INFRARED (IR) SPECTROSCOPY

<b>180 nm</b> Ultraviole	380 t	nm <sub>Vis</sub>	ible 7		<b>(0.78 µm)</b> Infrared			
$\begin{array}{ll} 1m = 10^{2} \text{cm} = 10^{6} \mu \text{m} = 10^{9} \text{nm} & 1\mu \text{m} = 10^{3} \text{nm} \\ \tilde{\nu} = \frac{1}{\lambda} & \tilde{\nu} = wavenumber(\text{cm}^{-1}) & 1\text{cm} = 10^{4} \mu \text{m} \\ 1\mu \text{m} = 10^{-4} \text{cm} & 1\mu \text{m} = 10^{-4} \text{cm} \end{array}$								
Regi	on	Energy (kJ/mol)	Wavenum (cm-1)	~	Vavelength (µm)			
Near	IR	150-50	12,800-4	000	0.78-2.5			
Mid	IR	50-2.5	4000-2	00	2.5-50			
Far I	R	2.5-0.1	200-1	0	50-1000			

The portion of the infrared region most useful for analyses of organic compounds is 4000-666 cm<sup>-1</sup>

Photon energies associated with this part of the infrared are not large enough to excite electrons,

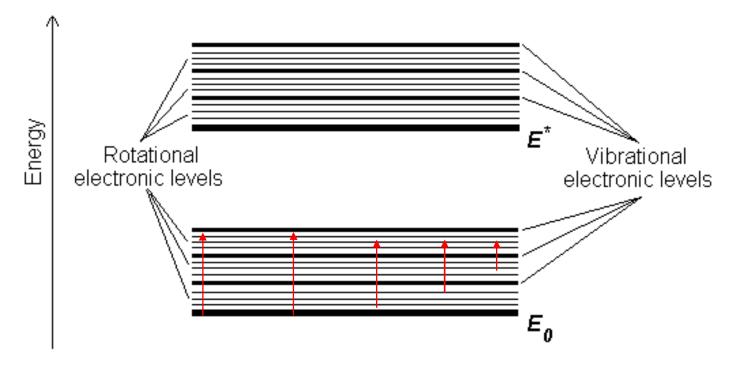
but may induce vibrational excitation of covalently bonded atoms and groups.

The covalent bonds in molecules (A-B) are not rigid sticks or rods, but are more like stiff springs that can be stretched and bent.

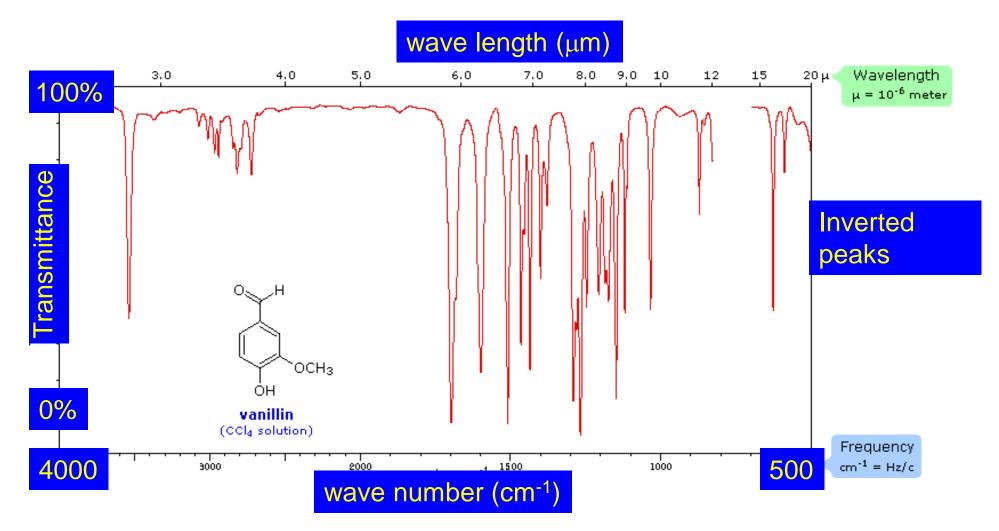


In addition to **rotation** of groups about single bonds, molecules experience a wide variety of vibrational motions, characteristic of their component atoms.

- Consequently, organic compounds will absorb infrared radiation that corresponds in energy to these vibrations.
- Absorption in this region implies the occurrence of **vibrational transitions** in the molecule while it remains in its ground state.



 IR spectroscopy permit chemists to obtain absorption spectra of compounds that are a unique reflection of their molecular structure.



The complexity of this spectrum is typical of most infrared spectra, and illustrates their use in **identifying substances**.

No two compounds have identical IR spectrum, except enantiomers.

The inverted display of absorption, compared with <u>UV-Visible</u>, is characteristic of IR spectra.

Thus a sample that did not absorb at all would record a horizontal line at 100%

The frequency scale at the bottom of the chart is given in units of reciprocal centimetres (cm<sup>-1</sup>) rather than Hz, because the numbers are more manageable.  $\tilde{v} = v/C$ 

#### Interaction of Molecules with IR Radiation

- Vibrational frequencies of molecules correspond to the frequencies of absorbed radiation.
- i.e. Infrared light is absorbed when the **oscillating dipole moment** (due to molecular vibration) interacts with the **oscillating electrical vector** of the infrared beam.

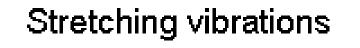
Band intensities are expressed as transmittance (T)  $(T=I/I^{\circ})$ 

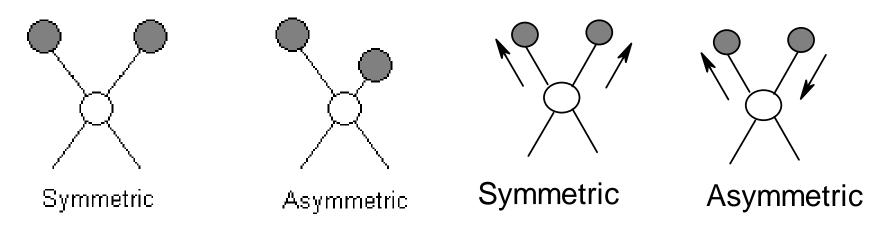
- I= intensity of light transmitted
- I° = Intensity of initial light from the source

Band intensities are described as **w** (for **weak**), **m** (for **medium**) and **s** (for **strong**)

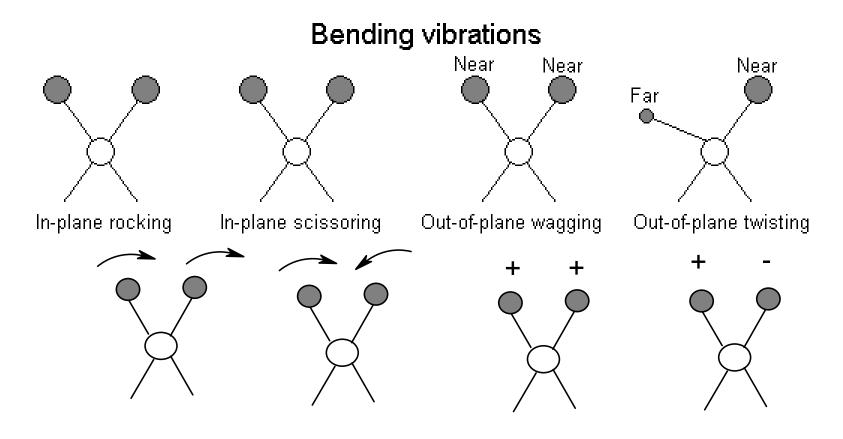
#### **Types of Molecular Vibration**

- **Two types** of molecular vibration:
- 1) Stretching:- a rhythmic movement along the bond axis such that the interatomic distance is increased or decreased.

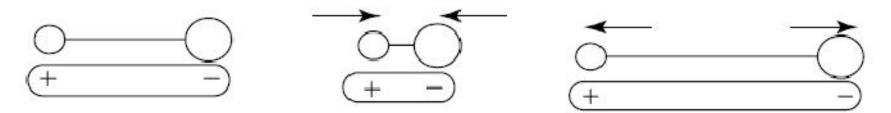




- 2) Bending:- a change in bond angle between bonds with a common atom or
- the movement of a group of atoms with respect to the remainder of the molecule,
- with out movement of the atoms in the group with respect to one another.

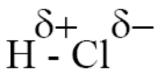


 Only those vibrations that result in rhythmical change of dipole moment of the molecule are observed in IR.



Homonuclear diatomic molecules such as  $H_2$  or  $O_2$ do not absorb IR radiation (they are IR-inactive), since there is no over-all electric (Dipole) moment in the molecule.

> O – O No dipole moment



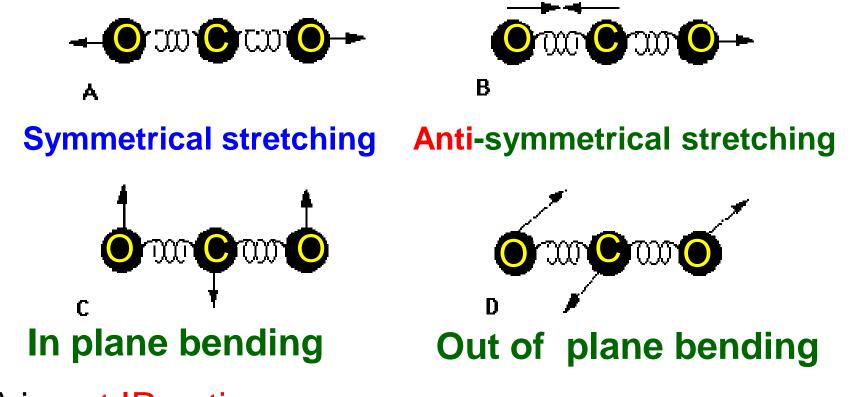


# HCl, H2O, NOAtoms, O2, H2, Cl2IR activeIR inactive

- It is not necessary for polyatomic molecules to possess permanent dipole moment in order exhibit IR absorption,
- provided that some polar bonds are present in the molecule.

#### Example

$$\delta^{-} 2\delta^{+} \delta^{-}$$
  
o=c=o



- •A is not IR active
- •B, C and D are IR active

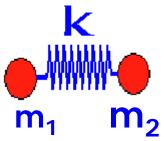
•its positive and negative electrical centres are no longer coincident,

•a dipole moment is temporarily induced.

#### **Simple Harmonic Oscillator**

1<sup>st</sup> Approximation, a covalent bond behaves as a weightless spring and obeys Hooke's Law :-

the **restoring force** acting upon it when it is stretched is **proportional** to the **degree of stretching.** 



A molecule can be regarded as a collection of balls and springs, where the balls are the atoms and the springs are the chemical bonds.



 $\boldsymbol{\mu}$  is the reduced mass

$$\ddot{\upsilon} = \frac{1}{2\pi c} \left( \frac{\kappa}{\mu} \right)^{1/2}$$

 $\mu = \mathbf{m}_1 \mathbf{m}_2$ 

 $m_1 + m_2$ 

 $\mathbf{\ddot{v}}$  = wave number of the stretching vibration  $\mathbf{\kappa}$  = the force constant (bond strength)  $\mathbf{m}_1, \mathbf{m}_2$  = masses of atoms joined by the bond  $\mathbf{c}$  = speed of light

The exact wave number at which a given vibration occurs is determined by:

- 1) the strengths of the bonds involved and
- 2) the masses of the component atoms.

## **Fundamental Vibrations**

- Molecules of n atoms will have 3n degrees of freedom.
- Since its atoms may have its movement resolved along x, y and z axes.

Y

X

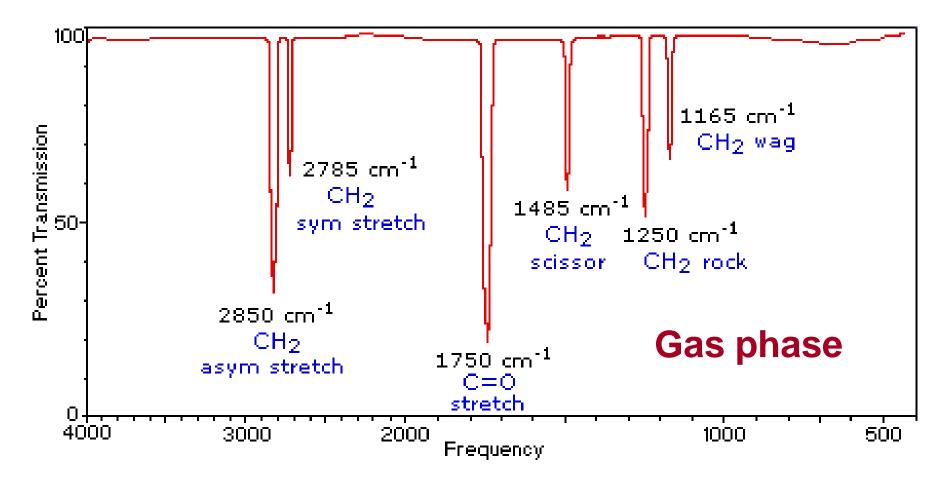
- Movement of the whole molecule as a rigid unit
- will take up six of these degrees of freedom,
- three translational and three rotational
- (two rotational in the case of linear molecules).
- So, for vibrational motions where atoms move relative to each other, we have 3n-6 (3n-5 for linear molecules) fundamental vibrations
- (vibrations responsible for number of theoretically expected absorption bands in IR).

## $H_2O$

- Three atoms (non-linear).
- 3 X 3= 9 degrees of freedom.
- 3n-6 (fundamental vibrations).
- 9 6 = 3 (fundamental vibrations).
- CO<sub>2</sub>
- Three atoms (linear).
- 3 X 3 = 9 degrees of freedom.
- 3n-5 (fundamental vibrations).
- 9 5 = 4 (fundamental vibrations).

Formaldehyde, H<sub>2</sub>C=O

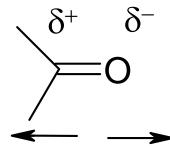
Four atoms (non-linear). 3 X 4= **12 degrees of freedom**. 12 - 6 = 6 (fundamental vibrations).



- In practice, IR spectra do not normally display separate absorption signals for each of the 3n-6 fundamental vibrational modes of a molecule.
- I) Absorption signals may be increased by:
- additive and subtractive interactions of the fundamental vibrations (overtones),
- II) Absorption signals, may be decreased by:
- a) Molecular Symmetry (identical group in the same molecule will have the same value),
- b) Spectrometer limitations
- c) Selection rules (only those vibrations which results in a change of dipole moment will show absorption bands).

#### **Band Intensity:**

- Described as w (for weak), m (for medium) and
- s (for strong).
- One selection rule that influences the intensity
- is that a change in dipole moment should occur for a vibration to absorb infrared radiation.
- Absorption of C=O bond stretching are usually very strong.



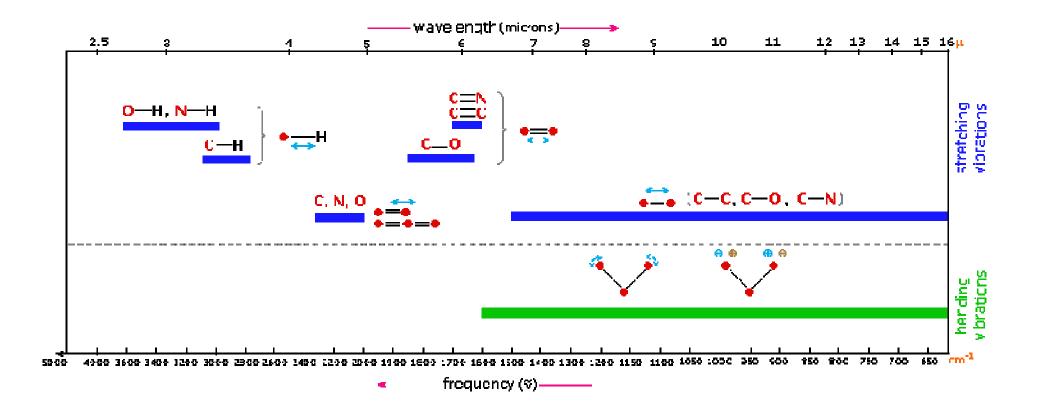
because a large change in the dipole moment takes place in that mode.

#### **Some General Trends**

- i) Stretching frequencies are higher than the corresponding bending frequencies.
- (It is easier to bend a bond than to stretch or compress it)
- ii) Asymetrical stretching frequencies are higher than symmetrical stretching frequencies.

$$\ddot{\upsilon} = \frac{1}{2\pi c} \left( \frac{\kappa}{\mu} \right)^{1/2} \qquad \qquad \mu = \frac{m_1 m_2}{m_1 + m_2}$$

- iii) Bonds to hydrogen have higher stretching frequencies than those to heavier atoms.
- iv) Triple bonds have higher stretching frequencies than double bonds,
- which in turn have higher frequencies than single bonds (except for bonds to hydrogen).



#### Blue colures refer to stretching vibrations,

Green coloured band encompasses bending vibrations.

- The 1450 to 666 cm<sup>-1</sup> region is complex.
- It is difficult to assign all the absorption bands.
- Because of the unique patterns,
- this region is often called the **fingerprint** region.
- It is characteristic of the molecule as a whole;
- useful as confirmatory evidence than identification.
- Absorption bands in the 4000 to 1450 cm<sup>-1</sup> region are usually due to stretching vibrations of diatomic units,
- and this is sometimes called:
- the group frequency region.
- Useful for identification of functional groups.

# **Interpretation of IR Spectra**

- IR spectroscopy is one of several aids to the elucidation of structures.
- Physical, chemical and other spectroscopic data are necessary.

#### Points to consider during interpretation.

- 1) Begin at the high-frequency end of the chart. (most reliable correlations occur here)
- 2) Use the fingerprint region mainly for confirmation.
- 3) Do not expect to assign every band in the spectrum.
- (only 10-20% of bands can usually be assigned).

- 4) place more reliance upon negative evidence,
- (that is absence of absorption in a particular region).
- Because the presence of a band may have several possible origins.
- 5) Continually cross-check the evidence against other spectroscopic methods.
- 6) Treat published descriptions of band intensities with caution.
- 7) Study any variation between spectra obtained by different sampling techniques.

#### **Characteristic Group Frequencies**

	Stretching Vibrations			
<b>Functional Class</b>	Range (cm <sup>-1</sup> )	Intensity	Assignment	
Alkanes	2850-3000	str	CH <sub>3</sub> , CH <sub>2</sub> & CH	
			2 or 3 bands	
<u>Alkenes</u>	3020-3100	med	=C-H & =CH <sub>2</sub> (usually sharp)	
	1630-1680		C=C (symmetry reduces	
			intensity)	
	1900-2000	str	C=C asymmetric stretch	

- Tables of characteristic group frequencies are available. (prepared following examination of many compounds).
- Precise frequencies of absorption for a group is dependent on its environment within the molecule and on its physical state of measurement.

#### **Alkanes**

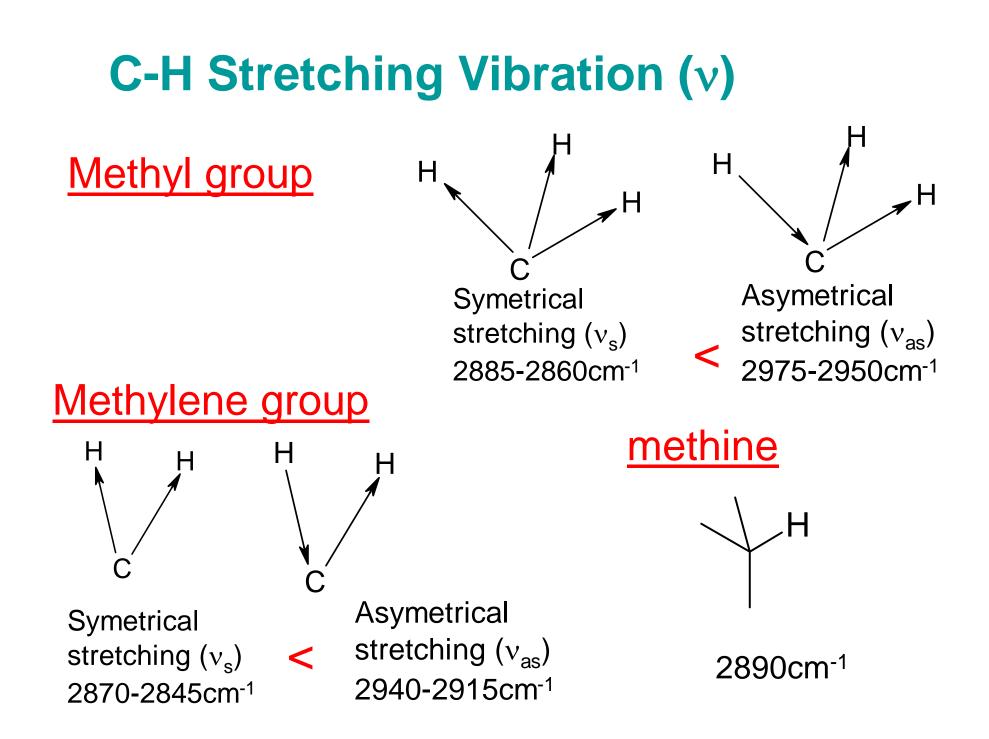
Two types of bonds (C-H and C-C)

Spectra can be interpreted in terms of four vibrations:

- 1) C-H stretching
- 2) C-H bending
- 3) C-C stretching
- (are weak, and appear in the broad region
- 1200-800 cm<sup>-1</sup>)

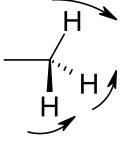
C-C stretching is of **little value** for identification.

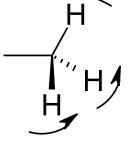
- 4) C-C bending
- (below 500 cm<sup>-1</sup>, do not appear in normal spectra).
- Thus the most characteristic vibrations are those of C-H stretching and C-H bending.



### C-H bending vibration ( $\delta$ )

Methyl group

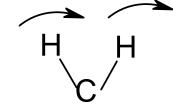




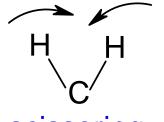
Symetrical bending  $(\delta_s)$  ca. 1375cm<sup>-1</sup>

Asymetrical bending  $(\delta_{as})$  ca. 1450cm<sup>-1</sup>

#### Methylene group



rocking 720-725cm<sup>-1</sup>



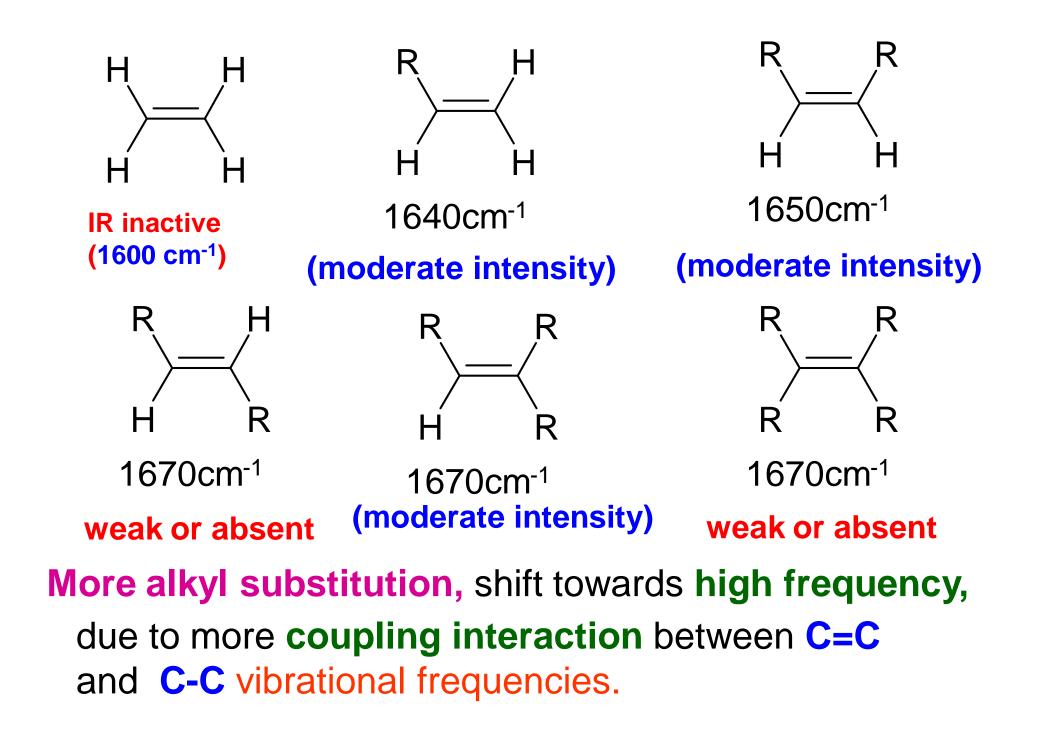
scissoring 1470-1465cm<sup>-1</sup> + + + -H H H H Cwagging twisting 1390-1350cm<sup>-1</sup>

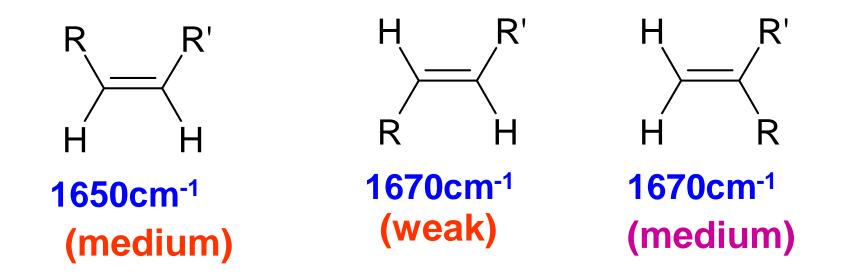
## Alkenes

- New modes of vibrations are:
- 1) C=C stretching,
- 2) C-H stretching (C in olefinic linkage) and
- 3) In-plane and out-of-plane bending of the olefinic C-H bond

## **C=C** stretching

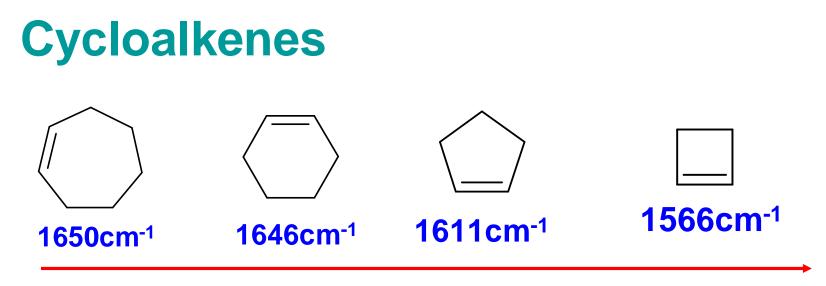
- 1667-1600 cm<sup>-1</sup>
- (moderate to weak)





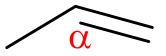
*cis*-double bonds which lack the symmetry of the *trans* structure absorb more strongly.

Internal double bonds absorb more weakly than terminal double bonds, because of *pseudo*-symmetry



Decreasing bond angle Decreasing order of C=C stretching frequency

The C=C stretching vibration is **coupled** with C-C stretching vibration of the adjacent bonds. Coupling is dependent on bond angle  $\alpha$ 

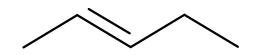


As the angle ( $\alpha$ ) becomes smaller the coupling interaction becomes less until it is minimum at 90° (the attached C-C bond is orthogonal to C=C bond)

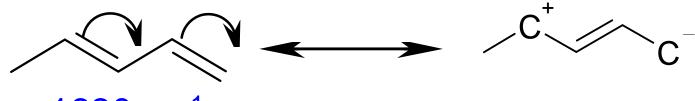


In cyclopropene, interaction becomes again appreciable (1641cm<sup>-1</sup>) The C=C vibration is strongly coupled to the attached C-C single bond vibration

#### **Effect of Conjugation**



1670cm<sup>-1</sup>



1630cm<sup>-1</sup>

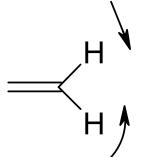
Conjugation of a double bond provides a single bond character through resonance, which lowers force constant K, thus a lower frequency of vibration.

#### **Olefinic C-H stretching vibration**

#### -C=C-H at 3100-3000 cm<sup>-1</sup>

In general, only C-H stretching bonds **above 3000 cm<sup>-1</sup>** result from **aromatic**, **acetylenic** or **olefinic** C-H stretching.

**Olefinic C-H bending vibration** 



Scissoring vibration (same plane) ca. 1416cm<sup>-1</sup> Out of plane bending (Twisting and wagging) 1000-650cm<sup>-1</sup> Strongest in alkenes

#### **Alkynes**

Contains C == C group

Three characteristic bands are present C C Stretching (2260-2100 cm<sup>-1</sup>)

In symmetrical substituted alkynes R—C=C—R stretching vibration is **not observed**.

Even in non-symmetrical substituted alkynes stretching vibration is very weak, due to *psudo-symmetry*.

Only in **mono-substituted** alkynes this is observed.

### 2) C-H stretching



#### (3300-3250cm<sup>-1</sup>)

Observed in mono-substituted acetylenes (Strong band).

Narrower than hydrogen-bonded O-H and N-H bands which occurring in the same region.

### 3) C-H bending

с≡с−н

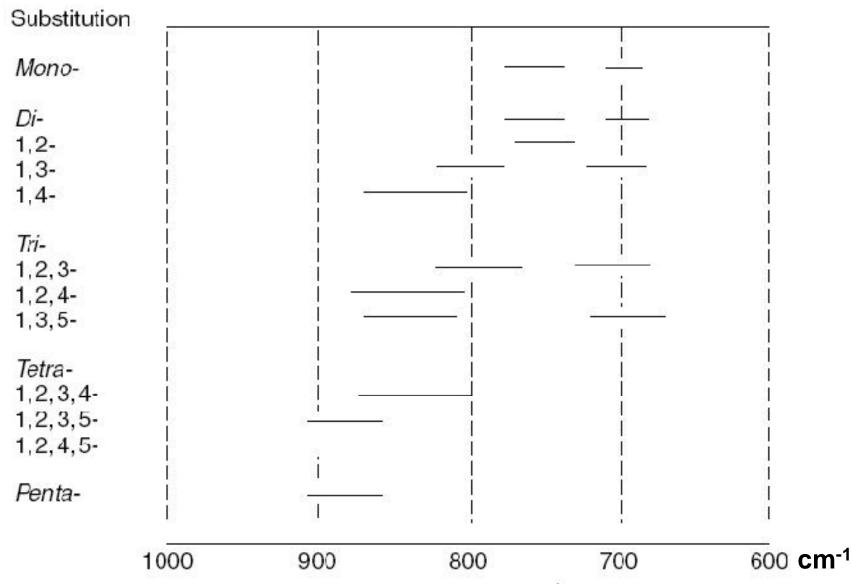
(700-600 cm<sup>-1</sup>)

Observed in mono-substituted acetylenes

### **Aromatic Compounds**

Show characteristic bands in five regions 1)The C-H stretching appear above 3000 cm<sup>-1</sup> (aliphatic C-H stretching (below 3000 cm<sup>-1</sup>). 2) In 2000-1700 cm<sup>-1</sup> region, a series of weak combination bands (overtones) appear. 3) Skeletal vibration, representing C=C stretching absorb in the 1650-1430 cm<sup>-1</sup>. 4) The C-H bending bands appear in the regions 1275-1000 cm<sup>-1</sup> (in-plane bending) and 5) 900-690 cm<sup>-1</sup> (out-of-plane C-H bending). These bands are strong and characteristic of the number of hydrogen atoms in the ring.

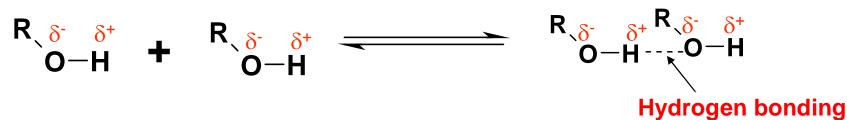
# Bands of the out-of-plane bending in substituted benzene



# **Alcohols and Phenols**

- Characteristic bands due to O-H and C-O stretching.
  O-H stretching
- Affected by hydrogen bonding
- 'Free' hydroxyl groups absorb between
- 3650-3585 cm<sup>-1</sup> (strong and sharp).
- 'Free' OH bands are only observed in the vapour phase or very dilute solution of non-polar solvents (eg. CCl<sub>4</sub>).
- Intermolecular H-bonding increases as the concentration of the solution increases or when the sample is analysed neat.
- This lowers frequency of absorption
- to 3550-3200cm<sup>-1</sup>.

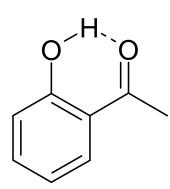
#### **Reason:**



- The stronger the hydrogen-bonding
- the longer the O-H bond (more polarized)
- the lower the force constant
- the lower the vibrational frequency
- the broader the band (H-bonding is not uniform throughout the sample) and
- the more intense (due to increased polarization of the O-H bond) the absorption band.

#### Can IR spectroscopy distingush these isomers?

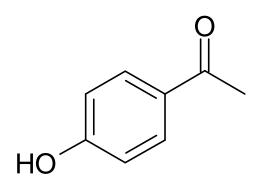
### **Intramolecular H-bonding**



occurs in O-Hydroxyacetophenone

at **3077 cm<sup>-1</sup> (lower frequency)** (independent of concentration)

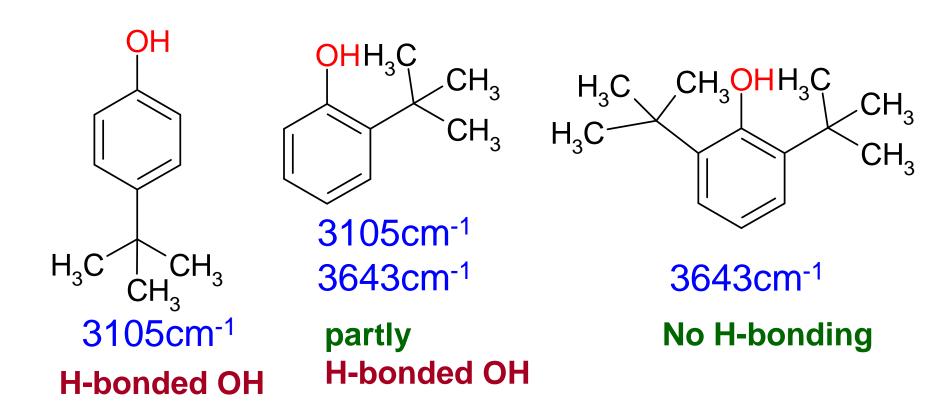
#### **O-Hydroxyacetophenone**



'free' hydroxyl peak at **3600 cm<sup>-1</sup>** (**Sharp**) in **dilute solution of CCl**<sub>4</sub>.

intermolecular H-bonded peak at 3100 cm<sup>-1</sup> (broad) when run

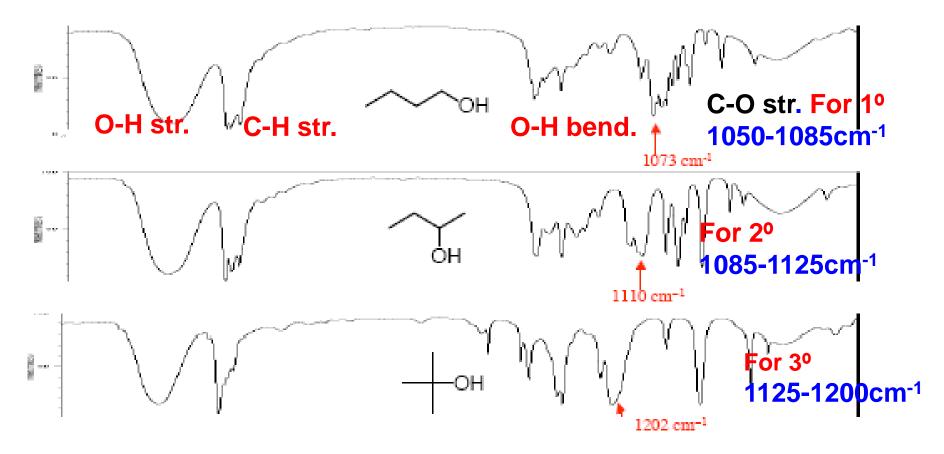
**P-Hydroxyacetophenone** neat sample (high concentration)



### Why the difference in absorption position?

2,6-di-*t*-butylphenol in which steric hindrance prevents hydrogen-bonding, No H-bonded hydroxyl band is observed, not even in spectra of neat samples.

- C-O stretching appear at 1300-1000 cm<sup>-1</sup>.
- O-H bending also occurs but such bands couple with other vibrations and produce complex bands in the fingerprint region (1420-1330 cm<sup>-1</sup>).



# **Carbonyl Compounds**

- show strong C=O stretching absorption band in the region 1870-1540 cm<sup>-1</sup>.
- High intensity and relatively free from interfering bands.
- One of the easiest bands to recognise.
- Neat sample of saturated aliphatic ketone,
- 1715 cm<sup>-1</sup> as '**normal**'.



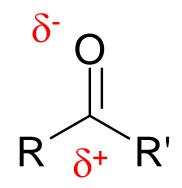
Change in the environment of the carbonyl can either lower or raise the absorption frequency.

### Factors affecting position of C=O absorption

- 1) The physical state of measurement
- (solid, neat, dilute solution, conc. solution);
- 2) Electronic effect of substituents;
- 3) Conjugation;
- 4) Hydrogen bonding;
- 5) Ring strain.

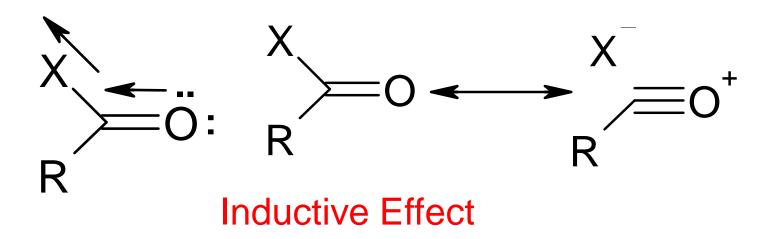
# 1) Physical State

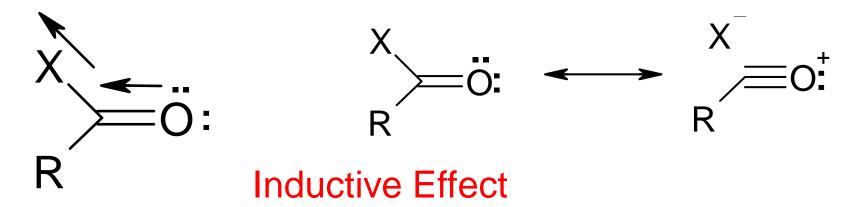
- Non-polar solvents increase absorption frequency.
- Polar solvents decrease absorption frequency.
- Due to solvent-carbonyl interaction.
- Overall range of solvent effect does not exceed 25 cm<sup>-1</sup>.



### 2) Electronic Effect of Substituents

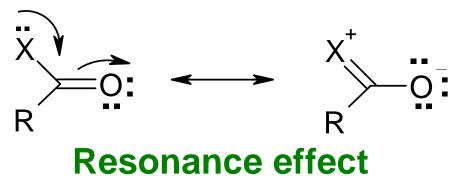
- Replacement of an alkyl group of a saturated aliphatic ketone by heteroatom (X) shifts the carbonyl absorption.
- The direction of the shift depends on whether inductive effect or resonance effect predominates.





Inductive effect reduces the length of the C=O bond

- increases its force constant
- the frequency of absorption will increase.



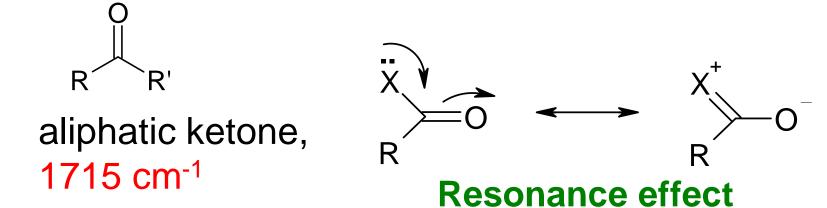
Resonance effect increases the length of the C=O bond

- reduces its force constant.
- the frequency of absorption will decrease.

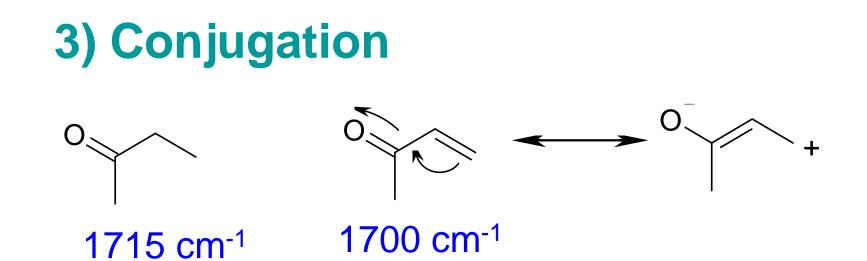
### Substituent effects predominantly inductive

R' R' aliphatic ket 1715 cm <sup>-1</sup>	one, R	$= 0  \underbrace{\qquad X^{-}}_{R} = 0^{+}$ Inductive Effect
	X	C=O absorption (cm <sup>-1</sup> )
	F	1869
	CI	1815-1785
	Br	1812
	OH	1760
	OR	1750-1735

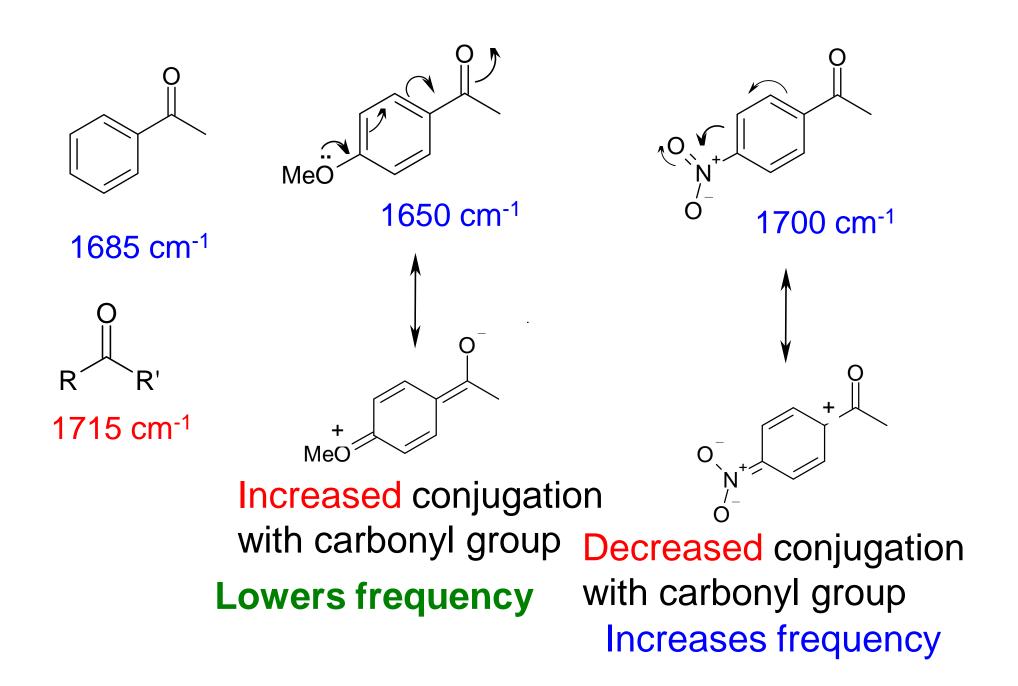
#### Substituent effects predominantly resonance



X	C=O absorption (cm <sup>-1</sup> )	
NH <sub>2</sub>	1695-1650	
SR	1710-1690	

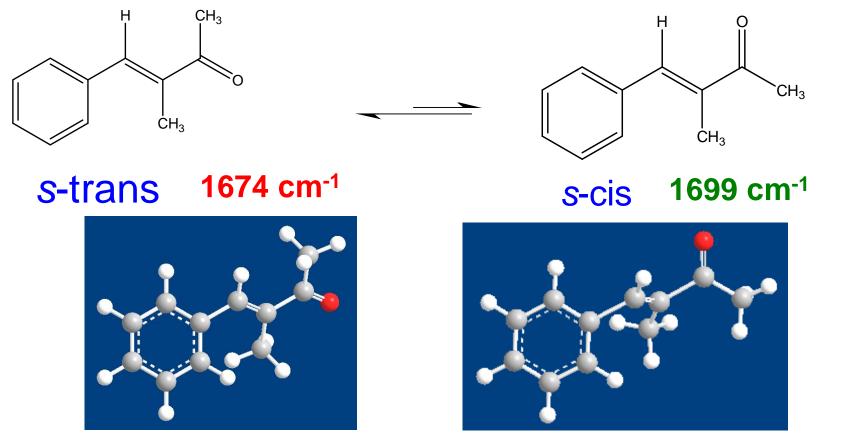


Conjugation lowers the stretching frequency of carbonyl by 15-40 cm<sup>-1</sup>. This is because the carbonyl **bond order** (double bond character) is reduced and hence the force constant falls (reduces).



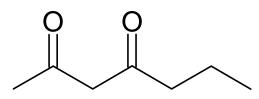
(E)-3-Methyl-4-phenylbut-3-en-2-one

Shows two C=O bands at 1674 and 1699 cm<sup>-1</sup>. Why?



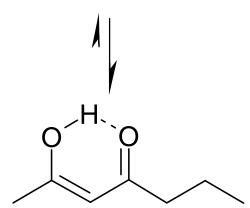
Sterric effects in s-cis reduces co-planarity of the conjugated system and reduces the effect of conjugation

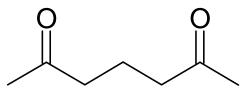
#### How can you distinguish the two isomers using IR?



Heptane-2,4-dione







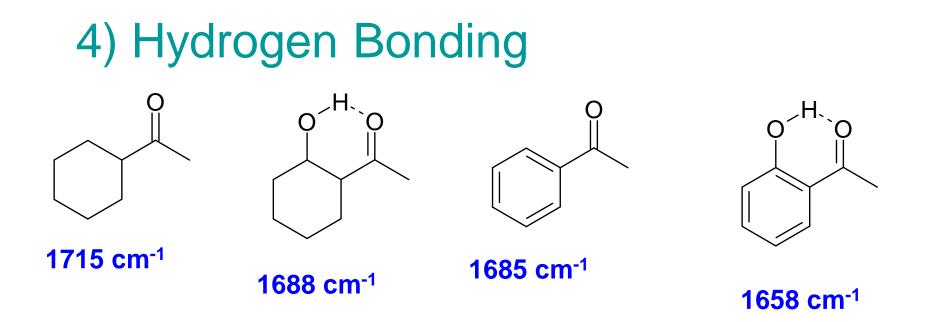
Heptane-2,6-dione

1715 cm<sup>-1</sup>

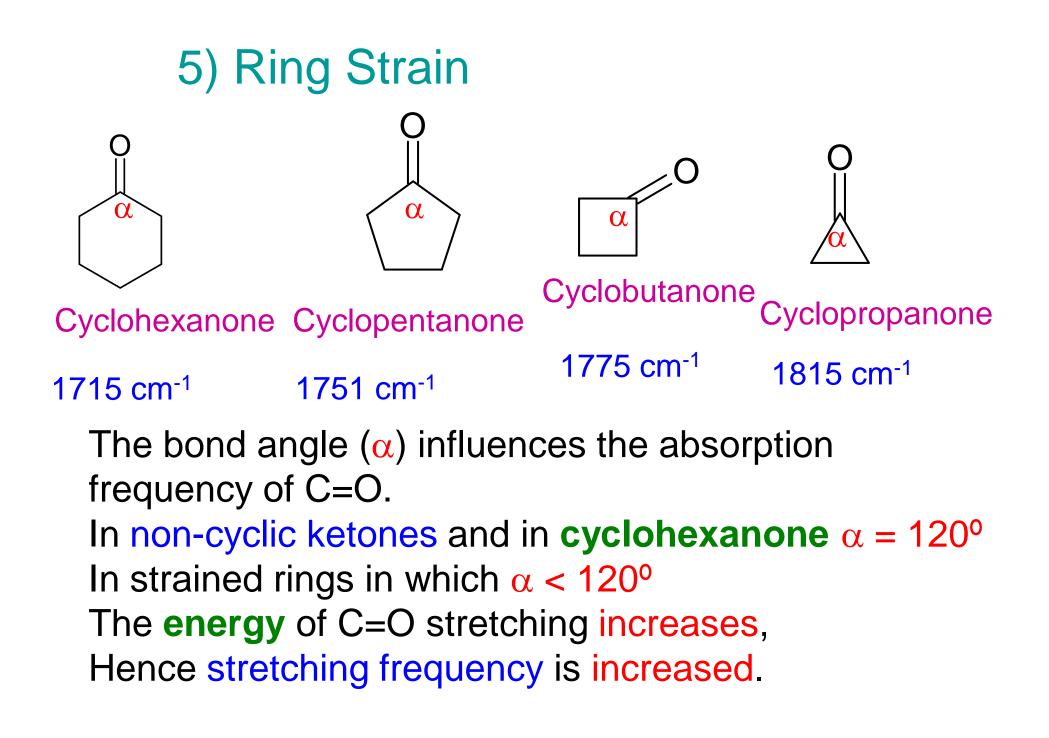


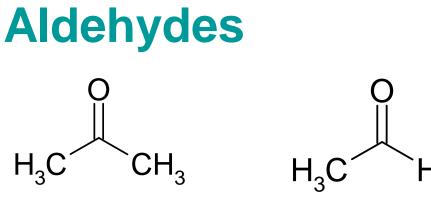
1613 cm<sup>-1</sup>

3077 cm<sup>-1</sup> for O-H stretching (H-bonded)

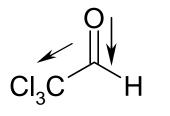


H-bonding reduces C=O stretching frequency. This is because the carbonyl bond order is reduced and the force constant falls..





1730 cm<sup>-1</sup> C=O stretching vibrations of aldehydes absorb at slightly higher frequencies than that of ketones. Hyperconjugation (which reduces vibrational frequency) is higher in ketones than in aldehydes

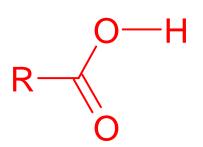


1715 cm<sup>-1</sup>

1768 cm<sup>-1</sup>

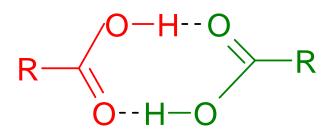
Electronegative substituents on **α-carbon** increases the frequency of carbonyl absorption

### **Carboxylic acids**



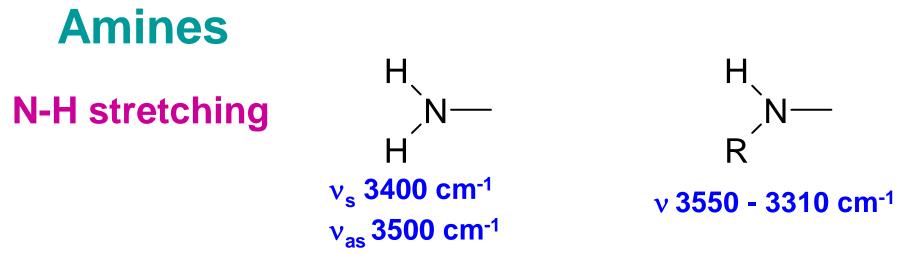
Monomers of saturated aliphatic Carboxylic acids absorb at 1760 cm<sup>-1</sup>

In liquid or solid state and in solutions (>0.01M), carboxylic acids exist as dimers due to strong H-bonding

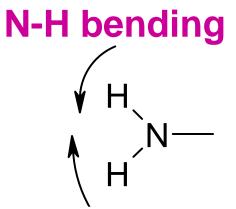


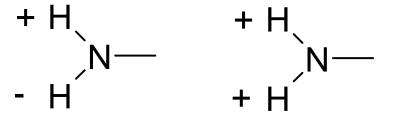
O-H stretching at 3000 cm<sup>-1</sup> (very broad, intense)

Dimerized saturated aliphatic Carboxylic acids absorb at 1720-1706 cm<sup>-1</sup>



Shifted to lower frequency by hydrogen bonding N-H bands are weaker and sharper than O-H bands.



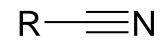


wagging 909-666 cm<sup>-1</sup> (medium to strong)

scissering 1650 -1580 cm<sup>-1</sup> (medium to strong)

The position is affected by H-bonding

### **Nitriles**



Aliphatic nitriles absorb near **2260-2240cm<sup>-1</sup>**. Conjugation (aromatic nitriles) at **2240-2222cm<sup>-1</sup>**.

#### Index of Hydrogen Deficiency

#### (Double Bond Equivalence)

gives the sum of the number of  $\pi$ -bonds and rings

$$\frac{\text{Index of}}{\text{hydrogen}} = \frac{\begin{pmatrix} \text{Number of H's} \\ \text{in reference} \\ (C_n H_{2n+2}) \end{pmatrix}}{2} - \begin{pmatrix} \text{Number of H's} \\ \text{in compound} \end{pmatrix}$$

Note: a saturated acyclic alkane (i.e. molecular formula:  $C_n H_{2n+2}$ ) is used as the reference.

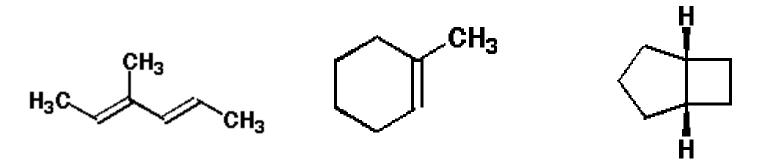
e.g. A compound X has a molecular formula C<sub>7</sub>H<sub>12</sub>

the reference compound has 16 Hydrogens

$$\begin{array}{rcl} & \text{Index of} \\ \therefore & \text{hydrogen} \\ & \text{deficiency} \end{array} & \begin{array}{r} 16 \cdot 12 \\ 2 \end{array} & \begin{array}{r} 2 \end{array} \end{array}$$

 the compound contains either 2 π-bonds, 1 π-bond and 1 ring, or 2 rings

possible formulas include:



Which are easily distinguished by <sup>1</sup>H NMR spectroscopy

Another way of expressing this is that for a molecular formula:  $C_n H_m$ 

$$\begin{array}{r} \text{Index of} \\ \text{hydrogen} \\ \text{deficiency} \end{array} = \frac{2n+2 \cdot m}{2} \end{array}$$

#### Effect of Heteroatoms

Group VII elements (X = F, CI, Br, I) are equivalent to one proton in the reference. i.e. the number of H's in the reference formula must be corrected by subtracting 1 for each X present.

Group VI elements (Y = 0, S): no correction to the reference formula is necessary.

Group V elements (Z = N, P): the number of H's in the reference formula must be corrected adding 1 for each Z present.

 $\therefore$  For a molecular formula:  $C_n H_m X_{\chi} Y_{\mu} Z_z$ 

$$\begin{bmatrix} \text{Index of} \\ \text{hydrogen} \\ \text{deficiency} \end{bmatrix} = \frac{2n+2+z\cdot x\cdot m}{2}$$

Example

the exact structure has not yet been fully identified, but it probably has a structure such as:

