

# Hadronisation: Models vs. Data

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- Introduction
- Remarks on Tuning
- Models compared to Data  
(shapes, incl. & ident. hadrons., rates, E-dependence, heavy  $q'$ s, resonances, baryons, soft  $\gamma'$ s, gluons $\leftrightarrow$ quarks, Bose Einstein FSI)
- Summary

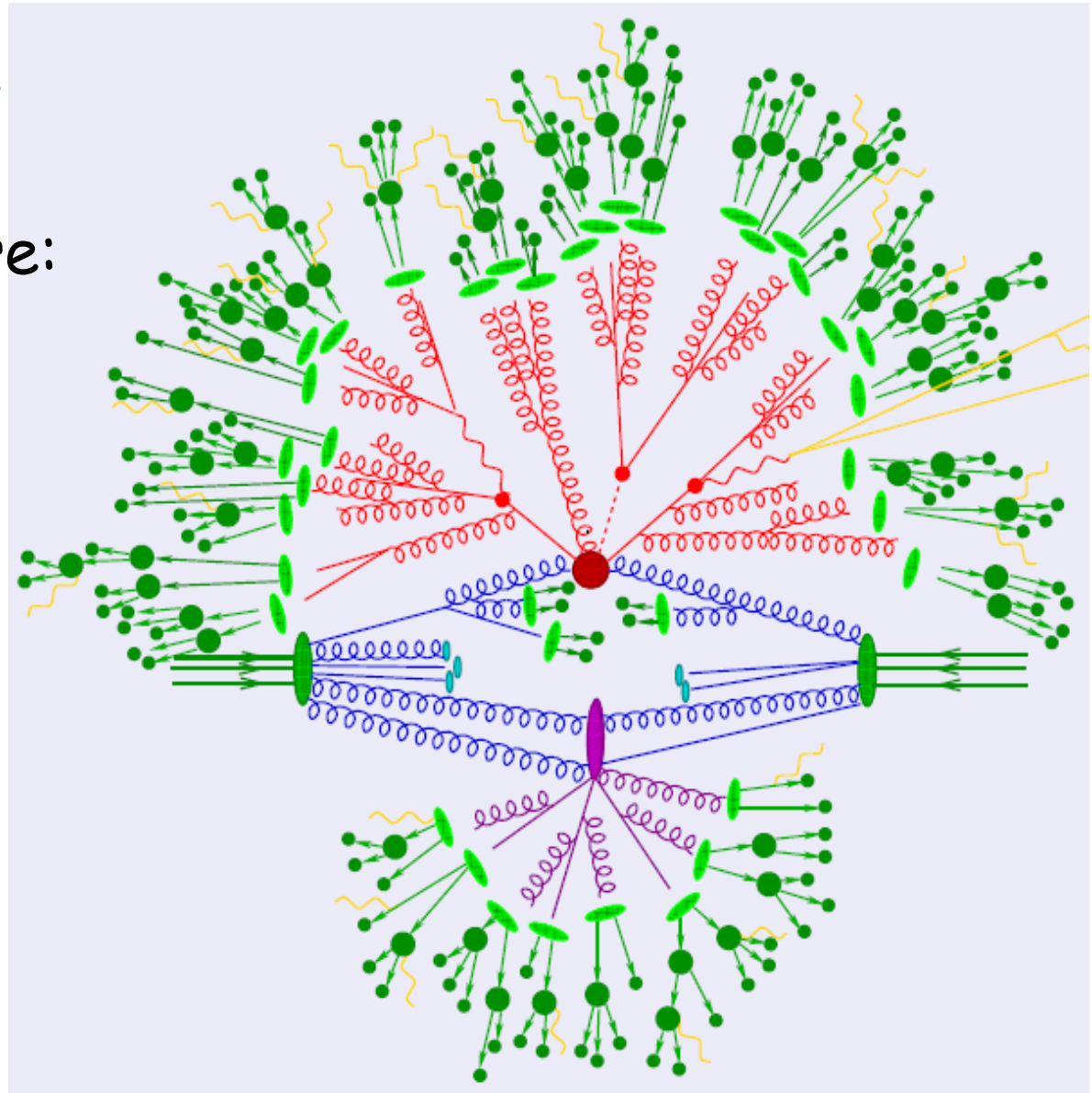
# Introduction

At LHC/pp interactions:

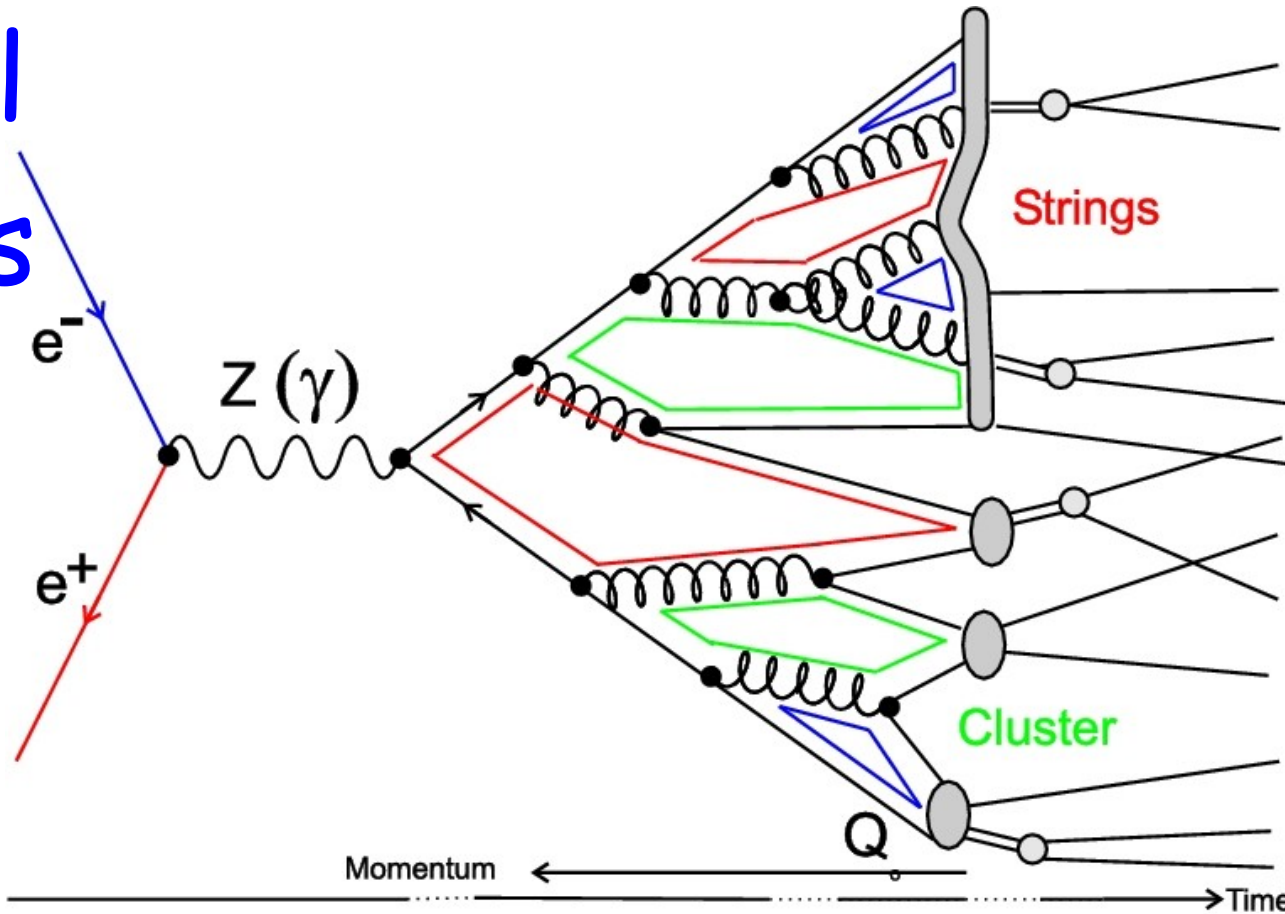
intricate event structure:

PDF's,  
ISR,  
multiple interactions,  
FSR,  
hadronisation, ....

-> fix fragmentation  
mainly using  $e^+e^-$  data



# Model Pieces ( $e^+e^-$ )



Z-qq  
couplings

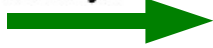
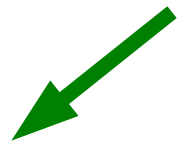
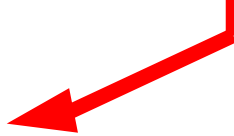
ME ..... PS

Theoretically  
"understood"

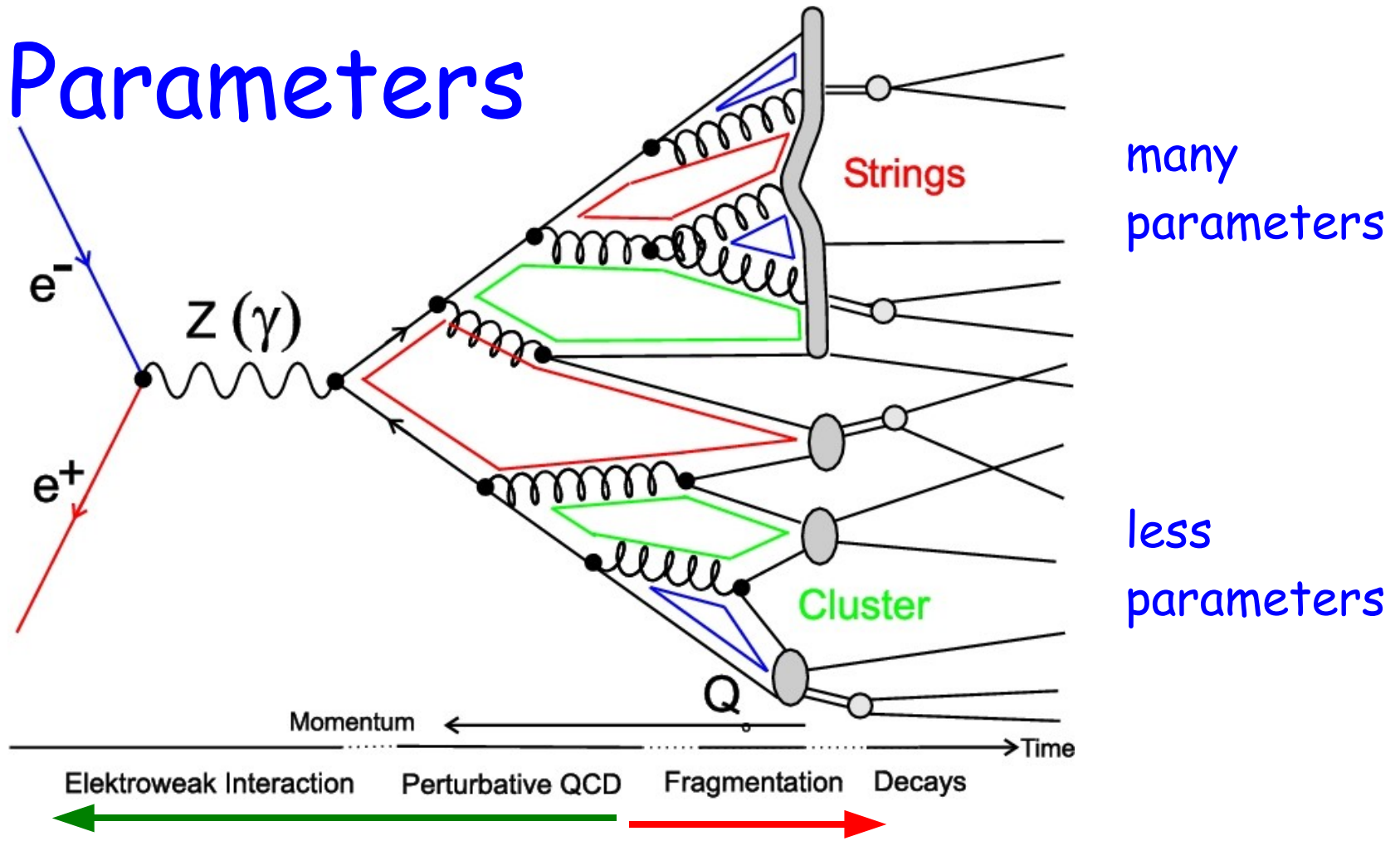
**Fragmentation**  
Conservation laws,  
theory guided models

**FSI, CR**  
Models

**Decays**  
Data (BR's)



# Main Parameters



many  
parameters

less  
parameters

$$\alpha_s(M_Z), \alpha_s(p_+), p_+^{\text{cut}}$$

fragment. functions  
flavour composition,  
# baryons, # resonances

Model pieces strongly correlated due to splitting processes:  
partonic splittings - fragmentation splittings - decays

# HERWIG Parameters (a la ALEPH)

| parameter                           | MC name                         | HW0               | HW-CR             |
|-------------------------------------|---------------------------------|-------------------|-------------------|
| $P_{\text{reco}}$                   | PRECO                           | 0                 | 1/9               |
| min. virtuality ( $\text{GeV}^2$ )  | VMIN2                           | -                 | 0.1               |
| $\Lambda$ (GeV)                     | QC DLAM                         | $0.190 \pm 0.005$ | $0.187 \pm 0.005$ |
| gluon mass (GeV)                    | PS<br>RMASS(13)                 | $0.77 \pm 0.01$   | $0.79 \pm 0.01$   |
| max. cluster mass (GeV)             | CLMAX                           | $3.39 \pm 0.08$   | $3.40 \pm 0.08$   |
| angular smearing, dusc              | CLSMR(1)                        | $0.59 \pm 0.03$   | $0.66 \pm 0.04$   |
| angular smearing, b                 | CLSMR(2)                        | 0                 | 0                 |
| power in cluster<br>splitting, dusc | PSPLT(1)                        | $0.945 \pm 0.018$ | $0.886 \pm 0.017$ |
| power in cluster<br>splitting, b    | PSPLT(2)                        | 0.33              | 0.32              |
| decuplet baryon weight              | DECWT                           | $0.71 \pm 0.06$   | $0.70 \pm 0.06$   |
| $\langle n_{\text{ch}} \rangle$     |                                 | 20.96             | 20.98             |
| f(reco)                             | <b>Eur.Phys.J. C48(2006)685</b> | -                 | 0.08              |

params for heavy clusters decay

Few parameters for general fragmentation in HERWIG !

# How to Fix Model Parameters

Require description of data : **measured** hadrons

- need **complete** model  
(from **PDF** ... to **observed** hadrons)
- need **corrected** data

Else **no proper comparison** possible !

# How to Tune

- generate many event samples using **random MC model param. sets** (use physical parameters e.g.  $\alpha_s$  instead of  $\Lambda$ );
- interpolate between samples  $\rightarrow$  **parameterisation(MC param.)** ( $2^{\text{nd}}$  order multidimensional polynomial with correlations);
- fit analytic **parameterisation** to data  $\rightarrow$  best **MC param.;** regard standard fitting rules;
- if optimum **MC params. outside** initial param. hypervolume, or volume too big **iterate** (we used  $2^{\text{nd}}$  order interpolation!)
- for syst. errors exchange data distributions in the fit

Strategy tested for many (15) parameters simultaneously

# Which Data Distributions ?

Start from

obvious

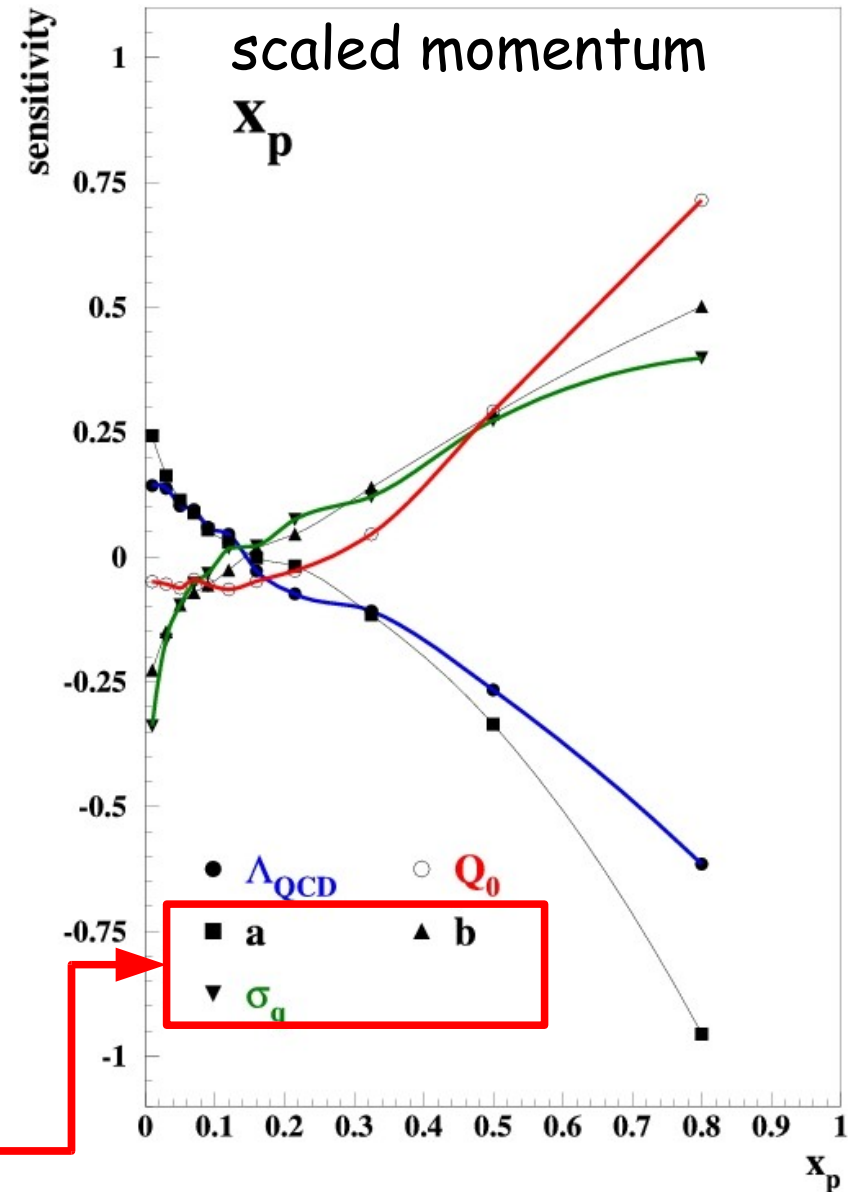
physics motivation

but **check**

**sensitivity**

of the data

distribution !



Lund string frag. fct. parameters

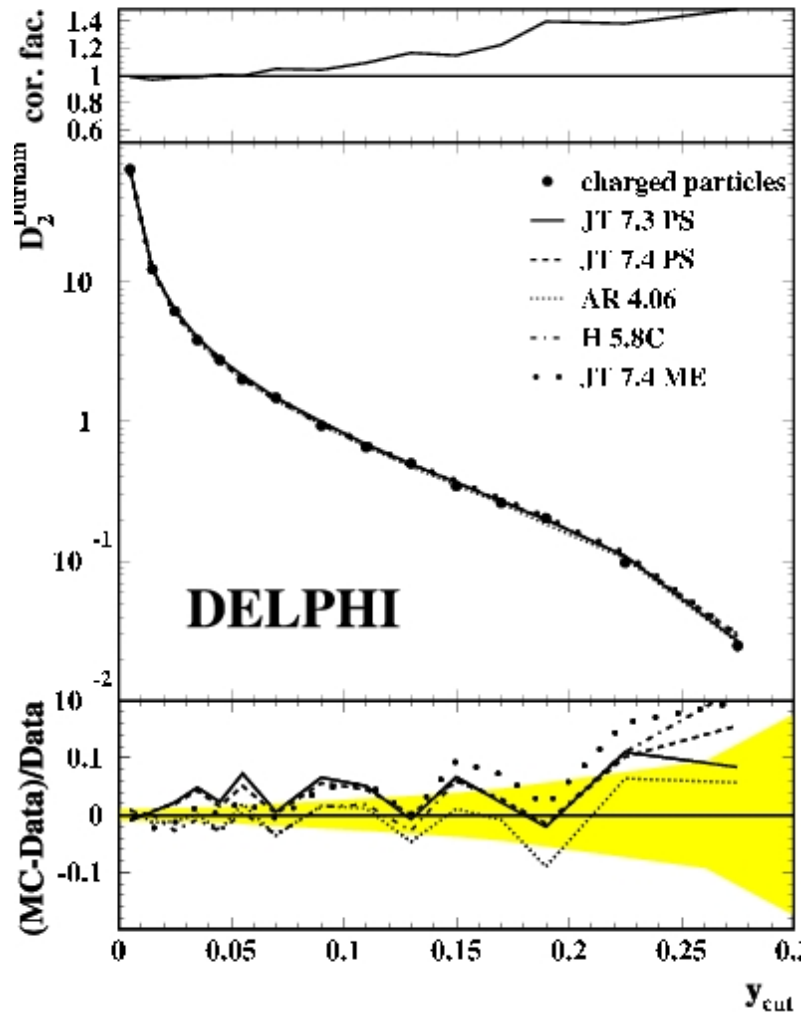


# Which Data to Chose !

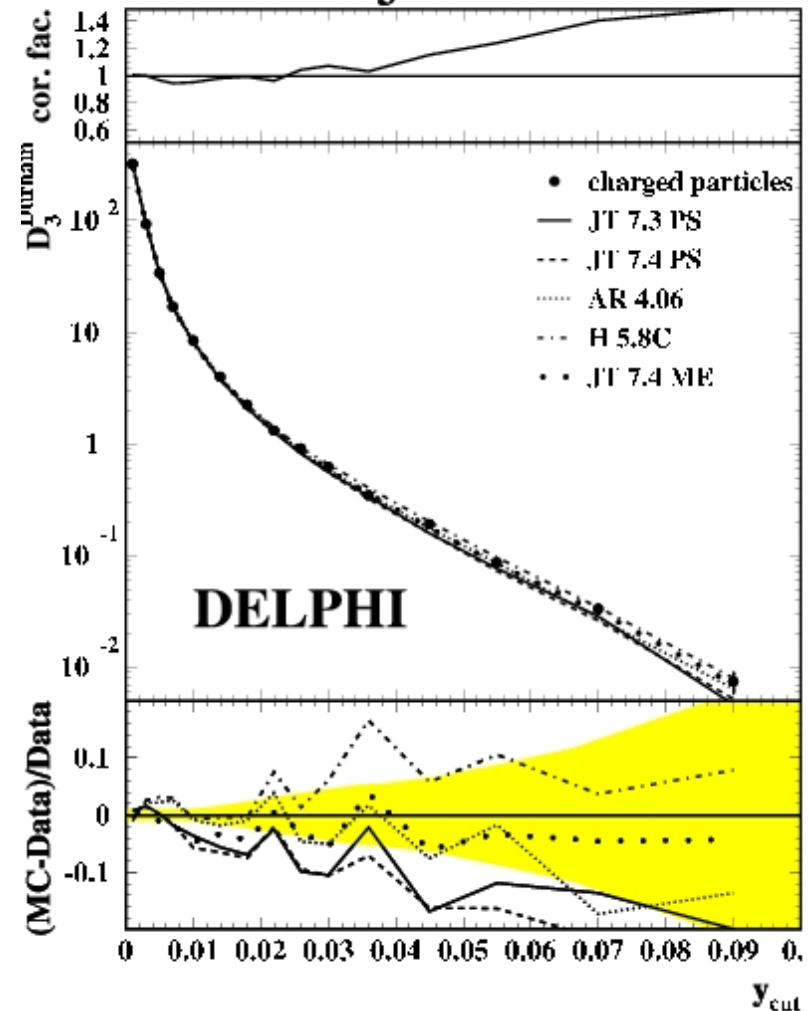
- use only sensitive data
- **try to avoid** large correlation btw. parameters like in previous plot  
 $\alpha_s \leftrightarrow p_{\dagger}^{\text{cut}}$  ;  $\alpha_s \leftrightarrow \text{frag. fct.}$  ;  $p_{\dagger}^{\text{cut}} \leftrightarrow \# \text{ resonances}$
- **a tune is a fit =>**  
**exclude** badly described distributions  
e.g. only use baryon rate not baryon momentum spectrum.  
Problem if model **describes data badly =>**  
model **parameters ill-defined!**

# Models vs Event Shapes

## 3 Jet Rate



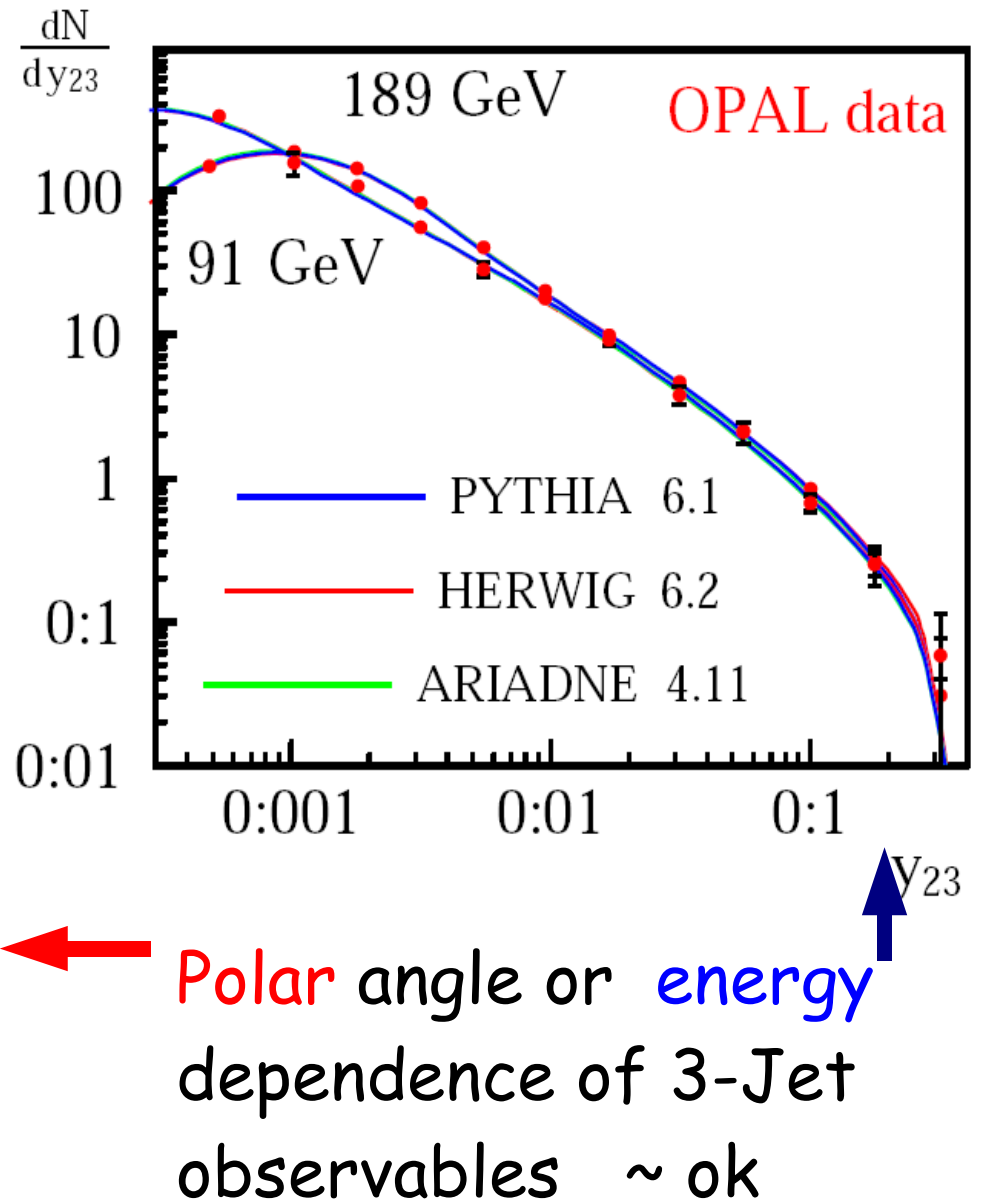
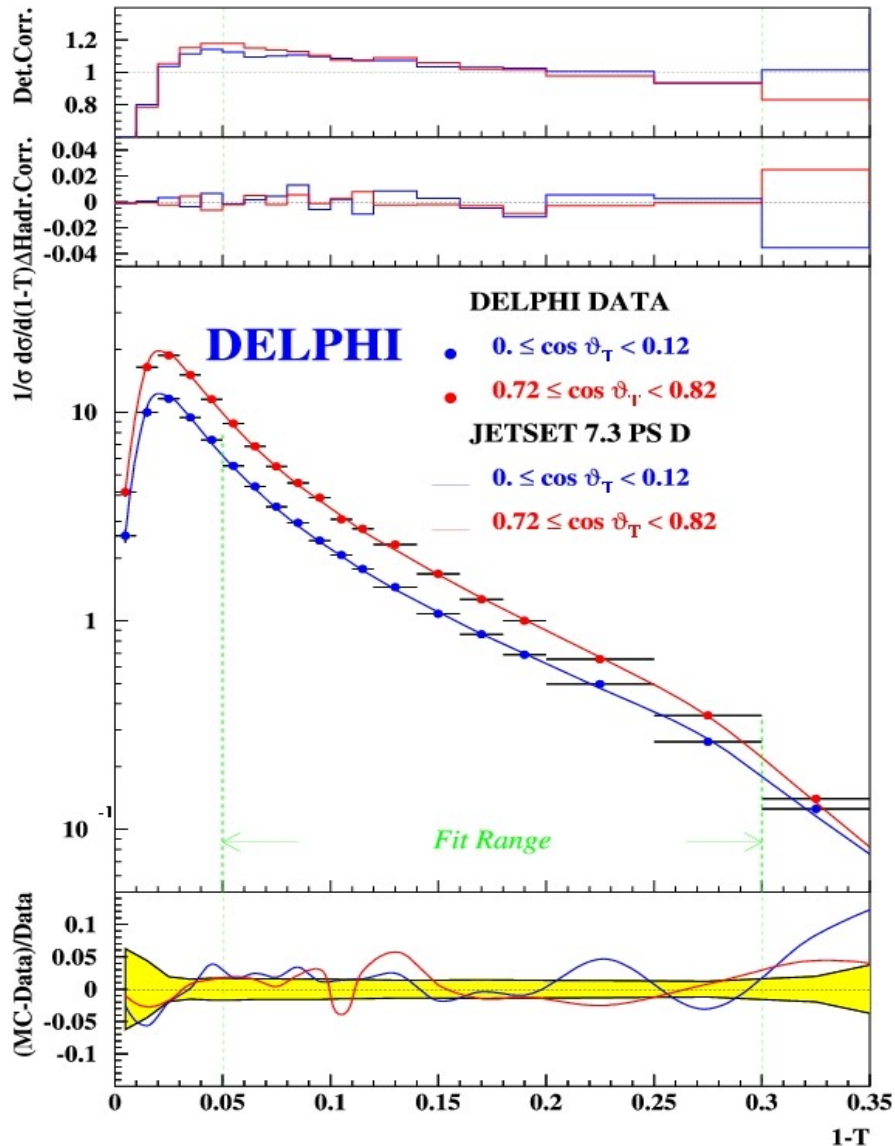
## 4 Jet Rate



For 3 Jet rate observables description ok (typical deviations  $O(3\%)$ )

-> 4 Jet rate obs. too low for Pythia, too high for Herwig, Ariadne ~ok

# Check ME/PS Matching



# Check ME/PS matching

E- and/or  $\cos\Theta$ -dependence  
of 3- and 4-jet observables have  
to be described simultaneously!

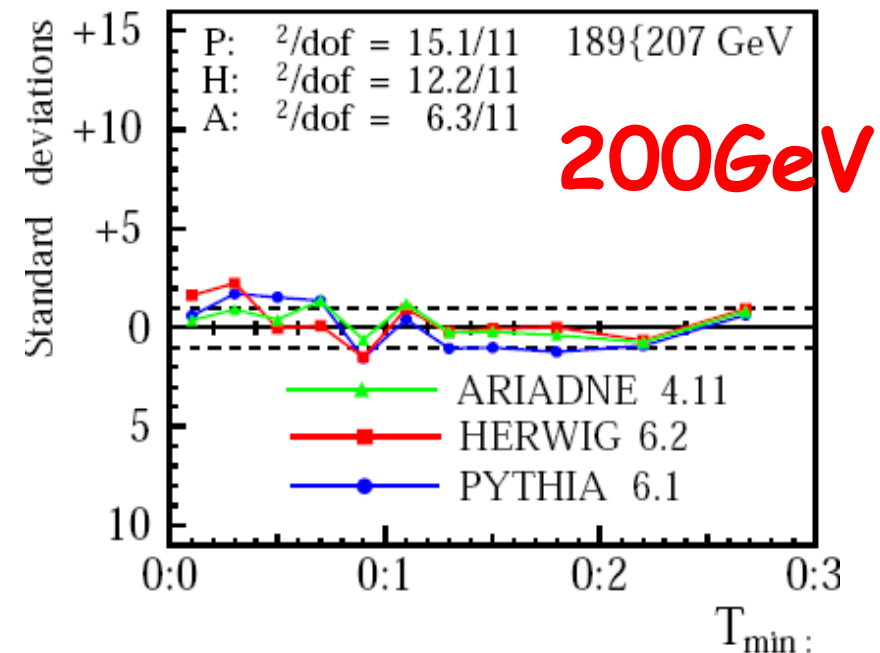
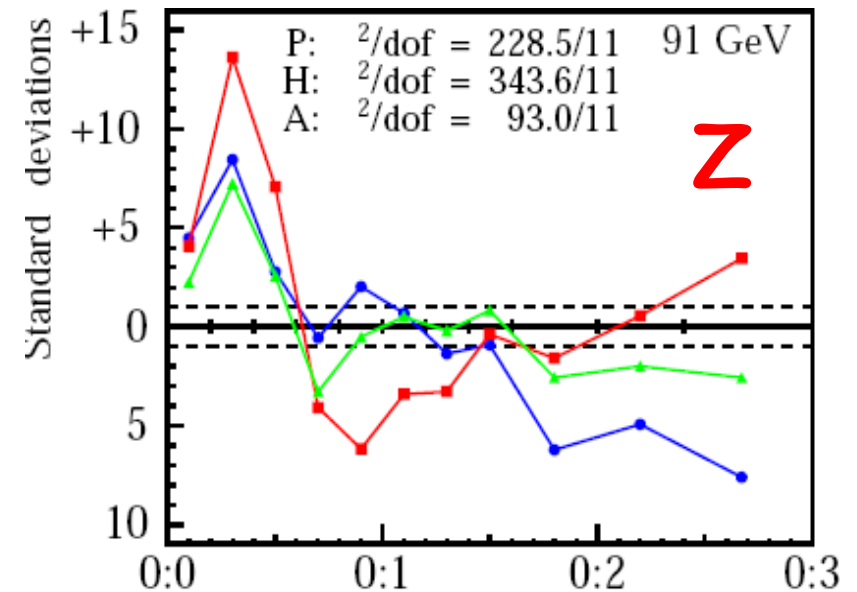
but:

little 4-jet data published

OPAL (M. Ford) =>

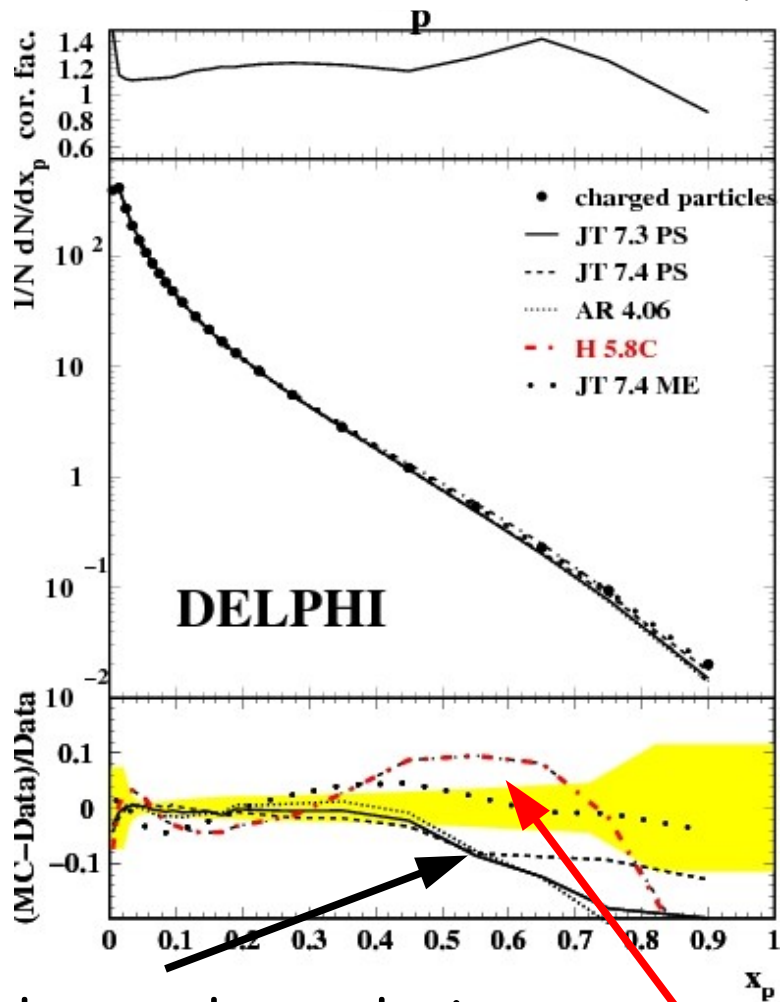
also ALEPH data

Minor



# Inclusive Charged Hadrons

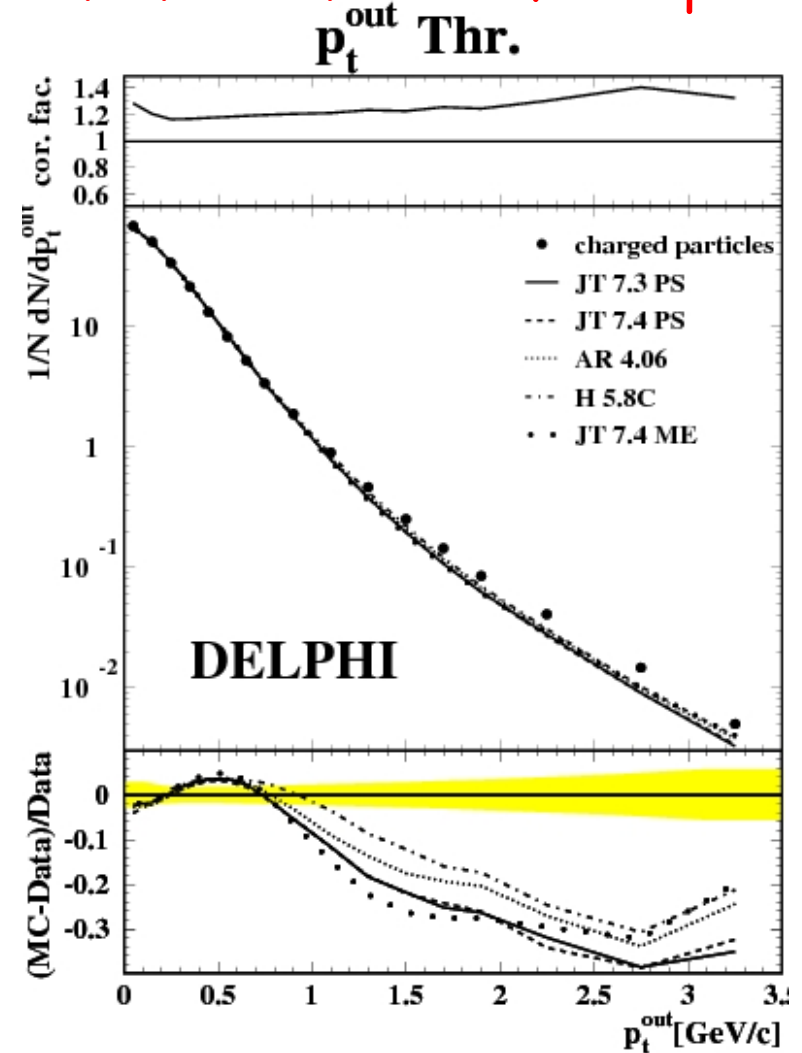
scaled momentum -  
high correlation with multiplicity



likely exptl. resolution

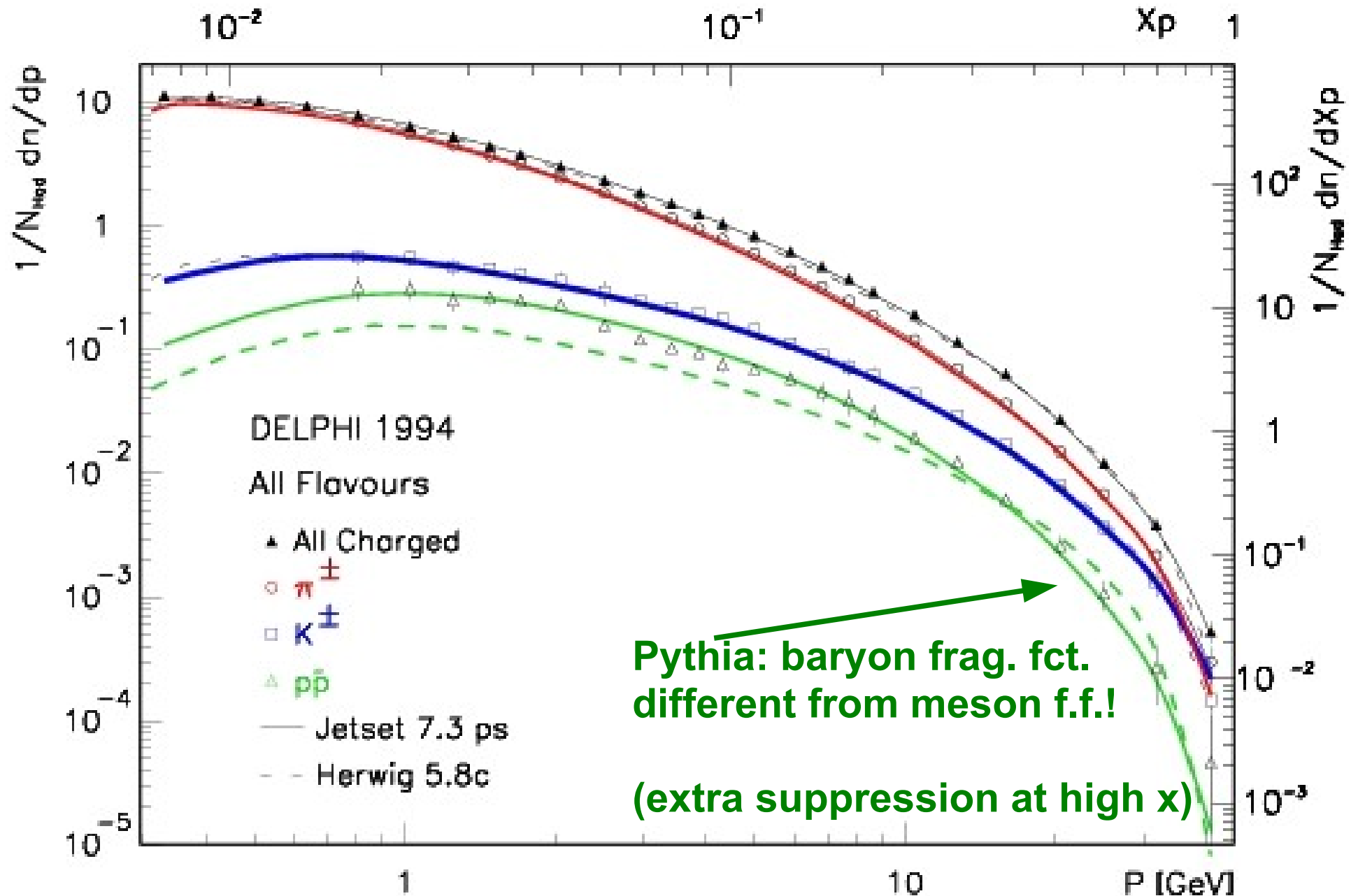
feature of cluster fragmentation

All models **underestimate**  
**momentum out of the plane**



$(p_t^{\text{in}} \sim \text{ok})$

# Identified Charged Hadrons

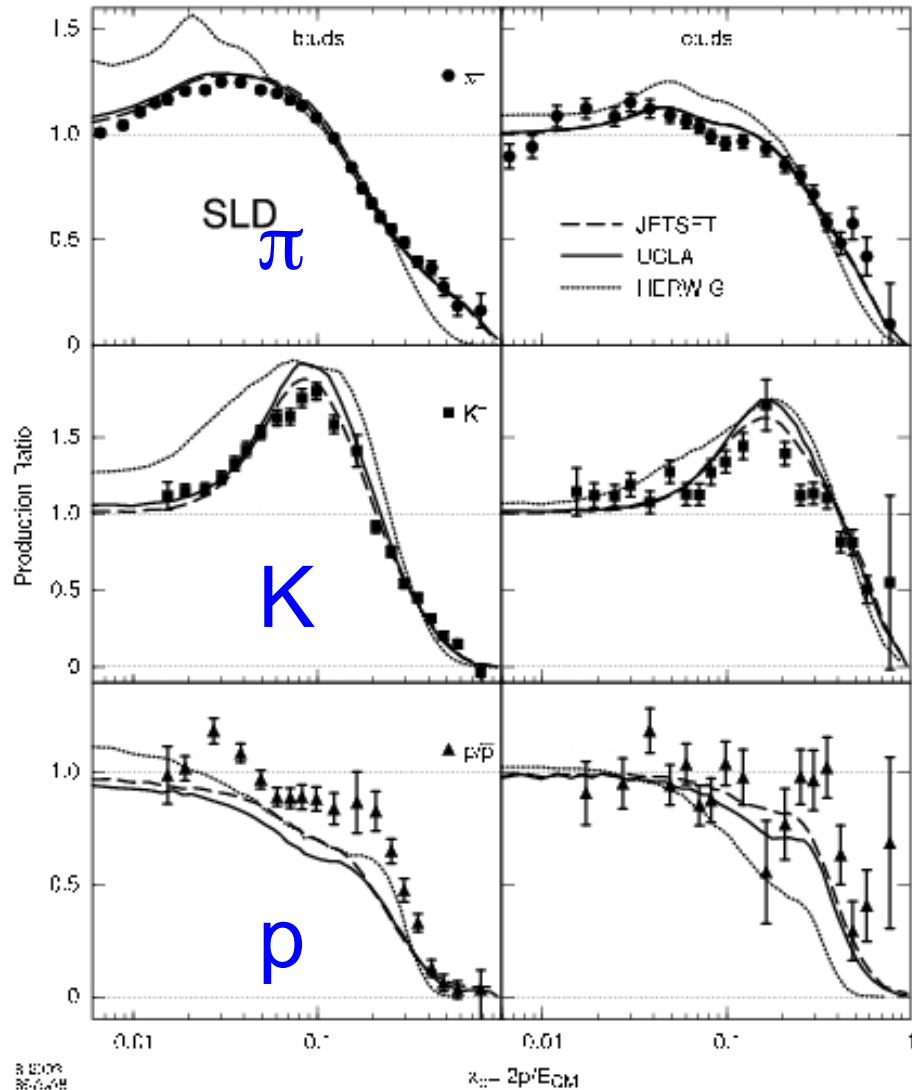


# Identified Charged Hadrons

flavour dependence

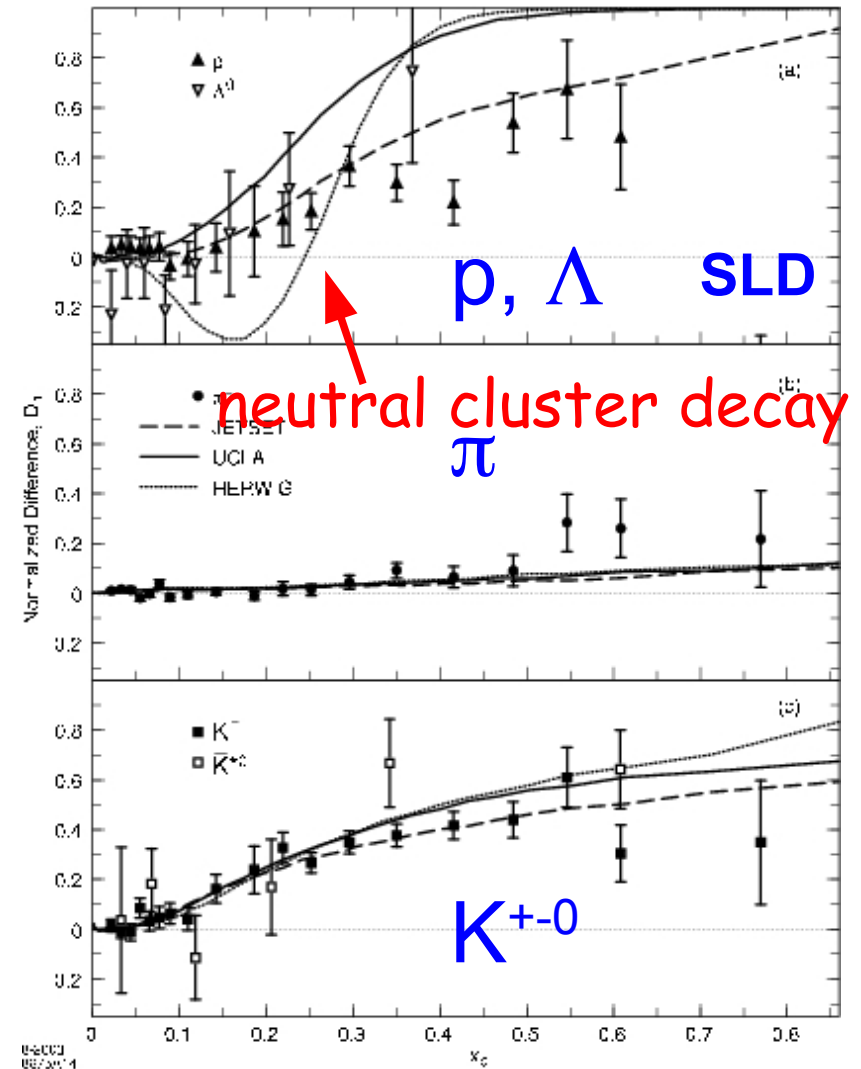
Ratio  $b/uds$  ▼

$c/uds$  ▼

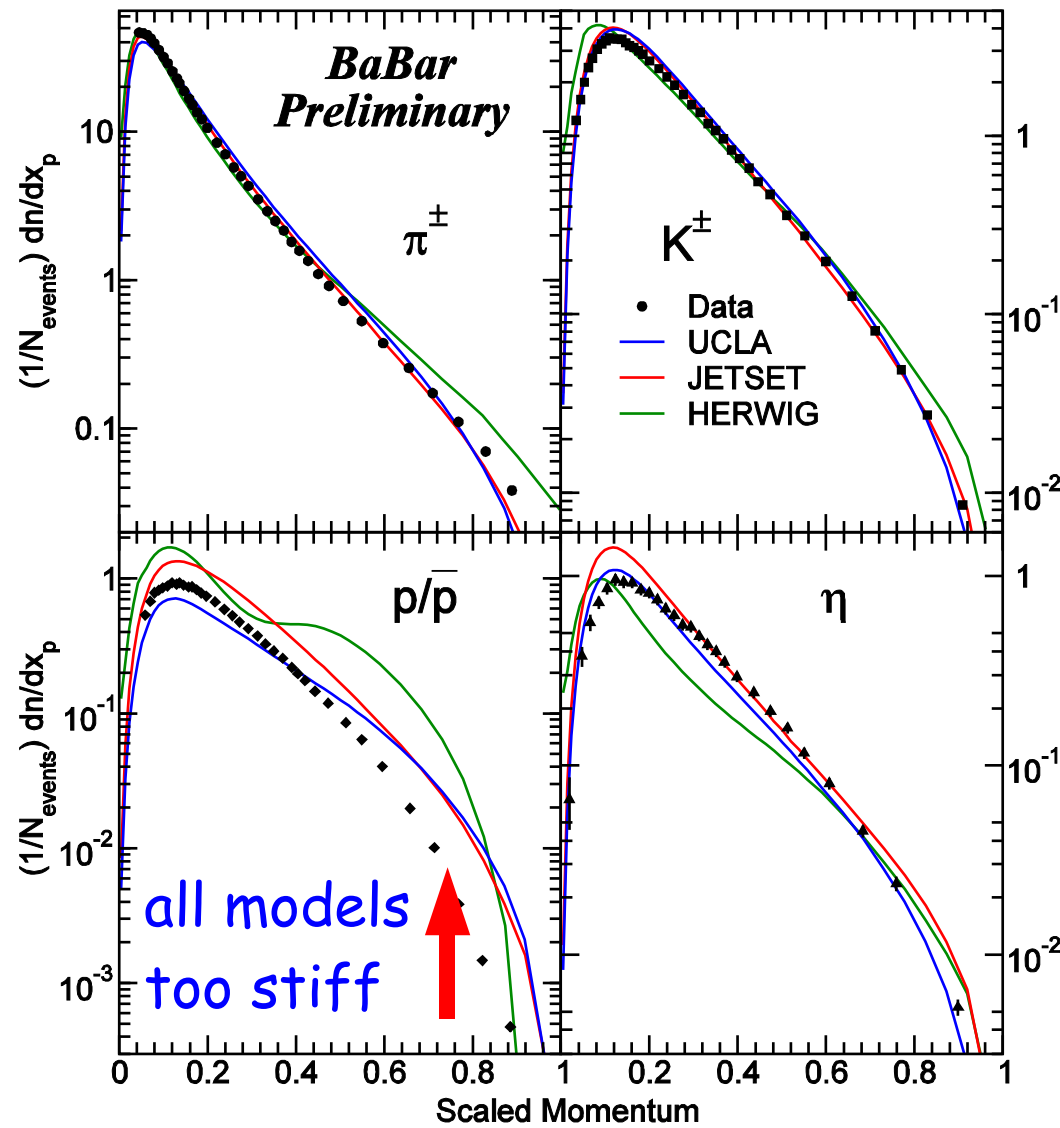
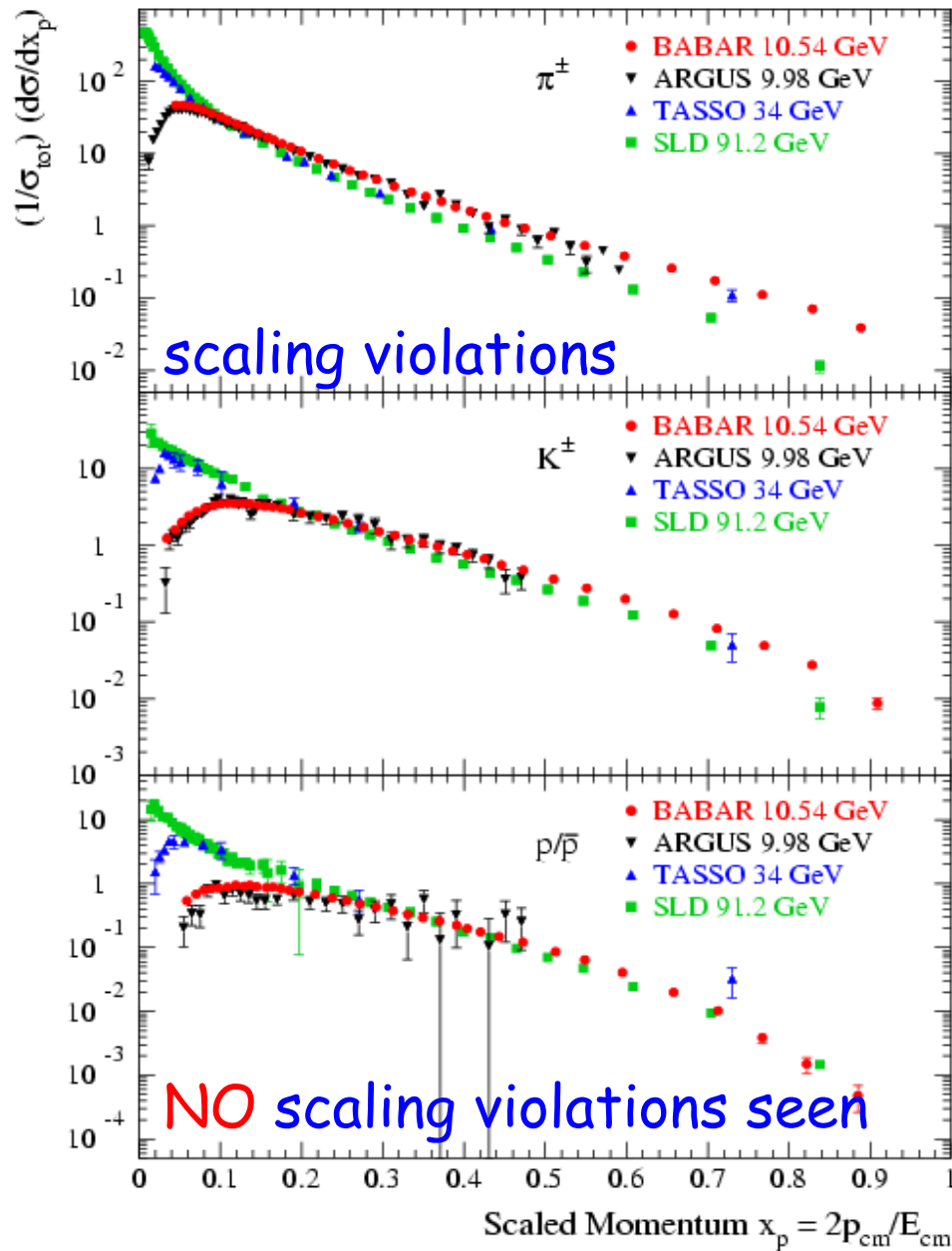


leading particles

$$\Delta = (D_q^h - D_{\bar{q}}^h) / (D_q^h + D_{\bar{q}}^h)$$



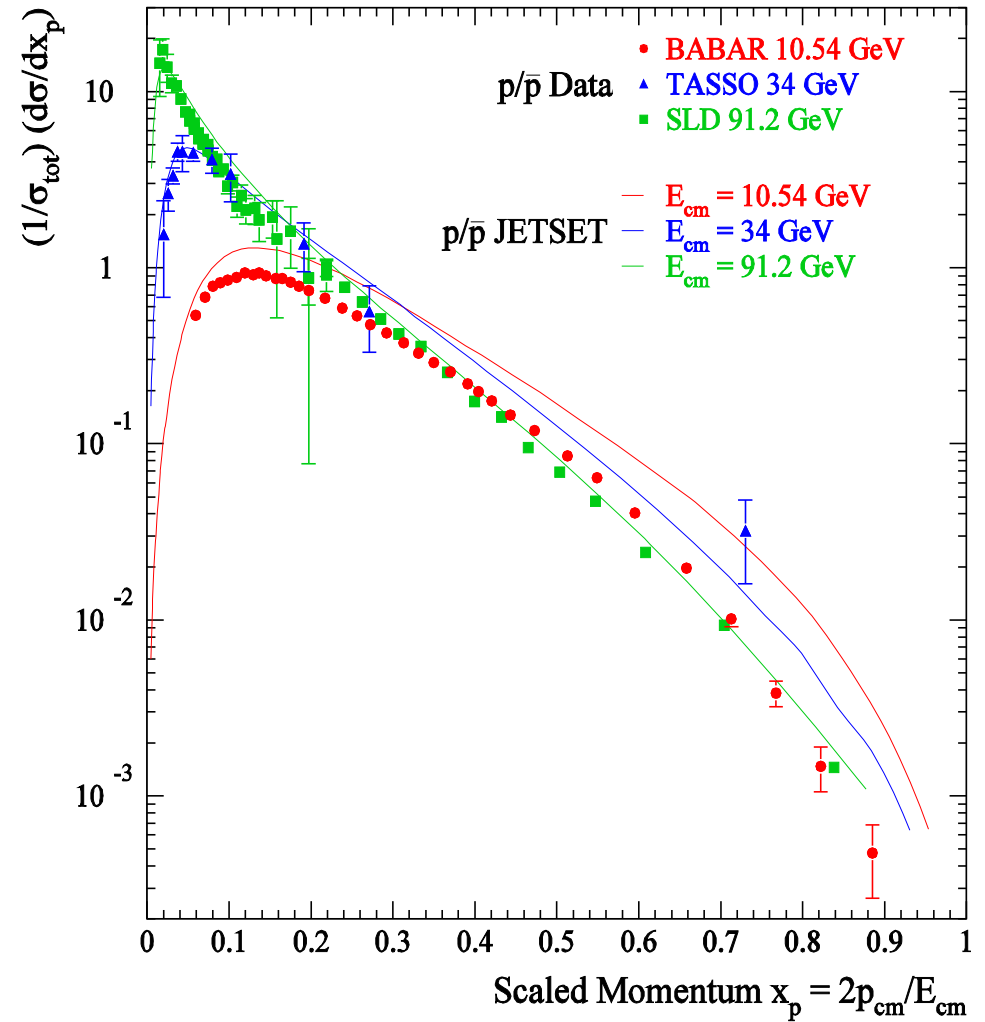
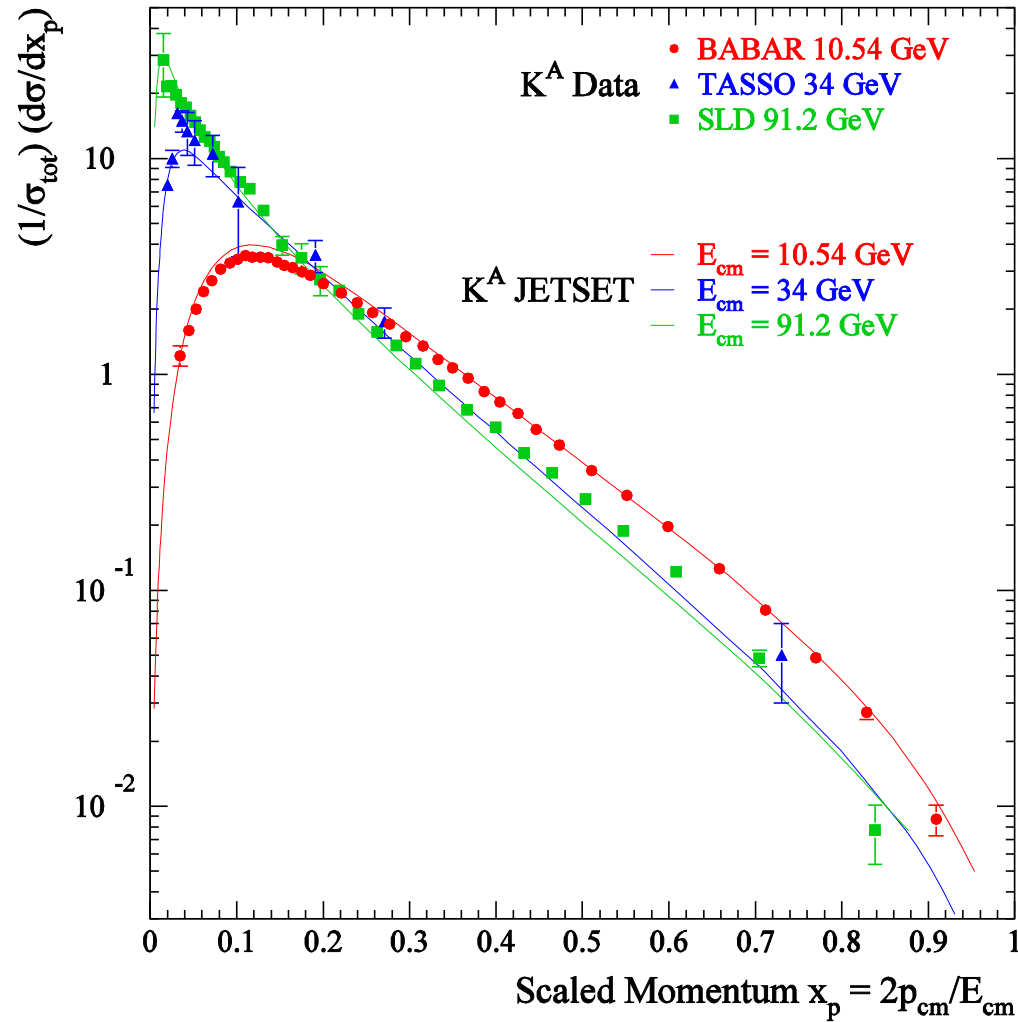
# Identified Hadrons from BaBar ( $E < Y_{4s}$ )



protons badly described (why)!

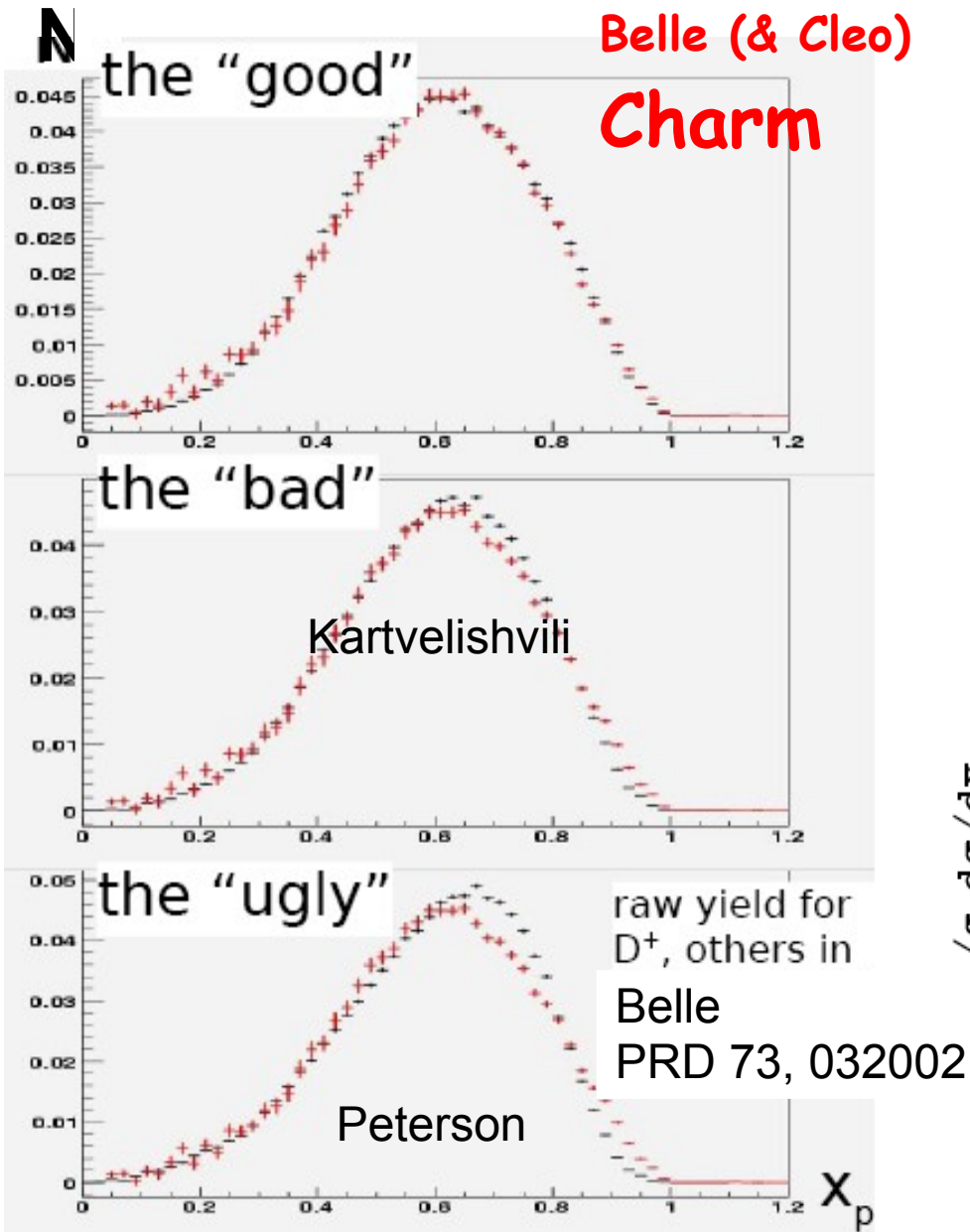


# Inclusive Charged Hadrons E-Dep.



Models describe energy evolution (\*10) for mesons but fail for protons

# Heavy Quark Fragmentation

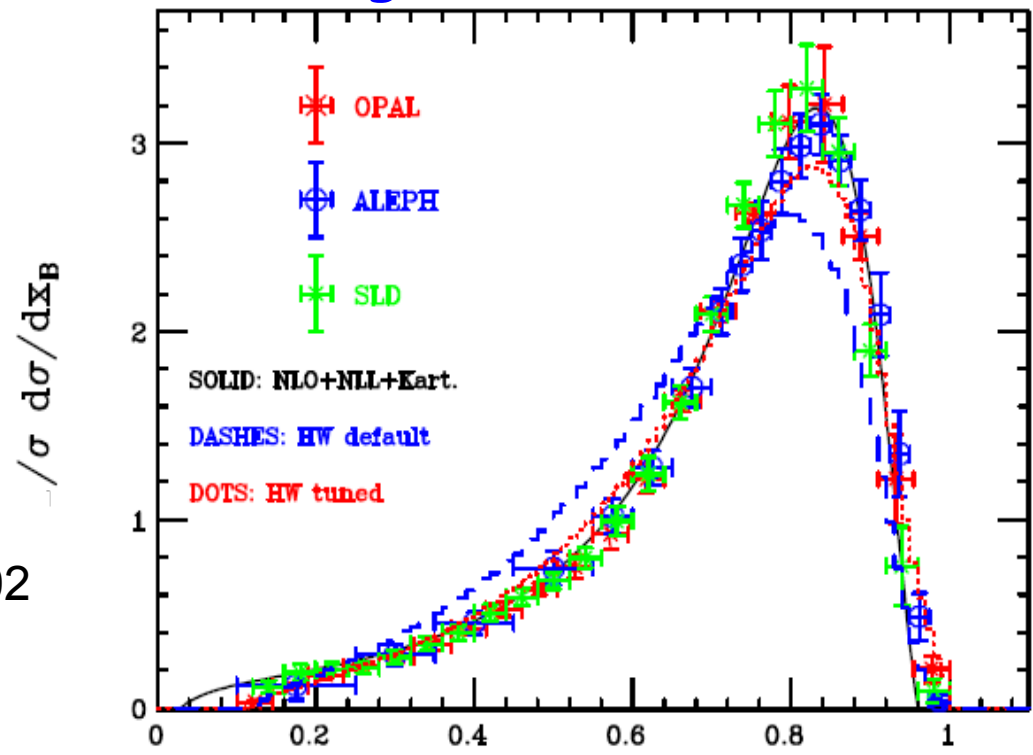


Pythia --- Bowler FF best:

$$f(z) = \frac{N_B}{z^{1+bm^2}} (1-z)^a \exp\left(\frac{-bm_t^2}{z}\right)$$

(a|b)=(0.12|0.58)  $\chi^2/nf.=188/60$

Similar findings from SLD/LEP for b fragmentation



also Herwig ~ reasonable

# Heavy Quark Resonances

pseudoscalar/vector/higher resonance (\*\*) ratios

- **b**

$V/(V+P) \sim 3/4$  (spin counting expectation)

$N(B^{**})/N(B) \sim 30\%$

- **c**

$V/(V+P) \sim 0.6$

many clear  $D^{**}$  states seen at B-factories

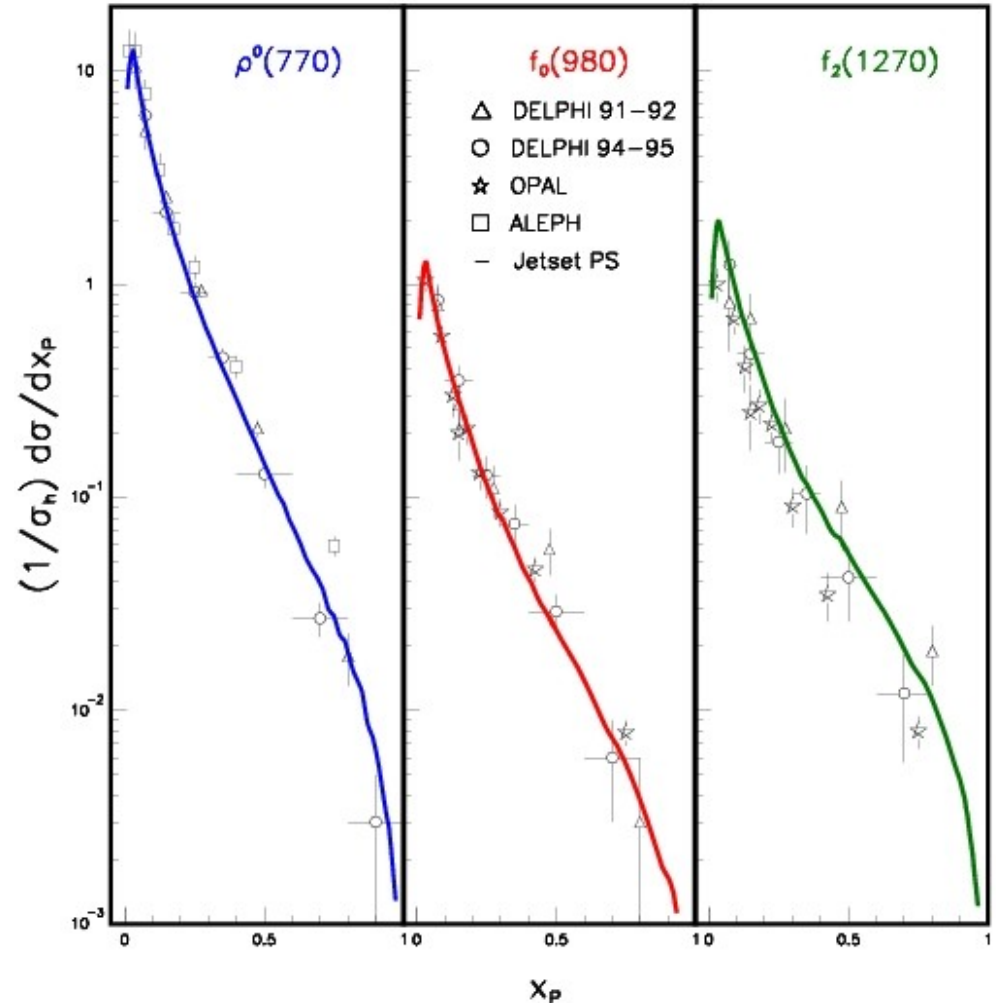
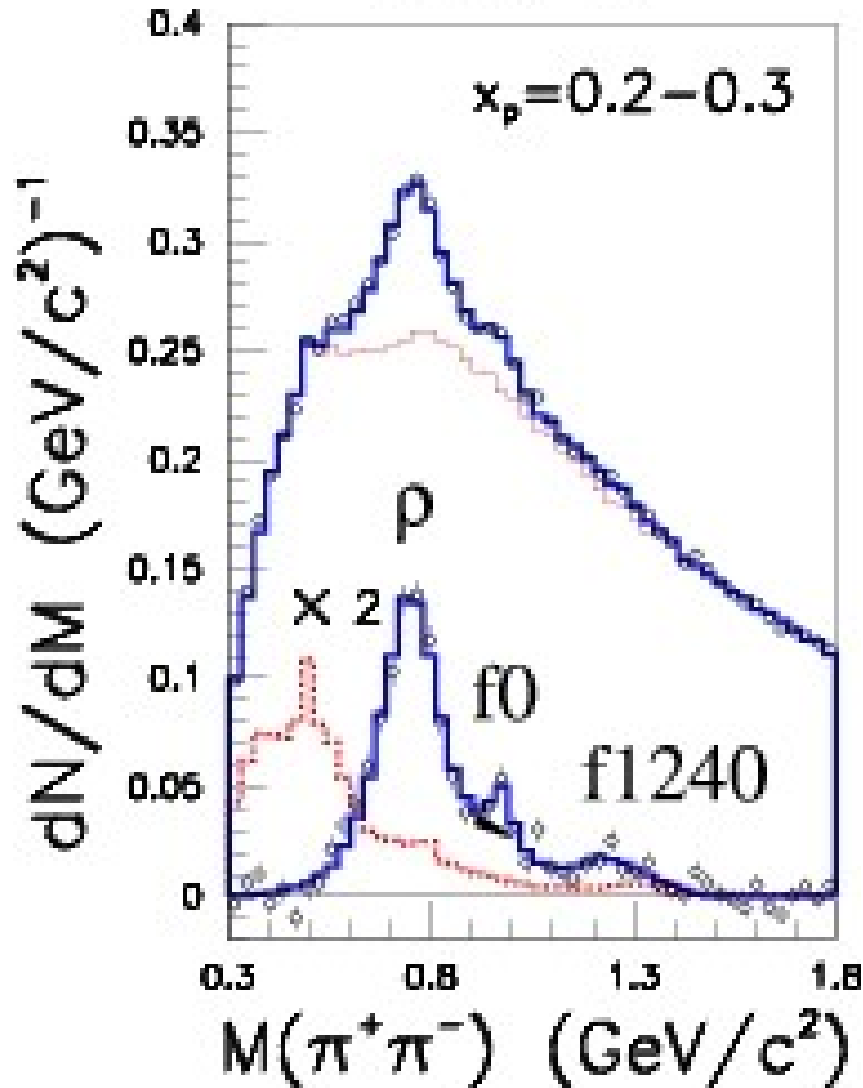
- Compare model fits for **light** quarks

$P:V:(^{**}) \sim 1:1:1$

(V: tiny pref. long. polar.)

# Resonances - Light Flavours

DELPHI



Abundant production of hadron resonances, **also  $L=1$**   
**not expected in string fragmentation**

# Rates: Data vs. Models

| Particle       | LEP measured        | Pythia | Herwig |
|----------------|---------------------|--------|--------|
| charged        | $20,9 \pm 0,24$     | 20,800 | 20,900 |
| $\pi^0$        | $9,2 \pm 0,32$      | 9,800  | 9,800  |
| $\pi^\pm$      | $8,5 \pm 0,1$       | 8,550  | 8,800  |
| $K^0$          | $1,025 \pm 0,013$   | 1,090  | 1,040  |
| $K^+$          | $1,115 \pm 0,03$    | 1,120  | 1,060  |
| $\eta + \eta'$ | $1,2 \pm 0,09$      | 1,190  | 1,160  |
| $\rho$         | $0,49 \pm 0,05$     | 0,485  | 0,390  |
| $\Lambda$      | $0,186 \pm 0,008$   | 0,175  | 0,184  |
| $\Delta^{++}$  | $0,064 \pm 0,033$   | 0,0800 | 0,0770 |
| $\Xi(1530)^0$  | $0,0055 \pm 0,0006$ | 0,0035 | 0,0125 |

General rates are well described (HERWIG !)

# Rates: Data vs. Models

| Particle        | LEP measured        | Pythia | Herwig |
|-----------------|---------------------|--------|--------|
| $f^0$           | $0,146 \pm 0,012$   | 0,160  | -      |
| $\rho^0$        | $1,23 \pm 0,1$      | 1,270  | 1,430  |
| $K^{*0}$        | $0,369 \pm 0,012$   | 0,390  | 0,370  |
| $K^{*+}$        | $0,357 \pm 0,039$   | 0,390  | 0,370  |
| $\omega$        | $1,016 \pm 0,065$   | 1,320  | 0,910  |
| $\varphi$       | $0,0963 \pm 0,0032$ | 0,107  | 0,100  |
| $f_2(1270)$     | $0,25 \pm 0,08$     | 0,290  | 0,260  |
| $K^*_2(1430)0$  | $0,095 \pm 0,035$   | 0,075  | 0,079  |
| $f'_2(1525)$    | $0,0224 \pm 0,0062$ | 0,026  | 0,030  |
| $\Lambda(1520)$ | $0,0225 \pm 0,0028$ | 0      | "0"    |

$O(30\%)$  of light quark primary mesons have  $L=1$

Mass splitting for baryon smaller --> similar baryonic states?

# Rates - Light Flavour Resonances

Phenomenological  
parametrisation  
of meson rates:

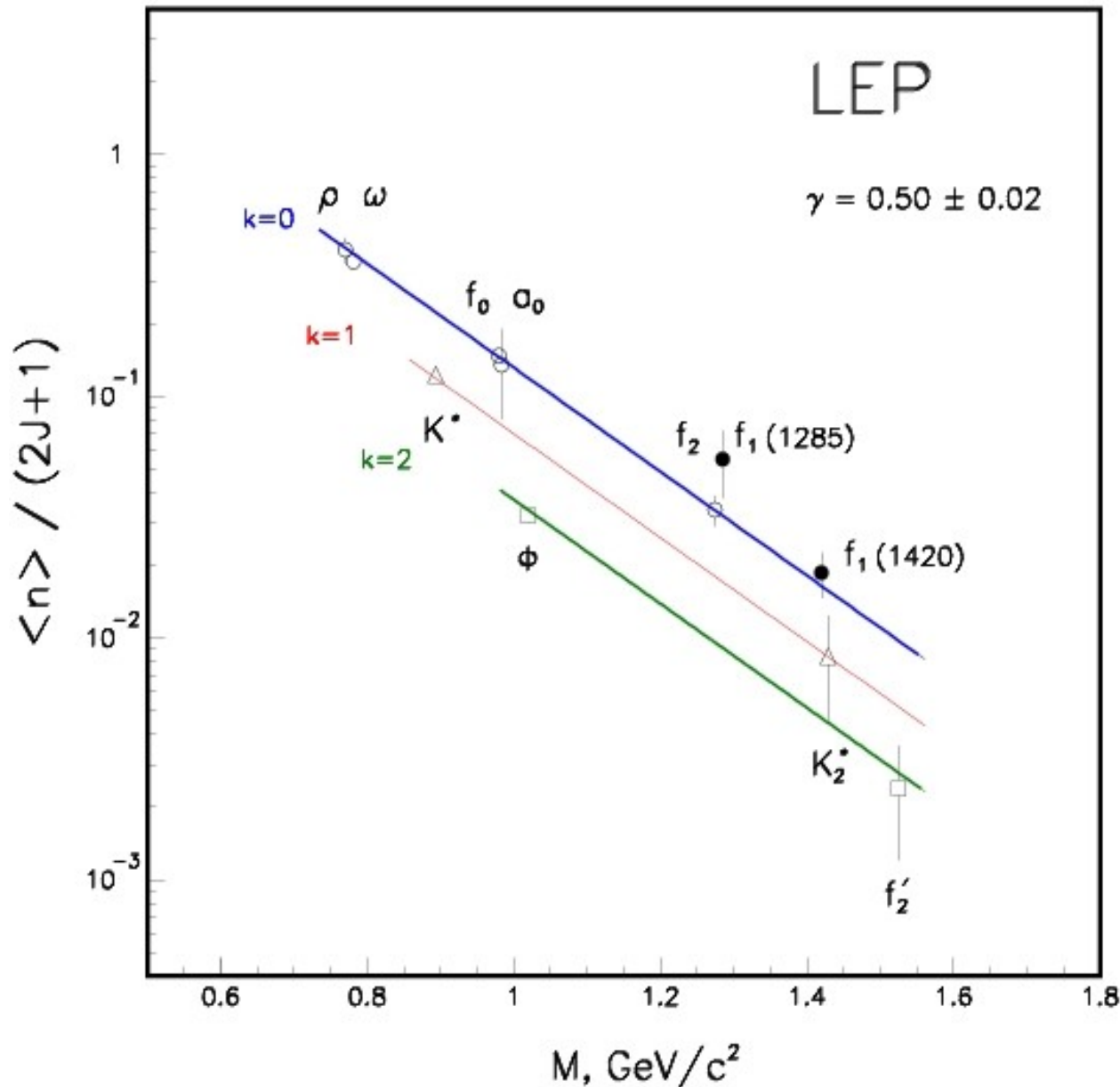
$$\frac{\langle n \rangle}{(2J+1)} \propto \gamma^k \cdot e^{-bM}$$

•  $\gamma \sim 0,5$      $b \sim 5/\text{GeV}$

$k$  #  $s$ - $q$ 's     $J$  spin

suggests:

- democratic production of spin states
- production of higher mass resonances

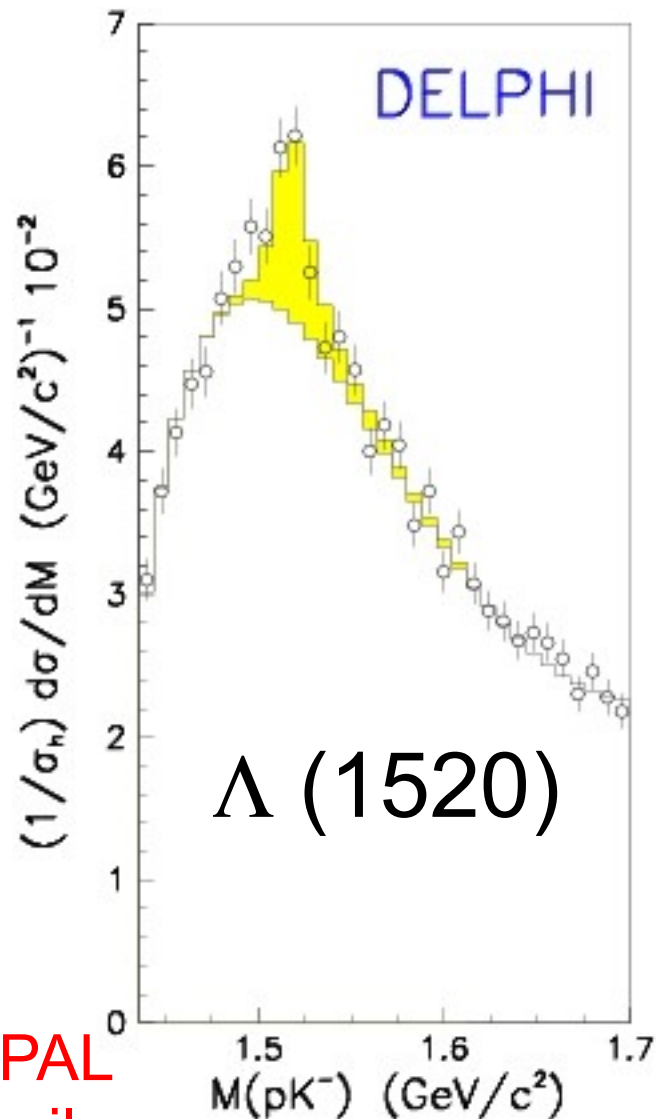


# Baryon Resonances ?

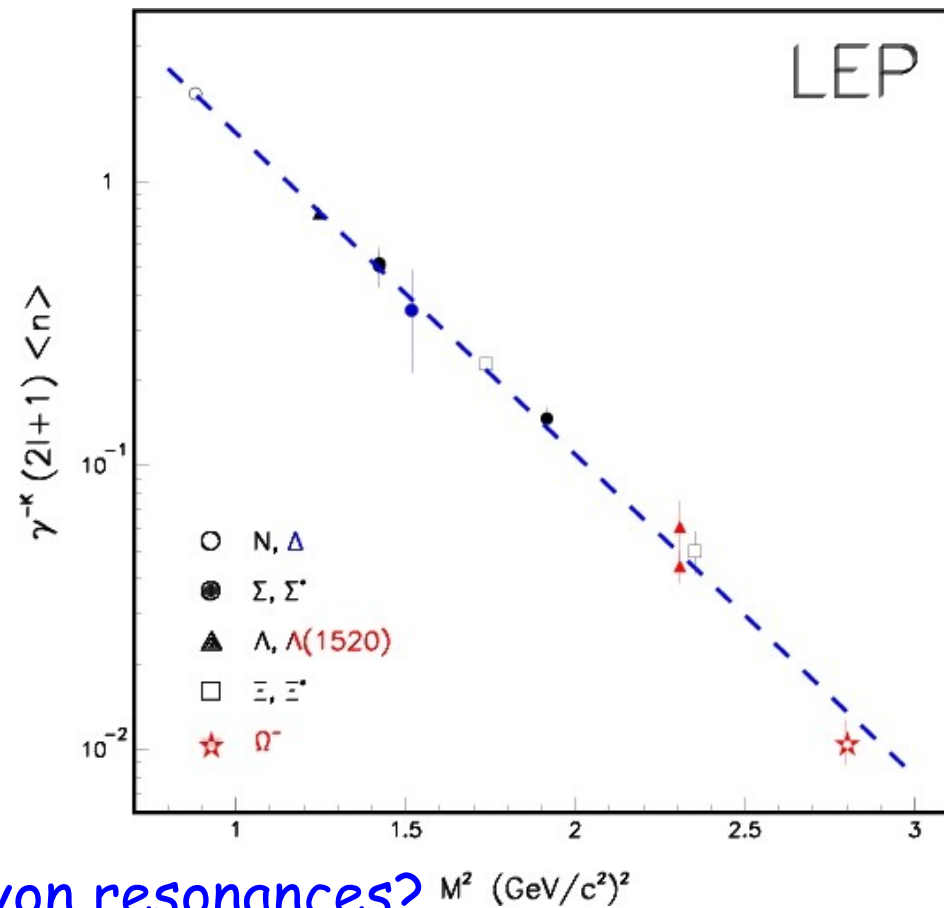
Baryon resonances ( $L > 0$ ) difficult to observe, exception,  $\Lambda(1520)$

Similarly simple parametrisation for baryons

$$(2I+1) \langle n \rangle \propto \gamma^k \cdot \exp - bM^2$$



OPAL  
similar



\*\*2!

Baryon resonances?  $M^2 \text{ (GeV/c}^2\text{)}^2$   
Influence on proton rate at low E ?



# Direct Soft Photons

expect  $\sim 0.02$   $\gamma$  per jet from Bremsstrahlung from hadrons (soft, small angle)

observe 4-6 times more

new result:

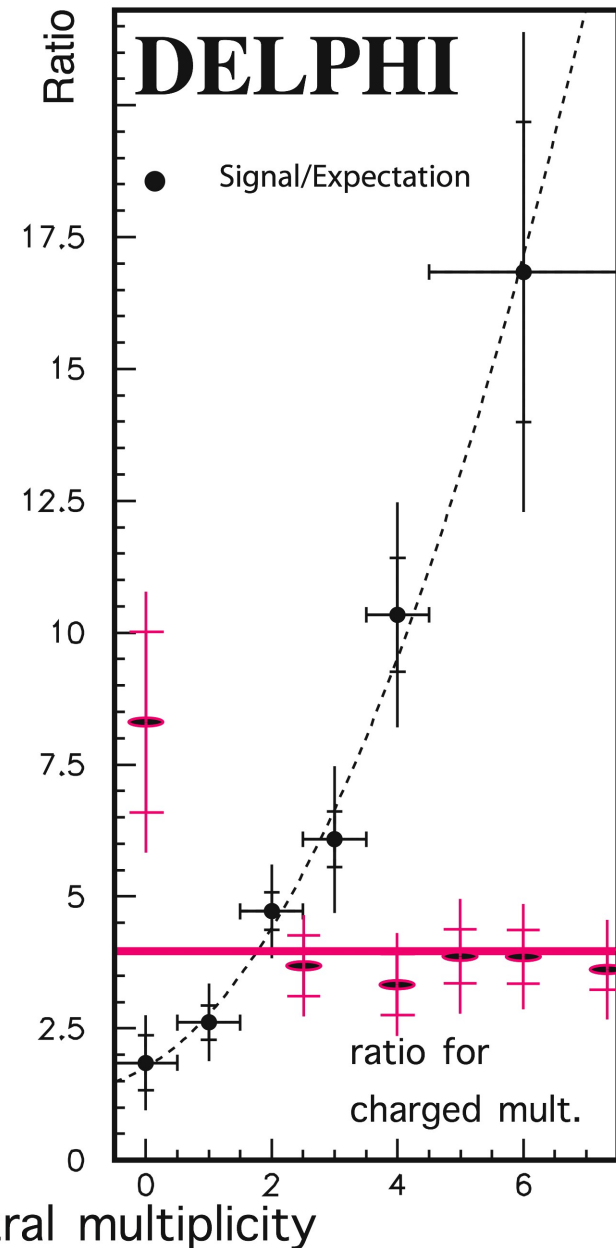
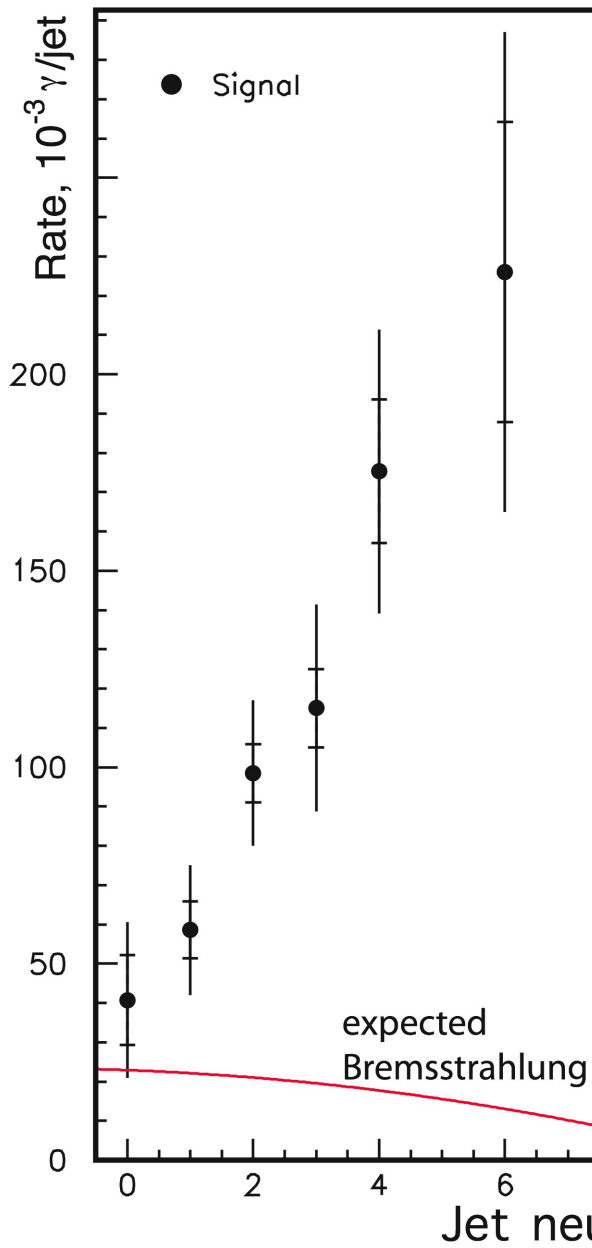
$\gamma$  multiplicity proportional to # of neutral hadrons

meson dipole moment

$$\vec{d} = \sum_{i=1}^2 q_i \vec{r}_i \quad q \text{ quark charge}$$

$$\vec{d}_{neutral}^2 \approx 10 \cdot \vec{d}_{charged}^2$$

$\gamma$ 's may stem from quarks!  
 -> see through hadronisation.

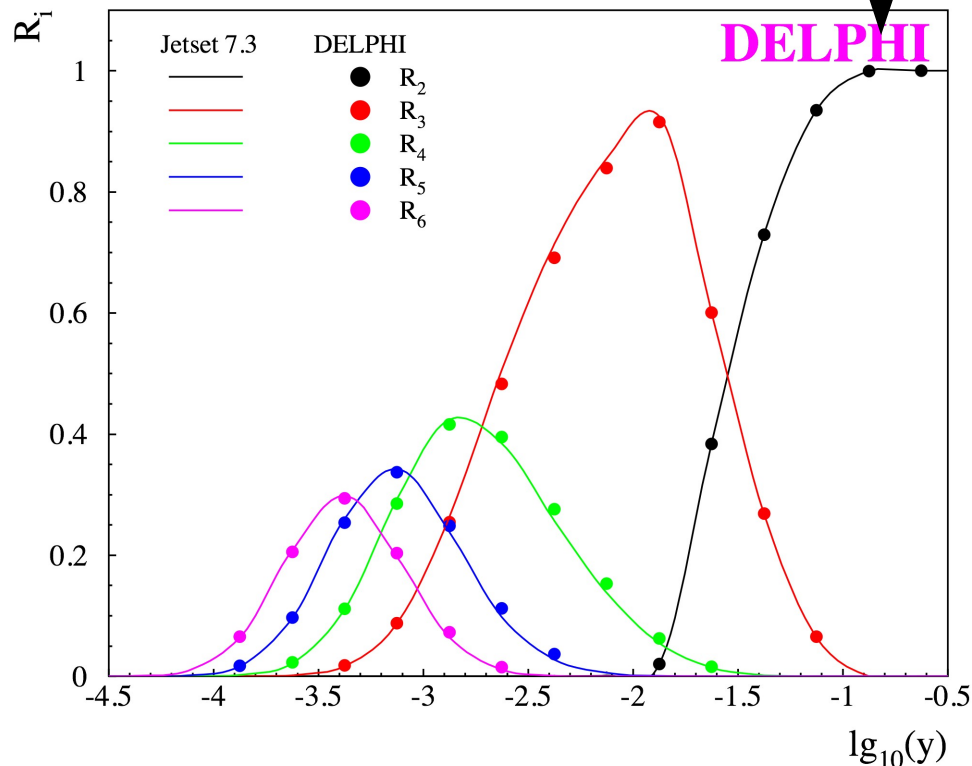


# Compare Gluon vs. Quark Splitting Kernels

relate e+e- jet rates / Sudakovs

$$R_2 = \Delta_q^2(y)$$

$$\Delta_q(y) = \exp - \int_{y_0}^y dy' \Gamma_q(y, y')$$

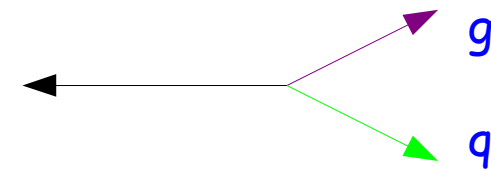


Kernels

$$\Gamma_{q \rightarrow qg}(Q, q) = \frac{2C_A \alpha_s(q)}{\pi q} \ln\left(\frac{Q}{q} - \frac{3}{4}\right)$$

$$\Gamma_{g \rightarrow gg}(Q, q) = \frac{2C_A \alpha_s(q)}{\pi q} \ln\left(\frac{Q}{q} - \frac{11}{12}\right)$$

$$\Gamma_{q \rightarrow qg}(Q, q) = \frac{2n_f T_F \alpha_s(q)}{3\pi q}$$



Similarly apply strategy to **single** gluon and quark jets in 3-jet events

$$R_1^g = \Delta_g(y) \quad R_1^q = \Delta_q(y)$$

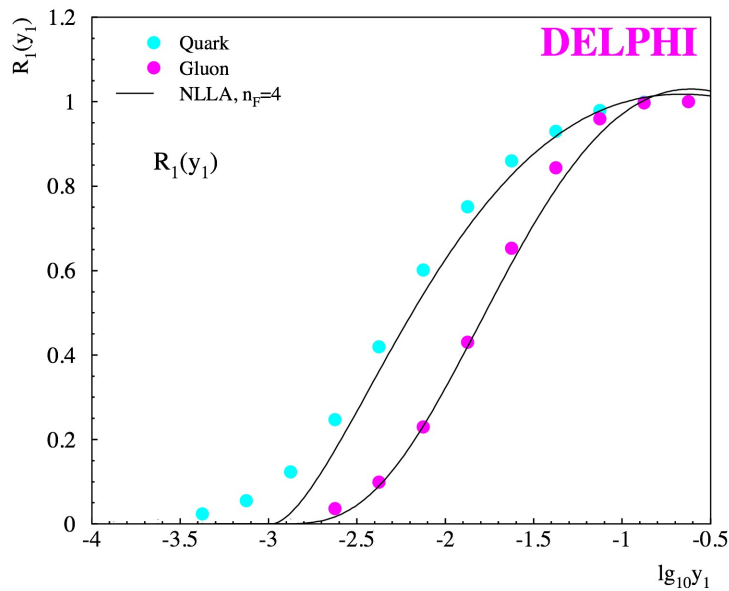
# Compare g vs. q Jet Rates/Splitting Prob.

$$R_1(y) = \frac{N_1(y)}{N_{tot}}$$

%age of non-split jets

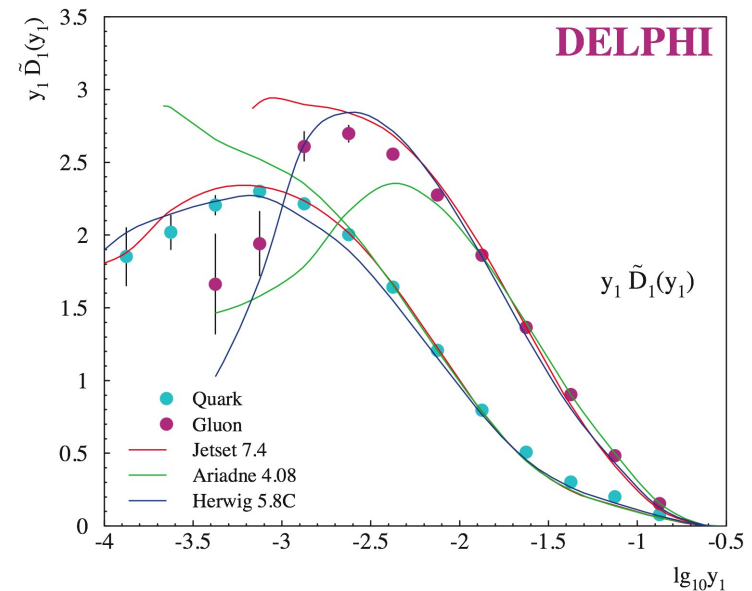
$$\tilde{D}_1(y) = \frac{1}{N_1(y)} \cdot \frac{\Delta N_1(y)}{\Delta y}$$

~ differential splitting probability



gluons split "earlier" (high  $y$ )

$$R_1^{q/g}(y) = \Delta_{experim.}^{q/g}(y)$$



quarks take over at small  $y$

described ok by models

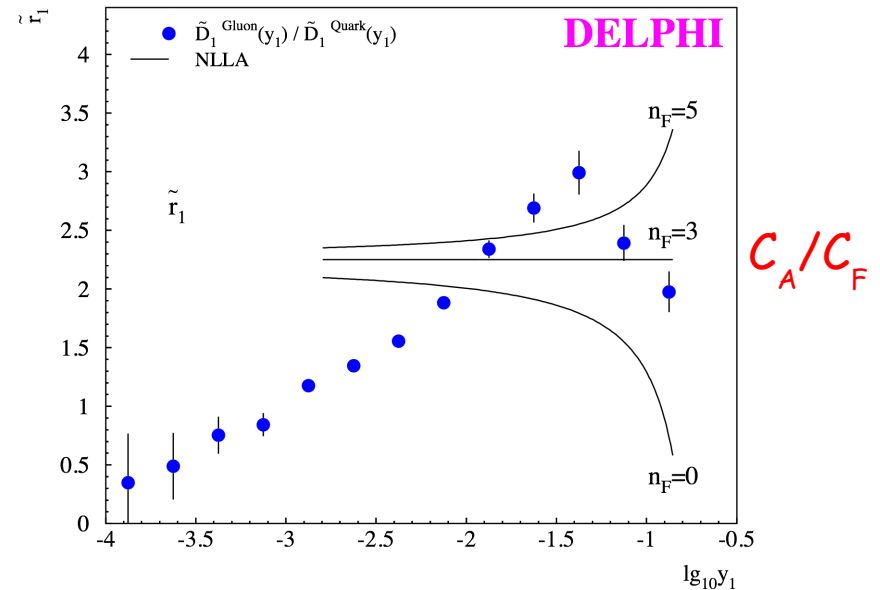
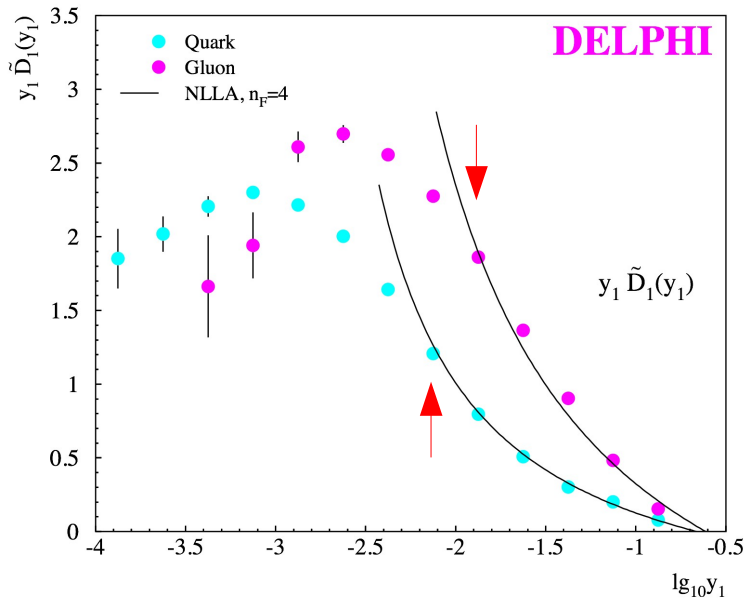
# Compare g vs. q To NLL Splitting Kernels

$$\tilde{D}_1^g(y) \simeq \Gamma_{g \rightarrow gg} + \Gamma_{g \rightarrow q\bar{q}}$$

$$\tilde{D}_1^q(y) \simeq \Gamma_{q \rightarrow qg}$$

splitting probability = kernel

$$\frac{\tilde{D}_1^g(y)}{\tilde{D}_1^q(y)} \simeq \frac{\Gamma_{g \rightarrow gg} + \Gamma_{g \rightarrow q\bar{q}}}{\Gamma_{q \rightarrow qg}}$$



Gluons deviate "earlier" (bigger  $y$ ) from NLL expectation than quarks

Hadronisation sets in "earlier" for g than q

Reason:

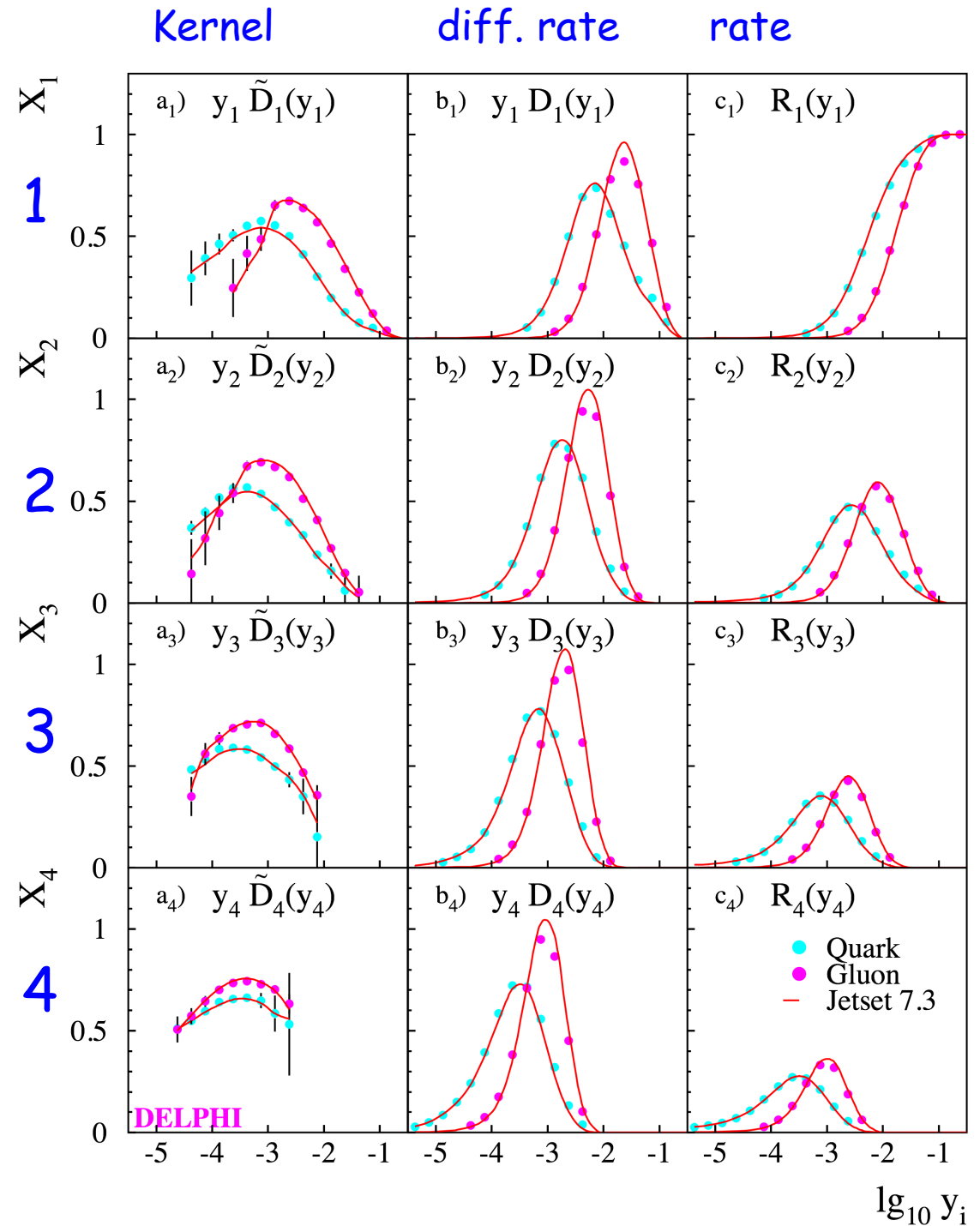
=> quarks are valence particles

=> E-conservation

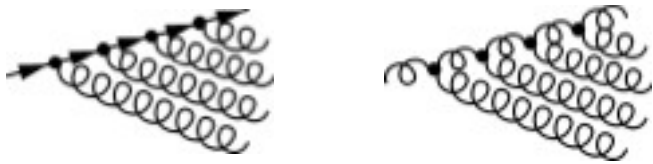
# Compare g vs. q higher splittings

Gluons split "earlier" but  
quarks keep up later

g & q jet splitting probability  
about equal for high splittings

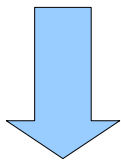


# g to q Ratio



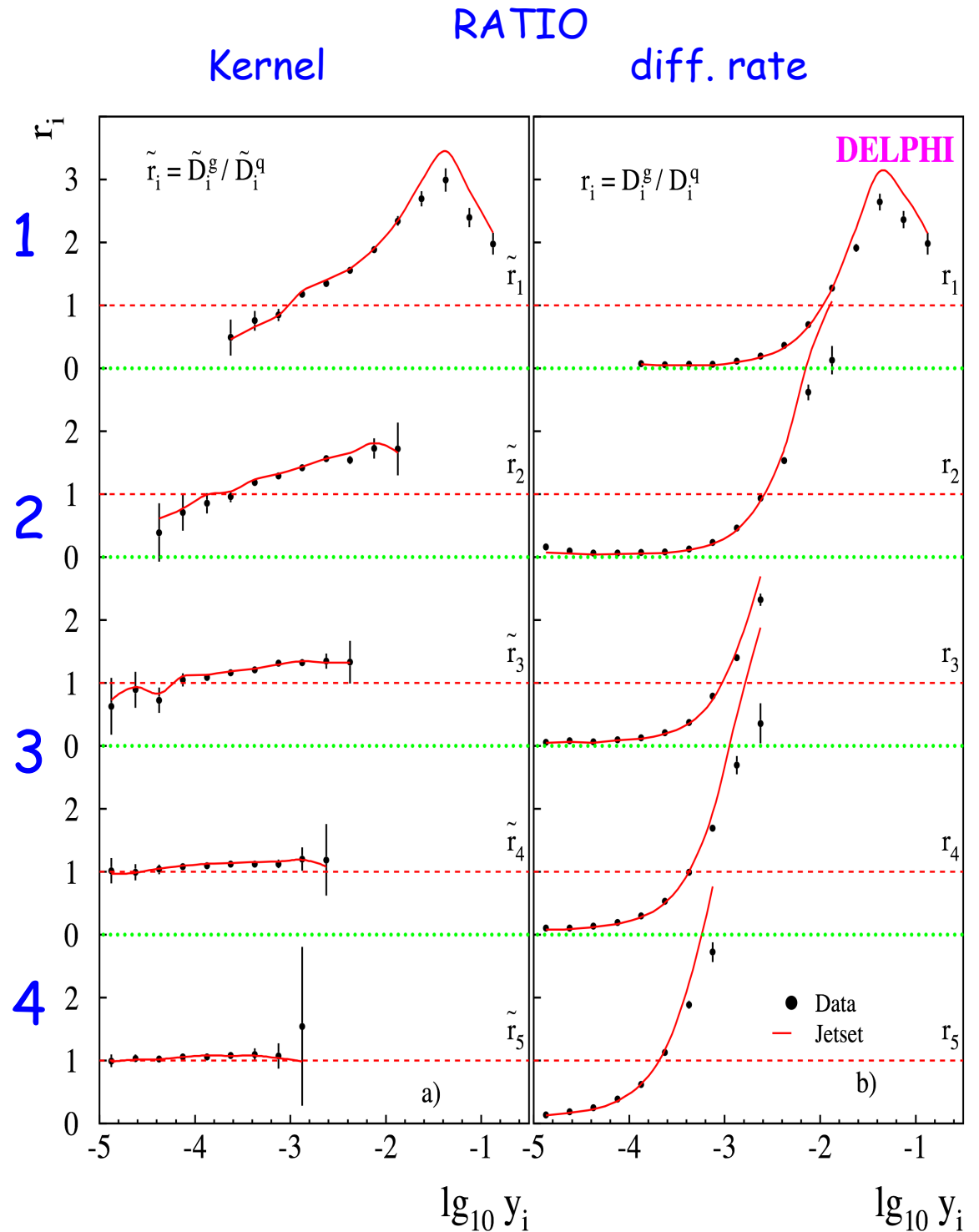
Exp. confirm PS picture

All jets dominated by  
gluon radiation



Expect differences  
(beyond colour factor)

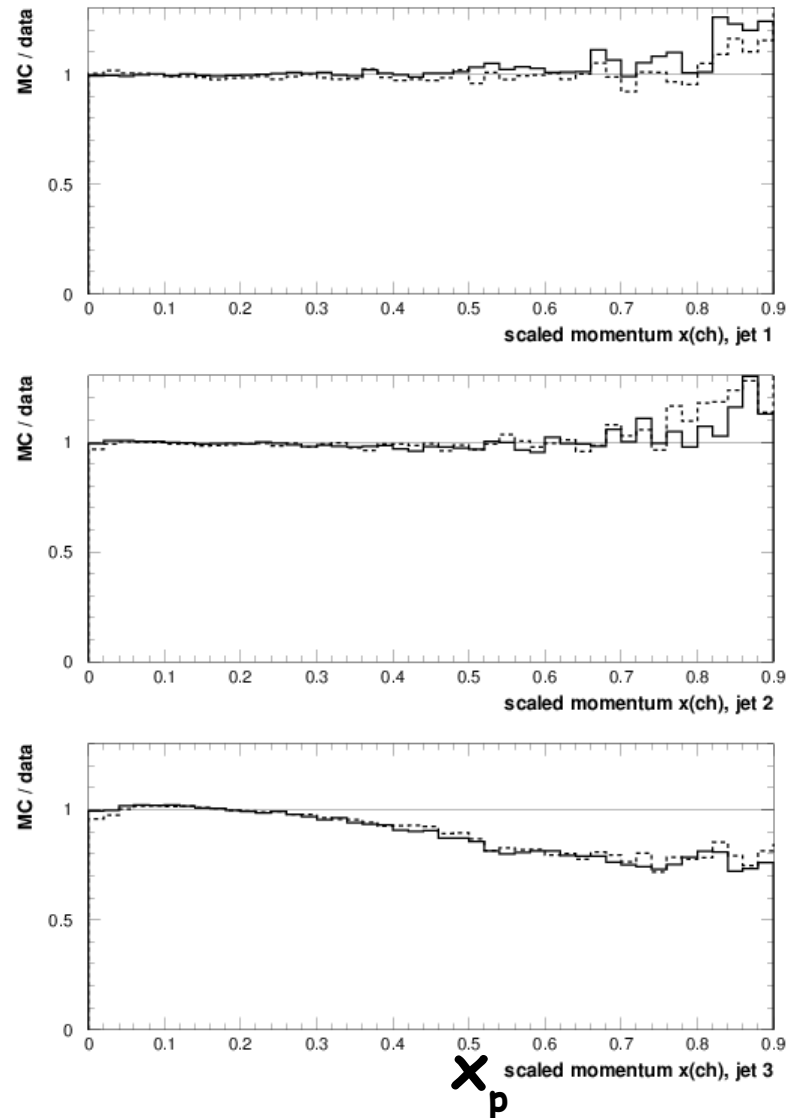
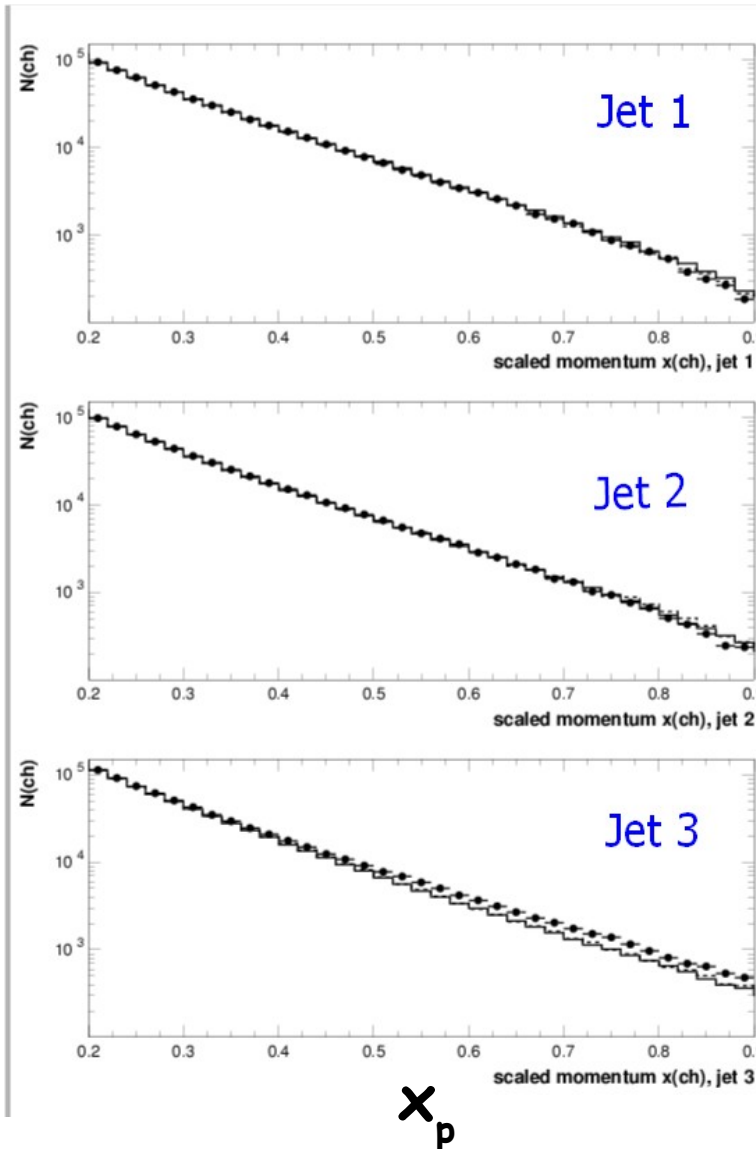
only for  
leading particles



# 3 Jet Evt. - Gluon Fragmentation

ALEPH, preliminary :

3-jet evts (D,0.01) at  $E_{cm}=M_Z$  of all topologies, photonic jets removed, =>890 000 evts.  
 energy-ordering  $E_{jet1} > E_{jet2} > E_{jet3}$ , Jet 3 is 71% gluon



Ratio MC/data

— JETSET  
 --- ARIADNE

MC low  
 at  $x > 0.4$   
 why ?

(overall small effect)

Delphi, Opal  
 similar trend

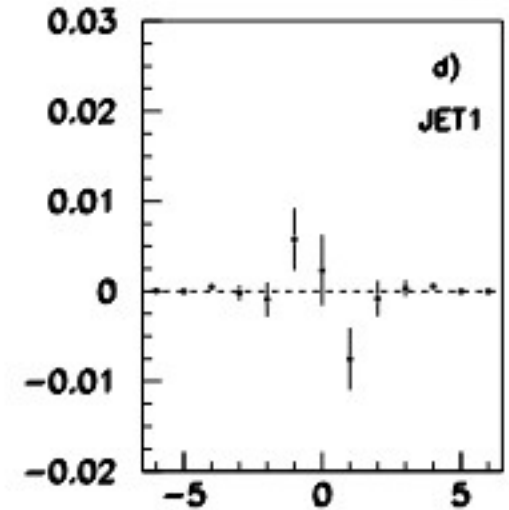
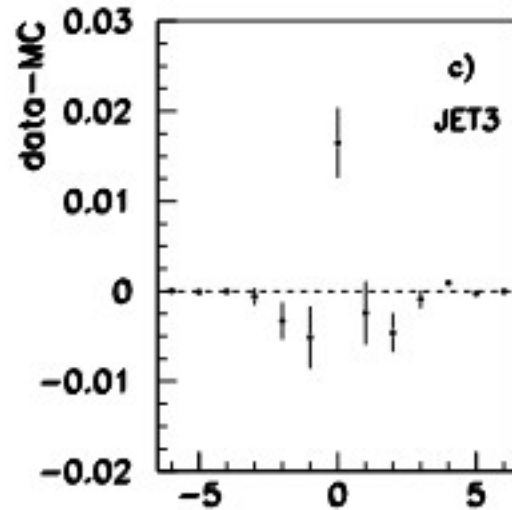
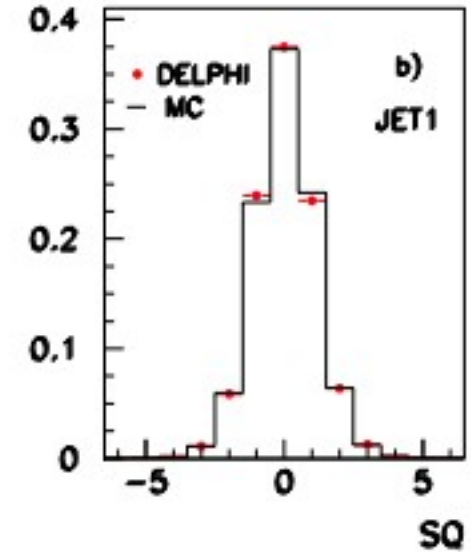
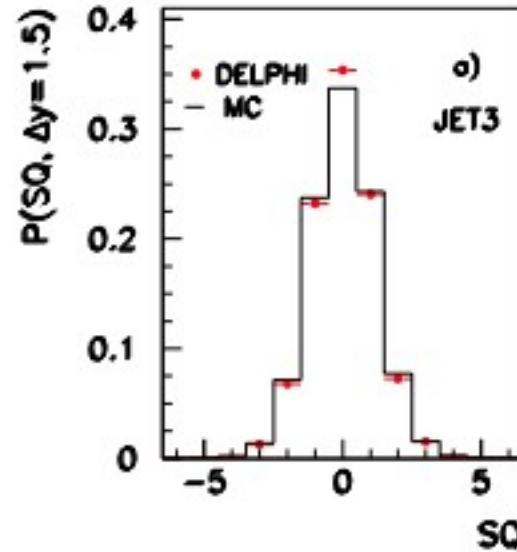
# Gluons

tiny excess (2%)  
of fast neutral  
systems cmp.  
to model

octett  
fragmentation  
???

Gluon dom.

Quark dom.

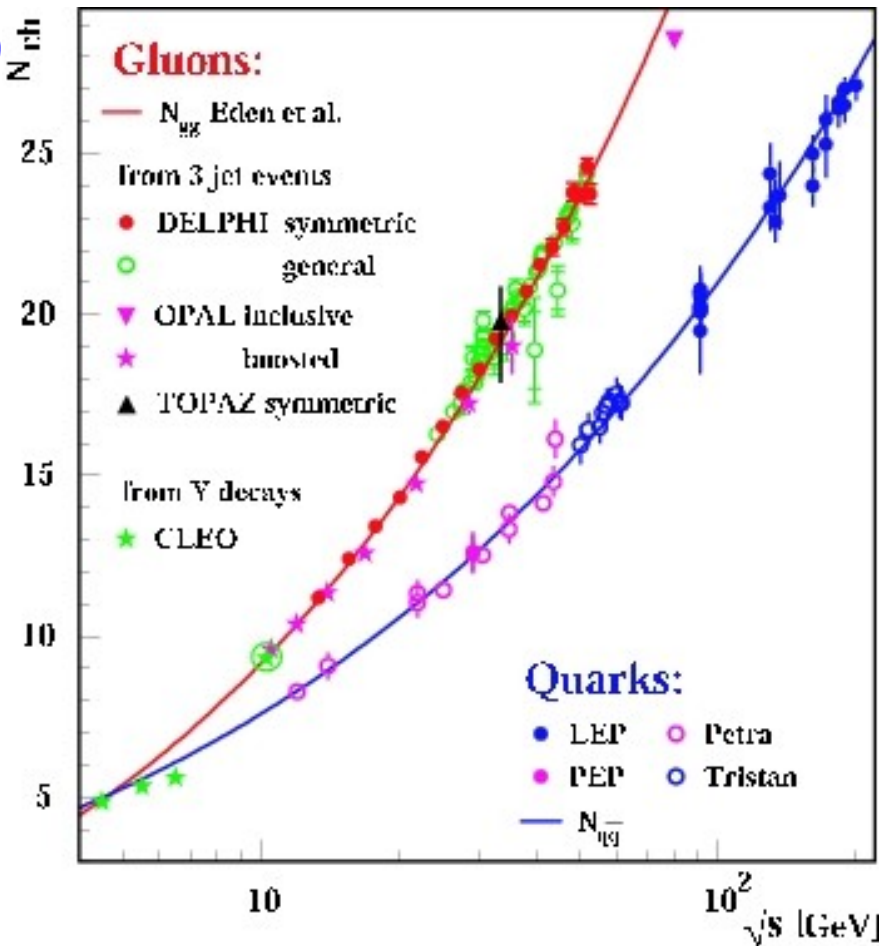
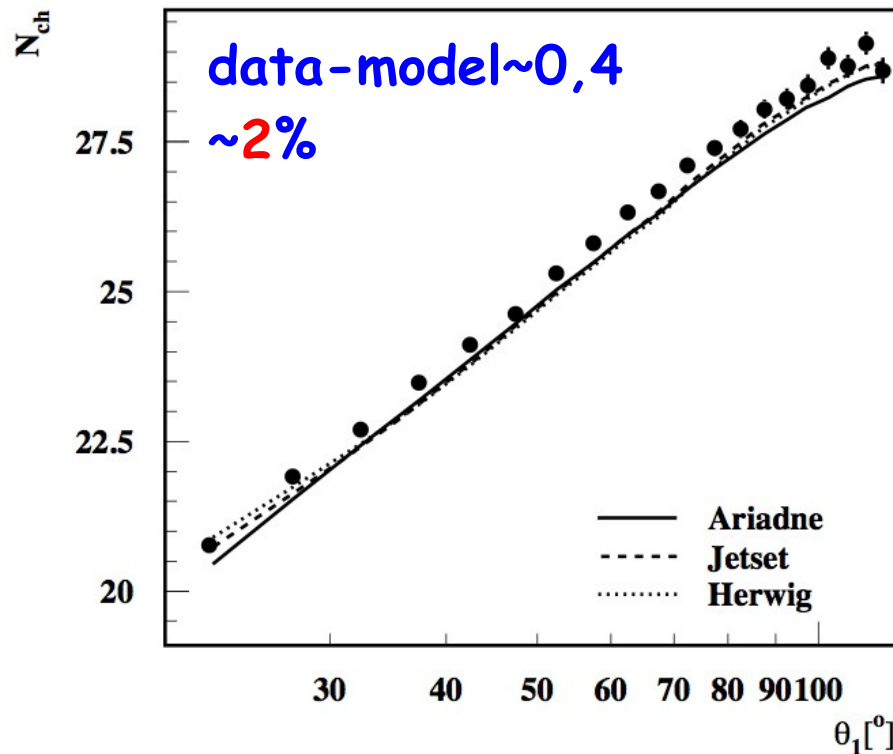


Sum of particle charges



# 3 Jet Evt. - Gluon Fragmentation

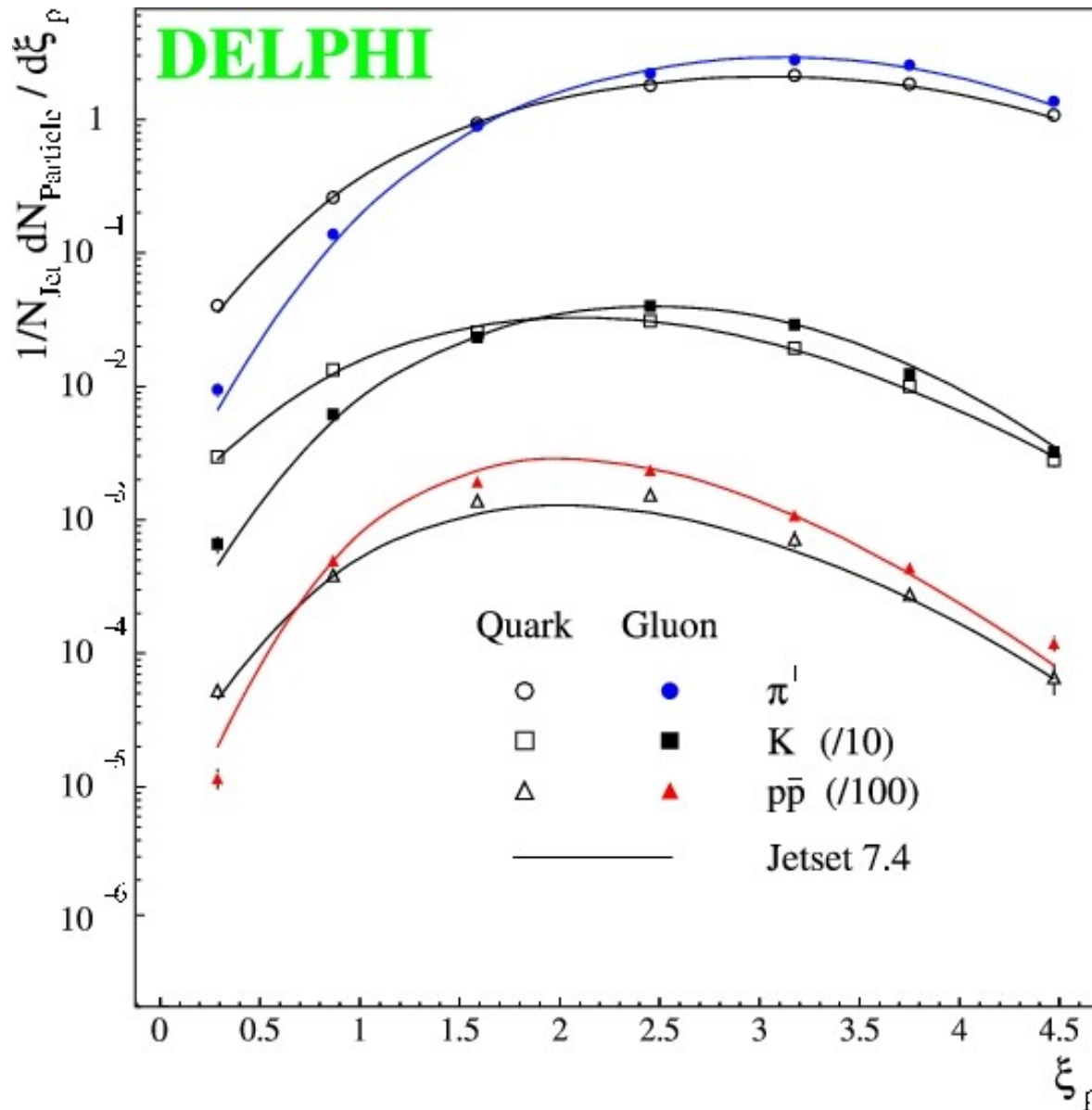
Topology dependence of (symm.)  $N_{ch}$   
 3-jet event multiplicity



Gluon multiplicity very well described by analytic prediction  
 => **little room** for  $qg$  differences (except leading particles)

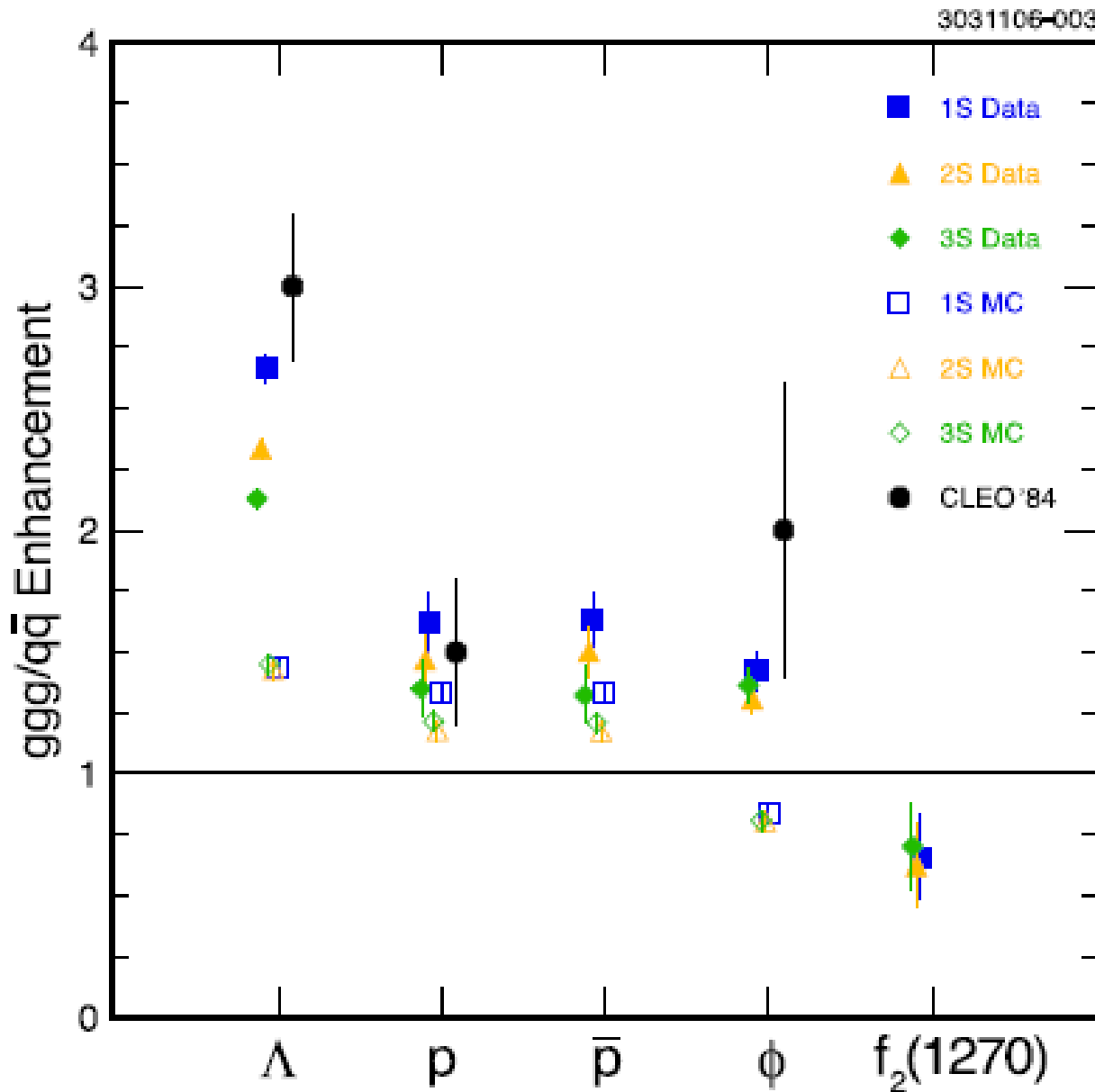


# Gluon Fragmentation Identified H's



Models reasonably describe identified spectra

# Gluon Fragmentation $ggg$ vs. $qq$



CLEO compares  
 quarkonium  $\rightarrow ggg$  (or  $gg$ )  
 vs. continuum  $q\bar{q}$

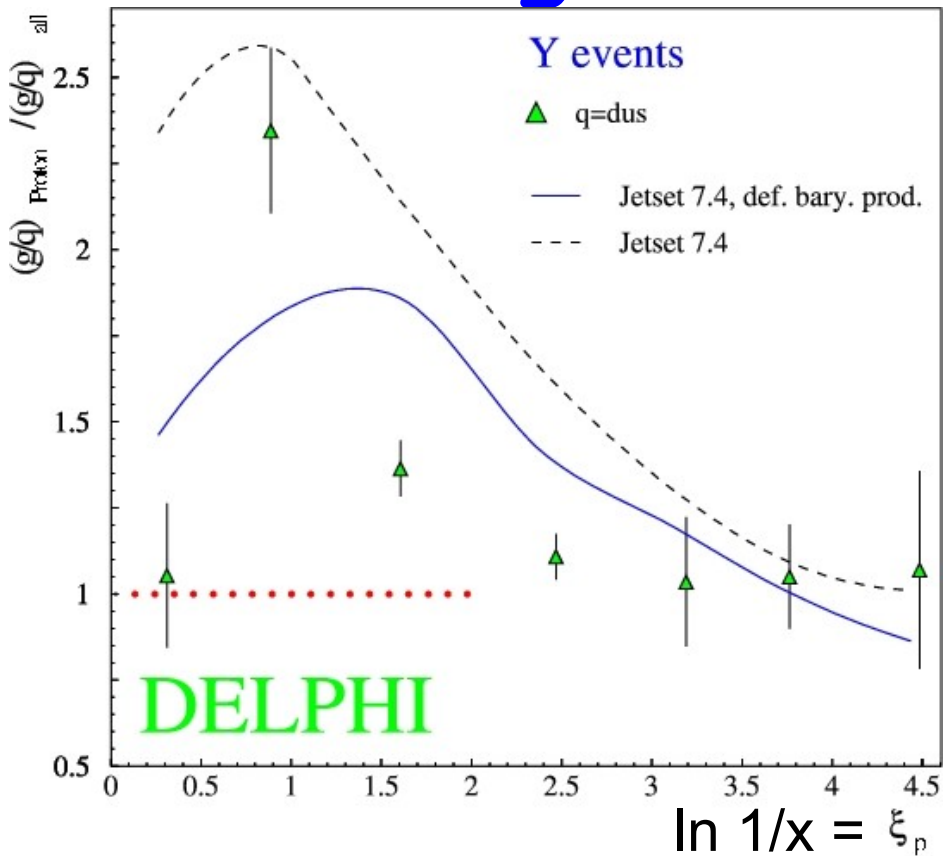
strong baryon ( $\Lambda$  ! *why*)  
 enhancement

excess in  $gg$  decays is  
 about  $\frac{3}{4}$  of  $ggg$  case

baryon excess *not*  
 concentrated at high  $x$

$\phi$  enhancement not seen  
 at LEP (*why*)

# Gluon Fragmentation - Baryons

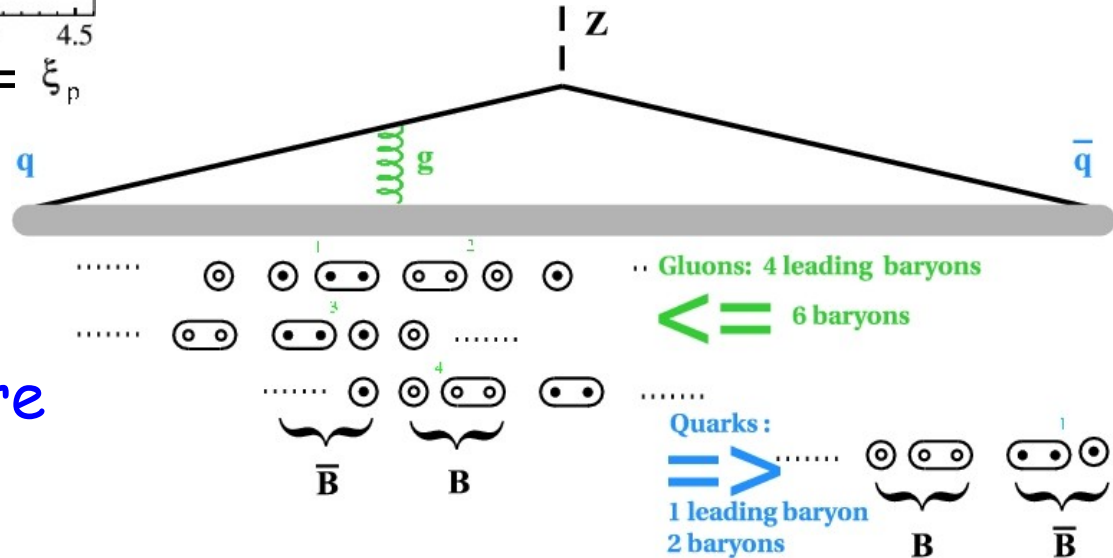


← double ratio  
 $(g/q)_{\text{proton}} / (g/q)_{\text{all hadrons}}$

baryon excess at CLEO  
 at small momentum  
 (but no double ratio shown)

Baryon excess understood  
 in string picture →

Cluster models would require  
 $g \rightarrow (qq)(qq)$  splitting!



# Final State Interactions

## Colour Reconnection

not discussed; cures ptout problem

## Bose Einstein Correlation

- describe equal boson correlations.
- required for small (tiny)  $p_{\perp}$  description

Implemented as a classical “field”

in PYTHIA

- destroys energy-momentum-conservation
- rescaling (may) disturb shape distributions  
=> “unphysical” PS parameters

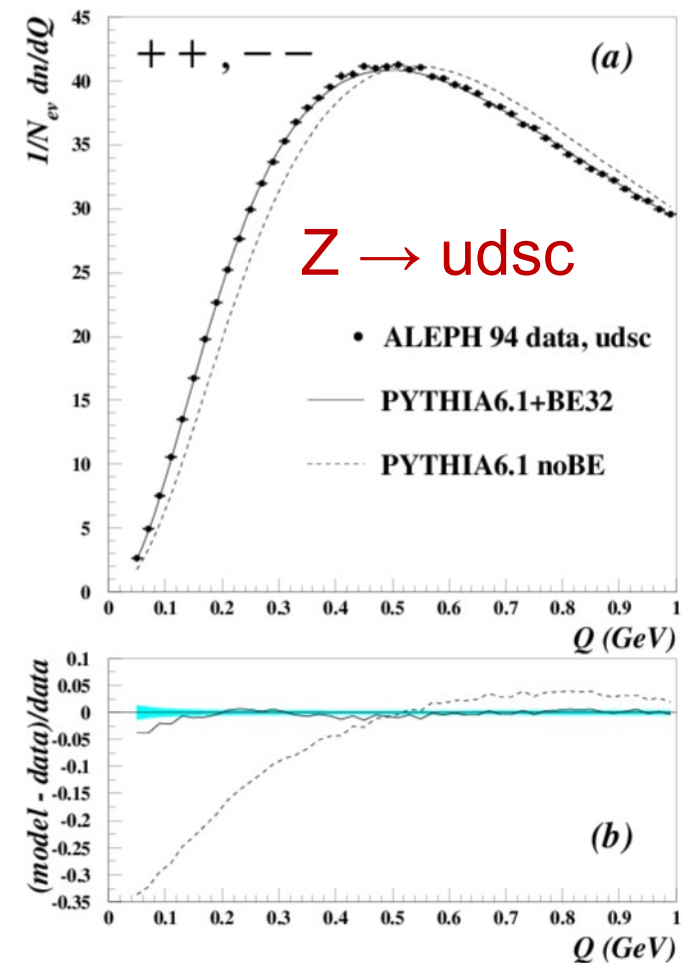
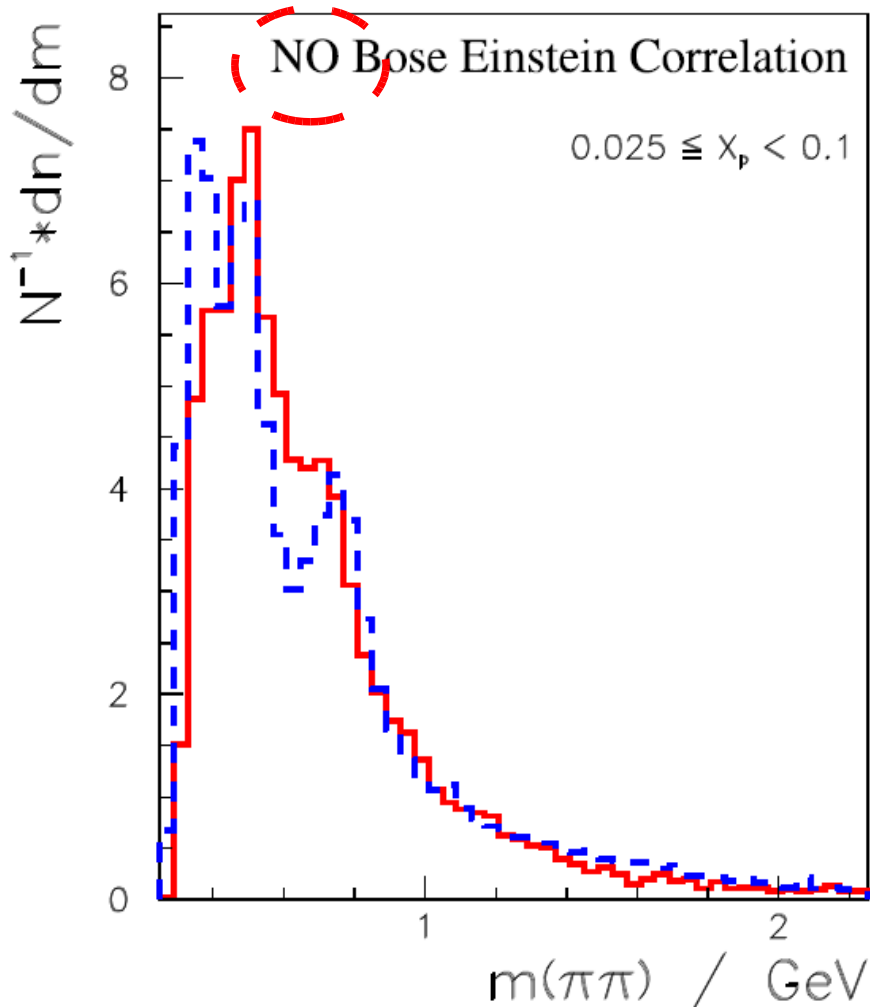
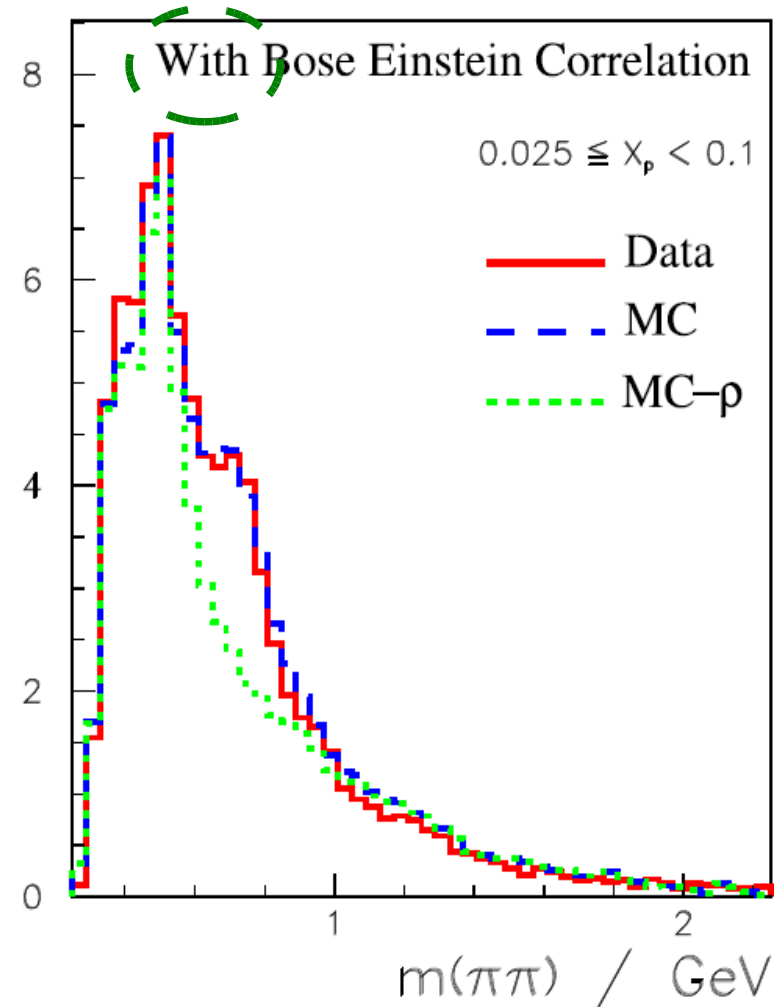


Figure 1: The normalized and corrected Q distribution of same-sign charged particle pairs in b-depleted Z decays, compared to model predictions (a). The relative deviation of the model predictions from the data is shown in (b). The grey band indicates the statistical errors.

# BE Field also Acts on Unlike Sign Pairs !



$h^+h^-$  mass spectrum,  
like sign subtracted



resonance line shape description  
**strongly improved**

# Summary

- Quality of data description by MC models:
  - very good for event shapes, global inclusive distributions
  - rates reasonably described even with few param. cluster model
  - heavy quarks well described by Lund/Bowler FF
  - "large" amount of high mass resonances
    - (understanding of mass dependence of hadron production?)
  - baryons **show** some discrepancies (but baryons are pair produced)
- Models **very good** were we have **real understanding**
  - (PS-ME matching to be checked)
- More **trouble** in the **qualitative corners** of the models

# PYTHIA Parameters (ALEPH)

| parameter                    | name in program | default value | range generated | value   | fit result error | syst.       |
|------------------------------|-----------------|---------------|-----------------|---------|------------------|-------------|
| $\Lambda_{QCD}$ (GeV)        | PARJ(81)        | 0.29          | 0.21 - 0.37     | 0.292   | $\pm 0.003$      | $\pm 0.006$ |
| $M_{min}$ (GeV)              | PARJ(82)        | 1.0           | 1.0 - 2.0       | 1.57    | $\pm 0.04$       | $\pm 0.13$  |
| $\sigma_q$ (GeV)             | PARJ(21)        | 0.36          | 0.28 - 0.44     | 0.370   | $\pm 0.002$      | $\pm 0.008$ |
| $a$                          | PARJ(41)        | 0.30          | 0.20 - 0.60     | 0.40    | (fixed)          |             |
| $b$ (GeV <sup>-2</sup> )     | PARJ(42)        | 0.58          | 0.60 - 1.00     | 0.796   | $\pm 0.012$      | $\pm 0.033$ |
| $\epsilon_c$                 | -PARJ(54)       | 0.050         | 0.015 - 0.065   | 0.040   | adjusted         |             |
| $\epsilon_b$                 | -PARJ(55)       | 0.005         | 0.0005 - 0.0075 | 0.0035  | adjusted         |             |
| $p(S = 1)_{d,u}$             | PARJ(11)        | 0.50          | 0.40 - 0.70     | 0.55    | $\pm 0.02$       | $\pm 0.06$  |
| $p(S = 1)_s$                 | PARJ(12)        | 0.60          | 0.35 - 0.65     | 0.47    | $\pm 0.02$       | $\pm 0.06$  |
| $p(S = 1)_{c,b}$             | PARJ(13)        | 0.75          | 0.50 - 0.80     | 0.65    | adjusted         |             |
| $p(J^P = 2^+; L = 1, S = 1)$ | PARJ(17)        | 0.0           | 0.10 - 0.30     | 0.20    | adjusted         |             |
| extra $\eta'$ suppression    | PARJ(26)        | 0.40          | 0.05 - 0.55     | 0.27    | $\pm 0.03$       | $\pm 0.09$  |
| $s/u$                        | PARJ( 2)        | 0.30          | 0.19 - 0.39     | 0.285   | $\pm 0.004$      | $\pm 0.014$ |
| $qq/q$                       | PARJ( 1)        | 0.10          | 0.05 - 0.15     | 0.106   | $\pm 0.002$      | $\pm 0.003$ |
| $(su/du)/(s/u)$              | PARJ( 3)        | 0.40          | 0.4 - 1.0       | 0.71    | $\pm 0.04$       | $\pm 0.07$  |
| leading baryon suppr.        | PARJ(19)        | 1.0           | 0.2 - 1.0       | 0.57    | $\pm 0.03$       | $\pm 0.10$  |
| switch                       |                 |               |                 | setting |                  |             |
| fragmentation function       | MSTJ(11)        | 4             |                 | 3       |                  |             |
| baryon model                 | MSTJ(12)        | 2             |                 | 3       |                  |             |
| azimuthal distrib. in PS     | MSTJ(46)        | 3             |                 | 3       |                  |             |