

In memory of MICHAEL PRAETORIUS who got it right the first time

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> Stiller, Andrew. Handbook of instrumentation.

Bibliography: p. Includes index. 1. Instrumentation and orchestration. 2. Musical instruments. I. Title. MT70.S78 1994 781.64 (Original book: ISBN 0-9645431-0-9)

CD-ROM Edition: ISBN 0-9706231-0-0

### **Contents**

List of Figures iv

Preface to the CD-ROM Edition xiii

Preface to the Second Edition xv

Preface and Acknowledgments xvi

### HANDBOOK OF INSTRUMENTATION

I. Introduction 1

#### PART ONE MODERN INSTRUMENTS

II. The Woodwinds 13

III. The Brasses 63

IV. The Voice 97

V. The Percussion: General Considerations 118

VI. The Percussion: Drums 140

VII. The Percussion: Idiophones and Miscellaneous Instruments 172

VIII. The Keyboards 255

IX. The Strings 311

X. Electronics 368

#### PART TWO EARLY INSTRUMENTS IN MODERN RECONSTRUCTIONS

XI. Introduction to Early Instruments 389

XII. Early Winds and Percussion 395

XIII. Early Keyboards and Strings 437

Appendix I. Proposed Special Clefs for Very Low and Very High Notes477Appendix II. Student and Amateur Ranges of Common Instruments479Appendix III. Foreign-Language Equivalents of Terms Used in This Book483Appendix IV. Master List of Musical Examples503Appendix V. Manufacturer or Other Source of Instruments Figured509Bibliography of Works Consulted513

Index 517

# **Figures**

- 1. Pitch names 4
- 2. Quarter-tones 4
- 3. Modes of vibration of a plucked string 6
- 4. The harmonic series of the note  $C_0$ , 6
- 5. *Trompe-l'oreille* effect from voicecrossing in identical timbres 7
- 6. Formation of standing waves in cylindrical pipes 14
- 7. Clarinet mouthpiece 15
- 8 .The double reed 15
- 9. Modern reed instruments classified by bore shape and reed type 16
- 10. Key mechanisms 17

- 11. Fundamental scales of woodwinds 17
- 12. The flute family: piccolo; flute; alto flute; bass flute 25
- 13. The flute family vital statistics 26
- 14. Fingering chart for the flute 27
- 15. Special trill fingerings for the flute 28
- 16. Notation of the true glissando 31
- 17. Notation of the key rip 31
- 18. The oboe family: oboe; English horn; heckelphone 34
- 19. The oboe family vital statistics 35
- 20. Fingering chart for the oboe 36
- 21. Special trill fingerings for the oboe 37

- 22. The clarinet family: A<sup>b</sup> clarinet; E<sup>b</sup> clarinet;
  B<sup>b</sup> clarinet; alto clarinet; clarinet; contraalto clarinet; contrabass clarinet 40
- 23. The clarinet family—vital statistics 41
- 24. Fingering chart for the clarinet 42
- 25. Special trill fingerings for the clarinet 44
- 26. The saxophone family: soprano saxophone; alto saxophone; tenor saxophone; baritone saxophone; bass saxophone 50
- 27. The saxophone family—vital statistics 51
- 28. Fingering chart for the saxophone 52
- 29. Special trill fingerings for the saxophone 54
- 30. The bassoon family: bassoon; contrabassoon 56
- 31. The bassoon family—vital statistics 57
- 32. Fingering chart for the bassoon 58
- 33. Special trill fingerings for the bassoon 59
- 34. Fingering chart for the contrabassoon 60
- 35. Notes produced on a brass instrument by overblowing  $C_0$  64
- 36. Diagrammatic cross-section of a rotary valve 65
- 37. Diagrammatic cross-section of a piston valve 66
- 38. Normal fingering system of three-valve brass instruments 66
- 39. Extremes of brass-instrument mouthpiece shape 67

- 40. Common brass doublings 67
- 41. Fingering chart of half-valve octaves, calculated for an instrument whose open fundamental is  $C_0$  69
- 42. The horn 72
- 43. The horn—vital statistics 72
- 44. Valve combinations for the lowest notes of the horn 73
- 45. Horn mutes 75
- 46. The trumpet family: piccolo trumpet;
  e<sup>b<sup>1</sup></sup> trumpet; b<sup>b<sup>0</sup></sup> trumpet; tenor trumpet;
  bass trumpet 77
- 47. The trumpet family—vital statistics 78
- 48. Relative availability of trumpet in C,  $B^{\flat}$ , F, and  $E^{\flat}$  79
- 49. German cavalry fingering 81
- 50. Schematic longitudinal sections of various trumpet mutes in place 82
- 51. The trombone family: trombone; contrabass trombone 86
- 52. The trombone family—vital statistics 86
- 53. Slide positions for the low register of the trombone 87
- 54. Awkward trombone slurs 89
- 55. The tuba family: flügelhorn; alto horn; baritone horn; bass tuba; contrabass tuba 91
- 56. The tuba family—vital statistics 92

- 57. Valve combinations for the lower register of the contrabass tuba in B<sup>b</sup> 93
- 58. Placement of the tongue for rounded vowels 103
- 59. Placement of the tongue for unrounded vowels 103
- 60. Comparison of old and new systems of vocal notation 104
- 61. Approximate pitches at (and above) which pairs of vowels are indistinguishable from each other 105
- 62. The voice-vital statistics 106
- 63. Classical vocal types classified by basic vocal range and timbre 110
- 64. Differences between speaking and singing 113
- 65. Whistling—vital statistics 115
- 66. The lower modes of vibration of a drumhead and a rectangular bar 119
- 67. Lower partials of a rectangular bar tuned to  $c^1$  119
- 68. Partials of a drum head tuned to  $c^0$  120
- 69. Partials of a timpano tuned to  $c^0$  120
- 70. A set of traps 123
- 71. Example of percussion notation 127
- 72. "Rubber series" mallets: unwound rubber mallet; hard wound mallet; medium wound mallet; soft wound mallet 129
- 73. "Objective" names of rubber-series mallets 130

- 74. Felt sticks: hard timpani stick; medium timpani stick; soft timpani stick; bass drum beater; gong beater 131
- 75. Miscellaneous sticks and mallets: snare stick; wooden timpani stick; chime mallet; switch; wire brush; metal glockenspiel stick; triangle beater; superball stick 132
- 76. Kettle drums: timpani; small kettle drums 142
- 77. Timpani—vital statistics 143
- 78. Notation used for frequent changes in the pitches of individual timpani 144
- 79. Small kettle drums—vital statistics 148
- 80. Tomtoms: tomtoms; timbales 149
- 81. Tomtoms-vital statistics 150
- 82. Timbales—vital statistics 152
- Bouble-headed drums: snare drum; field drum; long drum; small doubleheaded drum; tenor drum; bass drums; Indian drums 153
- 84. Snare drums—vital statistics 154
- 85. Double-headed drums—vital statistics 156
- 86. The Indian drum—vital statistics 158
- 87. Frame drums: tambourine; rototoms 159
- 88. The tambourine—vital statistics 160
- 89. Rototoms—vital statistics 161
- 90. Miscellaneous drums: bongos; congas; dumbegs, boobams 163

- 91. Bongos and congas—vital statistics 164
- 92. Suggested notations for finger-style playing 165
- 93. Dumbegs-vital statistics 166
- 94. Boobams-vital statistics 168
- 95. String drums 169
- 96. The string drum—vital statistics 170
- 97. Rattles: sistrum; jingles; Mexican bean; maraca; soft rattle; cabasa; shekere; sleighbells; wooden string rattle; string of jingle bells; chains 173
- 98. Sistra—vital statistics 174
- 99. True rattles—vital statistics 175
- 100. Cabasa and shekere—vital statistics 176
- 101. Sleighbells—vital statistics 177
- 102. String rattles—vital statistics 178
- 103. Windchimes: wood; glass; shell; metal; Mark tree 180
- 104. Windchimes and Mark tree—vital statistics 181
- 105. The vibraslap—vital statistics 182
- 106. Vibraslap, ratchets, and rasps: vibraslap; ratchet; güiro; sandblocks; washboard; bell tree 184
- 107. Ratchets—vitalstatistics 185
- 108. Rasps-vital statistics 185
- 109. Castanets and slapsticks: castanets; metal castanets; slapsticks 187

- 110. Castanets—vital statistics 188
- 111. The slapstick—-vital statistics 188
- 112. Rods and coils: rods; triangles; clock coil; auto coil 189
- 113. Rods-vital statistics 190
- 114. Triangles—vital statistics 191
- 115. Coils—vital statistics 192
- 116. Solid blocks: claves; Tibetan prayer stones; anvils; softwood planks; practice pad 193
- 117. Claves and stones—vital statistics 194
- 118. Anvils and softwood planks—vital statistics 195
- 119. Slabs—vital statistics 197
- 120. Drum idiophones: wood plate drums; ping-pong pan; cello pan; bass pan; water gourd 198
- 121. Wood plate drums—vital statistics 199
- 122. Metal cans-vital statistics 199
- 123. Steel drums—vital statistics 201
- 124. Distribution of pitches on steel drums 202
- 125. The water gourd—vital statistics 204
- 126. Hollow blocks: woodblock; piccolo woodblock; slit drums 204
- 127. Woodblocks-vital statistics 205
- 128. Cross-section of a woodblock 205
- 129. Slit drums-vital statistics 206

- 130. Bells: temple blocks; mokugyo; bells; glass bells; almglocken; flowerpots; cencerros; agogo bells; glass bottles; electric bell 207
- 131. Temple blocks and mokugyos—vital statistics 208
- 132. Bells-vital statistics 209
- 133. Choices of sticks for bells 211
- 134. "Semi-dry" bells—vital statistics 212
- 135. Dry-sounding bells—vital statistics 213
- 136. The electric bell-vital statistics 214
- 137. Metal tongue instruments: treble kalimba; alto kalimba; marimbula—traditional; marimbula—commercial; lujon 216
- 138. Kalimbas and marimbulas—vital statistics 217
- 139. The two basic patterns for the tongues of a sansa 218
- 140. The lujon—vital statistics 219
- 141. Warped sheet instruments: musical saw lap model; musical saw—floor model; flexatone; thundersheet 220
- 142. The musical saw—vital statistics 220
- 143. The flexatone—vital statistics 221
- 144. The cricket—vital statistics 222
- 145. The thundersheet—vital statistics 222
- 146. The cymbal family, I: suspended cymbals; sizzle cymbal; Chinese cymbal; crash cymbals; finger cymbals; hi-hat 224
- 147. Suspended cymbals—vital statistics 225

- 148. Crash and finger cymbals—vital statistics 226
- 149. The hi-hat—vital statistics 229
- 150. The cymbal family, II: gongs; tam-tams; bell plates; crotales—hand type; crotales—mallet type 230
- 151. Gongs and tam-tams—vital statistics 231
- 152. Bell plates—vital statistics 232
- 153. Crotales-vital statistics 233
- 154. Chime instruments: chimes; metal tubes; brake drum 235
- 155. Chimes—vital statistics 236
- 156. Metal tubes—vital statistics 237
- 157. Brake drums—vital statistics 237
- 158. Mallet instruments: xylophone; marimba; bass marimba; glockenspiel; vibraphone; lithophone 239
- 159. The xylophone family—vital statistics 240
- 160. The vibraphone family—vital statistics 245
- 161. The vibrato mechanism of the vibraphone 247
- 162. Lithophones—vital statistics 248
- 163. Miscellaneous "percussion": wind machine; squeak; friction birdcall; crow or duck call; razzer; water whistle; signal whistle; referee's whistle; slide whistle; ocarina; siren; mouth siren 250
- 164. The wind machine—vital statistics 251
- 165. Friction squeaks—vital statistics 251

- 166. Whistles and buzzers—vital statistics 251
- 167. The slide whistle—vital statistics 252
- 168. The ocarina—vital statistics 253
- 169. The siren—vital statistics 253
- 170. The mouth siren—vital statistics 254
- 171. Keyboard instruments classified by sustaining power and touch sensitivity 256
- 172. Limits on spacing of intervals and chords on a keyboard instrument 258
- 173. Keyboard idiophones: keyboard glockenspiel; celesta; toy piano 263
- 174. Keyboard glockenspiel and celesta—vital statistics 264
- 175. The toy piano—vital statistics 264
- 176. Full-size grand piano with cover removed 266
- 177. The piano—vital statistics 267
- 178. Non-sustaining electric keyboards: electric piano; clavinet 276
- 179. The electric piano—vital statistics 277
- 180. The clavinet—vital statistics 278
- 181. Free-reed instruments: chromatic harmonica; double bass harmonica; bass harmonica; melodica; melodica bass; accordion 280
- 182. Harmonicas—vital statistics 281
- 183. A free reed 282
- 184. Melodicas—vital statistics 283
- 185. The accordion—vital statistics 284

- 186. The Stradella system of accordion basses and chord buttons 285
- 187. Pattern of left-hand buttons on a chromatic accordion 286
- 188. A typical organ console 289
- 189. Diagrammatic sagittal sections of organ pipes 290
- 190. The organ—vital statistics 291
- 191. Sustaining electric keyboards: electric organ; melotron; performance synthesizer 302
- 192. The electric organ—vital statistics 303
- 193. The melotron—vital statistics 304
- 194. The performance synthesizer vital statistics 305
- 195. Ondes martenot 306
- 196. Ondes martenot—controls for the left hand 308
- 197. The ondes martenot—vital statistics 309
- 198. Diagrammatic sagittal section of a string instrument with fingerboard 312
- 199. Fingerings for notes in first position on the violin and guitar 313
- 200. Harmonics theoretically generatable from the note  $c^0$  314
- 201. The cimbalom and its beaters 315
- 202. The cimbalom—vital statistics 316
- 203. Layout of pitches on the cimbalom 317
- 204. The harp 318

- 205. Details of harp mechanism 319
- 206. The harp—vital statistics 320
- 207. The acoustic guitar and related instruments: mandolin; classical guitar; folk guitar; twelve-string guitar; five-string banjo 326
- 208. The guitar—vital statistics 327
- 209. The mandolin-vital statistics 336
- 210. The banjo—vital statistics 337
- 211. Electric guitars: electric guitar; electric bass guitar; pedal steel guitar; Chapman stick 341
- 212. Electric guitars—vital statistics 342
- 213. The pedal steel guitar—vital statistics 345
- 214. Single-neck pedal steel guitar—standard copedant 346
- 215. Double-neck pedal steel guitar—standard copedants 347
- 216. The Chapman stick—vital statistics 350
- 217. The violin family: violin; viola; violoncello; contrabass 352
- 218. The violin family—vital statistics 353
- 219. Detail of low  $C_1$  extension mechanism for the contrabass 354
- 220. Maximum single-string interval stretches on members of the violin family 357
- 221. Violin mutes: traditional type; newer style; practice mute 364
- 222. Relationships between soundmodifying and sound-producing devices 369

- 223. A typical Moog studio synthesizer 371
- 224. Oscillator waveforms 372
- 225. Computer-generated approximation of a sine wave 379
- 226. Studio-type reel-to-reel tape deck 381
- 227. Recorders: garklein; Renaissance sopranino; Renaissance soprano; Baroque alto; Renaissance alto; medieval alto; Renaissance tenor; Baroque bass; Renaissance bass; great bass; contrabass 396
- 228. The recorder family—vital statistics 397
- 229. Fingering chart for Baroque alto recorder 399
- 230. Special trill fingerings for the (Baroque alto) recorder 400
- 231. Recorder relatives: soprano gemshorn; alto gemshorn; tenor gemshorn; bass gemshorn; soprano tabor pipe 402
- 232. Fingering chart for soprano tabor pipe 402
- 233. Renaissance flutes: soprano; alto; tenor; bass 403
- 234. Renaissance flutes—vital statistics 404
- 235. Fingering chart for tenor Renaissance flute 404
- 236. The shawm family: sopranino; soprano; alto; tenor; bass; great bass 406
- 237. The shawm family—vital statistics 407
- 238. Fingering chart for soprano shawm 408
- 239. Special trill fingerings for the soprano shawm 408

- 240. Extension tones for tenor shawm 409
- 241. Crumhorns: soprano; alto; tenor; bass; great bass 410
- 242. Cornamuses: soprano; alto; tenor; bass 412
- 243. The crumhorn family—vital statistics 413
- 244. Diagrammatic section of the top of a crumhorn 414
- 245. Other reedcap instruments: soprano kortholt; alto kortholt; tenor kortholt; bass kortholt; sopranino rauschpfeife; soprano rauschpfeife; alto rauschpfeife; tenor rauschpfeife; alto schryari; tenor schryari; bass schryari 415
- 246. The kortholt family—vital statistics 416
- 247. Rauschpfeifen and schryari—vital statistics 417
- 248. Curtals: soprano; alto; tenor; bass; great bass; contrabass 418
- 249. The curtal family—vital statistics 419
- 250. Fingering chart for the bass curtal 420
- 251. Racketts: tenor; bass; great bass; contrabass; Baroque 421
- 252. The rackett family—vital statistics 422
- 253. Fingering chart for the Renaissance bass rackett 422
- 254. Special trill fingerings for the Renaissance bass rackett 423
- 255. Fingering chart for the Baroque rackett 423
- 256. Sordunes: tenor; bass; great bass; contrabass 424

- 257. Sordunes-vital statistics 425
- 258. The cornett family: cornettino; cornett; tenor cornett; serpent 426
- 259. The cornett family—vital statistics 427
- 260. Fingering chart for the cornett 428
- 261. Special trill fingerings for the cornett 429
- 262. Fingering chart for the cornettino 430
- 263. Early brass instruments: slide trumpet; soprano sackbutt; alto sackbutt; tenor sackbutt; bass sackbutt 432
- 264. Sackbutts and slide trumpet vital statistics 433
- 265. Diagrammatic longitudinal section of a Baroque trumpet mute in place 434
- 266. Chart of slide positions for the lower register of the slide trumpet 434
- 267. Early percussion instruments: nakers; timbrel; Renaissance triangle; early castanets 435
- 268. Early stringed keyboards: harpsichord; clavichord 438
- 269. The harpsichord—vital statistics 439
- 270. The clavichord—vital statistics 442
- 271. Small organs: portative organ; positive organ; regal 444
- 272. Early organs—vital statistics 445
- 273. Psalteries and dulcimer: "pig's head" psaltery; medicinale; dulcimer 446
- 274. Psaltery and dulcimer-vital statistics 447

- 275. Early harps: medieval; Gothic; Irish 449
- 276. Early harps—vital statistics 450
- 277. Medieval lyre, with bow 451
- 278. The lyre—vital statistics 451
- 279. The lute family: 6-course lute; theorboed lute; theorbo; chitarrone 453
- 280. The lute family—vital statistics 454
- 281. A sample of lute tablature and its transcription 456
- 282. Intabulation of diapason strings 456
- 283. The cittern family: citole; "gittern"; cittern; bandora; orpharion; ceterone 457
- 284. The cittern family—vital statistics 459
- 285. Early guitars: Renaissance guitar; vihuela; Baroque guitar 460
- 286. Early guitars—vital statistics 461
- 287. The viol family: pardessus de viole; treble viol; alto viol; tenor viol; bass viol; violone da gamba 463

- 288. The viol family—vital statistics 464
- 289. Maximum single-string left-hand interval stretches for tenor viol and violone 465
- 290. Viola d'amore and baryton 467
- 291. Viola d'amore and baryton—vital statistics 468
- 292. Rebecs: soprano; alto; tenor; bass 469
- 293. Rebecs—vital statistics 470
- 294. Fiddle and liras: fiddle; lira da braccio; lira da gamba 471
- 295. The fiddle—vital statistics 471
- 296. Liras—vital statistics 472
- 297. Hurdy-gurdies: organistrum; symphonia 473
- 298. Medieval hurdy-gurdies—vital statistics 474
- 299. Mechanism of: the organistrum; the symphonia and later hurdy-gurdies 475

# **Preface to the CD-ROM Edition**

The publication of this CD-ROM edition of the *Handbook of Instrumentation* affords a welcome opportunity to note some recent changes in instrument manufacture and usage. As noted in the Preface to the Second Edition, the world of electronic instruments has been so utterly transformed since this book was written that nothing short of a complete revision could possibly do justice to the new developments. Instruments of other sorts have not altered so rapidly, but it is now seventeen years since I typed the last words of this book, and a number of significant changes have occurred among even some quite traditional instruments.

First of all, there has been a strong growth of interest in the extremes of the saxophone family. The rare **sopranino saxophone in**  $\mathbf{E}^{\flat}$  has developed a serious constituency and can no longer be dismissed as a mere novelty. The part written for it in Ravel's *Bolero* is now as likely as not to be played on the instrument for which it was written. For all that, however, it is not a very useful instrument, sounding distinctive only in the bottom sixth of its range. Above that, it sounds like the upper register of an ordinary clarinet.

At the opposite extreme lies the extraordinary **contrabass saxophone in E**<sup>b</sup>, two meters tall, with a bell the size of a tuba's. Dead and buried when I first wrote, it is now not only exhumed, but twitching on the slab, its manufacture having resumed after a lapse of almost ninety years. Fifteen of the beasts are now in active use, with more, presumably, to come. Meanwhile, the **bass saxophone**, though still rare, has become much easier to find, and the low A extension of the **baritone saxophone** has become almost standard among professionals.

A recent work that should be studied by anyone interested in the **horn** is György Ligeti's astounding 1982 Trio for horn, violin and piano. Orchestral horn players now increasingly favor a triple horn in  $F/B^{\flat}/high F$ , since pieces requiring the top extension tones (not only new works but revived Haydn symphonies and so on) are much more likely to be encountered than formerly. On such instruments the  $B^{\flat}$  side of the instrument is often the default configuration, and one of the two thumb valves must be depressed to bring on the formerly normal low F side.

The **cornet** has stepped back from the brink of assimilation into the trumpet, with both players and manufacturers taking pains to recapture the mellow, burnished tone of the instrument's heyday. This has in turn led to a retreat by the **flügelhorn**, less commonly seen than it was twenty years ago. To me this is a pity, since the flugelhorn remains the more distinctive timbre, and when provided with four valves (as the cornet never is) possesses a truly impressive range.

The **contrabass trombone** has undergone an interesting metamorphosis, in that manufacturers are now providing under that name instruments that are really just bass trombones with an unusually wide bore. This gives the instrument the thick, ponderous tone of the true contrabass, and strengthens the

pedal tones of the F and  $E^{\flat}$  (or D) triggers, so that the range is extended down to at least  $B^{\flat}_{2}$ . In exchange, the upward range is limited, so that it is probably unwise to write above  $f^{1}$  for trombones of this type. Because they are much cheaper than true contrabass trombones, and can also legitimately be used for ordinary bass trombone parts, this type of contrabass has proven quite popular among players—enough so to lift the contrabass trombone out of the "very rare" category into the merely rare.

The **euphonium** has changed not a whit—save that the main American association of tuba players has decided that this should be the preferred general name for that confusing cluster of near-synonymous tenor tubas for which I originally preferred the name "baritone horn." I accede to the professionals: "euphonium" it shall be.

On the **marimba**, the downward extension tones to  $A_0$  have become standard, except on student instruments, and new extension tones, down to  $C_0$  are to be found on quite a few new instruments. This in turn seems to have driven the **bass marimba** from the field; I have not done a thorough survey of manufacturers, but it has been a long time since I have seen one of these. In any event, the new extended marimbas are far more common than the bass marimba ever was.

There are three "new" percussion instruments to welcome to the fold, all of them exotics that have been adopted into the ever-growing Western percussion department. The **talking drum** of Africa (usually available) is a waisted, double-headed drum with numerous lashings connecting the two heads, which are tuned to the same pitch. The drum is held under the arm, and by squeezing the lashings the pitch can be raised across approximately an octave from about  $c_0$  upward. The value of this drum, however, lies not in an array of fixed pitches, but in its ability to scoop, whoop, and dive in quick glissandos reminiscent of speech contours. For this reason it is best notated without fixed pitches, though the pitch produced is very clear. It is traditionally played, like many African drums, with a curved wooden stick, though it can also be struck with the hands.

The **rain stick** (ubiquitous) is a hollow wooden tube with numerous internal shelves, and containing seeds or gravel that rattle down the shelves in a very realistic rain imitation when the stick is upended. Large sticks are lower-pitched than small ones, and can sustain a longer sound: up to six seconds as opposed to two seconds for the smallest specimens. Large rain sticks are also the loudest, but even the very largest is scarcely louder than *pianissima*. In order to achieve complete control over the duration of the sound, a player should use two rain sticks of the same size; by alternately inverting the two, the characteristic rain sound can be continued indefinitely, and by turning a stick sideways the sound can be cut short (though a true staccato is impossible).

Finally, there is the rare (on these shores) **Chinese opera gong**, a small, domeless gong whose pitch scoops on the attack, giving it a characteristic "bwee" or "bwang" sound. This unique timbre is only available at dynamic levels between *p* and *f*, at louder or softer levels the scooping effect will not occur.

Andrew Stiller August 30, 2000

# **Preface to the Second Edition**

Save for this preface and the correction of a number of typographical errors, the Second Edition of the *Handbook of Instrumentation* is an unaltered reprint of the first. I have, however, reduced both the size and the price of the volume so as to make of it what its title declares, and what it was always intended to be: a practical desk-reference for composers and arrangers.

The instruments in the core of the book (Chapters I - VII and IX) have changed very little in the ten years since it was first published. Any changes I would introduce today would be largely matters of tone and emphasis, not content. I would, however, add two musical examples: for the harp, R. Murray Schafer's *The Crown of Ariadne*, and for the harmonica, William Russo's entertaining *Street Music*.

Electronic instruments, on the other hand, have undergone such a ferment of evolution that my Chapter X is now, I fear, of purely historic interest. Were I to undertake a thorough revision of the book Chapters VIII and X would be reorganized into three chapters, the first dealing with acoustic and electroacoustic keyboard instruments in much the same terms as they are here described, the second devoted to MIDI controllers (including string, percussion, and wind controllers), and the third devoted to the maddening intricacies of MIDI itself. The age of analog electronics, captured at its height in my original Chapter X, is over. As far as electronic keyboards are concerned, the digital revolution has collapsed a number of instruments together, melding the electric organ, the electronic piano and harpsichord, and the studio and performance synthesizers into a single (if highly variable) instrument now called "synthesizer" or, baldly, "electronic keyboard." Electronic organs, properly so called, are now built solely as pipe-organ substitutions. The electric (i.e., electroacoustic) piano, however, remains what it always was. The melotron, poor clumsy thing, and all its analog ilk have been replaced by the elegant and potentially very powerful sampling keyboard, of which, like everything else in this paragraph, you will have to read elsewhere.

And Part II? The early-music revival having reached a plateau, surprisingly little has changed here. One major alteration must however be noted in Chapter XI: it is now generally accepted that except for dance music and keyboard transcriptions, all polyphony before 1450 was intended for performance by the human voice alone.

> Andrew Stiller February 1, 1995 Philadelphia

# **Preface and Acknowledgments**

As no book of this sort has previously appeared in English, it will perhaps forestall misunderstandings if its scope and intent are outlined at the start.

Handbook of Instrumentation is a guide to the potentials and limitations of every instrument currently in use for the performance of classical and popular music in North America. Non-Western, folk, and educational instruments are not covered; and while over ninety percent of what is included here applies equally well to Europe, Central and South America, and Australia, no special attempt has been made to delineate the differences between the musical practices of those regions and of North America. Further, the book is strictly limited to current practice and is in no sense a history either of instruments or of instrumentation. It is for this reason that, at least in Part One, very few of the works cited as examples pre-date the twentieth century.

Within these limits I have endeavored to be as comprehensive as possible, and to anticipate every question, naïve or sophisticated, that could conceivably arise from any quarter. I am well aware that such an attempt can never be entirely successful, and I would like to beg of my readers not only their indulgence for any sins of omission or commission perpetrated in these pages, but their aid in pointing out to me any areas where expansion or correction might be desirable.

In order that the book be as useful as possible to students as well as professionals, I have not cast it in dictionary or encyclopedia form, but in that of a text, which may profitably be read straight through. Instruments are covered in standard orchestral order, and those who wish to look up a specific point will find that most instruments can be easily located simply by flipping through and looking at the illustrations and chapter subheads. The index is designed for quick reference and glossary use. The main or defining entry for each term is given in boldface.

A compendium of this sort cannot be put together without the aid of many people. I must of course bear the final responsibility for the information contained between these covers, but that does not make any less real my debt to all those who have patiently borne my constant badgering for information, or who have submitted their instruments to strange experiments in order to determine under what conditions such-and-such an effect is possible or impossible. I am particularly grateful to Dr. Charlotte Roederer for convincing me to begin the project; to my uncle, Richard Stiller, for guiding me through the ins and outs of book publishing; and to Carol Bradley and the rest of the staff of the Music Library at the State University of New York at Buffalo for their unfailing and unflappable help in locating and even acquiring for the library "many a quaint and curious volume of forgotten lore." Donald Miller, Ernestine Steiner, and Robin Willoughby provided valuable advice in the rendering of the musical examples.

The following people assisted me with all or part of the chapters under which their names are listed.

Chapter II: Lejaren Hiller, Paul Schlossman, Edward Yadzinsky. Chapter III : Frank Cipolla, Don Harry, Roger Larsson, Lee Lovallo, Ronald Mendola, Donald Montalto. Chapter IV: Sylvia Dimiziani, Eve Harwood, Judith Kerman, Elaine Moise, Roger Parris, Robert and Robin Willoughby. Chapters V-VII: John Boudler, Albert Furness, Donald Knaack, Elaine Moise, Jan Williams. Chapter VIII : Steven Bradley, David Cohen, Mark Freeland, Christos Hatzis, Guy Klucevsek, Jean Laurendeau, Kathleen Law, Ernestine Steiner. Chapter IX: Jackson Braider, Emmett Chapman, Mario Falcao, Mark Freeland, John Green, Marsha Hassett, Elias Kaufman, Steve Marvin, Norbert Osterreich, Greg Piontek, Jennifer Stiller, Geoffrey Stokes, Robin Willoughby. Chapter X: Steven Bradley, Robert Coggeshall, Lejaren Hiller, Norbert Osterreich. Chapter XII: Floyd Green, Philip Levin, John Lindberg, Elaine Moise. Chapter XIII: Marsha Hassett, John Hsu, John Lindberg, Ernestine Steiner, Barbara Wise. Appendix III :Timothy Fox, William Ortiz Alvarado.