## HL7 & Meaningful Use



Charles Jaffe, MD, PhD CEO Health Level Seven International

HIMSS 11 Orlando February 23, 2011



#### **Overview**

- Overview of Meaningful Use
- HIT Standards and Meaningful Use
- Overview HL7 Standards
- Role of HL7 in Meaningful Use in Implementation
- Evolution of HL7 in Meaningful Use Phases 2 & 3
- Certification
- Summary



#### Introduction – Meaningful Use of an EHR

- In 2008, the National Priorities Partnership, convened by the National Quality Forum (NQF), released a report entitled "National Priorities and Goals" which identified a set of national priorities to help focus performance improvement efforts
- Among these priorities were patient engagement, reduction of racial disparities, improved safety, increased efficiency, coordination of care, and improved population health
- These priorities have been used to create the framework for <u>"meaningful use" of an electronic health record</u>
- The ultimate goal of meaningful use of an Electronic Health Record is to enable significant and measurable improvements in population health through a transformed health care delivery system.



#### **Background**

- 1999 IOM: To Err is Human: Building a Safer Health System
- 2004 <u>US EO 13335</u>: Upon publication of EO 13335, President also set an ambitious target for the majority of Americans to have access to electronic health records (EHRs) by 2014
- 2006 <u>US EO 13410</u>: promote quality and efficient delivery of health care through the use of health IT
- 2009 ARRA (US Stimulus Package Legislation)
  - HITECH (The Health Information Technology for Economic and Clinical Health) Act Includes
    - Requirement to deliver "Meaningful Use"
    - New Requirements for Certification
- Specified for Federal programs by CMS (trickle down effect)
  - Quality, Cost
- EHR-S Functional Model, driven by IOM and created by HL7, available for use



#### Meaningful Use - A Key Component of HITECH

- HITECH was signed into law on February 17, 2009 as part of ARRA ("US stimulus package")
- HITECH a part of ARRA \$19.2 billion in recovery designated to modernize the health care system
  - Promoting and expanding the adoption of HIT by 2015.
- HITECH establishes programs under Medicare and Medicaid with incentive payments for the Meaningful Use of EHR
- Health care providers, software vendors, and health IT consultants are all impacted by the HITECH Act.
  - Transformational legislation anticipating expansion in PHI (exchange in protected health information)
  - Widens HIPAAs privacy and security protections, legal liability for noncompliance and <u>EHR "meaningful use"</u>
- July 28, 2010: Final Ruling on Meaningful Use
  - http://edocket.access.gpo.gov/2010/pdf/2010-17207.pdf



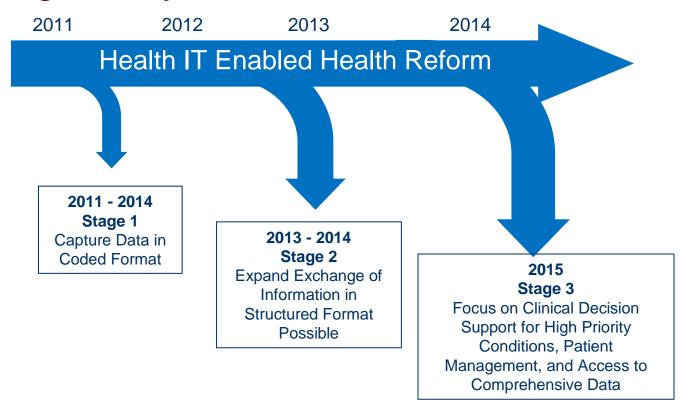
#### **Meaningful Use – What Does It Mean?**

- HITECH rewards the "meaningful use" of health IT and <u>not</u> the purchase of health IT.
- Key components
  - <u>Tracking key patient-level clinical information</u> in order to give health providers clear visibility into the health status of their patient populations
  - Applying clinical decision support designed by health care providers to help improve adherence to evidence-based best practices
  - <u>Executing electronic health care transactions</u> (prescriptions, receipt of drug formulary information, eligibility checking, lab results, basic patient summary data exchange) with key stakeholders
  - Reporting a focused set of meaningful care outcomes and evidence-based process metrics (for example, the percentage of patients with hypertension whose blood pressure is under control), which will be required by virtually any conceivable new value-based payment regimes.
- Evidence of Meaningful use provides financial incentives to "Eligible Providers" and "Eligible Hospitals"



#### Meaningful Use - 3 Stage Implementation

- Meaningful use implemented in 3 Stages
- All "Eligible Providers" and "Eligible hospitals" must achieve meaningful use by 2015.





#### Meaningful Use – Incentive Eligibility

- CMS controls EHR Incentive Program through regulatory power
  - Defines the provisions for incentive payments to eligible professionals and hospitals participating in Medicare and Medicaid programs that.
- Incentives a provided to cover costs for acquiring, using and maintaining a certified EHR
- Incentive payments are only available to the following providers
  - Eligible professionals (EPs) & eligible hospitals (Medicare, Medicaid)
  - Meet or exceed specified Medicaid volumes
  - Adopt and meaningfully use certified EHRs

Providers	Eligibility Requirements	
Children's Hospitals	A11	
Acute Care Hospitals	10% or greater Medicaid	
Federally Qualified Health Centers	30% or greater Needy Individuals*	
Rural Health Clinics	30% or greater Needy Individuals*	+ Meaningful Use
Non-hospital Based Professionals	30% or greater Medicaid	
Non-hospital Based Pediatricians	20% or greater Medicaid	



#### Implementation - Incentives

Incentives available to providers who use a certified EHR to improve the overall quality of healthcare delivered by demonstrating achievement of a series of objectives, including but not limited to:

- Entering orders, medications etc in CPOE
- Maintaining problem lists in ICD9-CM or Snomed-CT<sup>®</sup> coding
- Maintain active medication list and electronic prescribing
- Recording vital signs, smoking status
- Receive and display lab results encoded with LOINC® codes
- Generate patient lists based on specific conditions and generate patient reminders
- Provide patients with electronic copy and electronic access to their record and discharge instructions
- Generate a clinical summary for each visit
- Exchange clinical data with other providers
- Protect the information, encrypt it and record disclosures



#### Meaningful Use – Quality Reporting

- Stage 1 meaningful use criteria
  - 1) capturing health information in a coded format, 2) using the information to track key clinical conditions; 3) communicating captured information for care coordination purposes; and 4) reporting of clinical quality measures and public health information.
- Stage 2 criteria will be defined by end 2011 and expand on Stage 1
  - Focus on disease management, clinical decision support, medication management, support for patient access to their health information, transitions in care, quality measurement, research, and bi-directional communication with public health agencies.
  - May apply to both the inpatient and outpatient hospital settings.
- Stage 3 criteria will be defined by the end of 2013.
  - Focus on achieving improvements in quality, safety and efficiency, focusing on decision support for national high priority conditions, patient access to self-management tools, access to comprehensive patient data and improving population health outcomes.





#### 4 Categories of Meaningful Use Information Standards

Content Exchange Standards used to share clinical information:  - clinical summaries  - prescriptions  - structured electronic documents	Vocabulary Standardized nomenclatures & code sets for: - clinical problems and procedures - medications
	– allergies
Transport To establish a communication protocol between systems that is  - common  - predictable  - secure	Privacy and Security Standards that support:  - authentication  - access control  - transmission security



Standards Categories Support Health

**Somes Policy Priorities** 

CPOE is used for at least 80% of all orders

**Medication** 

reconciliation for

at least 80% of

relevant

encounters

Improving quality, safety, efficiency, and reducing health disparities

Improve care coordination

health s

Conduct or review a security risk analysis per 45 CFR 164.308

Ensure adequate privacy and security protections for personal health information

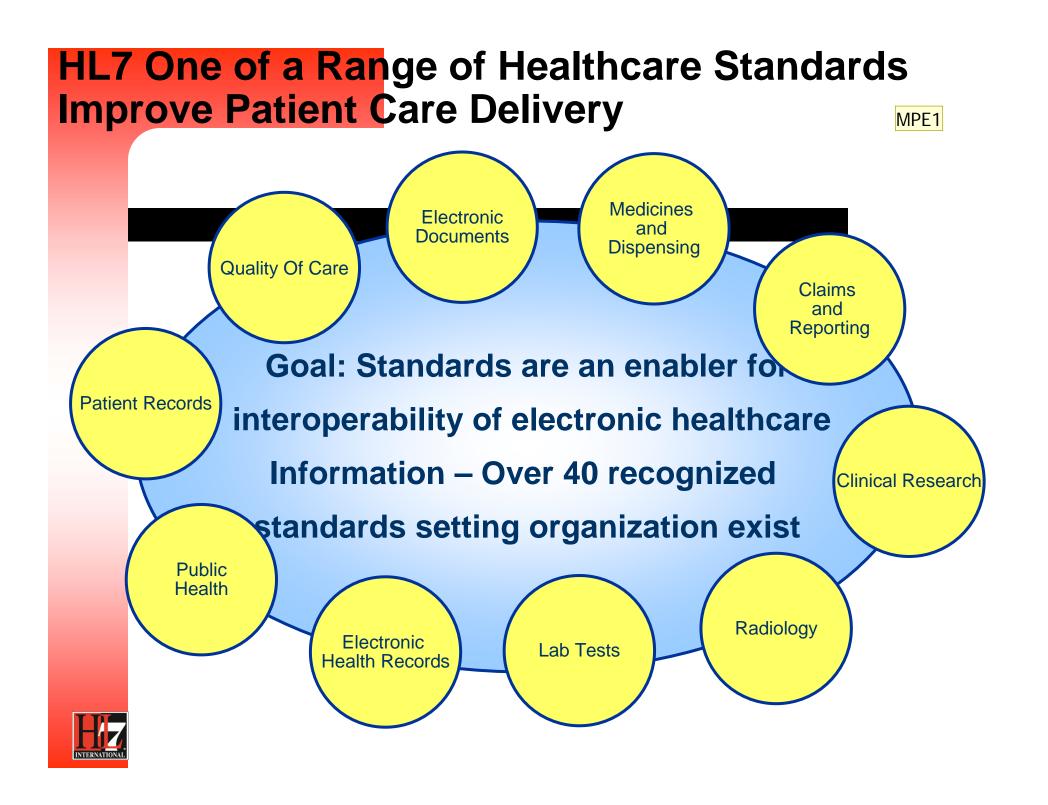
At least 80% of all patients who request an electronic copy of their health information are provided it within 48 hours

Engage patien and families in their health

One test of certified EHR technology's capacity to submit electronic data to immunization registries

Improve population and public health





#### A Family of Standards for EHRs



## HL7 Standards Specified in Meaningful Use

- HL7 V2
- HL7 CDA
- Certified EHRs certifying criteria's based on HL7 EHR-S FM (Electronic Health Record-System Functional Model)
- Quality Measure & Reporting



# HL7 Standards Specified in Meaningful Use

#### HL7 V2

- Version 2.5.1 for the submission of lab results to public health agencies.
- Version 2.3.1 or Version 2.5.1 for submitting information to public health agencies for surveillance or reporting (excluding adverse event reporting).
- Version 2.3.1 or Version 2.5.1 for submitting information to immunization registries as the content exchange standard and the CDC maintained HL7 standard code CVX—Vaccines Administered as the vocabulary standard.
- Version 2.5.1 Implementation Guide for Electronic Laboratory Reporting to Public Health when HL7 Version 2.5.1 is used for reporting lab results to public health agencies

#### HL7 CDA

Continuity of Care Document (CCD), a Version 3 standard based on the HL7
Reference Information Model, as one of two options for content exchange
standards for the receipt of a patient summary record.



#### Templated CDA: Phases 2 & 3

- Streamlined standards development
  - Reusable building blocks.
- Streamlined standards implementation
  - Implement once, deploy often.
- Modular and reusable
  - Templates (e.g., blood pressure, discharge diagnosis) can be repackaged with other templates in any number of CDA implementation guides.
- Core component of CDA's "incremental interoperability" strategy
  - Begin with simple CDA, and add templates as they are prioritized.



### **Summary**

- HL7 specifications are broadly required in Meaningful Use Stage 1
- HL7 provides a solutions for a seamless transition to later stages of Meaningful Use
- HL7 continues to collaborate with the Office of the National Coordinator within the Standards & Interoperability Framework to facilitate implementation of many solutions
- HL7 innovation will pave the way for more accelerated and more simplified transition to Stages 2 and 3



### **HL7 & Meaningful Use**



Q & A

cjaffe@hl7.org

