

# High-field states of Dirac-like electrons in graphene and bismuth

J. G. Checkelsky, Lu Li, Y. S. Hor, R. J. Cava and N.P.O.

*Princeton University*

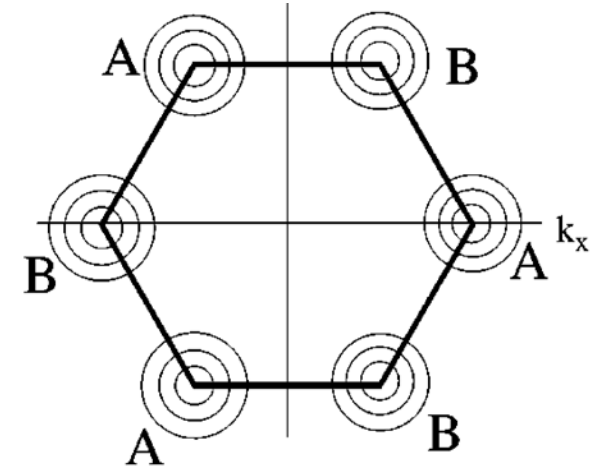
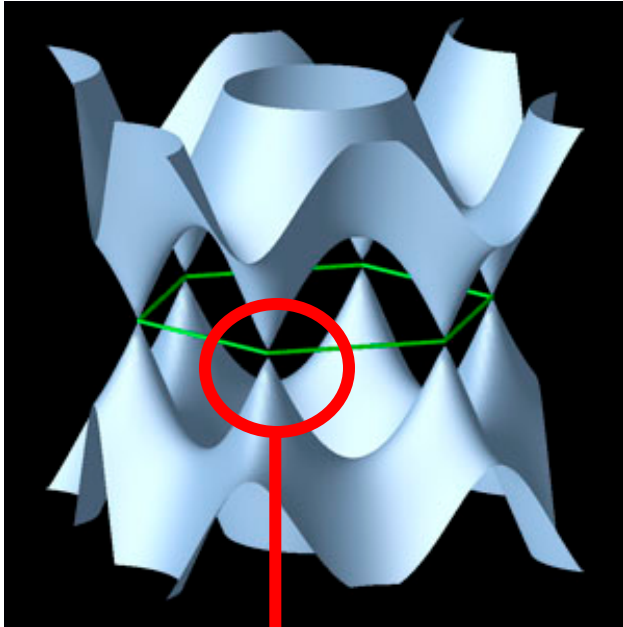
*C. Uher, U. Michigan*

*A. Hebard, U. Florida, Gainesville*



1. Dirac point in graphene in high fields
2. 3D Dirac ellipsoids in Bi
3. Phase transitions in high field

# Momentum Space



$E = v_0 | \mathbf{p} |$  massless Dirac spectrum

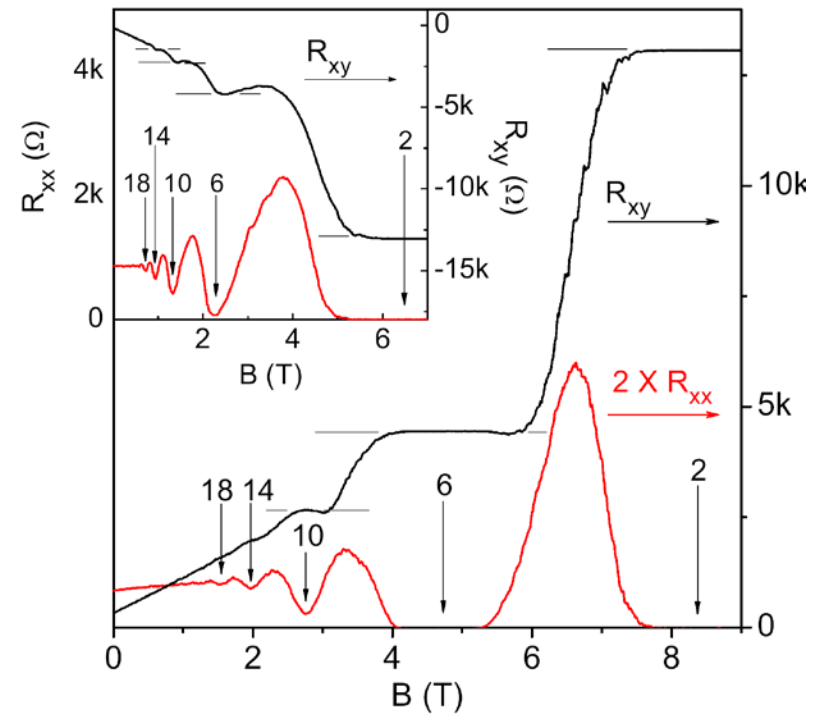
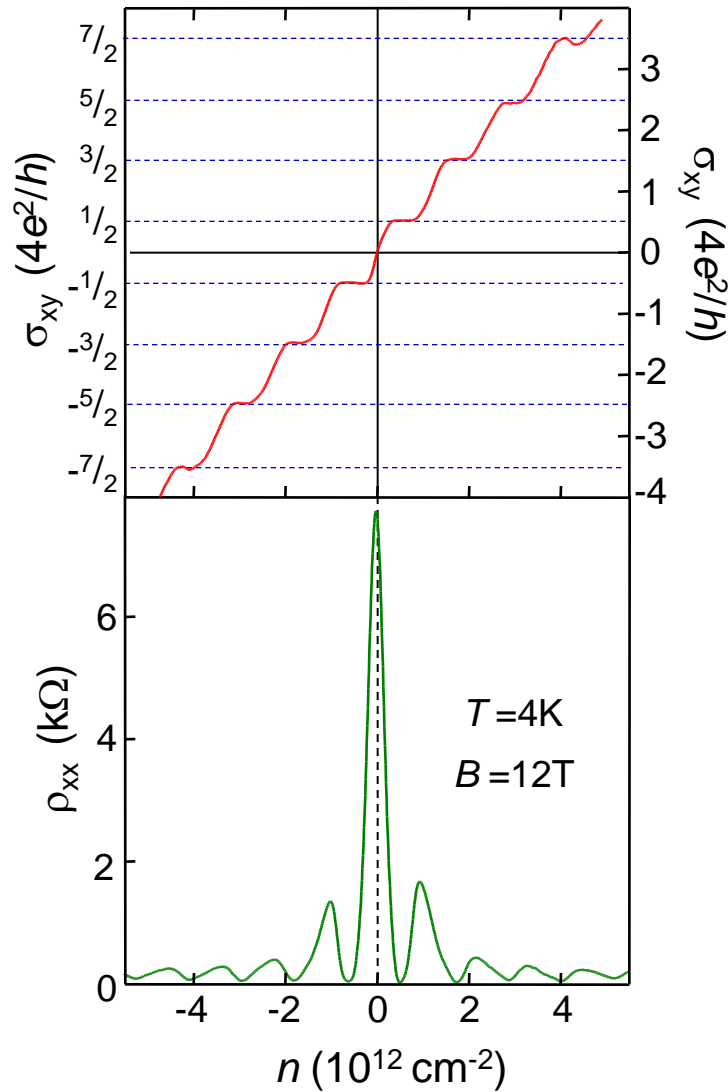
$$v_0 = 10^6 \text{ m/s} = c/300$$

“Fine-structure” const  $\alpha \sim 3$

Orbital quantization in a few Tesla  
(need  $10^9$  T in vacuum)



# Quantum Hall Effect in graphene



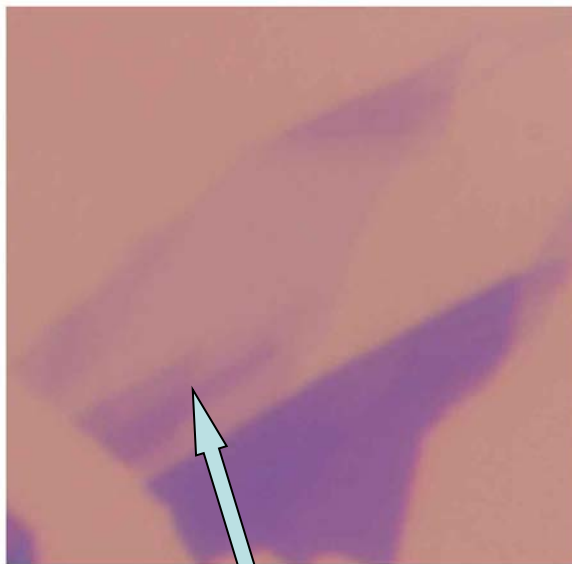
Y.Zhang, Kim et al.,  
Nature **438**, 201 (05)

Novoselov, Geim et al., Nature **438**, 197 (05)

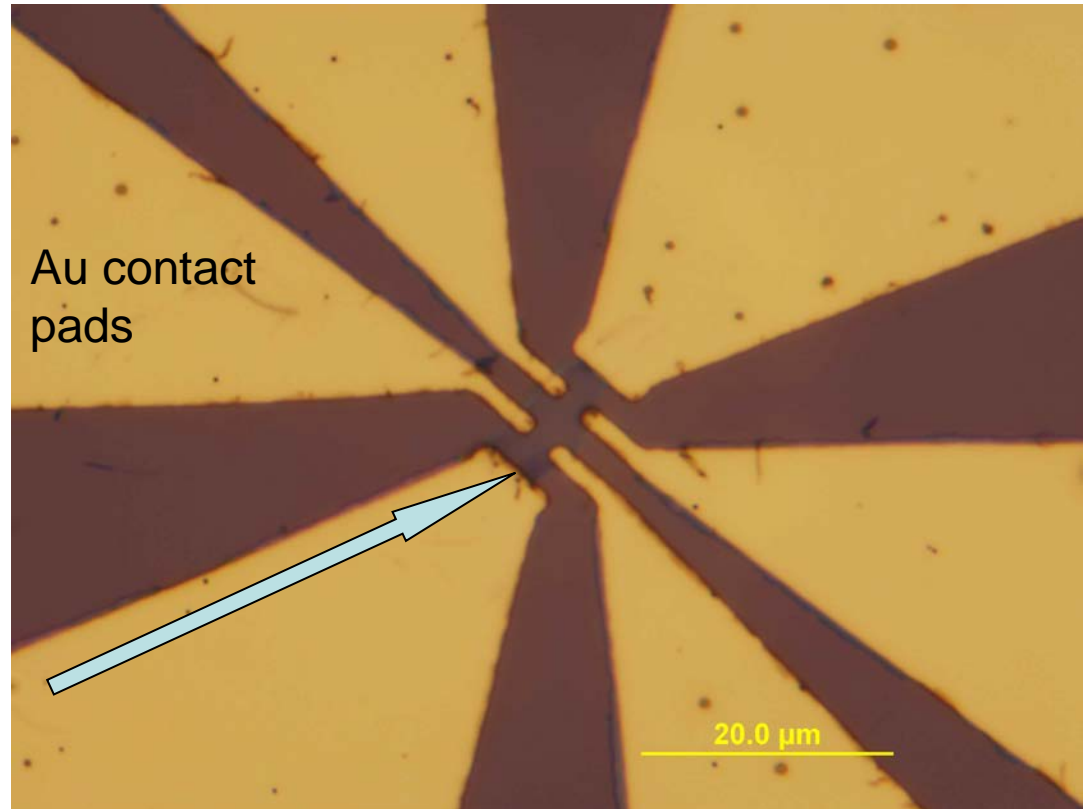
# Single atomic-layer graphene

- Graphene sheets peeled off onto Si/SiO<sub>2</sub> wafers
- Single atomic-layer samples identified
- Au contacts attached by e-beam lithography

Checkelsky, Li, Ong



Single atomic-layers



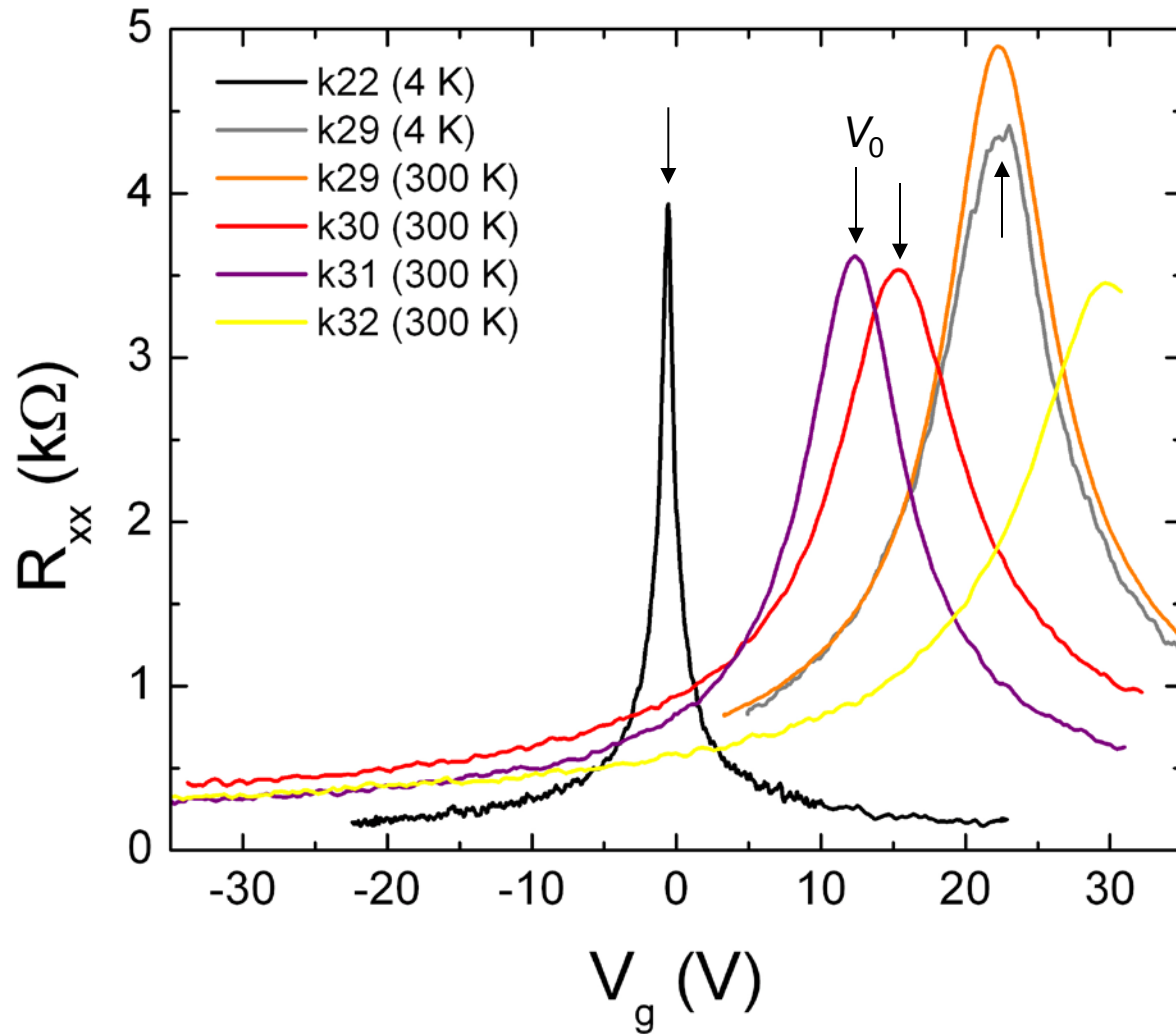
Au contact pads

20.0 μm



20.0  $\mu\text{m}$

# Offset Gate voltage $V_0$ -- an important parameter



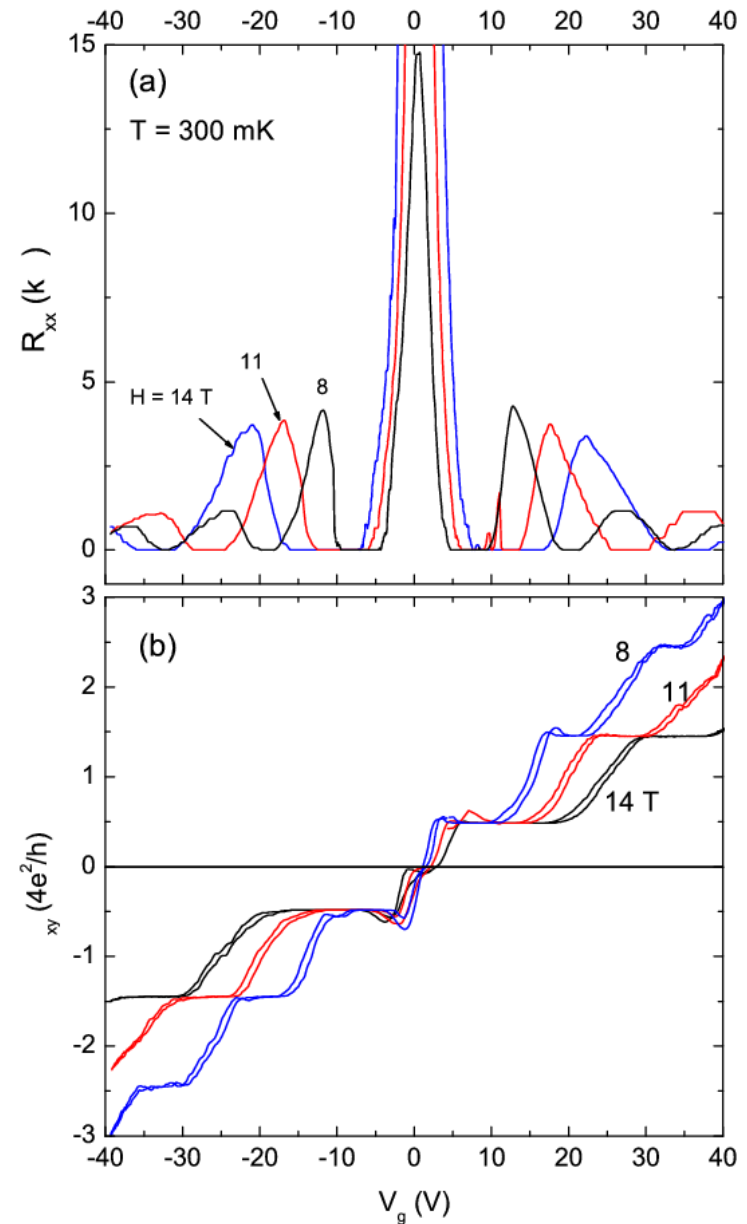
Small offset  $V_0$  correlates with low disorder

# The Quantized Hall Effect in graphene

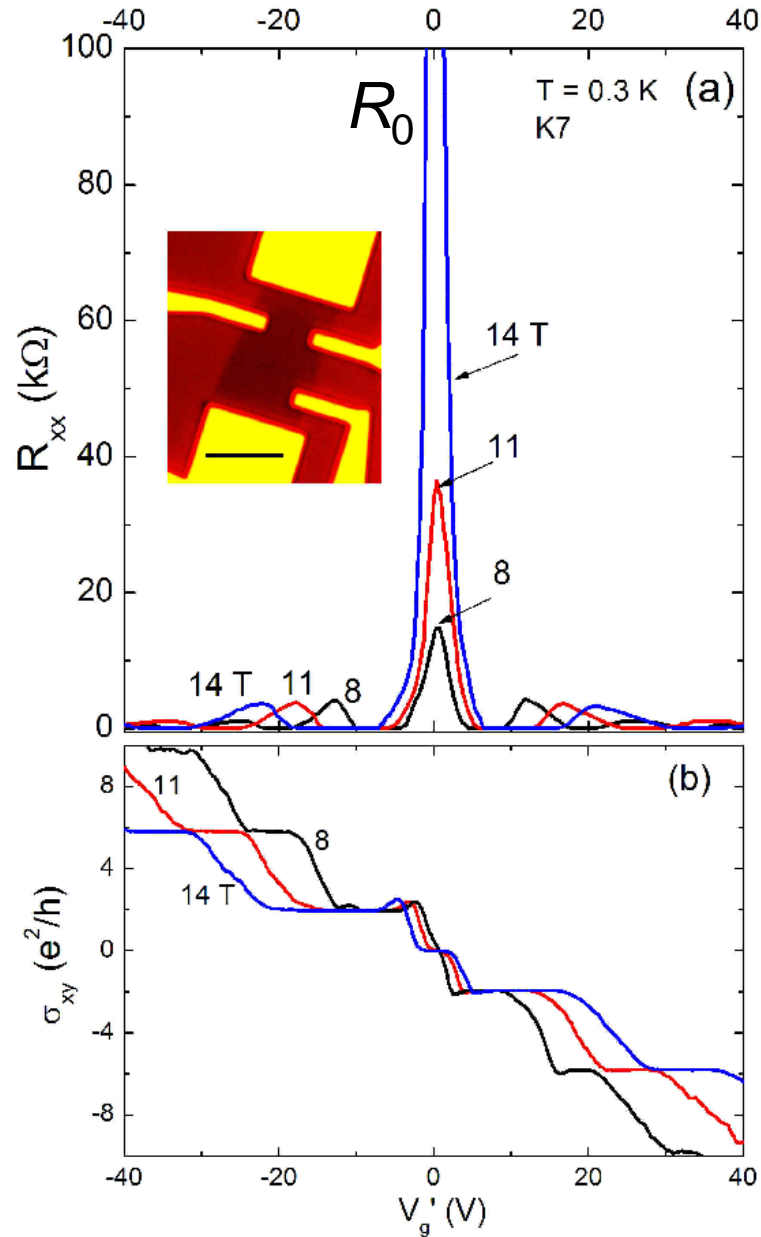
Checkelsky, Li, Ong

Panel (a) Resistivity  $R_{xx}$  of graphene vs gate voltage  $V_g$  at fields  $H = 8, 11$  and  $14$  T.  $R_{xx}$  peaks at Landau Levels  $n = 0$  and  $+1$  and  $-1$ . The peak at  $n = 0$  is singularly large. Temperature fixed at  $0.3$  K

Panel (b) The Hall conductivity  $\sigma_{xy}$  shows step-quantization at universal values  $0, 2e^2/h, 6e^2/h, \dots$

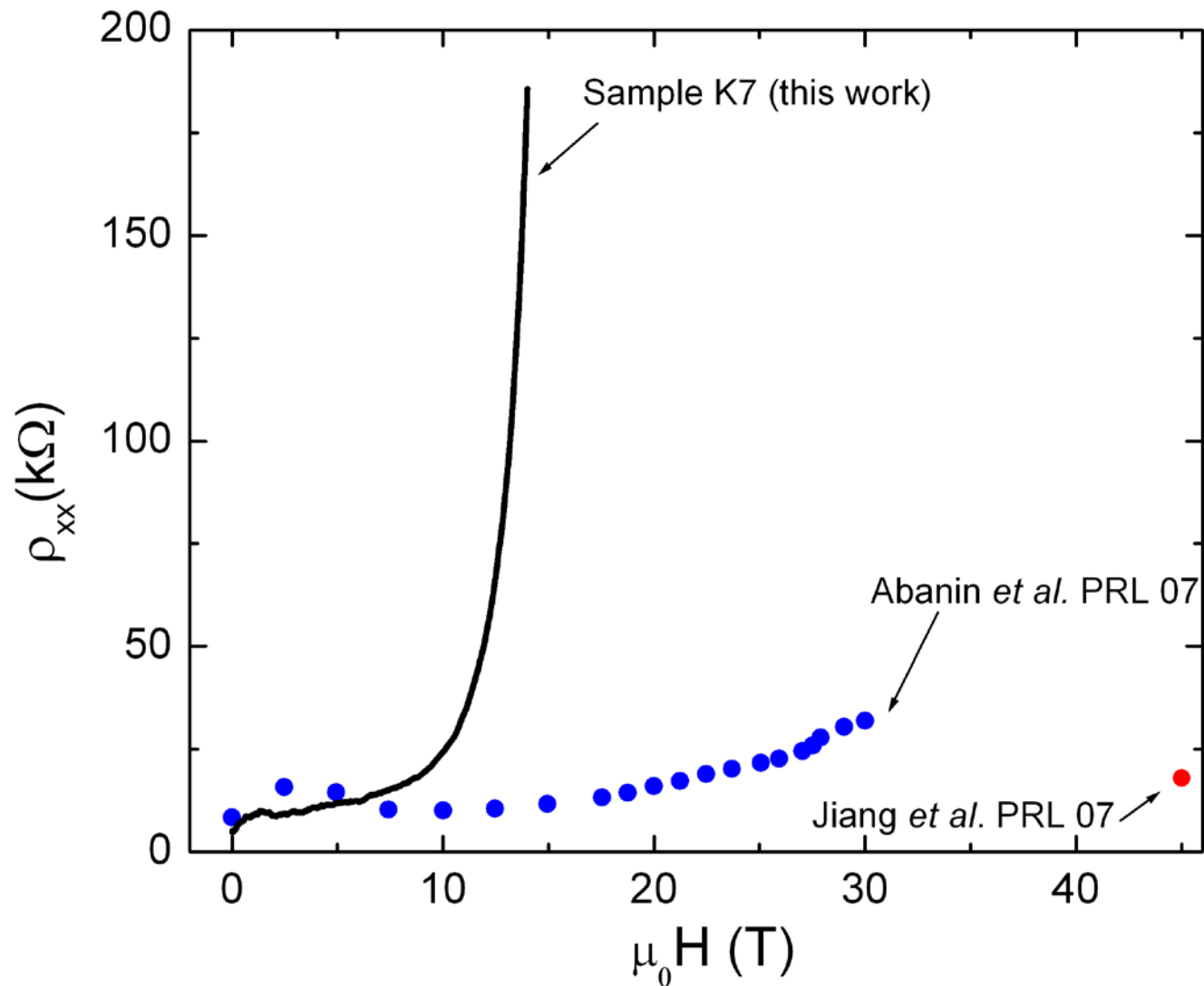


# Resistance at Dirac point $R_0$ diverges with $H$

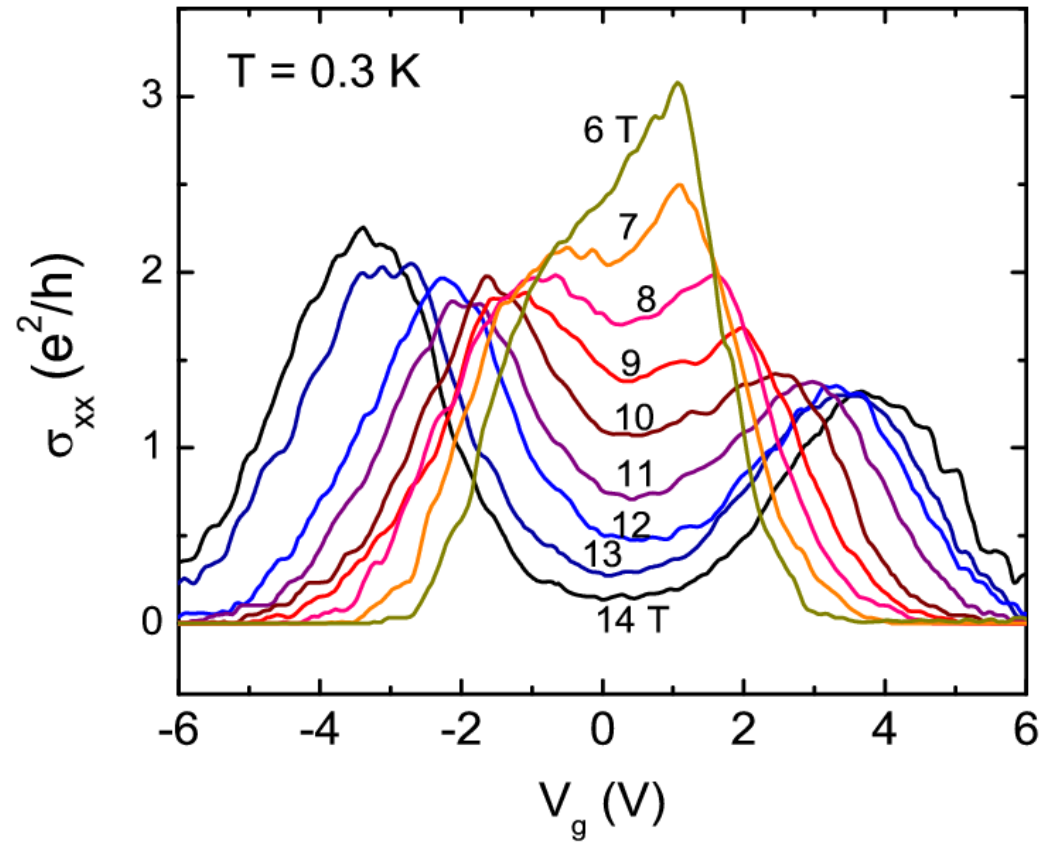


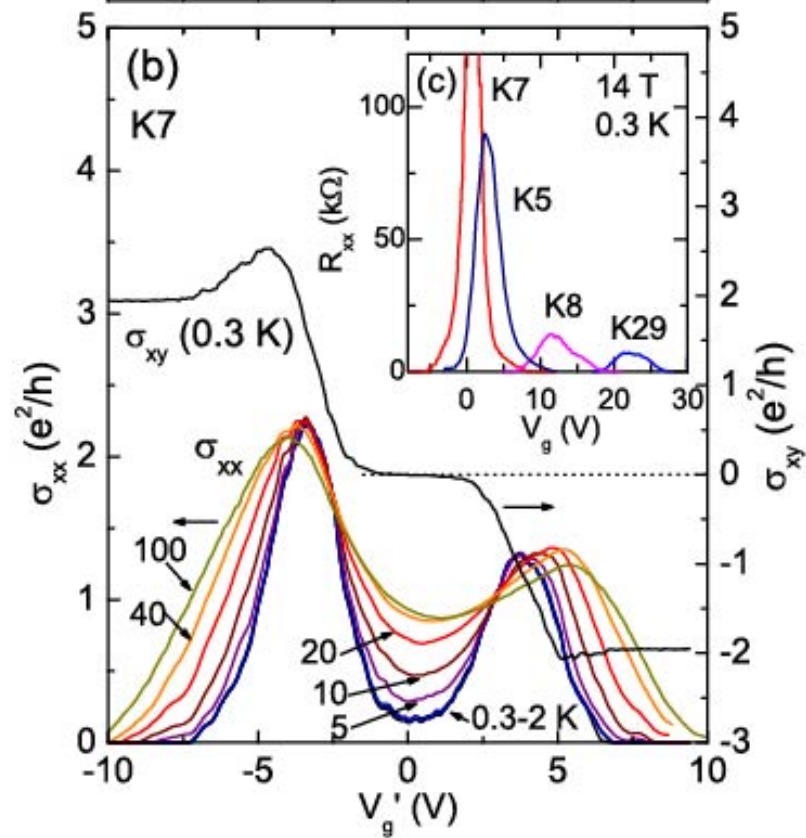
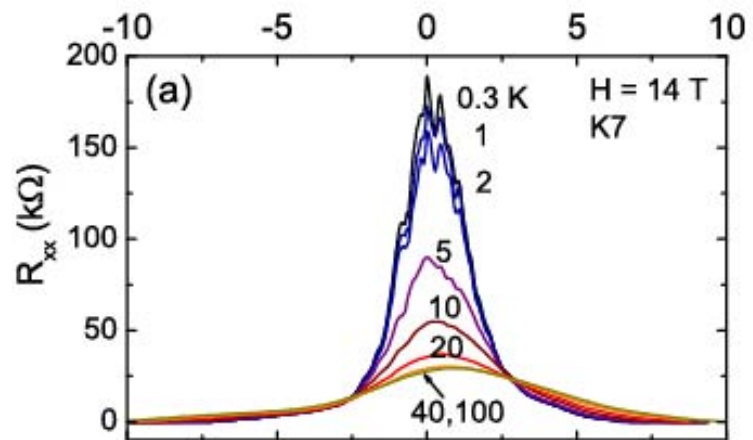


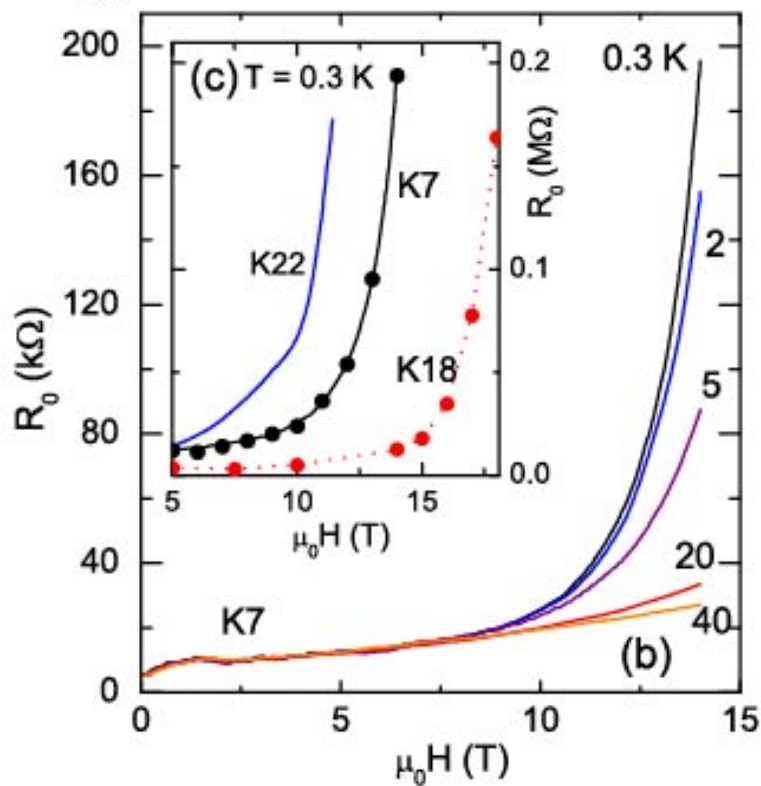
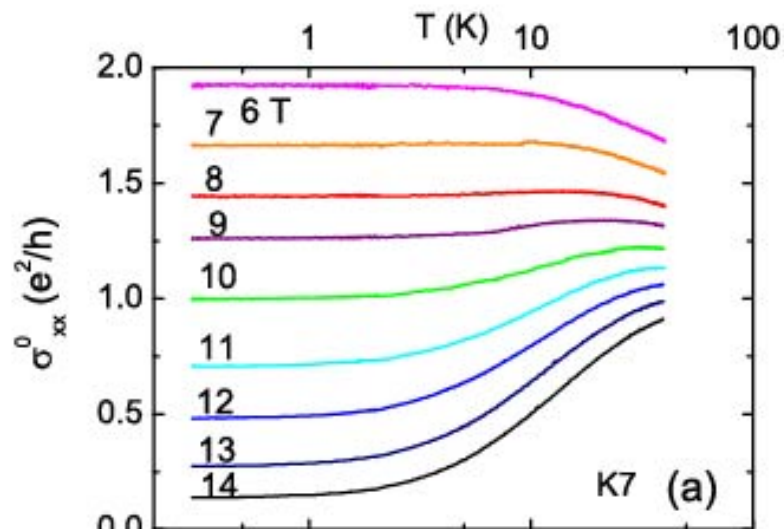
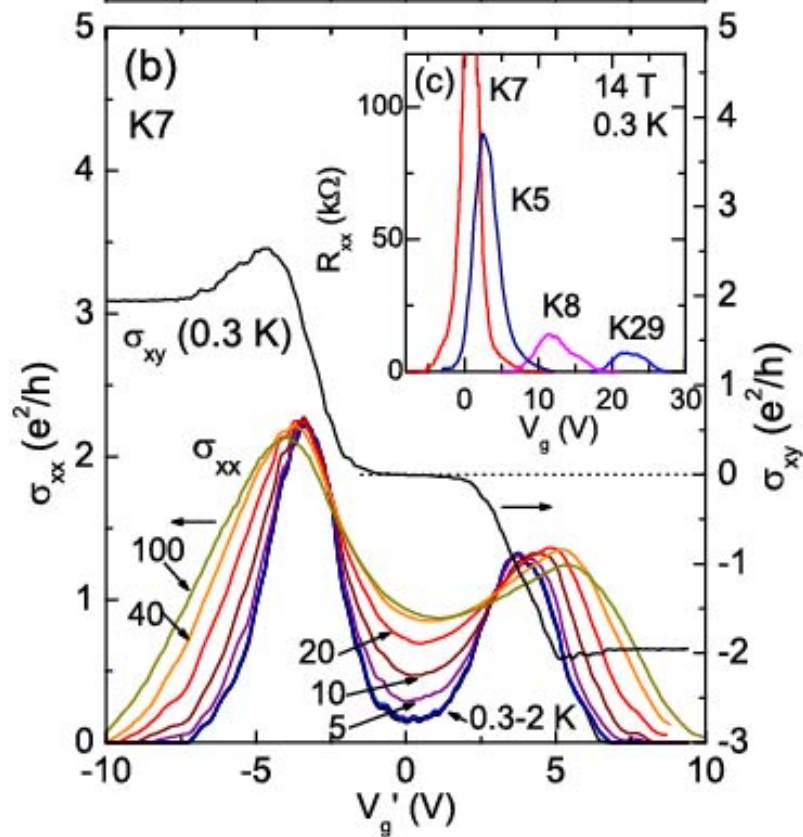
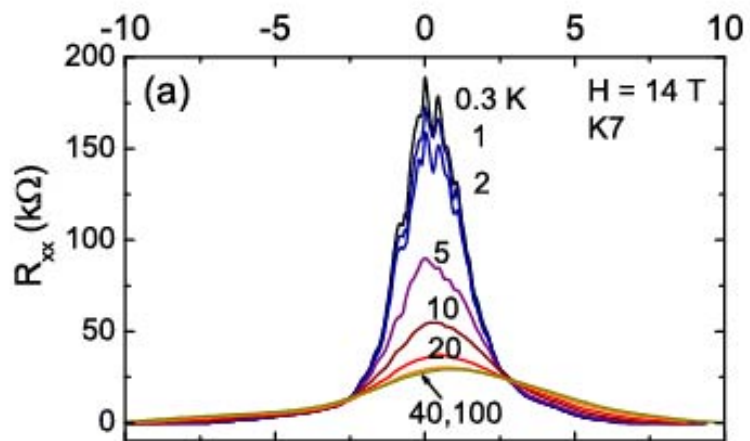
# Comparison of divergent $R_0$ with earlier reports



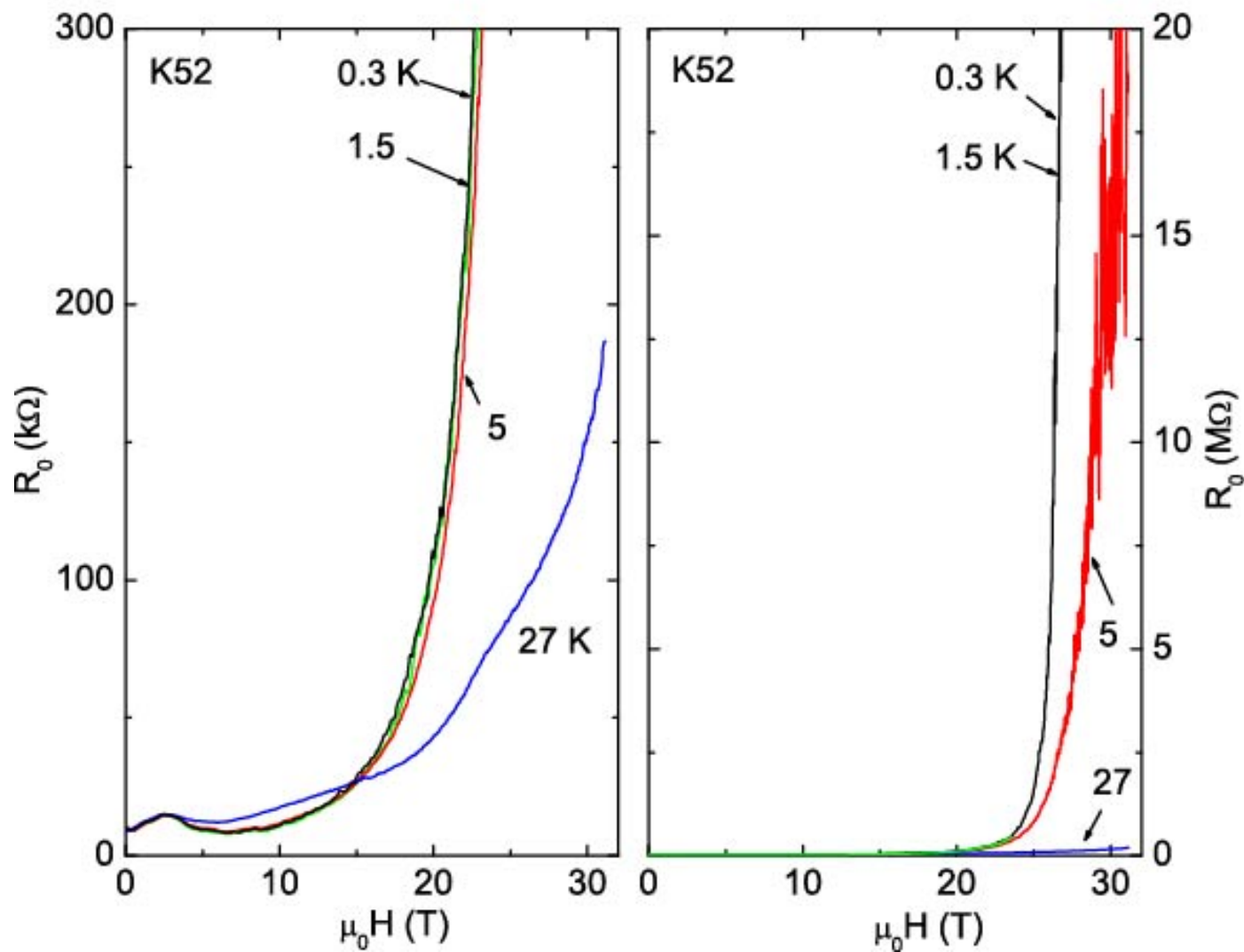
Divergent  $R_0 \rightarrow$  gap “opens” at lower fields



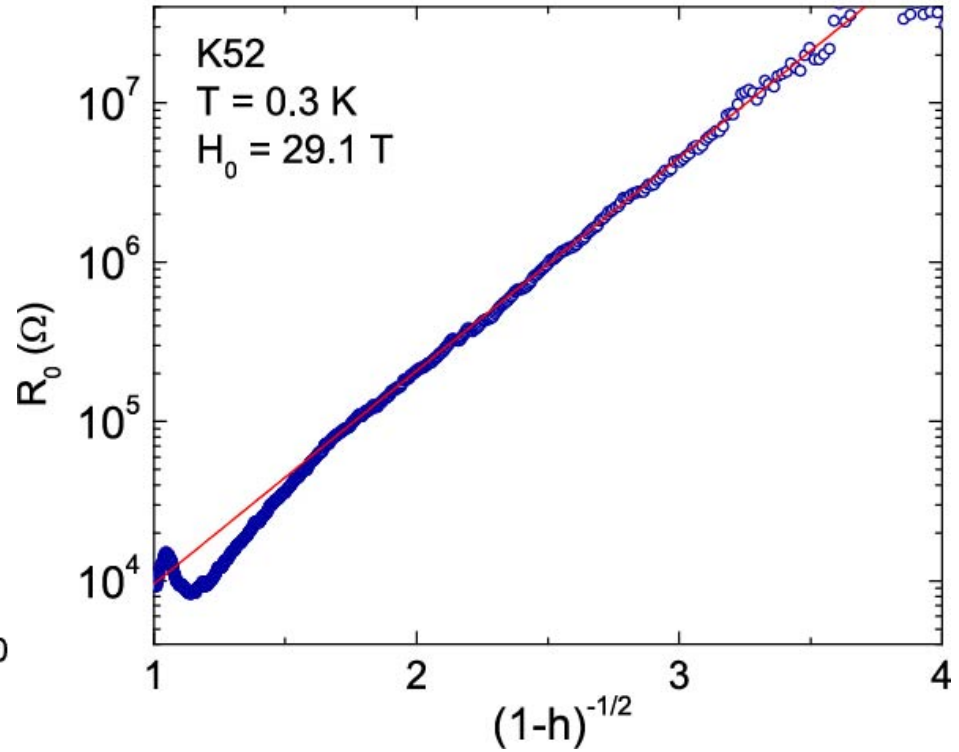
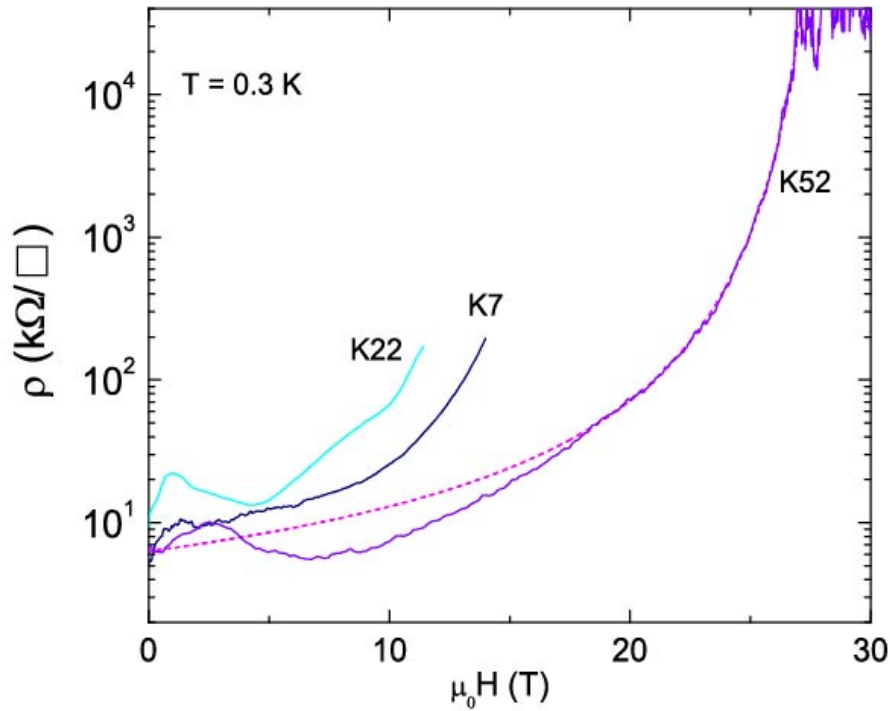




# 2D phase transition in graphene in high magnetic field



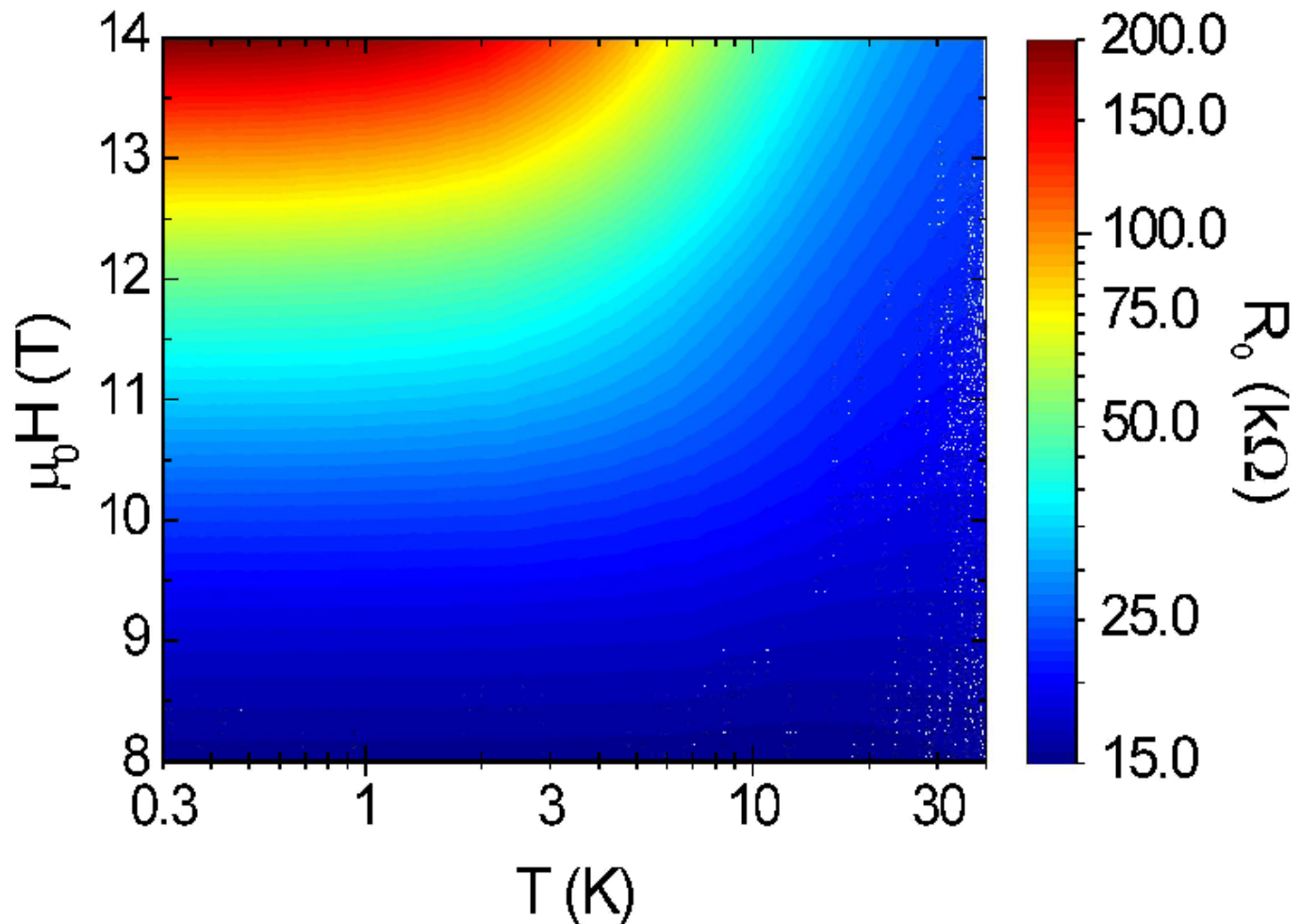
# Evidence for a 2D phase transition in intense H field



3-decade fit to KT correlation

$$R_0 = 440 \exp\left[\frac{2b}{\sqrt{1-h}}\right]$$

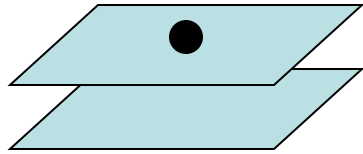
# Contour map of $R_0$ at Dirac point in $H$ - $T$ plane



**$R_0$  is exponentially sensitive to  $H$   
but  $T$ -independent below 2 K**

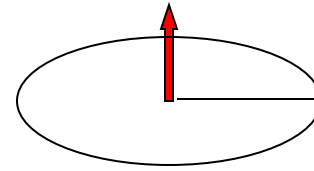
# Role of Coulomb Interaction -- quantum Hall ferromagnet

Pseudospin in Bilayer QHE systems

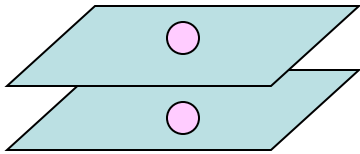


$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} = 1|\uparrow\rangle + 0|\downarrow\rangle$$

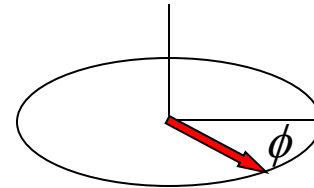
Moon, Yang, Girvin,  
MacDonald... 1995



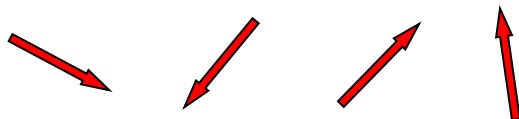
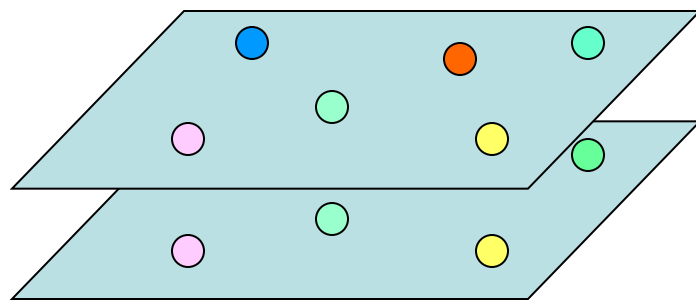
Capacitance  $\rightarrow U(1)$  symm.



$$\begin{bmatrix} 1 \\ e^{i\phi} \end{bmatrix} = \left( 1|\uparrow\rangle + e^{i\phi}|\downarrow\rangle \right) \frac{1}{\sqrt{2}}$$

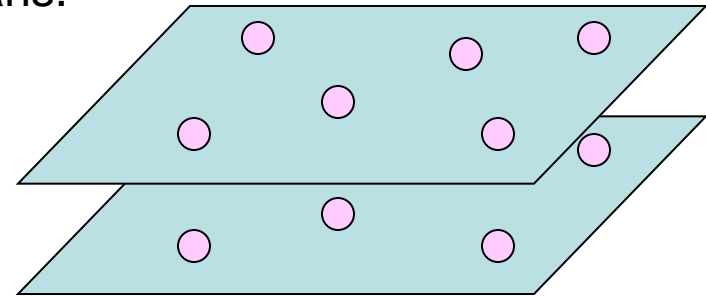


Paramagnet



*KT* trans.

2DXY Valley Ferromagnet



Coulomb exchange leads to spontaneous alignment of pseudospins (Hund's rule)



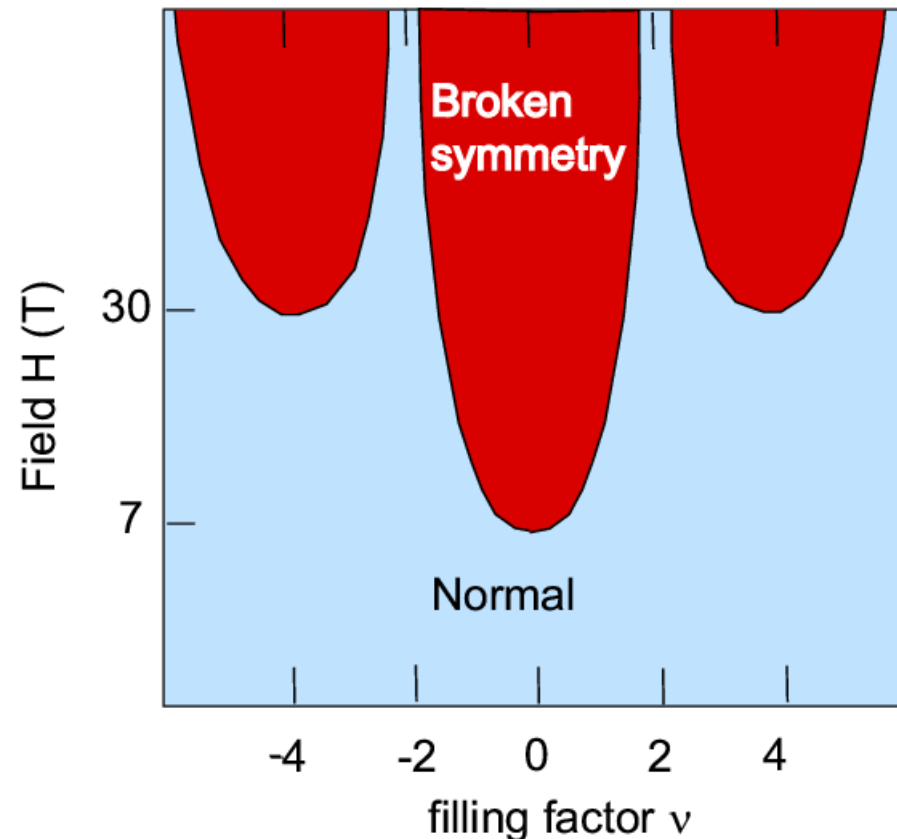
# Quantum Hall ferromagnet?

Nomura, MacDonald, PRL06  
Goerbig, Moessner, Ducot, PRB06  
Alicia, Fisher PRB 06

Layer index  $\rightarrow$  Valley index  $K, K'$

1. Coulomb exchange  
Splits 4-fold degeneracy  
Of  $n = 0$  Landau Level

2. In high fields (and  
low disorder), have QHF  
state.



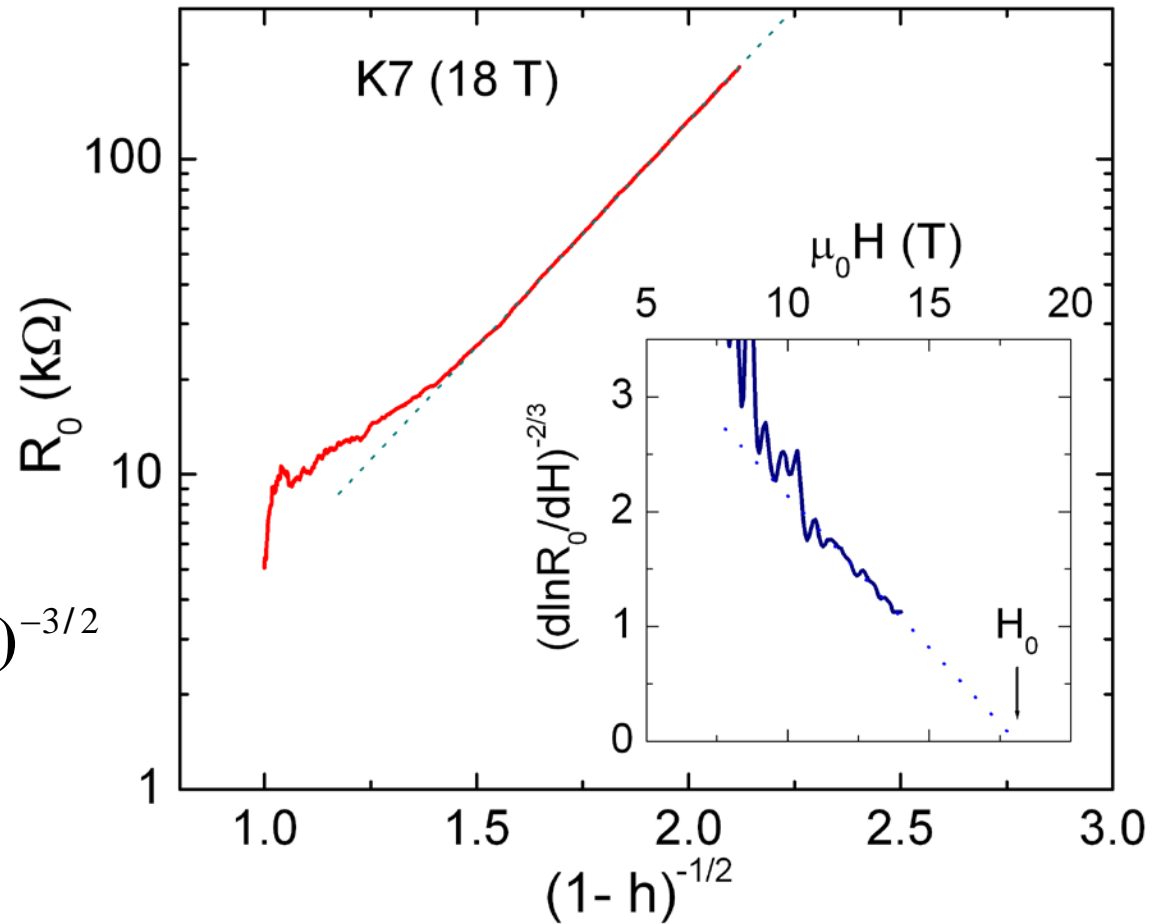
# Approaching KT transition?

Correlation length

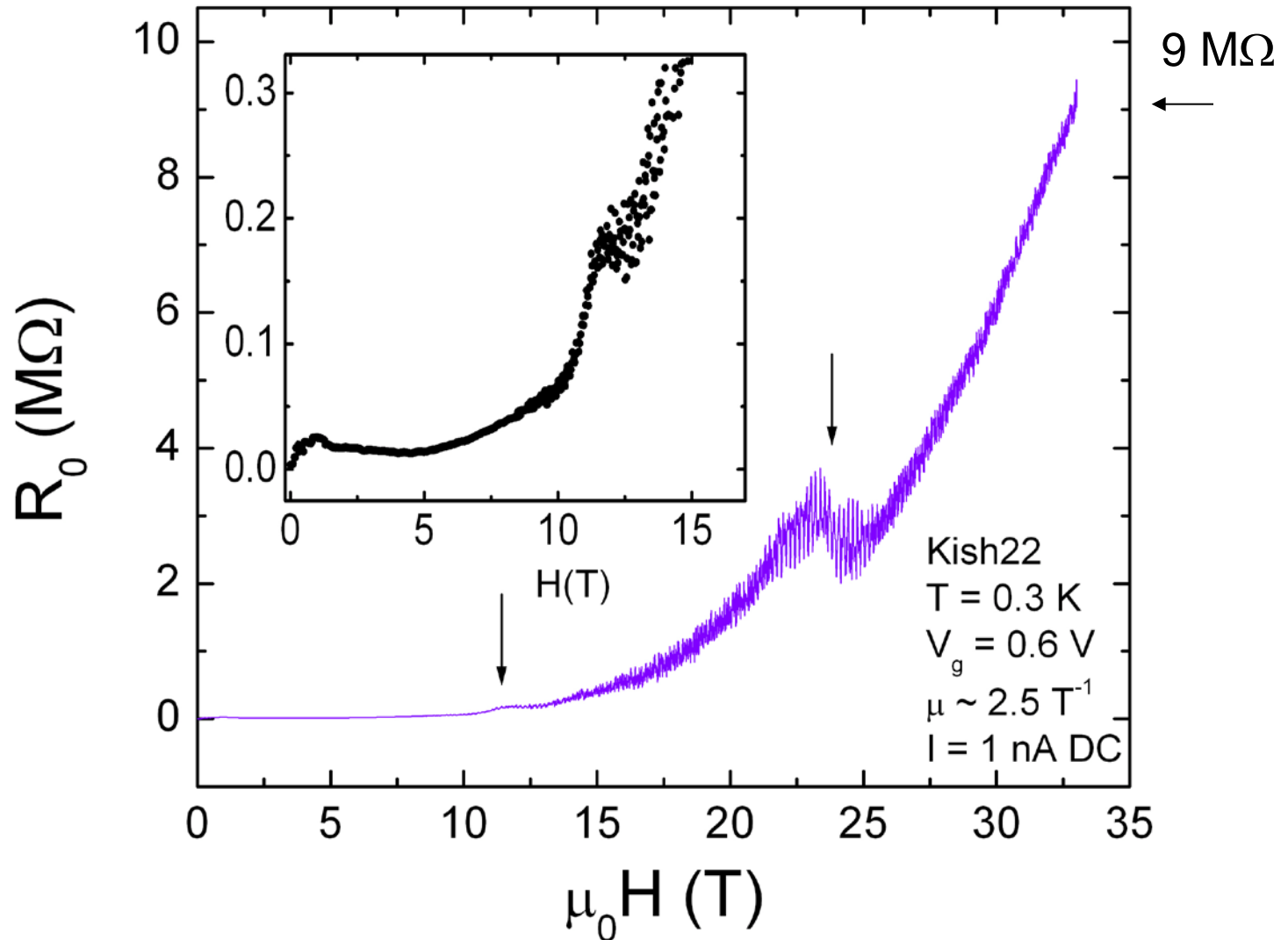
$$\xi = a \exp\left[\frac{b}{\sqrt{h-1}}\right]$$

If  $R_0 \sim \xi^2$

$$\frac{d}{dH}(\ln R_0) \sim (H - H_0)^{-3/2}$$



# Difficulties in following divergent $R_0$ from severe sample heating and non-Ohmicity



# Phase transitions in bismuth in high fields

Lu Li, J. G. Checkelsky, Y. S. Hor, R. J. Cava and N. P. Ong,  
*Princeton*

C. Uher, *Univ. Michigan*

A. Hebard, *U. Florida, Gainesville*

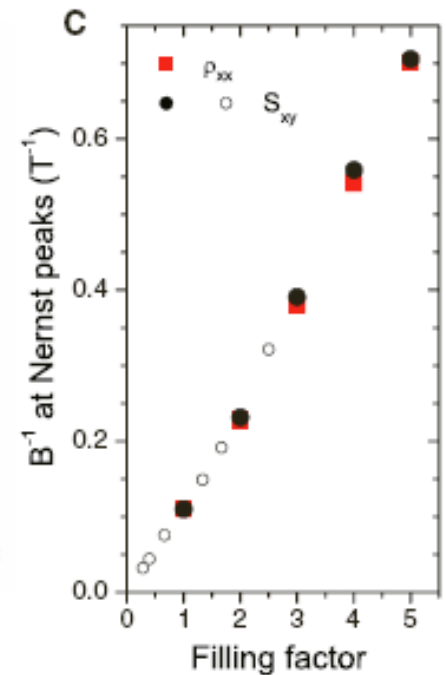
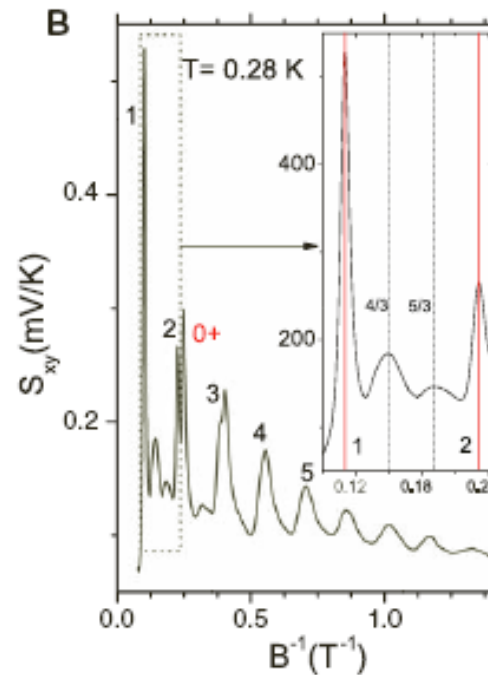
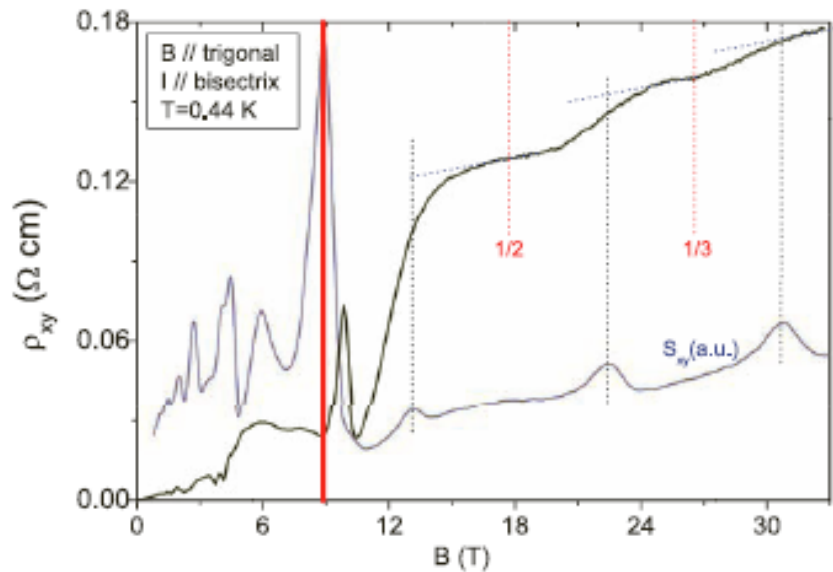
1. Fractional filling (Behnia, Balicas, Kopelovich)
2. High-field Torque and magnetization
3. First-order transitions

# Signatures of Electron Fractionalization in Ultraquantum Bismuth

Kamran Behnia,<sup>1\*</sup> Luis Balicas,<sup>2</sup> Yakov Kopelevich<sup>3</sup>

Behnia, Balicas, Kopelevich

SCIENCE VOL 317 21 SEPTEMBER 2007



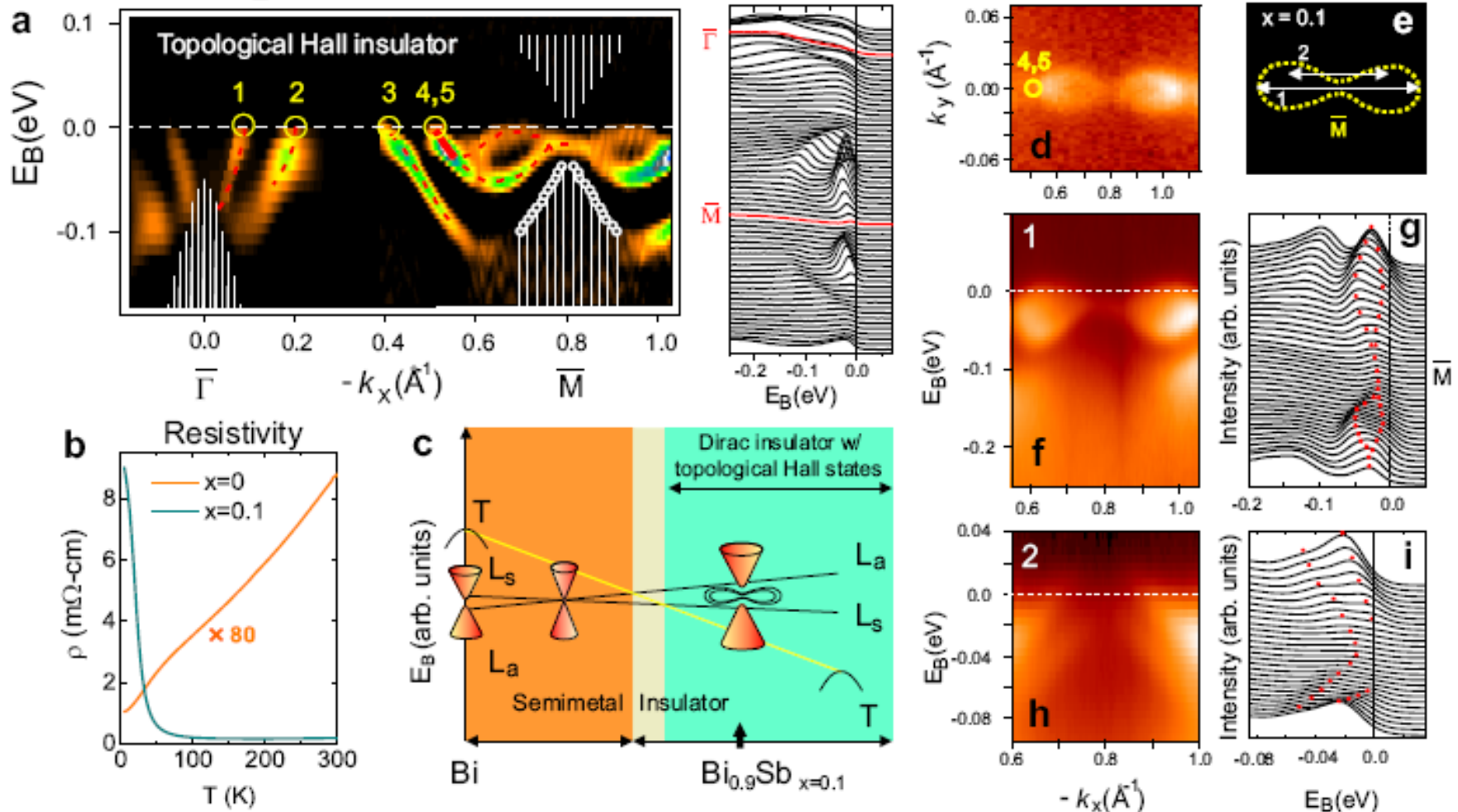
Are they surface states (2D)?  
Are electron pockets (vs. hole) involved?



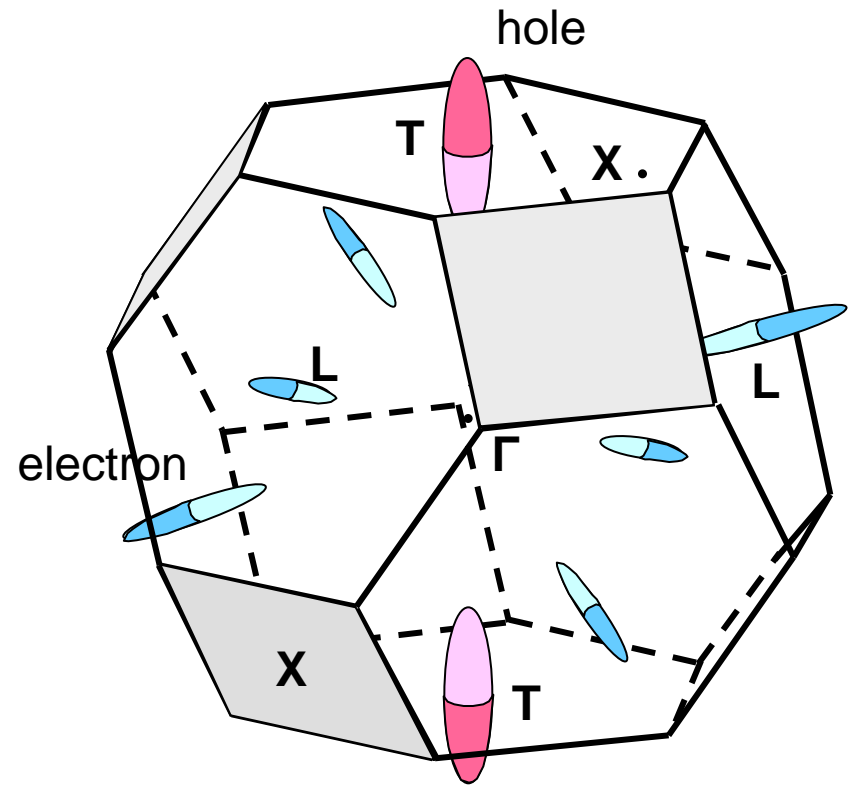
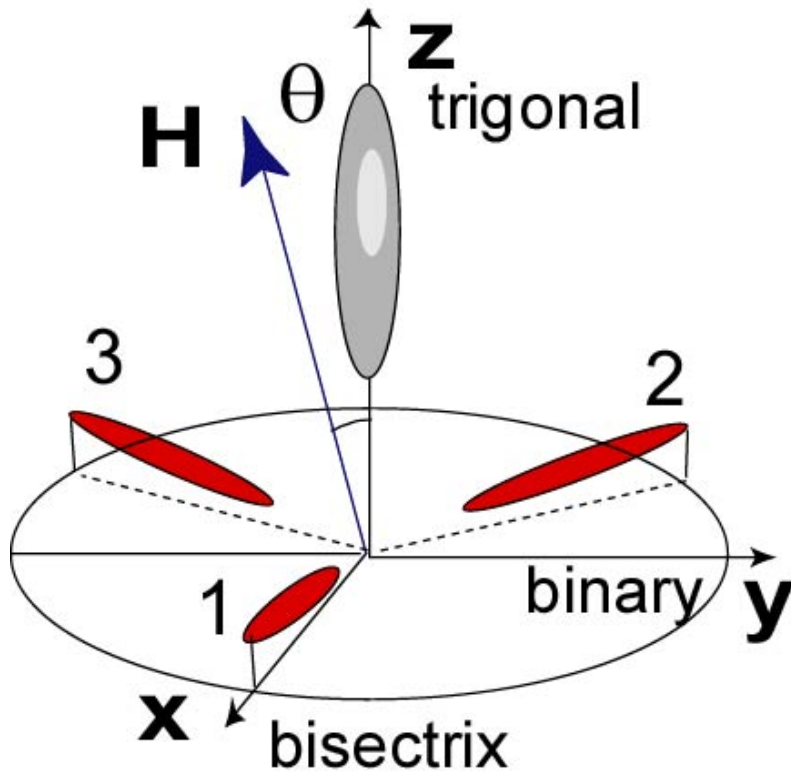
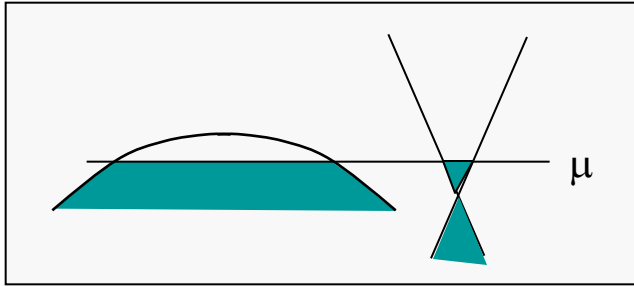
# Topological Insulator with surface Hall modes

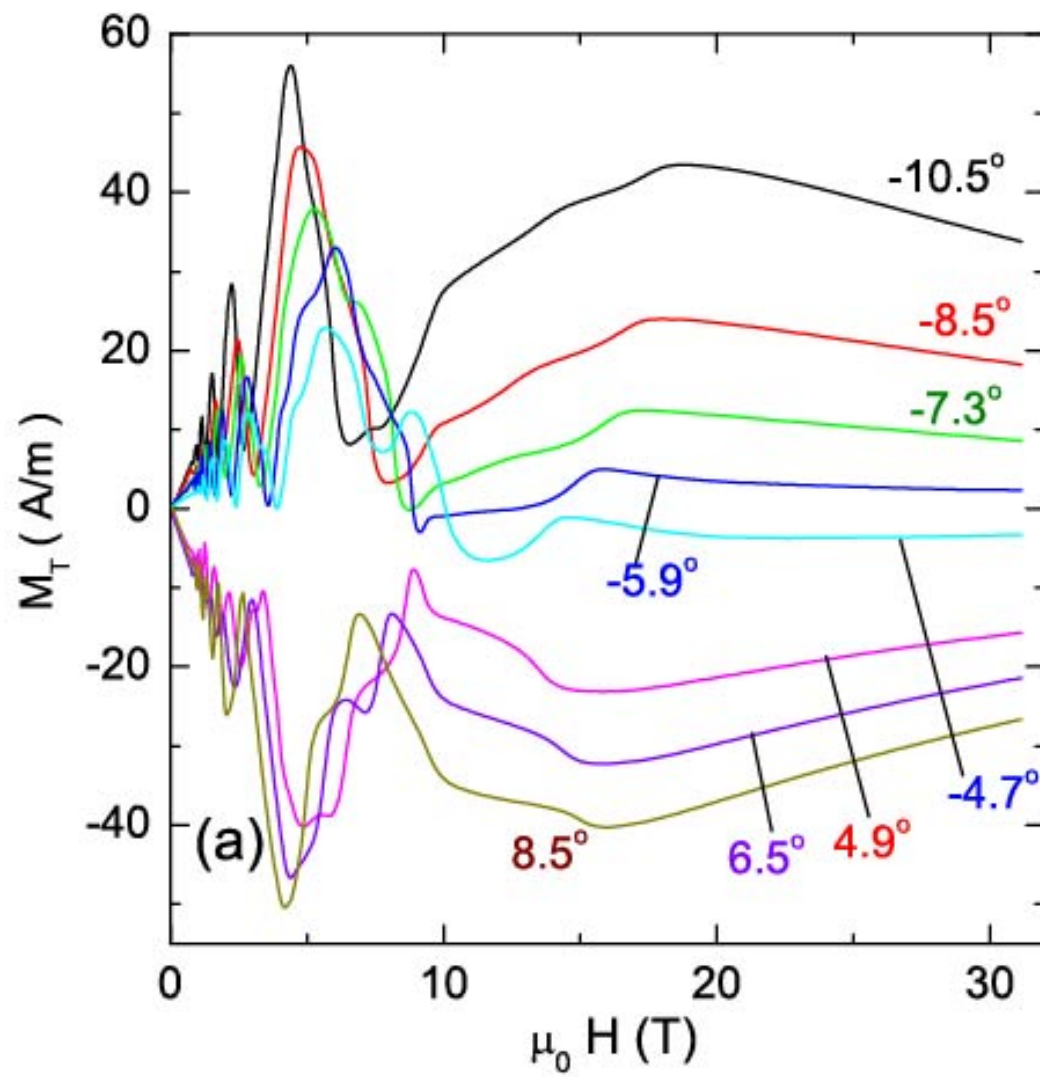
D. Hsieh, M.Z. Hasan et.al., Princeton University (2007)

## STI: $Z_2 = -1$ topological surface modes



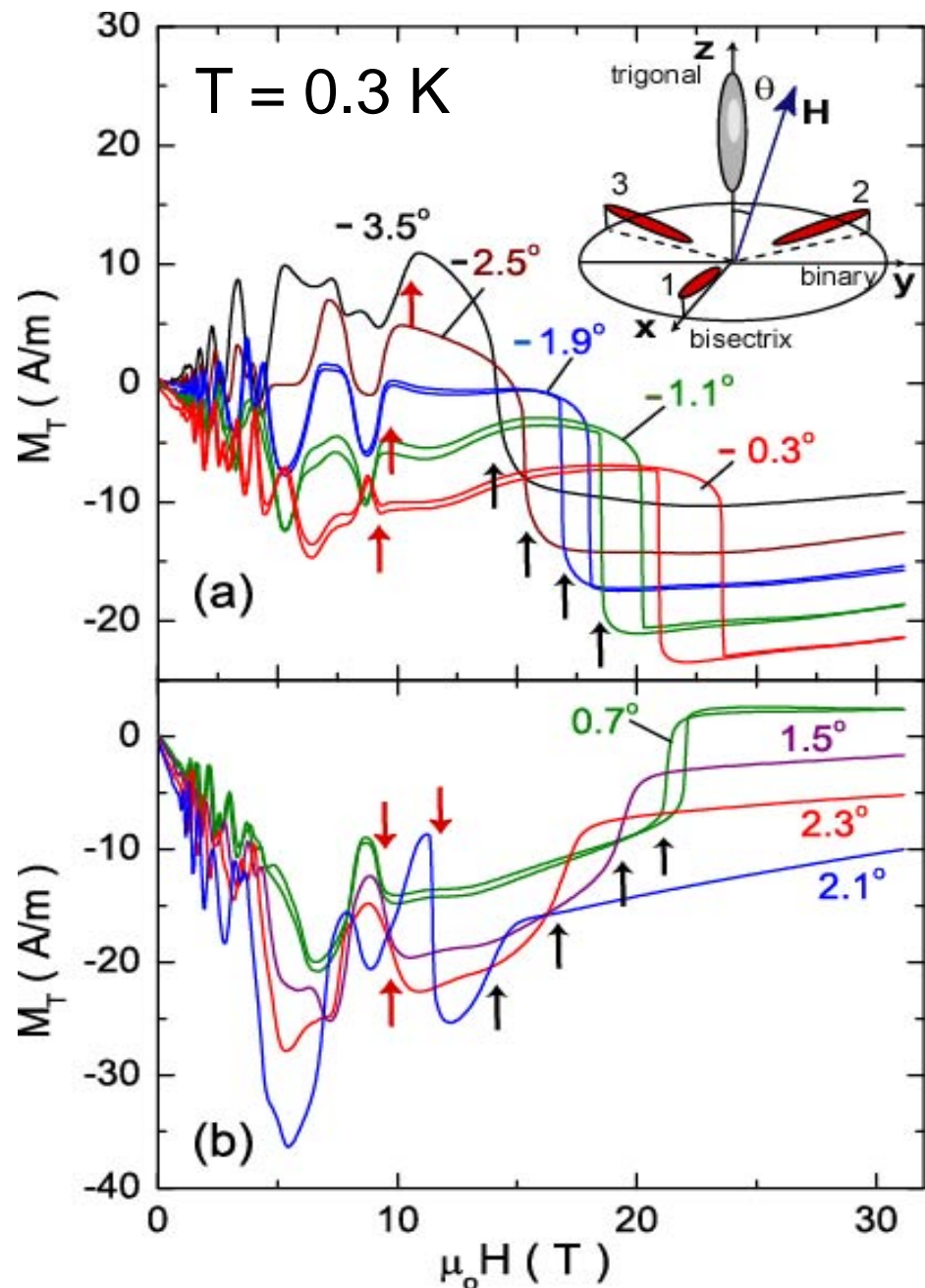
# Fermi Surface in Bi: 1 hole ellipsoid + 3 electron ellips.





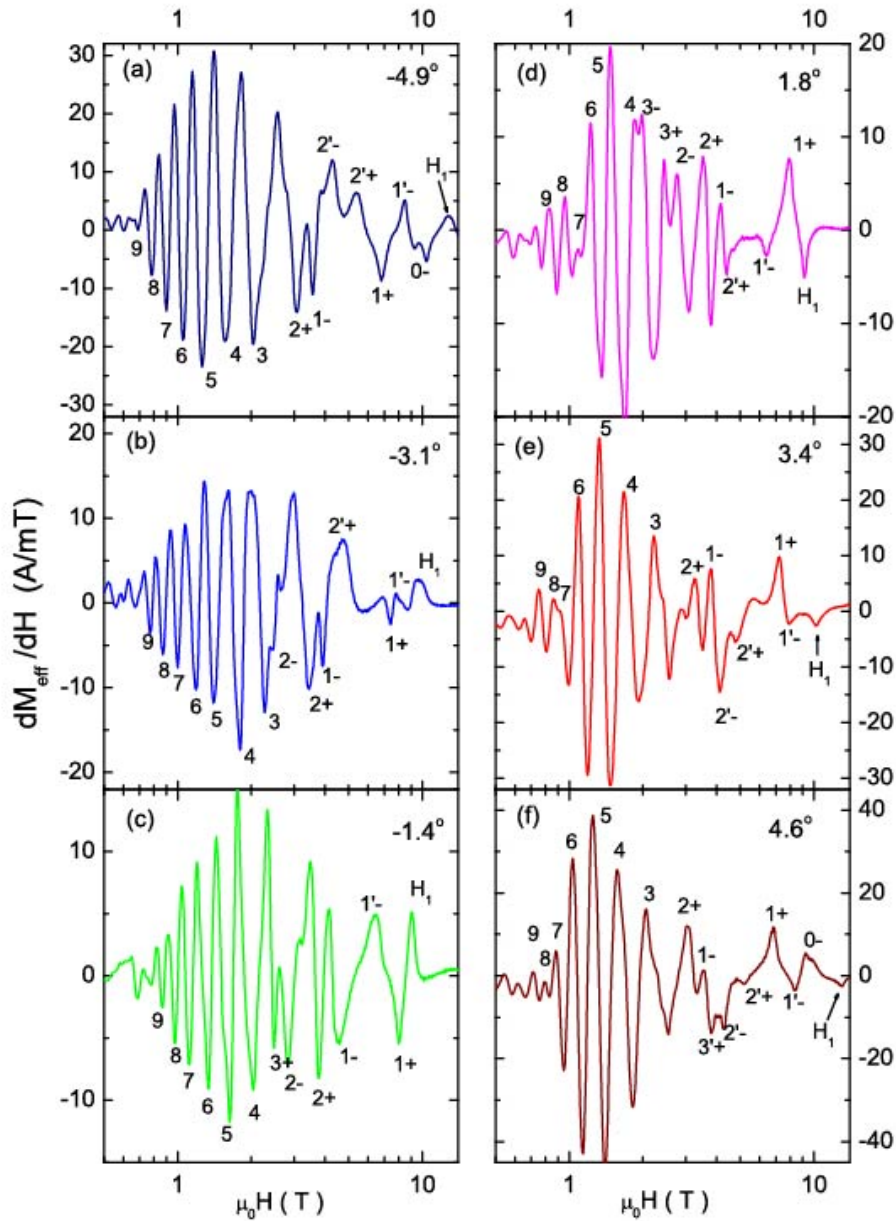


# First-order transitions in Bi in high magnetic fields



Transv Magnetiz.  
 $M_T = \tau/VH$

Transitions at  
 $H_1$  (red arrows)  
 and  $H_2$  (black)



Landau levels resolved  
In derivative curves  
 $dM_T/dH$

$$(M_T = \tau/VH)$$

# Dirac model for Bismuth (Cohen Blount '60, Wolff '64)

$$H = \frac{p^2}{2m} + V + \frac{1}{8m^2} \nabla^2 V + \frac{1}{2m^2} \mathbf{p} \cdot \mathbf{s} \times \nabla V$$

Dominant  
spin-orbit energy

In  $\mathbf{k} \cdot \mathbf{p}$  approx., at  $L$  point

$$H = \frac{E_G}{2} \beta + \frac{k^2}{2m} \cdot 1 + \mathbf{k} \cdot \mathbf{\Gamma}$$

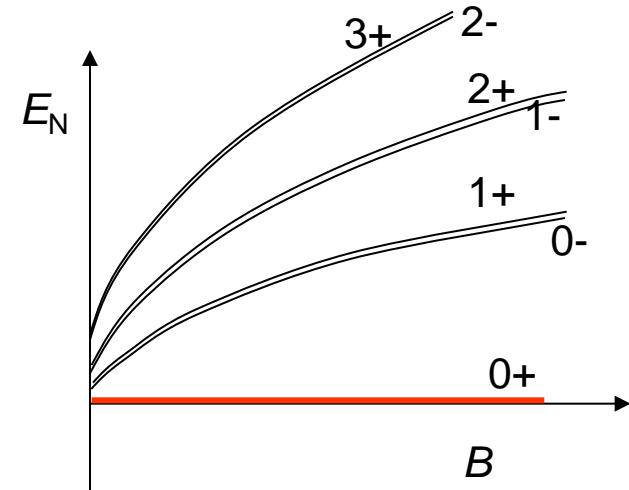
$$\Psi = \begin{pmatrix} \psi_{c\uparrow} \\ \psi_{c\downarrow} \\ \psi_{v\uparrow} \\ \psi_{v\downarrow} \end{pmatrix} \quad \beta = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \alpha = \begin{bmatrix} 0 & \sigma_\mu \\ \sigma_\mu & 0 \end{bmatrix}$$

$$\mathbf{\Gamma} = i \sum_{\mu} \mathbf{W}(\mu) \beta \alpha_{\mu} \quad (\mathbf{k} \cdot \mathbf{\Gamma})^2 = E_G \begin{bmatrix} H^* & 0 \\ 0 & H^* \end{bmatrix} \quad H^* = \frac{\mathbf{k} \cdot \mathbf{\alpha} \cdot \mathbf{k}}{2} - \boldsymbol{\mu} \cdot \mathbf{B}$$

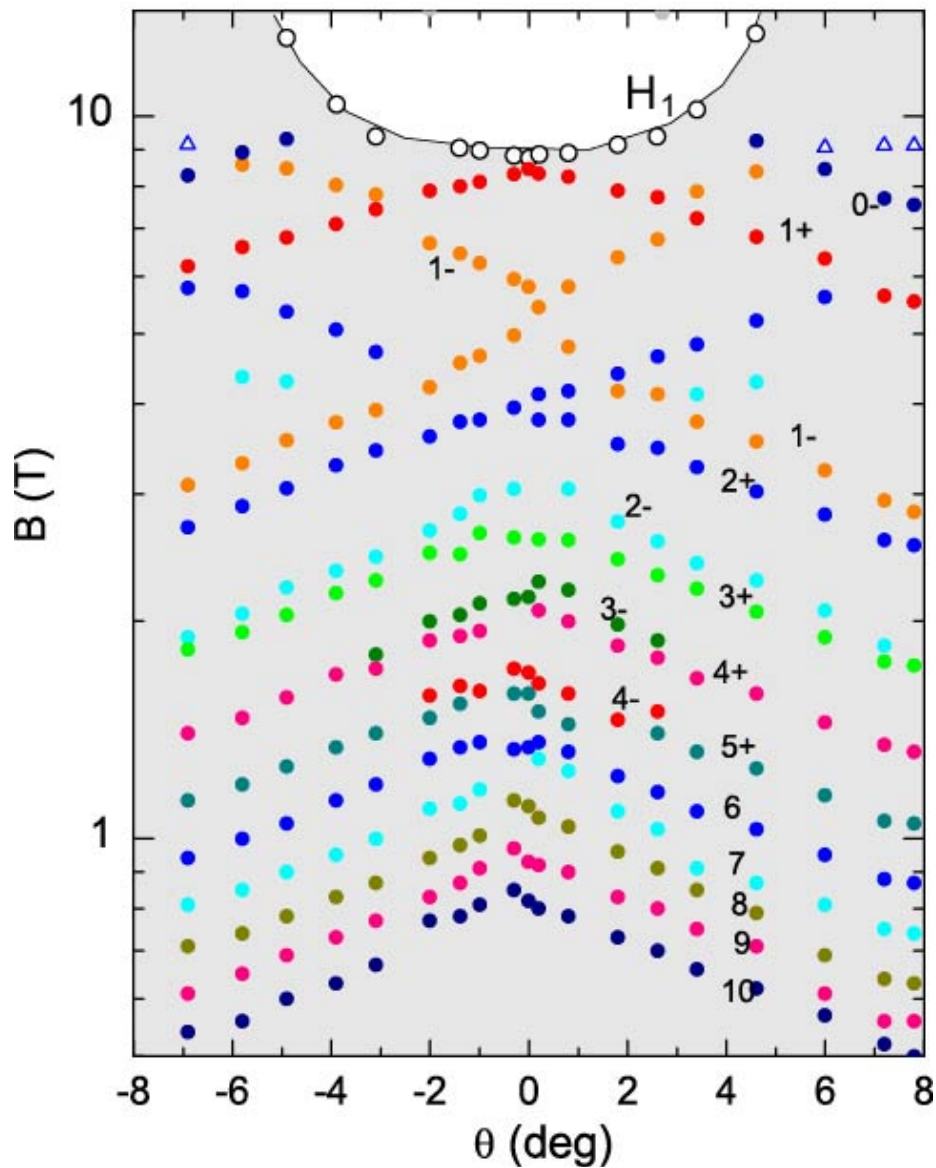
Squared  $H$  may be block-diagonalized

$$H^2 \psi = E^2 \psi$$

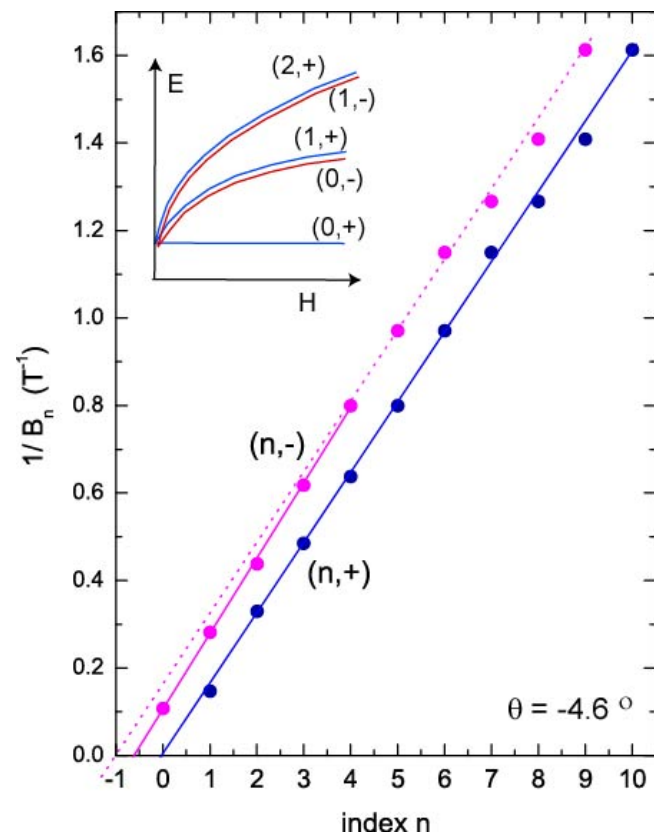
$$E_{Nk} = \pm \left[ \left( \frac{E_G}{2} \right)^2 + E_G \left\{ \left( N + \frac{1}{2} \right) \omega_c \pm \frac{\omega_c}{2} + \frac{k^2}{2m} \right\} \right]^{\frac{1}{2}}$$



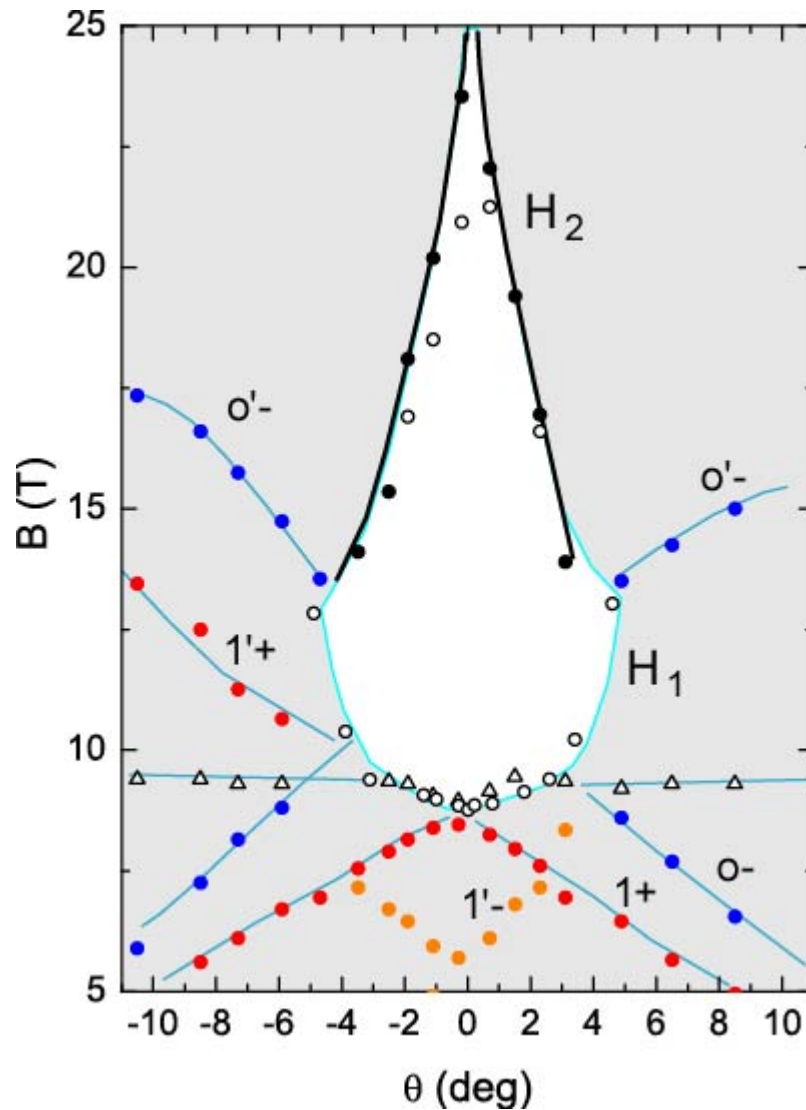
Have identified electron FS sublevels  $n = 0, 1, 2, \dots, 10$



Dirac level indexing



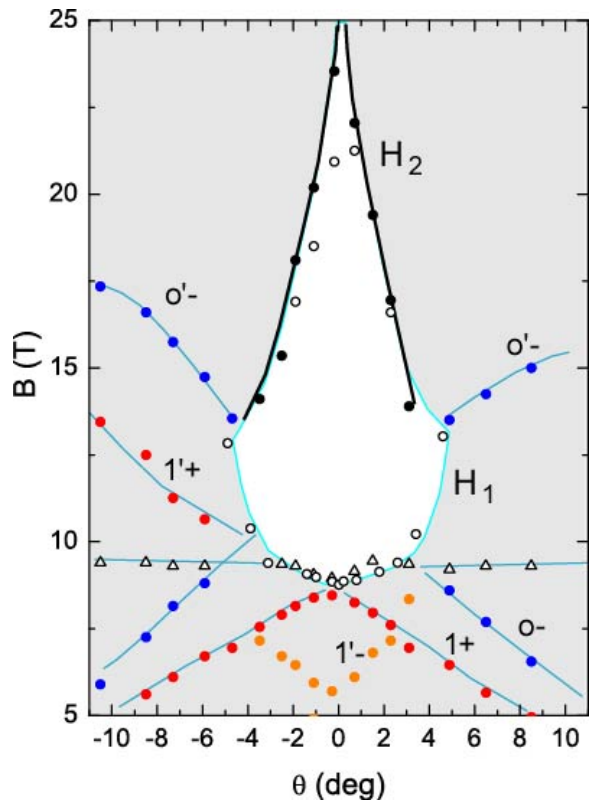
# High-field phase diagram of bismuth



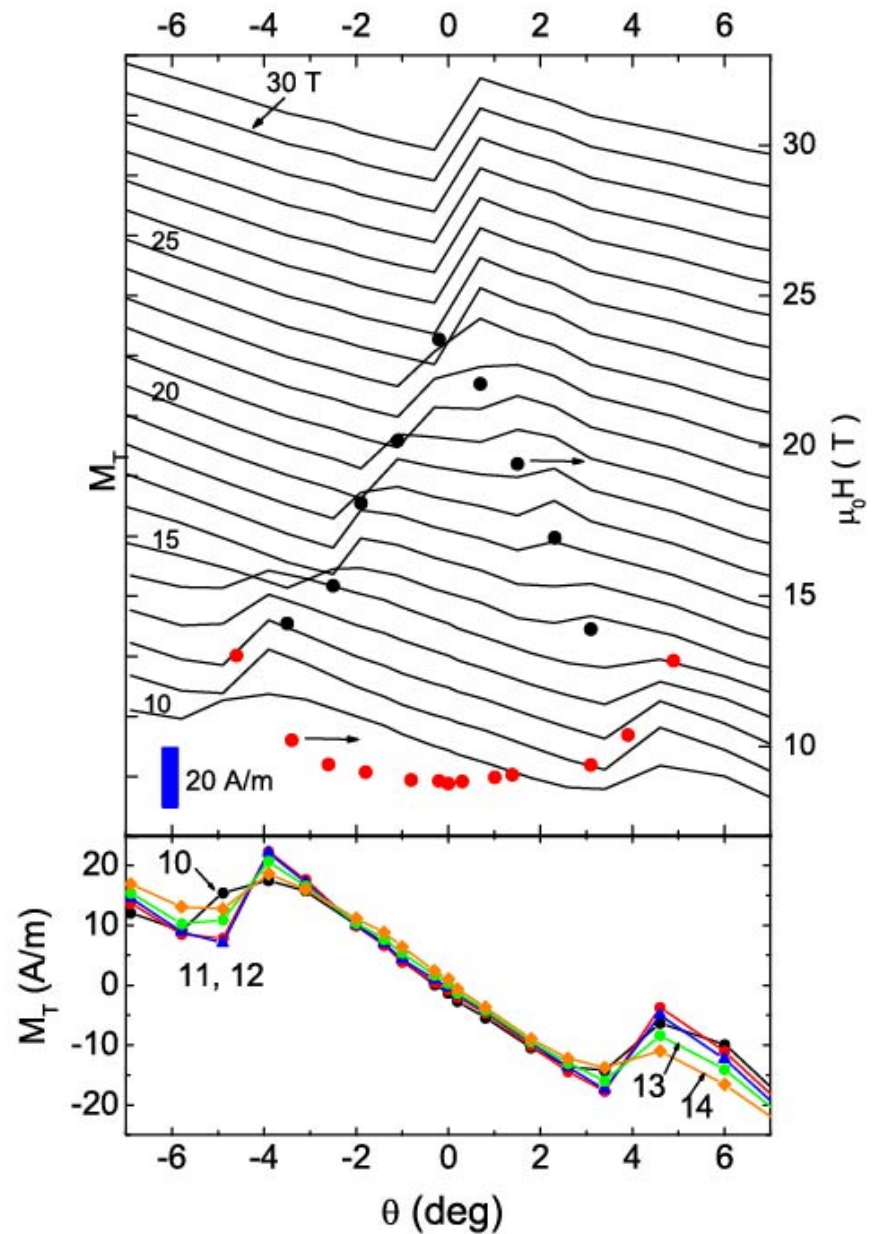
Inside cone region

1. No sublevels obs.
2. Zero torque signal
3. First-order jumps at boundaries

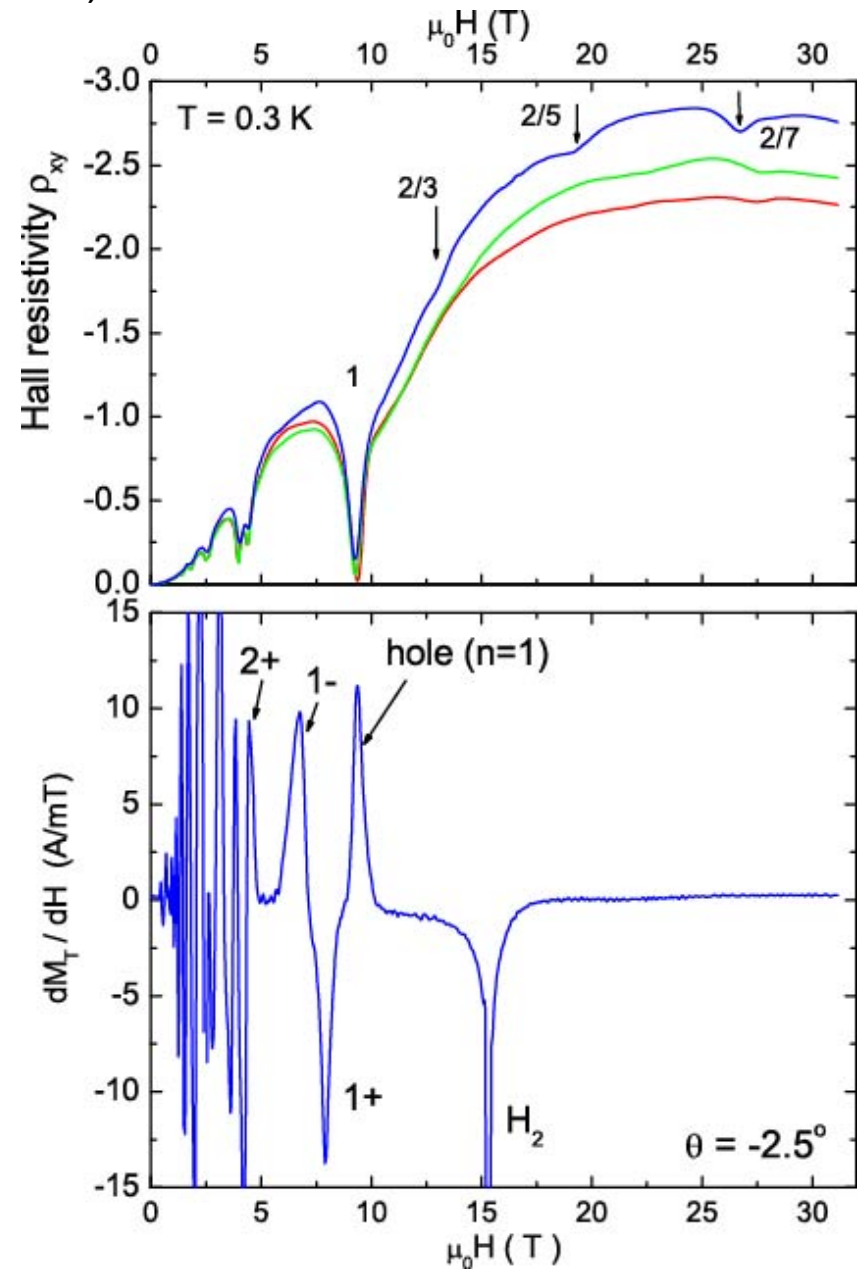




Sloped background from weak-field anisotropy (Fukuyama Kubo '80)  
 Torque signal vanishes inside cone region?  
 High-field  $M_T$  vs.  $H$  curve not understood



Fractional-filling states obs. in Hall resistivity but not in magnetization.  
Also independent of tilt angle  $\theta$  (surface states?)



## Graphene

1. Fate of zero-energy Dirac point in high field is insulating state
2. Energy gap opens -- QHF state?
3. Unusual approach to insulator in  $H$ - $T$  phase diagram
4. Suggests a KT transition destroys insulating state when  $H < H_c$  (17 Tesla).

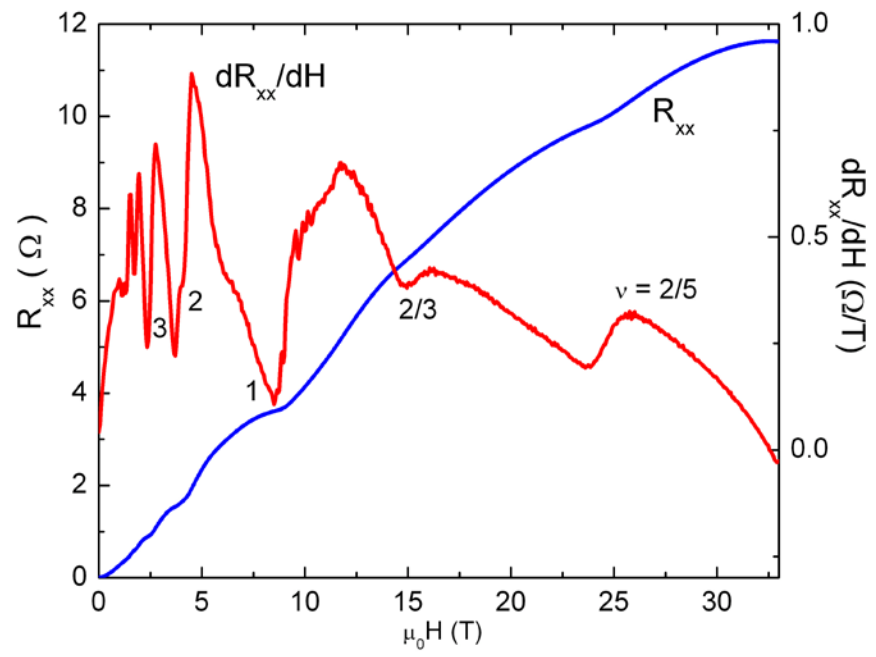
## Bi results

1. Fractional filling confirmed in  $R_{xx}$ ,  $R_{xy}$  and magnetization
2. Additional anomalies in fractional regime  
-- jumps in torque at 18-25 Tesla range
3. Orbital diamagnetic response not understood in quantum limit

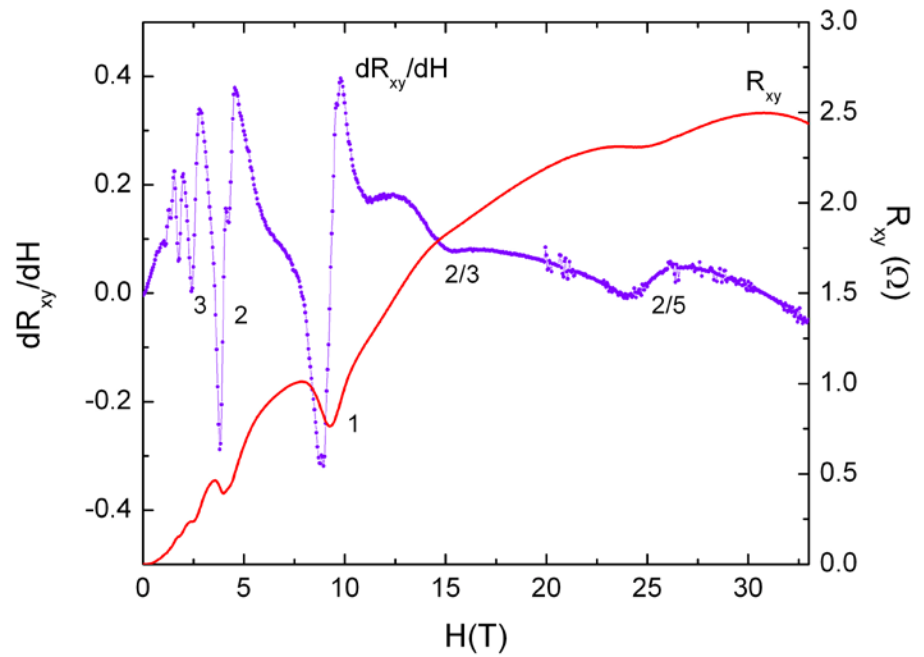


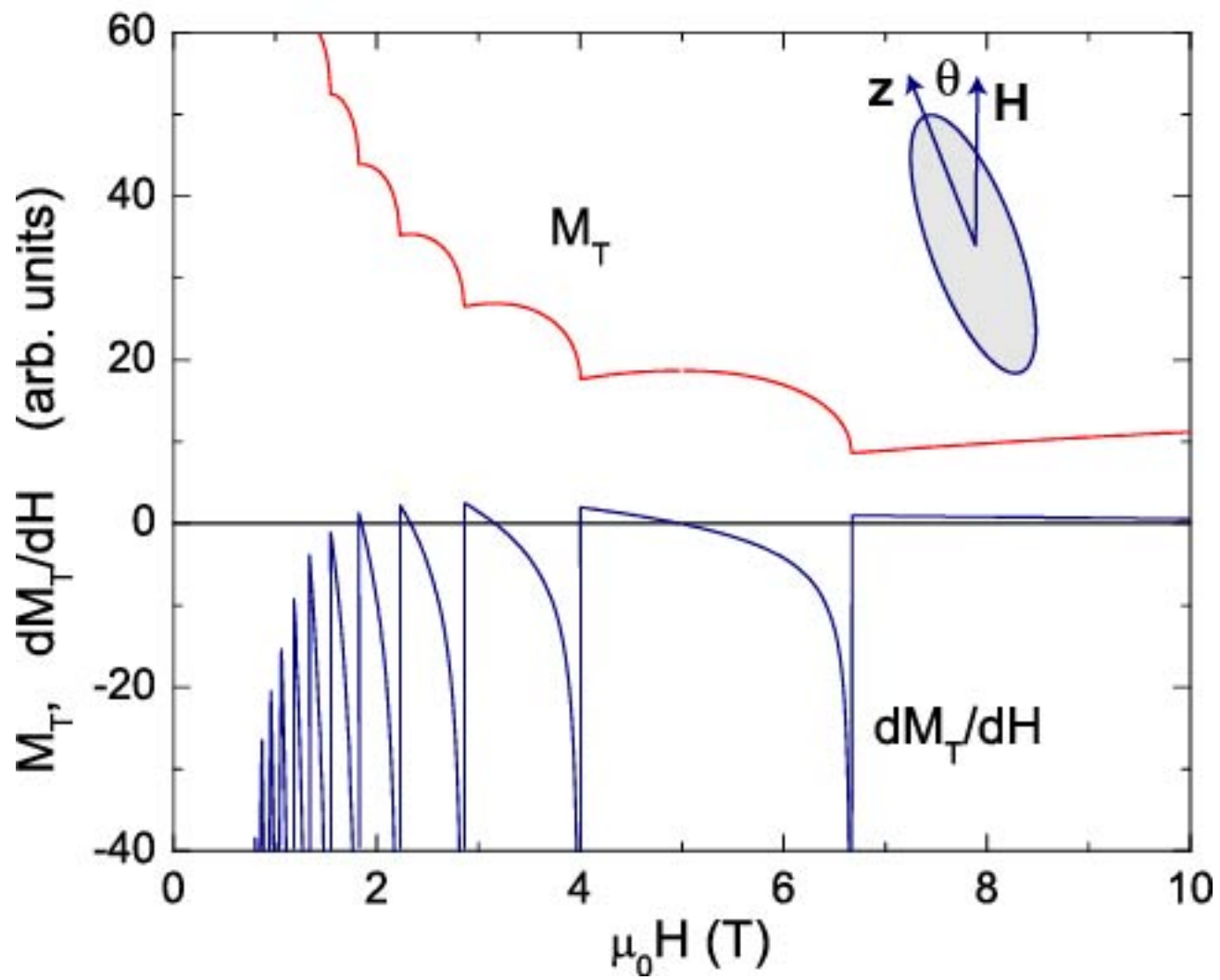
**End**

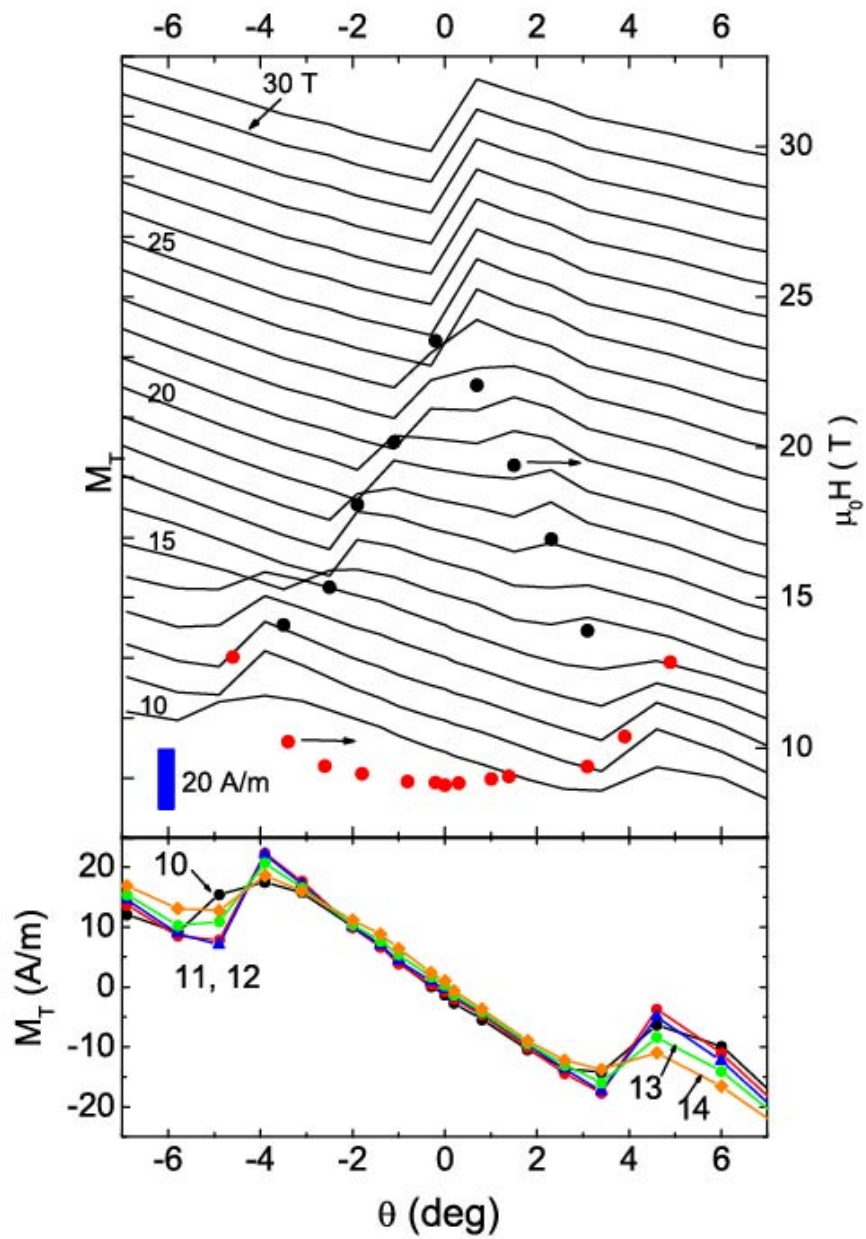
$R_{xx}$



$R_{xy}$

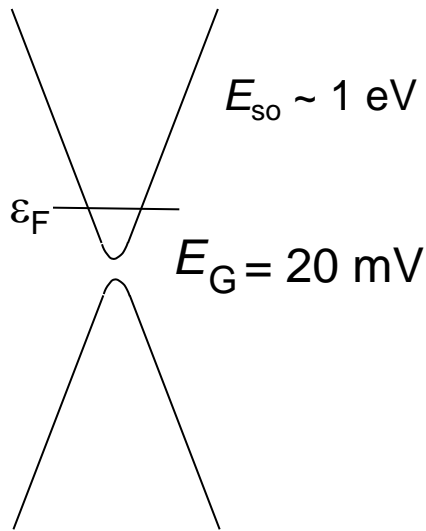




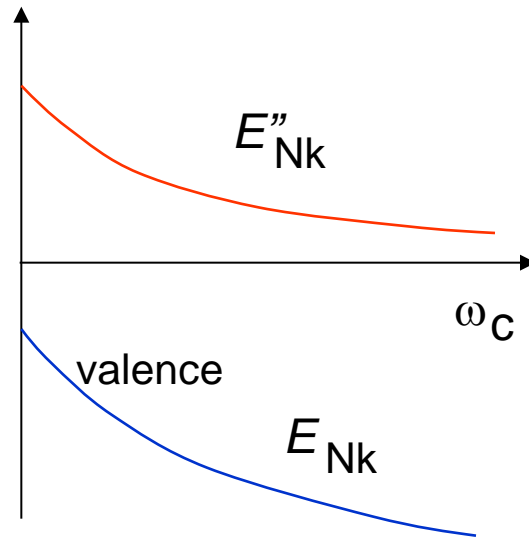


# Large Diamagnetism – From Dirac dispersion and large spin-orbit energy

(Fukuyama Kubo, JPSJ 1970)



$$E_{Nk} = \pm \left[ \left( \frac{E_G}{2} \right)^2 + E_G \left\{ \left( N + \frac{1}{2} \right) \omega_c \pm \frac{\omega_c}{2} + \frac{k^2}{2m} \right\} \right]^{\frac{1}{2}}$$



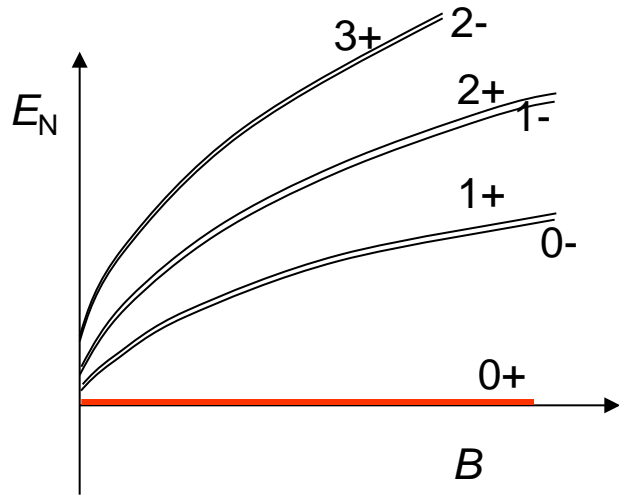
$$F = F_0 - M.B$$

$$\frac{\partial^2 F}{\partial B^2} = -\chi$$

Filled valence Dirac band  
Is diamagnetic

Earlier theories Peierls, Landau, Jones, Adams, Kohn, Roth, Cohen Blount, Wolff

# Landau Levels in 2-band Dirac model (Cohen Blount '60, Wolff '64)

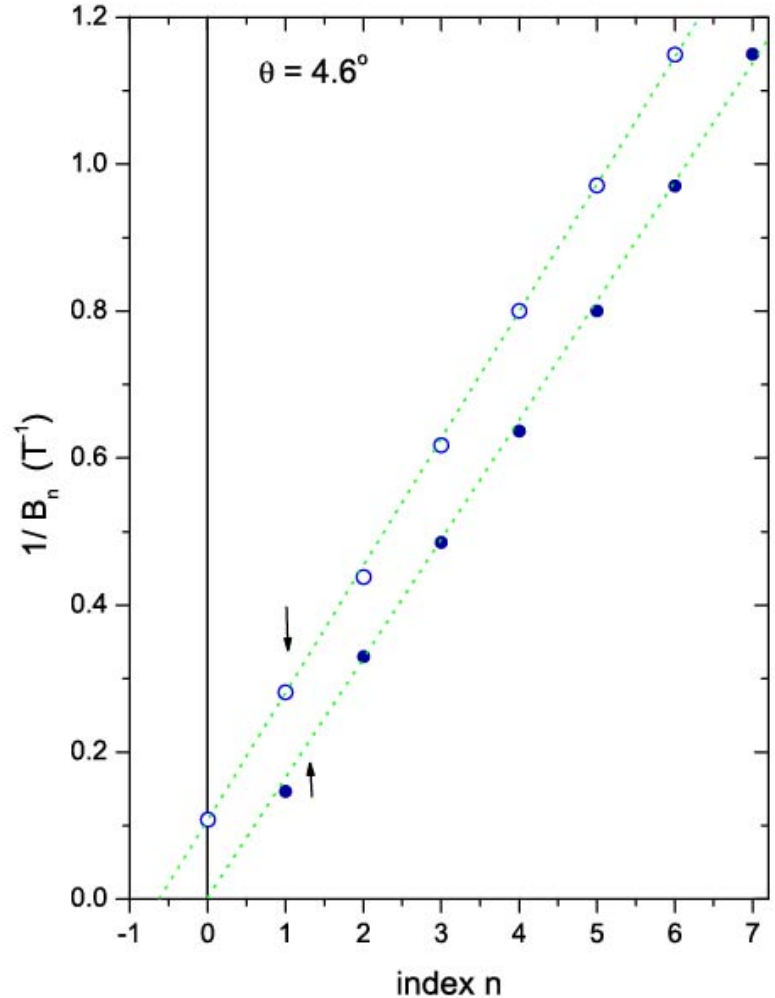


If spin mass  $m_s = m_c$

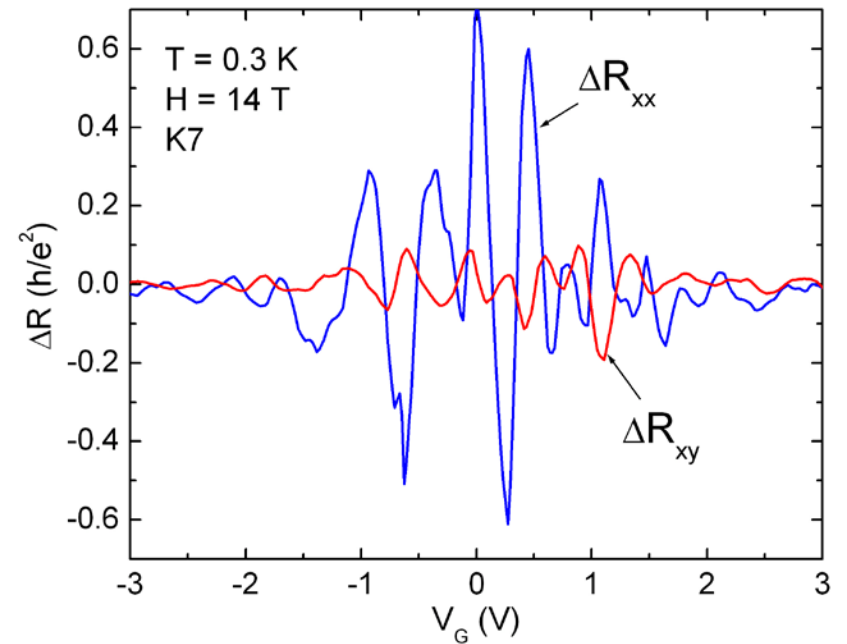
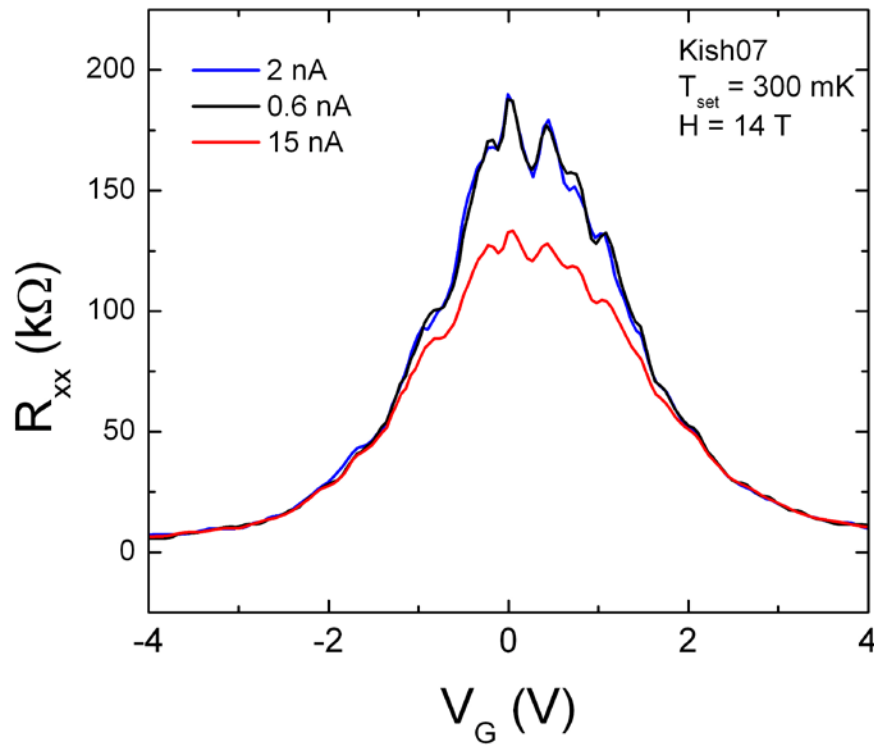
Existence of zero-energy state

$$E_{Nk} = \pm \left[ \left( \frac{E_G}{2} \right)^2 + E_G \left\{ \left( N + \frac{1}{2} \right) \omega_c \pm \frac{\omega_c}{2} + \frac{k^2}{2m} \right\} \right]$$

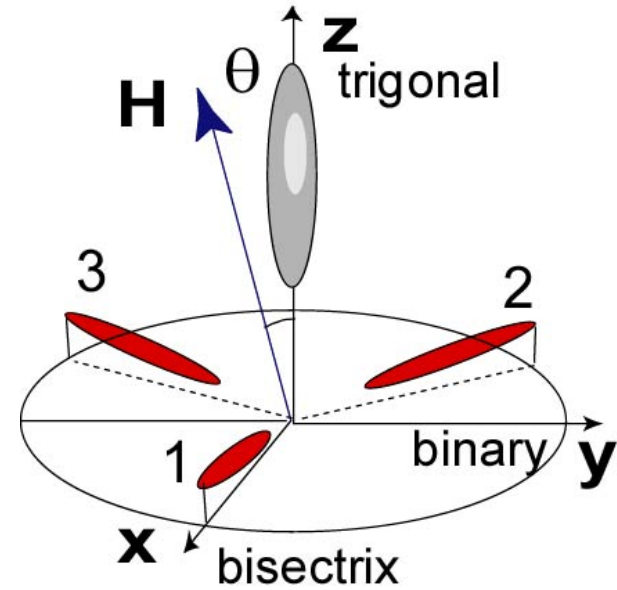
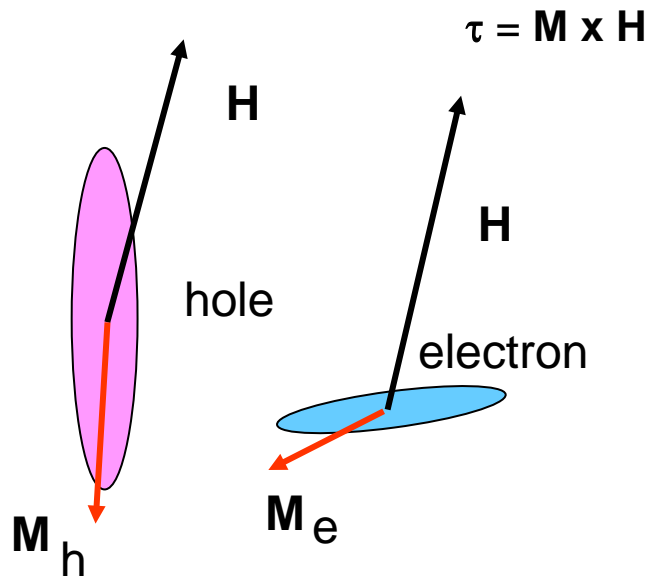
For  $N = 0_{\uparrow}$ ,  $E$  is independent of  $B$ !



# Large universal conductance quantum (UCF) oscillations vs. $V_G$ and $H$



# Torque magnetometry in Bi



Electron FS diamagnetization gives  
*positive* torque signal

$$\tau \parallel \mathbf{z} \times \mathbf{H}$$



Large spin-orbit energy ( $E_{so} \sim 1$  eV) and very small gap ( $E_G \sim 20$  meV)  
Magnetization dominated by orbital currents

$$\boldsymbol{\mu} = \boldsymbol{\mu}_s + \boldsymbol{\mu}_L \quad \text{Orbital angul. momtm} \quad (\text{Cohen, Blount Phil. Mag. 1960} \\ \text{Laura Roth, PR 1962})$$

$$\boldsymbol{\mu}_L = -\mu_B \mathbf{X} \times m \mathbf{v} \hbar^{-1}$$

$$\langle n \mathbf{k} | \mathbf{X} | m \mathbf{k} \rangle = (u_{n\mathbf{k}}, i \nabla_{\mathbf{k}} u_{m\mathbf{k}}) \quad \text{Berry potential}$$

Semiclassical theory (effective mass model)

$$E(\mathbf{k}) = \mathbf{k} \cdot \boldsymbol{\alpha} \cdot \mathbf{k} / 2m$$

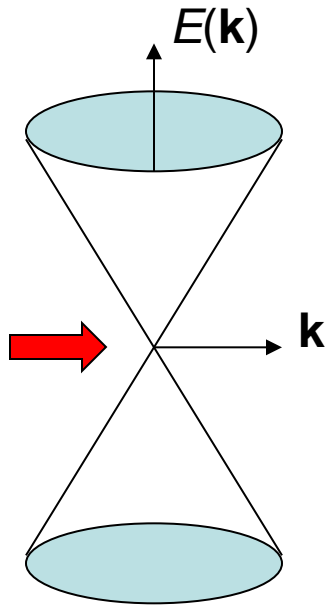
1. g-factor  $\sim 200$
2. Spin mass equal to cyclotron mass
3. Susceptibility diamagnetic and very anisotropic
4. Deep connection to Berry curvature
5. What happens in quantum limit?

$$\chi \sim -D_F \mu_B^2 [\alpha_1 \alpha_2 \cos^2 \theta + \alpha_2 \alpha_3 \sin^2 \theta] \varphi$$

$\alpha_i$  = Inverse mass tensor

(Fukuyama, Kubo JPSJ 1970)

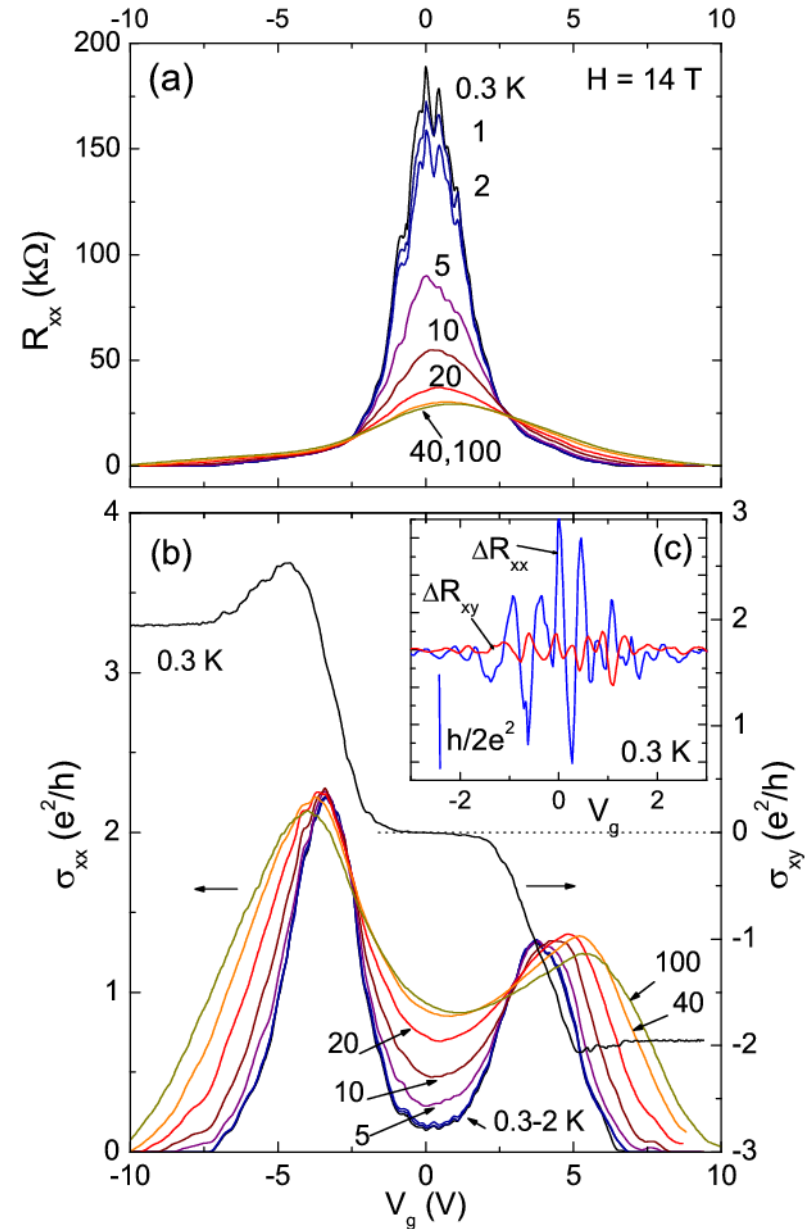
# Physics at the Dirac Point ( $n = 0$ Landau Level)



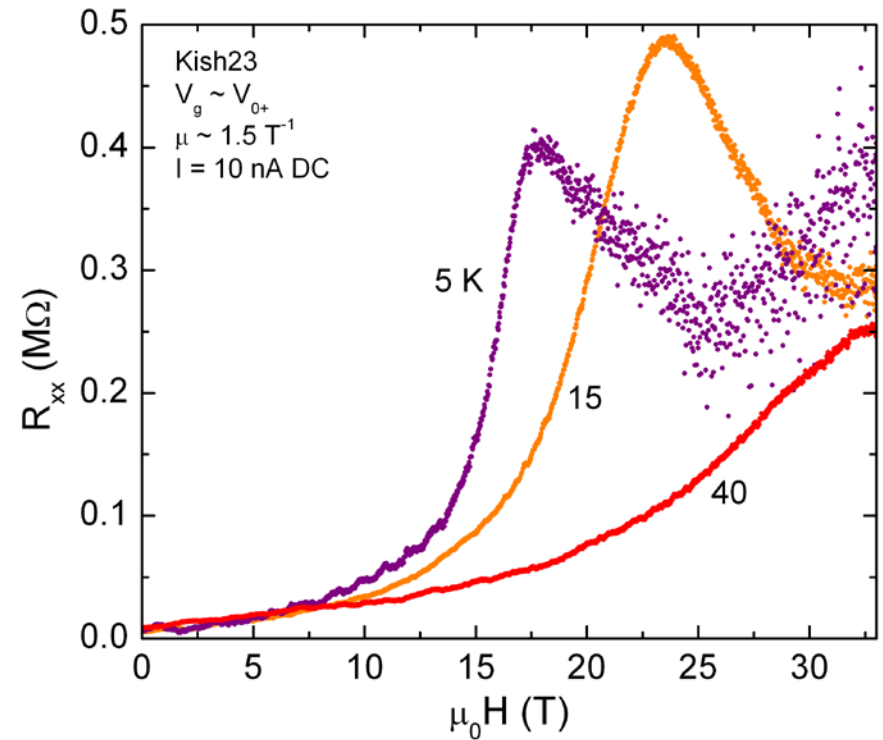
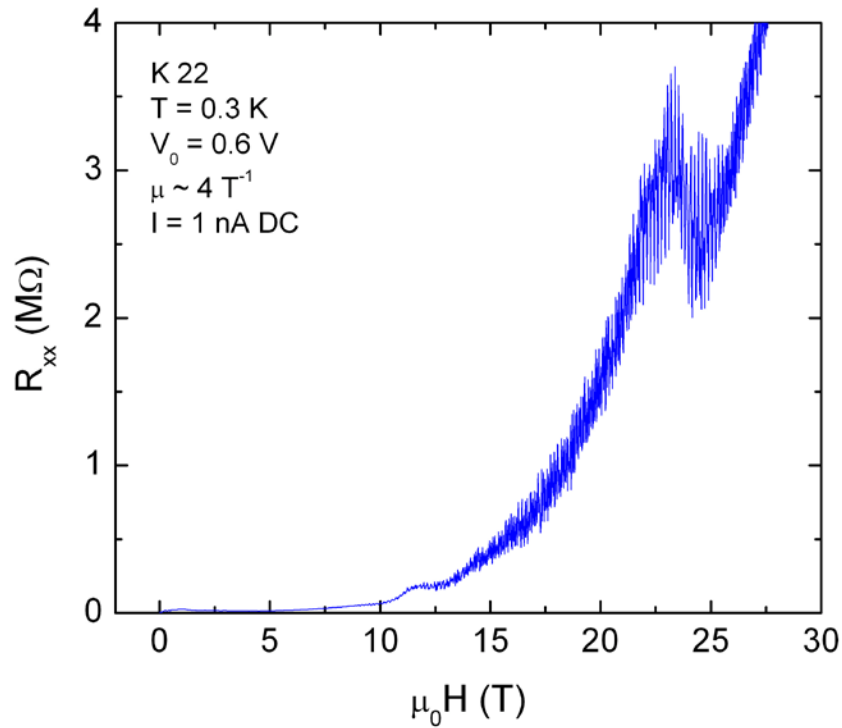
(a)  $R_{xx}$  in  $n = 0$  Landau Level increases steeply as  $T \rightarrow 0$ .

(b) Conductivity shows sublevel split. Hall conductivity displays plateau.

(c) Quantum oscillations in conductance at 0.3 K



Divergent  $R_0$  -- a technical challenge to measure accurately above 1 M $\Omega$

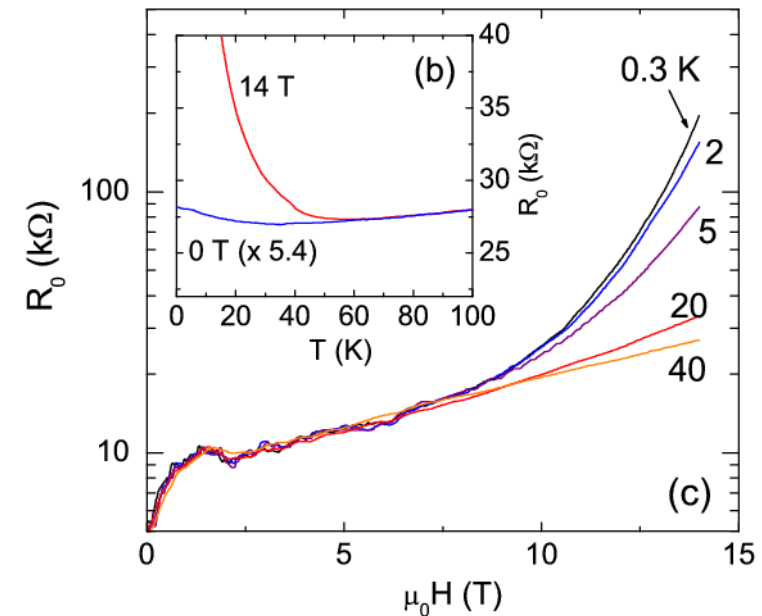
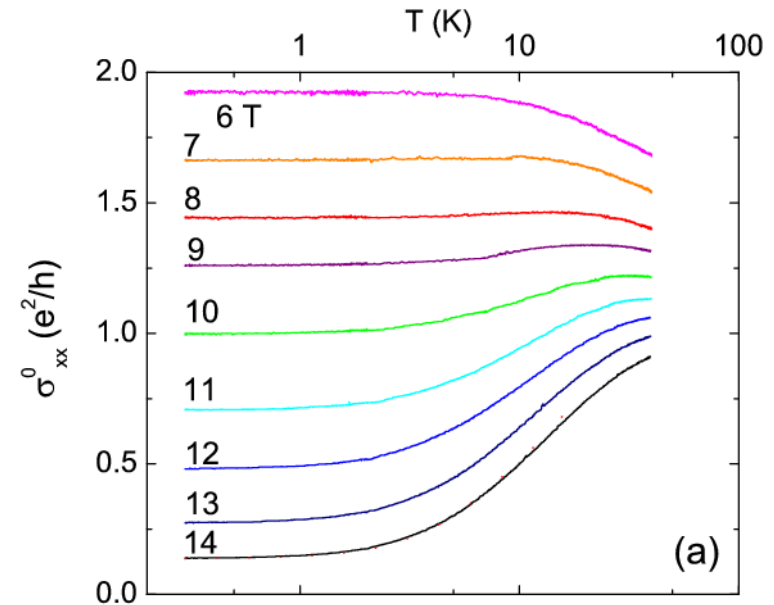


Conductance  $G_0$  at Dirac point  $\mu = 0$ 

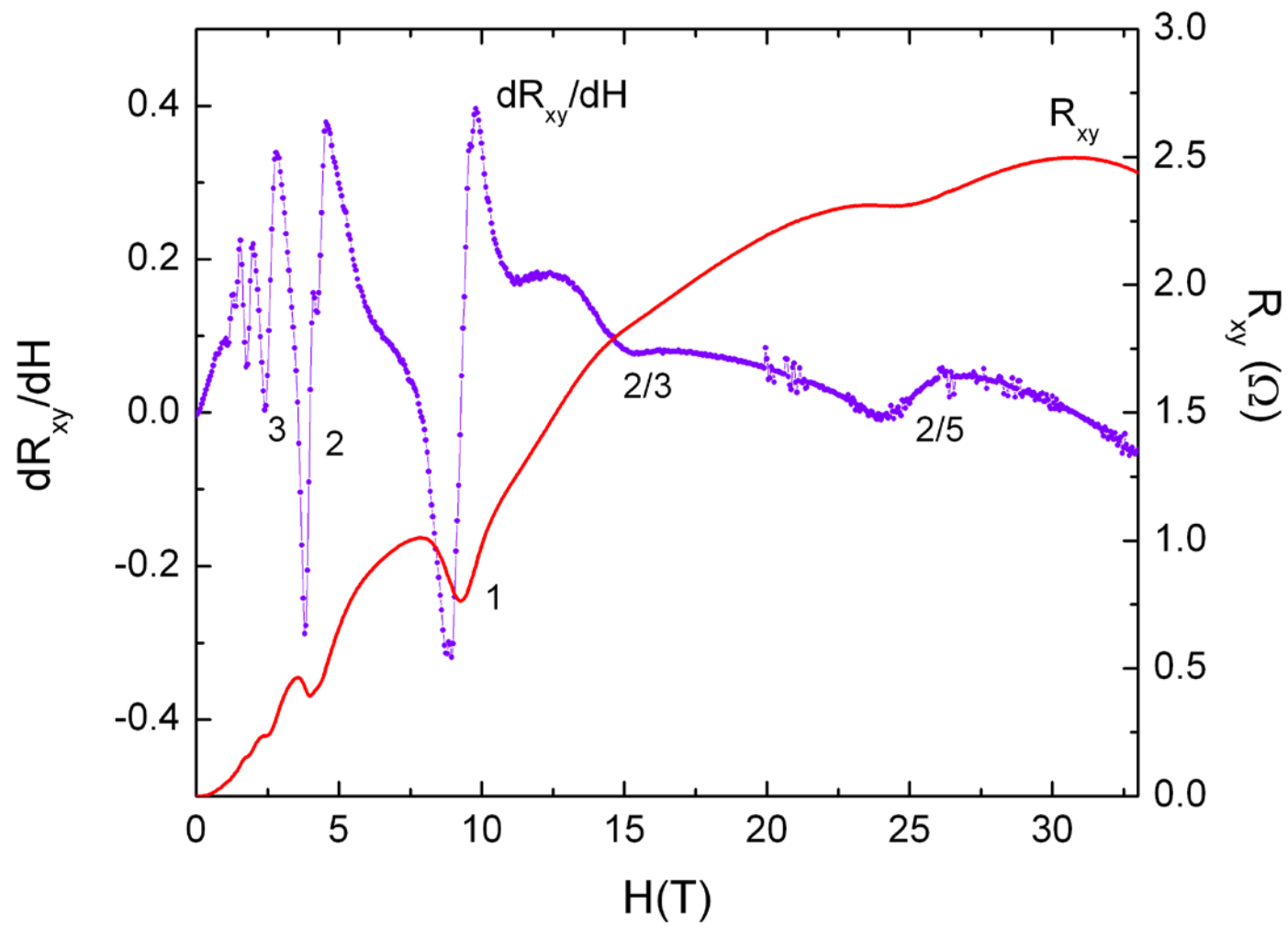
1. At large  $H$ ,  $G_0$  falls as  $T \rightarrow 2$  K revealing gap
2.  $G_0$  saturates to  $G_{\text{res}}$  below 2 K  
*Gapless excitations*
3.  $G_{\text{res}}$  strongly suppressed by  $H$   
*Faster than Gaussian  $\exp(-H^2)$*
4. Phase diagram reveals unusual approach to insulating state

a) Fixed  $H$ , gapless conductance

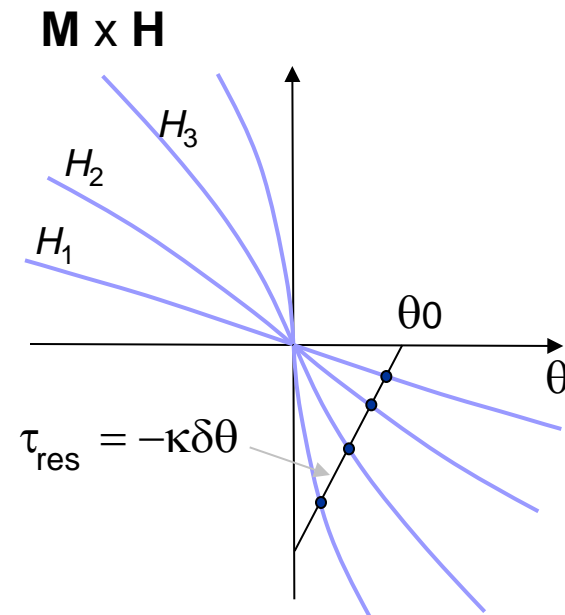
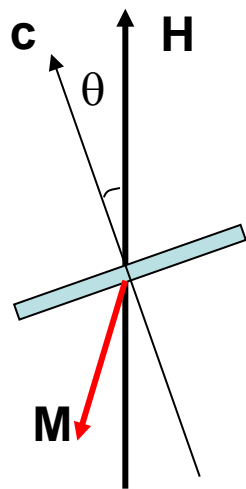
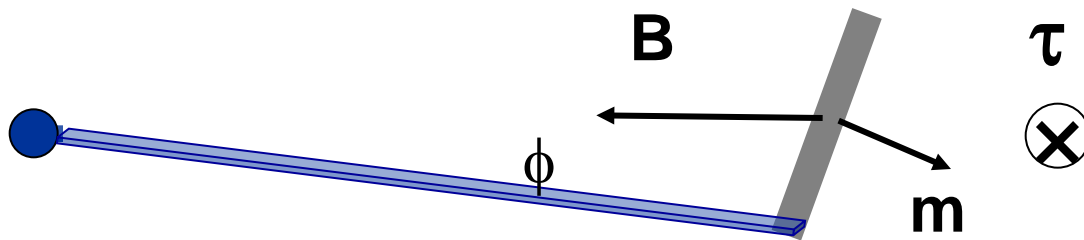
b) Fixed  $T$ , insulating limit at large  $H$



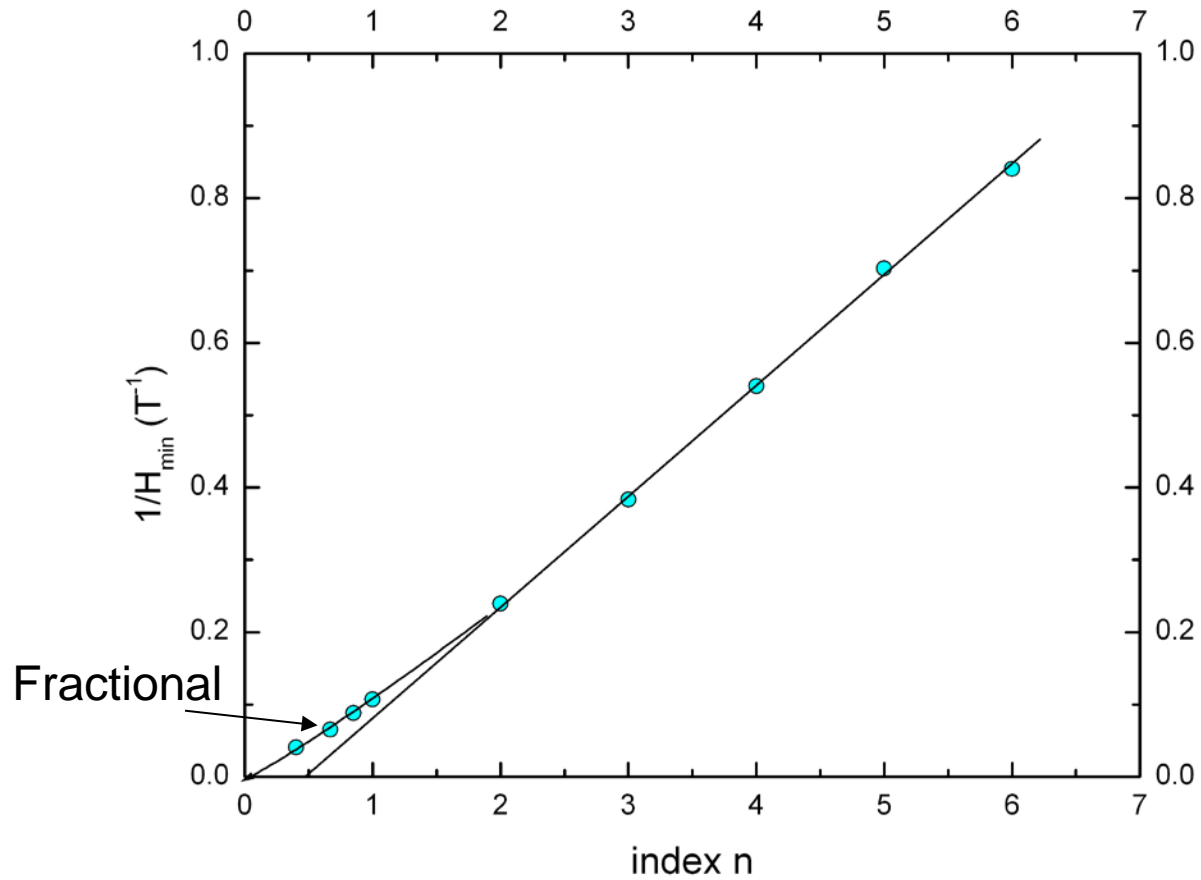
## Hall effect



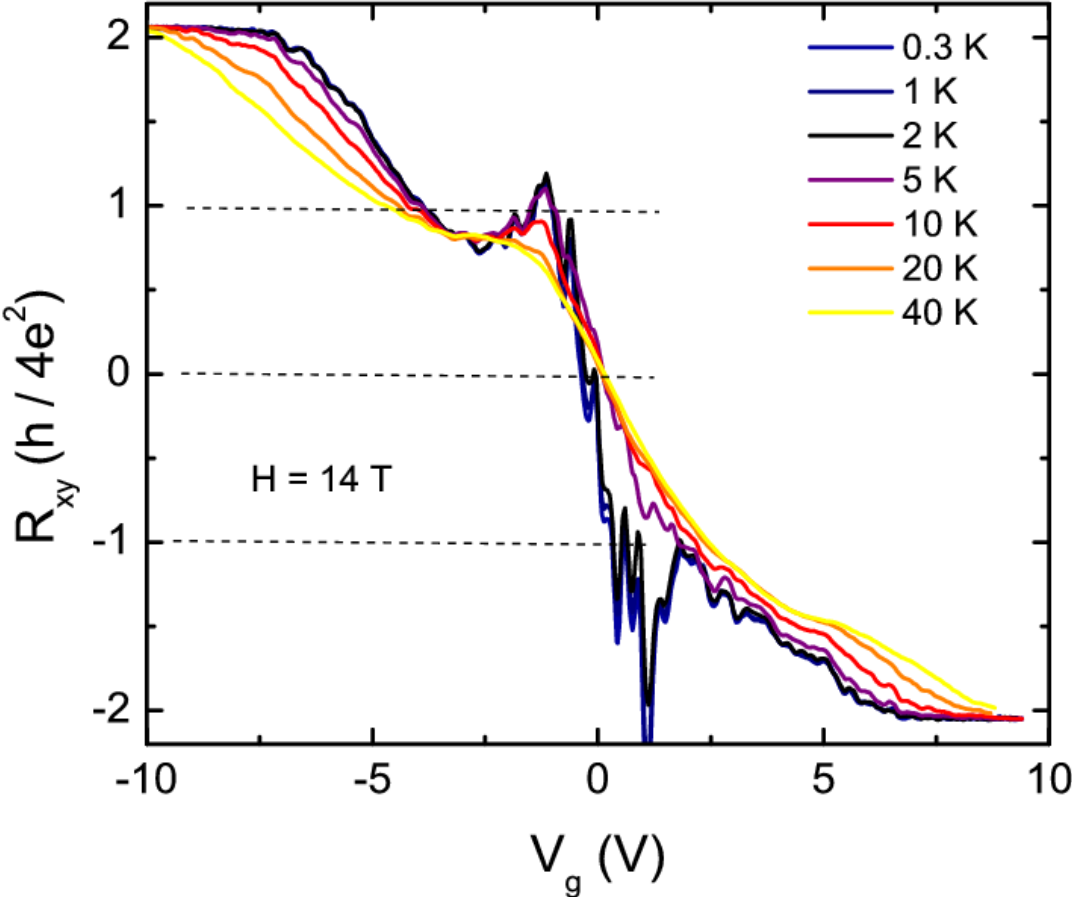
# Torque magnetometry with cantilever



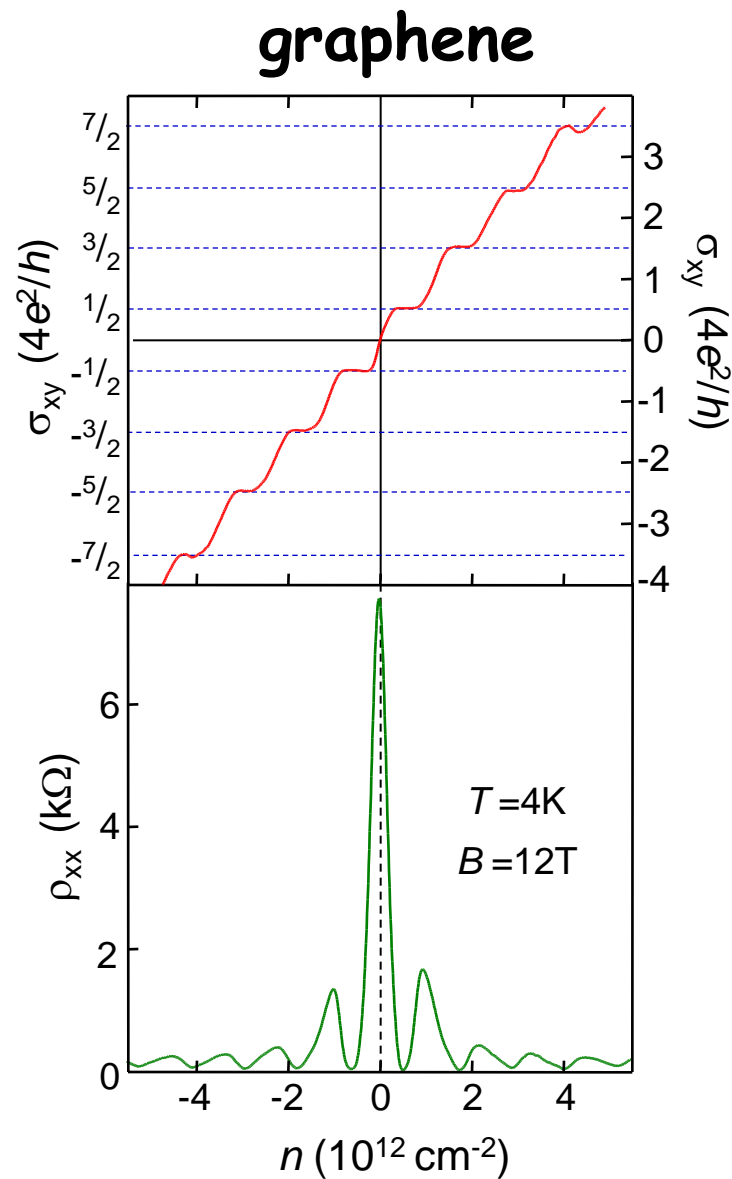
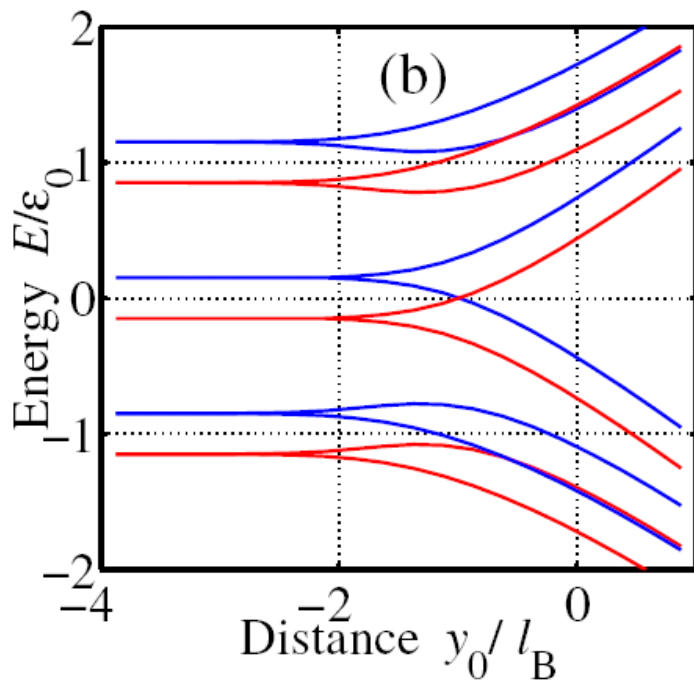
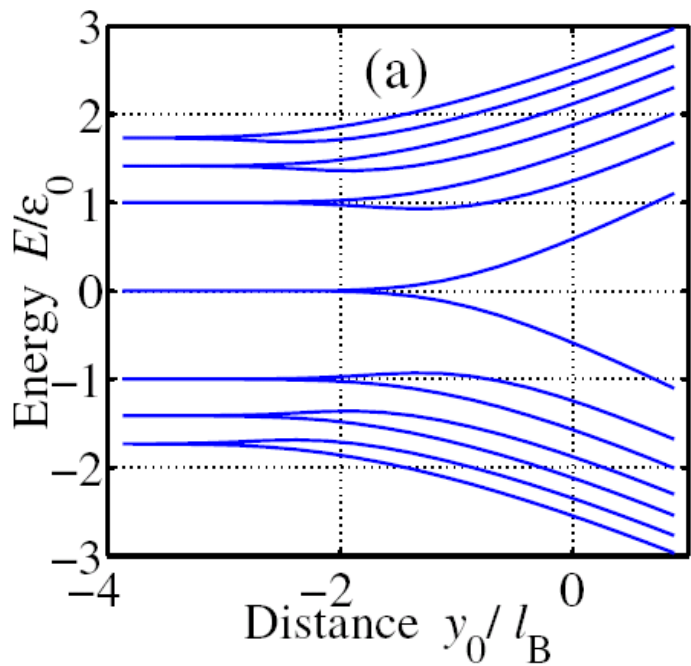
# Indexing Landau Levels using Rxx and Rxy



Incipient  $\nu = 1$  Hall steps







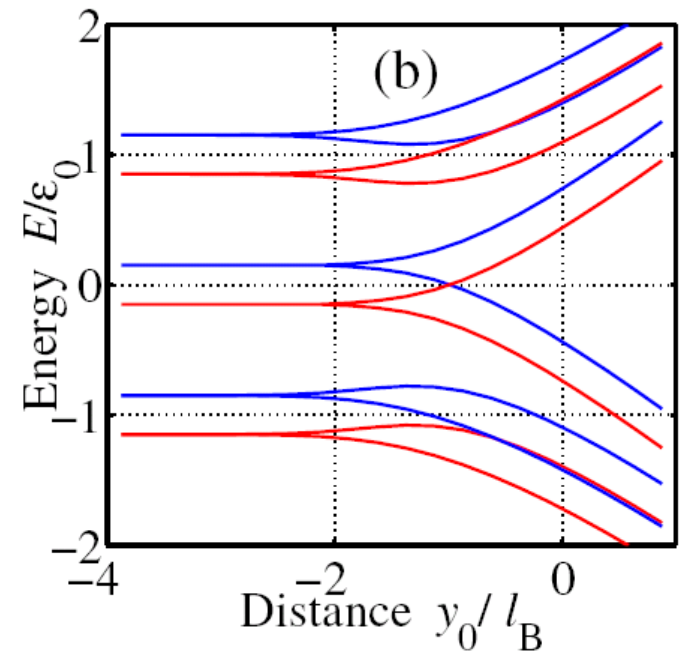
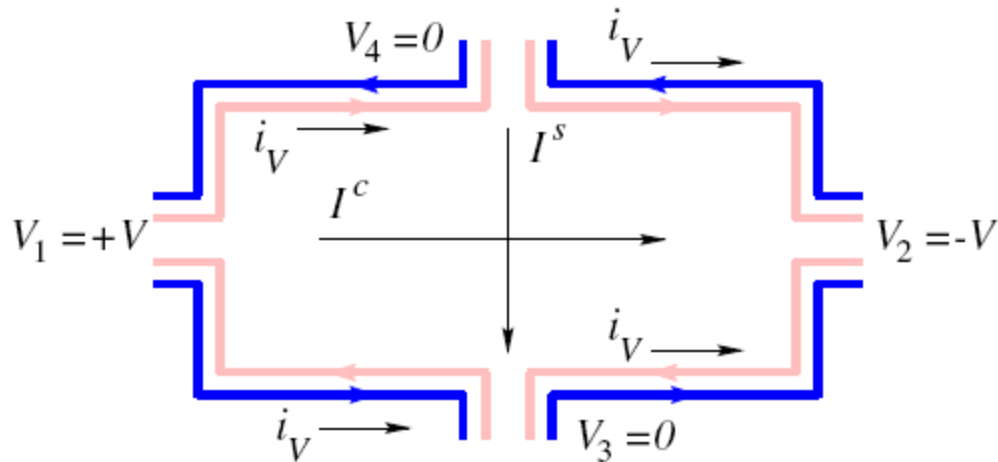
# Spin filtered edge states.

Abanin, Lee and Levitov, PRL96,176803(2006)

Spin up moves left



Spin down moves right



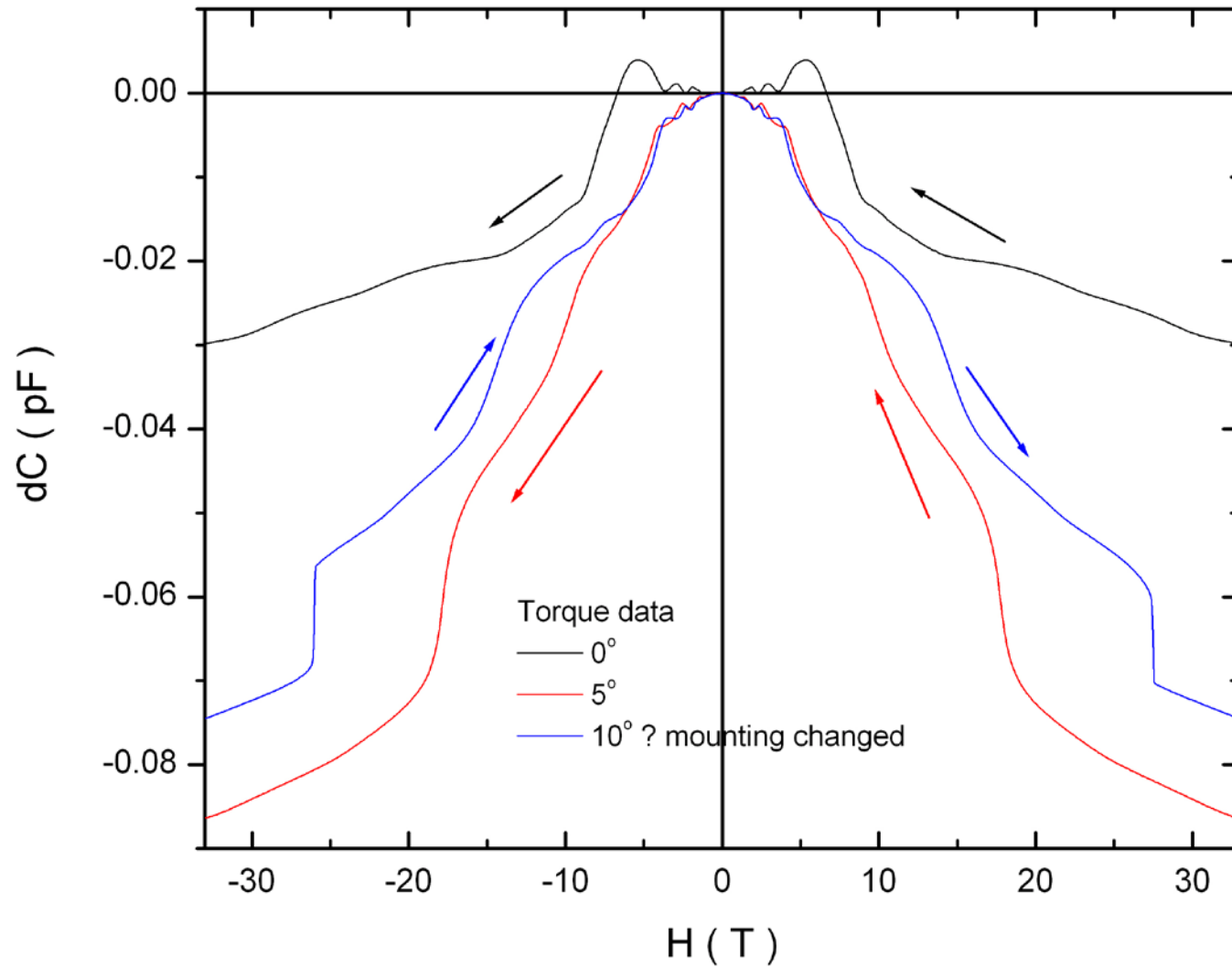
Simple example of a topological Hall insulator. (Kane and Mele, PRL2005) where gap is opened by spin-orbit effect.

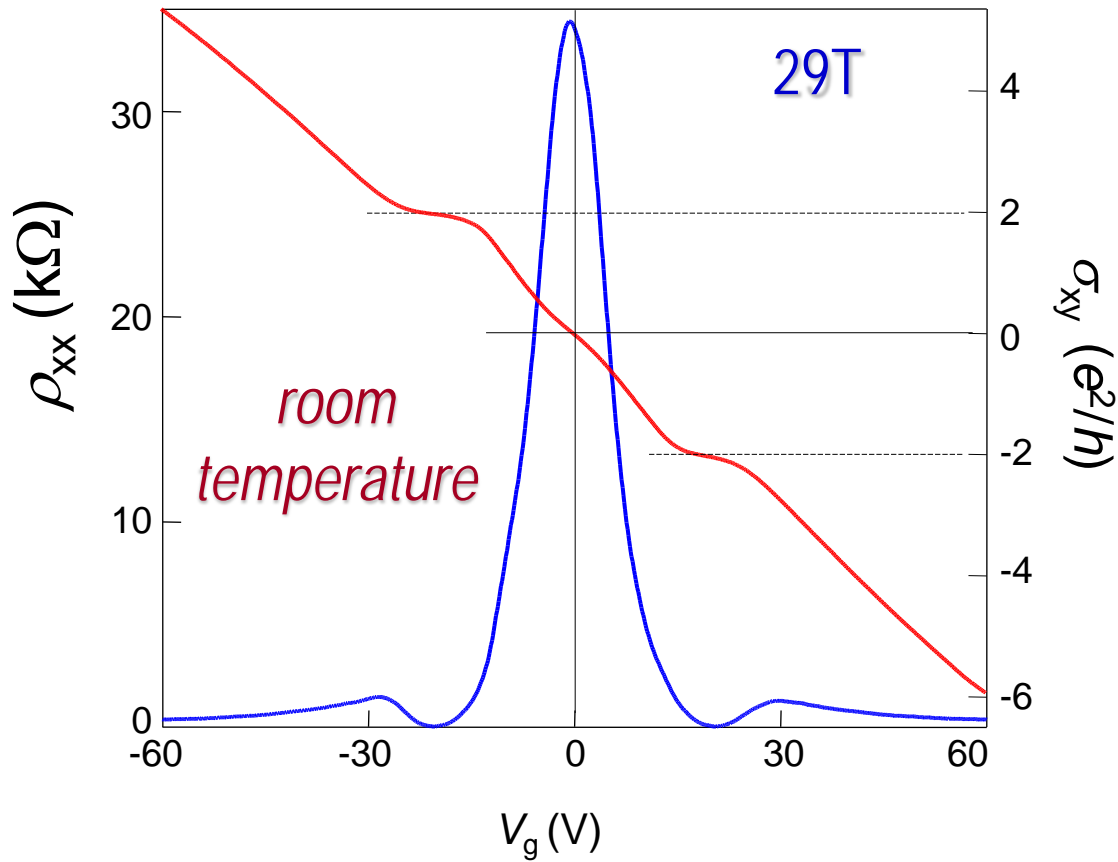
No Hall current  $R_{xy}=0$ , but ideal spin current:  $I_s=2e^2V/h$ .

Also predicts longitudinal charge current, ie  $R_{xx}=h/2e^2$ . (13 kOhms)

# Torque magnetization of Bi with $\mathbf{H}$ near trigonal axis

Li, Checkelsky, NPO 2007

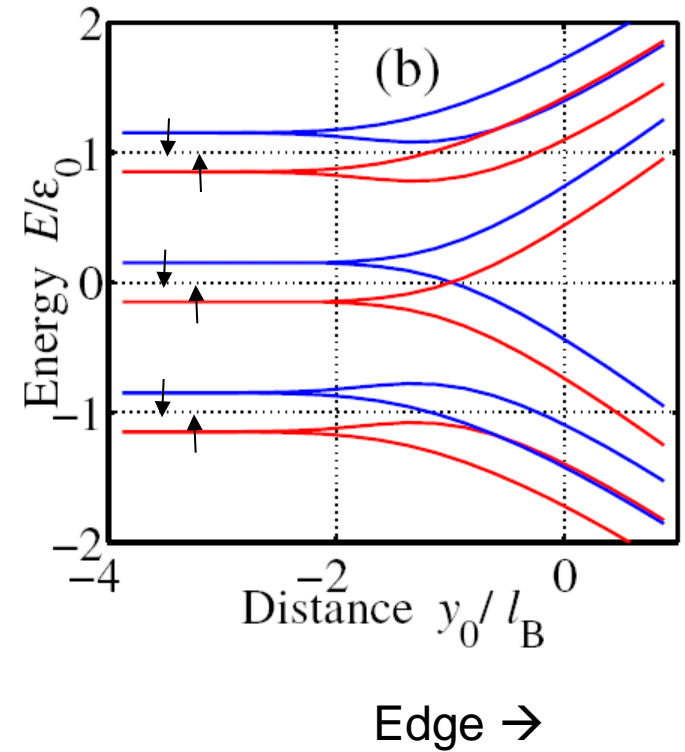
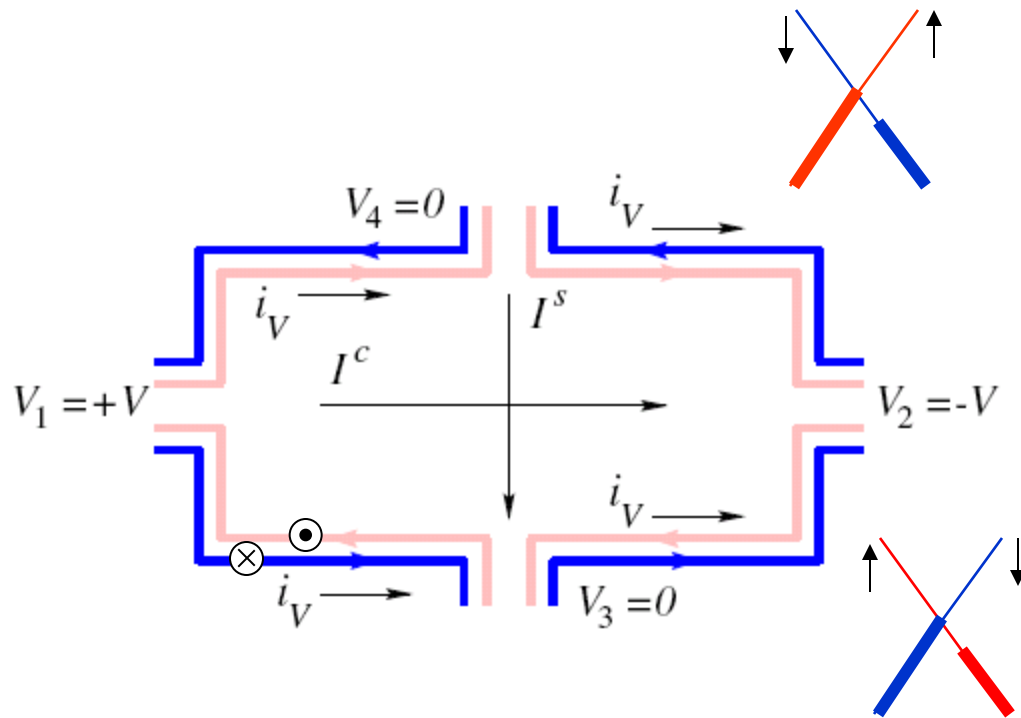




Geim et al

# Spin-filtered chiral edge states

Abanin, Lee and Levitov, PRL (2006)



No Hall current  $R_{xy}=0$ , but ideal spin current:  $I_s=2e^2 V/h$ .  
Also predicts longitudinal charge current, i.e.  
 $R_{xx}=h/2e^2$ . (13 kOhms)

# Divergent resistance in high field

Approaching KT transition?

Correlation length

$$\xi = a \exp\left[\frac{b}{\sqrt{h-1}}\right]$$

Data suggest  $H_0 \sim 17 - 18$  Tesla

