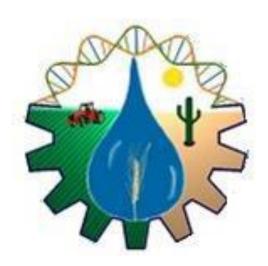


Graduate Manual for the Biosystems Engineering Programs



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BIOSYSTEMS ENGINEERING (BE) MISSION, PURPOSE, VISION, VALUES

Mission

Our mission is to improve the quality of life through excellence in instruction, research, and extension. To achieve this, BE will provide safe and secure food, water, energy, and biological information systems to adapt to a changing world.

Purpose

BE develops and facilitates the use of innovative technologies for the generation of food, bioenergy, and bioproducts, with smart utilization of water, resources, and information, suitable for arid and semi-arid environments. Our faculty, staff, and students work across interfaces between science and engineering.

Vision

BE will be a world leader known for developing technologies and systems for the safe production of food, bioenergy, bioproducts, and biological information for sustainable use of arid and semi-arid environments. Students, constituents, and professionals will come from across the world to participate in our programs.

Shared Values

| Innovation | We are innovative in our solutions and how we approach engineering, |
|------------|---|
| | life science, and data science practices to solve grand challenges. |

Inclusiveness We bring different people, ideas, backgrounds, and perspectives

together to produce lasting solutions for all. We encourage and help all

to be successful.

Interdisciplinary We embrace on-campus collaboration to develop better solutions that

address the needs of all.

Cooperation We forge off-campus partnerships to solve society's complex problems

and improve the quality of life.

Sustainability We manage the use of natural resources to maintain healthy

ecosystems throughout the production cycle of food, bioenergy, and

bioproducts.

Connectedness We work with industry and communities to understand tomorrow's

needs and open up opportunities for our students and alumni to help.

Ethics High ethical standards and sound decision-making are at the heart of

our research, discovery, business, and financial practices.

1.0 INTRODUCTION

The purpose of this handbook is to provide students with information on the requirements and procedures for pursuing a graduate degree (MS, AMP, or PhD) in the Department of Biosystems Engineering (BE) at The University of Arizona. The Department is active in research. We have four general emphasis areas: Biometry and Biosystems Informatics; Controlled Environment Agriculture; Food, Bioproducts, and Renewable Energy; and Water Resources. However, students will find that a graduate program in the BE department can be designed to fit almost any need in the general field of the application of engineering principles to the solution of agricultural and biological engineering problems. The flexibility of the program allows foreign and domestic students, in consultation with their advisors, to develop programs specifically suited to their career goals and interests. The University of Arizona is a diverse institution, and therefore provides courses in many different areas to support specific and general programs.

This manual is a compilation of current policies, practices, and procedures of the Graduate School and the Department of Biosystems Engineering. Information found in the Graduate Catalog [http://catalog.arizona.edu/policy-audience/graduate], which the student is expected to be familiar with, is to be used as the basis for the resolution of any special problems, the treatment of any extraordinary conditions, and the source for details not covered by this manual.

Contained in this manual are general program information, admission requirements, general administration of the graduate program, and deadlines for the submission to the Graduate College of items such as study programs, reports on examinations, etc. The Graduate College publishes official specific deadline dates. A copy of official deadline dates can be obtained from the BE Academic Advisor or the Graduate College website [http://grad.arizona.edu/].

2.0 DEGREE PROGRAM INFORMATION

The Department offers the following degrees in:

- Biosystems Engineering:
 - o Accelerated Master of Science (AMP)
 - Traditional Master of Science (2-year MS)
 - o Doctor of Philosophy (PhD)
- Biosystems Analytics & Technology
 - o Traditional Master of Science (2-year MS)
 - o Doctor of Philosophy (PhD)

Students in either of the MS programs have the option of completing a thesis or a report. The thesis option is intended for students who want to study in a specialized area and to work closely with a faculty member on a unique research topic. It will also prepare the student for the independent research needed for the PhD program. The report option is intended for students desiring a broad education and engineering practice, and it is comprised of coursework in several areas and an engineering report done under the supervision the student's major professor and the student's committee members.

2.1 BE Accelerated Master's Program (AMP)

The BE Accelerated MS program (AMP) provides Biosystems Engineering BS (BEBS) majors with the opportunity to leverage their undergraduate coursework into a graduate degree by enabling advanced BEBS undergraduate students to complete both the Bachelor of Science degree and the Master of Science degree in a total of 5 years. The AMP is designed for the top BEBS undergraduate students who plan to pursue a graduate degree in Biosystems Engineering. To qualify for the program, Biosystems Engineering majors must have a 3.30 GPA or higher. AMP students may

complete up to 12 units of graduate-level coursework during the fourth year of their BEBS program. AMP students will receive credit toward *both* their BS and MS, after completion of the course requirements with a 3.0 GPA or higher. The AMP students who chose the MS report option typically complete the remainder of the MS program requirements within one year.

2.2 Traditional Master of Science (2-year MS)

Master's degree involves advanced training gained through intensive study, beyond the bachelor's degree, in a special field (or major) supplemented by study in supporting subjects. The MS in Biosystems Engineering is designed for graduate engineers and scientists aspiring to advance their careers or prepare themselves for advanced degrees or research opportunities.

2.3 Doctor of Philosophy (PhD)

Obtaining a Doctor of Philosophy (PhD) in the Biosystems department is for students who are seeking an intense research focus and academic contributions to either the biosystems engineering or biosystems analytics & technology disciplines. The doctoral program is designed to prepare an engineer for senior responsibility in industry, research, or teaching. The successful candidate must demonstrate the ability to devise and execute a program of study and research, which makes a fundamentally new contribution to the chosen field. The most important aspect of the doctoral program is the dissertation, which is the evidence of this fundamental contribution. The student should be prepared for a very demanding period of study beyond the master's degree. A minor field will also be a part of the departmental doctoral programs.

3.0 ADMISSION

3.1 General Admission Requirements

All Candidates must apply online through the Graduate College application site located at: https://apply.grad.arizona.edu/users/login. Graduate Admission Requirements are at: https://grad.arizona.edu/prospective-students. The application for admission includes official transcripts from all previous colleges and universities attended, resume (CV), scores from the Graduate Records Examination (GRE), three letters of recommendation, and the applicant's statement of purpose. GRE test scores are required as part of the application packet, and suggested minimum test scores include: Quantitative 151, Verbal 138, Analytical 3.0. NOTE: applications for the Accelerated Master's Program are for Fall semester admittance only. Other graduate programs can be admitted in both fall and spring semesters.

The GPA requirements differ depending on the graduate program:

BE AMP: GPA = 3.32-year MS: GPA = 3.0

• PhD: GPA = 3.3

A departmental review committee made up of faculty from the student's area of interest evaluates the candidate's application. Applicants are evaluated on the individual merits of their academic achievements and scholarly potential to complete graduate-level coursework and research requirements. Once the decision is made, the departmental recommendation will be transmitted to the Graduate College and the candidate will be notified of the decision.

3.2 BE Admission Requirements

To be considered for the BE MS program, the candidate must hold a Bachelor's degree in engineering or BS in a STEM field and complete required deficiency courses. To be considered for the BE PhD program, the candidate must hold a BS and/or MS degree in engineering or BS and/or MS in a STEM field and complete required deficiency courses.

3.3 BAT Admission Requirements

To be considered for the BAT MS program, the candidate must hold a Bachelor's degree. To be considered for the BAT PhD program, the candidate must hold a BS and/or MS degree. Candidates with degrees from non-STEM fields may be asked to complete additional coursework.

For more details on the admission process please see: http://grad.arizona.edu/admissions/requirements.

3.4 Requirements for International Candidates

In addition to the academic requirements for all applicants, international students must satisfy English proficiency, financial guarantee, and health insurance requirements. To demonstrate proficiency in English, refer to the Graduate College policies: https://grad.arizona.edu/international-students.

4.0 FINANCIAL SUPPORT IN THE BE DEPARTMENT

Although there is no guarantee of funding for pursuing a graduate degree, there are several options available to graduate students.

4.1 Graduate Research and Teaching Assistantships

Depending on funding allocations, Graduate Research Assistantships (GRAs) and Graduate Teaching Assistantships (GTAs) may be available. Department policy provides that MS students will be supported for no more than four (4) semesters (2 years) and PhD students for no more than eight (8) semesters (4 years). The non-resident tuition fee is waived for students on assistantships of 25% time or more; the registration fee is *not* waived. There is a tuition remission of 50% or more of the registration fee depending on the level of appointment (0.25, 0.33, or 0.50). Graduate assistants on half-time (0.50 FTE) assistantships are expected to work 20 hours per week.

4.1.1 Graduate Research Assistantships (GRAs)

There are a limited number of GRAs provided by the department, and are typically offered to incoming PhD students. Additional GRAs may be supported by an individual faculty member's research grant(s). Faculty members are responsible for identifying students to work on funded projects.

4.1.2 Graduate Teaching Assistantships (GTAs)

There are a limited number of 0.25 FTE (full-time equivalent) GTAs available each semester provided by the department. There is an application process, see [website link]. Students hired on GTAs need to review policies, and complete training and orientations required by the Graduate College [see https://grad.arizona.edu/funding/ga].

4.2 Graduate College Thesis/Dissertation Scholarship

International students who have completed their coursework and are within 2 years of completing their PhD degree or 2 semesters of completing their MS degree may qualify for this Scholarship. This award *excludes* the mandatory

registration fees and any additional tuition charged by the individual programs. Students who are hired on an appointment as a Research Assistant do not qualify for this waiver.

To be *eligible for a Graduate College Thesis/Dissertation Scholarship*, students must be enrolled at the University of Arizona for not less than one (1) and not more than six (6) units of 910/909/920 level units only. Generally, waivers for students enrolling in 1 unit will be approved. Students must have met all course and unit requirements and be finishing up his/her Thesis/Dissertation.

International students who qualify for the Graduate College Thesis/Dissertation Scholarship should request this scholarship through the BE Academic Program Coordinator at least two months prior to the beginning of the semester that they are eligible to apply for the scholarship. For more information on this scholarship, see https://grad.arizona.edu/funding/opportunities/thesis-dissertation-tuition-scholarships.

4.3 Hourly Graders

The BE Department often offers hourly grader positions on a class-by-class basis. Contact either the Academic Program Coordinator or the Department Head for possible opportunities.

5.0 GENERAL ADMINISTRATION OF THE GRADUATE PROGRAM

5.1 Orientations

5.1.1 Graduate School

New students and students who are hired as Graduate Assistants are required to attend all of the Graduate College orientations. Locations and times will be posted each year on the following site: https://grad.arizona.edu/announcements.

5.1.2 Departmental

Most semesters, departmental Orientations are conducted for graduate students by the department's Director of Graduate Studies and the Academic Program Coordinator.

5.2 Registration

Registration is accomplished using UAccess, the University's web-based course registration program [http://www.uaccess.arizona.edu/]. Registration for the first semester in residence should be completed after meeting with the Director of Graduate Studies and the Academic Program Coordinator.

5.3 Deficiencies

Candidates with deficiencies identified in the communications from the Academic Program Coordinator must complete the required coursework satisfactorily before completing their graduate degree program.

If a student disagrees with the written statement of deficiencies given at the time of admission, he/she should contact the Academic Program Coordinator to a file petition to request for a review of the deficiencies and previous coursework completed.

5.4 Continuous Enrollment Policy for Domestic Students

To be considered full-time, domestic graduate students need to enroll in 3 units per semester. To maintain your student status but are unable to enroll in the fall or spring semester, you need to submit a Leave of Absence form. If you fail to meet the continuous enrollment policy and do not register, you will need to reapply to the Graduate College and be approved for readmission by the Associate Dean of Academic Programs.

5.4.1 Summer Enrollment

MS students who are graduating in summer are required to enroll during Summer Session II. PhD students who have completed their coursework and are graduating in summer do not need to enroll in a Summer Session.

5.4.2 International Students

International students need to follow his or her individual visa enrollment requirements. For more information regarding the University of Arizona's policy for international graduate student enrollment policies, see https://global.arizona.edu/international-students.

5.4.3 Graduate Assistantships

Students who are supported by or through The University of Arizona, via assistantships as a Graduate Teaching Assistant (GTA) or Graduate Research Assistant (GRA) are expected to enroll in at least six-nine units per semester depending on source of funding (refer to notice of appointment). Individual Colleges may set their own GTA/GRA enrollment requirements. For more information on enrollment requirements for students on assistantships, see https://grad.arizona.edu/funding/ga.

Graduate students hired on an assistantship through the College of Agriculture & Life Sciences must be enrolled in at least 10 units per College requirements.

Those students holding a Graduate Teaching Assistantship must be evaluated by their instructor at the end of each semester.

5.4.4 Graduate Scholarships

Students who have been awarded Graduate Registration Scholarships or Graduate Tuition Scholarships are required to be enrolled as a full-time student per Graduate College policies. For more information, see http://grad.arizona.edu/funding/opportunities.

5.5 Major Professor

The Academic Program Coordinator will serve as the Administrative Advisor. The Administrative Advisor will assist the candidate with all graduate forms, entering information into GradPath, checking procedures, and other administrative activities.

In the first few weeks after joining the program, students need to meet with the BE Director of Graduate Studies to discuss options and procedures for choosing a Major Professor. A permanent Major Professor must be selected by the *end of the first semester of study*. The candidate's Major Professor should specialize in the student's main field of interest. The primary role of the Major Professor is to guide the student in coursework and to keep the student informed on whether he/she is making satisfactory progress. The Major Professor will act as the student's mentor, be responsible for *helping* the student select his/her MS/ PhD Committee members, and serve as the Graduate Committee chair as well as developing and completing a Plan of Study in collaboration with the Graduate Committee. The Major Professor is responsible for meeting with the student a minimum of once per semester to review the student's progress.

The Major Professor must be a current UA tenured, tenure-track, or continuing appointment BE faculty member. A list of eligible BE Faculty who can serve as sole graduate committee chairs can be found in Appendix D. Special members must be pre-approved by the Dean of the Graduate College. A Special Member Form must be completed and submitted to the Graduate College for Dissertation Committee members who are non-tenured or are outside of The University of Arizona. The Graduate College requires the Graduate Coordinator to initiate the Special Member Request [https://grad.arizona.edu/forms/gsas/special-member-request]. Please contact the Academic Program Coordinator to process the Special Member request.

5.6 Graduate Committee Meetings

The Candidate is expected to meet with his/her committee members at least once a semester. The purpose of the meeting is to have the overall committee review the academic progress of the candidate.

5.7 Leave of Absence Policy

Students do not need apply for a Leave of Absence (LOA) if he or she has a registration record for that semester. A "W" counts as a registration record. An LOA is inappropriate for a student who withdraws from all classes after the start of a semester and receives "W' grades since a Leave of Absence presupposes no registration at all for a term. Since, in such cases, the student has maintained continuous enrollment by having a registration record, he or she is eligible to register the following semester (or Summer or Winter term) and will suffer no adverse effects due to the fact that he or she was not eligible to apply formally for a Leave of Absence. Only academic services or facilities available to the general public can be used during the LOA. An LOA may affect the status of a graduate student's financial aid. Students are responsible for determining the requirements of their funding agency and/or academic unit prior to applying for a Leave of Absence.

International students must check with the International Students Programs and Services before filing for a Leave of Absence.

If a student fails to register and does not have a Leave of Absence on file, the student will be discontinued from his/her program. A new application will be necessary for the student to continue in the program. Re-admission is not guaranteed. See Continuous Enrollment and Re-admission Policies for more information [http://grad.arizona.edu/policies/enrollment-policies/leave-absence].

5.8 Enrollment in Departmental Graduate Seminar (BE 696A/B)

BE 696A and 696B are combined for a single departmental graduate seminar course. It is intended to enhance graduate student development through the exchange of scholarly information through the combination of graduate-student research presentations, guest presentations, discussion, reports, and/or papers. There is an expectation that all graduate students will participate in either BE 696A or 696B continuously throughout their graduate program.

5.8.1 BE 696A (Presenting Class)

Students enrolled in BE 696A class are required to give a presentation on their research/project to the class, in addition to the course assignments to receive credit. MS students are required to enroll in two (2) units and PhD students are required to enroll in four (4) units during their graduate program. These units will be included in the student's plan of study. PhD students who have proof that they have made a presentation in another institution may petition and receive a waiver for the number of units required. Grades available are A, B, C, D, E, I, W.

5.8.2 BE 696B (Non-presenting Class)

Students enrolled in the 696B section are expected to coordinate and preside over the seminar presentations, in addition to the course assignments to receive a passing grade. MS and PhD students are required to enroll in (2) units of 696B. Grades available are S, P, F, I, W.

Students who have completed their 696A/B credit requirements will be enrolled in 696B as a guest in the D2L system. To remain in good standing in the program, these students will be required to complete the graduate program assignments posted in D2L, and are encouraged to attend the weekly seminar.

5.8.3 International Students on a Thesis/Dissertation Scholarship Award International students on a Thesis or Dissertation Scholarship Award are waived from the enrollment requirement for 696A. However, these students are required to attend the course and meet all other requirements.

5.9 UAccess GradPath

Graduate Students are required to use GradPath [http://uaccess.arizona.edu/], the Graduate College's nearly paperless degree audit process that makes tracking and monitoring student progress much easier. Students will be expected to complete their Graduate College degree certification forms through GradPath. GradPath can be found by selecting the drop-down box located in the Academics section in UAccess. Once a student completes the required form in GradPath, the form automatically routes to everyone who needs to see or approve the form. The BE Academic Program Coordinator can assist with this process.

5.10 UAccess GradPath Forms

Each of the following steps requires completion and should be taken at the appropriate time during the student's tenure in the department. To keep on schedule for graduation, please refer to the dates and deadlines posted on http://grad.arizona.edu/gsas/degree-requirements/important-degree-dates-and-deadlines. The appropriate student forms may be accessed through UAccess [http://uaccess.arizona.edu/].

5.10.1 Responsible Conduct of Research Statement

Students must complete the Responsible Conduct training in the UAccess Gradpath forms to gain access to the Plan of Study (POS), Committee Appointment, and other required forms.

5.10.2 Plan of Study (POS) Form

In conjunction with his/her major professor, each student is responsible for developing and submitting a Plan of Study (POS) during his/her semester in residence. For further information on the POS, MS students should see section 6.4 and the PhD students should see section 7.8. Before creating the POS, students should read the Graduate College's requirements at http://grad.arizona.edu/gsas/degree-requirements.

5.10.3 Committee Appointment Form

Students should complete their Committee Appointment Form in GradPath no later than the last day of class during their second semester. Students will be required to enter their Committee Members, expected graduation term and year, and title of their Thesis/Dissertation. For more information on submitting and archiving your thesis/dissertation, please refer to https://grad.arizona.edu/gsas/degree-requirements/masters-degrees#thesis-committee.

5.10.4 Degree (MS, AMP, PhD) Completion Form

This GradPath form is completed by the Academic Program Coordinator after receiving the results of the Final Oral Defense (Examination) from the Major Professor (Committee Chair). For more information, please refer to http://grad.arizona.edu/gsas/degree-requirements.

5.11 Graduate Student Academic Progress Reports

All departmental graduate students are required to submit a Graduate Student Academic Progress Report (Progress Report) once a semester as a BE 696A or 696B course requirement. Students must complete this requirement by the semester deadlines to receive credit in BE 696A and BE 696B. Students who have completed their 696A/B credit requirements are still expected to enroll in the 696B course, and are expected to upload their progress reports in the course D2L site. Students must receive approval from the BE department to be excused from this requirement.

5.12 Enrollment in Multiple Graduate Degree Programs

University of Arizona students may pursue simultaneous multiple graduate degrees. This process is controlled by the Graduate College. Students must go through the UA Graduate College application system and meet all admission requirements for any additional graduate program. Please refer to the Program Description Guide at the Graduate College website for future students https://grad.arizona.edu/futurestudents/.

5.13 Thesis/Dissertation Publication Requirements

All MS thesis option and PhD candidates are required to submit papers or receive committee approval meeting the standard for publication in a refereed scientific or engineering journal by the time of their Final Oral Defense. Details about the Thesis and Dissertation paper submission requirements and forms can be found in Appendix B.

5.14 Archiving the Thesis/Dissertation

A student who is completing a thesis/dissertation (with enrollment in course number 910 or 920) is required to archive her/his thesis/dissertation upon final approval of his/her graduate committee. The thesis/dissertation will be added to the University of Arizona Campus Repository and the national archive of theses/dissertations and maintained by ProQuest/UMI. There is no charge to the student for archiving the thesis/dissertation. The thesis/dissertation must have been successfully defended and approved by the candidate's committee with all final edits completed in time for the student to submit it online for archiving by the graduation deadline for the student's graduation term.

5.15 Commencement

The University of Arizona, the College of Agriculture and Life Sciences, College of Engineering, and the Department of Biosystems Engineering all celebrate graduate degree completion.

5.15.1 University Commencement

The University of Arizona holds their commencement once a year in May. UA Commencement information can be found at http://grad.arizona.edu/gsas/commencement. The diploma will be mailed to the address you have listed on the UAccess student link as your 'permanent' address. If you do not want it sent to your 'permanent' address, you should create a 'diploma' address, and it will be mailed there instead.

5.15.2 College of Agriculture & Life Sciences (CALS)

The College of Agriculture and Life Sciences has hooding ceremonies twice a year, at the end of each academic semester. Graduate students have the option of attending both this ceremony and the College of Engineering hooding ceremony. Graduate students will be expected to select a Faculty Member to perform the Hooding ceremony.

5.15.3 College of Engineering (COE)

The College of Engineering holds a commencement/hooding ceremony at the end of the fall semester. Graduate students have the option of attending both this ceremony and the CALS hooding ceremony. Graduate students will be expected to select a Faculty Member to perform the Hooding ceremony.

5.15.4 Biosystems Engineering Department

The BE department holds a pre-commencement reception/dinner twice a year -- at the end of each academic semester. Students completing degree requirements in August have the option of attending either the May or December pre-commencement events. Students not completing all graduation requirements, but are close, may attend one pre-commencement reception/dinner of their choosing.

5.16 International Student Resources

International students need to familiarize themselves with the Office of Global Initiatives for International Students [see http://global.arizona.edu/] as well as review the Student Resource Manual at http://global.arizona.edu/international-students/student-resource-manual.

International students should check with the Office of International Student Programs to be sure they comply with their visa status obligation, since they may be required to be enrolled in additional units to maintain full-time student status. Students should also check their I-20 expiration date and, if necessary, begin the renewal process. Students need to give themselves plenty of time to maintain their status. The process may take up to 6 months or more.

5.17 Graduate Student Learning Outcomes Assessment

5.17.1. Expected Learning Outcomes

5.17.1.1 Biosystems Analytics & Technology

By the completion of the Graduate program (MS Thesis/MS Report/ PhD) in Biosystems Analytics & Technology, a student will:

- 1. demonstrate a broad knowledge of his/her focus area in Biosystems Analytics & Technology,
- 2. critically analyze published research results in his/her focus area in Biosystems Analytics & Technology,
- 3. conduct original research on a significant biosystems analytics & technology problem, and
- 4. effectively communicate and defend the results of research to peers and broader scientific audiences.

5.17.1.2 Biosystems Engineering

By the completion of the Graduate program (MS Thesis/MS Report/ PhD) in Biosystems Engineering, a student will:

- 1. demonstrate a broad knowledge of his/her focus area in Biosystems Engineering,
- 2. critically analyze published research results in his/her focus area in Biosystems Engineering,
- 3. conduct original research on a significant biosystems engineering problem, and
- 4. effectively communicate and defend the results of research to peers and broader scientific audiences.

5.17.2. Assessment Activity

Assessments will be conducted throughout the graduate student's tenure in the BE Department as shown in Table 1. Common to all graduate programs in the BE department are the requirements of graduate seminar presentations and the oral and written defense of the research or creative activity. In addition to these common elements, the BE and BAT PhD programs require a student to complete a written and oral comprehensive exam based upon coursework and the student's focus area. These already-existing assessment activities are also used to gather program-level assessment data. Appendix F has all the rubrics for the assessments found in Table 1.

Table 1. Graduate program assessments for Biosystems Analytics & Technology and Biosystems Engineering.

| Assessment Activities | Outcome 1: Knowledge of the Focus Area | Outcome 2: Critical Analysis of Research in the Focus Area | Outcome 3: Conduct Original Research | Outcome 4: Communicate and/or Defend Research |
|--|---|---|---|--|
| Graduate Seminar Presentations ¹ | X | X | | Х |
| Written Comprehensive Exams ² | X | | | |
| Oral Comprehensive Exams ² | X | X | | X |
| Written Graduate Report/Thesis/Dissertation & Oral Defense of the Dissertation ¹ | | Х | Х | Х |
| Exit Survey ¹ | Х | Х | Х | |

¹For example of rubrics, see Appendix F

6.0 MASTER'S OF SCIENCE (MS) DEGREE IN THE BIOSYSTEMS ENGINEERING DEPARTMENT

The Biosystems Engineering Department offers three Master of Science degree programs: Accelerated Masters (AMP) in Biosystems Engineering and two Traditional Masters (2-year MS) in Biosystems Engineering and Biosystems Analytics & Technology. This section summarizes the requirements and steps for completing the AMP or the 2-year MS program. Students in both the AMP and the 2-year MS programs are responsible for knowing the departmental program and Graduate College requirements. Students also need to review the Graduate College Policies and Procedures located at http://grad.arizona.edu/policies and the degree requirements for Master's degrees located at https://grad.arizona.edu/catalog/. The requirements for Master's Degrees on the Graduate College website (https://grad.arizona.edu/gsas/degree-requirements) provides additional details. Students in the AMP and 2-year MS programs may choose either the thesis or graduate report option to complete their degree program. NOTE: Once a BEBS student in the AMP graduates with their BS degree, they are considered a full MS student. The checklist for completing the steps towards the degree is located in Appendix C.

6.1 Credit Requirements

6.1.1 BE AMP

Students enrolled in the BEAMP must maintain a 3.0 GPA in the program to be able to register for courses. A student whose GPA falls below 3.0 will not be permitted to register for additional courses. See Graduate College Policies online at https://grad.arizona.edu/admissions/requirements. AMP students are required to complete the <a href="https://graduate.enrollment.enrollme

AMP students must complete a minimum of 30 units consisting of the following:

• 1 unit BE 597A (Effective 08-2020; for prior catalogs, can be substituted with 1 unit or BE 593 or Elective course)

²For example of rubrics, see Appendix E

- 1 unit of BE 696A (presenting)
- 2 units of BE 501
- 3 units STAT 571B
- 12 units of BE courses (limit of 3 units of house-numbered courses, i.e., 592, 593, 599)
 - o AMP students may choose to complete a 1-unit lab rotation (BE 593) in their senior year
- 6 units of an Elective course (per Major Professor's approval)
- 5 units of either BE 910 Thesis (thesis option) or BE 909 Graduate Report (non-thesis option) under his/her Major Professor's section number

All coursework must be in courses graded A, B, or C except for house-numbered courses, i.e., 592, 593, 599.

6.1.2 Traditional BAT MS

For an MS in Biosystems Analytics & Technology, students must complete a minimum of 30 units consisting of the following:

- 1 unit BE 597A (Effective 08-2020; for prior catalogs, can be substituted with 1 unit or BE 593 or Elective course)
- 2 units of BE 501
- 2 units of BE 696A (presenting)
 - o MS students not presenting in a particular semester will register for BE 696B
- 2 units of 696B (non-presenting)
 - o Students must receive approval from the Department to be excused from this requirement.
 - o Continuous enrollment in either BE 696A or 696B is expected. Any units exceeding the 2 units of BE 696B will not be listed on the student's UAccess Plan of Study.
- 3 units BE 513
- 3 units BE 534
- 3 units STAT 571B
- 3 units ENVS 508
- 6 units of elective courses (per Major Professor's approval)
 - o 2-year MS students may choose to complete a 1-unit lab rotation experience (BE 593) as an elective
- 5 units of either BE 910 Thesis (thesis option) or BE 909 Graduate Report (non-thesis option) under his/her Major Professor's section number

All courses in the Plan of Study must be taken for a grade (A, B, C) except for BE 696B (S, P, F) and the optional 1-unit lab rotation (BE 593). To complete degree requirements, the cumulative GPA in graduate-level courses must be 3.0. A student whose GPA falls below 3.0 will not be permitted to register for additional courses. See Graduate College Policies online at https://grad.arizona.edu/admissions/requirements.

6.1.3 Traditional BE MS

For an MS in Biosystems Engineering, students must complete a minimum of 30 units consisting of the following:

- 1 unit BE 597A (Effective 08-2020; for prior catalogs, can be substituted with 1 unit or BE 593 or Elective course)
- 2 units of BE 501
- 2 units of BE 696A (presenting)
 - o MS students not presenting in a particular semester will register for BE 696B
- 2 units of 696B (non-presenting)
 - o Students must receive approval from the Department to be excused from this requirement.
 - O Continuous enrollment in either BE 696A or 696B is expected. Any units exceeding the 2 units of BE 696B will not be listed on the student's UAccess Plan of Study.

- 3 units STAT 571B
- 9 units of BE courses (limit of 3 units of house-numbered courses, i.e., 592, 593, 599)
- 6 units of elective courses (per Major Professor's approval)
 - o may choose a 1-unit lab rotation experience (BE 593) as an elective
- 5 units of either BE 910 Thesis (thesis option) or BE 909 Graduate Report (non-thesis option) under his/her Major Professor's section number

All courses in the Plan of Study must be taken for a grade (A, B, C) except for BE 696B (S, P, F) and the optional 1-unit lab rotation (BE 593). To complete degree requirements, the cumulative GPA in graduate-level courses must be 3.0. A student whose GPA falls below 3.0 will not be permitted to register for additional courses. See Graduate College Policies online at https://grad.arizona.edu/admissions/requirements.

6.2 Time Limitation

All requirements for the master's degree must be completed within six (6) years. Time-to-degree begins with the earliest course to be applied toward the degree, including credits transferred from other institutions. Coursework more than six (6) years old are not accepted toward meeting degree requirements: http://grad.arizona.edu/gsas/degree-requirements/masters-degrees#time-limitation.

6.3 Transfer Credit

Transfer credits may apply towards the BE MS degree. Students who wish to have Transfer units apply towards their BE degree need to file a petition with the Academic Program Coordinator. The Graduate Program Committee will review the petition and determine if the transfer course is equivalent. If approval is granted, the course may be listed on the Plan of Study (POS). More information on Graduate College Transfer Credit policies is found at http://grad.arizona.edu/academics/program-requirements/masters-degrees or by contacting the BE Academic Program Coordinator and/or their Graduate College Degree Auditor. Up to six (6) units for a master's degree maybe transferred from other accredited institutions. The minimum grade for transferred graduate-level credits must be an A or B or the equivalent at the institution where the course was taken. The transfer grades are not included in the student's UA GPA.

6.4 Master's Plan of Study (POS) Form

In conjunction with the Major Professor, each student is responsible for developing a Plan of Study (POS) by the end of their first semester. The Plan is to be filed in GradPath no later than the end of the first semester in residence. The Plan of Study identifies:

- 1. Courses already completed and planned at The University of Arizona which the student intends to apply toward the graduate degree and
- 2. Approved Transfer courses.

The student should receive approval from the entire Thesis/Report Committee before submitting his or her Plan of Study into the GradPath. The Plan of Study must have the approval of the Academic Program Coordinator, the Major Professor, the Minor Advisor, the BE Director of Graduate Studies, and the Graduate College. For more information on the plan of study, please refer to http://grad.arizona.edu/gsas/degree-requirements/masters-degrees#plan-of-study.

6.5 MS Graduate Committee

Each graduate student will form a graduate committee. The Master's Graduate Committee approves the program of study and the master's thesis/report and assesses the Final Oral Defense and report/thesis for awarding the Master's degree. Master's Committee must consist of three members. At least two members of the committee must be

tenure, tenure-track, or continuing appointment UA BE faculty members (this includes jointly-appointed faculty members). The third member can be another BE faculty or a faculty member from another department. If the third member is not a tenure-track UA faculty member, he or she must be approved by the Graduate College as a Special Member.

The primary responsibilities of a Graduate Faculty Advisor include the following:

- 1. Be a source of academic information for your graduate students
- 2. Provide assistance with details in determining the plan of study
- 3. Be proficient in inputting, managing, and approving forms in GradPath as needed to assure smooth progression to the final degree
- 4. Provide regular, timely input to your students to determine academic progress. Contact the Academic Program Coordinator if you need to request a Special Member. More information about the Graduate College's policy on MS Committee member qualifications can be found at https://grad.arizona.edu/gsas/degree-requirements/masters-degrees.

6.6 MS Thesis/Graduate Report Requirements

The thesis option is the typical and traditional option to articulate the findings of the master's research. This option is valuable to demonstrate the student's ability to express, in writing, their work; is the precursor for the dissertation; and may initiate the student's publishing record.

Students may choose the graduate report option when:

- When the research project is not funded
- When the research is limited in scope
- When the research will not be publishable work, but industry would/could value the information
- When the research is related to a project at their work

Depending on the scope of the project, the major professor or graduate committee may require the thesis route. Once the Plan of Study has been submitted (and the thesis/report option is declared), the student is expected to complete the chosen option.

6.6.1 Thesis Option

Students are required to

- 1. submit a minimum of one paper for publication;
- 2. receive approval for submission to a refereed journal from their Committee and Major Professor; and
- 3. be listed as the first author in at least one paper approved for submission.

Copies of sample Thesis papers are available for check out with the Academic Program Coordinator or via the University Libraries at http://arizona.openrepository.com/arizona/handle/10150/129649/.

6.6.2 Graduate Report Option

Students are required to submit a graduate report to their committee following the format required by the department. Typically, if the student is conducting experimental research, they will use the thesis option format minus the paper requirement.

6.7 Research Proposal

The Research Proposal is the general research plan that the candidate will pursue to obtain his/her master's degree and is of sufficient academic merit on a topic that satisfies his/her Thesis Committee. The candidate is encouraged

to include the title of his/her Research Proposal in his/her Plan of Study. A draft of the Research Proposal will be completed by the completion of BE 501 (as a course requirement). The final Research Proposal will be completed by the end of the 2nd semester in the program and submitted to the Academic Program Coordinator. The Research Proposal will include which option will be selected: thesis or report.

6.8 Final Oral Defense/Examination

6.8.1 Dates and Deadlines

NOTE: All students should refer to the Graduate College Dates and Deadlines website prior to beginning the following steps: https://grad.arizona.edu/gsas/degree-requirements/important-degree-dates-and-deadlines. Scheduling the Final Oral Defense and satisfying all the requirements relating to Final Oral Defense is the sole responsibility of the student.

6.8.2 MS Thesis/Report Defense Process

The following lists the steps needed to complete the Master's Thesis or Engineering Defense (and are also found in table format in Appendix C):

- 1. Upon completion of the research work, student writes his/her thesis/report and presents to his/her Thesis/Engineering Report Committee a draft of the thesis/report one month before the proposed defense date recognizing that the defense date should be at least one week before the deadline set for final submission to the graduate college of the semester the student plans to graduate.
- 2. One week after the submission of the draft, the student meets with her/his committee to present his/her work to the committee.
- 3. At the meeting, the committee decides whether the student is ready to defend his/her work based on the presentation and draft thesis/report.
- 4. If the committee agrees that the student is ready to defend his/her thesis/report/, they signify this by signing the Defense Approval Form.
- 5. If the committee determines that the student is not ready to defend, the Committee Chair/Advisor and student will then send an email notice with the new "Program Completion Date (Graduation Term," to the Academic Program Coordinator, the BE Director of Graduate Studies, and Graduate College Degree Check Advisor.
- 6. Once the candidate is approved to defend, the student submits the signed copy of the Defense Approval Form to the Academic Program Coordinator within 24 hours of approval by the committee.
- 7. Students need to be aware of the submission date for the final approved thesis for archiving before they set their final defense date. Refer to the Dates and Deadlines for their graduation term posted on Graduate Website: https://grad.arizona.edu/gsas/degree-requirements/important-degree-dates-and-deadlines.
- 8. If a committee member is absent from the committee meeting, then it is the student's responsibility to meet with that committee member(s) individually and have them sign the Defense Approval Form.
- 9. Upon receiving the signed Defense Approval Form from the candidate, the Academic Program Coordinator will notify the BE Director of Graduate Studies via GradPath, and the student may then set the defense date a minimum of two weeks after consultation with the committee members.
- 10. The Academic Program Coordinator will have the necessary paperwork sent to the Graduate College and also send emails to the department faculty, students, and staff with the date and location of the defense.
- 11. The candidate is responsible for posting the announcement of his/her defense (at least one week prior to the defense) with the title, date, and location in the appropriate buildings.

The Major Professor (Graduate Committee Chair) presides over the defense examination. Each of the Thesis/Engineering Report Committee members must receive a copy of the thesis/project report approved by the student's Academic Advisor (not necessarily library-ready copies) at *least two weeks prior to the oral examination*.

The examination may last over two hours, but cannot be more than three hours and is composed of two parts. During the first part (about 30 minutes), the student gives an oral presentation of the thesis/project report in an open seminar. The presentation may be interrupted to permit questions to clarify points and questions concerning fundamental principles that are directly related to the thesis/project report. The second part of the examination consists of a closed-to-the-public questioning by the thesis/engineering report committee members on the student's knowledge of the discipline and his/her research project.

For the Final Oral Examination Instructions, please refer to https://grad.arizona.edu/forms/sites/default/files/uagc_page/finaldefenseinstructions.pdf.

Members of the committee must be present for the entire examination. Per Graduate College policies, a member may participate in the Defense via Skype or GoToMeeting. If a member is not able to participate in person or via Skype or GoToMeeting, the student will need to find another tenured, tenure-track, or continuing-appointment committee member, and update their Committee Appointment form.

6.8.3 Reporting Final Oral Defense (Examination) Results

After the Defense:

- 1. The student's Graduate Committee will determine if the student passed, passed with revisions, or failed the exam.
- 2. The Major Professor (Committee Chair) will submit the results to the Academic Program Coordinator via email. Results must be reported to the Graduate College prior to the date on which the degree is to be conferred; specific deadlines from the Graduate College are posted online at https://grad.arizona.edu/gsas/degree-requirements/important-degree-dates-and-deadlines.
- 3. If the candidate **passed the final oral defense** *without* revisions, the student may proceed with the submission process.
 - The BE Academic Program Coordinator records the results of the MS defense in UAccess after receiving confirmation from the Major Professor.
 - The Major Professor (Committee Chair) submits a Change of Grade Form in UAccess Student.
 - The candidate then submits the approved thesis/report in an electronic format to the Graduate College. For further details, refer to the Dissertation/Thesis Submission [http://dissertations.umi.com/arizona/]. NOTE: Students who completed the MS Report option are not required to submit their final report in the ProQuest system.
 - The candidate is required to provide electronic copies of the final thesis/report to the Major Professor, Committee members, and the Department. The candidate is advised to check with his/her Major Professor for any special requirements.
- 4. If the candidate passed the final oral defense with revisions, the following steps need to be taken:
 - The Graduate Committee must determine the date that the student needs to resubmit the corrections to the committee.
 - The Major Professor (Committee Chair) is responsible for ensuring that the student makes the committee's recommendations and notifying the Academic Program Coordinator that the revisions are completed and the student has met the degree requirements. The Chair needs to submit a Change of Grade Form.
 - The Department Academic Program Coordinator records the results of the MS defense in UAccess after receiving confirmation from the Major Professor
 - The candidate then submits the approved thesis/report in an electronic format to the Graduate College. Students need to refer to the Dissertation/Thesis Submission process [http://dissertations.umi.com/arizona/]. NOTE: Students who completed the MS Report option are not required to submit their final report in the ProQuest system.

- The candidate is required to provide electronic copies of the final thesis/report to the Major Professor, Committee members, and the Department. The candidate is advised to check with his/her Major Professor for any special requirements.
- 5. If the candidate **failed the final oral defense**, the candidate, upon the recommendation of the major department, may be granted a second examination. The result of the second examination is final.

7.0 Doctor of Philosophy (PhD) Degree in Biosystems Engineering and Biosystems Analytics & Technology

Attainment of a Doctor of Philosophy (PhD) degree at The University of Arizona requires outstanding scholarship and demonstration of distinguished research leading to a dissertation that contributes significantly to the general pool of knowledge in the discipline. This section describes the requirements for completion of the PhD degree within the Biosystems Engineering Department. A general timetable for completing the steps for the PhD is given in Appendix C.

PhD students are responsible for knowing the BE program and Graduate College requirements. PhD students also need to review the Graduate College Policies and Procedures located at http://grad.arizona.edu/degreecert and the degree requirements for PhD degrees located at http://grad.arizona.edu/gsas/degree-requirements/doctor-philosophy.

7.1 Pursuing PhD after MS at the University of Arizona

A student may use up to a total of 30 credits from his/her master's degrees towards their doctorate program. More information may be found at https://grad.arizona.edu/gsas/degree-requirements/doctor-philosophy#credit-requirements.

7.2 PhD Graduate Committee

The PhD Graduate Committee consists of at least three faculty members who represent the major subject area and one or more faculty members who represent the minor subject area. A minimum of two major-subject-area faculty must be from the BE department; the third major-subject-area faculty member may be from inside or outside the department. The Graduate College requires a minimum of three members, all of whom must be University of Arizona tenured, tenure-track, continuing appointment, or approved as equivalent.

The PhD Graduate Committee approves the Doctoral Degree Plan of Study and constitutes the committee for the Final Oral Defense of the doctoral dissertation. They may also constitute the Comprehensive Examining Committee. Since the Dissertation Committee plays such a central role in the doctoral program, it should be formed soon after the selection of the major professor.

7.3 Credit Requirements for PhD

For a PhD in Biosystems Engineering, a candidate must complete 63 units (minimum) consisting of 45 non-Dissertation units and 18 Dissertation (BE 920) units. All required units of credit must be at the 500-level or above at The University of Arizona or, in the case of transfer units, their equivalent at other institutions.

7.3.1 Minimum Course Requirements for BAT PhD

For a PhD in Biosystems Engineering, students must complete a minimum of 63 units, consisting of the following:

- 1 unit BE 597A (Effective 08-2020; for prior catalogs, can be substituted with 1 unit or BE 593 or Elective course)
- 1 unit of BE 693 (section # under faculty sponsor)
- 2 units of BE 501
- 3 units of BE 513
- 3 units of BE 534
- 3 units of STAT 571B
- 3 units of ENVS 508
- 4 units of 696B (non-presenting)
 - o Continuous enrollment in BE 696B for each semester he/she is not presenting is expected. Students must receive approval from the Department to be excused from this requirement
 - Any units exceeding the 2 units of BE 696B will not be listed on the student's UAccess Plan of Study
- 4 units of BE 696A (presenting)
 - o Any units exceeding the 4 units of BE 696A will not be listed on the student's Plan of Study
 - EXCEPTION: Candidates with a BE MS degree are only required to take 2 units as the other 2 units were earned from their MS program
- 9-12 units of elective courses per approval of Major Professor (depending on the required minor units)
 - o may choose a 1-unit lab rotation experience (BE 593) as an elective
- 18 units (minimum) of Dissertation units (BE 920)
- 9-12 units in the minor, depending on the Minor Department requirements

7.3.2 Minimum Course Requirements for BE PhD

For a PhD in Biosystems Engineering, students must complete a minimum of 63 units, consisting of the following:

- 1 unit BE 597A (Effective 08-2020; for prior catalogs, can be substituted with 1 unit or BE 593 or Elective course)
- 1 unit of BE 693 (section # under faculty sponsor)
- 2 units of BE 501
- 3 units of STAT 571B
- 1 units of BE 696B (non-presenting)
 - o Continuous enrollment in BE 696B for each semester he/she is not presenting is expected. Students must receive approval from the Department to be excused from this requirement
 - o Any units exceeding the 2 units of BE 696B will not be listed on the student's UAccess Plan of Study
- 4 units of BE 696A (presenting)
 - o Any units exceeding the 4 units of BE 696A will not be listed on the student's Plan of Study
 - o EXCEPTION: Candidates with a BE MS degree are only required to take 2 units as the other 2 units were earned from their MS program
- 6 units of either Numerical Analysis, or other approved Mathematics/ Modeling courses
- 12 units of BE courses (limit of 3 units of house-numbered courses, i.e., 592, 593, 599)
 - o may choose a 1-unit lab rotation experience (BE 593) as an elective
- 3 to 6 units of elective courses per approval of Major Professor (depending on the required minor units)
 - o may choose a 1-unit lab rotation experience (BE 593) as an elective
- 18 units (minimum) of Dissertation units (BE 920)
- 9 to 12 units in the minor, depending on the Minor Department requirements

All courses in the Plan of Study must be taken for a grade (A, B, C) except for BE 693 (Teaching Internship) and 1 unit of lab rotation (BE 593).

7.4 Transfer Credit

Graduate credit earned at other approved institutions, if accepted by the department and the Graduate College, may be counted toward the requirements of this degree. Students who wish to use transfer credit must submit a request through the Academic Program Coordinator before the end of their first year of study to the Graduate College.

Transferred units are subject to the following restrictions:

- 1. The credits must be approved by the major or minor department and the Graduate College.
- 2. The minimum grade for transferred credits must be an A or B or the equivalent at the institution where the course was taken.
- 3. Transferred units may not count toward more than one doctorate.
- 4. A maximum of 30 units of transfer coursework may applied toward the PhD requirements.

The Graduate Committee will review the petition and determine if the transfer course is equivalent. If the request is approved, the course may be listed on the Plan of Study. For more information on Graduate College Transfer Credit policies, students should consult http://grad.arizona.edu/academics/program-requirements/doctor-of-philosophy/credit-requirements-and-transfer-credit, contact the BE Academic Program Coordinator, and/or contact their Graduate College Degree Auditor.

7.5 PhD Minor Requirements for BAT and BE PhD Candidates

BAT/BE PhD students are required to complete a minor. The minor subject area may be taken inside or outside of the BE Department, BUT it must be in a different area than the major focus. The student may choose one or two minor areas, which are determined in consultation with his/her Major Professor. The department in which the minor is sought determines specific requirements. The Graduate College requires that the minimum number of minor coursework is nine (9) units, but most minor programs require twelve (12) units of coursework.

The following are some suggested minors for BE students: Soil, Water, and Environmental Science; Plant Sciences; Chemical and Environmental Engineering; Civil Engineering and Engineering Mechanics; Electrical and Computer Engineering; Hydrology and Water Resource; Resource Economics; Mathematics; Renewable Natural Resource; Systems and Industrial Engineering; Aerospace and Mechanical Engineering; Biomedical Engineering; and Optical Science. The following are some suggested minors for BAT students: Bioinformatics, Biostatistics, Controlled Environment Agriculture, Ecology, Health Informatics, Informatics, Management Information Systems, Math, Microbiology, Natural Resources, Remote Sensing, Renewal Natural Resources, Science Information Systems, and Statistics.

7.6 Requirements for Minoring in BAT or BE

To minor in Biosystems Analytics & Technology or Biosystems Engineering, a candidate must complete 10 units consisting of:

- 9 units of departmental courses determined by the student and his/her BAT/BE minor advisor, and
- at least 1 unit of BE 696A, Graduate Seminar presentation.

Students need to select their Minor Advisor, and receive approval from their Major advisor, before completing the Graduate College Minor application and filing his/her POS. The Minor Advisor will serve on the Graduate Dissertation Committee.

7.7 Teaching Experience Requirement

The BE department recognizes that many PhD students will have a faculty role and teach at universities or colleges. We want to help prepare our students for that event. Therefore, all PhD students are required to have one unit of BE 693, Teaching Internship, to document their teaching experience. The following are methods to achieve this teaching experience.

Graduate Teaching Experience Options:

- 1. Take FCSC/CALS 696E, Learner-Centered Teaching for Online Delivery: This seminar course is designed to introduce students to common pedagogical issues associated with both assisting in and teaching learner-centered courses in online formats.
- 2. Take IA 697A, Learner-Centered Teaching: This seminar course is designed for graduate students who will be serving as teaching assistants/graders or who plan to pursue a career in teaching. Pedagogical issues central to teaching/learning at the college level such as learning styles and classroom climate and culture will be covered.
- 3. Take IA 697B, Using Technology in Teaching: This seminar course combines in-depth reading and discussion related to pedagogical issues in the use of technology in teaching and learning with guided, individually focused training and practice in using technology in teaching.
- 4. Take IA 697G, Universal Design: Inclusive Learning Environments: This course provides a comprehensive review of the theory, strategies, and techniques used in instructional design processes that foster inclusive learning environments for all learners. The curriculum addresses characteristics of learners such as learning differences and preferences and 21st-century learning attributes, approaches for utilizing differentiated instruction, engagement and motivation techniques, classroom management tactics, and universal design strategies. Emphasis will be placed on the critical review of the literature as practically applied to various learning environments and contexts in post-secondary education.
- 5. Complete the Graduate Teaching Certificate through the Office of Instruction & Assessment (http://cct.oia.arizona.edu).
- 6. Serve as a GTA for one semester if the GTA experience has significant teaching responsibilities.

Students are required to complete the BE 693 Teaching Experience plan (see Appendix E). Students are required to have a plan and must select a teaching experience advisor and receive approval from their Major Professor. The Teaching Experience Advisor needs to be a BE Faculty member, but not necessarily the Major Professor. At the end of the teaching internship, a report must be submitted to the internship advisor.

7.8 Time Limitation

Students must complete their degree within five years of passing the Comprehensive Examination. A student not finishing within that time period may be allowed to re-take the Comprehensive Examination with the permission of the BE Graduate Program Director.

7.9 Plan of Study

In conjunction with the Major Professor, each student is responsible for developing a Plan of Study by the end of their first semester. The Plan is to be filed in GradPath no later than the end of the first semester in residence. The Plan of Study identifies:

- 1. Approved Transfer courses;
- 2. Courses already completed and planned at The University of Arizona which the student intends to apply toward the graduate degree;
- 3. Approved Minor courses.

Before submitting his/her Plan of Study in GradPath, the student must receive approval from his/her Dissertation Committee, Major Professor, Minor Advisor, the BE Director of Graduate Studies, BE Academic Program Coordinator, and the Graduate College. For more information on the doctoral plan of study, please refer to https://grad.arizona.edu/gsas/degree-requirements/doctor-philosophy#plan-of-study.

7.10 Research Proposal (Prospectus) for the Dissertation

The Research Proposal is the general research plan that the candidate will pursue to obtain their doctoral degree and is of sufficient academic merit on a topic that satisfies his/her Dissertation Committee. The candidate is encouraged to include the title of his/her Research Proposal in their Plan of Study. A draft of the Research Proposal will be completed by the completion of BE 501 (as a course requirement) OR, in the case of PhD candidates already completing BE 501 in their master's degree program, the Research Proposal draft will be completed no later than the end of the 2nd semester in the program. The final Research Proposal will be completed by the end of the 3rd semester in the program and submitted to the Academic Program Coordinator. Once the final Research Proposal is received, the Academic Program Coordinator will submit the Research Proposal form in GradPath.

7.11 Comprehensive Examination

Admission to graduate study does not imply admission to candidacy for an advanced degree. Before admission to candidacy for the doctoral degree, the student must pass the doctoral Comprehensive Examination (a general examination in the chosen fields of study). This examination is intended to test the student's comprehensive knowledge of the major and minor subjects of study, both in breadth across the general field of research and indepth within the area of specialization. Therefore, the examination should not take place until the student has completed all, or almost all, of his/her coursework. The exam will determine whether the student will be permitted to continue the PhD program as a BE PhD candidate.

7.11.1 Comprehensive Examination Structure

The Comprehensive Examination is considered a single examination and is composed of two parts:

- 1. A written portion covering the major and minor fields, and
- 2. An oral portion, which is to be conducted before the candidate's Comprehensive Examination Committee members. The BE Department recommends that the oral portion is taken no later than two weeks after the successful completion of the written portion. However, the Graduate College allows the oral portion of the Comprehensive Examination to be completed as late as three (3) months before the Final Oral Defense.

7.11.2 Comprehensive Examination Committee and Form

Students should receive verbal approval from their Major Professor and Dissertation Committee members before submitting the Comp Exam form in GradPath. The Comprehensive Examination Committee must consist of a minimum of four (4) members. In the BE department, the practice is for the Comprehensive Examination Committee to consist of the Dissertation Committee with an additional member(s). The additional member(s) should be tenured or tenure-track, or an approved special member. Special members must be pre-approved by the Dean of the Graduate College. Please contact the Academic Program Coordinator to process the Special Member request. Any members beyond the fourth can also be current tenured or tenure-track faculty members or approved special members. Once the committee has been approved by the assigned approvers, the student will proceed to the Announcement of Comprehensive Examination.

NOTE: All committee members, including the Minor Advisor, must be present and participate in the Comprehensive Examination.

7.11.3 Announcement of Comprehensive Examination

Once the Comprehensive Examination Committee has agreed on a time and place for the exam, the student must complete the Announcement of Comprehensive Examination form in GradPath. Before completing the form in GradPath, the form must be approved by the Major Professor, Minor Advisor, BE Director of Graduate Studies, BE Academic Program Coordinator, and the Graduate College. Once approved, the form will notify the examining committee of the date and time of the Comprehensive Exam.

7.11.4 Reporting the Results of the Comprehensive Examination

Based on the student's combined performance in the written and oral portions, the examining committee awards a grade of pass or fail. The Major Professor reports the final results of the Comprehensive Examination in GradPath.

If the student passed the comprehensive exam, the student will be Advanced to Candidacy and proceed to complete the Dissertation Committee Appointment form.

If the student failed the comprehensive exam, the student may be permitted a second attempt to pass the examination, but only if recommended by the examining committee. Students will be allowed *no more* than one re-take. For more information on the Comprehensive Examination, please refer to https://grad.arizona.edu/gsas/degree-requirements/doctor-philosophy and Policies and Procedures for Oral Comprehensive Examination for Doctoral Candidacy https://arizona.app.box.com/v/grad-gsas-comporalexam.

7.12 Dissertation Requirements

Students are required to submit a minimum of two (2) papers for publication. The publication papers, along with the Dissertation, must be submitted to the Dissertation Committee for review and approval *three weeks prior to scheduling the defense*. The student needs to:

- 1. Receive approval for submission to a refereed journal from their Dissertation Committee and Major Professor.
- 2. Be listed as the first author in at least one of the papers approved for submission.
- 3. Complete form, THESIS/DISSERTATION PAPER CERTIFICATION FOR SUBMITTED/PUBLISHED MANUSCRIPT (can bring to the defense, but must be completed BEFORE submission to journal)

Dissertation format requirements can be found in Appendix B. BE PhD candidates should review the Graduate College manual to ensure that their Dissertation is in the proper format. For more information on formatting, please refer to https://grad.arizona.edu/gsas/dissertations-theses/dissertation-and-thesis-formatting-guides. Copies of Filed Dissertation papers are available for check out with the Department Academic Program Coordinator or via the University Libraries at http://arizona.openrepository.com/arizona/handle/10150/129649/.

7.13 Final Oral Defense

Upon the completion and approval of the Dissertation by the Committee, the student is ready to schedule the Final Oral Defense. A student must be in good academic standing to schedule the Final Oral Defense. The examination focuses on the dissertation itself but can include general questioning related to the field(s) of study within the scope of the dissertation. The exact time and place of this Final Oral Defense must be scheduled through GradPath at least two weeks in advance. The Major Professor, who serves as the chair of the committee, presides over the examination. The Defense is closed to the public, except for an initial seminar portion during which the student presents the dissertation and entertains questions. The Final Oral Defense needs to be concluded within three hours. Members of the Dissertation Committee must be present for the entire examination. Students should send the Graduate College link for the Final Oral Defense Instructions to their Major Professor at least one week prior to the date of the defense. For more information on the UA's policy on the Final Oral Defense, go to

https://arizona.app.box.com/v/grad-gsas-finaldefnsinstr. NOTE: The BE faculty support the UA's policy that all members of the committee must be present for the entire examination.

Per Graduate College policies, a member may participate in the Defense via Skype or GoToMeeting. If a member is not able to participate in person or via Skype or GoToMeeting, the student will need to find another tenured, tenure-track, or continuing-appointment committee member, and update their Committee Appointment form.

7.13.1 Dates and Deadlines

NOTE: All candidates should refer to the Graduate College Dates and Deadlines website prior to beginning the following steps at https://grad.arizona.edu/gsas/degree-requirements/important-degree-dates-and-deadlines. Scheduling the Final Oral Defense and satisfying all requirements relating to this examination is the sole responsibility of the student.

7.13.2 Final Oral Defense Process

The following lists the steps to completing the final oral defense of a student's dissertation (also available in table format in Appendix C):

- 1. Upon completion of the research work, the student writes his/her dissertation and presents to his/her Dissertation Committee a draft of the dissertation one month before the proposed defense date recognizing that the defense date should be at least one week before the deadline set for final submission to the graduate college of the semester the student plans to graduate.
- 2. One week after the submission of the draft, the student meets with her/his Dissertation Committee to present his/her work.
- 3. At the meeting, the Dissertation Committee decides whether the student is ready to defend her/his work based on the presentation and draft dissertation.
- 4. If the committee agrees that the student is ready to defend his/her dissertation, they signify by signing the Defense Approval Form (see Academic Program Coordinator for a copy of this form).
- 5. Students should be aware of the submission date for the final approved dissertation for archiving before they set their final oral examination date. Refer to the Graduate College's Dates and Deadlines for graduation at https://grad.arizona.edu/gsas/degree-requirements/important-degree-dates-and-deadlines.
- 6. The student is also responsible for completing the Announcement of Final Oral Defense in UAccess.
- 7. If the Dissertation Committee determines that the PhD student is not ready to defend, the Academic Program Coordinator will decline the Announcement of Final Oral Defense form in UAccess and notify the BE Director of Graduate Studies and Department Head of the findings. The Major Professor and the student will send an email notice with the new "Program Completion Date (Graduation Term)" to the Academic Program Coordinator, the BE Director of Graduate Studies, and Graduate College Degree Check Advisor.
- 8. Upon receiving the signed Defense Approval Form from the candidate, the Academic Program Coordinator will notify the BE Director of Graduate Studies via GradPath, and the candidate may then set the Final Oral Defense date a minimum of two weeks after consultation with their Dissertation Committee members.
- 9. The Academic Program Coordinator will have the necessary paperwork sent to the Graduate College and also send an email to the department faculty, students, and staff with the date and location of the final oral defense.
- 10. The student is responsible for posting the announcement, at least a week prior to the final oral defense date. The announcement should include the title, date, and location of the defense.
- 11. The Academic Program Coordinator will approve the Announcement of Oral Defense form in GradPath. Approval by the Director of Graduate Studies completes the process.

7.13.3 Reporting Results of the Final Oral Defense

After the Final Oral Defense, the candidate's Dissertation Committee will determine if the student passed, passed with revisions, or failed. The Committee needs to follow the Graduate College procedures for the Final Oral Defense located at https://arizona.app.box.com/v/grad-gsas-finaldefnsinstr.

If the student passes the final oral defense without revisions:

- 1. The student may proceed with the dissertation submission.
- 2. The Major Professor should submit a Change of Grade Form to the Academic Program Coordinator and report the results in GradPath.

If the student passed the final oral defense with revisions:

- 1. The Dissertation Committee must determine the date that the student needs to resubmit the corrections to the committee.
- 2. The Major Professor will need to enter this date in the GradPath form.
- 3. After the Dissertation Committee approves the final corrected revisions, the Major Professor sends an email to the Graduate Auditor and the Academic Program Coordinator confirming the final results for degree completion as well as submits a Change of Grade Form in GradPath. Once the final revisions are approved, the student will be advised to complete the submission process.

If the student failed the final oral defense, he/she needs to contact the Graduate College.

7.14 Dissertation Submission

Following a successful Final Oral Defense:

- 1. The candidate must submit an approved dissertation in electronic format to the University Proquest system. For further instructions, refer to the Dissertation/Thesis Submission site at https://grad.arizona.edu/gsas/degree-requirements/doctor-philosophy#final-oral-defense.
- 2. The dissertation must also be submitted as an electronic copy to the BE department.
- 3. In addition, the candidate's Major Professor and Dissertation Committee may require copies of the dissertation in electronic format. Check with your Major Professor for any special requirements.

Upon receipt of the finalized dissertation, the Dean of the Graduate College will recommend conferral of the doctoral degree by the Arizona Board of Regents. Once the Graduate College Degree Auditor receives the final result for the Defense they will send the student information on the Dissertation Submission process.

7.15 Dual Degrees

Dual degree programs allow qualified students an opportunity to earn two degrees with a reduction in the total number of credit hours required by allowing students to use a certain number of units in common between the two degrees. The number of shared units varies by the dual degree program. Please contact individual departments for more specific information about their dual degree programs.

APPENDIX A

EXAMPLE OF PLAN OF STUDY FOR THE ACCELERATED MASTER'S PROGRAM (AMP) in BIOSYSTEMS ENGINEERING

Senior Year/1st Year in AMP

| Course | Unit | Course | Unit |
|---------------------------------------|------|---------------------------------------|------|
| Fall Semester | | Spring Semester | |
| BE 496A (Seminar in Engr Careers & | 1 | ENGR 498B (Cross-disciplinary Design) | 3 |
| Professions) | | | |
| ENGR 498A (Cross-disciplinary Design) | 3 | 500-level (BE) Design Elective | 3 |
| 500-level (BE) Design Elective | 3 | 500-level TECH Elective | 3 |
| 500-level TECH Elective | 3 | 400-level TECH Elective | 3 |
| AME 324A (Mechanics of Materials) | 3 | Tier 2 ART/HUM | 3 |
| Tier 2 INDV | 3 | BE 501 Research Methods | 2 |
| BE 493 (Internship) | 1 | | |
| BE 593 (Rotation, option) | 1 | | |
| Total | 18 | Total | 17 |

2nd Year in AMP

| Course | Unit | Course | Unit |
|--|------|---|------|
| Fall Semester | | Spring Semester | |
| BE 696A-002 (Presenting Seminar) | 1 | BE 696A-002 (Presenting Seminar) | 1 |
| 500-level BE Elective | 3 | STAT 571B | 3 |
| 500-level TECH Elective | 3 | | |
| BE 910 (Thesis) or BE 909 (Engineering Report) | 3 | BE 910 (Thesis) or BE 909 (Engineering Report) | 2 |
| Total | 10 | Total | 8 |

APPENDIX B

THESIS/ GRADUATE REPORT/DISSERTATION REQUIREMENTS

Thesis/Dissertation Paper Requirements

Objectives

MS Thesis Option and PhD students are required to submit papers for publication refereed scientific journals by the time of their Final Oral Examination. The primary objectives of the option are:

- i. To encourage graduate students to learn the submission/publication processes of refereed journals before graduation and
- ii. To shorten the process of publishing papers from the thesis/dissertation.

Similar options are available in many European and U.S. institutions (including at least two departments at the UA). Sample Thesis and Dissertation papers completed under this option are available in the department for check out. These are also available through the UA library. If you have any questions, please do not hesitate to contact the Director of Graduate Studies and/or your Faculty Advisor.

Guidelines

- MS one paper/manuscript with the student as the first author-approved for submission to a
 refereed journal by the committee and the major advisor is required.
 PhD two papers/manuscripts with the student as the first author in at least one paper
 approved for submission to a refereed journal by the committee and the major advisor is
 required.
- Prior to the submission of each manuscript, a Faculty Advisor's (and co-advisors, if any) approval is required. After the Faculty Advisor's review, each manuscript must be approved by a majority of the student's Graduate Committee members (or all Dissertation Committee members if there are three or less). Please remember to attach the signature page for the BE paper for each manuscript. Signature page can be obtained Appendix E.
- The student's Graduate Committee will decide when the paper is ready for defense. Students must give a copy of the penultimate paper *three weeks* (minimum) before the scheduled defense.

Recommended Thesis/Dissertation Format

Examples of Sample Pages and formatting guidelines for use in dissertations and theses can be found on the Graduate College site, https://grad.arizona.edu/gsas/dissertations-theses. All Theses and Dissertations are required to be archived in UA Campus Repository. Typically, the elements of the thesis or dissertation is as follows:

- 1 Title Page (required format)
- 2 Committee Approval Page (can be physical approval page using required format OR use Adobe Sign to gather signature)
- 3 Acknowledgments and Dedication (optional)
- **4** Table of Contents
 - **4.1** include all chapters & major sections; if you report sub-sections, be consistent in listing all subsections at the same level
 - **4.2** List of Figures/Illustrations (from Introduction & Present Study)
 - **4.3** List of Tables (from Introduction & Present Study)
- 5 Abstract
- 6 Chapter 1. Introduction
 - **6.1** Explanation of the problem(s), objectives, and uniqueness.
 - 6.2 The relationship of the manuscripts included and your contribution to each of the manuscripts. The published or publishable work must be logically connected and integrated into the dissertation in a coherent manner. Simply binding reprints or collections of publications together is not acceptable as a dissertation in either format or concept.
 - **6.3** Specify your role in the research and production of the manuscript(s). Where research efforts are part of the larger collaborative project, identify one aspect of the project as your own and demonstrate an original contribution.
 - **6.4** An overall literature review and background.
- 7 Chapter 2. Present Study
 - **7.1** Overall summary.
 - 7.2 Overall conclusions and recommendations.
- **8** Appendices:
 - **8.1** Manuscript No. 1¹ (required of both theses and dissertations)
 - **8.2** Manuscript No. 2¹ (required for dissertations, optional for theses)
 - **8.3** Supplementary materials Materials such as data tables, additional references, graphs, computer programs, and maps.
 - **8.4** All appendix pages are part of the single pagination sequence of the thesis/dissertation.

¹The first page each manuscript must include the title, a list of co-authors, and a refereed journal to which the manuscript was submitted. The statement of permission for use of copyrighted material should be attached if needed.

Recommended Graduate Report (Non-thesis) Format

Students may choose the graduate report option when:

- the research project is not funded
- the research is limited in scope
- the MS program will be a terminal degree
- the research will not be publishable work, but industry would/could value the information
- the research is related to a project at their work

Graduate reports shall follow the more traditional thesis format without the requirement of a publishable paper embedded in the thesis. NOTE: Follow APA standard format throughout the text.

- 1 Title Page (required format)
- 2 Committee Approval Page (can be physical approval page using required format OR use Adobe Sign to gather signature)
- 3 Acknowledgments and Dedication (optional)
- **4** Table of Contents
 - **4.1** Include all chapters & major sections; if you report sub-sections, be consistent in listing all subsections at the same level
 - **4.2** List of Figures/Illustrations (from Introduction & Present Study)
 - **4.3** List of Tables (from Introduction & Present Study)
- **5** Abstract
- 6 Chapter 1. Introduction
 - **6.1** Explanation of the problem(s), objectives, and uniqueness
 - **6.2** Justification for the research
- 7 Chapter 2. Literature Review
- 8 Chapter 3. Methods and Materials
- **9** Chapter 4. Discussion of Results
- **10** Chapter 5. Summary and Recommendations
- **11** Appendices:
 - **11.1** Supplementary materials Materials such as data tables, additional references, graphs, computer programs, and maps.
 - 11.2 All appendix pages are part of the single pagination sequence of the report.

APPENDIX C

CHECKLISTS FOR COMPLETING THE STEPS IN THE BE AMP, BAT/BE TRADITIONAL MS, AND BAT/BE PhD DEGREES



CHECKLIST FOR COMPLETING THE STEPS IN THE BIOSYSTEMS ENGINEERING ACCELERATED MASTER'S PROGRAM (AMP)

This checklist is to be completed by the end of first semester in the program in agreement with your major professor as indicated by his/her signature at the bottom of this form/checklist. Once this form is signed, please upload to the appropriate Assignment folder in D2L for BE 696A/B.

Name:

| Activity | Proposed Deadline First Semester (Senior Year) Second Semester (Senior Year) Third Semester Final Semester Last 2 Weeks | Actual Date Completed |
|--|---|--------------------------|
| Meet with BE Director of Graduate Studies to discuss options and procedures for choosing a Major Professor | | |
| DEADLINE: First few weeks beginning the program | | |
| Choose Major Professor | | |
| Recommended: End of 1 st semester of the senior year | | |
| Choose Graduate Committee | | |
| Recommended: End of 1 st semester of the senior year | | |
| Meet with Major Professor and Graduate Committee to | | |
| establish PLAN OF STUDY and RESEARCH TOPIC | | |
| Recommended: Middle of last semester of the senior year | | |
| Complete STUDENT PROGRESS REPORTS | | |
| DEADLINE : November for Fall and April for Spring | | |
| Identify Major Professor, Project, and Committee Members | | |
| AND Submit the PLAN OF STUDY to GradPath | | |
| DEADLINE: End of the 2 nd semester of the program | | |
| Complete draft of RESEARCH PROPOSAL | | |
| DEADLINE: Upon completion of BE 501 or end of 2 nd | | |
| semester of the senior year | | |
| Complete final RESEARCH PROPOSAL and submit to | | |
| student's Graduate Committee | | |
| DEADLINE: No later than beginning of the 3 rd semester in the | | |
| program | | |
| If completing a thesis, submit a minimum of 1 paper for | | |
| publication, and submit signed THESIS/DISSERTATION PAPER CERTIFICATION FOR SUBMITTED/PUBLISHED | | |
| MANUSCRIPT form to Academic Program Coordinator | | |
| DEADLINE: prior to submission to journal | | |
| Submit draft THESIS/REPORT to student's Graduate | | |
| Committee for approval to defend | | |
| DEADLINE : <i>Final Semester</i> : 1 month <i>before</i> proposed | | |
| defense date | | |
| Meet with student's Graduate Committee | | |

| Activity | Proposed Deadline | Actual Date |
|--|-------------------------------|-------------|
| | First Semester (Senior Year) | Completed |
| | Second Semester (Senior Year) | p |
| | Third Semester | |
| | Final Semester | |
| | Last 2 Weeks | |
| DEADLINE: Final Semester: 1 week after submitting draft | | |
| Thesis/Report | | |
| Submit signed DEFENSE APPROVAL form to Academic | | |
| Program Coordinator | | |
| DEADLINE: <i>Final Semester:</i> Within 24 hours of meeting with | | |
| Graduate Committee to review draft Thesis/Report | | |
| Schedule FINAL ORAL DEFENSE date with student's Graduate | | |
| Committee | | |
| DEADLINE: Final Semester: Date must be no later than 1 | | |
| week <i>before</i> Graduate College deadline for final submission | | |
| Post FINAL ORAL DEFENSE announcement | | |
| DEADLINE: <i>Final semester:</i> A minimum of a week before the | | |
| defense date | | |
| COMPLETION OF DEGREE REQUIREMENTS done by the | | |
| Academic Program Coordinator after receiving the final | | |
| defense result from the major professor | | |
| DEADLINE: Final semester: The major professor needs to | | |
| report to the Academic Program Coordinator on the day of | | |
| the defense: | | |
| 1. If pass with revision, the revision due date must be | | |
| entered and must be before the graduate college due | | |
| date. | | |
| a. On the date the revision is due, the major professor | | |
| needs to report results of either pass or fail. | | |
| b. If the revisions are not completed on time, the | | |
| major professor should contact the Academic | | |
| Program Coordinator and the Graduate College. | | |
| 2. If fail, contact the Academic Program Coordinator and | | |
| the Graduate College. | | |
| Meet with Department Head to complete EXIT SURVEY | | |
| DEADLINE : <i>Final semester</i> : Within 2 weeks of graduation | | |
| Submit electronic copy of THESIS/REPORT to the Graduate | | |
| Degree Certification Office. For instructions, see Thesis | | |
| Archiving at https://grad.arizona.edu/gsas/degree- | | |
| requirements/masters-degrees#thesis-archiving | | |
| DEADLINE : <i>Final semester</i> : Upon completion of degree | | |
| requirements | | |
| Submit electronic copy of THESIS/REPORT to BE Department | | |
| Academic Program Coordinator. | | |
| DEADLINE : <i>Final semester</i> : Upon completion of degree | | |
| requirements | | |
| | | |
| | | |
| ี่ Major Professor Signature | Date | |

CHECKLIST FOR COMPLETING THE STEPS IN THE BAT AND BE 2-YEAR MS DEGREES

This checklist is to be completed by the end of your first semester in the program in agreement with your major professor as indicated by his/her signature at the bottom of this form/checklist. Once this form is signed, please upload to the appropriate Assignment folder in D2L for BE 696A/B.

Name:

| Activity | Proposed Deadline | Actual Date |
|---|-------------------|-------------|
| | First Semester | Completed |
| | Second Semester | |
| | Third Semester | |
| | Final Semester | |
| | Last 2 Weeks | |
| Meet with BE Director of Graduate Studies to discuss | | |
| options and procedures for choosing a Major | | |
| Professor | | |
| DEADLINE: First few weeks beginning the program | | |
| Choose Major Professor | | |
| DEADLINE: End of 1 st semester | | |
| Choose Graduate Committee | | |
| Recommended: End of 1st semester | | |
| Complete STUDENT PROGRESS REPORTS | | |
| Deadline: Nov for Fall and April for Spring | | |
| Meet with Major Professor and Graduate Committee to | | |
| establish PLAN OF STUDY and RESEARCH TOPIC | | |
| Recommended: Middle of 2 nd semester | | |
| Submit Plan of Study, Major Professor, and Committee | | |
| Members to GradPath & Complete draft of RESEARCH | | |
| PROPOSAL | | |
| DEADLINE: upon completion of BE 501 or end of 2 nd | | |
| semester | | |
| Complete final RESEARCH PROPOSAL and submit to | | |
| student's Graduate Committee | | |
| DEADLINE: Beginning of 3 rd semester in the program | | |
| If completing thesis, submit at least 1 paper for | | |
| publication, and submit signed THESIS/DISSERTATION | | |
| PAPER CERTIFICATION FOR SUBMITTED/PUBLISHED | | |
| MANUSCRIPT form to Academic Program Coordinator | | |
| DEADLINE: prior to submission to journal | | |
| Submit draft THESIS/REPORT to student's Graduate | | |
| Committee for approval to defend | | |
| DEADLINE: Final Semester: 1 month before proposed | | |
| defense date | | |
| Meet with Graduate Committee | | |
| DEADLINE: Final Semester: 1 week after submitting draft | | |
| Thesis/Report | | |

| Activity | Proposed Deadline | Actual Date |
|--|-------------------|-------------|
| | First Semester | Completed |
| | Second Semester | |
| | Third Semester | |
| | Final Semester | |
| | Last 2 Weeks | |
| Submit signed DEFENSE APPROVAL form to Academic | | |
| Program Coordinator | | |
| DEADLINE : <i>Final Semester</i> : Within 24 hours of meeting | | |
| with Graduate Committee to review draft Thesis/Report | | |
| Schedule FINAL ORAL DEFENSE date with Graduate | | |
| Committee | | |
| DEADLINE: <i>Final Semester:</i> Date must be no later than 1 | | |
| week <i>before</i> Graduate College deadline for final | | |
| submission | | |
| Post FINAL ORAL DEFENSE announcement | | |
| DEADLINE: <i>Final semester:</i> A minimum of a week before | | |
| the defense date | | |
| COMPLETION OF DEGREE REQUIREMENTS done by the | | |
| Academic Program Coordinator after receiving the final | | |
| defense result from the major professor | | |
| DEADLINE : <i>Final semester:</i> The major professor should | | |
| report to the Academic Program Coordinator on the day | | |
| of the defense: | | |
| 1. If pass with revision, the revision due date must be | | |
| entered and must be before the graduate college due | | |
| date. | | |
| a. On the date the revision is due, the major | | |
| professor needs to report results of either pass or | | |
| fail. | | |
| b. If the revisions are not completed on time, the | | |
| major professor should contact the Academic | | |
| Program Coordinator and the Graduate College. | | |
| If fail, contact the Academic Program Coordinator and the | | |
| Graduate College. | | |
| Meet with Department Head to complete EXIT SURVEY | | |
| DEADLINE : <i>Final semester</i> : within 2 weeks of graduation | | |
| Submit electronic copy of THESIS/REPORT to the Graduate | | |
| Degree Certification Office. For instructions, see Thesis | | |
| Archiving at https://grad.arizona.edu/gsas/degree- | | |
| requirements/masters-degrees#thesis-archiving | | |
| DEADLINE: Final semester: Upon completion of degree | | |
| requirements | | |
| Submit electronic copy of THESIS/REPORT to BE | | |
| Department Academic Program Coordinator | | |
| DEADLINE: Final semester: Upon completion of degree | | |
| requirements | | |
| . 5 9 5 5 51165 | | |

CHECKLIST FOR COMPLETING THE STEPS IN THE BAT AND BE PhD DEGREES

This checklist is to be completed by the end of first semester in the program in agreement with your major professor as indicated by his/her signature at the bottom of this form/checklist. Once this form is signed, please upload to the appropriate Assignment folder in D2L for BE 696A/B.

Name:

| Activity | Proposed Deadline | Actual Date |
|--|-------------------|-------------|
| | First Semester | Completed |
| | Second Semester | · |
| | Third Semester | |
| | Final Semester | |
| | Last 2 Weeks | |
| Meet with BE Director of Graduate Studies to discuss | | |
| options and procedures for choosing a Major Professor | | |
| DEADLINE: First few weeks beginning the program | | |
| Choose Major Professor | | |
| DEADLINE: End of 1 st semester | | |
| Choose Dissertation Committee | | |
| Recommended: End of 1 st semester | | |
| Meet with Major Professor and Dissertation Committee to | | |
| establish PLAN OF STUDY and RESEARCH TOPIC | | |
| Recommended: End of 1 st semester | | |
| Complete STUDENT PROGRESS REPORTS | | |
| DEADLINE : Nov for Fall and April for Spring | | |
| Submit the PLAN OF STUDY to GradPath | | |
| Recommended: End of 1 st semester | | |
| Complete draft of RESEARCH PROPOSAL | | |
| DEADLINE: Upon completion of BE 501 OR end of 2 nd | | |
| semester in the program | | |
| Complete final RESEARCH PROPOSAL and submit to | | |
| Academic Program Coordinator | | |
| DEADLINE: End of 3 rd semester | | |
| Complete announcement of COMPREHENSIVE EXAMINATION | | |
| in GradPath | | |
| DEADLINE: After completing all/most of coursework | | |
| Complete written portion of COMPREHENSIVE EXAMINATION | | |
| DEADLINE: After completing all/most of coursework | | |
| Complete oral portion of COMPREHENSIVE EXAMINATION | | |
| Recommendation: Within 2 weeks of successful completion of | | |
| written portion | | |
| Submit at least 2 papers for publication, and submit signed | | |
| THESIS/DISSERTATION PAPER CERTIFICATION FOR | | |
| SUBMITTED/PUBLISHED MANUSCRIPT form to Academic | | |
| Program Coordinator | | |
| DEADLINE: prior to submission to journal | | |

| Activity | Proposed Deadline | Actual Date |
|--|-------------------|-------------|
| Activity | First Semester | Completed |
| | Second Semester | Completed |
| | Third Semester | |
| | Final Semester | |
| | Last 2 Weeks | |
| Submit DISSERTATION to Dissertation Committee for | | |
| approval to defend | | |
| DEADLINE : Final Semester: 1 month before proposed date of | | |
| oral defense | | |
| Meet with Dissertation Committee | | |
| DEADLINE : <i>Final Semester</i> : 1 week <i>after</i> submitting draft | | |
| Dissertation | | |
| Submit signed DEFENSE APPROVAL form to Academic | | |
| Program Coordinator | | |
| DEADLINE : <i>Final Semester:</i> Within 24 hours of meeting with | | |
| Dissertation Committee to review draft Dissertation and 2 | | |
| publications | | |
| Schedule FINAL ORAL DEFENSE date with your Dissertation | | |
| Committee | | |
| DEADLINE: Final Semester: Date must be no later than 1 week | | |
| before Graduate College deadline for final submission AND 2 | | |
| weeks <i>after</i> meeting with Dissertation Committee to review | | |
| draft dissertation and 2 publications | | |
| Schedule FINAL ORAL DEFENSE date through GradPath | | |
| DEADLINE: Final semester: At least 2 weeks in advance of the | | |
| Oral Defense And at least 2 weeks <i>after</i> meeting with | | |
| Dissertation Committee | | |
| Post FINAL ORAL DEFENSE announcement | | |
| DEADLINE : <i>Final semester</i> : A minimum of a week before the | | |
| defense date | | |
| COMPLETION OF DEGREE REQUIREMENTS form to the | | |
| Graduate Degree Certification Office | | |
| DEADLINE: Final semester: The major professor should report | | |
| to the Academic Program Coordinator AND login to GradPath | | |
| on the day of the defense: | | |
| 1. If pass with revision, the revision due date must be | | |
| entered and must be before the graduate college due | | |
| date. | | |
| a. On the date the revision is due, the major professor | | |
| needs to report results of either pass or fail. | | |
| b. If the revisions are not completed on time, the | | |
| major professor should contact the Academic | | |
| Program Coordinator and the Graduate College. | | |
| 2. If fail, contact the Academic Program Coordinator and | | |
| the Graduate College. | | |
| Meet with Department Head to complete EXIT SURVEY | | |
| DEADLINE : <i>Final semester</i> : Within 2 weeks of graduation | | |
| Submit electronic copy of DISSERTATION to the Graduate | | |
| Degree Certification Office. For instructions, see | | |
| http://grad.arizona.edu/gcforms/ETD Diss Manual.pdf | | |
| DEADLINE: Final semester: Upon completion of degree | | |
| requirements | | |

| Activity | Proposed Deadline | Actual Date |
|---|-------------------|-------------|
| | First Semester | Completed |
| | Second Semester | |
| | Third Semester | |
| | Final Semester | |
| | Last 2 Weeks | |
| Submit electronic copy of DISSERTATION to BE Department | | |
| Academic Program Coordinator | | |
| DEADLINE : <i>Final semester</i> : Upon completion of degree | | |
| requirements | | |
| | | |
| | | |
| Major Professor Signature Date | e | |

APPENDIX D

List of Faculty Who Can Serve on Committees as Sole Graduate Committee Chairs

NOTE: Anyone not on this list may serve as a co-chair, but not as a sole chair.

An, Lingling, Associate Professor, PhD, 2008, Purdue University. Statistical bioinformatics, statistical methods for detecting and predicting biological threats.

Andrade-Sanchez, Pedro, Associate Professor & Extension Specialist, PhD, 2004, University of California, Davis. Precision agriculture.

Barton, Jennifer, Interim Vice President for Research and Jointly-appointed Professor in Biomedical Engineering, PhD, 1998, The University of Texas at Austin. Translational biomedical optics, and the prevention and early detection of cancer.

Cuello, Joel, Professor, PhD, 1994, Pennsylvania State University. Bioreactor design and scale up, algae production systems, controlled-environment systems, cell and organ cultures regulations.

Didan, Kamel, Associate Professor, PhD, 1999, University of Arizona. Remote sensing data, algorithms, and modeling time series analysis.

Duan, Guohong "Jennifer," Jointly-appointed Associate Professor in Civil Engineering & Engineering Management, PhD, 1998, University of Mississippi. Experimental studies and computational simulation of turbulent flow, sediment transport, and channel morphological processes.

Farrell-Poe, Kathryn "Kitt," Department Head, Professor, and Extension Specialist, PhD, 1990, Purdue University. Water quality, onsite wastewater treatment, safe drinking water, extension education/outreach.

Fitzsimmons, Kevin, Jointly-appointed Professor in Environmental Science, PhD, 1999, University of Arizona. Aquaculture.

Franklin, Edward, Jointly-appointed Associate Professor in Agricultural Education, PhD, 2000, Oklahoma State University. Renewable energy.

Gerba, Charles, Jointly-appointed Professor in Environmental Science, PhD, 1973, University of Miami, Miami, Florida. environmental microbiology.

Giacomelli, Gene, Professor, Extension Specialist, and Director of the Controlled Environment Agriculture Program, PhD, 1983, Rutgers University. Horticultural engineering, energy conversions engineering, bioresource engineering, greenhouse engineering design, hydroponic crop production.

Hurwitz, Bonnie, Assistant Professor, PhD, 2012, University of Arizona. Bioenvironment & one health, functional metagenomics, big data, system biology, bioinformatics and computational biology.

Kacira, Murat, Professor, PhD, 2000, Ohio State University. Controlled environment agriculture, food, agricultural, and biological engineering.

Li, Haiquan, Associate Professor, PhD, 2010, National University of Singapore. Bioinformatic, biological mechanisms, clinical informatics, computer science, data mining, translational bioinformatics, and statistics.

Lyons, Eric, Associate Professor, PhD, 2008, University of California, Berkeley. Biosystems analytics, cyberinfrastructure for life sciences, computational systems for genomes, advanced visualization of genomic data.

Martin, Edward, Professor, Extension Specialist, and Director of the Maricopa County Extension, PhD, 1992, Michigan State University. Water resources, irrigation management.

Ogden, Kimberly, Jointly-appointed Professor in Chemical & Environmental Engineering, PhD, 1991, University of Colorado, Boulder. Bioreactor design for the production of alternative e fuels from algae and sweet sorghum and microbiological water quality.

Pepper, Ian, Jointly-appointed Professor in Soil, Water, and Environmental Sciences and Director of the Water Quality Center, PhD, 1975, The Ohio State University. Soil microbiology.

Piegorsch, Walter, Jointly-appointed Professor in Mathematics & Chair of Statistics GIDP, PhD, 1984, Cornell University. Statistics.

Poe, Stephen, Professor and Extension Specialist, PhD, 1987, Purdue University. System mechanization, livestock waste management, ventilation housing, and computer software development.

Pryor, Barry, Professor, PhD, 1999, University of California, Davis. Controlled environment agriculture, mycology, fungal detection, and control.

Siemens, Mark, Associate Professor, and Extension Specialist, PhD, 1996, University of Arizona. Specialty crops mechanization, agricultural machine design and testing, tillage.

Slack, Donald, Professor, PE, PhD, 1975, University of Kentucky. Irrigation scheduling, water resources, infiltration, porous media flow, soil, and water conservation engineering.

U'Ren, Jana, Assistant Professor, PhD, 2011, University of Arizona. Earth systems genomics.

Waller, Peter, Associate Professor, PhD, 1990, University of California, Davis. Water quality engineering, irrigation engineering, drainage engineering.

Yitayew, Muluneh, Professor and BE Director of Graduate Studies, PhD, 1982, University of Arizona. Irrigation engineering, hydraulics, water resources engineering.

Yoon, Jeong-Yeol, Professor, PhD, 2004, University of California, Los Angeles. Biosensors, water safety, lab-on-a-chip, protein nanoarray, immunoassay, biomaterials.

APPENDIX E

FORMS

BE 693, TEACHING EXPERIENCE INTERNSHIP

The BE department recognizes that many PhD students will end up in faculty roles and teaching at universities or colleges. We want to help prepare you for that event. Therefore, all PhD students are required to have one unit of BE 693, Teaching Experience Internship, to document their teaching experience. You have six methods from which to choose to meet the teaching experience internship. Methods 1-4 outlined below are classes that you can take, in lieu of signing up for BE 693, to help you learn more about teaching in upper education.

METHODS

- 1. FCSC/CALS 696E, Learner-Centered Teaching for Online Delivery (1 unit; Fall, Spring). This seminar course is designed to introduce students to common pedagogical issues associated with both assisting in and teaching learner-centered courses in online formats.
- 2. IA 697A, Learner-Centered Teaching (3 units; Fall, Spring). This course provides a foundation in learner-centered teaching and includes theories of adult learning, approaches to course and lesson design, techniques to assess learning, and development of reflective teaching practices. It is appropriate for instructors who want to improve their teaching and is required for students in the Certificate in College Teaching program.
- 3. IA 697B, Using Technology in Teaching (3 units; Fall, Spring). This course combines in-depth reading and discussion related to pedagogical issues in the use of technology in teaching and learning with guided, individually focused training and practice in using technology in teaching.
- 4. IA 697G, Universal Design: Inclusive Learning Environments (3 units; Fall, Spring). This course provides a comprehensive review of the theory, strategies, and techniques used in instructional design processes that foster inclusive learning environments for all learners. The curriculum addresses characteristics of learners such as learning differences and preferences and 21st-century learning attributes, approaches for utilizing differentiated instruction, engagement and motivation techniques, classroom management tactics, and universal design strategies. Emphasis will be placed on critical review of the literature as practically applied to various learning environments and contexts in post-secondary education.
- 5. Complete the Certificate in College Teaching through the Office of Instruction & Assessment (https://grad.arizona.edu/catalog/programinfo/CLTCRTG or https://grad.arizona.edu/catalog/programinfo/CLTCRTG or https://cct.oia.arizona.edu)
- 6. Serve as a GTA for one semester if the GTA experience has significant teaching responsibilities.

PROOF OF COMPLETION

| Graduate Teaching Experience Option | Proof of Completion |
|---|------------------------------|
| FCSC/CALS 696E | Class grade |
| IA 697A | Class grade |
| IA 697B | Class grade |
| IA 697G | Class grade |
| Certificate in College Teaching through OIA | Copy of Certificate |
| GTA, one semester | Assessment by GTA instructor |



BE TEACHING EXPERIENCE PLAN for PhD Students

| Student Name | Student ID # | | | |
|--|-----------------------------------|--|--|--|
| | | | | |
| Method to Achieve BE 693 credit | for RE 603) | | | |
| FCSC/CALS 696E (in lieu of signing up for BE 693) IA 697A (in lieu of signing up for BE 693) IA 697B (in lieu of signing up for BE 693) IA 697G (in lieu of signing up for BE 693) Graduate Teaching Certificate GTA, 1 semester* | | | | |
| Semester and Year to Complete BE 693 | | | | |
| Student's Signature | | | | |
| Date | | | | |
| | | | | |
| *If GTA is chosen to meet BE 693, the follow | ing section needs to be completed | | | |
| Teaching Experience Advisor Name | | | | |
| Instructor's Section Number _ | | | | |
| Instructor's Signature | Date | | | |



THESIS/DISSERTATION PAPER CERTIFICATION FOR SUBMITTED/PUBLISHED MANUSCRIPT (needs to be completed prior to submission to journal)

As members of the Graduate Committee/final examination committee, we have read the manuscript(s) Prepared by: Entitled: Approved submission to: *In partial fulfillment of the requirements for the degree of:* APPROVED BY: Major Professor (print and sign name) Date Committee Member (print and sign name) Date Committee Member (print and sign name) Date Committee Member (print and sign name) Date



FINAL ORAL DEFENSE APPROVAL FORM

As members of the Graduate Committee, we certify that we have read the Thesis/Report/Dissertation and confirm that the student is ready to defend.

| Student: | | | |
|--|--|----------|--|
| Title: | | | |
| APPROVED BY: | | | |
| | | | |
| Major Professor (print and sign name) | | Date | |
| | | | |
| Committee Member (print and sign name) | | Date | |
| Committee Member (print and sign name) | | Date | |
| | | | |
| Committee Member (print and sign name) | | Date | |

APPENDIX F

ASSESSMENT RUBRICS

THE UNIVERSITY OF ARIZONA Department of Biosystems Engineering

BE 696A GRADUATE SEMINAR SPEAKER EVALUATION SHEET

| NAME OF SPEAKER | DATE | : <u></u> | | | | |
|---|--------|-----------|---|---------|---|--|
| Use the following areas of consideration to comment positively or negatively, but always helpfully, on the presentation given. | | | | | | |
| | Rating | 5 = Best | 1 | = Worst | | |
| Articulation and professional demeanor: Did the speaker speak out with a clear voice? Pronounce all words well? | 5 | 4 | 3 | 2 | 1 | |
| Was there a voice drop off? Were there any distracting mannerisms? Did the speaker speak too fast or too slow? | Comme | nts: | | | | |
| Organization: Was there a meaningful introduction to the topic that set the stage for what was to come? Were the | 5 | 4 | 3 | 2 | 1 | |
| ideas presented in a logical order? Was the speaker easy to follow? Was there a solid summary and/or conclusions? Were we prepared for the end? | Comme | nts: | | | | |
| Quality and appropriateness of visual aid: Did slides meet all dimensional requirements? Were there enough slides | 5 | 4 | 3 | 2 | 1 | |
| to support the message? Could you understand all slides? | Comme | nts: | | | | |
| Use of Time: Was an appropriate amount of time devoted to each part of the presentation? Did the speaker end on | 5 | 4 | 3 | 2 | 1 | |
| time? Did he/she appear rushed? Was time used effectively? | Comme | nts: | | | | |
| Response to questions: Were questions answered clearly? Was response limited to the question asked? | 5 | 4 | 3 | 2 | 1 | |
| | Comme | nts: | | | | |

OTHER COMMENTS:



Graduate Report/Thesis/Dissertation Oral Defense Evaluation Form

Student Name:

| Title of G | raduate Report/Thesis/Dissertation: | |
|-------------------------|--|--|
| Committee Member: | | Date: |
| Directions | s: | |
| (Poor) an the ratior | d 4 (Excellent) for each of the criteria described | on oral defense of the research with a score between below using the attached rubric. Briefly comment appleted scoring sheet to the committee chair befo |
| Score | Criterion | Comment |
| (1-4) | Organization of Oral Defense | |
| | Presentation Style | |
| | Presentation Pace | |
| | Content: Depth | |
| | Content: Accuracy | |
| | Use of Visual Aids | |
| | Responsiveness to Audience | |

Graduate Report/Thesis/Dissertation Oral Defense Presentation Rubric

| Criteria | Excellent (4) | Good (3) | Fair (2) | Poor (1) |
|-------------------------------|---|--|--|---|
| Organization | The presentation is clear and logical. The listener can easily follow the line of reasoning. | The presentation is generally clear. A few minor points may be confusing. | The listener can follow the presentation with effort. The organization not well thought out | The presentation is very confused and unclear. Listeners cannot follow it. |
| Style | The level is appropriate for presentation of engineering results. Not too casual. Speaker is easy to hear and understand. | The level is generally appropriate. May have some trouble in hearing or understanding the speaker. | The presentation is too informal or unprepared. Difficult to hear or understand speaker. Much information is read. | The presentation is consistently at an inappropriate level. Information is read. Speaker can't be heard or understood. |
| Pace | The presentation is a planned conversation, paced for audience understanding. | Speaker 's pace is generally appropriate – Borders on being too fast or too slow, repetitive, or skipping important details. | Speaker is too fast or too slow, repetitive or skipping important details. | The presentation is far too long or far too short. Speaker is generally too fast or too slow. |
| Content: Depth | Design, methods, results, conclusions are clearly stated. Implications of results and "where do we go from here" discussed. | Description of project and results are generally clear. Some discussion of what results mean. | Some components of project description are minimal or missing. Little discussion of what results mean. | Description of project and results are very difficult to follow. No discussion of the meaning of results. Listeners learn little. |
| Content: Accuracy | Information given is consistently accurate. Facts and calculations are correct. | No significant errors are made. Listeners recognize errors as result of oversight or nervousness. | Enough errors made to be distracting, but some information is accurate. | Information is so inaccurate that listener cannot depend on thepresentation. |
| Use of Visual Aids | Aids prepared in a professional manner. The font is large enough to be seen by all. Well organized. Main points stand out. | Aids contribute, but not all material supported by aids. Font size is appropriate for reading. | Aids are poorly prepared or used inappropriately. The font is too small. Too much information is included. | No aids are used, or they are so poorly prepared that they detract from the presentation. |
| Responsiveness to Audience | Responds well to questions. Restates and summarizes when needed. | Generally responsive to questions. | Reluctantly interacts with the audience. Responds poorly to questions. | Avoids audience interaction. Not responsive to the group. |



Exit Survey for Graduate Students

Place an "X" in each row to indicate the degree to which your graduate program provided you the opportunity to:

| | Excellent | Good | Neutral | Fair | Comments |
|--|-----------|------|---------|------|----------|
| Acquire broad knowledge in my focus area | | | | | |
| Develop skills in critical analysis of research literature in the program | | | | | |
| Design and conduct original research on the BAT/BE problem | | | | | |
| Improve my ability to defend research results in scientific peers | | | | | |

| 1. | lease describe learning opportunities for other specific skills or knowledge that this acade | mic |
|----|--|-----|
| | rogram should improve upon. | |

2. Please provide us with your email and mailing address for future communications from the BE Department.