## Info 3950 Lecture 2 3 Sep 2019

# Rise of the Machines: Deep Learning from Backgammon to Skynet 

## Paul Ginsparg, Physics and InfoSci Cornell Univ

Over the past seven years, there have been significant advances in applications of artificial intelligence, machine learning, and specifically deep learning, to a variety of familiar tasks. From image and speech recognition, self-driving cars, and machine translation, to beating the Go champion, it's been difficult to stay abreast of all the breathless reports of superhuman machine performance. There has as well been a recent surge in applications of machine learning ideas to research problems in the hard sciences and medicine. I will endeavor to provide an outsider's overview of the ideas underlying these recent advances and their evolution over the past few decades, and project some prospects and pitfalls for the near future.

# video games, poker, chess, go, speech recognition, language translation, medical applications (dermatology, ophthalmology), chemical synthesis, data analysis, self-driving cars 

Plan:<br>Teaser<br>How it all works<br>Historical highlights Future

Google/Verily/Stanford [arXiv:1708.09843, Nature (2018)]

## Original



> "retinal fundus image": photograph of back of eye taken through pupil (used for over 100 years for detecting eye disease)

Now: using AI can also predict risk of heart attack or stoke.
and more ...

Google/Verily/Stanford [arXiv:1708.09843, Nature (2018)]

Original



Actual: 57.6 years Predicted: 59.1 years

Gender


Actual: female
Predicted: female

Deep learning models trained on data from 284,335 patients and validated on two independent datasets of 12,026 and 999 patients

## Google/Verily/Stanford [arXiv:1708.09843, Nature (2018)]

Original

Age


Actual: 57.6 years Predicted: 59.1 years

Gender


Actual: female Predicted: female

SBP


Actual: 148.5 mmHg Predicted: 148.0 mmHg

Smoking


Actual: non-smoker Predicted: non-smoker

HbA1c


Actual: non-diabetic
Predicted: 6.7\%

BMI
DBP


Actual: $26.3 \mathrm{~kg} \mathrm{~m}^{-2}$
Predicted: $24.1 \mathrm{~kg} \mathrm{~m}^{-2}$

Actual: 78.5 mmHg Predicted: 86.6 mmHg

## program

but now: data is fmri scan, task is to determine probability of Alzheimers We don't know how to write the program ...

use training data and output to generate program, which then generates output for test data.

All Thinks, Great and Small (H. Moravec, CMU, 1998)


## NEW NAVY DEvice LEARNS BY DONG

Psychologist Shows Embryo of Computer Designed to Read and Grow Wiser

WASHINGTON, July. 7 (UPI) --The Navy revealed the embryo of an electronic computer today that it expects will be able to walk, talk, see, write, reproduce itself and be conscious of its existence.

The embryo-the Weather Bureau's \$2,000,000 "704" com-puter-learned to differentiate between right and left after fifty eftempts in the Navy's demonstration for newsmen.,

The service said it would use this principle to build the first of its Perceptron thinking machines that will be able to read and write. It is expected to be finished in about a year at a cost of $\$ 100,000$.

Dr. Frank Rosenblatt, designer of the Perceptron, conducted the demonstration. He said the machine would be the first device to think as the human brain. As do hụman be-
ings, Perceptron will make mistakes at first, but will grow wiser as it gains experience, he said.

Dr: Rosenblatt, a research psychologist at the Cornell Aeronautical Laboratory, Buffalo, said Perceptrons might be fired to the planets as mechanical space explorers.

## Without Human Controls

The Navy said the perceptron would be the first non-living mechanism "capable of receiving, recognizing and identifying its surroundings without any human training or control."

The "brain" is designed to remember images and information it has perceived itself. Ordinary computers remember only what is fed into them on punch cards or magnetic tape.

Later Perceptrons will be able to recognize people and call out their names and instantly translate speech in one language to speech or writing in another language, it was predicted.

Mr. Rosenblatt said in principle it would be possible to build brains that could reproduce themselves on an assembly line and which would be conscious of their existence.

## 1958 New York Times...

In today's demonstration, the "704" was fed two cards, one with squares marked on the left side and the other with squares on the right side.

## Learng by Doing

In the first fifty trials, the machine made no distinction between them. It then started registering a " $Q$ " for the left squares and " $O$ " for the right squares.

Dr. Rosenblatt said he could explain why the machine learned only in highly technical terms. But he said the computer had undergone a "self-induced change in the wiring diagram."

The first Perceptron will have about 1,000 electronic "association cells" receiving electrical impulses from an eyelike scanning device with 400 photo-cells. The human brain has $10,000,000,000$ responsive cells, including $100,000,000$ connections with the eyes.

## Science

| WORLD | U.S. | N.Y. / REGION | BUSINESS | TECHNOLOGY | SCIENCE | HEALTH | SPORTS | OPINION |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | ENVIRONMENT |  | SPACE \& COSMOS |  |

## COMPUTER SCIENTISTS STYMIED IN THEIR QUEST TO MATCH HUMAN VISION

By WILLIAM J. BROAD
Published: September 25, 1984
EXPERTS pursuing one of man's most audacious dreams - to create machines that think - have stumbled while taking what seemed to be an elementary first step. They have failed to master vision.

After two decades of research, they have yet to teach machines the seemingly simple act of being able to recognize everyday objects and to distinguish one from another.


Instead, they have developed a profound new respect for the
 sophistication of human sight and have scoured such fields as mathematics, physics, biology and psychology for clues to help them achieve the goal of machine vision.

GPU 70x faster to train (week->hrs) .35\% on MNIST

Hinton students ->
Google, Microsoft
(e.g., Android speech recognition)

IBM Watson wins
Jeopardy

Choice of Activation matters


## Computer Science > Learning

# Building high-level features using large scale unsupervised learning 

Quoc V. Le, Marc'Aurelio Ranzato, Rajat Monga, Matthieu Devin, Kai Chen, Greg S. Corrado, Jeff Dean, Andrew Y. Ng

(Submitted on 29 Dec 2011 (v1), last revised 12 Jul 2012 (this version, v5))
We consider the problem of building high-level, class-specific feature detectors from only unlabeled data. For example, is it possible to learn a face detector using only unlabeled images? To answer this, we train a 9 -layered locally connected sparse autoencoder with pooling and local contrast normalization on a large dataset of images (the model has 1 billion connections, the dataset has 10 million $200 \times 200$ pixel images downloaded from the Internet). We train this network using model parallelism and asynchronous SGD on a cluster with 1,000 machines ( 16,000 cores) for three days. Contrary to what appears to be a widely-held intuition, our experimental results reveal that it is possible to train a face detector without having to label images as containing a face or not. Control experiments show that this feature detector is robust not only to translation but also to scaling and out-of-plane rotation. We also find that the same network is sensitive to other high-level concepts such as cat faces and human bodies. Starting with these learned features, we trained our network to obtain $15.8 \%$ accuracy in recognizing 20,000 object categories from ImageNet, a leap of $70 \%$ relative improvement over the previous state-of-the-art.

Subjects: Learning (cs.LG)
Cite as: arXiv:1112.6209 [cs.LG]
(or arXiv:1112.6209v5 [cs.LG] for this version)

# ImageNet Classification with Deep Convolutional Neural Networks 

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#### Abstract

We trained a large, deep convolutional neural network to classify the 1.2 million high-resolution images in the ImageNet LSVRC-2010 contest into the 1000 different classes. On the test data, we achieved top-1 and top-5 error rates of 37.5\% and $17.0 \%$ which is considerably better than the previous state-of-the-art. The neural network, which has 60 million parameters and 650,000 neurons, consists of five convolutional layers, some of which are followed by max-pooling layers, and three fully-connected layers with a final 1000 -way softmax. To make training faster, we used non-saturating neurons and a very efficient GPU implementation of the convolution operation. To reduce overfitting in the fully-connected layers we employed a recently-developed regularization method called "dropout" that proved to be very effective. We also entered a variant of this model in the ILSVRC-2012 competition and achieved a winning top-5 test error rate of $15.3 \%$, compared to $26.2 \%$ achieved by the second-best entry.


## ImageNet Challenge

- IM. ${ }^{\circ}$ GENET Large Scale Visual Recognition Challenge (ILSVRC)
- 1.2M training images with 1 K categories
- Measure top-5 classification error

Image classification
Easiest classes


Hardest classes


Output
Scale
T-shirt
Steel drum
Drumstick
Mud turtle

J. Deng, Wei Dong, R. Socher, L.-J. Li, K. Li and L. Fei-Fei, "ImageNet: A Large-Scale Hierarchical Image Database", CVPR 2009.
O. Russakovsky et al., "ImageNet Large Scale Visual Recognition Challenge", Int. J. Comput. Vis.,, Vol. 115, Issue 3, pp 211-252, 2015.

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GPU 70x faster to train (week->hrs) .35\% on MNIST

Hinton students ->
Google, Microsoft (e.g., Android speech recognition)

IBM Watson wins Jeopardy

Multiple groups speech recognition Imagenet (Ng, Dean, et al) 70\% improvement
Dropout, 16k CPUs
1B weights
(1M for MNIST)

ILSVRC Top 5 Error on ImageNet


GPU 70x faster to train (week->hrs)

IBM Watson wins

SCIENCE

## Researchers Announce Advance in Image-Recognition Software


#### Abstract

By JOHN MARKOFF NOV. 17, 2014Email

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MOUNTAIN VIEW, Calif. - Two groups of scientists, working independently, have created artificial intelligence software capable of recognizing and describing the content of photographs and videos with far greater accuracy than ever before, sometimes even mimicking human levels of understanding.

Until now, so-called computer vision has largely been limited to recognizing individual objects. The new software, described on Monday by researchers at Google and at Stanford University, teaches itself to identify entire scenes: a group of young men playing Frisbee, for example, or a herd of elephants marching on a grassy plain.

The software then writes a caption in English describing the picture. Compared with human observations, the researchers found, the computerwritten descriptions are surprisingly accurate.


Deep RL
beats human
expert at
Atari games
microsoft real-time
translation
(speech to speech)
NIPS Dec

Mar: google alphaGO Jan: no-limit texas hold'em beats Lee Sedol CMU program
(just 19 yrs after chess, beats top humans not 30-40 years) (not another 10 yrs )

Mar: AlphaGo Master beats Ke Jie
(world \#1)
self-driving vehicles, superhuman performance in image recog,

## Why us? Why now?

1) Bigger Data
2) Faster CPU (+GPU)
3) Better Initialization
4) Right non-linearity


GAN

## microsoft real-time <br> translation

(speech to speech)
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self-driving vehicles, superhuman performance in image recog,
$\qquad$


Oct: AlphaGo Zero
( 3 days to beat AlphaGo Lee, 21 Days to beat AlphaGo Master)

Dec: AlphaZero
(24 hours to superhuman chess, shogi, go)
"tabula rasa"
Kasparov: "the truth"

Medical Image Analysis
(CT scans for stroke, Image Generation; entire MRI processing chain, acquisition to image retrieval, segmentation to disease prediction)

Dec: AlphaZero
(24 hours to superhuman
chess, shogi, go

- discovered the principles on its own and quickly became best player)

> Al-enabled chips
> IoT + AI at the edge
> interoperability (ONNX)
> auto-ML
> Al+DevOps= AIOps
some science problems (protein folding) like Go: well-known rules and a well-described goal. similar algorithms might be applied to similar tasks in quantum chemistry, materials design and robotics

## All pervasive:

e.g., google: search, image search, driverless cars, voice recog, youtube recommender, street labels
facebook: images through two nn's, tag friends, understand image, (e.g., no food), major companies hiring like crazy. ibm watson, siri, yelp (also fraud), tesla, netflix, skype live translation,

## Discrete Probability and Counting

A finite probability space is a set $S$ and a real function $p(s)$ on $S$ such that:

- $p(s) \geq 0, \forall s \in S$, and
- $\sum_{s \in S} p(s)=1$.


We refer to $S$ as the sample space, subsets of $S$ as events, and $p$ as the probability distribution.

The probability of an event $A \subseteq S$ is $p(A)=\sum_{a \in A} p(a)$.
(Note that $p(\emptyset)=0$.)


## Conditional Probability

Suppose we know that one event has happened and we wish to ask about another.
For two events $A$ and $B$, the joint probability of $A$ and $B$ is defined as

$$
p(A, B)=p(A \cap B)
$$

the probability of the intersection of events $A$ and $B$ in the sample space,
equivalently the probability that events $A$ and $B$ both occur
The conditional probability of $A$ relative to $B$ is

$$
p(A \mid B)=p(A \cap B) / p(B) \quad \text { "the probability of } A \text { given } B \text { " }
$$


"the probability of $A$ given $B$ "

$$
=p(A, B) / p(B)
$$

## Bayes' Rule

A simple formula follows from the above definitions and symmetry of the joint probability:

$$
p(A \mid B) p(B)=p(A, B)=p(B, A)=p(B \mid A) p(A)
$$

$$
p(A \mid B)=\frac{p(B \mid A) p(A)}{p(B)}
$$

Called "Bayes' theorem" or "Bayes' rule" - connects inductive and deductive inference
(Rev. Thomas Bayes (1763), Pierre-Simon Laplace (1812), Sir Hanold Jeffreys (1939))

For mutually disjoint sets $A_{i}$ with $\bigcup_{i=1}^{n} A_{i}=S$, Bayes' rule takes the form

$$
p\left(A_{i} \mid B\right)=\frac{p\left(B \mid A_{i}\right) p\left(A_{i}\right)}{p\left(B \mid A_{1}\right) p\left(A_{1}\right)+\ldots+p\left(B \mid A_{n}\right) p\left(A_{n}\right)}
$$

Example 1: Consider a casino with loaded and unloaded dice.

For a loaded die $(L)$, probability of rolling a 6 is $50 \%$ :

$$
p(6 \mid L)=1 / 2, \text { and } p(i \mid L)=1 / 10(i=1, \ldots, 5)
$$

For a fair die $(\bar{L})$, the probabilities are $p(i \mid \bar{L})=1 / 6(i=1, \ldots, 6)$.
Suppose there's a $1 \%$ probability of choosing a loaded die:

$$
p(L)=1 / 100
$$

If we select a die at random and roll three consecutive 6 's with it,
what is the posterior probability, $P(L \mid 6,6,6)$, that it was loaded?

The probability of the die being loaded, given 3 consecutive 6 's, is

$$
\begin{aligned}
p(L \mid 6,6,6) & =\frac{p(6,6,6 \mid L) p(L)}{p(6,6,6)}=\frac{p(6 \mid L)^{3} p(L)}{p(6 \mid L)^{3} p(L)+p(6 \mid \bar{L})^{3} p(\bar{L})} \\
& =\frac{(1 / 2)^{3} \cdot(1 / 100)}{(1 / 2)^{3} \cdot(1 / 100)+(1 / 6)^{3} \cdot(99 / 100)} \\
& =\frac{1}{1+(1 / 3)^{3} \cdot 99}=\frac{1}{1+11 / 3}=\frac{3}{14} \approx .21
\end{aligned}
$$

so only a roughly $21 \%$ chance that it was loaded.
(Note that the Bayesian "prior" in the above is $p(L)=1 / 100$, giving the expected probability before collecting the data from actual rolls, and significantly affects the inferred posterior probability.)

## Binary Classifiers:

Use a set of features to determine whether objects have binary (yes or no) properties.
Examples: whether or not a text is classified as medicine, or whether an email is classified as spam.

In those cases, the features of interest might be the words the text or email contains.
"Naive Bayes" methodology:
statistical method (making use of the word probability distribution)
as contrasted with a "rule-based" method
(where a set of heuristic rules is constructed and then has to be maintained over time)

## Spam Filters

Spam filter $=$ binary classifier where property is whether message is $\operatorname{spam}(S)$ or non-spam $(\bar{S})$.

Features $=$ words of the message.

Assume we have a training set of messages tagged as spam or non-spam and use the document frequency of words in the two partitions as evidence regarding whether new messages are spam.

## (baby machine learning)

Example 1 (Rosen p. 422):

Suppose the word "Rolex" appears in 250 messages of a set of 2000 spam messages, and in 5 of 1000 non spam messages.

Then we estimate $p$ ("Rolex" $\mid S)=250 / 2000=.125$ and $p($ "Rolex" $\mid \bar{S})=5 / 1000=.005$.

Assuming a "flat prior" $(p(S)=p(\bar{S})=1 / 2)$ in Bayes' law gives

$$
p(S \mid \text { "Rolex" })=\frac{p(\text { "Rolex" } \mid S) p(S)}{p(\text { "Rolex" } \mid S) p(S)+p(\text { "Rolex" } \mid \bar{S}) p(\bar{S})}=\frac{.125}{.125+.005}=\frac{.125}{.130}=.962
$$

With a rejection threshold of .9 , this would be rejected.

Example 2 (two words, "stock" and "undervalued"):

Now suppose in a training set of 2000 spam messages and 1000 non-spam messages,
the word "stock" appears in 400 spam messages and 60 non-spam, and the word "undervalued" appears in 200 spam and 25 non-spam messages.

Then we estimate

$$
\begin{aligned}
p(\text { "stock" } \mid S) & =400 / 2000=.2 \\
p(\text { "stock" } \mid \bar{S}) & =60 / 1000=.06 \\
p(\text { "undervalued" } \mid S) & =200 / 2000=.1 \\
p(\text { "undervalued" } \mid \bar{S}) & =25 / 1000=.025
\end{aligned}
$$

Key assumption: assume statistical independence to estimate as

$$
\begin{aligned}
& p\left(w_{1}, w_{2} \mid S\right)=p\left(w_{1} \mid S\right) \cdot p\left(w_{2} \mid S\right) \\
& p\left(w_{1}, w_{2} \mid \bar{S}\right)=p\left(w_{1} \mid \bar{S}\right) \cdot p\left(w_{2} \mid \bar{S}\right)
\end{aligned}
$$

(This assumption is not true in practice: words are not statistically independent. But we're only interested in determining whether above or below some threshold, not trying to calculate an accurate $p\left(S \mid\left\{w_{1}, w_{2}, \ldots, w_{n}\right\}\right)$

Write $w_{1}=$ "stock" and $w_{2}=$ "undervalued", and recall:

$$
\begin{array}{ll}
p\left(w_{1} \mid S\right)=400 / 2000=.2 & p\left(w_{1} \mid \bar{S}\right)=60 / 1000=.06 \\
p\left(w_{2} \mid S\right)=200 / 2000=.1 & p\left(w_{2} \mid \bar{S}\right)=25 / 1000=.025
\end{array}
$$

So assuming a flat prior $(p(S)=p(\bar{S})=1 / 2)$, and independence of the features gives
"naive"

$$
p\left(S \mid w_{1}, w_{2}\right)=\frac{p\left(w_{1}, w_{2} \mid S\right) p(S)}{p\left(w_{1}, w_{2} \mid S\right) p(S)+p\left(w_{1}, w_{2} \mid \bar{S}\right) p(\bar{S})}
$$

$$
=\frac{p\left(w_{1} \mid S\right) p\left(w_{2} \mid S\right) p(S)}{p\left(w_{1} \mid S\right) p\left(w_{2} \mid S\right) p(S)+p\left(w_{1} \mid \bar{S}\right) p\left(w_{2} \mid \bar{S}\right) p(\bar{S})}=\frac{.2 \cdot .1}{.2 \cdot .1+.06 \cdot .025}=.930
$$

at a .9 probability threshold a message containing those two words would be rejected as spam.

More generally, for $n$ features (words)
$p\left(S \mid\left\{w_{1}, w_{2}, \ldots, w_{n}\right\}\right)=\frac{p\left(\left\{w_{1}, w_{2}, \ldots, w_{n}\right\} \mid S\right) p(S)}{p\left(\left\{w_{1}, w_{2}, \ldots, w_{n}\right\}\right)}$
$=\frac{p\left(\left\{w_{1}, w_{2}, \ldots, w_{n}\right\} \mid S\right) p(S)}{p\left(\left\{w_{1}, w_{2}, \ldots, w_{n}\right\} \mid S\right) p(S)+p\left(\left\{w_{1}, w_{2}, \ldots, w_{n}\right\} \mid \bar{S}\right) p(\bar{S})}$
"naive"

$$
=\frac{p\left(w_{1} \mid S\right) p\left(w_{2} \mid S\right) \cdots p\left(w_{n} \mid S\right) p(S)}{p\left(w_{1} \mid S\right) p\left(w_{2} \mid S\right) \cdots p\left(w_{n} \mid S\right) p(S)+p\left(w_{1} \mid \bar{S}\right) p\left(w_{2} \mid \bar{S}\right) \cdots p\left(w_{n} \mid \bar{S}\right) p(\bar{S})}
$$

$$
=\frac{p(S) \prod_{i=1}^{n} p\left(w_{i} \mid S\right)}{p(S) \prod_{i=1}^{n} p\left(w_{i} \mid S\right)+p(\bar{S}) \prod_{i=1}^{n} p\left(w_{i} \mid \bar{S}\right)}
$$

## naive bayes

Bayes: $p(C \mid w)=p(w \mid C) p(C) / p(w)$
Naive: $p\left(\left\{w_{i}\right\} \mid C\right)=\prod_{i} p\left(w_{i} \mid C\right)$

- spam filter $\left(p\left(S \mid\left\{w_{i}\right\}\right) / p\left(\bar{S} \mid\left\{w_{i}\right\}\right)\right)$
- text classification (on arXiv $>95 \%$ now)
- spell correction
- voice recognition
- ...
simplest algorithm works better with more data.
for arXiv use multigram vocab: genetic_algorithm, black_hole
"The Unreasonable Effectiveness of Naive Bayes in the Data Sciences"

(speech recognition)

