

# Image Classification: K-NN and Linear Classifier

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# Last class

- Overview of computer vision and deep learning
- The concept and goal of learning

# Today: Two basic methods

- Nearest Neighbors
- Linear Classifier

# Image Classification



An image is a  $300 \times 500 \times 3$  Tensor.

Each bit has value in the range  $[0, 255]$

# Images with different background





# Images with occlusion



# Images with illumination





# Images with Deformation





# Nearest Neighbor Classifier

# Nearest Neighbor

Training set:



Mushroom



Dog



Ant



Cat

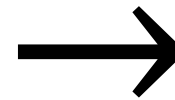


Car

Testing: Compute the distance between a test image and training images



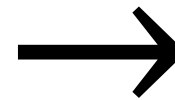
,



$\mathbb{R}$



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$\mathbb{R}$

# Nearest Neighbor

- What metric? What representation?
- Metric, L1 distance:

$$d(x_1, x_2) = \sum_{h,w} |x_1^{h,w} - x_2^{h,w}|$$

test image		training image		pixel-wise absolute value differences			
56	32	10	18	46	12	14	1
90	23	8	100	82	13	39	33
24	26	12	170	12	10	0	30
2	0	4	112	2	32	22	108

add → 456

# Recall Supervised Learning

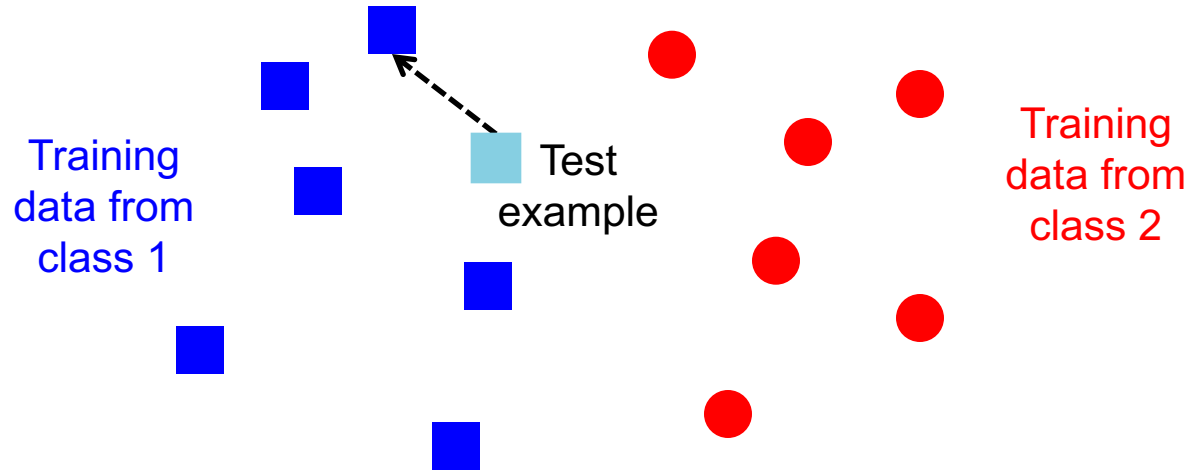
$$y = f(x)$$

output label      classifier      input image

- **Training (or learning):** given a *training* set of labeled examples  $\{(x_1, y_1), \dots, (x_N, y_N)\}$ , train a predictor  $f$
- **Testing (or inference):** apply predictor  $f$  to a new *test example*  $x$  and output the predicted value  $y = f(x)$

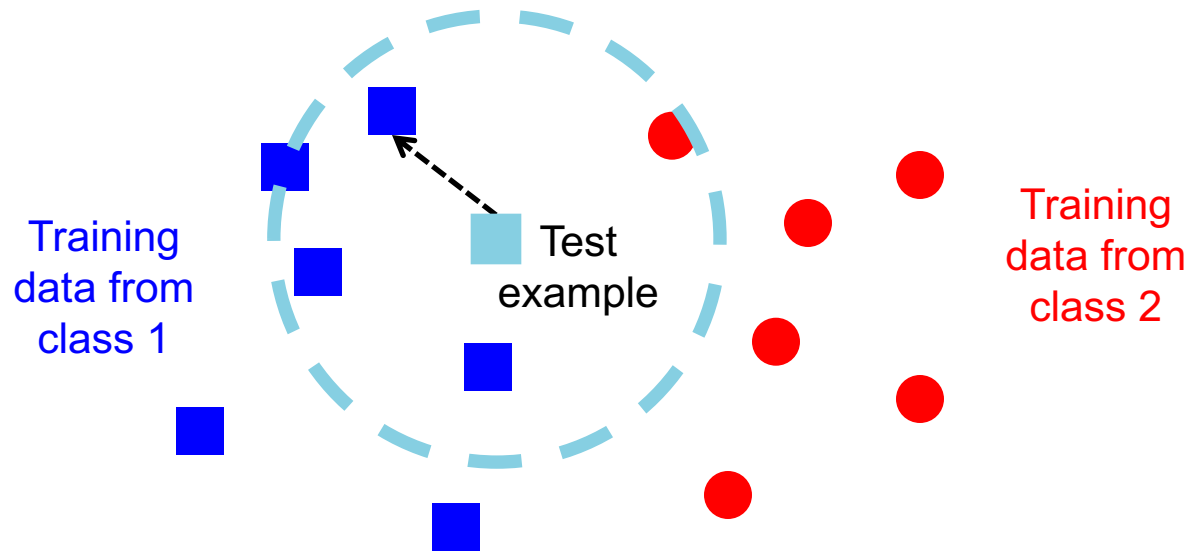


# Nearest neighbor classifier



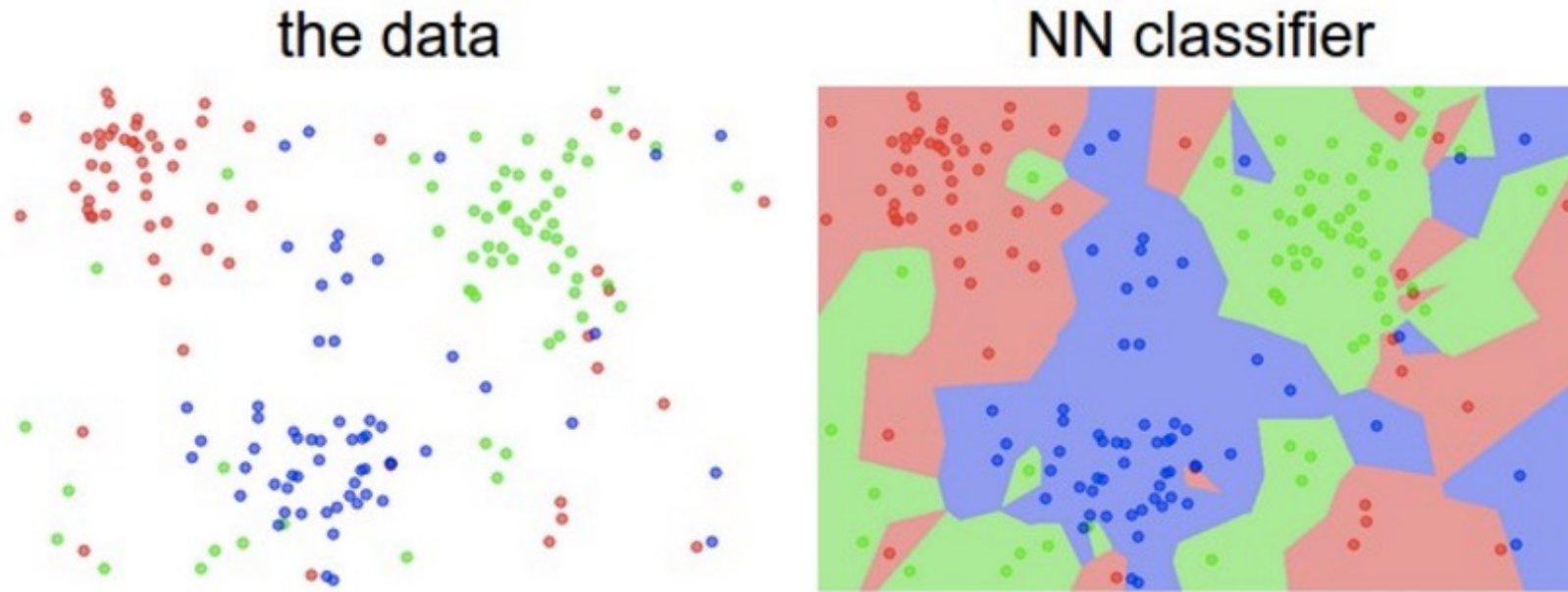
- $f(x)$  = the label of the closest example (computed via a distance metric)
- Store all the training data, search all data each test time given a test example

# K-nearest neighbor classifier



- 1 example is sometimes not enough.
- K-NN, K=5: Find closest 5 examples instead of 1. Follow the label of the majority in the NN examples.

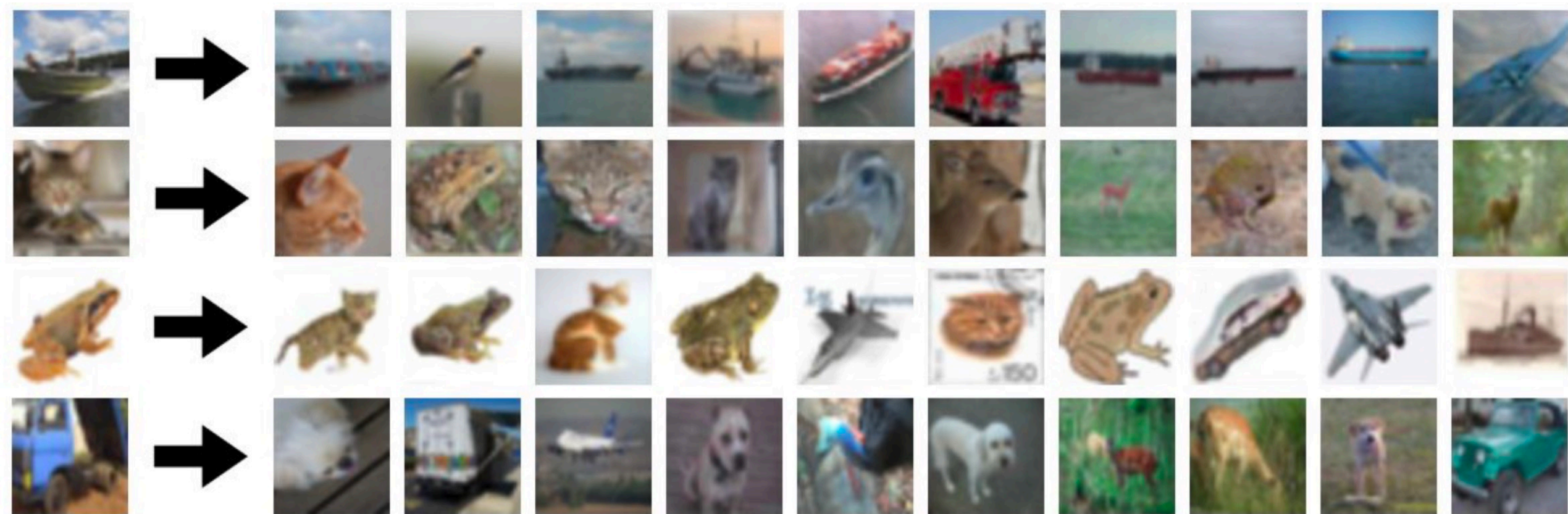
# K-nearest neighbor classifier



Larger K gives cleaner boundary between classes

Larger K is more robust to outliers

# K-NN examples (K=10), based on pixel-wise difference





# K-NN examples (K=5), based on deep feature



Query

Nearest Neighbors

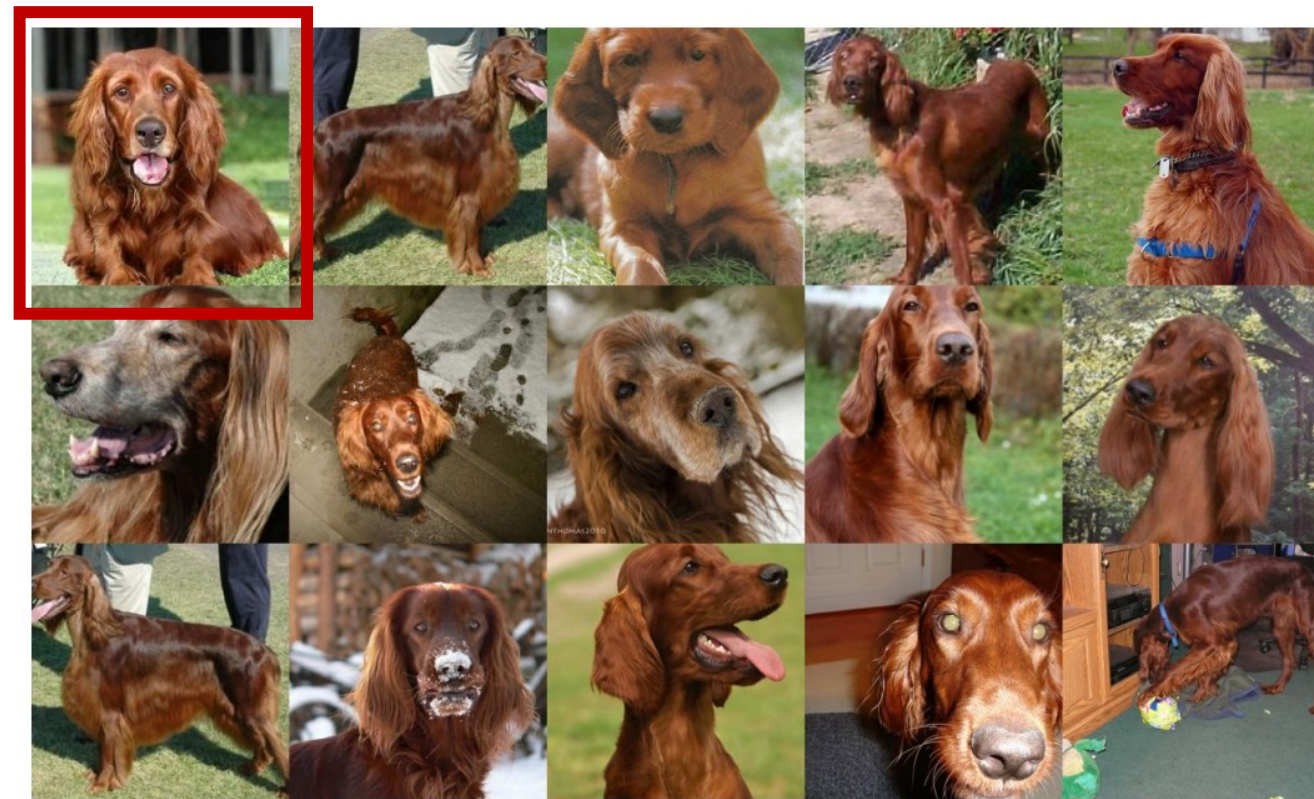


# Nearest Neighbor is a great way for visualization neural network



Action Recognition (Wang et al., 2016)

Query



GANs (Brock et al., 2019)

# Goods and Bads of Nearest Neighbor

- Good:
  - Do not require training
  - Simple and robust to outliers
- Bad:
  - Storage: needs to store the whole dataset
  - Time: needs to go over each training data point, inference time grows linearly as the training data increases
- *Can we compress* the training samples to a set of weights?

# Learning is a way to compress NN



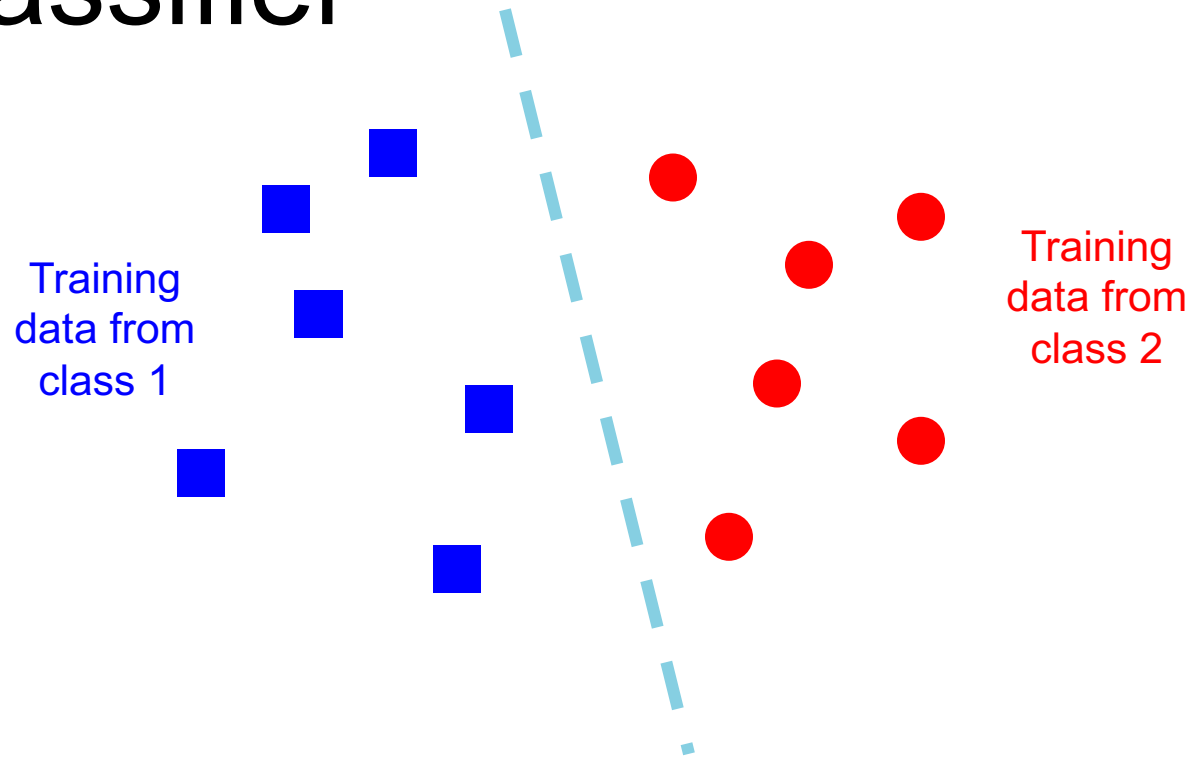
So it is just nearest neighbor?

-- Alyosha Efros



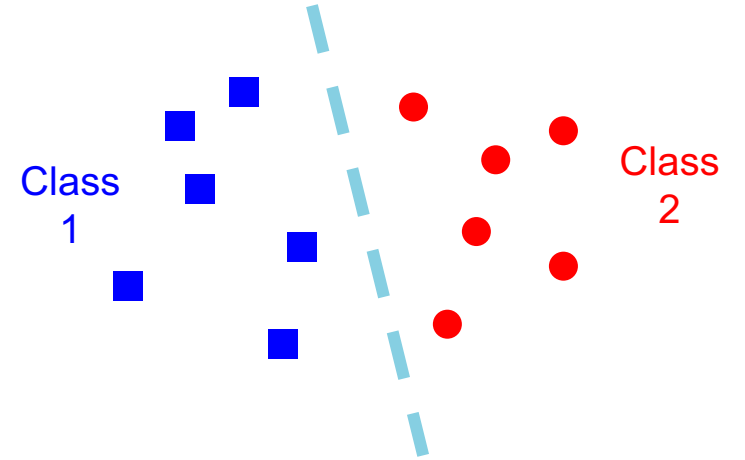
# Linear Classifier

# Linear Classifier



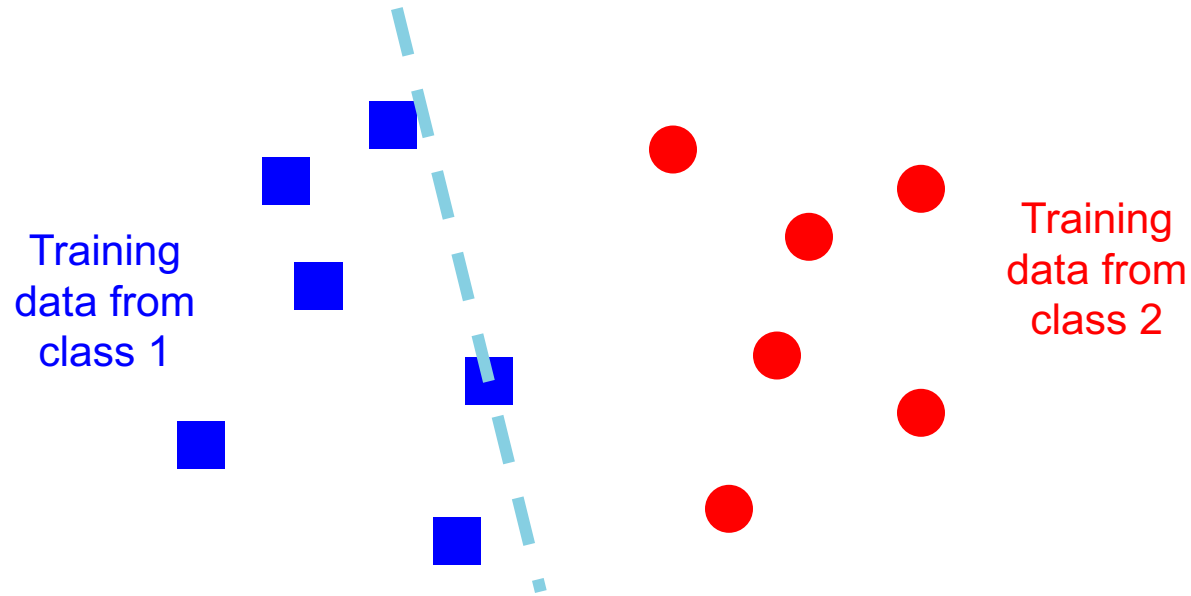
- Goal: Learn a  $d$ -dimensional vector of parameters  $W \in \mathbb{R}^d$ , given a set of  $d$ -dimensional data
- Prediction:  $f(x) = W_1x_1 + W_2x_2 + \dots + W_dx_d = Wx$

# Linear Classifier



- Prediction:  $f(x) = W_1x_1 + W_2x_2 + \dots + W_dx_d = Wx$
- If  $f(x) > 0$ ,  $x$  belongs to class 1, if  $f(x) < 0$ ,  $x$  belongs to class 2.
- See  $W$  as the compression of the whole training dataset, and we only need to compute 1 multiplication for obtaining the label.

# Linear Classifier: adding bias

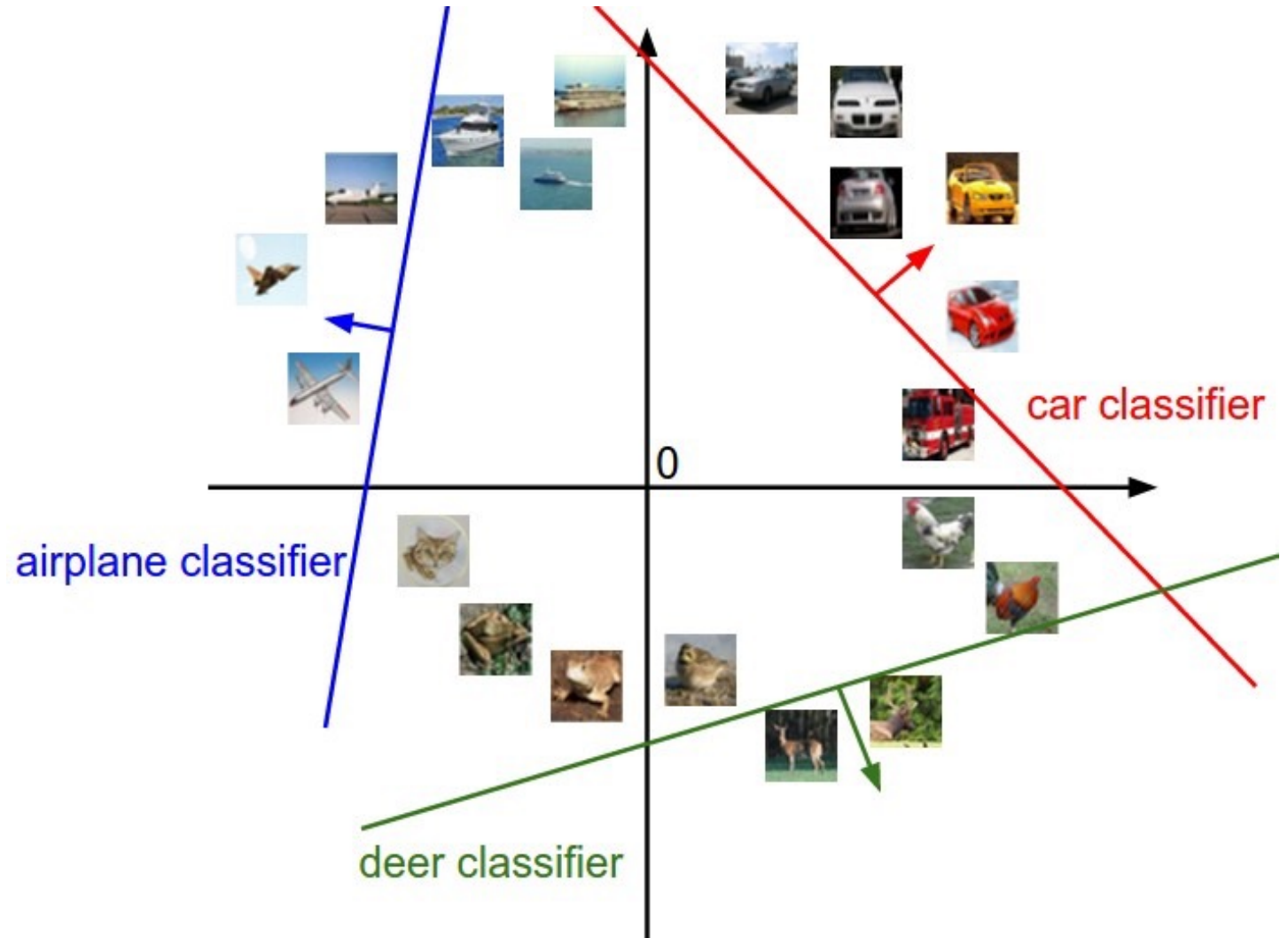


- Prediction:  $f(x) = W_1x_1 + W_2x_2 + \dots + W_dx_d + b = Wx + b$
- $b \in \mathbb{R}^1$ ,  $b$  is only a 1-dimensional digit for 2-class classification

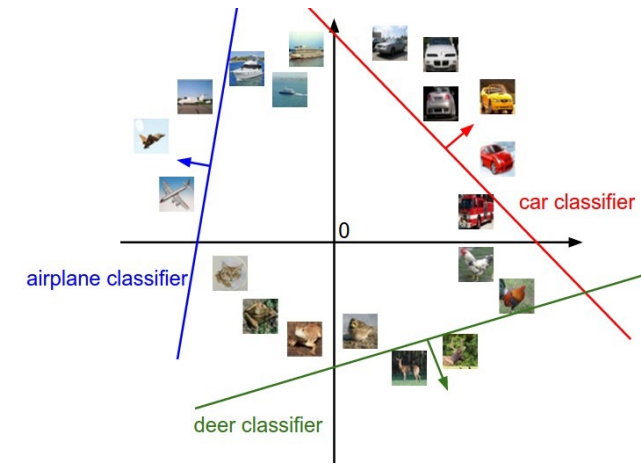


# Linear Classifier: Multiple Class

- 1 plane is not enough
- Multiple planes



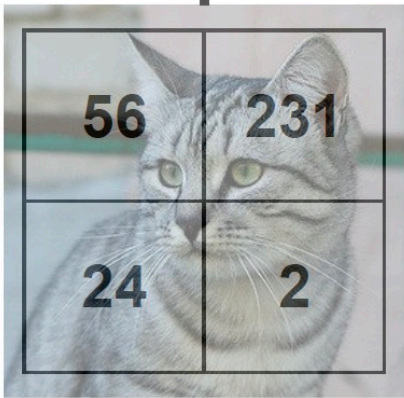
# Linear Classifier: Multiple Class



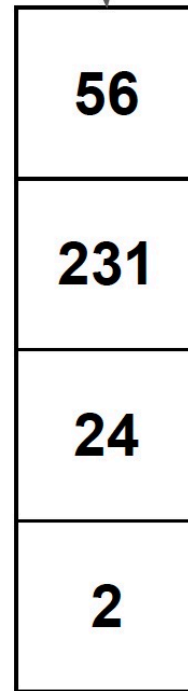
- Instead of learning one vector of weights, we will need to learn one vector of weights for each category:
  - A dog classifier:  $f_1(x) = W^1x + b^1$
  - A cat classifier:  $f_2(x) = W^2x + b^2$
  - A ship classifier:  $f_3(x) = W^3x + b^3$
- Select the class with the max classification score

# Example: Represent an image with 4 pixels

Flatten tensors into a vector



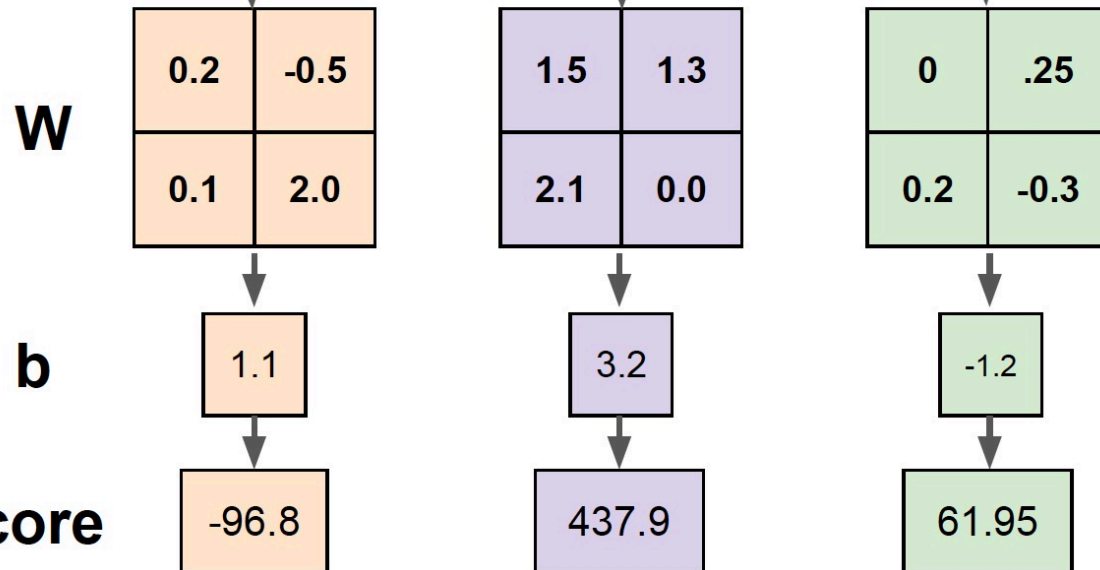
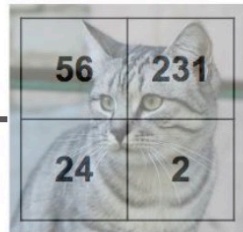
Input image



# Example: Represent an image with 4 pixels

Visual Viewpoint

Input image



$$f(x) = Wx + b$$

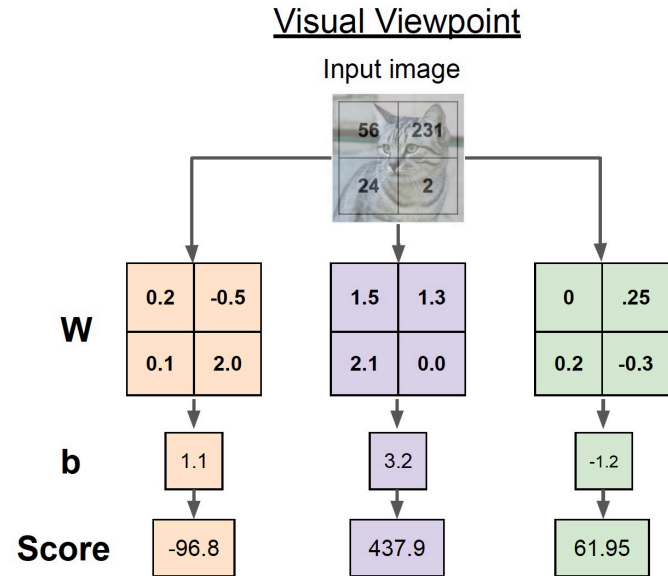
$$x \in \mathbb{R}^{3072} (32 \times 32 \times 3)$$

$$W \in \mathbb{R}^{3072}$$

$$b \in \mathbb{R}^1$$



# Example: Represent an image with 4 pixels



$$f(x) = Wx + b$$

$$x \in \mathbb{R}^{3072} \quad (32 \times 32 \times 3)$$

$$W \in \mathbb{R}^{3072}$$

$$b \in \mathbb{R}^1$$

Visualizing  $W$  in 10 different classes:



# Training the Linear Classifier

- Linear regression
- Logistic regression (next class)

# Training with Linear Regression

- Given the training data  $\{(x_1, y_1), \dots, (x_N, y_N)\}$ , drawn from distribution  $D$ .
- Find predictor  $f(x)$  so that it performs well on test (unseen) data drawn from the same distribution  $D$ .
- Potential problem: What if the data is not taken from the same distribution  $D$ ?

# How to evaluate "performs well"?

- Define an expected loss as,

$$\mathbb{E}_{(x,y) \sim D} [l(f, x, y)]$$

- To approximate the loss using  $N$  examples  $\{(x_1, y_1), \dots, (x_N, y_N)\}$ ,

$$\frac{1}{N} \sum_{i=1}^N l(f, x_i, y_i)$$

# Linear Regression

- Loss: Using L2 distance:

$$l(f, x_i, y_i) = (f(x_i) - y_i)^2 = (Wx_i + b - y_i)^2$$

- Average through all the examples

$$\frac{1}{N} \sum_{i=1}^N (Wx_i + b - y_i)^2$$



# Linear Regression

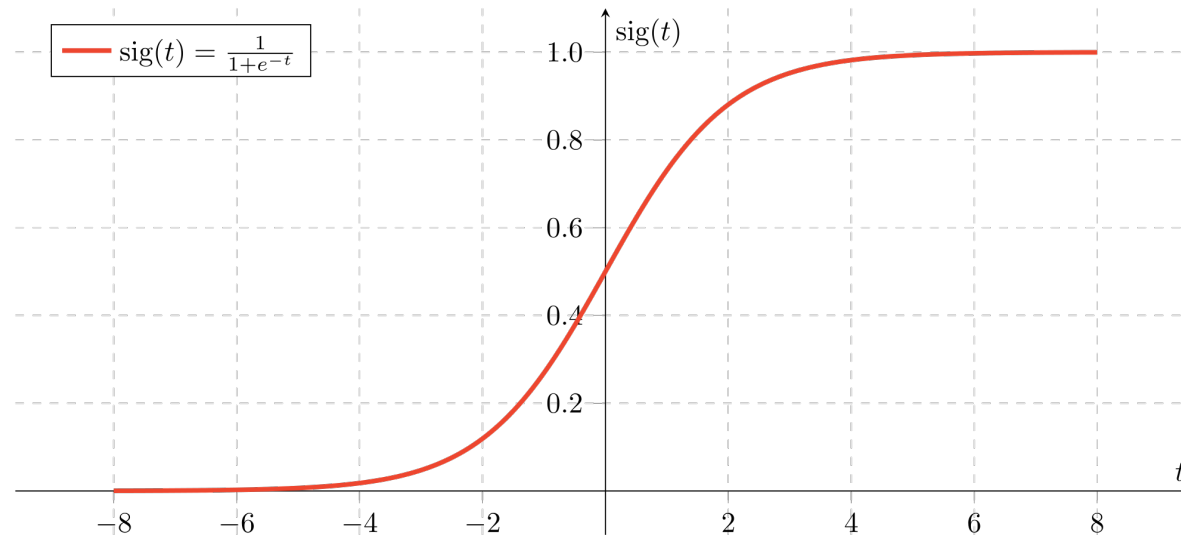
$$\frac{1}{N} \sum_{i=1}^N (Wx_i + b - y_i)^2$$

- In two-class classification:  $y \in \{-1, 1\}$ . However, there is no regulation to constrain the output range.
- In multiple-class case, for each class we perform two-class classification:  $y \in \{-1, 1\}$ .
- Not convenient for classification

# The Sigmoid Function (2-class)

- Squash the linear response of the classifier to the interval  $[0,1]$  to represent the prediction probability:

$$\sigma(Wx) = \frac{1}{1 + \exp(-Wx)}$$



# The Sigmoid Function (2-class)

- Thus we let  $P(y = 1|x) = \sigma(Wx) = \frac{1}{1 + \exp(-Wx)}$
- For the other category:

$$P(y = -1|x) = 1 - P(y = 1|x) = 1 - \sigma(Wx)$$

$$= 1 - \frac{1}{1 + \exp(-Wx)} = \frac{\exp(-Wx)}{1 + \exp(-Wx)}$$

$$= \frac{1}{\exp(Wx) + 1} = \sigma(-Wx)$$

The sigmoid function is *symmetric*:  $1 - \sigma(Wx) = \sigma(-Wx)$

# Logistic regression: Training Objective

- Given:  $\{(x_i, y_i), i = 1, \dots, n\}, y_i \in \{-1, 1\}$

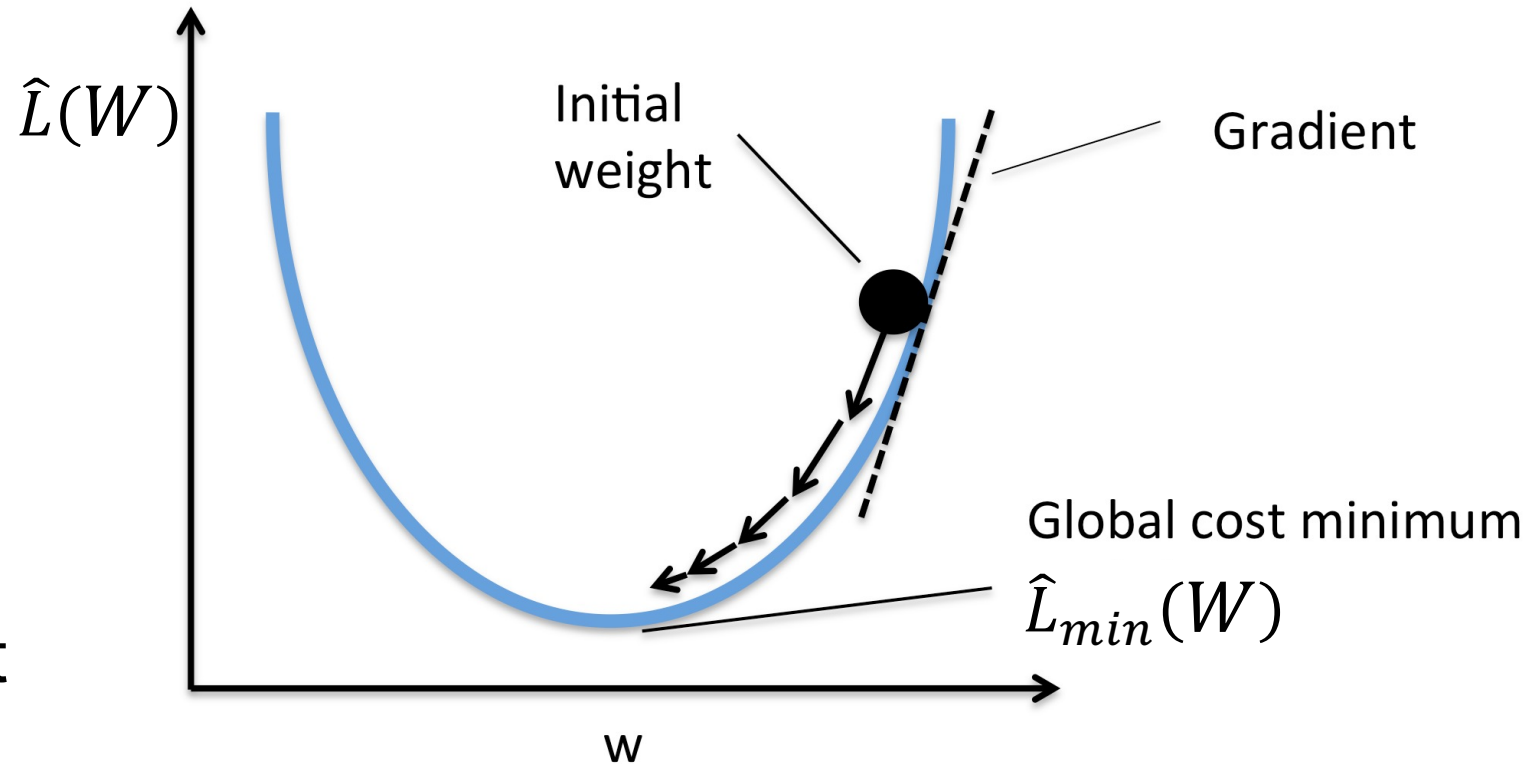
$$\begin{aligned}\hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \log P(y_i | x_i) \\ &= -\frac{1}{N} \sum_{i:y_i=1} \log \sigma(Wx_i) - \frac{1}{N} \sum_{i:y_i=-1} \log[1 - \sigma(Wx_i)] \\ &= -\frac{1}{N} \sum_{i:y_i=1} \log \sigma(Wx_i) - \frac{1}{N} \sum_{i:y_i=-1} \log[\sigma(-Wx_i)] \\ &= -\frac{1}{N} \sum_i \log \sigma(y_i Wx_i)\end{aligned}$$

# Optimization



# Gradient descent

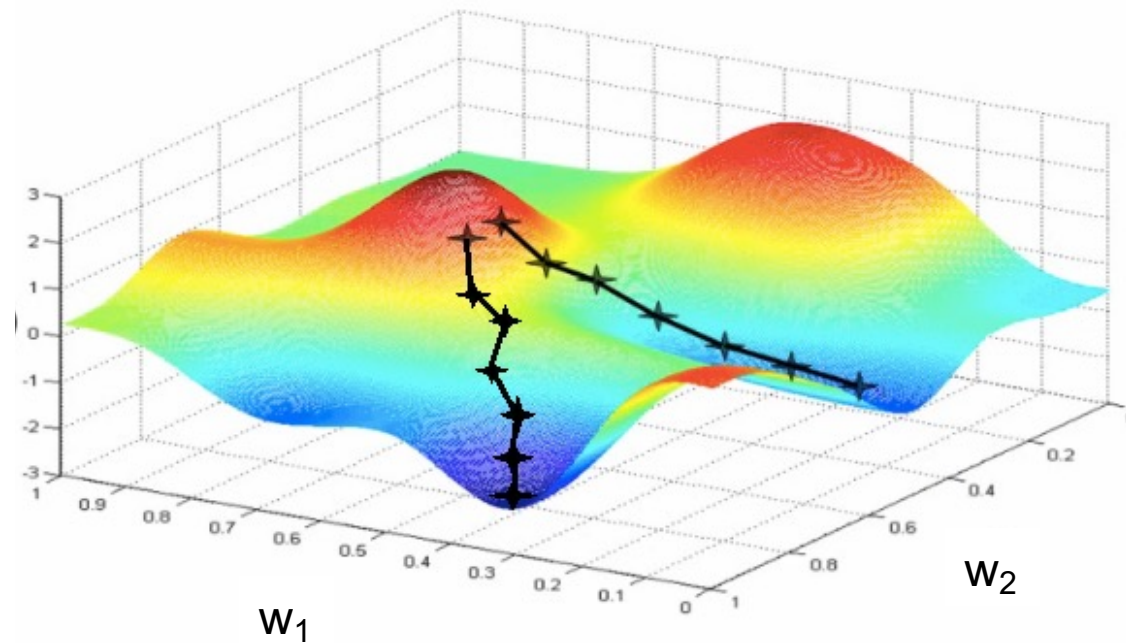
- Start with some initial estimate of  $W$ .
- At each step, compute the gradient  $\nabla \hat{L}(W)$ .
- Move in the opposite direction of the gradient



# 2D Example

Take a small step in the *opposite* direction, using learning rate  $\alpha$ :

$$W \leftarrow W - \alpha \nabla \hat{L}(W)$$



# Gradient descent for logistic regression

$$\hat{L}(W) = -\frac{1}{N} \sum_{i=1}^N \log \sigma(y_i W x_i)$$
$$\nabla \hat{L}(W) = -\frac{1}{N} \sum_{i=1}^N \nabla_w \log \sigma(y_i W x_i)$$

Derivative rule:

$$[\log(f(x))]' = \frac{f'(x)}{f(x)}$$

# Gradient descent for logistic regression

$$\begin{aligned}\hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \log \sigma(y_i W x_i) \\ \nabla \hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \nabla_w \log \sigma(y_i W x_i) \\ &= -\frac{1}{N} \sum_{i=1}^N \frac{\nabla_W \sigma(y_i W x_i)}{\sigma(y_i W x_i)}\end{aligned}$$

Derivative rule:

$$\sigma'(x) = \sigma(x)(1 - \sigma(x)) = \sigma(x)\sigma(-x)$$

# Gradient descent for logistic regression

$$\begin{aligned}\hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \log \sigma(y_i W x_i) \\ \nabla \hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \nabla_w \log \sigma(y_i W x_i) \\ &= -\frac{1}{N} \sum_{i=1}^N \frac{\nabla_W \sigma(y_i W x_i)}{\sigma(y_i W x_i)} \\ &= -\frac{1}{N} \sum_{i=1}^N \frac{\cancel{\sigma(y_i W x_i)} \sigma(-y_i W x_i) y_i x_i}{\cancel{\sigma(y_i W x_i)}}\end{aligned}$$

# Gradient descent for logistic regression

$$\begin{aligned}\hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \log \sigma(y_i W x_i) \\ \nabla \hat{L}(W) &= -\frac{1}{N} \sum_{i=1}^N \nabla_w \log \sigma(y_i W x_i) \\ &= -\frac{1}{N} \sum_{i=1}^N \frac{\nabla_W \sigma(y_i W x_i)}{\sigma(y_i W x_i)} \\ &= -\frac{1}{N} \sum_{i=1}^N \frac{\sigma(y_i W x_i) \sigma(-y_i W x_i) y_i x_i}{\sigma(y_i W x_i)} \\ &= -\frac{1}{N} \sum_{i=1}^N \sigma(-y_i W x_i) y_i x_i\end{aligned}$$



# Gradient descent for logistic regression

Update rule:

$$W \leftarrow W - \alpha \nabla \hat{L}(W)$$

$$\nabla \hat{L}(W) = -\frac{1}{N} \sum_{i=1}^N \sigma(-y_i W x_i) y_i x_i$$

Combine both:

$$W \leftarrow W + \alpha \frac{1}{N} \sum_{i=1}^N \sigma(-y_i W x_i) y_i x_i$$

We update the parameters iteratively, compute the gradient over all examples each gradient step

# Gradient descent for logistic regression

$$W \leftarrow W - \alpha \nabla \hat{L}(W)$$

- We can set  $\alpha = 0.1$  or other smaller number if the parameters diverge.
- However, it might be too slow to perform one update by calculating the gradients over all the training examples.
- Can we approximate the gradients more efficiently?

# Stochastic gradient descent (SGD)

- We approximate the gradient of the whole dataset  $\nabla \hat{L}(W)$  by using only ONE example  $(x_i, y_i)$  as  $\nabla L(W, x_i, y_i)$

- Instead of

$$W \leftarrow W + \alpha \frac{1}{N} \sum_{i=1}^N \sigma(-y_i W x_i) y_i x_i$$

- Use

$$W \leftarrow W + \alpha \sigma(-y_i W x_i) y_i x_i$$

- Since gradient on each example is unstable, it is “stochastic”

# Stochastic gradient descent (SGD)

- Instead of using only one example, or the whole dataset, we can try something in between.
- Sample a batch of examples (e.g.,  $B = 128$  examples) to compute the gradients for update

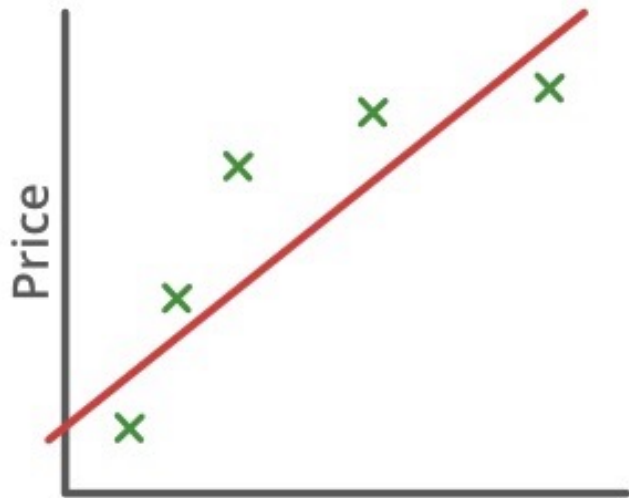
$$W \leftarrow W + \alpha \frac{1}{B} \sum_{i=1}^B \sigma(-y_i W x_i) y_i x_i$$

- batch size: A trade off between accurate gradient approximation and efficiency

# Regularization

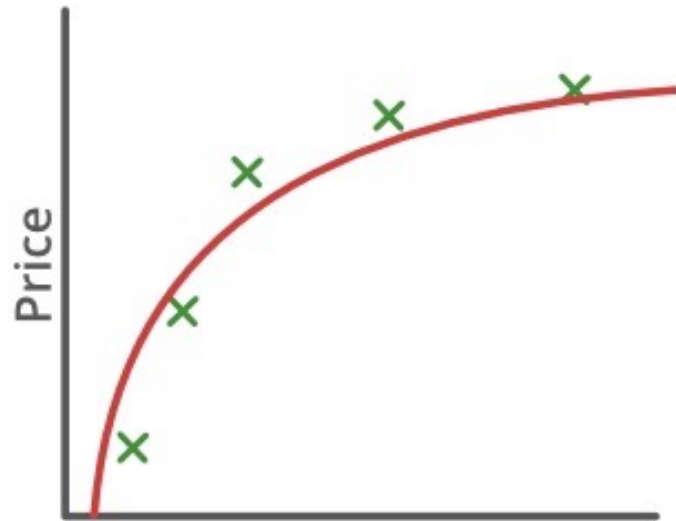
# Overfitting

We want to estimate a function to fit the green data points.



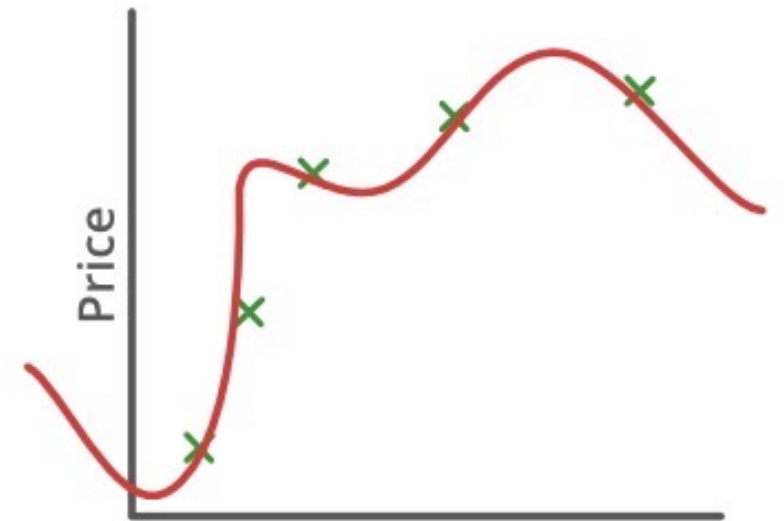
Size  
 $\theta_0 + \theta_1 x$

Underfit



Size  
 $\theta_0 + \theta_1 x + \theta_2 x^2$

Ideal fit



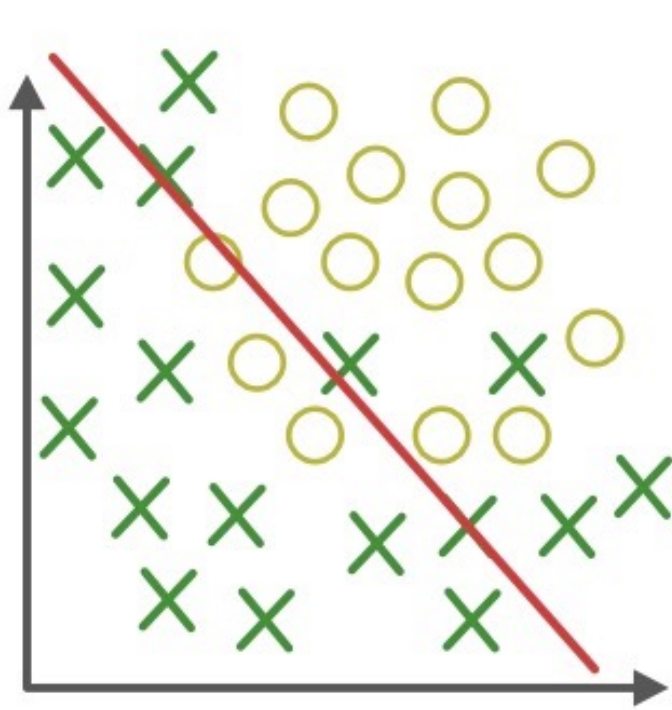
Size  
 $\theta_0 + \theta_1 x + \theta_2 x^2 + \theta_2 x^2 + \theta_2 x^2$

Overfit

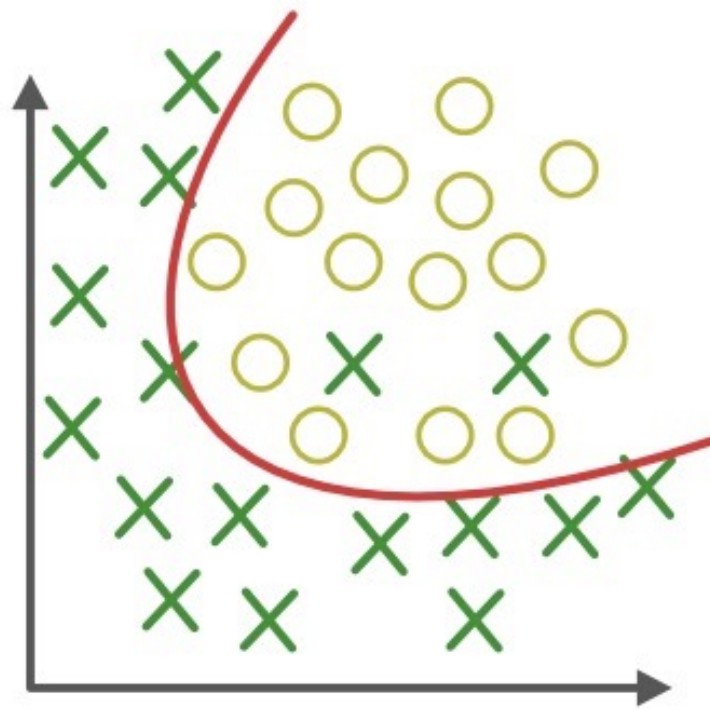


# Overfitting

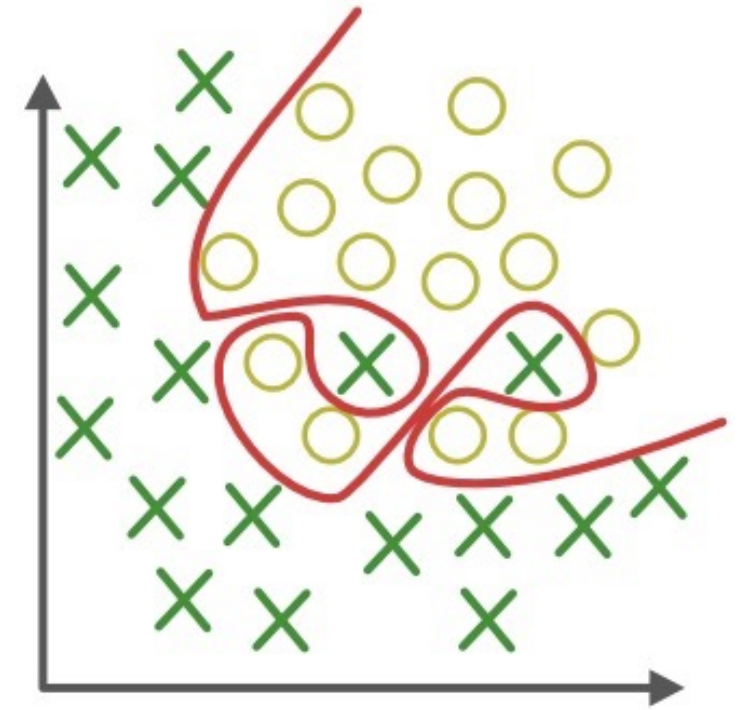
We want to estimate a classifier to separate two types of data.



Underfit



Ideal fit



Overfit

# One trick to prevent overfitting

- Adding regularization in training objective, L2 regularization:

$$\hat{L}(W) = \underbrace{\frac{\lambda}{2} \|W\|^2}_{\text{L2 regularization}} + \underbrace{\frac{1}{n} \sum_{i=1}^n L(W, x_i, y_i)}_{\text{Loss from data}}$$



$$W \leftarrow W - \alpha \left( \lambda W + \nabla_W \frac{1}{n} \sum_{i=1}^n L(W, x_i, y_i) \right)$$

# To prevent overfitting

$$W \leftarrow W - \alpha \left( \lambda W + \nabla_W \frac{1}{n} \sum_{i=1}^n L(W, x_i, y_i) \right)$$

Gradients from  
L2 regularization



Also called weight decay

We usually set  $\lambda = 0.00005$  in neural networks

# Compare K-NN and Linear classifier

- Do not need training
  - Time consuming in test time
  - Non-parametric, explicitly search through data
  - More robust to outliers, using larger K
- Need training
  - Time efficient in test time
  - Parametric, use parameters to "memorize" the dataset
  - Can be sensitive to outliers

# Next class

- Training Multi-Layer Perceptrons
- Back-propagation