#### **NoSQL** Databases

## NoSQL

- Introduction
- Aggregate data model
- Distribution Models
- Consistency
- Map-Reduce
- Types of NoSQL Databases
  - Key-Value
  - Document
  - Column Family
  - Graph

## Introduction

## **Relational Databases**

- Relational databases are the prevalent solution for storing enterprise data
- Some of the main benefits of RDBMS are
  - Access to persistent data
  - ACID Properties
  - Integration
    - Where multiple applications share data
  - Standardized model

## Impedance Mismatch

- A major disadvantage with a RDBMS is the *impedance* mismatch with the object model
- This is partly mitigated by the availability of objectrelational mapping frameworks
- The typical DB model uses a RDBMS as an integration database
  - Providing a data source for multiple applications
- An alternative is to create separate application databases
  - And use web services to integrate the application databases

Impedance mismatch – a term borrowed from electrical engineering where (very broadly) the output does not match the input

# Data, Data Everywhere

- The volume of data has grown dramatically in recent years
  - Caused by the onset of the web as a vehicle for trade, information and social networking
  - With the growth in data came a dramatic growth in users
- Managing the increase in data requires more computing resources
  - Scale up or out

# **Clusters, and More Clusters**

- There are two basic methods for scaling computing resources
  - Vertical scaling ("up")
    - Buy bigger, more powerful machines
  - Horizontal scaling ("out")
    - Buy more, cheaper, machines
- Many small machines in a cluster ends up being cheaper than scaling up
  - And provides more resilience

## **RDBMS and Clusters**

- RDBMS are not designed to run on clusters
  - Though many DBMS products support distributed databases
    - Primarily through writing to a highly available disk subsystem
    - Though this subsystem may still be a single point of failure
- Organizations sought alternatives to RDBMS
  - Google Dynamo
  - Amazon BigTable
  - Neither of these products use SQL
    - They are NoSQL databases

## NoSQL

- There is no one definition of NoSQL
  - And NoSQL databases differ significantly
  - There is even disagreement over whether it stands for No SQL or Not only SQL
- Common NoSQL database characteristics
  - Does not use relational model
  - Runs well on clusters
  - Open source (not always)
  - Built for 21<sup>st</sup> century web applications
  - Schema-less





# **Types of NoSQL Database**

- NoSQL databases move away from the relational data model
- There are four main types of NoSQL database
  - Key-value
  - Document
  - Column store
  - Graph
- The data models used by the first three have some similarities

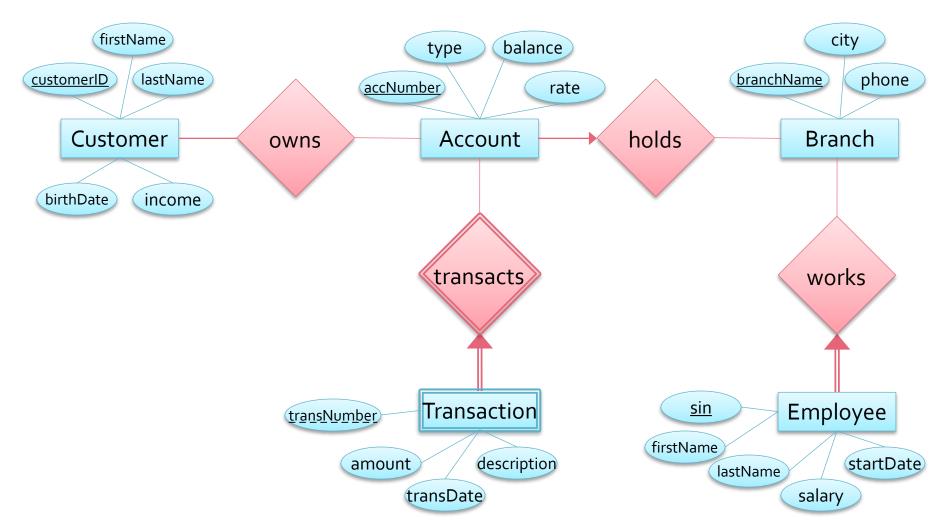
# Aggregates

- Modern programming language data structures have more complex structure than relations
  - That allow lists and other structures to be nested
  - We will refer to such structures as aggregates
    - A collection of related objects to be treated as a unit
- Using aggregates makes it easier for databases to operate in clusters
  - The aggregate can be used as a unit for replication and sharding

# **Relations versus Aggregates**

- A relational database captures relationships using foreign keys in tables
  - Combining tables entails joins
    - Which may be expensive
  - It does not capture the structure of an aggregate
- The same relational schema could have many different corresponding aggregates
  - Let's look at our bank example

## **Bank ERD**



# Bank Aggregates

- There are many different possible aggregates
  - For example a Branch object could include a container holding its associated accounts
  - Or Account objects could be independent of the Branch and contain a reference to the branch
- There are similar variations between the relationships between other entities
- The aggregates that are chosen should reflect the way that data is manipulated
  - Data related to a single aggregate should be maintained at the same cluster

## **Schema-Less Databases**

- NoSQL databases are schema-less
- Before storing data in a relational database the schema has to be defined
  - Tables, columns and their domains are defined
- NoSQL databases are much more casual
  - Key-value store allows any data to be associated with the key
  - Document databases do not make restrictions on what is contained in a document
  - Column family databases allow any data to be stored in any column

# **Advantages and Disadvantages**

#### Advantages

- Freedom and flexibility
  - New data can be added as required
  - Old data can be retained since un-needed columns do not have to be deleted
- Easier to deal with non-uniform data
- Disadvantages
  - In practice most programs rely on schemata
    - If the schema is only implicit it must be deduced
    - The schema is moved from the data store to the application

## **Distribution Models**

## Introduction

- NoSQL databases handle large amounts of data by scaling out
  - Running on clusters of machines
- The data to be stored needs to be distributed across the cluster
  - Sharding
  - Replication

# Sharding

- Sharding distributes data between nodes
  - The goal is for users to get all, or most of, their data from one server
- Sharding methods
  - By physical location
    - Locate the Vancouver accounts in Vancouver servers
  - Locate aggregates that are likely to be accessed together or in sequence in the same location
  - Many NoSQL databases perform automatic sharding

# **Sharding and Performance**

- Sharding can improve both read and write performance
  - Sharding allows horizontal scaling for both reads and writes
- However sharding does not improve resilience
  - Since sharding distributes data across many machines there is a larger chance of failure
    - Particularly compared to a single machine that is highly maintained

# Replication

- Replication is the process of maintaining multiple copies of data
  - To improve read performance
  - And improve availability and resilience
- Replication works better for read-intensive databases
  - Since all copies of the data have to be updated when processing writes
  - There are two replication schemes that handle writes in different ways
- Replication may lead to inconsistency

# **Master – Slave Replication**

- In master-slave replication one copy is maintained as the definitive data source
  - All updates are performed on this *master* copy and then propagated to the slaves
  - Read requests are handled by the slaves
- Since the master handles all updates it is not good for write-intensive systems
- If the master fails one of the slaves is appointed as the new master
  - Either manually or automatically

## **Peer to Peer Replication**

- Master-slave replication does not improve write scalability
  - Resilience is improved for slaves but not the master
  - Master is a bottleneck and a single point of failure
- In peer to peer replication all replicas accept writes and have equal weight
  - There is a trade-off between availability and inconsistency
    - Read inconsistency can occur when changes have not been propagated to all replicas
    - Write inconsistency can occur when two updates are performed at the same time

# **Sharding and Replication**

- Sharding and replication can be combined
  - Each shard is replicated
- In master slave replication there is one master for each shard
- Peer to peer replication of shards is commonly used for column family databases

# Consistency

## ACID

- Relational databases guarantee consistency
  - Through ensuring that transactions are processed atomically as if they occurred in isolation
  - Databases interleave actions of transactions to improve throughput
  - While identifying and preventing conflicts that could leave the database in an inconsistent state
    - Often through locking
- When actions of two transactions conflict the database prevents one from starting before the other has finished
  - Guaranteeing consistency becomes more difficult when the data resides on multiple servers

## Lost Update Example

Consider two transactions that affect a single bank account which initially holds \$1,000. In one transaction Bob (T1) is going to deposit \$500 and in the second interest of 10% is going to be added to the account.

Tı	T2	Α
Read(A)		1,000
	Read(A)	1,000
Write(A)		1,500
	Write(A)	1,100

This interleaving results in a *lost update* caused by an *unrepeatable read* which leaves the database in an inconsistent state

Tı	T2	Α
Read(A)		1,000
Write(A)		1,500
	Read(A)	1,500
	Write(A)	1,650

In this version the database locks A which prevents T<sub>2</sub> from acting on A (including reading it) until T<sub>1</sub> has completed

## No Free Lunch

- It's important to understand that locking mechanisms come with a cost
  - A reduction in throughput
    - i.e. less transactions are processed in a given time
- Controlling concurrency through locking in a distributed system is time consuming
  - The process is more complex
  - And it takes time to communicate across the network
- NoSQL databases often relax their requirements for consistency

# **Distributed Concurrency**

- Controlling concurrency on a distributed system becomes more complex
  - Particularly if the system is using peer to peer replication
    - Where any node can process updates
- Conflicts must be detected across nodes
  - And updates must be processed in the same order
    - Note that in the example the account's balance would be different if T<sub>2</sub> occurred before T<sub>1</sub>

## **Atomic Transactions**

- A common claim is that NoSQL databases to not guarantee ACID transactions
  - That is, they drop acid
    - Specifically they do not support atomic transactions
- Aggregate oriented databases *do* support atomic transactions
  The typical inconsistency
  - But only within aggregates

The typical inconsistency window for Amazon's SimpleDB is claimed to be less than one second

- And not necessarily across aggregates
- Updates that affect multiple aggregates may result in inconsistent reads for some time
  - Known as the *inconsistency window*

# **Distributed Read Consistency**

- An additional issue for distributed databases is replication consistency
  - Where updates reach different replicas at different times
  - This may result in two users reading different values at the same time
- Over time replicas will have the same values
  - That is they will be eventually consistent
  - Replication consistency issues may result in an increase in the size of the inconsistency window

## **CAP** Theorem

- The CAP theorem states that it is only possible to maintain two out of three properties
  - Consistency
  - Availability
    - If a node is available it can read and write data, or
    - Every request received by a non-failing node in the system must result in a response
  - Partition tolerance
    - The cluster can survive communication breakdowns that separate it into multiple partitions

# **Consistency Tradeoff**

- A distributed system must have partition tolerance
  - Unlike a single server system which can therefore provide consistency and availability
  - Without partition tolerance the implication is that if a partition occurs all the nodes in the cluster go down
- As partition tolerance is required, distributed systems must choose between availability and consistency
  - This is not an either / or choice
    - Most often it is necessary to reduce consistency to increase availability

# CAP Example – Hotel Booking 1

- Consider Bob and Kate trying to book the last hotel room in the Grand Hotel in Vancouver
  - On a peer to peer system with two nodes
    - Bob is in Vancouver and Kate is in London
  - If consistency is to be ensured then London must confirm Kate's booking with Vancouver
- If the network link fails then neither node can book rooms
  - Which sacrifices availability

# CAP Example – Hotel Booking 2

- An alternative is to use master-slave replication
  - All bookings for Vancouver hotels will be processed by the Vancouver node
    - Vancouver is the master
- What happens if the network connection fails?
  - Bob can still book the last room but Kate cannot
  - Kate can see that a room is available but cannot book it
  - There is an availability failure in London
    - As Kate can talk to the London node but the node is unable to update data

# CAP Example – Hotel Booking 3

- A third alternative is to allow both nodes to accept reservations when the connection fails
  - This increases availability
  - But may result in both Bob and Kate booking the last room
    - A consistency failure
- This reduction in consistency may be acceptable
  - The hotel does not *lose* bookings
  - And may keep a few spare rooms even when fully booked in case a room has to be vacated

## **Dealing with Inconsistency**

- There may be situations where some inconsistency is permissible
  - These situations are domain dependent and would have to be identified
    - By talking to the client
- Deciding to deal with some inconsistent updates (or reads) can be very useful
  - The tradeoff may be for more availability or performance

## **ACID and BASE**

- NoSQL databases are said to follow the BASE properties rather than the ACID properties
  - Basically Available
  - Soft state
  - Eventually consistent
- It is debatable how useful this is, or how well defined the properties are
  - But its cute ...

## **Types of Eventual Consistency**

- Read-Your-Writes consistency
  - Once a process has updated a record it will always read the updated value
- Session consistency
  - Read-Your-Writes consistency over a session
- Monotonic Read consistency
  - Once a process sees a version of a value it will never see an earlier version of that value
- Monotonic Write consistency
  - Updates are executed in the order in which they are performed

## **Key-Value Stores**

## **Key-Value Databases**

- A key-value store has two columns
  - The key the primary key for the store
  - The value which can be anything
- The value in a key-value store is not understood by the store
  - It is the responsibility of the application that is accessing the value
- The structurally simplest NoSQL database

### **Key-Value Store Features**

#### Consistency

- Applicable only for single key operations
- Eventual consistency a popular model
- Transactions
  - Varies considerably between products
- Query features
  - Key-values stores support querying by the key
  - Querying by attributes of the value column is not supported
- Scaling by sharding
  - The value of the key determines the node on which the key is stored

# Key Design

- Keys should be well designed
  - Use a naming convention
  - Use meaningful and unambiguous names
  - Use consistently named values for ranges
    - e.g. dates
  - Use a common delimiter
  - Keep keys short while complying with the above
  - Take implementation limitations into account

# Value Design

- Generally the value will be driven by the application but some design issues remain
  - What aggregates are to be used?
    - One key many values (e.g. customer123)
    - Many keys many values (e.g. customer123 address, customer 123name etc.)
  - Large or small values?
    - Large values reduce the number of reads
    - But the time to read and write values increases

## **Key-Value Store Uses**

- Uses for which key-value stores are suitable include
  - Session information
  - Storing configuration and user data information
  - Shopping carts
- Examples of key-value stores
  - Riak
  - Redis
  - Amazon WebServices Simple Db (and DynamoDB)
  - Project Voldemort

### **Document Databases**

### **Document Databases**

- Document databases store ... documents ...
  - Often XML, JSON etc.
- Documents are self-describing hierarchical tree structures
  - Documents are similar but do not have to be identical
    - And can have different schema
- Document databases are similar to key-value stores
  - Except that the value is a document
  - And the document can be examined, rather than just being obtained

### **Document Database Features**

#### Consistency

 Using MongoDB as an example, the database uses master-slave replication

#### The level of consistency can be specified

- That is, the number of nodes to which an update has to be propagated before it is deemed successful
- Making write consistency stronger reduce availability
- Availability
  - Availability is improved through replication
    - Data is duplicated across nodes
    - Allowing data to be read even when the master node is unavailable

### **Document Database Features 2**

#### Queries

- Document databases allow documents to be queried without first retrieving the entire document
  - Different document databases provide different query features
- Scaling
  - Scaling for reads is supported by adding more slaves
  - Scaling for writes is supported by sharding
    - A shard key is selected that determines how documents are broken into shards

## **Documents and Collections**

- Documents can be grouped into collections
  - Grouping similar documents together
    - Documents in a collection do not have to have identical structure
    - But should contain documents of the general type
  - Documents in a single collection will typically be processed by the same application code
    - If not, consider if the collection should be split
- Documents in a collection can be operated on as a group

## **Document Database Uses**

- Uses for which document databases are suitable include
  - Event logging
  - Content management systems
  - Web analytics
  - E-commerce
- Examples of document databases
  - MongoDB
  - CouchDB

## **Column-Family Stores**

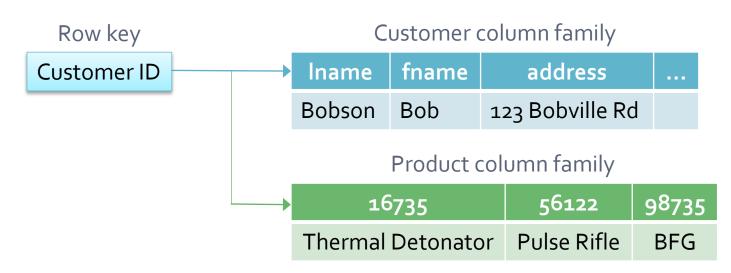
## **Column-Family Stores**

- Column-family stores group column families and are a refinement of columnar databases
  - A columnar database stores each column separately
    - Which greatly increases the speed of aggregate operations on column data
    - But makes accessing an entire row inefficient
- Column-families contain multiple related columns
  - But may still break down what would be a single table in a relational database into multiple tables
  - Rows in a column-family do not have to have the same columns as other rows

## **Column-Family and RDBMS**

- Column family DBs *appear* similar to relational databases
  - They have rows and columns
  - Rows are identified by unique identifiers
- There are important differences
  - Column family DBs do not support multi-row transactions
  - In some column family DBs column values are not typed
    - The data is interpreted at the application level
  - Column family DBs are typically de-normalized
    - The same key may identify different column families
    - Column values may include lists and other structures

## **DeNormalization Example**



- Different customers rows can have different numbers of products
- The product ID is used as a column name
  - Data is stored in column name order
  - Column values, the product names are repeated for each customer
  - But joins are not required to return customer information

## **Column-Family Store Features**

#### Consistency

- Column-family stores use peer to peer replication
- The level of consistency can be specified
  - By selecting the number of nodes that have to respond to a read or write before it is committed

#### Availability

- Availability is improved through replication
  - Using peer to peer replication improves availability
  - Which can be further improved by reducing consistency

## **Column-Family Store Features 2**

#### Queries

- Column-families can be queried
  - Although query languages are not as rich as SQL
  - Do not, for example, allow joins or subqueries
- Columns can be indexed to improve efficiency
  - Data in rows are sorted by column names
- Scaling
  - Scaling for reads or writes is achieved by adding additional nodes

## **Column-Family Store Uses**

- Uses for which column-family stores are suitable include
  - Event logging
  - Content management systems, blogging sites
  - Counters
- Examples of column-family stores
  - Cassandra
  - HBase
  - Google BigTable
    - Designing BigTable schema

## **Graph Databases**

## **Graph Databases**

- Graph databases are designed to efficiently store relationships
  - Nodes map to entities and edges to relationships
- Nodes have properties such as name
- Edges have types such as likes
  - Edges can be set to bidirectional
  - Different edges in the same graph can have different types
    - For example *likes* and *employee*
- Traversing relationships in a graph database is fast
  - The relationships are stored persistently

## **Graph Operations**

- Graph databases support a set of specialized operations
  - Union
    - Combines two graphs by taking the union
  - Intersection
    - Combines two graphs by taking the union
  - Traversal
    - Traverses the graph from a given node, visiting all connected nodes

## **Graph Properties**

#### Isomorphism

- Two graphs are isomorphic if they have corresponding vertices and edges between vertices
- Order number of vertices
- Size number of edges
- Degree the number of edges of a vertex
- Closeness
  - A measure of distance between vertices
- Betweenness
  - A measure of how connected vertices are
  - Can be used to identify vulnerable paths

## **Graph Database Uses**

- Uses for which graph databases are suitable include
  - Storing connected data such as social networks
  - Location based services
  - Recommendation engines
  - Tracking infections
- Examples of graph databases
  - <u>Neo4j</u>
  - Titan
  - OrientDB