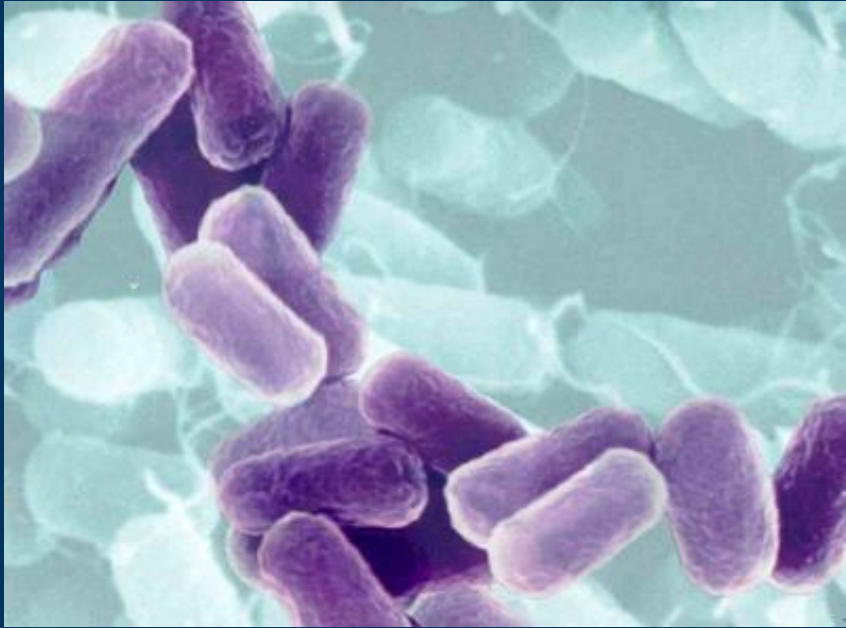
The background of the slide is a microscopic view of numerous pinkish-purple, rod-shaped bacteria, likely representing gut microbiota. The bacteria are scattered across the frame, with some appearing in sharp focus in the foreground and others blurred in the background. The lighting is soft, highlighting the texture and color of the bacterial cells.

# **Gut Microbiota and Health: Food Fad or Bacterial Therapy ?**

**Robert Martindale PhD, MD**  
**Chief, General Surgery**  
**Medical Director Hospital Nutrition Support**  
**Oregon Health and Sciences University**  
**Portland, Oregon**

# Have We Now Moved From Disease to Dessert ?



VRE growing on mitral valve



Frozen yogurt *L. acidophilus*

# Probiotics in Clinical Medicine: Two Schools of Thought !



**Definition:** “ Microorganisms normally present in the human body that when delivered in adequate amounts, confer a health benefit on the host”

## Quakery

- Claims to cure “everything”
- Why so many strains ?
- How much is needed ?
- Review articles vs original articles
- Inconsistent studies
- Few ITT studies with tangible outcomes
- Marginal statistics
- Growing suspicion of “holoistic” medicine

## Therapy

- Differential support based on cultural and educational background  
(yogurt, kurd, kefir, kombucha)
- Aware of the differences in strains etc
- Understand the differences in study design

# Probiotic literature ? Science or Quackery



## • Professional Literature

- Few ITT studies available
- Widely variable, heterogeneous groups
- Meta-analysis not consistent
  - Questions of outcome
- 2009 analysis of what is published probiotics vs antibiotics in PubMed Search
  - Probiotics > 5000 papers ----- 28% were review articles
  - Antibiotics > 500,000 papers----8% review articles

## • Lay literature

- Recent lead articles NY Times 2012
- Wall Street Journal 2012
- Economist 2012





# Some say we should be killing our bacterial !!



## Germ Farm



## Scrub'em!

# Gastroenterologist Survey of Probiotics



- Evaluate MD opinions regarding probiotics
- Large metropolitan area in midwest
- Results:
  - Safe for most patients 100%
  - 98% felt probiotics had a role in treating GI disease
  - 93% had patients currently taking probiotics
  - Most common bacteria used
    - Yogurt based, B.infantis (Align®), VSL#3,
  - Most common clinical diagnosis used
    - IBS, AAD, C.difficile
  - Most believed their practice was not supported by scientific data

# Nutritional Goals in Clinical Medicine Have Changed From Adjunctive Care to a “Therapeutic” Strategy

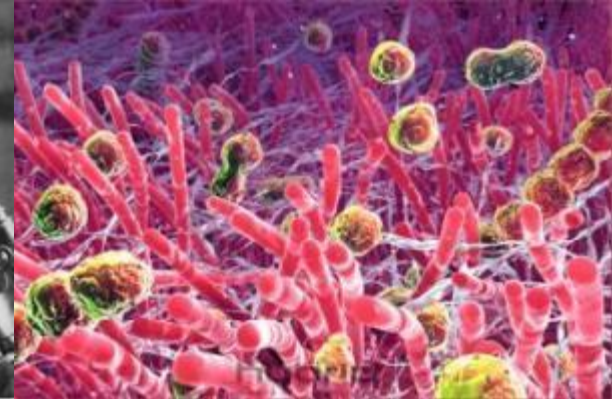
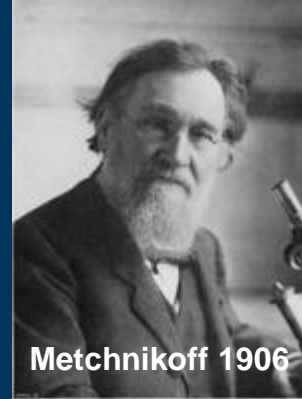


- **Previous goals**
  - Attempt to preserve lean body mass
  - Avoid metabolic complications
- **Current Goals: “Therapy not support”**
  - Attenuate metabolic response
  - Reverse loss of lean body tissue
  - Prevent oxidant stress
  - Favorably modulate immune response
    - Enteral feeding (GALT)
    - Appropriate macro and micronutrients
      - » Glutamine, arginine, omega-3-FA, antioxidants
  - **Maintaining “normal “ commensal flora**
  - **Manipulating flora to host benefit**
    - » **SCFA, anti-inflammatory changes, decrease sepsis**

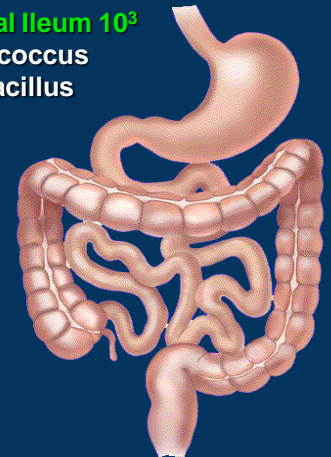


# Where “man meets microbe” a dynamic interplay

- Concepts are not new
  - Biblical references,
- 300 to 400 sq meter surface area
  - Surface area of a tennis court
- > 2 million genes in the bacterial genome vs 35,000 in the human
  - 100 trillion living bacteria in the human intestine
  - Over 500 species in human colon
  - Each individual with own bacterial fingerprint
- Significant “cross-talk” between bacteria and host
  - One bacteria species can turn on > 100 genes
  - Toll receptors on dendritic cells / macrophages
  - Gut contains complex neuroendocrine system
- Quorum sensing
  - Molecules secreted by bacteria: they partially explain bacterial community behavior and activation of virulence genes etc



Proximal Ileum  $10^3$   
Streptococcus  
Lactobacillus

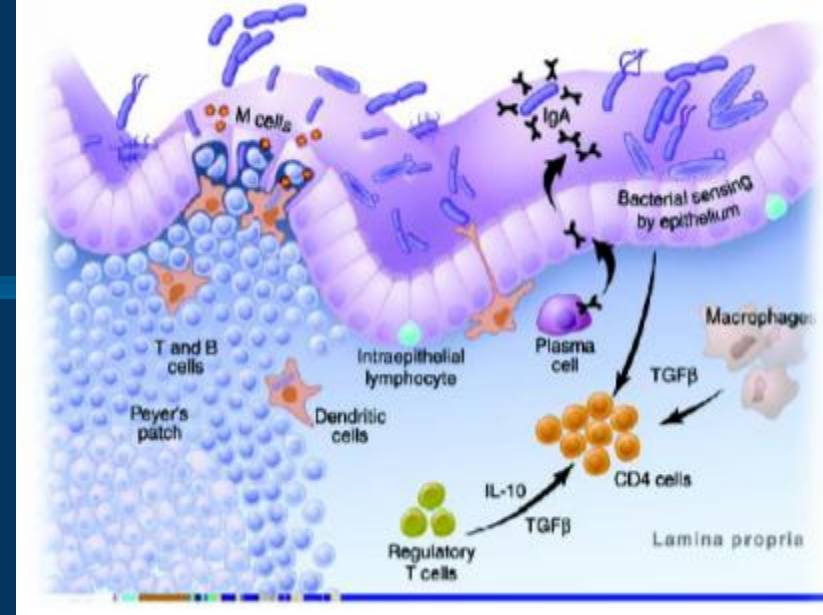


Colon  $10^{11}$   
Bacteroides  
Bifidobacterium  
Clostridium  
coccoides  
Clostridium  
lepium/Fusobacteriu  
m



# Does the Mucosal Surface Environment Alter Function or Clinical Outcomes ?

- Inflammatory changes
- Bacterial interrelationships
- Bacterial changes with host stress situations
  - Bacterial use environmental clues
    - pH, temperature, redox potential, osmolality
  - When energy supply is limited genes “switch on” virulence factors
  - Ex: E.coli can rapidly become virulent with host stress (epinephrine, cortisol etc)



# Probiotics can *prevent, mitigate and treat* many of the current health crisis facing the western world



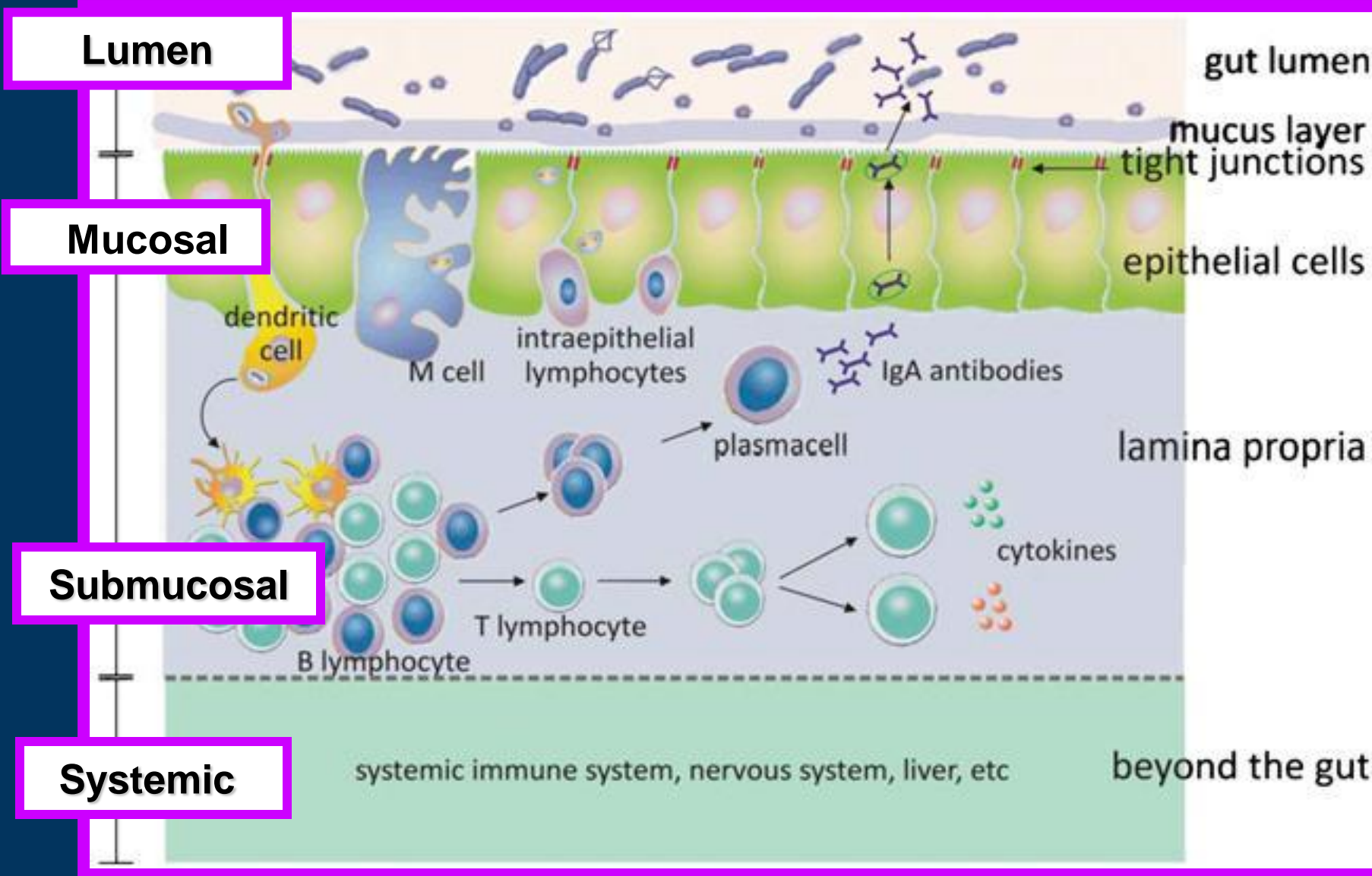
- **Cancer**
  - Multiple mechanisms
- **Heart disease**
  - Metabolic syndrome
  - atherosclerosis
- **Depression**
- **Hepatic diseases**
  - NASH
- **Infectious disease**
- **Diarrheal diseases**
  - AAD
  - Bacterial
  - C.diff
  - Viral
- **Inflammatory diseases**
  - IBD
  - Allergy
  - Asthma
- **Autoimmune diseases**
- **Aging**
- **Obesity**
- **Critical Care / Surgery**
  - Trauma
  - Pancreatitis +/-
  - Transplantation
  - Sepsis
  - VAP prevention
  - C.difficile

# Potential applications for probiotics



- **Metabolism**
- **Metabolism of dietary compounds in the gut lumen:**
  - Lactose digestion
  - Lipid metabolism
  - Oxalate metabolism
- **Composition and metabolic markers of the gut microbiota**
  - Xenobiotics, phytochemicals
  - Indigestible dietary components
- **Metabolic activity of gastrointestinal mucosa and liver**
  - IBD and IBS
  - Inflammatory bowel diseases:
    - Crohn's disease
    - Ulcerative colitis
    - Pouchitis
  - IBS
- **Allergic diseases**
  - Eczema, atopic eczema
  - Allergic rhinitis
  - Asthma
- **Reduction of risk factors of infection**
  - Infectious diarrhea (acute and antibiotic-associated)
  - Traveler's diarrhea
  - Necrotizing enterocolitis (infants)
- **Helicobacter pylori**
- **Respiratory tract infections (adults and children)**
  - Ear, nose, and throat infections
- **Infectious complications in surgical critically ill patients**

# Probiotics: Levels of Action



Lumen

Mucosal

Submucosal

Systemic

gut lumen

mucus layer

tight junctions

epithelial cells

dendritic cell

M cell

intraepithelial lymphocytes

plasmacell

IgA antibodies

lamina propria

cytokines

B lymphocyte

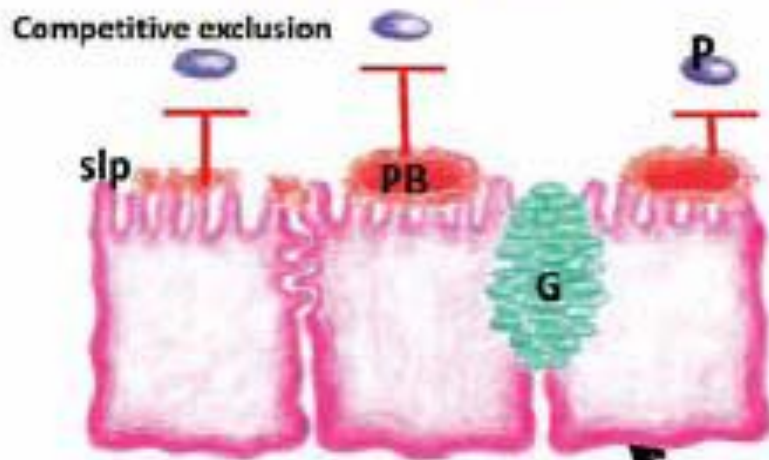
T lymphocyte

systemic immune system, nervous system, liver, etc

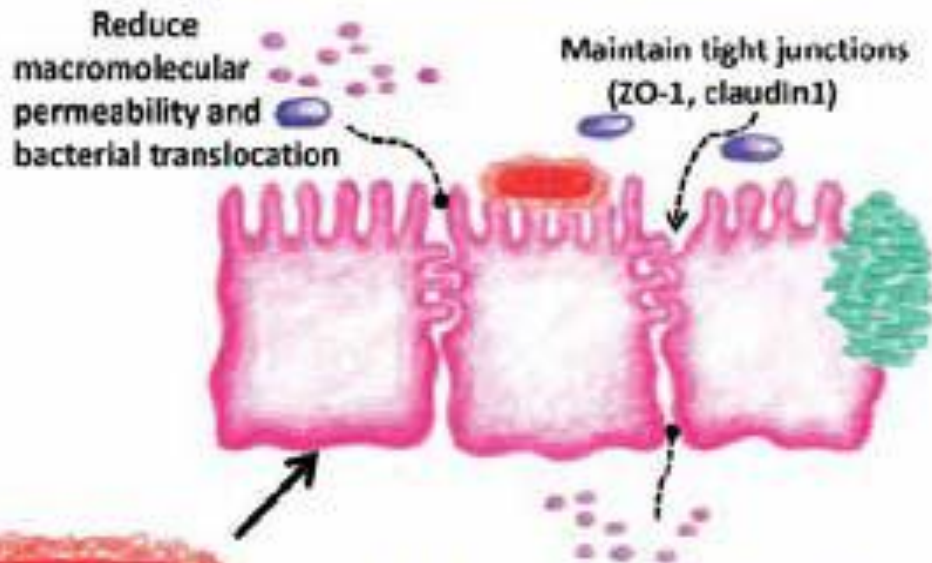
beyond the gut



# Colonization resistance

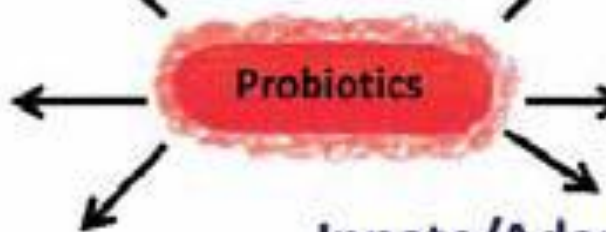


# Maintain barrier function



## Metabolic effects

- Bacteriocins
- Decrease pH
- Quorum sensing

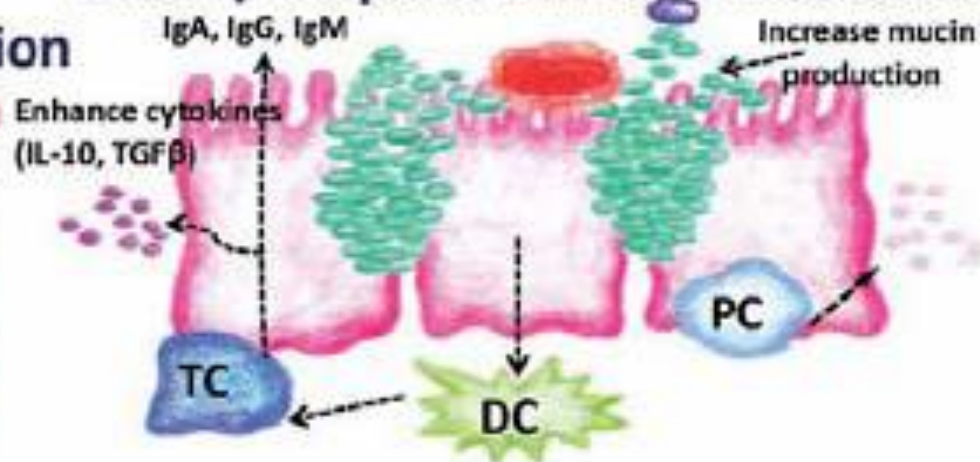


## Enhance microbial flora

## Modulation of signal transduction

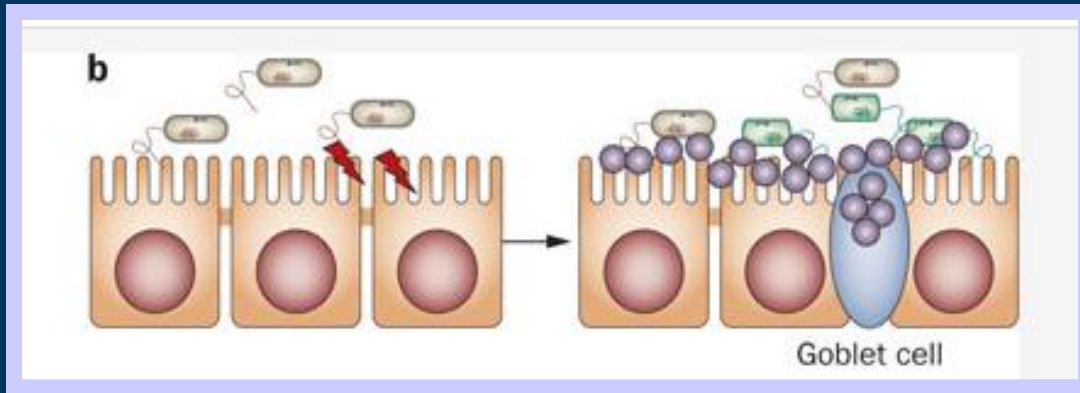


## Innate/Adaptive Immunomodulation



# Mechanisms:

Colonization Resistance  
Antimicrobial Factors



## Mechanisms

- Competitive inhibition
- Physical barrier (mucous)
- ↓ Adherence, attachment
- Produce bacteriocins  
Defensins, Trefoil  
Bind pathogens
- ↓ pH reduces growth
- Interferes quorum sensing  
↓ Virulence expression
- Breaks up biofilms



*L. reuteri* inhibits  
*H. pylori*

PM Sherman  
(NCP 2009;24:10)



*L. reuteri* inhibits  
*Staph aureus*

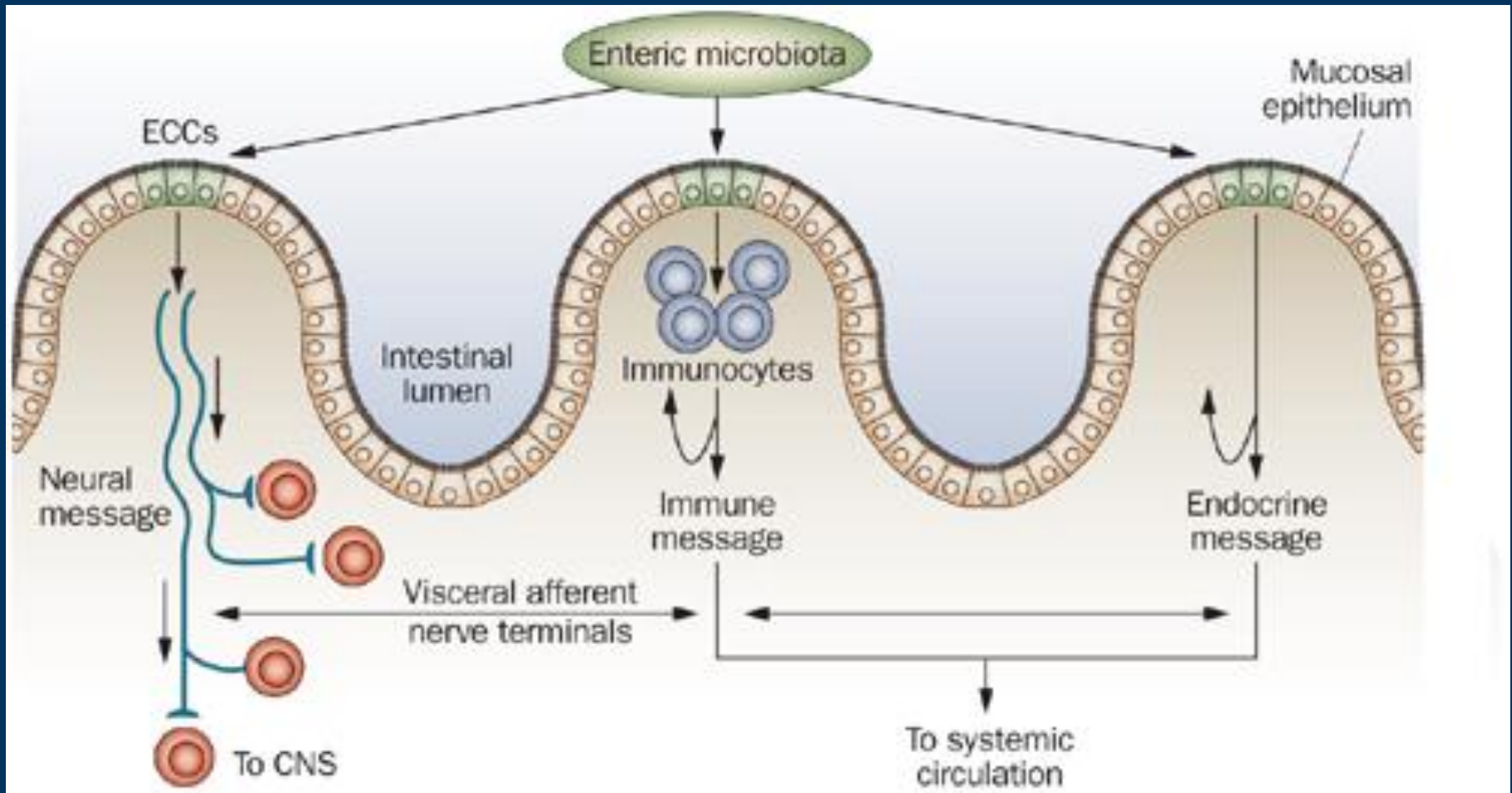
## Bacteria

- *Escherichia coli* (pathogenic)
- *Salmonella typhimurium*
- *Shigella* spp.
- *Campylobacter jejuni*
- *Streptococcus mutans*
- *Bacillus subtilis*
- *Clostridium perfringens*
- *Helicobacter pylori*
- *Staphylococcus aureus*
- *Listeria monocytogenes*
- *Pseudomonas fluorescens*

## Fungi

*Candida albicans*  
*Aspergillus flavus*

# Schematic representation of endocrine cell-mediated signaling from enteric microbiota to host



Nature Rev 2010



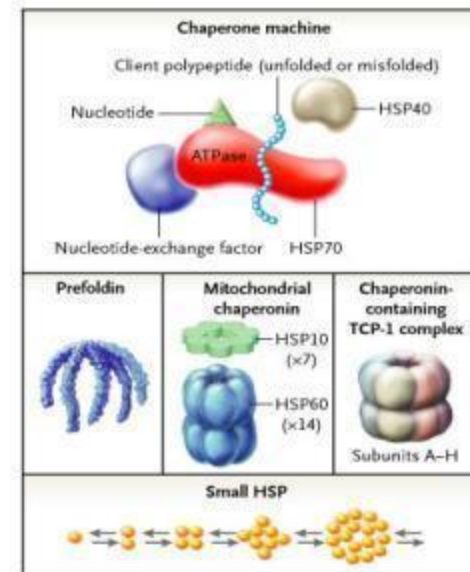
# Protecting the mucosal lining:

“Soluble factors for *Lactobacillus rhamnosus* GG activate MAPKs and induce cytoprotective heat shock proteins in intestinal epithelial cells”

- Cell culture model
- DNA microarray methods, real-time PCR and electrophoretic mobility shifts studied
- Studies confirm:
  - L. GG modulates signaling pathways
  - Activates via MAP kinase
  - **L.GG protects mucosa from oxidant stress via expressing HSP**

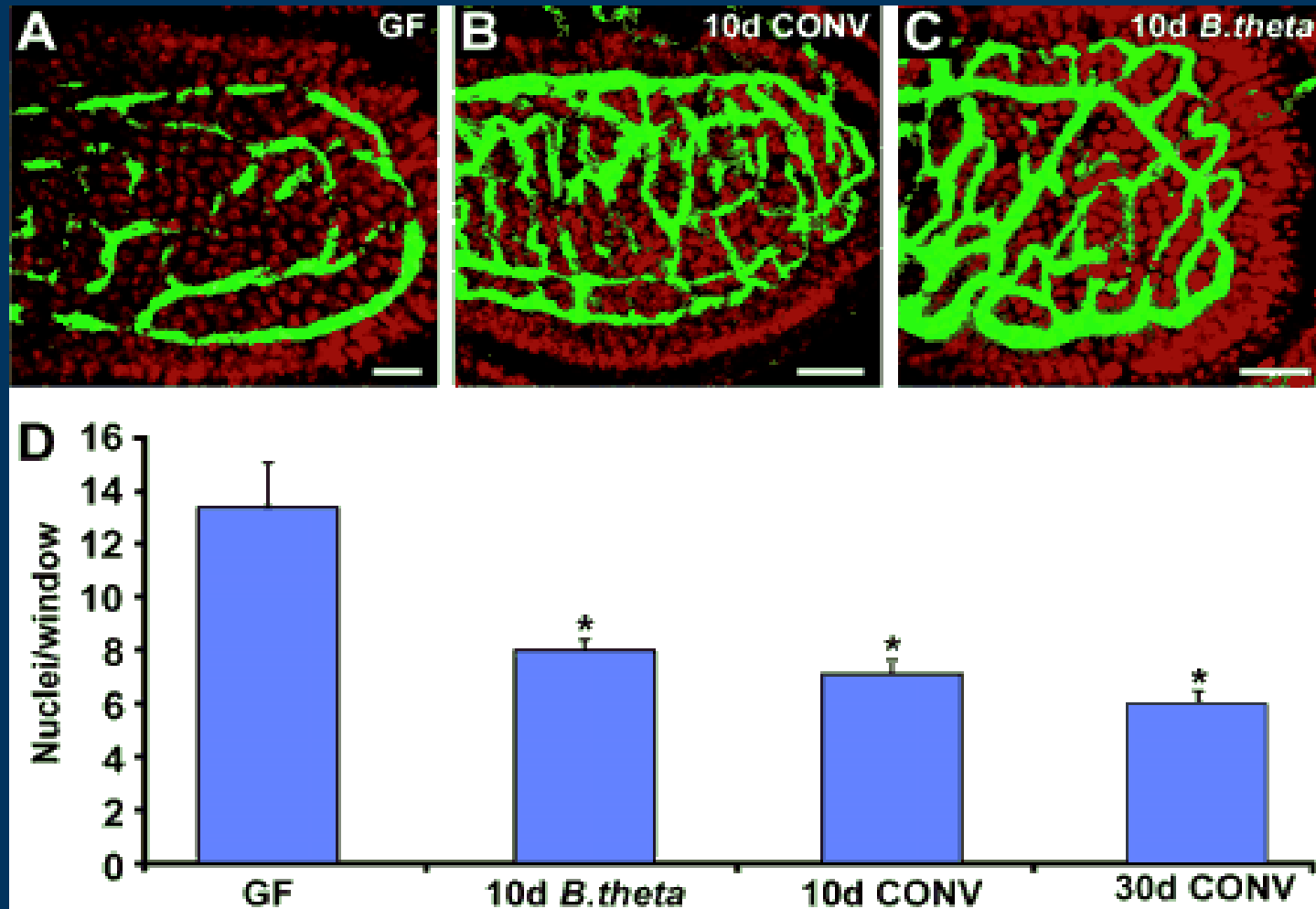
Tao K , Drabik K, Waypa T

Am J Physiol Cell Physiol 290;1018-1030,2006





# Mechanisms: Enhancing mucosal blood flow



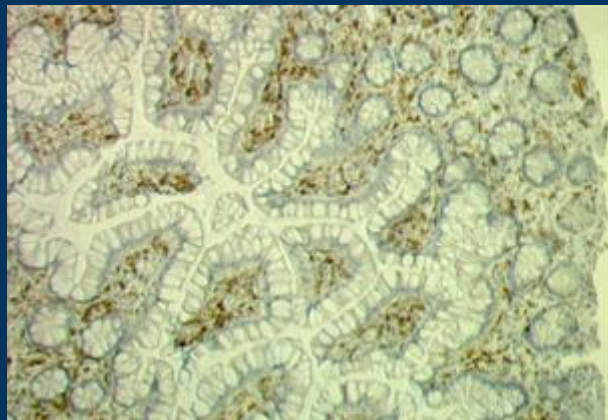
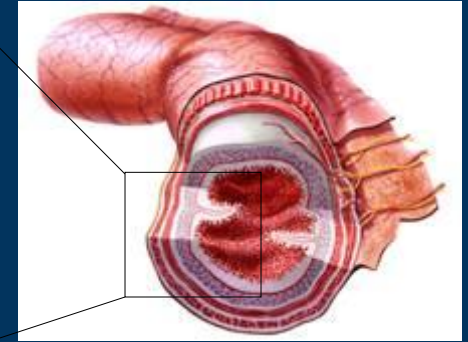
- **Stappenbeck TS, Hooper LV, Gordon JL.**  
**Proc Natl Acad Sci U S A. 99: 15451-15455, 2002**

# Mechanisms: stimulation the immune system in the small intestine of healthy subjects



Before Reuteri intake

After Reuteri intake

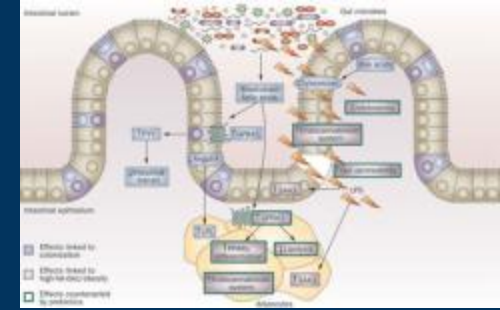


Resting CD4+ T-helper cells



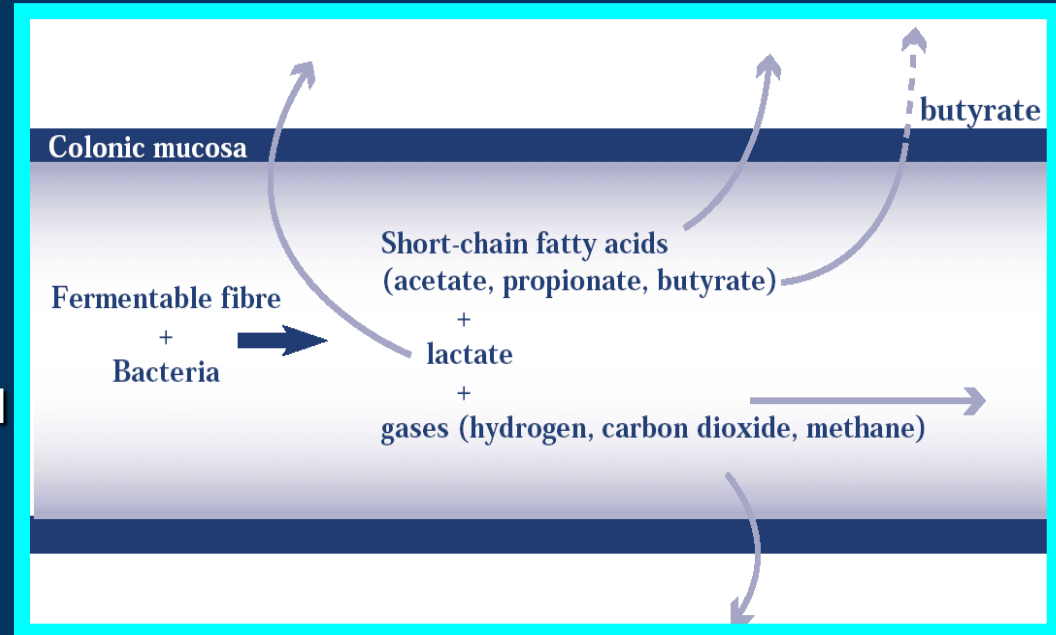
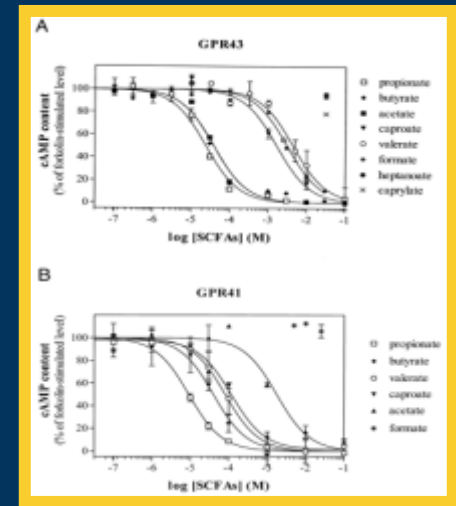
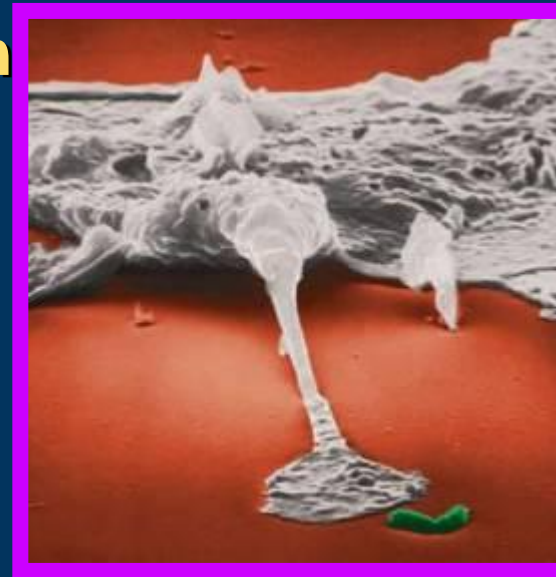
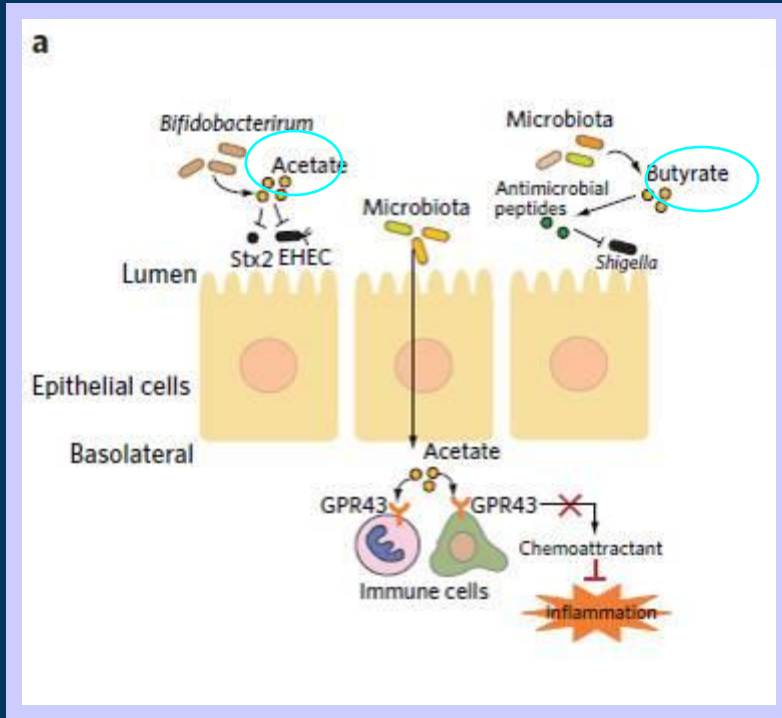
Activated CD4+ T-helper cells

# SCFA = Fermentation end product of some probiotics (from prebiotics)



- Energy source;
  - **colonic mucosa;**
    - **Stimulates cell proliferation, Promotes sodium and water absorption**
  - **Cardiac, Skel Mus , Brain**
    - **Acetate;**
  - **Propionate; gluconeogenesis**
- Regulation of gene expression for ICAM-1 and E-Selectin on endothelial cells
- Decrease COX-2 expression
  - (butyrate and proprionate)
- Prevention of neoplastic transformation
  - Inhibits histone deactylase by DNA hypermethylation to promote differentiation in cancer cell lines
- Enhances Leptin secretion
- Inhibition of pathogen overgrowth in gut lumen
- ROS scavenger
  - Pyruvate is anti-inflammatory and decrease NFKB expression
- Activation of polymorphonuclear cells
  - **Both local and systemic**
  - **G-protein receptors on circulating PMN's**

# SCFAs, Fiber Fermentation and Butyrate Receptors



- Trophic effect, colonocyte fuel
- Anti-inflammatory
- Enhance WBCs, macrophage
- ↓ Adhesion molecules
- (↓ microvasc thrombosis)

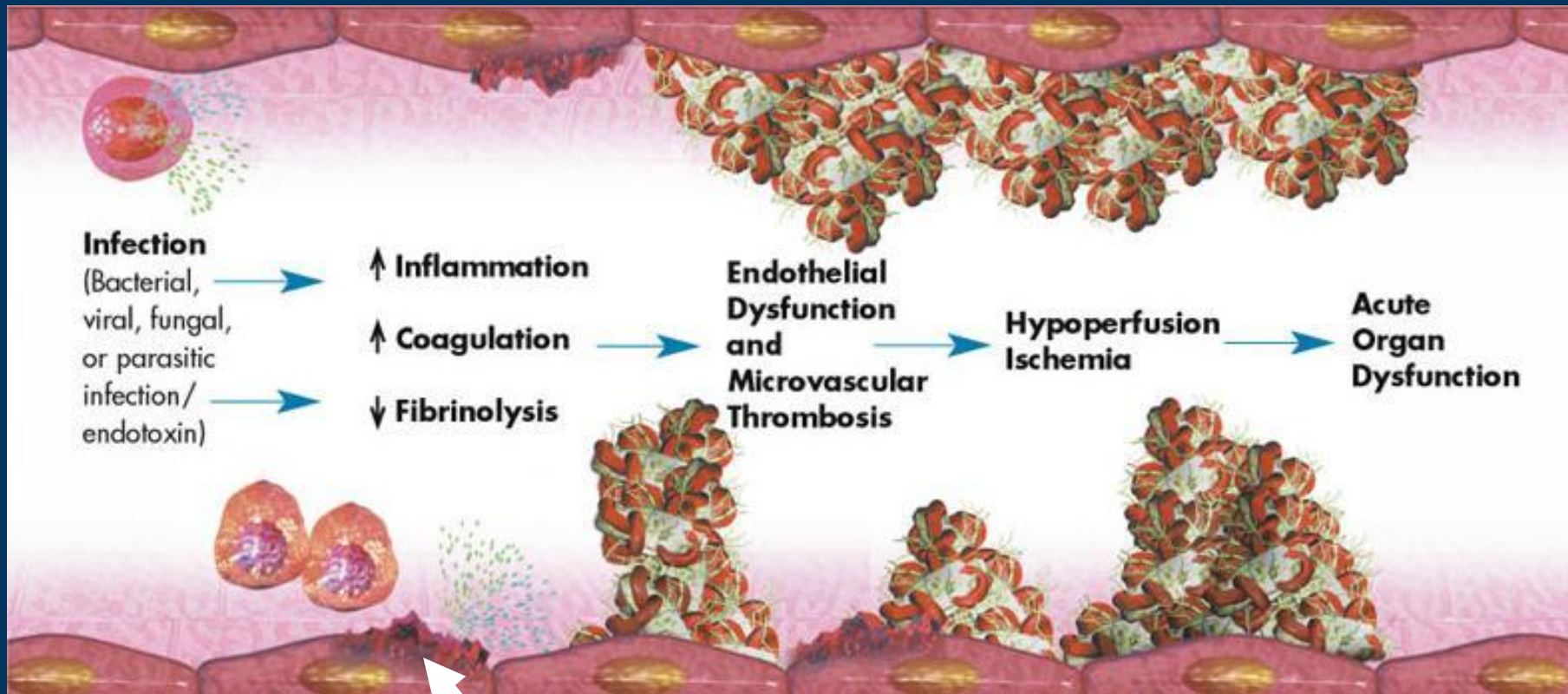
Thangaraju M et al J GI Surg 2008

Ganapathy V 2011



# Preventing Microvascular Thrombosis:

## Regulation of gene expression for ICAM-1 and E-Selectin on endothelial cells



Probiotics (via SCFA) shown to decrease ICAM-1 and E-Selectin expression on endothelial cells

# Clinical Use of Probiotics in the ICU



Where does the rubber meet the road?

# Clinical Use:

## Sorting evidence from myths !

- **Specific effects can be strain specific !**
- **Level 1 evidence in:**
  - **Infectious diarrhea (L GG)**
  - **Prevention of traveller's diarrhea**
  - **Prevention of pouchitis after total colectomy for UC**
  - **Prevention of Ventilator Associated Pneumonia (VAP)**
  - **Prevention of Necrotizing fasciitis in neonates**
  - **Prevention of anti-biotic diarrhea**
- **Level 2 evidence in:**
  - **S.boulardii (with vanc) in preventing recurrent C.difficile**
  - **Prevention of post op infections in liver transplant**
  - **Prevention of post op infections in abdominal surgery**



# Can Probiotics be used for prevention of disease in “Healthy People”



## *Sick days at home with short term gastro-intestinal or respiratory illness*

Placebo: 0.9 % sick days

2 days per individual and year

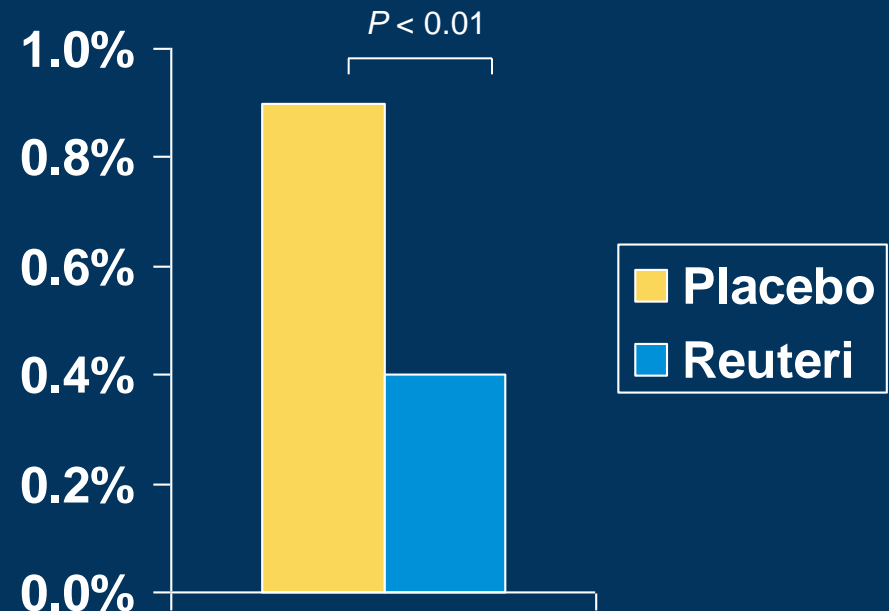
Reuteri: 0.4 % sick days

<1 day per individual and year \*\*

## *Number of people sick*

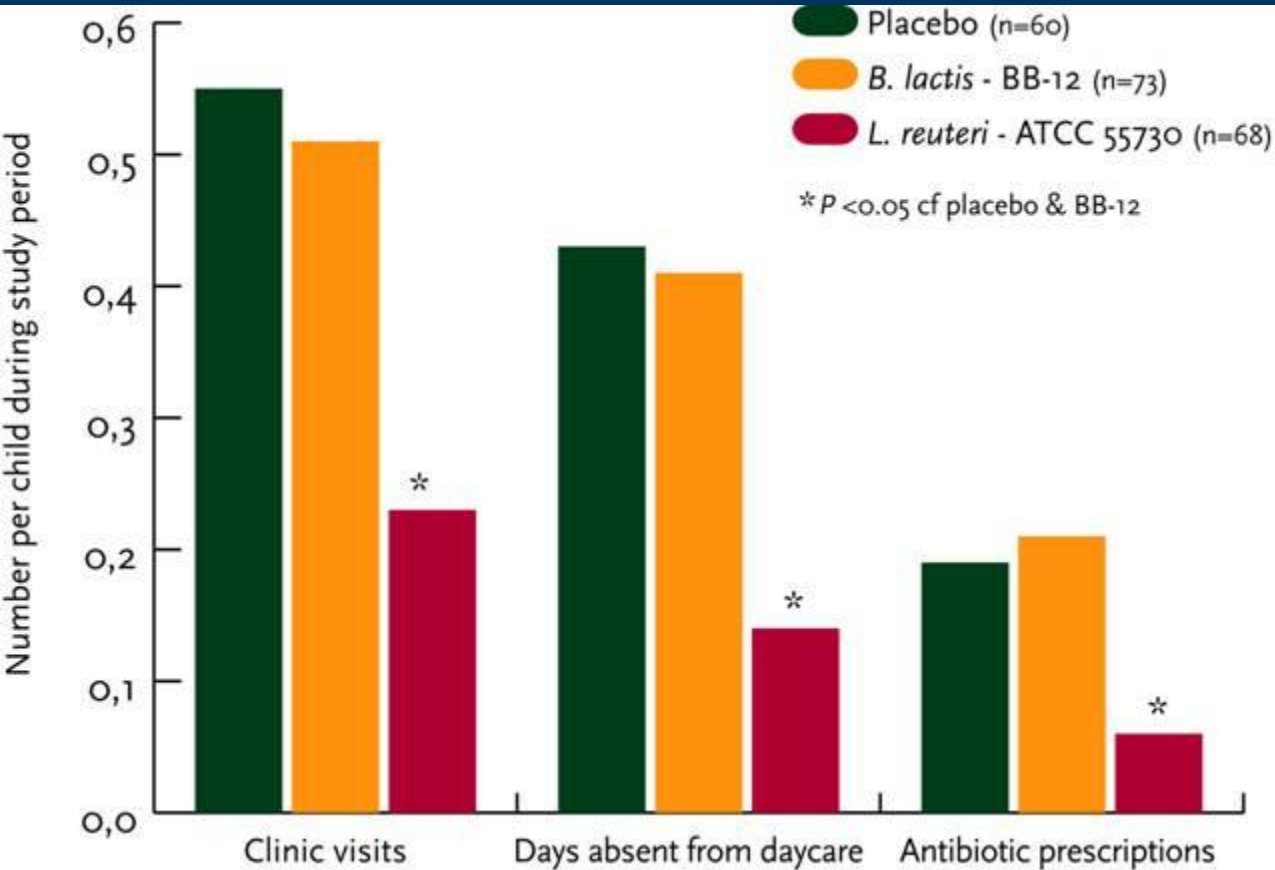
26% on placebo (23 persons)

11% on Reuteri (10 persons)  $p < .01^{**}$





# Probiotics use in healthy nursery school children



• Children (4-10m) with increased risk for infection

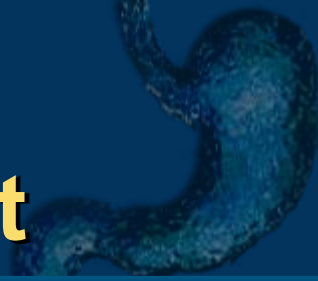
12 weeks supplementation in baby formula

# Gestational Diabetes



- Finland N=256 ( 3 groups)
- Strict definition of Gestational diabetes (GTT)
- Control, placebo, probiotics
  
- Results:
  - Control 36%
  - Placebo 34%
  - Probiotics 13%
  - No change in pregnancy outcome
  - No change in children at two years

# Areas of Critical Care Where Probiotics Have Reported Benefit



- **Treatment:**

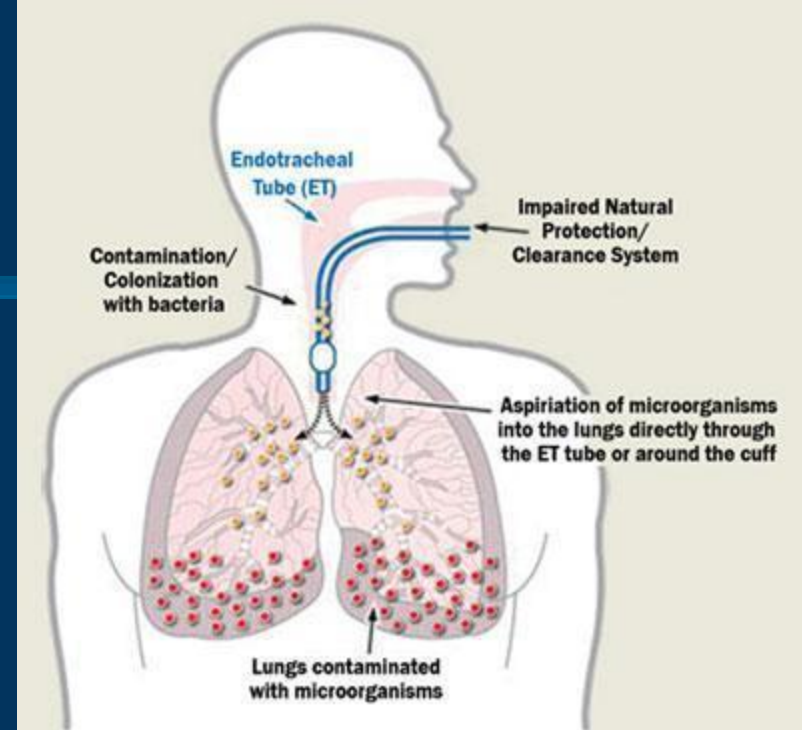
- Trauma
- Pancreatitis +/-
- Transplantation
- Sepsis
- NASH

- **Prevention:**

- VAP
- Antibiotic associated diarrhea
  - C.difficile
- VRE colonization


# Ventilator Associated Pneumonia

- One of most frequently occurring nosocomial infections in the ICU
- Current strategy not working
  - Antibiotics – increases resistant flora
  - Ventilator adjustments – variable success
  - Prokinetic agents – no influence
  - Medications – no influence
  - Surfactants – no influence
  - Mouth wash – variable
  - Etc etc etc





# “Oral probiotics and prevention of *P. aeruginosa* infections: a randomized, double-blind, placebo-controlled pilot study in intensive care unit patients”



- Hypothesis: oral application of probiotics will prevent the secondary colonization with pathogens
- PRDBPC trial
  - Inclusion criteria
    - patients in ICU >48 hours
  - 807 eligible: 106 placebo vs 102 probiotic completed
  - $10^9$  L.casei BID started day 3 until discharge
  - Monitored gastric and oral bacteria cultures
- Results:
  - Delayed colonization of *P.aeruginosa* in respiratory tract

# Use of Probiotics to Prevent Ventilator Associated Pneumonia

- *Lactobacillus GG* vs placebo (DBPCT)
  - (2871 patients screened 146 met criteria)
  - On vent > 72 hours
  - Oral *and* via feeding tube
  - $1.0 \times 10^{10}$  BID to each site
- Evaluated
  - Oral flora pathogen vs normal flora
  - Gastric flora pathogen vs normal flora
  - Incidence of VAP
- Results
  - Less antibiotics used
  - Less *C.difficile* 5.8% vs 18.6% ( $p < .05$ )
  - Clinical VAP 35% vs 47% ( $p < .05$ )
  - Microbiologic VAP 19% vs 40% ( $p < .05$ )
  - Mortality 14% vs 24% (NS)

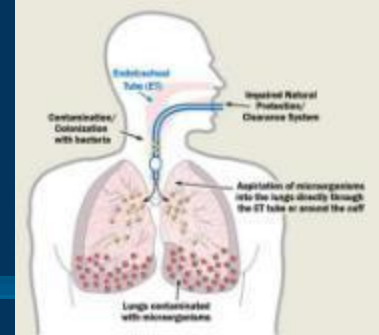


# Not all Probiotics VAP studies positive:



- N = 259 ICU Mechanical ventilation > 72 h
- Probiotics delivered to GI via tube
  - With soluble fiber
- Results:
  - VAP w/ probiotics 9% vs 13 % in control (NS)
  - Mortality 27% in probiotics vs 33% in control (NS)
- Conclusion:
  - No significant improve in VAP or mortality
- (note: probiotics only given enterally, no oral / pharynx delivery)

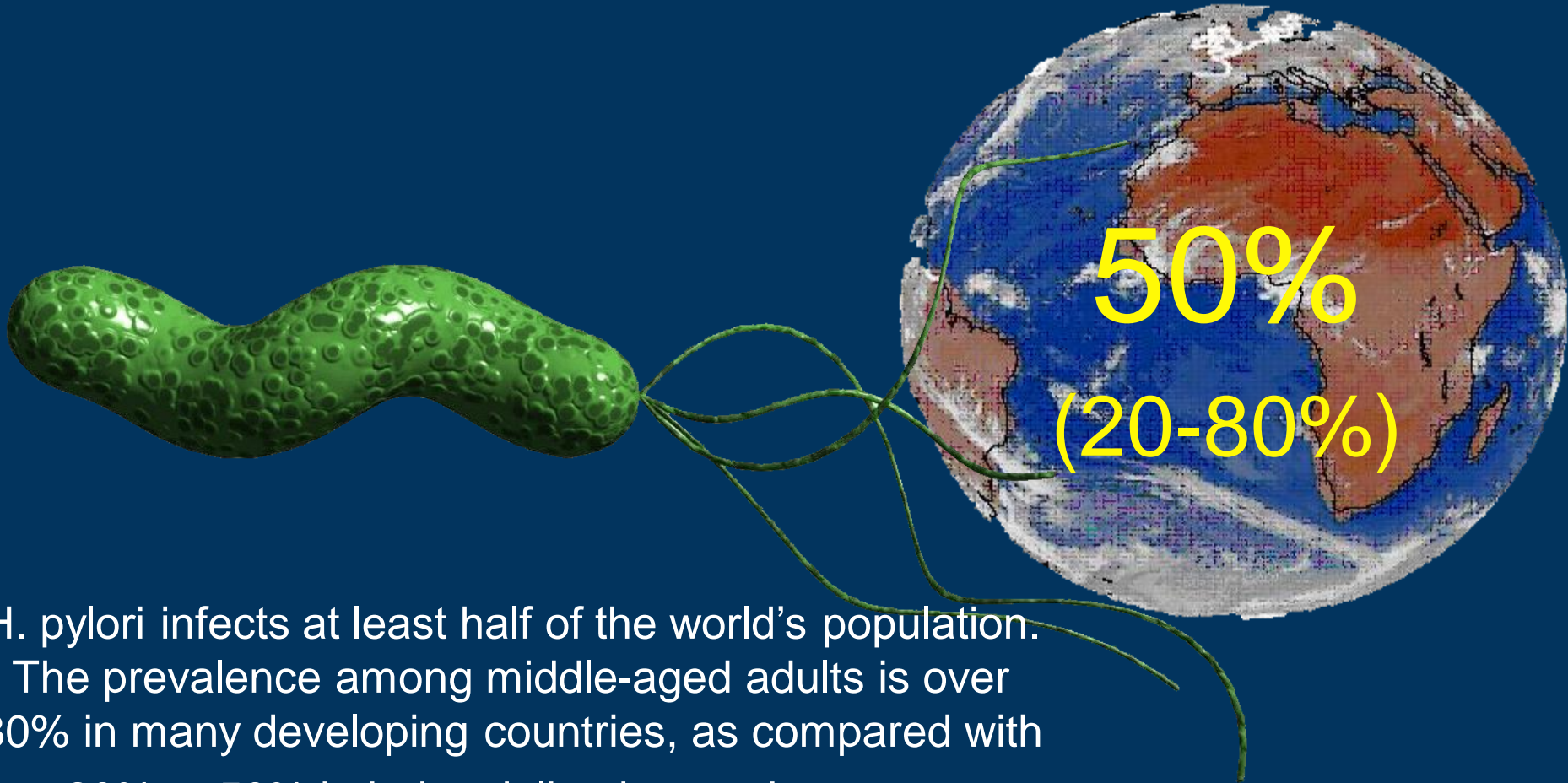
# Impact of administration of probiotics on VAP: Meta-analysis



- RCT with mechanical ventilation
- 5 RCT included
- Results:
  - Probiotics decrease VAP
  - Decrease in Pseudomonas colonization
  - No change in mortality
  - No change in ventilator days



# Probiotic based control of *H. pylori* infection



*H. pylori* infects at least half of the world's population.

The prevalence among middle-aged adults is over 80% in many developing countries, as compared with

20% to 50% in industrialized countries. WHO  
classifies *H. pylori* as class one carcinogen

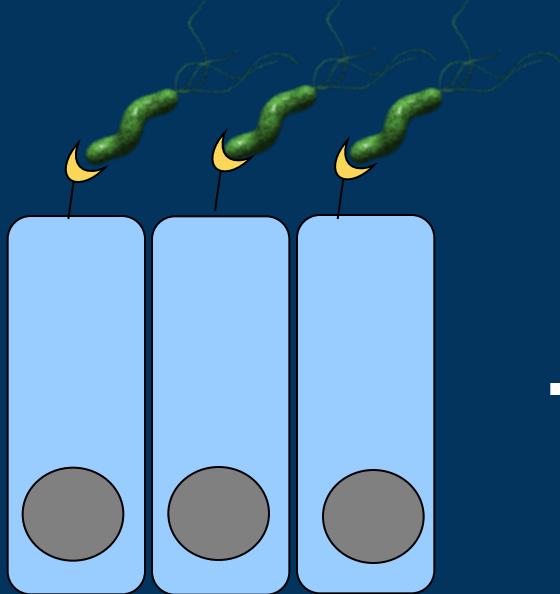
*Suerbaum & Michetti NEJM 2002; 347:1175*

*Morowitz MJ Ann Surg 2011; 253:1094-1101*

# Specific probiotics have surface proteins that inhibit the binding of *H. pylori* in the stomach



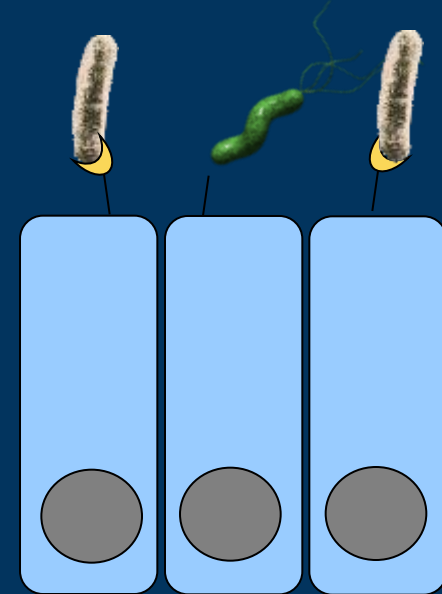
*H. pylori* attached to gastric cells



*L. reuteri* inhibits *H. pylori* binding



*L. reuteri*



**INFLAMMATION**

Three red, starburst-shaped icons are positioned below the word 'INFLAMMATION', indicating the inflammatory response triggered by *H. pylori* attachment.

# HP Eradication Therapy with and without Probiotics- Meta-analysis



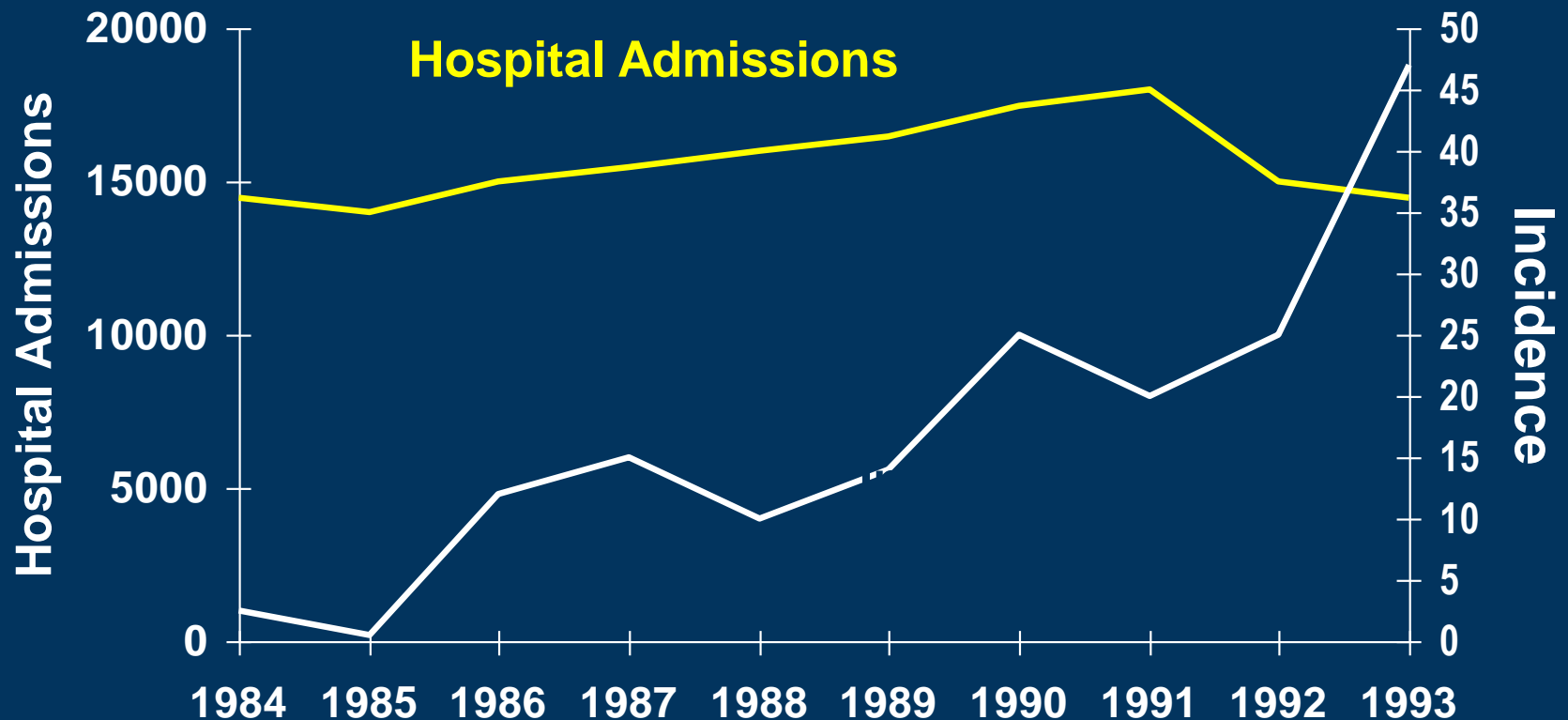
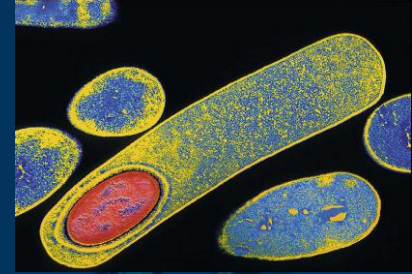
<u>Outcomes</u>	<u># Trials / (n)</u>	<u>with</u>	<u>w/o</u>	<u>NNT</u>
Eradication Rates	11(1074)	85%	75%	11
Total Side Effects	7(625)	22%	38%	6
Diarrhea	8(997)	6.1%	16%	11
Epigastric Pain	7(608)	16%	23%	14
Nausea	7(608)	16%	25%	12
Taste Disturbance	5(418)	14%	25%	5

# Antibiotic Associated Diarrhea: preventable or inevitable ?

- Hempel S et al JAMA 2012
- Meta-analysis 82 RCT met criteria for inclusion
- Probiotics strains were poorly documented
- N=11,811 participants (pooled data)
- Conclusion:
  - Probiotics confer significant decrease in AAD (p<.001)
  - # needed to treat N=13



# Rising Incidence of C.difficile



- Incidence of C.difficile by year

# Pathogenesis of CDAD

Antibiotic therapy



Alteration in colonic microflora



*C. difficile* exposure and colonization



Release of toxin A and Toxin B



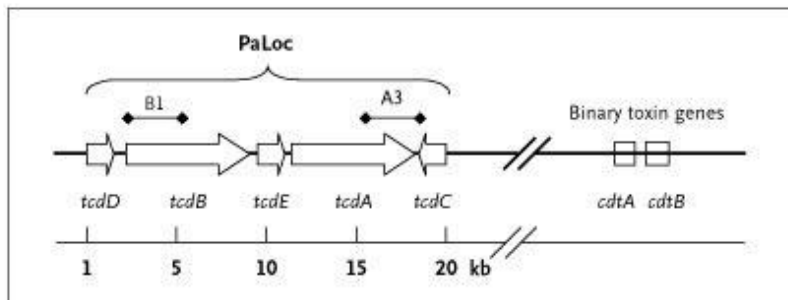
Colonic mucosal injury and inflammation



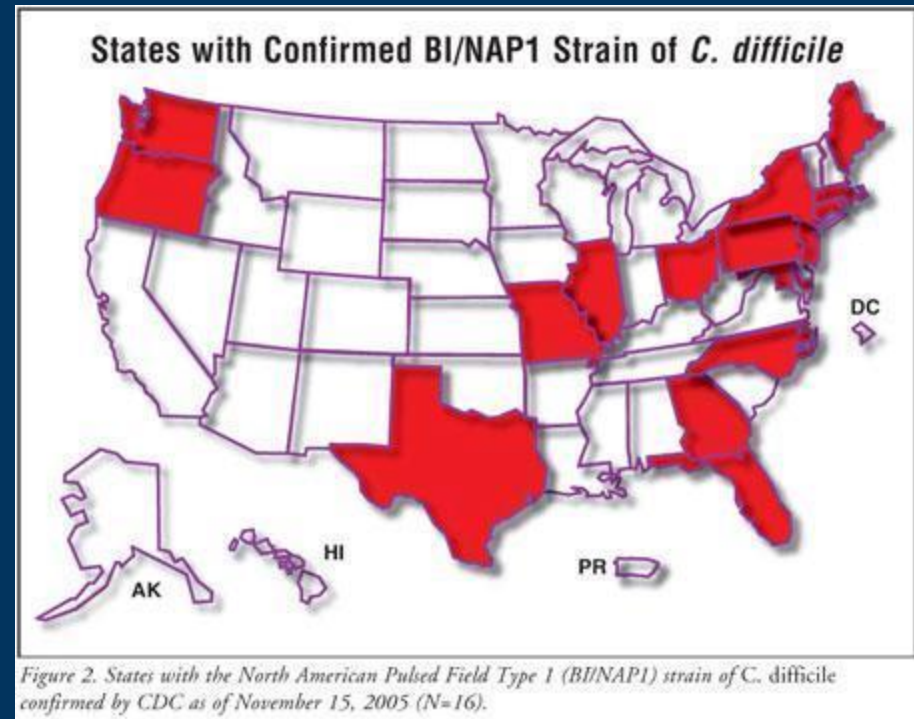
- Adapted from Kelly CP et al Ann Rev Med 1998;48:375-390
- Badger, VO et al JPEN 2012

# Emergence of B1/NAP1 Strain

- Produces 16-23 times *C. diff.* toxins A and B in vitro, represented 50% of isolated strains between 2001-2003
  - Produces a 3<sup>rd</sup> binary toxin
- Increased risk of relapse
- Less responsive to standard therapies

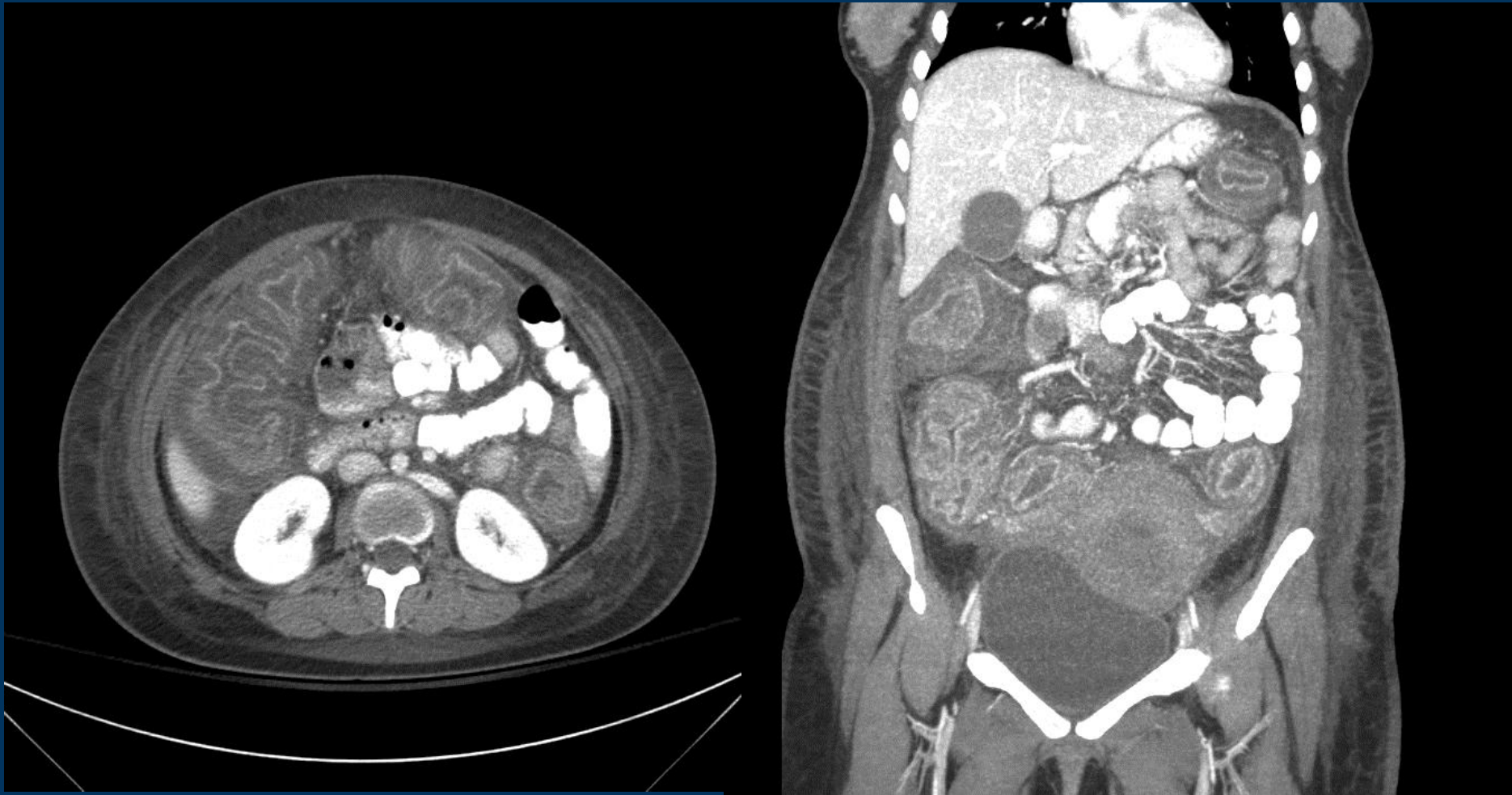


Major Genes in the Pathogenicity Locus (PaLoc) of *Clostridium difficile* and Relation to the Genes for Binary Toxin



- **McDonald NEJM 2005**

# The changing face of *Clostridium difficile* !









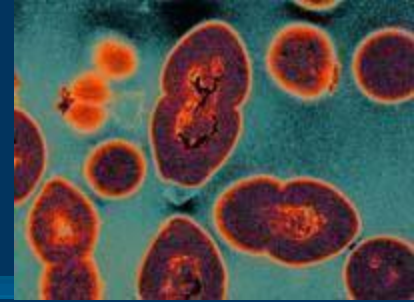


# Use of probiotic Lactobacillus preparation to prevent diarrhoea associated with antibiotics:



- RDBPCT N=135
- Age 64 all taking antibiotics
- 100 gm BID L. casei as drink
- Results:
  - Diarrhea: 7/57 (12%) vs 19/56 (34%)
  - 21% relative risk reduction, NNT 5
  - C.diff 0/57 vs 9/53 (17%)

# “Probiotic treatment of VRE: Randomized Controlled Trial.”



- **PRPCBT 27 VRE positive patients**
- **Yogurt (containing live Lactobacillus GG vs Pasteurized yogurt)**
- **100 gm daily x 4 weeks**
- **Primary outcome measure: clearance of VRE**
- **Results:**
  - **L.GG group: 11/11 cleared VRE at 4 weeks, 3/11 reconverted + at 4 weeks**
  - **Control: 1/12 cleared**
    - » **Allowed to crossover at 4 weeks 8/11 crossed over**
    - » **8/8 of the crossover group cleared in 4 weeks**

**Manley KJ, Fraenkel MB et al Med J Australia 2007;186:454-457**  
**PRPCBT = Prospective Randomized Placebo Control Blinded Trial**



# Pre and Probiotics in the Surgery and ICU Setting

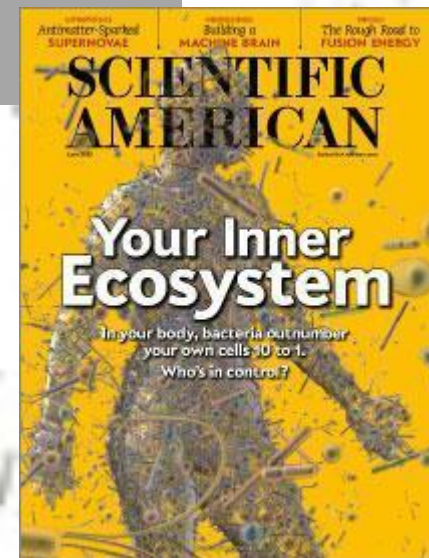
Author	Year	Population	Design	Outcome
Olah	2002	N = 45 pancreatitis	Oat fiber +/- L.Plantarum	Dec infection 4.5 vs 30%
McNaught	2002	N = 129 surgery	+/- L.plantarum	No change
Raves	2002	N = 60 Abdominal surgery	Oat fiber +/- L.plantarum	Dec infection 10 v 30%
Raves	2005	N = 66 Hepatic transplant	Fibers +/- 4 strains probiotics	Dec infection 3 vs 48%
Katsumpasi	2007	N=65 Vent, multiple trauma	Synbiotics	Dec infection, SIRS, Sepsis, mortality
Raves	2007	N=67 Whipple	Synbiotics	Decrease infections
Alberda	2007	N=28 ICU	Probiotics VSL # 3	Enhance immune func
Springer-vessel	2007	N=113 Trauma	4 groups, Synbiotics	Decrease infection, perm
Chunmao	2007 (in press)	N = 45 Post op GI cancer	Syn / pre/ TPN	Dec infection 47 v 20 v 7 %

# Pre and Probiotics in the ICU Setting

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# Prebiotics – Probiotics or Synbiotics Bringing the Science to Practice



Scientific American 2012



**Probiotics are found in fermented foods and as additives to many foods**



**Prebiotics can be found naturally in foods**



**Probiotics + Prebiotics = Synbiotics**



# Probiotics and Prebiotics

- Probiotics
  - Food sources (most often in dairy products)
  - Capsules, tablets, powder or liquid form
  - Infant formulas
- Prebiotics
  - Occur naturally in food: Honey, wheat, onions, bananas, leeks, garlic
  - added as dietary ingredients: Fructo oligosaccharides (FOS), inulin, galacto oligosaccharides, sugar alcohols
  - Enteral formulas containing fiber: Jevity with fiber, Replete with fiber, Specialized formulas (DM, ICU)





# Probiotic Beverages

## Chilled dairy

Yakult

Danactive / Actimel

Stonyfield

BioQ



## Chilled non-dairy

ProViva

Good belly

Komboucha

Bravo Friscus

## Shelf stable

Cocobiotic, Dong Quai, Innergy Biotic

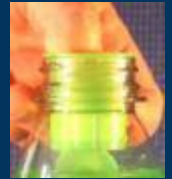


# Better probiotic delivery systems

---

- Keep it away from the liquid until ready to use!
  - Micro encapsulation
  - Packaging solutions

- Bottle closures



- Drinking straws





# Common Products



NCP 2012

**Table 1. Common Probiotic Preparations Available in the United States**

Trade Name	Active Ingredient	Form
Florastor	<i>Saccharomyces boulardii</i> 250 mg	Capsules
Florastor Kids	<i>S. boulardii</i> 250 mg	Powder
Align	<i>Bifidobacterium infantis</i> 35264 ( $1 \times 10^9$ CFU)	Capsules
DanActive	<i>Lactobacillus casei</i> DN-114 001	Fermented milk
Activia	<i>Bifidobacterium lactis</i> DN-173 010	Yogurt
Fem-Dophilus	<i>Lactobacillus reuteri</i> RC-14, <i>Lactobacillus rhamnosus</i> GR-1	Capsules
Culturelle	<i>L. rhamnosus</i> GG ( $1 \times 10^{10}$ CFU)	Capsules
Culturelle for Kids	<i>L. rhamnosus</i> GG ( $1 \times 10^9$ CFU)	Packets
Sustenex	<i>Bacillus coagulans</i> GBI-30, 6086 (BC30)	Capsules, chewies, and gummies
Floranex	<i>Lactobacillus acidophilus</i> ( $2 \times 10^6$ CFU)	Capsules
Lactinex	<i>L. acidophilus</i> and <i>Lactobacillus helveticus</i> ( <i>bulgaricus</i> )	Capsules and packets
Phillips Colon Health	<i>Lactobacillus gasseri</i> , <i>Bifidobacterium bifidum</i> , and <i>Bifidobacterium longum</i>	Capsules

CFU, colony-forming units.

# Common Products



NCP 2012

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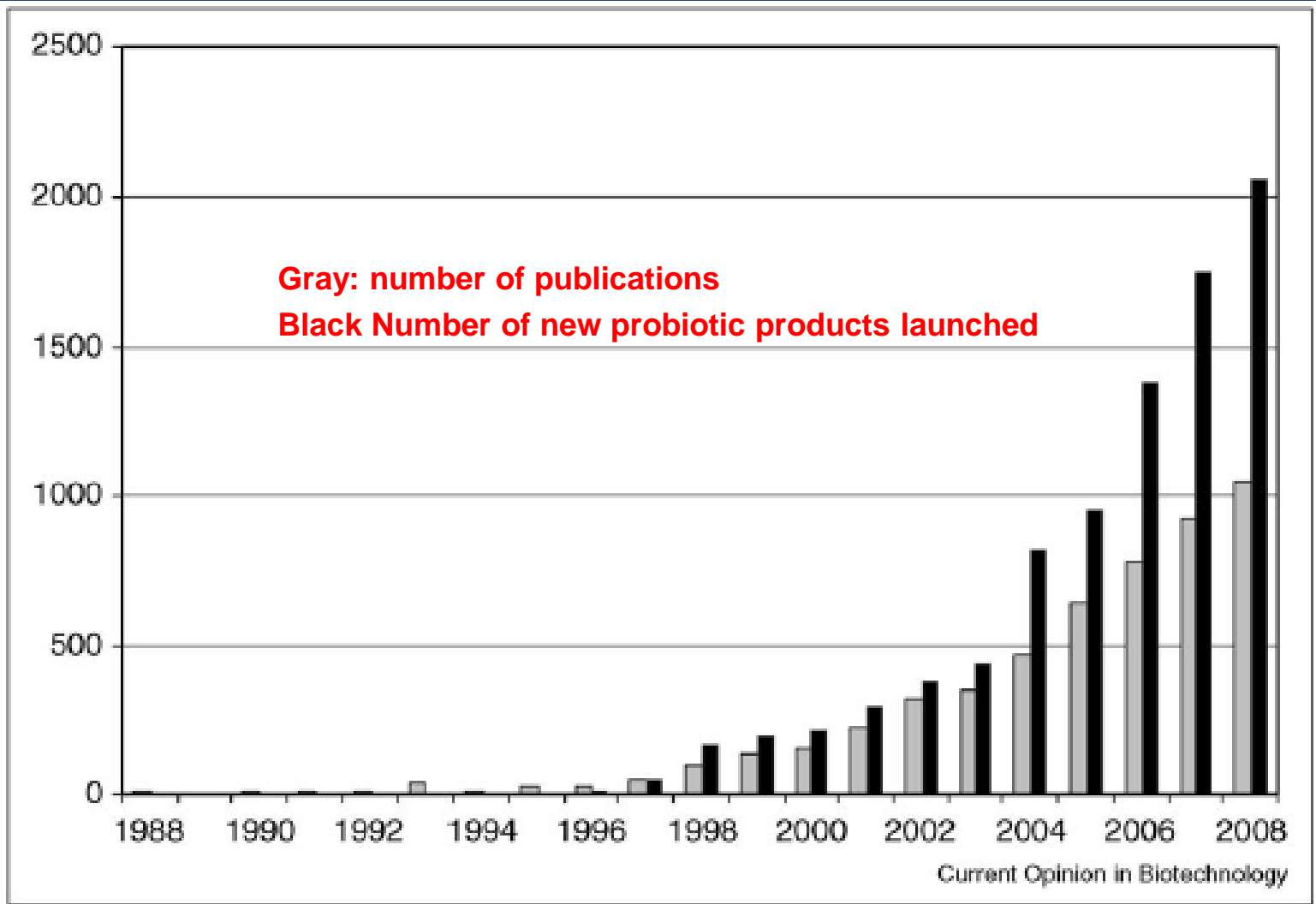
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CFU, colony-forming units.

**NOT a true probiotic**



# 15.4 Billion US Market in 2008



# What's in a label?

- Marcobal et al tested 14 US commercial probiotic products:
  - 93% incorrectly labeled
  - 57% had contaminants
  - 36% did not list strains on the label
- Masco et al tested 58 different products from EU, UK, Asia, Japan, Canada:
  - Only 38% had the dose stated on the label
  - 29% did not contain strains on the label

Nutrition Facts	
Amount Per Serving	
Calories 250	Calories from Fat 110
% Daily Value*	
Total Fat 13g	16%
Saturated Fat 8g	16%
Trans Fat 0g	
Cholesterol 50mg	10%
Sodium 470mg	20%
Total Carbohydrate 81g	16%
Dietary Fiber 0g	0%
Sugars 0g	
Protein 0g	
Vitamin A	4%
Vitamin C	2%
Iron	20%
Calcium	4%

\*Percent Daily Values are based on a diet of other people's secrets. Your Daily Values may be higher or lower depending on your activity level.

	Calories	2,000	3,000
Total Fat	Less Than	65g	85g
Saturated Fat	Less Than	30g	45g
Cholesterol	Less Than	300mg	300mg
Sodium	Less Than	2,400mg	3,000mg
Total Carbohydrate	Less Than	300g	375g
Dietary Fiber	At Least	5g	10g

# Not all *Lactobacilli* survive in the GI tract



12 dairy products off the shelf in UK stores



8 with the “correct” bacteria



35 strains of mainly *Lactobacillus* and *Bifidobacterium* isolated



Stomach (pH, enzymes)

Duodenum (enzymes)

Ileum (bile)

Colon (competition)





**It is all about “Risk vs. Benefit”**



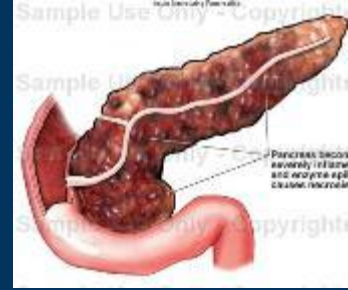


## Probiotic Safety: Generally Recognized as Safe (GRAS) USA Qualified Perception of Safety (QPS) EU



- Can probiotic species transfer resistance genes ?
- **Lactobacillus bacteremia**
  - 180 cases in 30 years
  - 69 cases of endocarditis in 30 years
    - ( majority of *L. rhamnosus*)
  - Several cases of liver abscess in immunocompromised hosts
  - Hepatic *Lactobacillus* abscess in transplanted liver
- ***S. Boulardii***
  - Recent data showing several outbreaks of *S. Cervesiae* fungemia when giving *S. Boulardii*
  - ***S. boulardii* not true probiotic ?**
- **Host risk factors**
  - Immunocompromised
    - » This is theoretical, clinical data would support use
  - Recent major dental work (theoretical anecdotal reports)
- **Caution in severe pancreatitis (Lancet Feb 2008)**

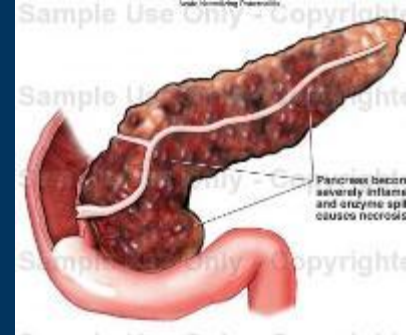
# Probiotics in Pancreatitis: Randomized Prospective Multicenter Trial



- Multicenter RDBPC Trial 298 patients ITT analysis
- APACHE > 8, Imrie >3 or CRP > 150
- Assigned within 72 hours of symptoms
- Control N=145 Multispecies probiotic N=153
- 2 weeks of therapy
- Endpoints: Inf nec, BSI, pneumonia, urosepsis etc
- Results:
  - Infectious complications 30% vs 28%
  - Mortality 16% probiotic vs 6% in control

Besselink M, et al Lancet 2008

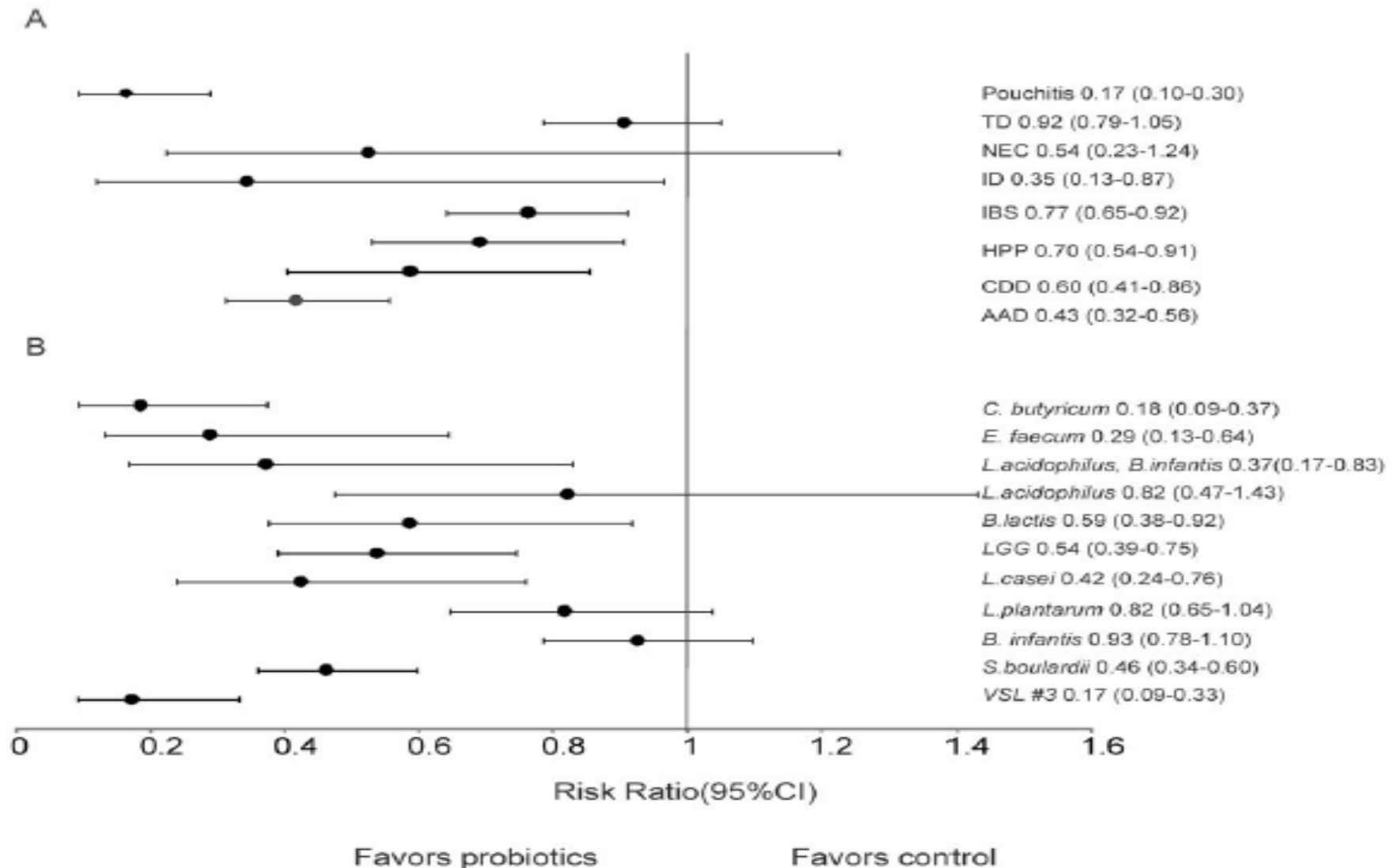
# Probiotics in Pancreatitis ?



- Majority of deaths were from bowel necrosis
  - No bacteremia with probiotic species
  - Necrosis patchy, not just at site of probiotic delivery
- What happened ?
  - More organ failure in exp group at start (13% vs 4% in control)
  - Large number of bacteria (>10 billion)
  - Location of delivery D3 –D4
  - Bowel dysmotility “ileus”
  - Insoluble and soluble fiber in formula
  - ? Localized fermentation, acidosis, necrotic bowel, poor randomization ????



# Ritchie, M et al PLoS One 2012– Met Analysis of Probiotic Efficacy of GI Disease



**Figure 3. The effect size (risk ratio) for gastrointestinal diseases and for probiotic species.** (A) The effect size including the 95% confidence intervals for the total events of Antibiotic associated diarrhea (AAD), *Clostridium difficile* disease (CDD), *Helicobacter pylori* positive (HPP),

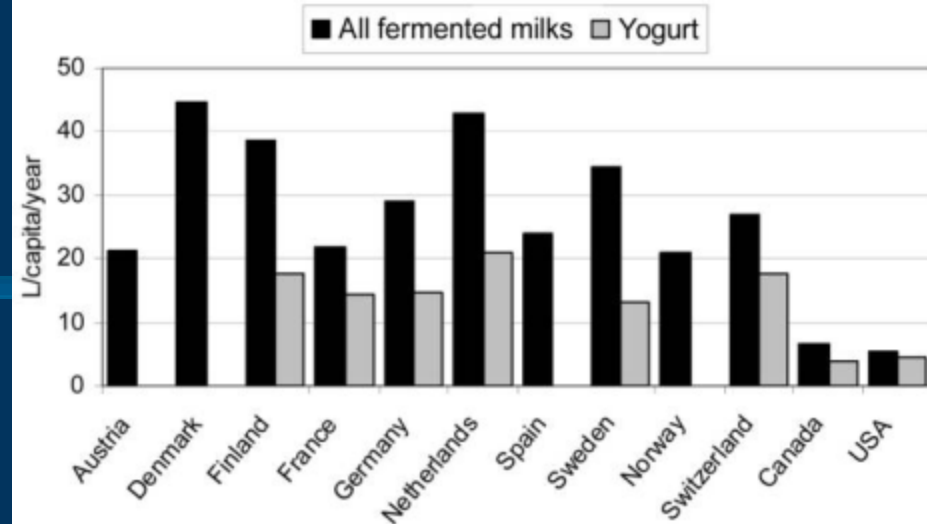
# Current Problems with “Probiotic”

- Extravagant claims without research
  - Still perceived as “quackery” by many
- ? of good manufacturing practice
  - Quality assurance
    - » Additional species and devoid of label common
  - Label vs content
  - Viability of bacterial species
    - » Strain variation, SNP changes ?
- Validate biomarkers for assessing function and activity
- Improve the reliability and ease of taxonomic classification of pre and probiotic
  - Fermentation index
  - FISH (fluorescent in situ hybridization)
  - 16S rRNA
  - Pulse-field gel electrophoresis
  - Amplified fragment-length typing
  - Multilocus sequence typing
- No specific guidelines currently
  - USA far behind EU in regulation



## Probiotics : So many questions, so few answers !!!

- Monostrain vs multistrain ?
- Pre, pro or synbiotic ?
- Will cell free extracts work ?
- Quantity and quality of probiotic needed for desired effect ?
  - Most studies “doses” range from  $10^7$  to  $10^{12}$
  - What dose in Peds ?
- How best to assess the activity / viability ?
- Probiotic safety ?
- Which Probiotics remain viable in GI tract ?
- When are probiotics contraindicated ?
- Resistant patterns ?
- Immunocompromised host ?



Saxelin MJ CID 2009



# Probiotic Protocols

## OHSU Protocol for Synbiotic Use in Hospitalized Adult Patients

Indications	Patients at risk for developing AAD, CDI (broad spectrum antibiotics, ex: fluoroquinones)
Contraindications	Immunosuppressed patients (ex: BMT) (neutrophil count <500)
Route & Dosage	
Oral	4 ounces Nancy's Yogurt or Kefir BID 1 pack Benefiber QID
Feeding Tube	80 ml Nancy's Kefir + 1 pack Benefiber + 60ml sterile water TID



# Probiotic Protocols

## OHSU VAP Prevention Protocol for Adults

Indications	Ventilated patients
Contraindications	Immunosuppressed patients (neutrophil count <500)
Route & Dosage	
Oropharyngeal	Swabbed with Nancy's Kefir BID (following oral care)
Feeding Tube	80 ml Nancy's Kefir + 1 pack Benefiber + 60ml sterile water TID



**Nutrition Facts** Serving Size: 8oz (226g), Servings Per Container: varies, Amount per Serving: **Calories** 140, Fat Cal. 25, **Total Fat** 3g (5% DV), Sat. Fat 2g (10% DV), Trans Fat 0g, **Cholest.** 15mg (5% DV), **Sodium** 160mg (7% DV), **Total Carb** 16g (5% DV), Fiber 0g (0% DV), Sugars 16g, **Protein** 11g, Vitamin A (2% DV), Vitamin C (0% DV), Calcium (40% DV), Iron (0% DV). Percent Daily Values (DV) are based on a 2000 calorie diet.

**Ingredients:** Organic milk, organic nonfat milkpowder, *L. acidophilus*, *S. thermophilus*, *L. bulgaricus*, *L. casei*, *L. rhamnosus*, *B. bifidum* cultures.





# Probiotics Protocols

## Legacy Health Probiotic Protocol for Prevention of AAD

<b>Indications</b> * Critically ill patients will be assessed by RD for appropriateness	Patients at risk for developing AAD (broad spectrum antibiotics, ex:fluoroquinones)
<b>Contraindications</b>	Pancreatitis, Neutropenic precautions, AIDS (T-Cell count <200)
<b>Route &amp; Dosage</b>	
<b>Oral Feeding</b>	8 ounces Nancy's Kefir daily
<b>Feeding Tube – gastric only</b>	80 ml Nancy's Plain Yogurt + 200ml water daily
<b>For patients with dairy intolerance ??</b>	Culturelle LGG 1 pill BID taken 1 hr before or after antibiotics



# Probiotic Protocols



## Portland VAMC NFS Probiotic Protocol for Hospitalized Patients

<b>Indications</b>	<b>Patients at risk for developing AAD, CDI (broad spectrum antibiotics, ex:fluoroquinones)</b>
<b>Contraindications</b>	<b>Neutropenic precautions</b>
<b>Route &amp; Dosage</b>	
<b>Oral Feeding</b>	<b>100 ml container DanActive® BID</b>
<b>Feeding Tube</b>	<b>100 ml DanActive® + 60 ml water BID</b>

Calcium 10%  
Not a significant source of Dietary Fiber, Vitamin A, Vitamin C and Iron.  
\*Percent Daily Values are based on a 2,000 calorie diet.

CONTAINS THE ACTIVE CULTURES *L.BULGARICUS*, *S.THERMOPHILUS* AND *L.CASEI IMMUNITAS*®  
(*Lactobacillus casei* DN-114 001)

**INGREDIENTS:** CULTURED GRADE A LOW FAT MILK, WATER, SUGAR, WHEY PROTEIN CONCENTRATE, BLUEBERRY PUREE, CONTAINS LESS THAN 1% OF DEXTROSE, NATURAL FLAVOR, MODIFIED CORN STARCH, PECTIN, BLACK CARROT JUICE CONCENTRATE (FOR COLOR), CALCIUM CITRATE, MICROCRYSTALLINE CELLULOSE, MALIC ACID, SODIUM CITRATE.



# Product Comparison

Product	Type of Bacteria	Estimate CFU/g	Recommended dosage	Estimate of Cost
Nancy's Yogurt	<i>L. acidophilus</i> <i>L. casei</i> , <i>B. bifidum</i> , <i>L. rhamnosus</i> ,	~9.6 billion per cup	8 ounces per day	\$0.90
Nancy's Kefir	<i>L. acidophilus</i> <i>L. casei</i> , <i>B. bifidum</i> , <i>L. rhamnosus</i> , Prebiotic - inulin	~74 billion per cup	8 ounces per day	\$1.00
Culturelle LGG	<i>L. GG</i>	~10 billion/capsule	2 capsules per day	\$1.70
Danactive	<i>L. casei</i>	~100 million /g	2, 100 ml bottles per day	\$0.66



# General Guidelines for Use of Probiotics

- **Critically evaluate and use only when data supports**
  - Base choice on molecular typing, metabolic characteristics and interaction in the environment
  - Caution with meta-analysis, heterogeneity is key
- **Do not extrapolate from one strain to another**
- **Identify optimal strain, insoluble fiber and commercially available product**
  - ~Probiotic:  $10^9$ - $10^{11}$  viable cells per day ?
  - ~Prebiotic: 20-30 gm/day ?
- **Continued intake of probiotic be required to maintain benefits**
- **Prebiotic are an excellent option to modify flora on long term basis**
  - Persistent levels require continuous intake !

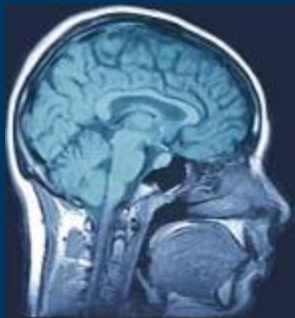
# Concepts the clinical team need to understand regarding probiotics !



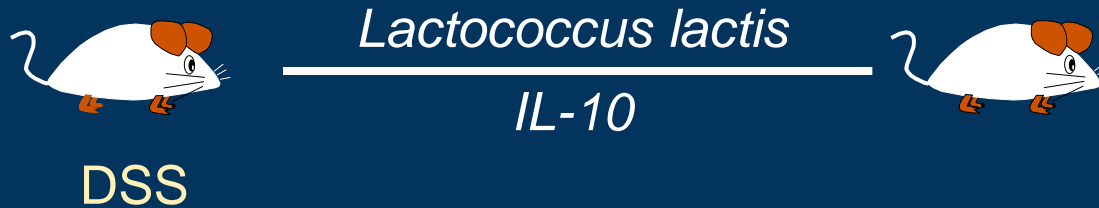
- **NO** single probiotic meets the need in all patients
  - Effects are often strain specific
- Consider the disease process: **prevention vs treatment**
- Decision should depend upon:
  - Metabolic insult or expected insult
  - Timing of delivery; pre, post, or both
  - Severity of condition
  - Expected duration of need
  - Tolerance
  - Function of GI tract remaining
  - Strain by strain assessment
- Base decision on scientific evaluation of the data

# Future Trends: Probiotics in Clinical Medicine

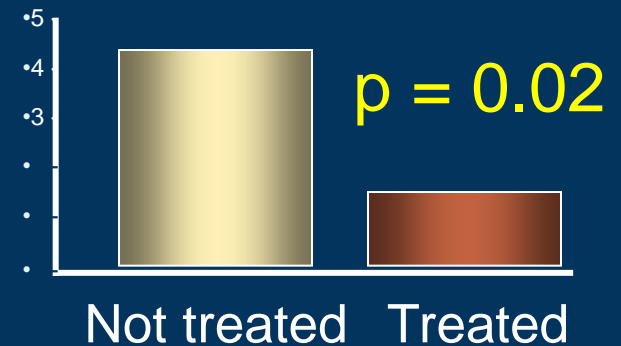
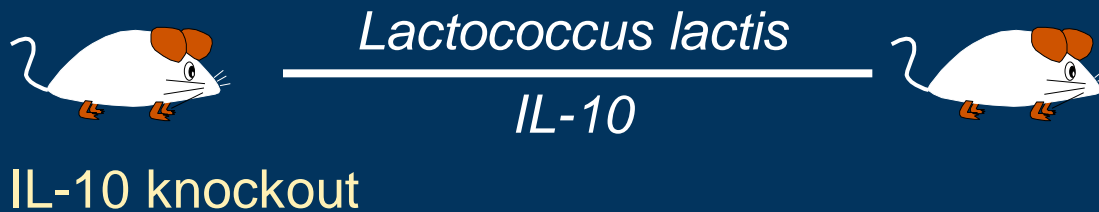
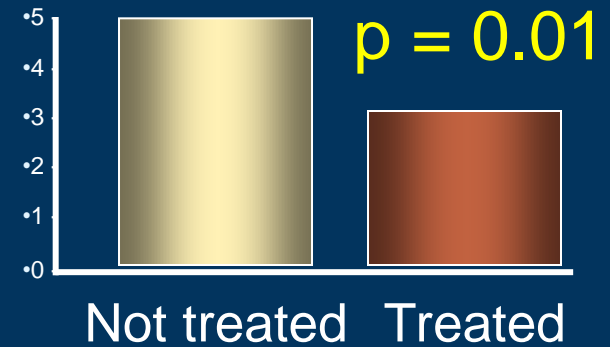
- Understanding of inflammation relationship
- More data on specific strains of probiotics
- Better acceptance by “public and scientific community”
- New attention to gut / microbe mutualism
- Probiotics as drug delivery tools genetically engineered
  - “Designer probiotics”



# Probiotics as drug delivery tools !



## Histologic Score

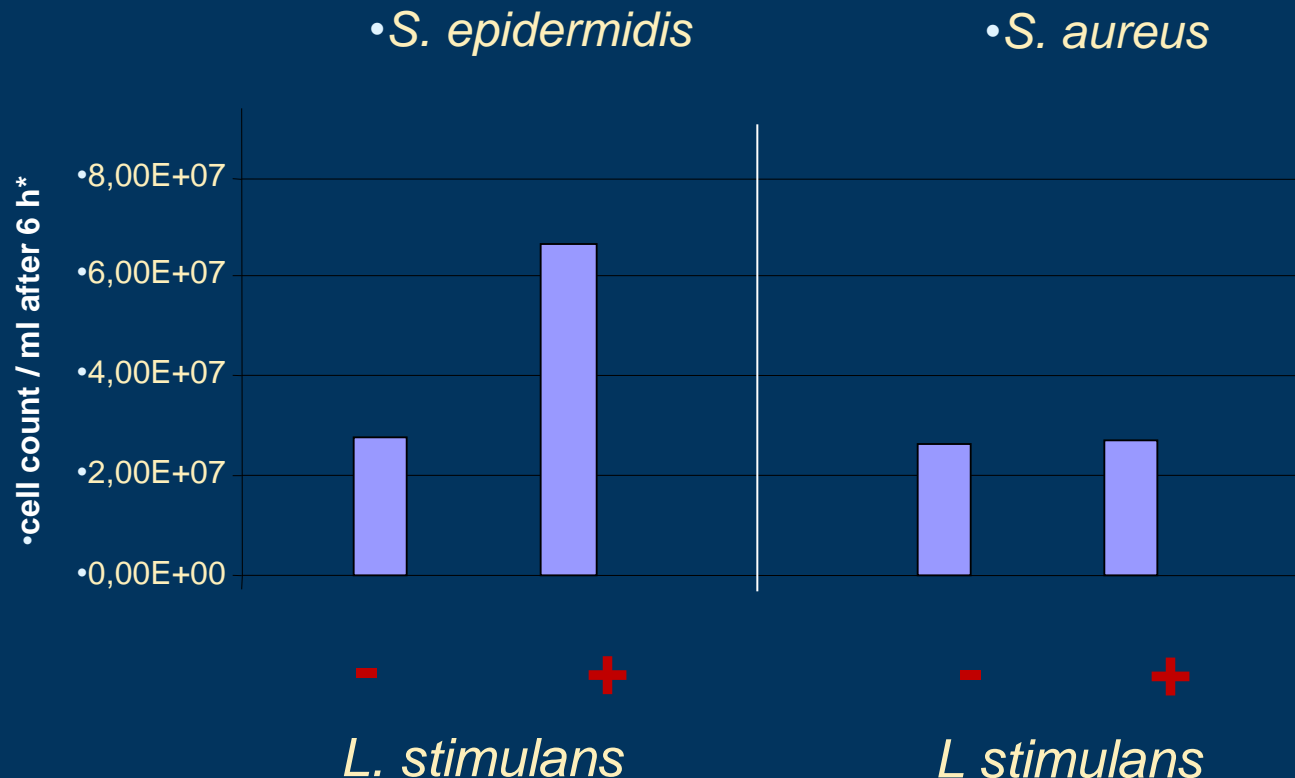


Science 2000; 289:1352-5 (mice)  
Clin Gastroenterol Hepatol 2006;4:754-759 (humans)



# *L. stimulans* Probiotic – balancing skin microflora

Stimulation of *S. epidermidis*, but not *S. aureus* is seen after 6 h of co-culture

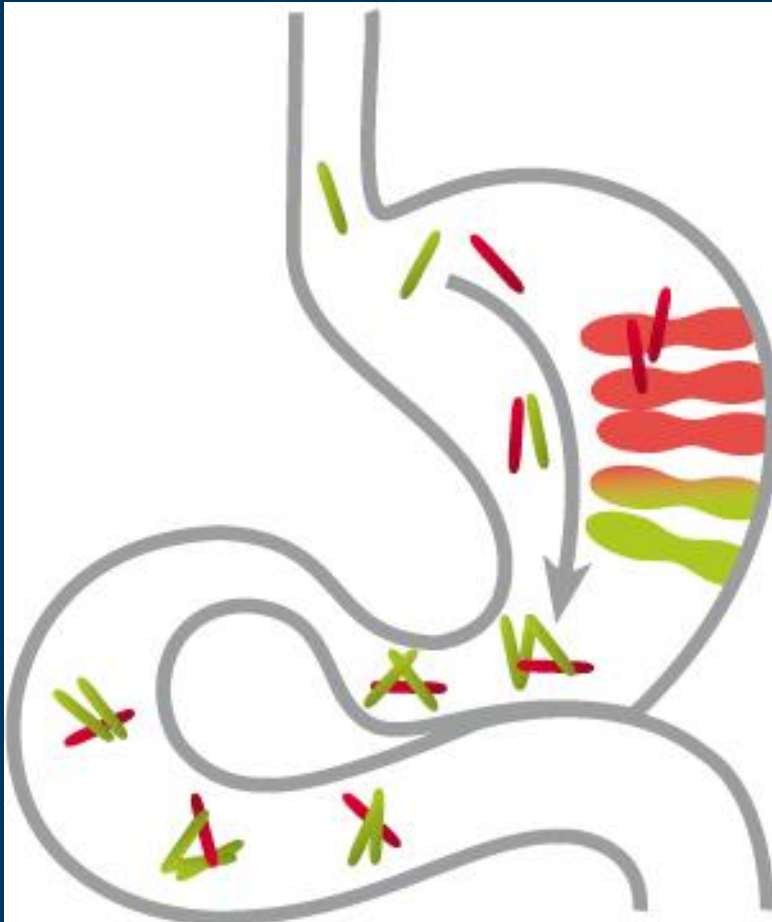


# *L. anti-pylori*

## Probiotic - the gentle alternative



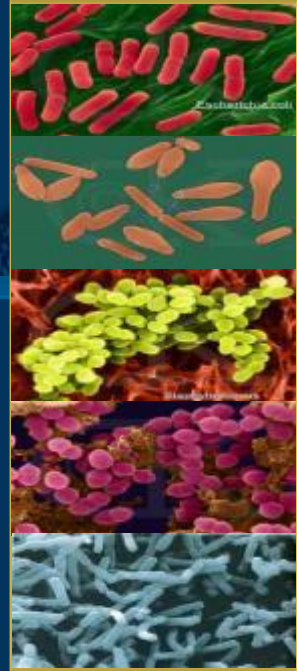
LB anti-pylori is a natural isolate which acts as a “probiotic“



- *H. pylori* loses mobility by co-aggregation with
- *L. anti-pylori*
- *H. pylori* no longer adheres to the mucosa
- Aggregated *H. pylori* are carried out of the stomach

# Ongoing Trials : Probiotics

- **Neurologic disorders**
  - Pain control, ADHD, Tourette syndrome
- **Inflammatory diseases**
  - Aging, IBD, arthritis, asthma, diabetes
- **Use on non-GI surfaces**
  - Burns, tracheostomy sites, skin in ICU, wounds, STSG, Vagina, respiratory tree
- **AIDS prevention**
  - Changing the pH of the vagina alters HIV receptors
  - Gene transfer HIV receptor into probiotics
    - » Already done for *L. jensenii*
- **Cancer prevention**
  - Multiple mechanisms
    - » Dietary procarcinogens by commensal bacteria
    - » Histone deacetylase inhibitor



# Is it time for a paradigm shift regarding bacteria ?

Are we making a leap of faith ?



Supply viable beneficial bacteria or a substrate which enhances these specific beneficial bacteria instead of trying to eliminate the pathogen ?

**“Bioecological control”**

