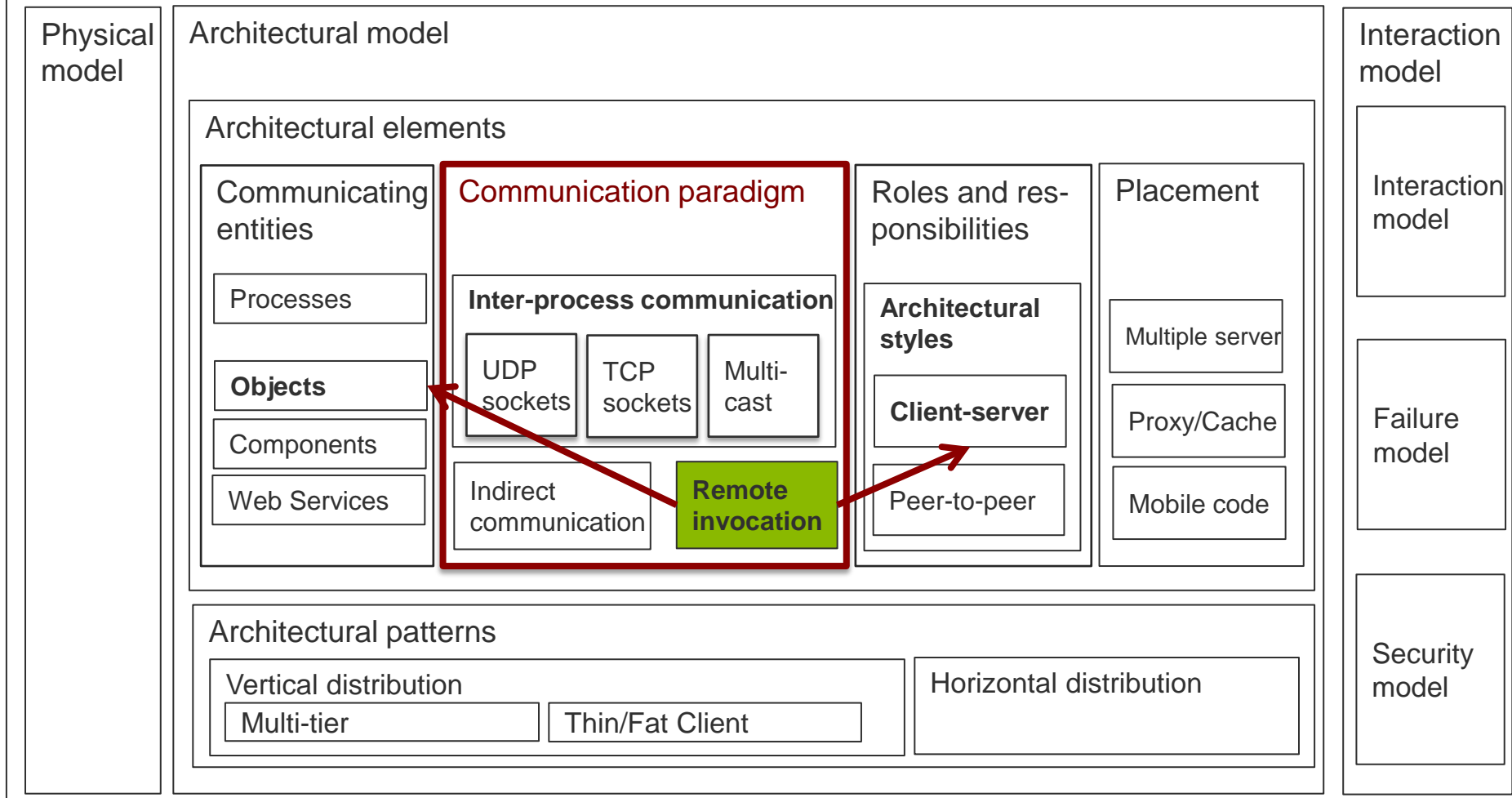# Indirect Communication I

Netzprogrammierung

(Algorithmen und Programmierung V)

Our topics last week

Descriptive models for distributed system design

Physical model	Architectural model					Interaction model

Architectural elements

Communicating entities	Communication paradigm	Roles and responsibilities	Placement
Processes	**Inter-process communication**	**Architectural styles**	Multiple server
Objects	UDP sockets / TCP sockets / Multi-cast	**Client-server**	Proxy/Cache
Components			
Web Services	Indirect communication / **Remote invocation**	Peer-to-peer	Mobile code

Architectural patterns

Vertical distribution — Multi-tier — Thin/Fat Client

Horizontal distribution

Interaction model

Failure model

Security model

Our topics today

- Key properties of indirect communication
- Space and time uncoupling

- Group communication
  - Key properties
  - Programming model
  - Implementation issues

- Publish and subscribe systems
  - Key properties
  - Programming model (esp. topic-based, content-based, type-based)
  - Implementation issues (p/s architectures)

Indirect Communication
Introduction

Indirect communication is defined as communication between entities in a distributed system through an intermediary with no direct coupling between the sender and the receiver(s).

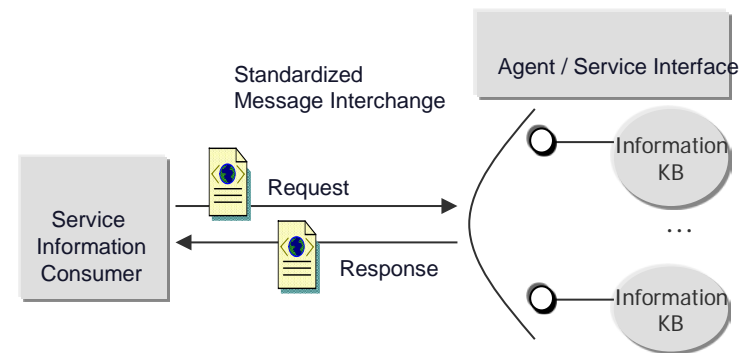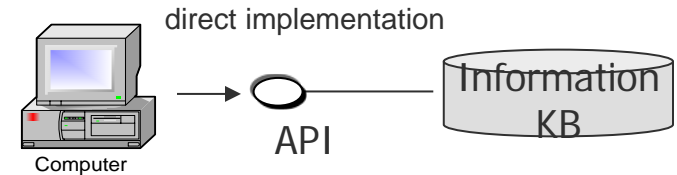# Coupling Approaches for Distributed Systems

Strong coupling
- Interaction through a stable interface
- **API call is hard coded**

Loose coupling
- Resilient relationship between two or more systems or organizations with some kind of exchange relationship
- Each end of the transaction makes its requirements explicit, e.g. as an **interface description**, and makes few assumptions about the other end

Decoupled
- **de-coupled in space and time using (event) messages** (e.g. via Message-oriented Middleware (MoM), publish-subscribe,)
- Often **asynchronous stateless indirect communication** (e.g. publish-subscribe or complex event processing systems)

direct implementation

Computer

API

Information KB

Standardized Message Interchange

Agent / Service Interface

Service Information Consumer

Request

Response

Information KB

…

Information KB

Event Streams
(ordered events)

Event Cloud

new auto pay
account login
logout
deposit
withdrawal
new auto pay
transfer
login
logout
account login
deposit
enquiry
enquiry
account balance
request
incident
enquiry
new auto pay
login
(unordered events)
deposit
withdrawal
new auto pay
transfer
logout
account login
enquiry
deposit
activity history

Distributed Systems – Coupling Approaches

Strong coupling

- Hard to change since subsequent changes in implementation are needed (-)

Loose coupling

- Enhanced flexibility; a change in one module will not require a change in the implementation of another module (+)
- Example: (Web) Services, which are called via interface; service behind interface might be replaced

Decoupled

- Asynchronous communication (+)
- Parallel processing (+)
- Difficult to ensure transactional integrity (-)
- Issues in maintaining synchronisation (-)
- Example: Event-driven Publish/Subscribe; events are received and sent

Key properties

Space uncoupling

The sender does not know or need to know the identity of the receiver(s), and vice versa.

Time uncoupling

The sender and the receiver(s) can have independent lifetimes.

Indirect communication is often used in distributed systems where change is anticipated.

Examples
- Mobile environments where users may rapidly connect to and disconnect from the network
- Managing event feeds in financial systems

Space and time coupling in distributed systems

	Time-coupled	Time-uncoupled
Space coupling	Communication directed towards a given receiver or receivers; receiver(s) must exist in that moment in time	Communication directed towards a given receiver or receivers; sender(s) and receiver(s) can have independent lifetimes
Space uncoupling	Sender does not need to know the identity of the receiver(s); receiver(s) must exist at that moment in time	Sender does not need to know the identity of the receiver(s); sender(s) and receiver(s) can have independent lifetimes

Relationship with asynchronous communication

Asynchronous communication

A sender sends a message and then continues (without blocking), and hence there is no need to meet in time with the receiver to communicate

Time uncoupling

The sender and the receiver(s) can have independent existence; for example, the receiver may not exist at the time communication is initiated

Indirect communication
Group communication

Introduction group communication

Group communication offers a service whereby a message is sent to a group and then this message is delivered to all members of the group.

Characteristics

- Sender is not aware of the identities of the receivers
- Represents an abstraction over multicast communication

Possible implementation over IP multicast (or an equivalent overlay network), adding value in terms of

- Managing group membership
- Detecting failures and providing reliability and ordering guarantees

Key areas of application

Reliable dissemination of information to potentially large numbers of clients, including **financial industry**, where institutions require accurate and up-to-date access to a wide variety of information sources

Support for **collaborative applications**, where events must be disseminated to multiple users to preserve a common user view – for example, in multiuser games

Support for a range of fault-tolerance strategies, including the consistent update of **replicated data** or the implementation of highly available (replicated) servers

Support for **system monitoring and management**, including for example load balancing strategies

Group communication
The programming model

Central concepts

group

join → *process*

process → leave →

Group membership
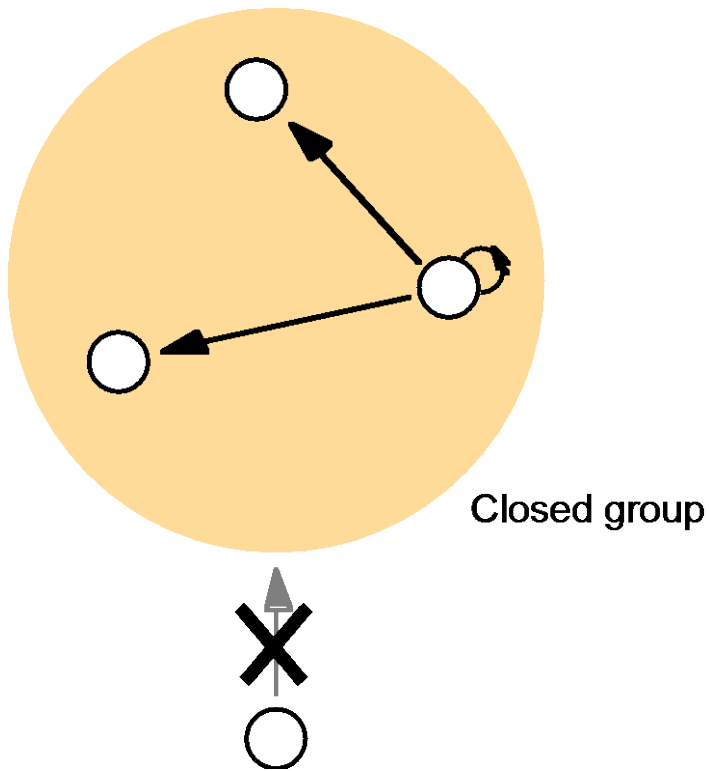
Process groups and object groups

Most group services are using the concept of **process groups**

- Communicating entities are processes
- Messages are delivered to processes and no further support for dispatching is provided.
- Messages are typically unstructured byte arrays with no support for marshalling or complex data types

Object groups provide a higher-level approach to group computing

- Collection of objects (normally instances of the same class) that process the same set of invocations concurrently, with each returning responses
- Client objects need to be aware of the replication => they invoke operations on a single, local objects which acts as a proxy for the group
- Proxy uses a group communication systems to send the invocations to the members of the object group

Open versus closed groups

Closed group

Open group

Properties of
Group Communication Systems

Closed and Open Systems
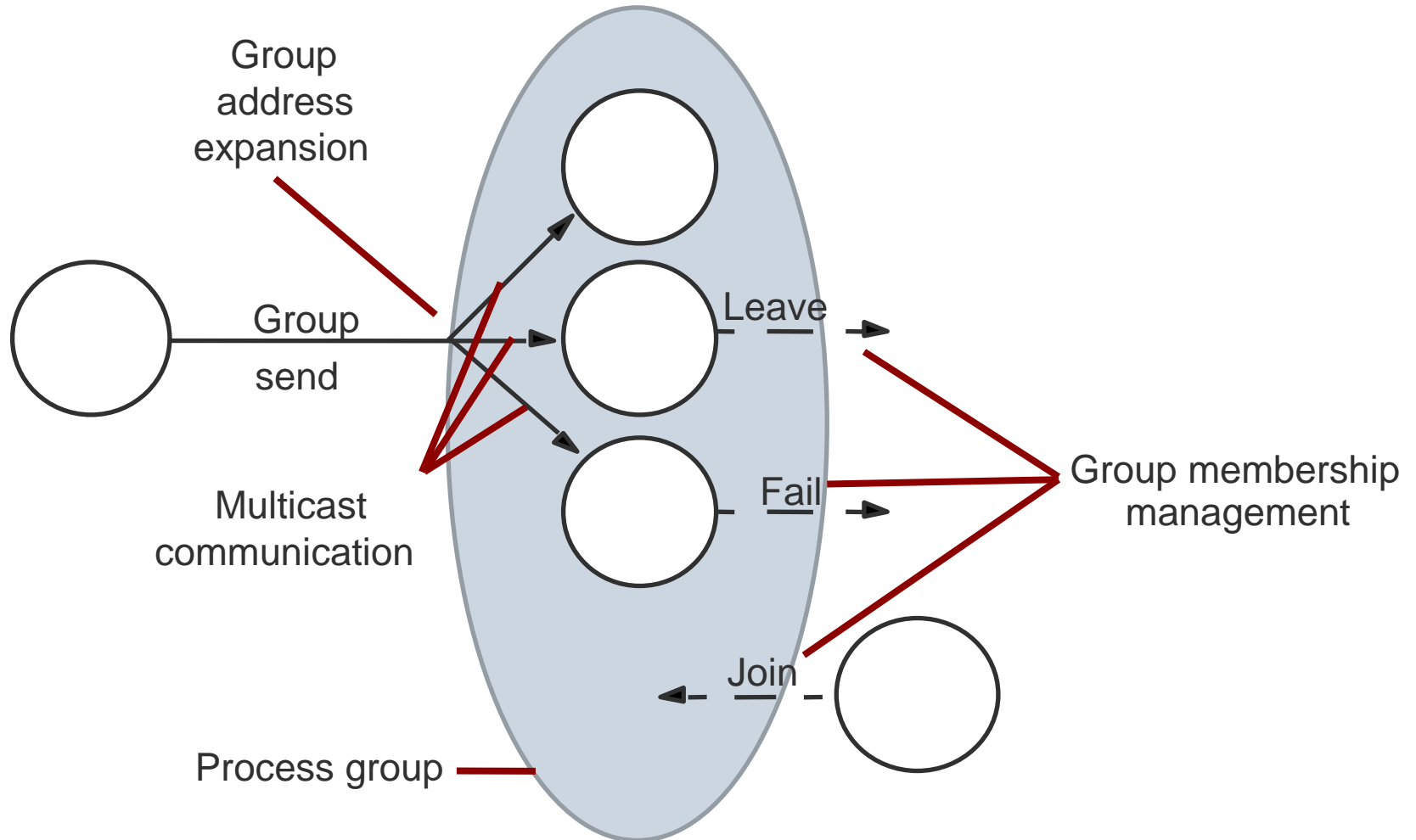
Overlapping and non-overlapping Systems

- entities (processes or objects) may be members of multiple groups or not

Synchronous and Asynchronous systems

- Group communication with synchronous or asynchronous communication

Group communication
Implementation issues

Group membership management

Group
address
expansion

Group
send

Multicast
communication

Process group

Leave

Fail

Join

Group membership
management

Group membership management

Providing an interface for group membership changes

The membership service provides operations to create and destroy process groups and to add or withdraw a process to or from a group.

Failure detection

The service monitors the group members not only in case they should crash, but also in case they should become unreachable because of a communication failure.

Notifying members of group membership changes

The service notifies the group's members when a process is added, or when a process is excluded.

Performing group address expansion

When a process multicasts a message, it supplies the group identifier rather than a list of processes in the group.

Reliability in multicast

Reliability **in one-to-one communication**

Integrity: the message received is the same as the one sent, and no messages are delivered twice

Validity: any outgoing message is eventually delivered

Reliable Multicast

Integrity: delivering the messages correctly at most once

Validity: guaranteeing that a message sent will eventually be delivered

(+) Agreement: Stating that if the message is delivered to one process, then it is delivered to all processes in the group

Ordering in multicast

FIFO ordering

First-in-first-out (FIFO) ordering is concerned with preserving the order from the perspective of a sender process, in that if a process sends one message before another, it will be delivered in this order to all processes in the group.

Causal ordering

Causal ordering takes into account causal relationships between the messages, in that if a message happens before another message in the distributed system this is so-called causal relationship will be preserved in the delivery of the associated message at all processes.

Total ordering

In total ordering, if a message is delivered before another message at one process, then the same order will be preserved at all processes.

Indirect communication
Publish-subscribe systems
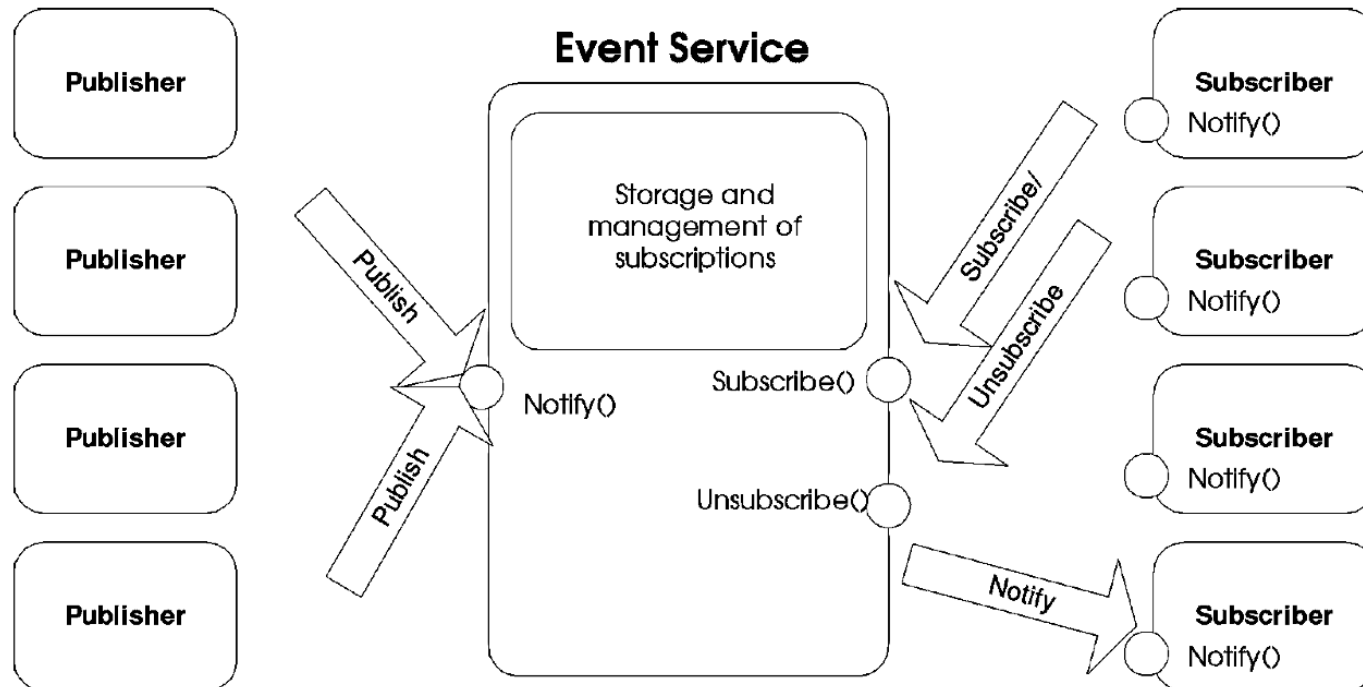
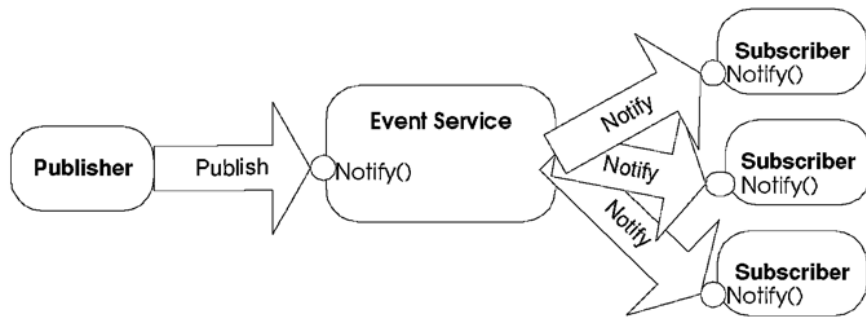# Example: Dealing room system

Applications

- Financial information systems

- Other areas of live feeds of real-time data (including RSS feeds)

- Support for cooperative working, where a number of participants need to be informed of events of shared interest

- Support for ubiquitous computing, including the management of events emanating from the ubiquitous infrastructure (e.g., location events)

- A broad set of monitoring applications, including network monitoring in the Internet
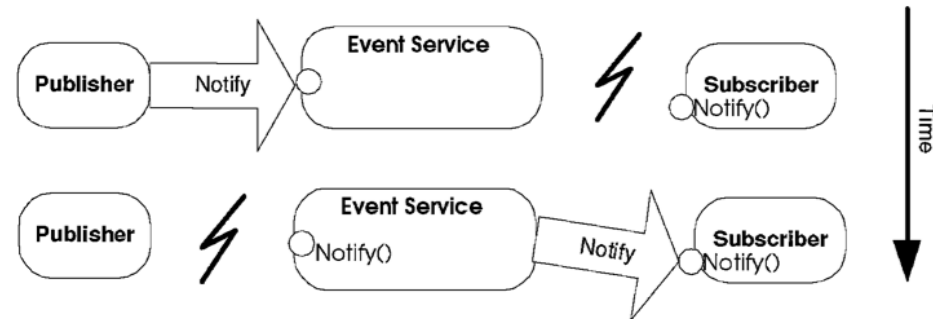
A publish-subscribe system is a system…

…where publishers publish structured events to an event service
and subscribers express interest in particular events through subscriptions.

A simple object-based p-s system

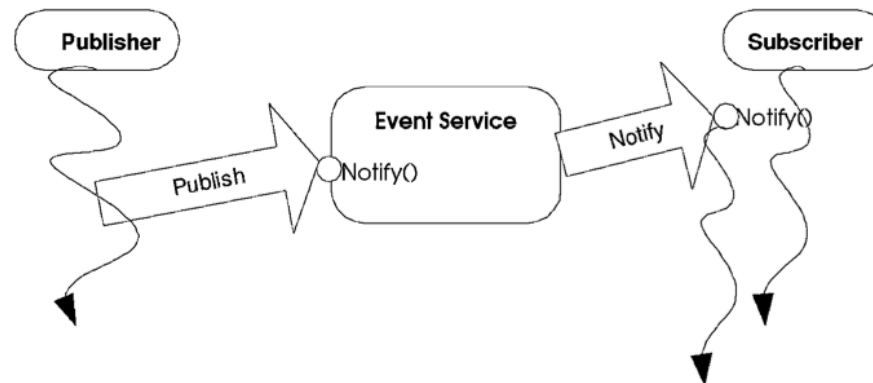# Space, time and sychronization decoupling

Space decoupling

Time decoupling

Synchronization decoupling

Characteristics of publish-subscribe systems

Heterogeneity

When event notifications are used as a means of communication, components in a distributed system that were not designed to interoperate can be made to work together. All that is required is that event-generating objects <u>publish the types of events</u> they offer, and that other objects subscribe to patterns of events and <u>provide an interface for </u>receiving and dealing with the resultant <u>notifications</u>.
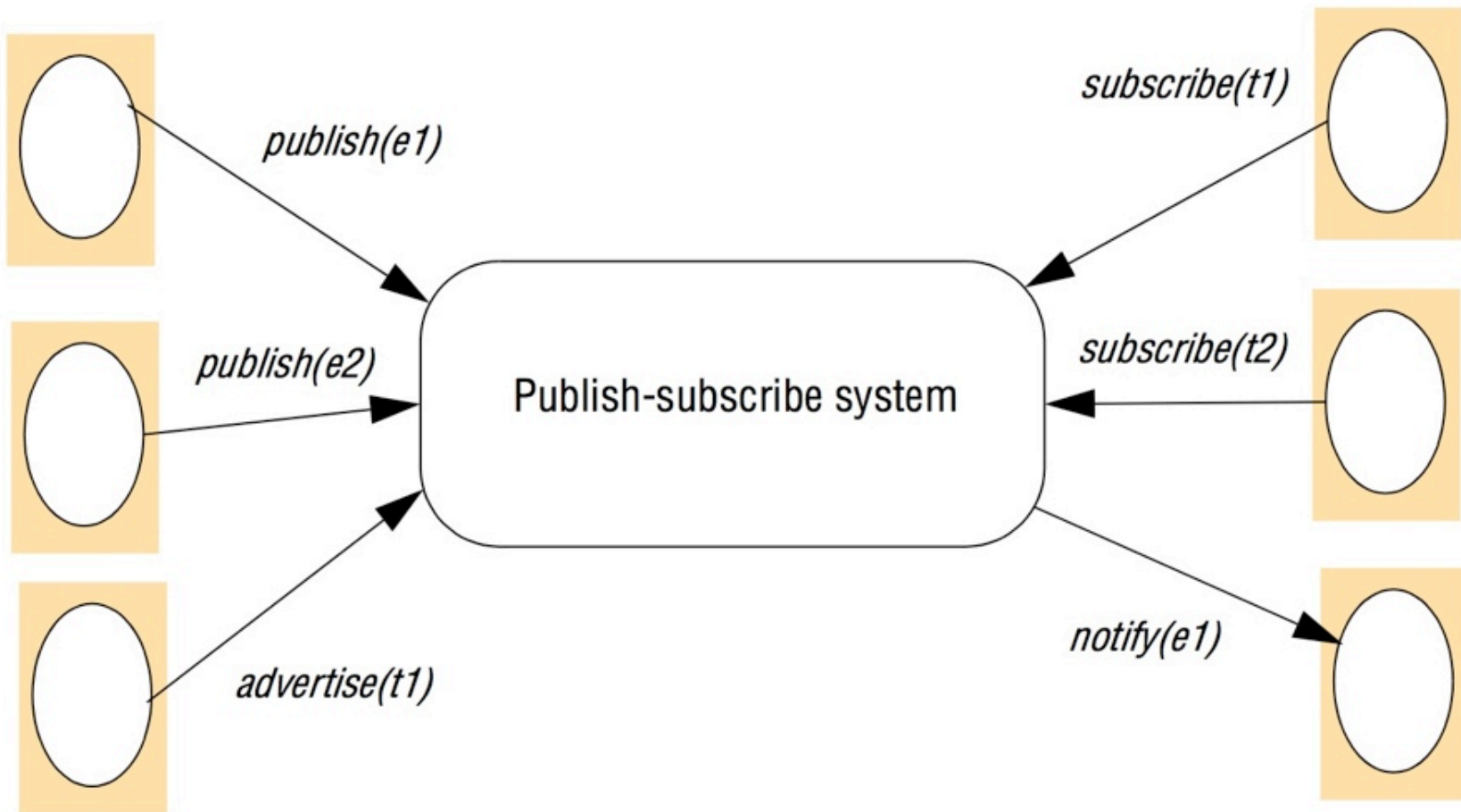
Asynchronicity

Notifications are sent <u>asynchronously</u> by event-generating publishers to all the subscribers that have expressed an interest in them to prevent publishers needing to synchronize with subscribers – publishers and subscribers need to be <u>decoupled.</u>

Publish-subscribe systems
The programming model

The publish-subscribe paradigm

Subscription models of p-s systems

Topic-based

Content-based
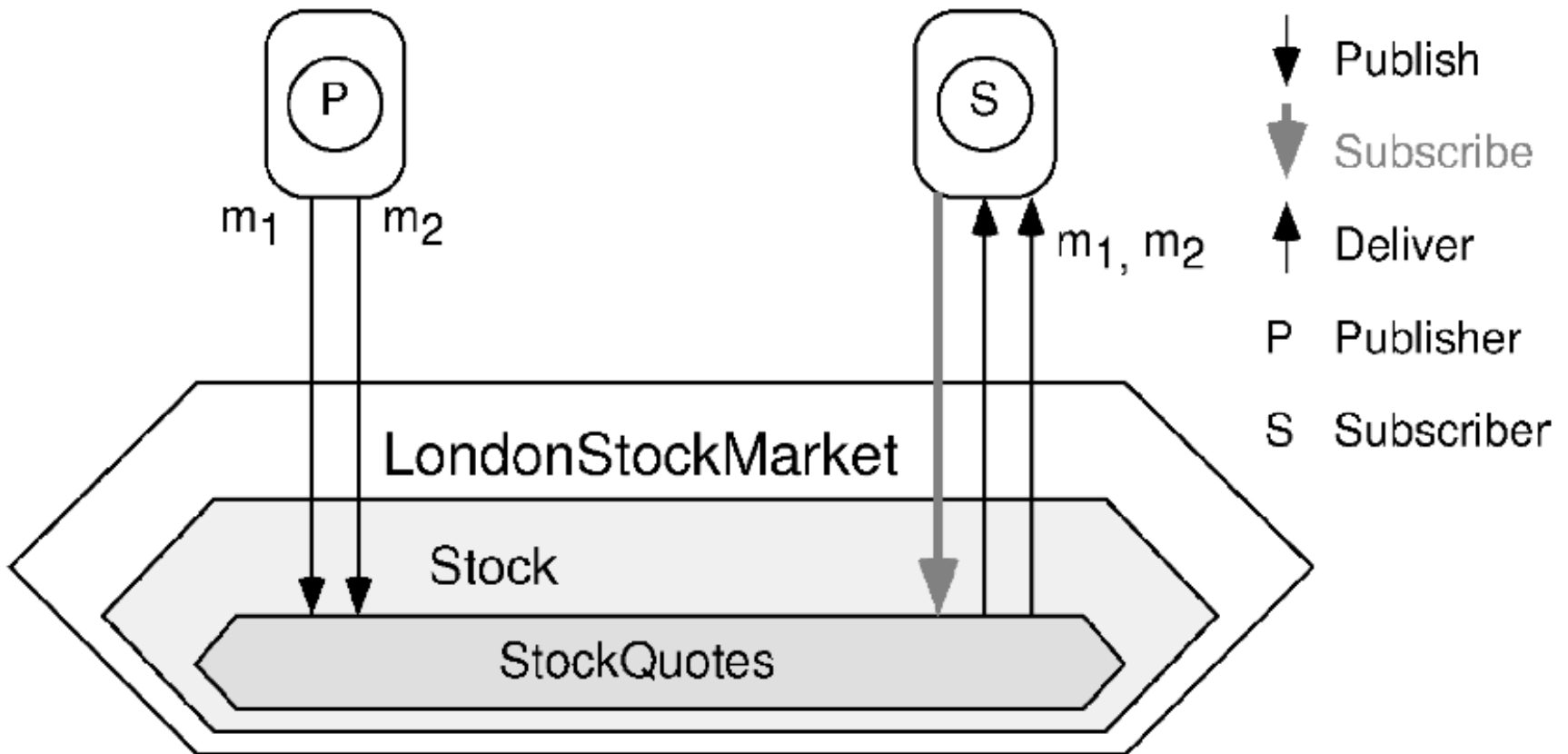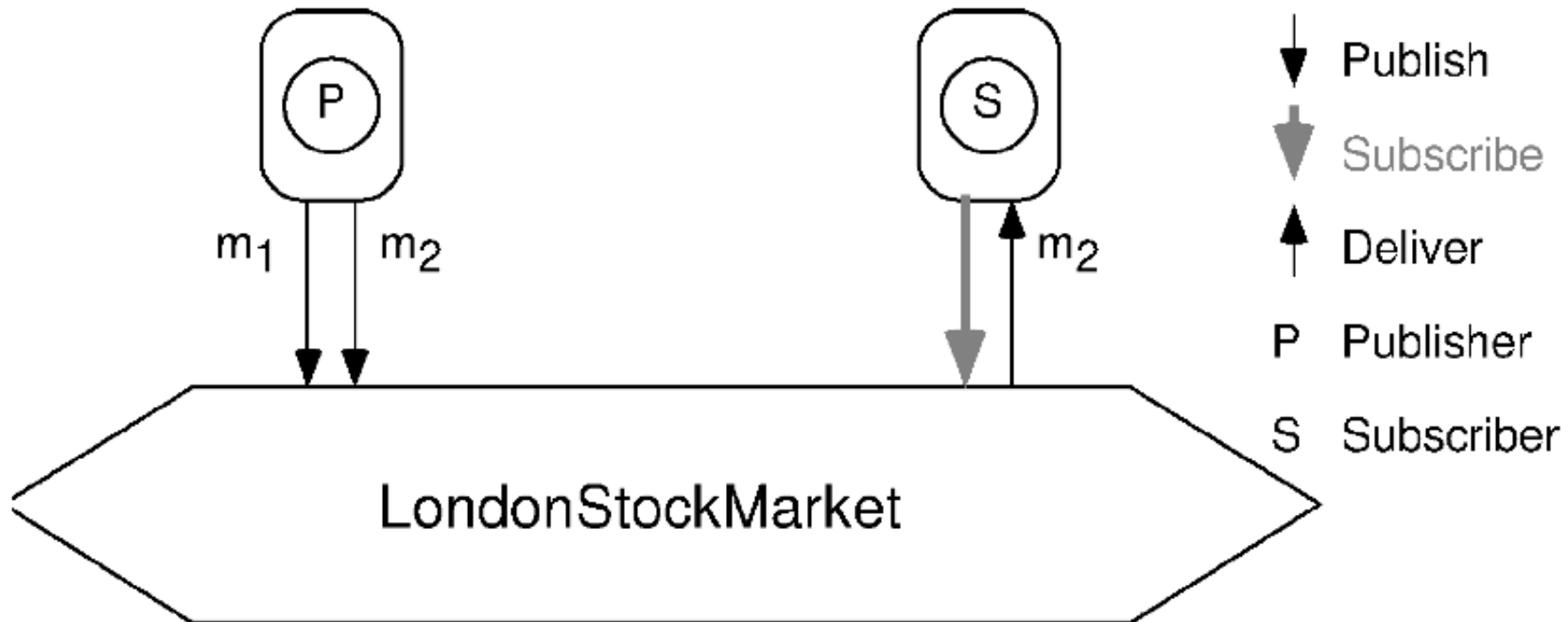
Type-based

Concept-based

XML

Location-awareness

Topic-based publish-subscribe interactions

Topic-based publish-subscribe systems

Notification	Notifications are grouped in topics (or subjects)
Subscriber	Subscriber will receive all notifications related to that particular topic (identified by keywords)
Topics	Topics are structured in a hierarchy
Groups	Subscribing to a topic *T* means a user is becoming a member of group *T*, and publishing an event on topic *T* means becoming a publisher for topic *T*
Communication	Exist a well-defined path (channel) from publisher to all interested subscribers
Subscription	A subscription made to some node in the hierarchy implicitly involves subscriptions to all the subtopics of that node

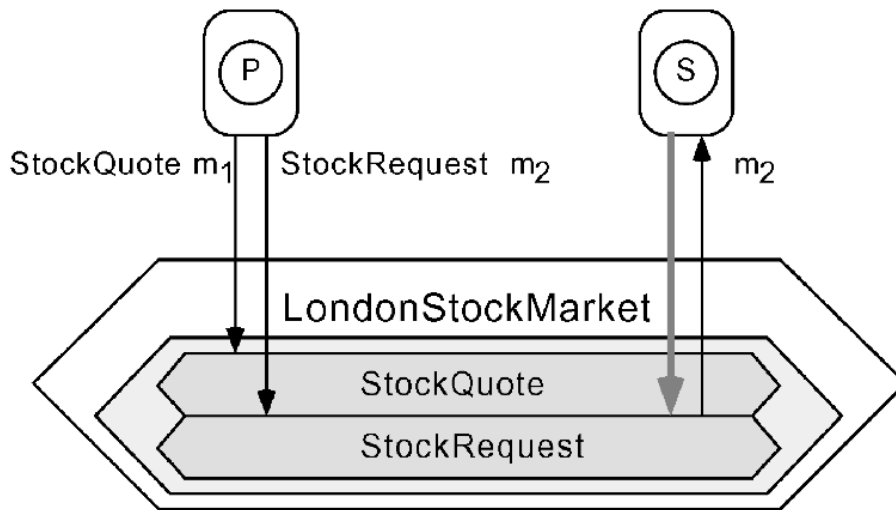# Content-based publish-subscribe interactions

m_1: { ..., company: "Telco", price: 120, ..., ... }

m_2: { ..., company: "Telco", price: 90 , ..., ... }

Content-based publish-subscribe systems

Notification	Each subscriber only receives the notifications that match entirely its individual criteria
Subscriber	Subscribers can announce their individual interests by specifying the properties of the event notifications they are interested in
Topics	Topics filtered according to events and type
Groups	There is no concept of groups as topic are not rearranged into keywords
Communication	Subscription patterns used to identify the events of interest for a given subscriber and propagate events accordingly
Subscription	Subscription scheme based on the actual content of the considered events

Type-based publish-subscribe interactions

Type-based publish-subscribe systems

Notification	Notifications are declared as objects belonging to a specific type, which can thus encapsulate attributes as well as methods
Subscriber	Event subscriber specifies the event type (i.e. topic) it is interested in, and then supplies a filter expression that operates on the attributes provided by this event type.
Topics	Events are objects
Groups	Messages regrouped in a topic usually are of the same type
Communication	Producers publish information on an information bus A subscriber advertises its interest in a type T, which means that it will receive all messages of type T.
Subscription	Declaration of a desired type is the main discriminating attribute.

Advantages and disadvantages of p/s systems based on subscription model

	Topic-based	Content-based	Type-based
Advantage	Routing is simple through multicast group to peers that match subscription topics	If notification does not match any subscription it is not sent	Flexible decentralization of implementation, scalable by use of remote content filtering (e.g., locally at the subscriber)
Dis-advantage	Limited expressiveness; Inefficient use of bandwidth	Expressive, but higher runtime overhead requires complex protocols/implementation to determine the subscriber	Many events need to be pruned for performance reasons

Additional subscription models

Concept-based (semantic)

Allow to describe event schema at a higher level of abstraction by using ontologies, that provide a <u>knowledge base</u> for an unambiguous interpretation of the event structure, by using metadata and mapping functions

XML-based (or other data models such as structured Semantic Web RDF)

Supports a semi-structured data model, typically based on XML documents

Provides natural advantages such as interoperability, independence from implementation and extensibility

Location-awareness

Used in mobile environments typically require the support for location-aware subscriptions

Publish-subscribe systems
Implementation issues

The architecture of publish-subscribe systems

Matching		

Event routing

Event flooding	Rendez-Vous	Blind gossip
Subscription flooding	Filter-based	Informed gossip
Flooding	Selective diffusion	Gossiping

Overlay infra-structures

Broker overlay	P2P structured overlay	P2P unstructured overlay

Network protocols

TCP/IP	IP Multicast	SOAP	802.11b/g	…

The architecture of publish-subscribe systems

Matching

Event routing

Event flooding	Rendez-Vous	Blind gossip
Subscription flooding	Filter-based	Informed gossip
Flooding	Selective diffusion	Gossiping

Type of dynamics of subscriptions

Overlay infra-structures

| Broker overlay | P2P structured overlay | P2P unstructured overlay |

Type of dynamics of nodes (churn rate)

Network protocols

| TCP/IP | Multicast | CoAP | 802.11b/g | ... |

Type of dynamics of mobility

Broker overlay

P/s system is implemented as a set of independent, communicating servers (= **broker network**).

Brokers form an application-level overlay and typically communicate through an underlying transport protocol.

Clients can access the system through any broker and in general each broker stores only a subset of all the subscriptions in the system.

The broker network is implemented as an **application-level overlay**: connections are pure abstractions as links are not required to represent permanent, long-lived connections.

The topology is assumed to be managed by an administrator. A broker overlay is inherently static.

Peer-to-peer <u>structured</u> overlay

Is a <u>self-organized application-level</u> network composed by a set of nodes forming a <u>structured graph</u> over a <u>virtual key space</u> where each key of the virtual space is mapped to a node.

The structure imposed to the graph permits <u>efficient discovery of data items</u> and this, in turns, allows to realize efficient unicast or multicast communication facility among the nodes.

A structured overlay infrastructure ensures that a <u>correspondence always exist between any address and an active node</u> in the system despite churn (the continuous process of arrivals and departures of nodes of the overlay) and node failures.

A structured overlay allows to better handle dynamic aspects of the systems such as faults and node joins.

Peer-to-peer <u>unstructured</u> overlays

The overlay networks strive to organize nodes in one flat or hierarchical small diameter network (like a random graph) despite churn and node failures.

Differently from broker overlays, nodes in these overlays are not necessarily supposed to be dedicated server but can include workstations, laptops, mobile devices and so on, acting both as clients and as part of the pub/sub system.
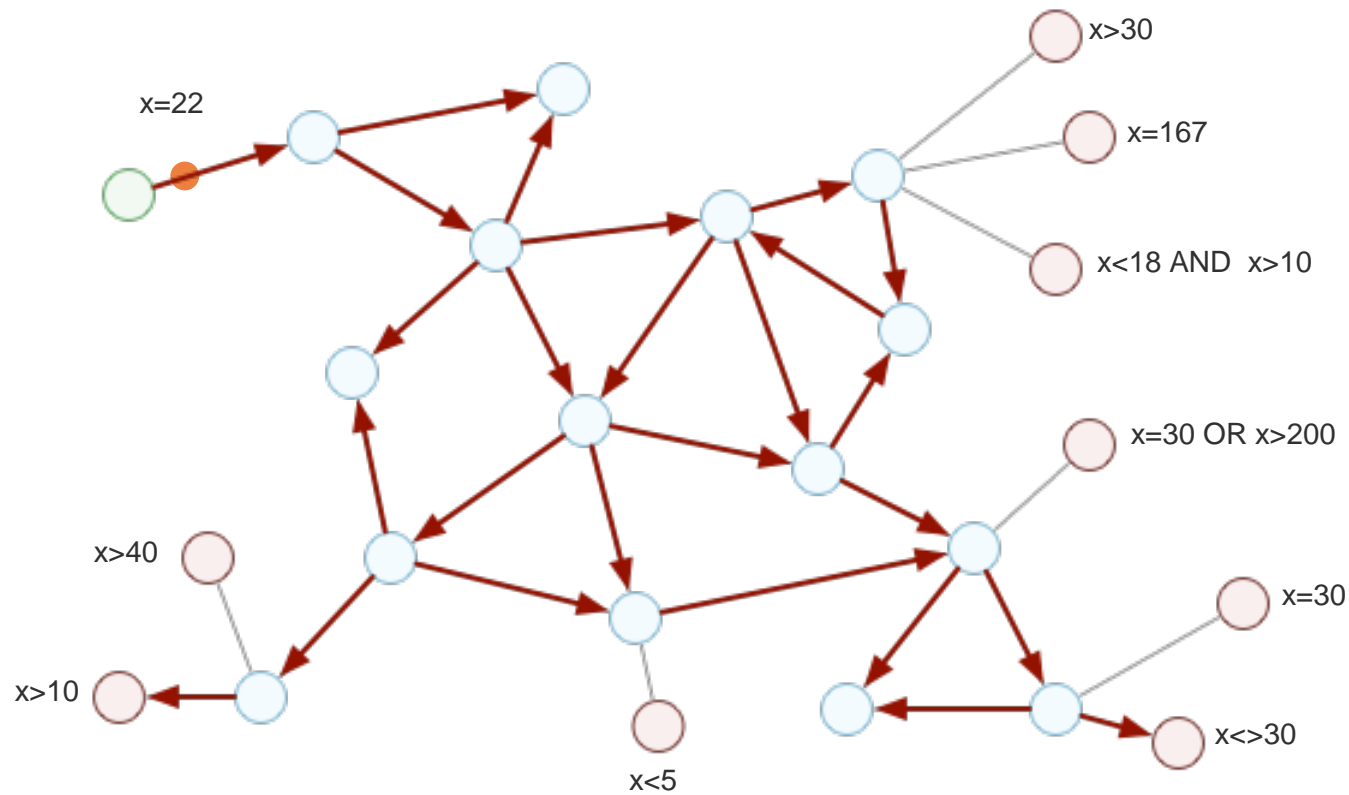
Moreover, the <u>topology of the overlay is obviously unmanaged</u>.

Unstructured overlays use <u>flooding, gossiping or random walks</u> on the overlay graph to diffuse and to retrieve information associated with the nodes.
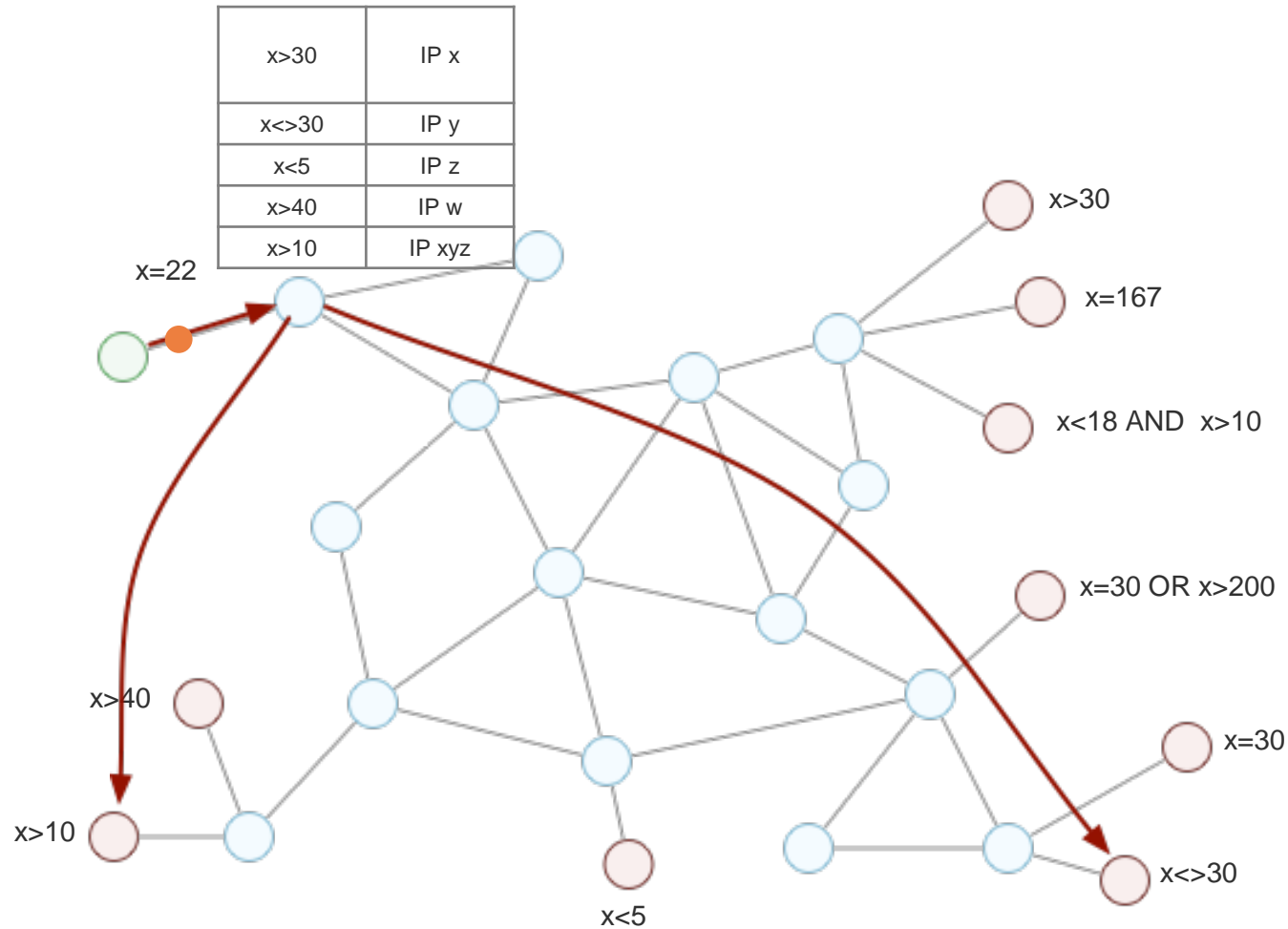
Implementation issues
Event Routing

Event flooding

Subscription flooding

x>30	IP x
x<>30	IP y
x<5	IP z
x>40	IP w
x>10	IP xyz

x=22

x>30

x=167

x<18 AND x>10

x=30 OR x>200

x>40

x=30

x>10

x<>30

x<5

Filter-based routing

x>30

x=167

x=22

x<18 AND x>10

x=30 OR x>200

x>40

x=30

x>10

x<>30

x<5

Filter-based routing (cont.)

a	x>=30 OR (x<18 AND x>10)
5	ANY
1	-
2	-

b	x>=30 OR (x<18 AND x>10)
3	ANY

3	ANY

e	ANY
5	x>10 OR x<5

d	ANY
9	x>10 OR x<5
f	-

6	x>10
8	x<5
9	ANY
3	x>=30 OR (x<18 AND x>10)

7	x>10
5	ANY

x=22

x>30

x=167

x<18 AND x>10

x=30 OR x>200

x>40

x>10

x<5

x=30

x<>30

Filter-based routing (cont.)

a	x>=30 OR (x<18 AND x>10)
5	ANY
1	-
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b	x>=30 OR (x<18 AND x>10)
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x<18 AND x>10

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9	x>10 OR x<5
f	-

6	x>10
8	x<5
9	ANY
3	x>=30 OR (x<18 AND x>10)

x=30 OR x>200

x>40

x>10

x=30

7	x>10
5	ANY

x<5

x<>30

Filter-based routing (cont.)

a	x>=30 OR (x<18 AND x>10)
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1	-
2	-

b	x>=30 OR (x<18 AND x>10)
3	ANY

3	ANY

e	ANY
5	x>10 OR x<5

x>30

x=167

x<18 AND x>10

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6	x>10
8	x<5
9	ANY
3	x>=30 OR (x<18 AND x>10)

d	ANY
9	x>10 OR x<5
f	-

x=30 OR x>200

x>40

x=30

x>10

x<>30

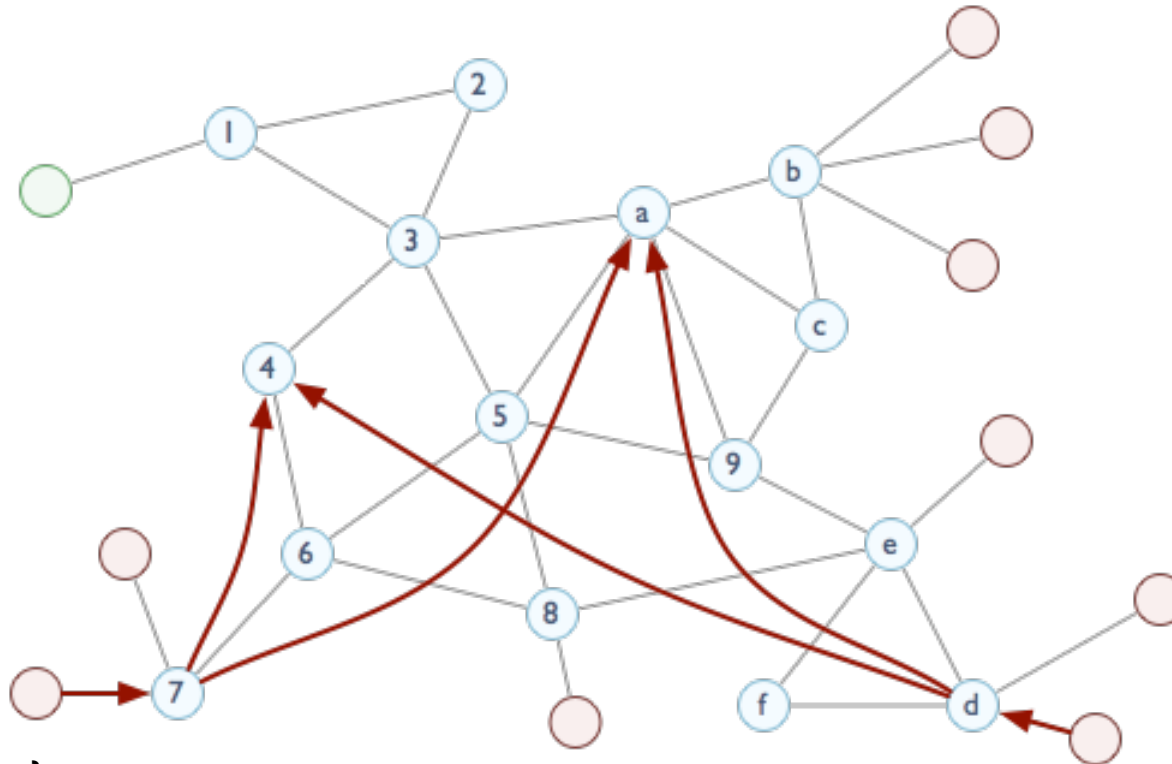| 7	x>10
5	ANY

x<5

Rendez-Vous routing

It is based on two functions, namely **SN** and **EN**, used to associate respectively subscriptions and events to brokers in the system.

1. Given a subscription *s*, *SN(s)* returns a set of rendez-vous nodes which are **responsible for storing *s*** and forwarding received events matching *s* to all those subscribers that subscribed it.

2. Given an event *e*, *EN(e)* returns a set of rendez-vous nodes which must receive *e* to **match it against the subscriptions** they store.

Event routing is a two-phases process: first an event *e* is sent to all brokers returned by *EN(e)*, then those brokers match it against the subscriptions they store and notify the corresponding subscribers.

This approach works only if for each subscription *s* and event *e,* such that *e* matches *s*, the intersection between *EN(e)* and *SN(s)* is not empty (*mapping intersection rule*).
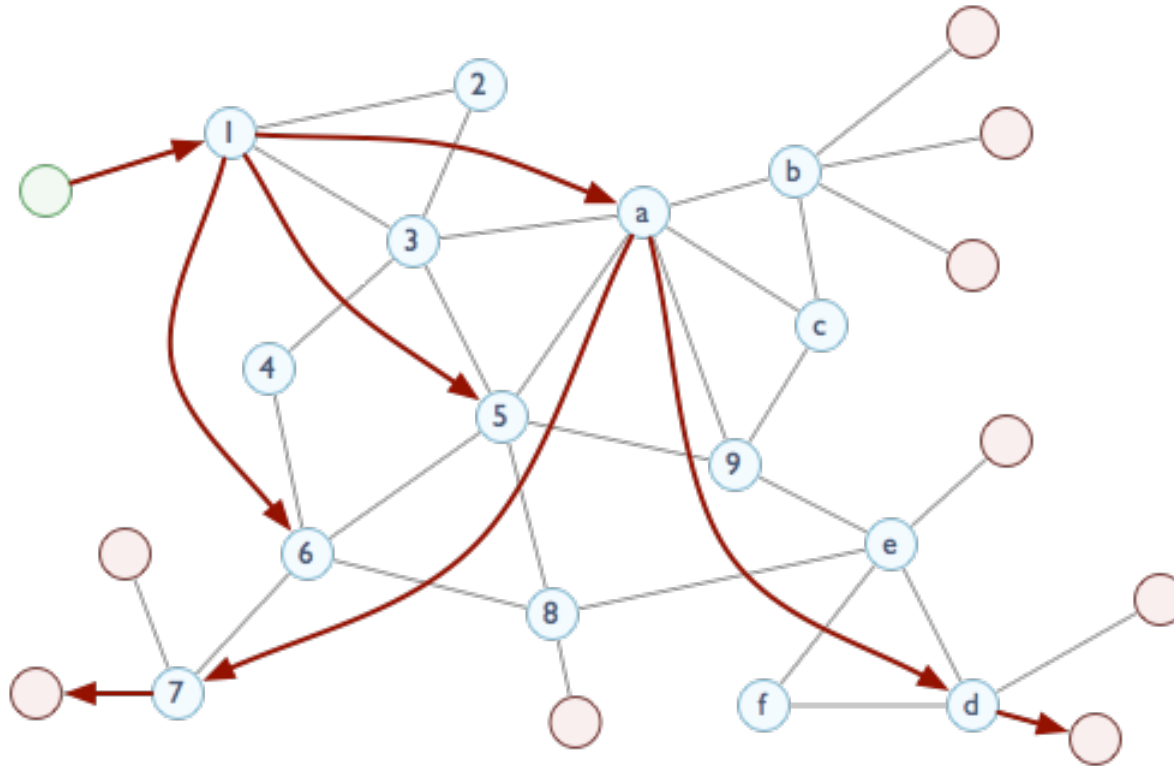
Rendez-Vous routing (cont.)

SN(S) = {4,a}

Phase 1: two nodes issue the same subscription *S*.

Rendez-Vous routing (cont.)

EN(e) = {5,6,a}

Broker a is the rendez-vous point between event *e* and subscription *S*.

Phase 2: an event *e* matching *S* is routed toward the rendez-vous node where it is matched against *S*.

Indirect Communication
Summary

So, what have we learned today?

Characteristics of indirect communication (Space and Time decoupling)

Group communication

- Applications

- Central concepts and types of groups

- Importance of group membership management

Publish-subscribe systems

- Space, Time and synchronization decoupling

- Subscription models of p/s systems

- The architecture of publish-subscribe systems (overlay infrastructures and event routing)

Questions

What is the role of an intermediary in indirect communication?

Discriminate strong coupling, loose coupling and decoupled communication in distributed systems. What are the advantages? What is space and time coupling / uncoupling? How does it relate to indirect communication and asynchronous / synchronous communication?

What is group communication and when is it used? What are process groups and object groups, closed vs. open groups, overlapping vs. non-overlapping groups?

Name typical tasks of the group membership management.

Explain reliable group communication in terms of integrity, validity and agreement

What is a publish subscribe system and how does indirect (event) communication work with it? Name example application domains. Discuss space and time decoupling, heterogeneity and asynchronous communication.

Name four subscription models of p-s systems and briefly describe the differences.

Describe of visualize the layered abstract architecture of a p-s system. What are the differences between a broker overlay infrastructure and a structured and unstructured peer-to-peer overlay?

Briefly describe how flooding, filter-based and rendezvous event routing algorithms work.

Next class
Indirect Communication II

References

George Coulouris, Jean Dollimore, Tim Kindberg: *Distributed Systems: Concepts and Design*. 5th edition, Addison Wesley, 2011.

Patrick Th. Eugster, Pascal A. Felber, Rachid Guerraoui, and Anne-Marie Kermarrec. 2003. The many faces of publish/subscribe. *ACM Comput. Surv.* 35, 2 (June 2003), 114-131. DOI=10.1145/857076.857078 http://doi.acm.org/10.1145/857076.857078

Baldoni, R. & Virgillito, A., 2005. Distributed event routing in publish/subscribe communication systems: a survey. DIS Universita di Roma" La Sapienza" Tech Rep. Available at:http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.106.1108&rep=rep1&type=pdf.

Selected slides from "Distributed Event Routing in Publish/Subscribe Systems", Roberto Baldoni, Sapienza University of Rome, 2009