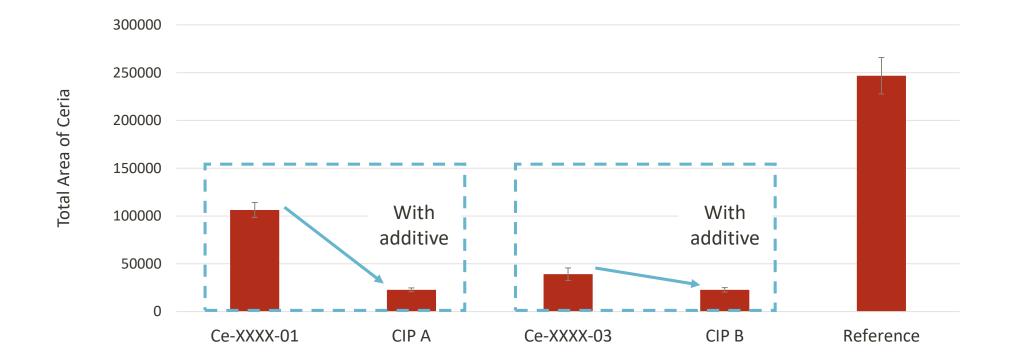


Strong ionic attraction leads to poor cleaning

Right additive changes the surface charges. As a result, strong repulsion leads to better cleaning.

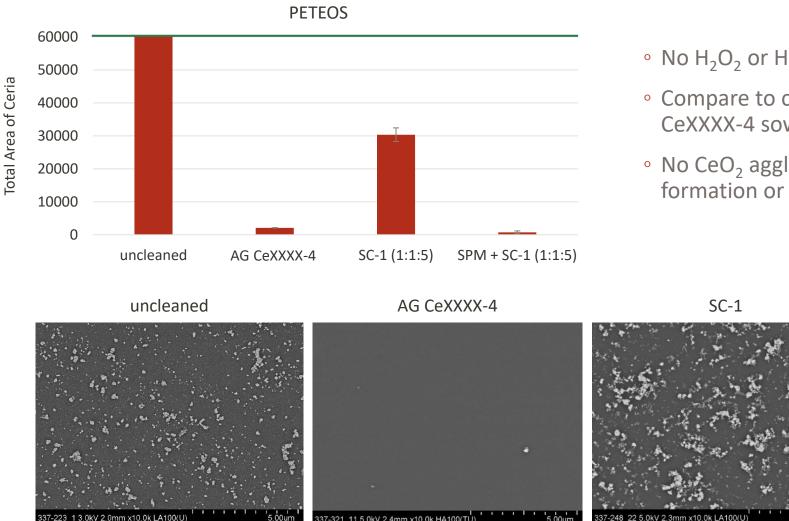


CLEANING PERFORMANCES WITH AND WITHOUT ADDITIVES



Cleaning additive has strong effect in changing the surface property of CeO_2 particles Choosing the right additive is one of the key steps to design efficient formulation

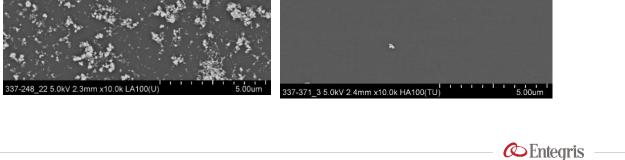
CLEANING OF POSITIVE-CeO₂ ON PETEOS



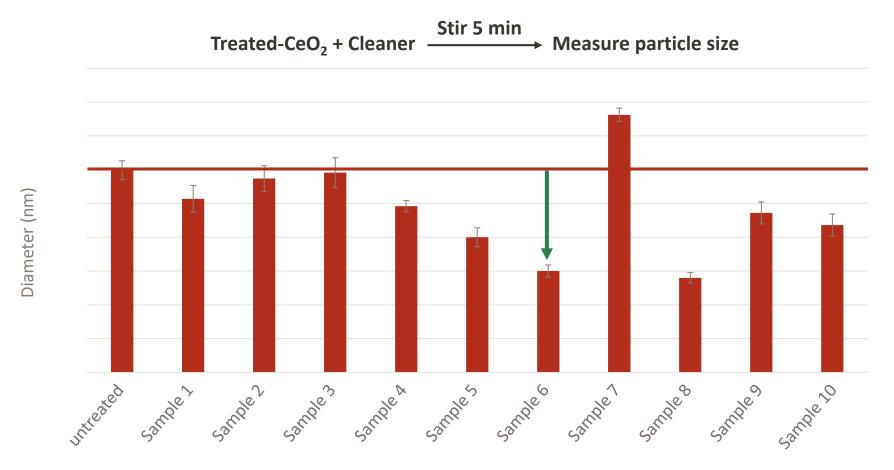
• No H_2O_2 or HF was used in the formulation

- Compare to commodity cleaner (H₂O₂ + NH₄OH), CeXXXX-4 sows 150× improvement
- No CeO₂ agglomeration indicates soluble Ceriaformation or better dispersion after PCMP process

SPM + SC-1



TREATMENT OF POSITIVE-CeO₂ WITH CLEANER SOLUTION

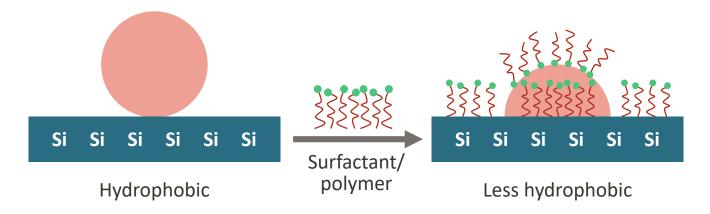


No particle agglomeration was observed

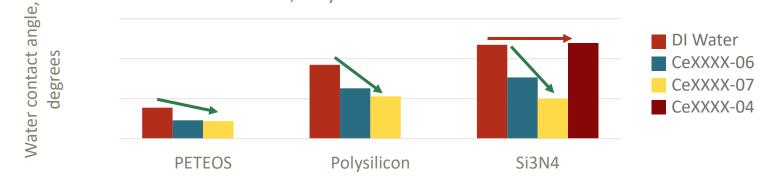
Sample 6 show highest reduction of size; indicates higher degree of surface interaction with the cleaner



CONTACT ANGLE EXPERIMENT TO MONITOR ORGANIC RESIDUE REMOVAL EFFICIENCY



Water Contact Angle Variation on Contaminated vs. Clean PETEOS, Polysilicon and Si3N4 Substrates



Contact angles are reduced after cleaning with CeXXXX-06 and 07

Surfaces become more hydrophilic, indicates less organic residue leftover after the cleaning

CONCLUSIONS

- Understanding the nature of Ceria surface and interaction with the Silica surface are the key to designing the proper cleaner
- Spectroscopic evidence suggests that the right bond-breaking component is essential to remove Ceria from surface
- Different bond-breaking reagent are necessary for each type of Ceria at different pHs
- Several low-pH and high-pH high-performance Ceria cleaning formulation, AG-CeXXXX were developed at Entegris, based on in-depth mechanistic understanding on Silica-Ceria surface interactions
- So far there is no universal ceria cleaner



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