HUMAN DEVELOPMENT: CONCEPT & APPROACH

K Seeta Prabhu Tata Institute of Social Sciences

Strengthening Capacity on Human Development in Asia-Pacific: Analysis, Measurement and Processes New Delhi, 29-30 October 2013

LEARNING OBJECTIVES

- Human Development: Origin and Context
- Functionings and Capability
- Human Development and Related Approaches
- Human Development Principles
- Characteristics of HD Approach

ORIGIN OF THE HD APPROACH

- <u>Emerged</u> in response to demand for newer approaches to development
- <u>Context</u> the huge human costs of structural adjustment policies of 1980s
- <u>Process</u> concept discussed at 3 North-South Round Tables organised by Mahbub ul Haq in 1980s
- <u>Proposed</u> by UNDP with Mahbub ul Haq and Amartya Sen as main architects – origins in writings of Aristotle and Immanuel Kant
- By 1990 when concept made official debut in Inaugural HDR, consensus on concept already built

DEFINING HUMAN DEVELOPMENT

- 1990 UNDP Report 'the process of enlarging people's choices'
- Definition enriched over time to give importance to freedoms, equity and sustainability
- 2010 HDR definition 'Human development is the expansion of people's freedoms to live long, healthy and creative lives; to advance other goals they have reason to value; and to engage actively in shaping development equitably and sustainably on a shared planet. People are both the beneficiaries and drivers of human development, as individuals and in groups'.
- Philosophical foundations in the capability approach Functionings and Capability are key ideas

UNDERSTANDING FUNCTIONINGS

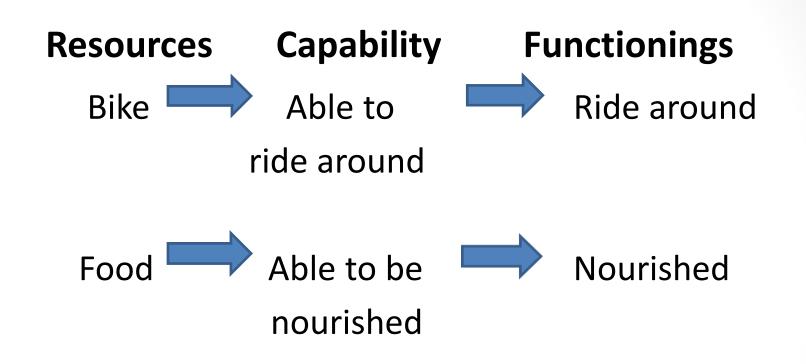
Functionings – 'valuable beings and doings' of people

- <u>'Beings</u>' such as being well nourished, being safe, being educated
- <u>'Doings</u>' such as being able to visit loved ones, travelling, caring for a child
- Elementary functionings being healthy and nourished, being safe, being educated
- Complex functionings ability to contribute to community life achieving self respect, ability to 'appear in publik without shame'

UNDERSTANDING - CAPABILITY

- Capability is 'various combination of functionings that a person can achieve'
- Functioning relates to realized outcomes
- Capability is possibility and opportunity
- 3 understandings of the concept of capability
 - **P capability** inborn potential
 - **S capability-** trained potential skills, abilities
 - **O capability** opportunity capability

CONVERSION FACTORS



 Translation of capability into functionings influenced by personal characteristics, social and environmental factors

CHALLENGES

- No agreed list of capabilities
- Capability approach too individualistic
- Of the 3 different variants of individualism
 - 1. Ethical individualism
 - 2. Methodological individualism
 - 3. Ontological individualism
- Capability approach relies on ethical individualism
- Takes into account social relations, social structures and institutions in two ways:
 - 1. Recognising that there is a move from capability to functionings and
 - 2. Social and environmental factors influence conversion of capability to functionings

DEVELOPMENT AS FREEDOM

- Amartya Sen reinterpreted Development as Freedom
- People as 'Agents' Agent is one 'who acts and brings about change'
- Emphasised both **opportunity freedom and** agency freedom
- Freedom 'from' as important as freedom 'to'
- Freedom has intrinsic value valuable in itself
- Freedom has instrumental value as a means to other things

DEVELOPMENT AS FREEDOM

- Emphasized 5 instrumental freedoms that are important for human development
 - political freedom
 - economic facilities
 - social opportunities
 - transparency guarantees
 - protective security

HD AND HUMAN RIGHTS

Compatible and complementary

Emphasis in HD

Enlargement of choices & capabilities focus on duty bearers and public policies

► Emphasis in HR

- entitlements of claim holders emphasis on legal change, social movements to generate demand
- Human Rights initially viewed as political rights now includes social, cultural and economic rights

Both guarantee basic freedoms

HUMAN RIGHTS AND HUMAN SECURITY

Human Security - 'Freedom from Want and Freedom from Fear' – HDR 2000

Beyond concerns of national security to focus on 7 components at individual level

- Economic
- Food
- Health
- Environmental
- Personal
- Community
- Political

HD GOES BEYOND.....

Human Resource Development Approach

- Evolved in 1960s Schultz and Gary Becker's concept of human capital
- Powerful implications human beings resources in production process – not ends in themselves
- Education and health means of enhancing human capital

Rates of return important

Basic Needs Approach

- Evolved in 1970s from ILO's focus on providing basic needs for poor – food, shelter, clothing, health care, water
- Focus on provision of goods and services
- Ignores choices and underplays freedom
- Ignores 'agency' aspect of individuals people treated more as beneficiaries

CHARACTERISTICS OF HD APPROACH

Under Construction

>Multidimensional

>Inter-disciplinary

➢ Pragmatic

PRINCIPLES OF HUMAN DEVELOPMENT

- 4 Core principles of Human Development
- <u>Equity</u>: Equity refers to a concept of justice as fairness
- <u>Efficiency</u>: maximizing the use of material, human and community resources
- <u>Participation and Empowerment</u>: Foundational principle people as agents
- <u>Sustainability</u>: not merely environmental but also economic, social and cultural

All four principles to be adhered to simultaneously

TO CONCLUDE...

- Human potential and achievements in multiple dimensions key indicators of progress - not merely per capita income
- Focus is on
 - what people are
 - what people can be or do and
 - the opportunity set available to people

THANK YOU!