


CM3110  
Transport I  
Part II: Heat Transfer

**Michigan Tech**

**Applied Heat Transfer:  
Heat Exchanger Modeling,  
Sizing, and Design**



Professor Faith Morrison

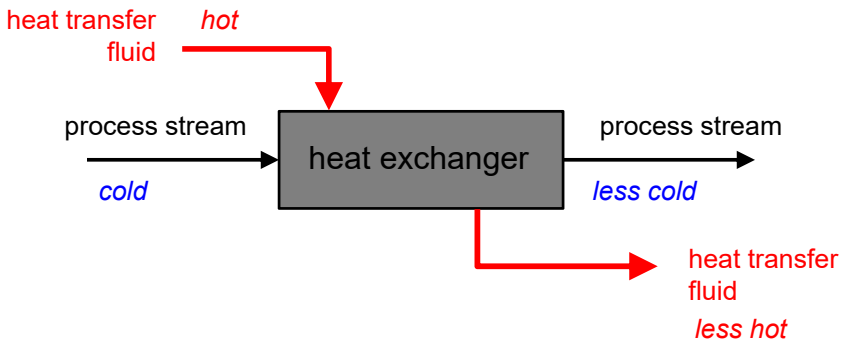
Department of Chemical Engineering  
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Applied Heat Transfer

Before turning to radiation (last topic) we will discuss a few practical applications

How can we use Fundamental Heat Transfer to understand real devices like heat exchangers?



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Applied Heat Transfer

**The Simplest Heat Exchanger:  
Double-Pipe Heat exchanger - counter current**

*The heat transfer from the outside to the inside is just heat flux in an annular shell*

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Applied Heat Transfer

**Example 4: Heat flux in a cylindrical shell**

Assumptions:

- long pipe
- steady state
- $k$  = thermal conductivity of wall
- $h_1, h_2$  = heat transfer coefficients at  $R_1$  and  $R_2$

Maybe we can use heat transfer coefficient to understand forced-convection heat exchangers. . .  
**BUT . . .**

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Applied Heat Transfer

**BUT:** The temperature difference between the fluid and the wall varies along the length of the heat exchanger.

**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

How can we develop a model so that we can use the concept of  $h$  to characterize heat exchangers?

Newton's law of cooling assumes a constant  $h$ .

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Applied Heat Transfer

Let's look at the solution for radial conduction in an annulus

Example 4: Heat flux in a solid cylindrical shell

Solution:

$$\frac{q_r}{A} = \frac{c_1}{r}$$

Flux is not constant

$$T = -\frac{c_1}{k} \ln r + c_2$$

Boundary conditions?

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## Applied Heat Transfer

Example 4: Heat flux in a cylindrical shell, Newton's law of cooling boundary Conditions

### Results: Radial Heat Flux in a Solid Cylindrical Shell

$$T - T_{b2} = \frac{(T_{b1} - T_{b2}) \left( \frac{1}{k} \ln \left( \frac{R_2}{r} \right) + \frac{1}{h_2 R_2} \right)}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln \left( \frac{R_2}{R_1} \right) + \frac{1}{h_1 R_1}}$$

$$\frac{q_r}{A} = \frac{(T_{b1} - T_{b2})}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln \left( \frac{R_2}{R_1} \right) + \frac{1}{h_1 R_1}} \left( \frac{1}{r} \right)$$

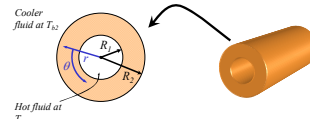
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## Applied Heat Transfer

Example 4: Heat flux in a solid cylindrical shell

**Solution for Heat Flux:**

$$\frac{q_r}{A} = \frac{(T_{b1} - T_{b2})}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln \left( \frac{R_2}{R_1} \right) + \frac{1}{h_1 R_1}} \left( \frac{1}{r} \right)$$



**Calculate Total Heat flow through any chosen r:**

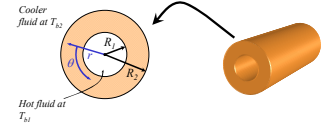
**(including  $r = R_1$  and  $r = R_2$ )**

$$Q = \frac{q_r}{A} (2\pi r L) = \frac{(T_{b1} - T_{b2})(2\pi L)}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln \left( \frac{R_2}{R_1} \right) + \frac{1}{h_1 R_1}}$$

**Note that** total heat flow is proportional to bulk  $\Delta T$  and (almost) area of heat transfer

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Applied Heat Transfer



**Total Heat flow through any chosen  $r$ :**  
(including  $r = R_1$  and  $r = R_2$ )

$$Q = \frac{q_r}{A} (2\pi rL) = \frac{(T_{b1} - T_{b2})(2\pi L) R_1 \left(\frac{1}{R_1}\right)}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln\left(\frac{R_2}{R_1}\right) + \frac{1}{h_1 R_1}}$$

**Note that** total heat flow is proportional to bulk  $\Delta T$  and (almost) area of heat transfer

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Applied Heat Transfer—Define **Overall** Heat-Transfer Coefficient,  $U$

**Overall Heat Transfer Coefficient,  $U$**

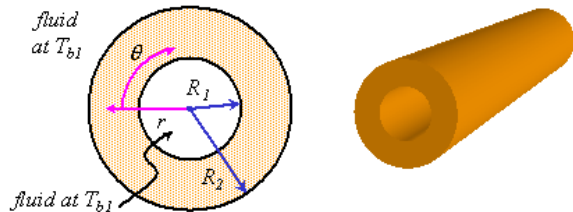
$$Q = UA\Delta T = UA(T_{b1} - T_{b2})$$

this equation serves as the definition of  $U$

$A$  = area of heat transfer (not always unambiguous)  
 $\Delta T$  = driving temperature difference

Example: in a pipe

Do we use inner or outer area?



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Applied Heat Transfer

**Overall** heat transfer coefficients in pipe

**Area must be specified when  $U$  is reported**

$$Q = U_1 A_1 \Delta T$$

$$= \left( \frac{1}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln \frac{R_2}{R_1} + \frac{1}{h_1 R_1}} \right) (2 \pi R_1 L) (T_{b1} - T_{b2})$$

$$Q = U_2 A_2 \Delta T$$

$$= \left( \frac{1}{\frac{1}{h_2 R_2} + \frac{1}{k} \ln \frac{R_2}{R_1} + \frac{1}{h_1 R_1}} \right) (2 \pi R_2 L) (T_{b1} - T_{b2})$$

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Applied Heat Transfer

**Heat flux in a cylindrical shell:**  $Q = UA(T_{b1} - T_{b2})$

**But**, in an actual heat exchanger,  $T_{b1}$  and  $T_{b2}$  vary along the length of the heat exchanger

**What kind of average  $\Delta T$  do we use?**

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Applied Heat Transfer

**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

$T_1$  cold,  $T_2$  less cold,  $T'_1$  less hot,  $T'_2$  hot,  $T$ , inner bulk temperature,  $T'$ , outer bulk temperature,  $\Delta x$ ,  $L$

*We will do an open-system energy balance on a differential section to determine the correct average temperature difference to use.*

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Applied Heat Transfer

**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Another way of looking at it:

$m_{inside}$ ,  $T_1$ , Inside System,  $m_{inside}$ ,  $T_2$ ,  $Q$ , Outside System,  $m_{outside}$ ,  $T'_1$ ,  $T'_2$

$Q_{in}^{inside} = Q = -Q_{in}^{outside}$

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**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Another way of looking at it:

Can do three balances:

1. Balance on the inside system

$$Q_{in}^{inside} = Q = -Q_{in}^{outside}$$

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**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Another way of looking at it:

Can do three balances:

1. Balance on the inside system
2. Balance on the outside system

$$Q_{in}^{inside} = Q = -Q_{in}^{outside}$$

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**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Another way of looking at it:

*Can do three balances:*

1. Balance on the inside system
2. Balance on the outside system
3. Overall balance

$$Q_{in}^{inside} = q = -Q_{in}^{outside}$$

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**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Another way of looking at it:

We can do:

- a macroscopic balances over the entire heat exchanger, or
- a *pseudo* microscopic balance over a slice of the heat exchanger

$$Q_{in}^{inside} = q = -Q_{in}^{outside}$$

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**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Another way of looking at it:

We can do:

- a macroscopic balance over the entire heat exchanger, or
- a *pseudo* microscopic balance over a slice of the heat exchanger

All the details of the algebra are here:  
[www.chem.mtu.edu/~fmorriso/cm310/double\\_pipe.pdf](http://www.chem.mtu.edu/~fmorriso/cm310/double_pipe.pdf)

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**Pseudo Microscopic Energy Balance** on a slice of the heat exchanger

Open system energy balance on a differential volume:

$$\Delta E_p + \Delta E_k + \Delta H = Q_{in} + W_{s,on}$$

$$\Delta H = Q_{in}$$

**INSIDE BALANCE**

recall: Δ is out-in

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Applied Heat Transfer

Pseudo Microscopic Energy Balance on a slice of the heat exchanger

$$\Delta H = Q_{in}$$

**INSIDE BALANCE**

recall: Δ is out-in

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Applied Heat Transfer

Pseudo Microscopic Energy Balance on a slice of the heat exchanger

Adiabatic Heat Exchanger  $\rightarrow Q_{in} = 0$

**OVERALL BALANCE**

$$\Delta E_p + \Delta E_k + \Delta H = Q_{in} + W_{s,on}$$

$$\Delta H = 0$$

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Applied Heat Transfer

energy balance on overall differential system  $\Delta H = 0$

$$= \Delta H_{inner\ system} + \Delta H_{outer\ system}$$

$$= \underbrace{\Delta Q_{in,inner}}_{\text{heat into inner differential system}} + \underbrace{\Delta Q_{in,outer}}_{\text{heat into outer differential system}} = 0$$

divide by  $\Delta x$  and take the limit as  $\Delta x$  goes to zero:

$$\left( \frac{dQ_{in,inner}}{dx} \right) = - \left( \frac{dQ_{in,outer}}{dx} \right)$$

$$\equiv \frac{dQ_{in}}{dx}$$

This expression characterizes the rate of change of heat transferred with respect to distance down the heat exchanger

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**The Simplest Heat Exchanger:**  
**Double-Pipe Heat exchanger - counter current**

Result of inside balance:

$$\frac{dQ_{inner}}{dx} = m\hat{c}_p \left( \frac{dT}{dx} \right)$$

Result of outside balance:

$$-\frac{dQ_{outer}}{dx} = m'\hat{c}'_p \left( \frac{dT'}{dx} \right)$$

Result of overall balance:

$$-\frac{dQ_{outer}}{dx} = \frac{dQ_{inner}}{dx} \equiv \frac{dQ_{in}}{dx}$$

Solve for temperature derivatives, and subtract:

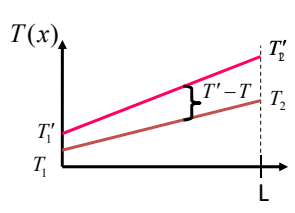
$$\frac{dQ_{in}}{dx} \left( \frac{1}{m'\hat{c}'_p} - \frac{1}{m\hat{c}_p} \right) = \left( \frac{dT'}{dx} - \frac{dT}{dx} \right) = \frac{d(T' - T)}{dx}$$

This depends on  $T' - T$

All the details of the algebra are here:  
[www.chem.mtu.edu/~fmorriso/cm310/double\\_pipe.pdf](http://www.chem.mtu.edu/~fmorriso/cm310/double_pipe.pdf)

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Analysis of double-pipe heat exchanger



Rate of change of heat transferred with respect to distance down the heat exchanger

Driving force for heat transfer

**Question:** How can we write  $\frac{dQ_{in}}{dx}$  in terms of  $T' - T$ ?

**Answer:** Define an "overall" heat transfer coefficient, U

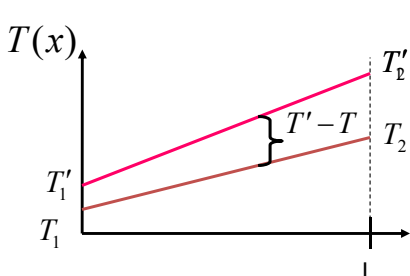
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Analysis of double-pipe heat exchanger

$$\frac{d(T' - T)}{dx} = \frac{dQ_{in}}{dx} \left( \frac{1}{m' \hat{C}_p} - \frac{1}{m \hat{C}_p} \right)$$

Want to integrate to solve for  $T' - T$ ,

but this is a function of  $T' - T$



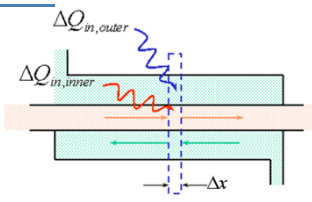
For the **differential slice of the heat exchanger** that we are considering (modeling our ideas on Newton's law of cooling),

$$\frac{dQ_{in}}{dA} = (?) (T' - T)$$

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Analysis of double-pipe heat exchanger

For the **differential slice of the heat exchanger** that we are considering (modeling our ideas on Newton's law of cooling),



$$\frac{dQ_{in}}{dA} = (?) (T' - T)$$

$$dQ_{in} = (U)dA(T' - T)$$

$$= U(2\pi R dx)(T' - T)$$

$$\frac{dQ_{in}}{dx} = U(2\pi R)(T' - T)$$

This is the missing piece that we needed.

We can write  $\frac{dQ_{in}}{dx}$  in terms of  $T' - T$  if we define an "overall" heat transfer coefficient,  $U$

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Analysis of double-pipe heat exchanger

$$\frac{d(T' - T)}{dx} = \frac{dQ_{in}}{dx} \left( \frac{1}{m' \hat{C}_p'} - \frac{1}{m \hat{C}_p} \right)$$

$$\frac{dQ_{in}}{dx} = 2\pi R U (T' - T)$$

$$\frac{d(T' - T)}{dx} = 2\pi R U (T' - T) \left( \frac{1}{\hat{C}_p' m'} - \frac{1}{\hat{C}_p m} \right)$$

$$\frac{d(T' - T)}{(T' - T)} = \left[ 2\pi R U \left( \frac{1}{\hat{C}_p' m'} - \frac{1}{\hat{C}_p m} \right) \right] dx$$

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## Analysis of double-pipe heat exchanger

$$\frac{d(T' - T)}{(T' - T)} = \left[ 2\pi RU \left( \frac{1}{\hat{C}'_p m'} - \frac{1}{\hat{C}_p m} \right) \right] dx$$

$$\Phi \equiv T' - T$$

$$\alpha_0 \equiv 2\pi RU \left( \frac{1}{\hat{C}'_p m'} - \frac{1}{\hat{C}_p m} \right) \quad (\text{we'll assume } U \text{ is constant})$$

$$\frac{d\Phi}{\Phi} = \alpha_0 dx$$

$$\int \frac{d\Phi}{\Phi} = \alpha_0 \int dx$$

$$\ln \Phi = \alpha_0 x + \text{constant}$$

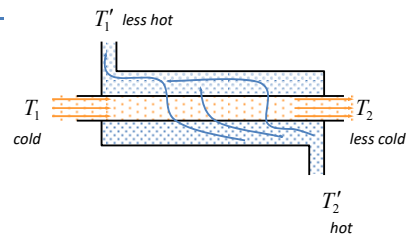
$$\Phi = \Phi_0 e^{\alpha_0 x}$$

B.C:

$$x = 0, T - T' = T_1 - T'_1$$

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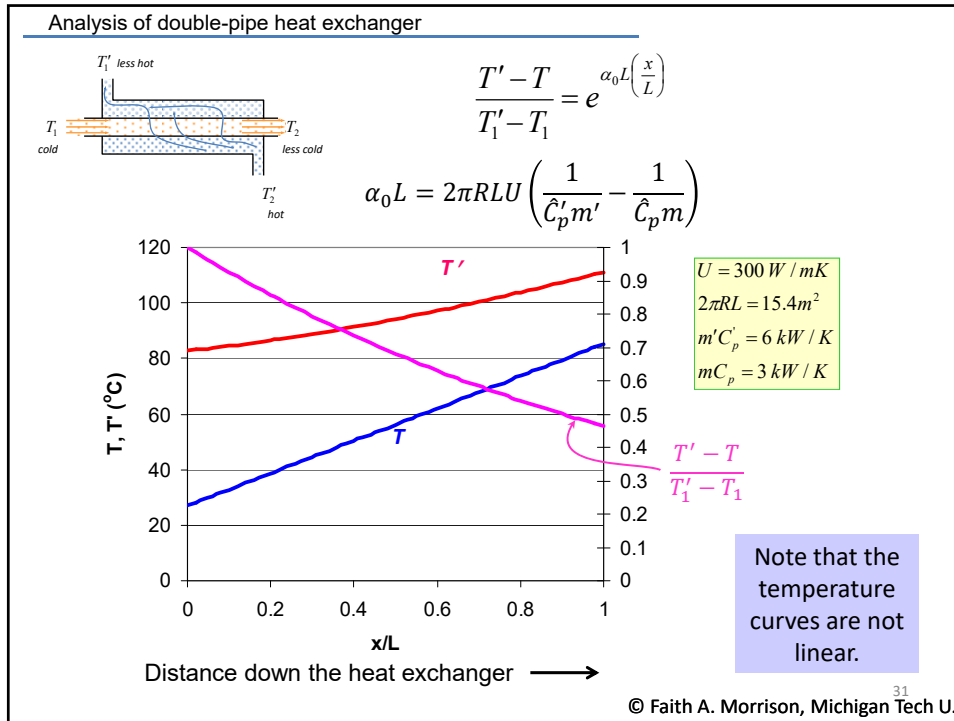
## Analysis of double-pipe heat exchanger



**Temperature profile in a double-pipe heat exchanger:**

$$\frac{T' - T}{T'_1 - T_1} = e^{\alpha_0 x} \quad \alpha_0 = 2\pi RU \left( \frac{1}{\hat{C}'_p m'} - \frac{1}{\hat{C}_p m} \right)$$

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Analysis of double-pipe heat exchanger

**Temperature profile in a double-pipe heat exchanger:**

$$\frac{T' - T}{T'_1 - T_1} = e^{-\alpha_0 x} \quad \alpha_0 = 2\pi RU \left( \frac{1}{\hat{C}'_p m'} - \frac{1}{\hat{C}_p m} \right)$$

Useful result, but what we **REALLY** want is an easy way to relate  $Q_{in, overall}$  to inlet and outlet temperatures.

At the exit:  $x = L, (T - T') = (T_2 - T'_2)$

$$\ln \left( \frac{T'_2 - T_2}{T'_1 - T_1} \right) = U(2\pi RL) \left( \frac{1}{\hat{C}'_p m'} - \frac{1}{\hat{C}_p m} \right)$$

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Analysis of double-pipe heat exchanger

$$\ln\left(\frac{T'_2 - T_2}{T'_1 - T_1}\right) = U(2\pi RL) \left( \frac{1}{\hat{C}'_p m'} - \frac{1}{\hat{C}_p m} \right)$$

The  $m\hat{C}_p$  terms appear in the overall macroscopic energy balances. We can therefore rearrange this equation by replacing the  $m\hat{C}_p$  terms with  $Q_{in}$ :

$$Q_{in} = UA \frac{(T'_2 - T_2) - (T'_1 - T_1)}{\ln\left(\frac{T'_2 - T_2}{T'_1 - T_1}\right)}$$

total heat transferred in exchanger

average temperature driving force

$$Q_{in} = m\hat{C}_p(T_2 - T_1) \Rightarrow \frac{1}{m\hat{C}_p} = \frac{T_2 - T_1}{Q_{in}}$$

$$-Q_{in} = m\hat{C}'_p(T'_1 - T'_2) \Rightarrow \frac{1}{m\hat{C}'_p} = \frac{-(T'_1 - T'_2)}{Q_{in}}$$

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Analysis of double-pipe heat exchanger

**FINAL RESULT:**

$$Q = U \underbrace{(2\pi RL)}_A \frac{(T'_1 - T_1) - (T'_2 - T_2)}{\ln\left(\frac{T'_1 - T_1}{T'_2 - T_2}\right)}$$

$$Q = UA\Delta T_{lm}$$

$\Delta T_{lm} \equiv \Delta T_{lm}$   
= log-mean temperature difference

$\Delta T_{lm}$  is the correct average temperature to use for the overall heat-transfer coefficients in a double-pipe heat exchanger.

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Analysis of double-pipe heat exchanger

**FINAL RESULT:**

$$Q = UA \left[ \frac{(\Delta T_{left} - \Delta T_{right})}{\ln \left( \frac{\Delta T_{left}}{\Delta T_{right}} \right)} \right]$$

$\equiv \Delta T_{lm}$   
=log-mean temperature difference

$$Q = UA \Delta T_{lm}$$

$\Delta T_{lm}$  is the correct average temperature to use for the overall heat-transfer coefficients in a double-pipe heat exchanger.

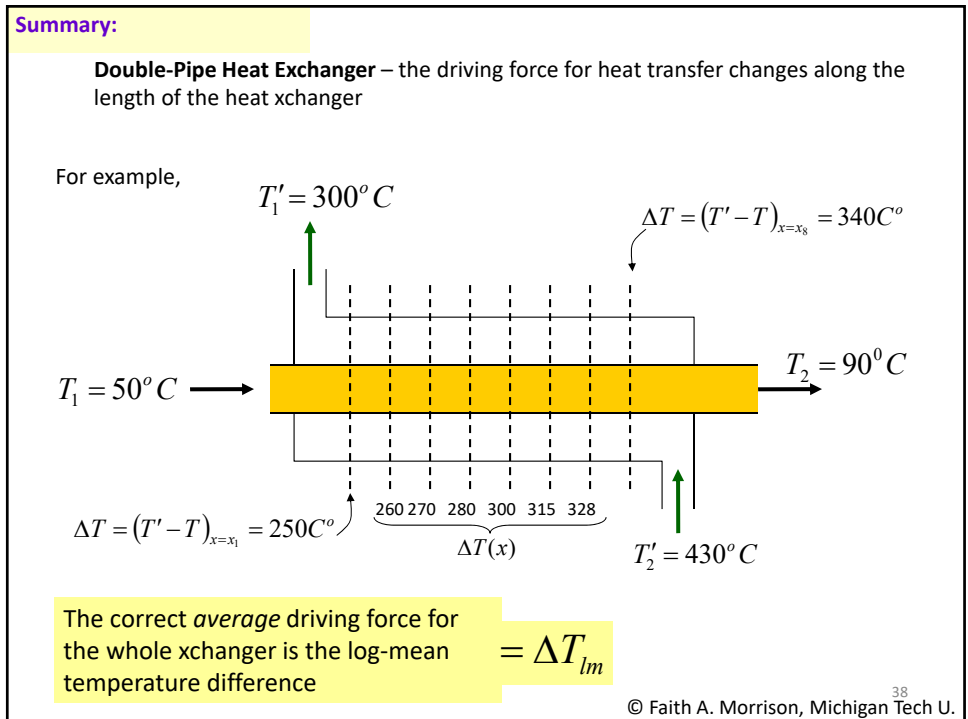
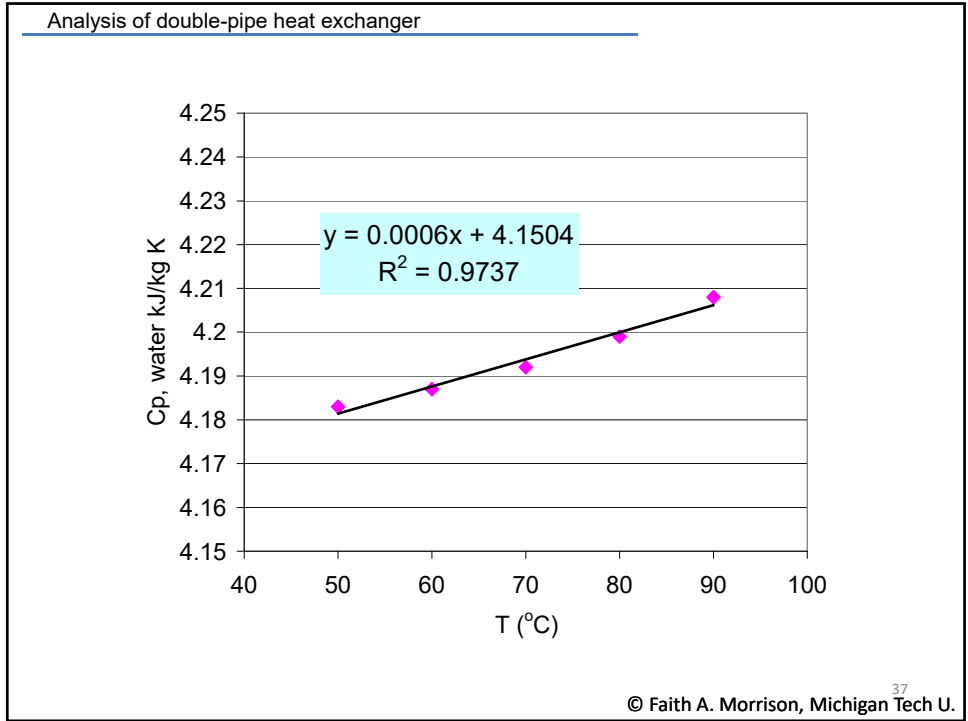
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Analysis of double-pipe heat exchanger

**Example: Heat Transfer in a Double-Pipe Heat Exchanger:** *Geankoplis 4<sup>th</sup> ed. 4.5-4*

Water flowing at a rate of 13.85 kg/s is to be heated from 54.5 to 87.8°C in a double-pipe heat exchanger by 54,430 kg/h of hot gas flowing counterflow and entering at 427°C ( $\hat{C}_{pm} = 1.005 \text{ kJ/kg K}$ ). The overall heat-transfer coefficient based on the outer surface is  $U_o = 69.1 \text{ W/m}^2 \text{ K}$ . Calculate the exit-gas temperature and the heat transfer area needed.

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Optimizing heat exchangers

## Optimizing Heat Exchangers

double-pipe:

**But:**

- only small increases possible
- increasing  $R_f$  decreases  $h$

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Optimizing heat exchangers

### 1-1 Shell and Tube Heat Exchanger

(Same as double pipe H.E.)

1 shell  
1 tube

### 1-2 Shell and Tube Heat Exchanger

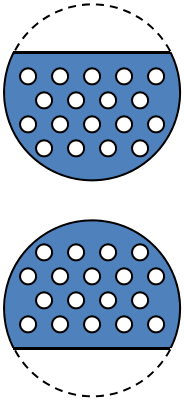
1 shell  
2 tube

Geankoplis 4<sup>th</sup> ed.,  
p292

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Optimizing heat exchangers

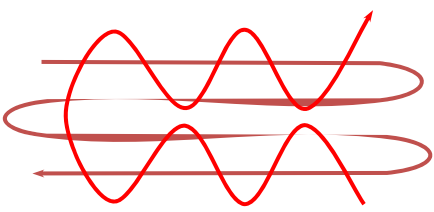
Cross Baffles in Shell-and-Tube Heat Exchangers



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Optimizing heat exchangers

And other more complex arrangements:



2 shell  
4 tube

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Optimizing heat exchangers

For double-pipe heat exchanger:

$$Q = UA\Delta T_{lm}$$

For shell-and-tube heat exchangers:

$$Q = UA[\Delta T_{lm}(F_T)]$$

calculated correction factor (obtain from experimentally determined charts)

$\equiv \Delta T_m$  correct mean temperature difference for shell-and-tube heat exchangers

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Optimizing heat exchangers

**$F_T$**   
Shell-and-Tube Heat Exchangers  
(1-1 exchanger,  $F_T = 1$ )

Efficiency is low when  $F_T$  is below  $F_{T,min}$

$T_{hi}$  = hot, in  
 $T_{ho}$  = hot, out  
 $T_{ci}$  = cold, in  
 $T_{co}$  = cold, out

Geankoplis 4<sup>th</sup> ed., p295

1-2 Shell and Tube H.E.

2-4 Shell and Tube H.E.

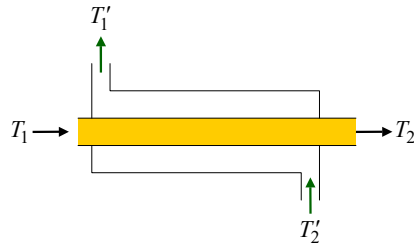
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## Heat Exchanger Design

## Heat Exchanger Design

To calculate  $Q$ , we need both inlet and outlet temperatures:

$$Q = UA\Delta T_m = UA(F_T\Delta T_{lm})$$



$$Q = UA \left[ \frac{(\Delta T_{left} - \Delta T_{right})}{\ln \left( \frac{\Delta T_{left}}{\Delta T_{right}} \right)} \right]$$

**What if the outlet temperatures are unknown?**  
i.e. the design/spec problem.

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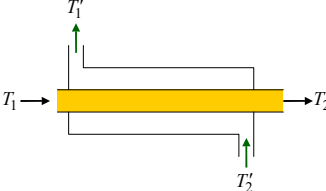
## Heat Exchanger Design

**Example Problem:**  
**How will this heat exchanger perform?**

Water flowing at a rate of  $0.723 \text{ kg/s}$  enters the inside of a countercurrent, double-pipe heat exchanger at  $300 \text{ K}$  and is heated by an oil stream that enters at  $385 \text{ K}$  at a rate of  $3.2 \text{ kg/s}$ . The heat capacity of the oil is  $1.89 \text{ kJ/kgK}$ , and the average heat capacity of the water of the temperature range of interest is  $4.192 \text{ kJ/kgK}$ . The overall heat-transfer coefficient of the exchanger is  $300 \text{ W/m}^2\text{K}$ , and the area for heat transfer is  $15.4 \text{ m}^2$ . What is the total amount of heat transferred?

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Heat Exchanger Design



**Example Problem:**  
How will this heat exchanger perform?

Water flowing at a rate of  $0.723 \text{ kg/s}$  enters the inside of a countercurrent, double-pipe heat exchanger at  $300 \text{ K}$  and is heated by an oil stream that enters at  $385 \text{ K}$  at a rate of  $3.2 \text{ kg/s}$ . The heat capacity of the oil is  $1.89 \text{ kJ/kgK}$ , and the average heat capacity of the water of the temperature range of interest is  $4.192 \text{ kJ/kgK}$ . The overall heat-transfer coefficient of the exchanger is  $300 \text{ W/m}^2\text{K}$ , and the area for heat transfer is  $15.4 \text{ m}^2$ . What is the total amount of heat transferred?

You try.

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Heat Exchanger Design

**Example Problem:**  
How will this heat exchanger perform?

To calculate unknown outlet temperatures:

Procedure:

1. Guess Q
2. Calculate outlet temperatures
3. Calculate  $\Delta T_{lm}$
4. Calculate Q
5. Compare, adjust, repeat

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Heat Exchanger Design

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**Example Problem:**  
How will this heat exchanger perform?

|                      |                      |                     |      |   |                      |       |      |   |                      |       |      |
|----------------------|----------------------|---------------------|------|---|----------------------|-------|------|---|----------------------|-------|------|
| U                    | 0.3                  | kW/m <sup>2</sup> K |      |   |                      |       |      |   |                      |       |      |
| A                    | 15.4                 | m <sup>2</sup>      |      |   |                      |       |      |   |                      |       |      |
| T <sub>1</sub>       | 300                  | K                   |      |   |                      |       |      |   |                      |       |      |
| T <sub>prime_2</sub> | 385                  | K                   |      |   |                      |       |      |   |                      |       |      |
| m <sub>water</sub>   | 0.723                | kg/s                |      |   |                      |       |      |   |                      |       |      |
| m <sub>oil</sub>     | 3.2                  | kg/s                |      |   |                      |       |      |   |                      |       |      |
| cp <sub>water</sub>  | 4.192                | kJ/kgK              |      |   |                      |       |      |   |                      |       |      |
| cp <sub>oil</sub>    | 1.89                 | kJ/kgK              |      |   |                      |       |      |   |                      |       |      |
| 1                    | Guess Q              | 100                 | kJ/s | 2 | Guess Q              | 200   | kJ/s | 3 | Guess Q              | 150   | kJ/s |
|                      | T <sub>2</sub>       | 333                 | K    |   | T <sub>2</sub>       | 366   | K    |   | T <sub>2</sub>       | 349   | K    |
|                      | T <sub>prime_1</sub> | 368                 | K    |   | T <sub>prime_1</sub> | 352   | K    |   | T <sub>prime_1</sub> | 360   | K    |
|                      | Delta left           | 68                  | K    |   | Delta left           | 52    | K    |   | Delta left           | 60    | K    |
|                      | Delta Right          | 52                  | K    |   | Delta Right          | 19    | K    |   | Delta Right          | 36    | K    |
|                      | DeltaTlm             | 60                  | K    |   | DeltaTlm             | 33    | K    |   | DeltaTlm             | 47    | K    |
|                      | Q <sub>new</sub>     | 276.5               | kW   |   | Q <sub>new</sub>     | 151.4 | kW   |   | Q <sub>new</sub>     | 216.1 | kW   |
| 4                    | Guess Q              | 170                 | kJ/s | 5 | Guess Q              | 180   | kJ/s | 6 | Guess Q              | 178.6 | kJ/s |
|                      | T <sub>2</sub>       | 356                 | K    |   | T <sub>2</sub>       | 359   | K    |   | T <sub>2</sub>       | 359   | K    |
|                      | T <sub>prime_1</sub> | 357                 | K    |   | T <sub>prime_1</sub> | 355   | K    |   | T <sub>prime_1</sub> | 355   | K    |
|                      | Delta left           | 57                  | K    |   | Delta left           | 55    | K    |   | Delta left           | 55    | K    |
|                      | Delta Right          | 29                  | K    |   | Delta Right          | 26    | K    |   | Delta Right          | 26    | K    |
|                      | DeltaTlm             | 41                  | K    |   | DeltaTlm             | 39    | K    |   | DeltaTlm             | 39    | K    |
|                      | Q <sub>new</sub>     | 191.0               | kW   |   | Q <sub>new</sub>     | 178.1 | kW   |   | Q <sub>new</sub>     | 179.9 | kW   |

2013HeatExchEfficExample.xlsx

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Heat Exchanger Design

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**Example Problem:**  
How will this heat exchanger perform?

|                      |                      |                     |      |   |                      |       |      |   |                      |       |      |
|----------------------|----------------------|---------------------|------|---|----------------------|-------|------|---|----------------------|-------|------|
| U                    | 0.3                  | kW/m <sup>2</sup> K |      |   |                      |       |      |   |                      |       |      |
| A                    | 15.4                 | m <sup>2</sup>      |      |   |                      |       |      |   |                      |       |      |
| T <sub>1</sub>       | 300                  | K                   |      |   |                      |       |      |   |                      |       |      |
| T <sub>prime_2</sub> | 385                  | K                   |      |   |                      |       |      |   |                      |       |      |
| m <sub>water</sub>   | 0.723                | kg/s                |      |   |                      |       |      |   |                      |       |      |
| m <sub>oil</sub>     | 3.2                  | kg/s                |      |   |                      |       |      |   |                      |       |      |
| cp <sub>water</sub>  | 4.192                | kJ/kgK              |      |   |                      |       |      |   |                      |       |      |
| cp <sub>oil</sub>    | 1.89                 | kJ/kgK              |      |   |                      |       |      |   |                      |       |      |
| 1                    | Guess Q              | 100                 | kJ/s | 2 | Guess Q              | 200   | kJ/s | 3 | Guess Q              | 150   | kJ/s |
|                      | T <sub>2</sub>       | 333                 | K    |   | T <sub>2</sub>       | 366   | K    |   | T <sub>2</sub>       | 349   | K    |
|                      | T <sub>prime_1</sub> | 368                 | K    |   | T <sub>prime_1</sub> | 352   | K    |   | T <sub>prime_1</sub> | 360   | K    |
|                      | Delta left           | 68                  | K    |   | Delta left           | 52    | K    |   | Delta left           | 60    | K    |
|                      | Delta Right          | 52                  | K    |   | Delta Right          | 19    | K    |   | Delta Right          | 36    | K    |
|                      | DeltaTlm             | 60                  | K    |   | DeltaTlm             | 33    | K    |   | DeltaTlm             | 47    | K    |
|                      | Q <sub>new</sub>     | 276.5               | kW   |   | Q <sub>new</sub>     | 151.4 | kW   |   | Q <sub>new</sub>     | 216.1 | kW   |
| 4                    | Guess Q              | 170                 | kJ/s | 5 | Guess Q              | 180   | kJ/s | 6 | Guess Q              | 178.6 | kJ/s |
|                      | T <sub>2</sub>       | 356                 | K    |   | T <sub>2</sub>       | 359   | K    |   | T <sub>2</sub>       | 359   | K    |
|                      | T <sub>prime_1</sub> | 357                 | K    |   | T <sub>prime_1</sub> | 355   | K    |   | T <sub>prime_1</sub> | 355   | K    |
|                      | Delta left           | 57                  | K    |   | Delta left           | 55    | K    |   | Delta left           | 55    | K    |
|                      | Delta Right          | 29                  | K    |   | Delta Right          | 26    | K    |   | Delta Right          | 26    | K    |
|                      | DeltaTlm             | 41                  | K    |   | DeltaTlm             | 39    | K    |   | DeltaTlm             | 39    | K    |
|                      | Q <sub>new</sub>     | 191.0               | kW   |   | Q <sub>new</sub>     | 178.1 | kW   |   | Q <sub>new</sub>     | 179.9 | kW   |

This procedure can be sped up considerably by the use of the concept of **Heat-Exchanger Effectiveness, ε.**

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Heat Exchanger Effectiveness

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## Heat Exchanger Effectiveness

Consider a *counter-current* double-pipe heat exchanger:

distance along the exchanger

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Heat Exchanger Effectiveness

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**Energy balance cold side:**

$$Q_{in,cold} = Q = (mC_p)_{cold}(T_{co} - T_{ci})$$

**Energy balance hot side:**

$$Q_{in,hot} = -Q = (mC_p)_{hot}(T_{ho} - T_{hi})$$

**Equate:**

$$(m\hat{C}_p)_{cold}(T_{co} - T_{ci}) = -(m\hat{C}_p)_{hot}(T_{ho} - T_{hi})$$

$$\frac{(mC_p)_{hot}}{(mC_p)_{cold}} = \frac{(T_{co} - T_{ci})}{-(T_{ho} - T_{hi})} = \frac{\Delta T_c}{\Delta T_h}$$

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Heat Exchanger Effectiveness

$$\frac{(m\hat{c}_p)_{hot}}{(m\hat{c}_p)_{cold}} = \frac{\Delta T_{cold}}{\Delta T_{hot}}$$

Case 1:  $\begin{cases} (mC_p)_{hot} > (mC_p)_{cold} \\ \Delta T_c > \Delta T_h \end{cases}$  cold fluid = minimum fluid

We want to compare the amount of heat transferred in this case to the amount of heat transferred in a **PERFECT** heat exchanger.

distance along the exchanger

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Heat Exchanger Effectiveness

If the heat exchanger were *perfect*,  $T_{hi} = T_{co}$  (no heat left un-transferred)

cold side:

$$Q_{A=\infty} = (m\hat{c}_p)_{cold} (T_{co} - T_{ci})$$

this temperature difference only depends on inlet temperatures

$$Q_{A=\infty} = (m\hat{c}_p)_{cold} (T_{hi} - T_{ci})$$

distance along the exchanger

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Heat Exchanger Effectiveness

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Heat Exchanger Effectiveness,  $\varepsilon$

$$\varepsilon \equiv \frac{Q}{Q_{A=\infty}}$$

$$\Rightarrow Q = \varepsilon (mC_p)_{cold} (T_{hi} - T_{ci})$$

cold fluid = minimum fluid

if  $\varepsilon$  is known, we can calculate Q without iterations

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Heat Exchanger Effectiveness

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$$\frac{(m\hat{c}_p)_{hot}}{(m\hat{c}_p)_{cold}} = \frac{\Delta T_{cold}}{\Delta T_{hot}}$$

Case 2:  $\left\{ \begin{array}{l} (mC_p)_{hot} < (mC_p)_{cold} \\ \Delta T_c < \Delta T_h \end{array} \right.$  hot fluid = minimum fluid

distance along the exchanger

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Heat Exchanger Effectiveness

If the heat exchanger were *perfect*,  $T_{ho} = T_{ci}$  (no heat left un-transferred)

hot side:  
 $Q_{A=\infty} = -(m\hat{C}_p)_{hot} (T_{ho} - T_{hi})$

$A = \infty$

The same temperature difference as before (inlets)

distance along the exchanger

$T_{ho} = T_{ci}$

$Q_{A=\infty} = (m\hat{C}_p)_{hot} (T_{hi} - T_{ci})$

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Heat Exchanger Effectiveness

Heat Exchanger Effectiveness  $\varepsilon \equiv \frac{Q}{Q_{A=\infty}}$

$\Rightarrow Q = \varepsilon (mC_p)_{hot} (T_{hi} - T_{ci})$

hot fluid = minimum fluid

in general,

$Q = \varepsilon (mC_p)_{min} (T_{hi} - T_{ci})$

if  $\varepsilon$  is known, we can calculate  $Q$  without iterations

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Heat Exchanger Effectiveness

*But where do we get  $\epsilon$  ?*

The same equations we use in the trial-and-error solution can be combined algebraically to give  $\epsilon$  as a function of  $(mC_p)_{min}$ ,  $(mC_p)_{max}$ .

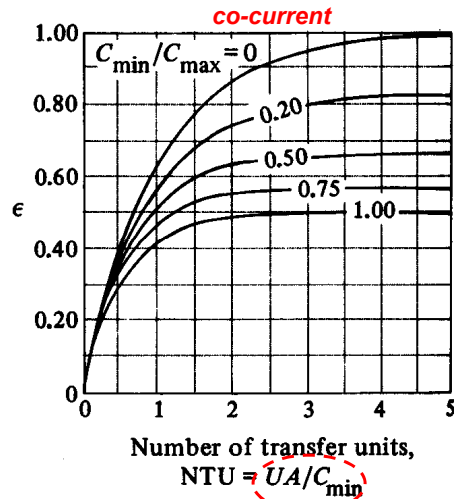
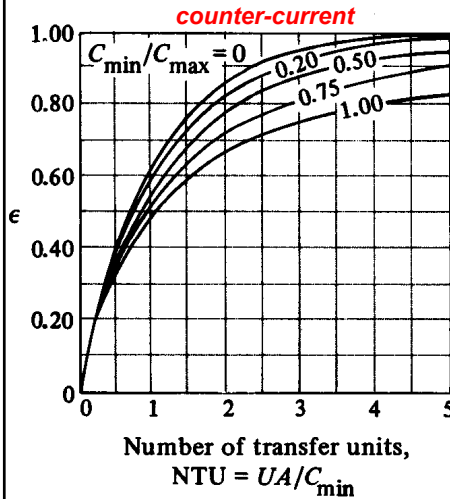
counter-current flow:

$$\epsilon = \frac{1 - e^{\frac{-UA}{(mC_p)_{min}} \left( 1 - \frac{(mC_p)_{min}}{(mC_p)_{max}} \right)}}{1 - \frac{(mC_p)_{min}}{(mC_p)_{max}} e^{\frac{-UA}{(mC_p)_{min}} \left( 1 - \frac{(mC_p)_{min}}{(mC_p)_{min}} \right)}}$$

This relation is plotted in Geankoplis, as is the relation for co-current flow.

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Heat Exchanger Effectiveness for Double-pipe or 1-1 Shell-and-Tube Heat Exchangers

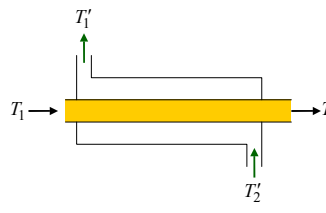


note: Geankoplis'  $C_{min} = (mC_p)_{min}$

Geankoplis 4<sup>th</sup> ed., p299

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Heat Exchanger Effectiveness



**Example Problem:**  
How will this heat exchanger perform?

Water flowing at a rate of  $0.723 \text{ kg/s}$  enters the inside of a countercurrent, double-pipe heat exchanger at  $300 \text{ K}$  and is heated by an oil stream that enters at  $385 \text{ K}$  at a rate of  $3.2 \text{ kg/s}$ . The heat capacity of the oil is  $1.89 \text{ kJ/kgK}$ , and the average heat capacity of the water of the temperature range of interest is  $4.192 \text{ kJ/kgK}$ . The overall heat-transfer coefficient of the exchanger is  $300 \text{ W/m}^2\text{K}$ , and the area for heat transfer is  $15.4 \text{ m}^2$ . What is the total amount of heat transferred?

You try.

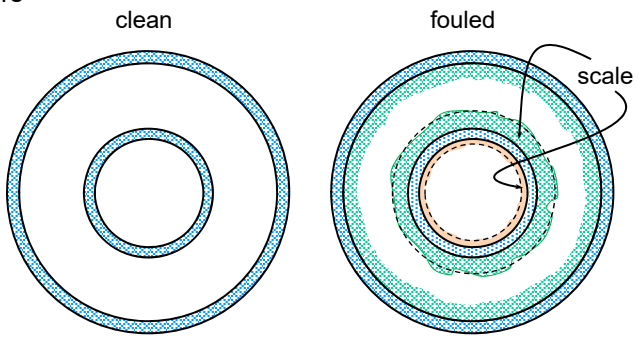
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Heat Exchanger Effectiveness

Heat Exchanger Fouling

- material deposits on hot surfaces
- rust, impurities
- strong effect when boiling occurs

scale adds an additional resistance to heat transfer



clean

fouled

scale

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### Heat Exchanger Effectiveness

Heat transfer resistances

$$U_{i\ or\ o} = \frac{1}{\frac{1}{h_i R_i} + \frac{1}{k} \ln\left(\frac{R_o}{R_i}\right) + \frac{1}{h_o R_o}}$$

resistance due to interface      resistance due to limited thermal conductivity

add effect of fouling

$$U_{i\ or\ o} = \frac{1}{\frac{1}{h_i R_i} + \frac{1}{h_{di} R_i} + \frac{1}{k} \ln\left(\frac{R_o}{R_i}\right) + \frac{1}{h_{do} R_o} + \frac{1}{h_o R_o}}$$

Fouling, inside surface      Fouling, outside surface

Measured by calibration against measured effect of fouling.

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### Heat Exchanger Fouling

TABLE 4.9-1. Typical Fouling Coefficients (P3, N1)

|                        | $h_f$<br>( $W/m^2 \cdot K$ ) |
|------------------------|------------------------------|
| Distilled and seawater | 11 350                       |
| City water             | 5680                         |
| Muddy water            | 1990-2840                    |
| Gases                  | 2840                         |
| Vaporizing liquids     | 2840                         |
| Vegetable and gas oils | 1990                         |

TABLE 4.9-2. Typical Values of Overall Heat-Transfer Coefficients in Shell-and-Tube Exchangers (H1, P3, W1)

|                                  | $U$<br>( $W/m^2 \cdot K$ ) |
|----------------------------------|----------------------------|
| Water to water                   | 1140-1700                  |
| Water to brine                   | 570-1140                   |
| Water to organic liquids         | 570-1140                   |
| Water to condensing steam        | 1420-2270                  |
| Water to gasoline                | 340-570                    |
| Water to gas oil                 | 140-340                    |
| Water to vegetable oil           | 110-285                    |
| Gas oil to gas oil               | 110-285                    |
| Steam to boiling water           | 1420-2270                  |
| Water to air (finned tube)       | 110-230                    |
| Light organics to light organics | 230-425                    |
| Heavy organics to heavy organics | 55-230                     |

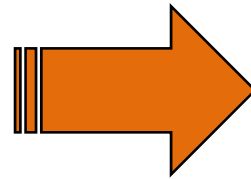
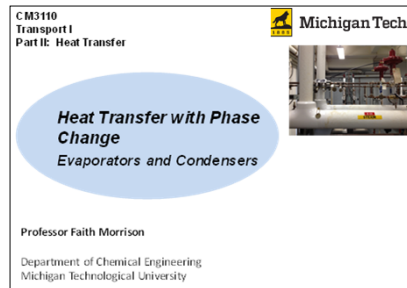
Geankoplis, 4<sup>th</sup> edition, p300 also in Perry's

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## Next:

- Heat transfer with phase change
- Evaporators
- Radiation
- *DONE*



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