# Introduction to Formal Proof 

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5: Theories

## Theories

$\triangleright$ The subject matter of predicate logic is "all models (over all signatures)"

- Mathematical logicians consider the soundness and completeness of particular deductive systems for the logic, and also consider its decidability.
$\triangleright$ The subject matter of much of (formal) mathematics and computer science is (in one sense) more constrained
- We want to make proofs about models that satisfy certain laws (a.k.a. axioms)
- We want to use sound deductive systems to make these proofs
* So we start with a sound deductive system (for FOL) ...
* add a signature $(\mathcal{C}, \mathcal{F}, \mathcal{P})$ and laws for the models we are interested in ...
* and see what happens next! (i.e. what we can prove)
- The domain of discourse is left implicit ...
... though we sometimes have a particular domain of discourse in mind!
- If we add a law that leads to contradiction then no model will satisfy our theory!


## Example: elementary group theory

$\triangleright$ Constants: ८
$\triangle$ Functions: $\cdot \otimes \cdot \sim$
$\triangleright$ Axiom Schemes (Laws):

$$
\begin{aligned}
& {\overline{T_{1} \otimes\left(T_{2} \otimes T_{3}\right)}=\left(T_{1} \otimes T_{2}\right) \otimes T_{3}}^{\otimes \text {-ass }} \\
& \overline{\iota \otimes T=T} \iota \text {-id } \\
& \overline{\sim T \otimes T=\iota}^{\sim}
\end{aligned}
$$

$\triangleright$ Example models: the integers with $\iota=0, \otimes=+$; the nonzero rationals or reals with $\iota=1, \otimes=\times$; and (for any set $S$ ) the bijective functions in $S \rightarrow S$ with $\iota=I d_{S}, \otimes=$ (composition).
$\triangleright$ Consequences can be proven using only equational reasoning, for example: $T \otimes \iota=T$ $\triangleright \operatorname{In}$ the "transitive equalities" presentation style the essence of the proof is:

```
1: \(T \otimes \iota\)
2. \(\quad=T \otimes(\sim T \otimes T) \quad\{\) Fold \(\sim\)
3: \(=(T \otimes \sim T) \otimes T \quad\{\) Unfold \(\otimes\)-ass
4: \(=\iota \otimes T \quad\) U Unfold Thm \(T \otimes \sim T=\iota\)
\(4:=T \quad\{\iota\)-id
```

$\triangleright$ This concise presentation hides the details of the application of the transitivity rules: ${ }^{1}$

```
1:T\otimes }\textrm{T}=\textrm{T}\otimes~\textrm{T}\otimes\textrm{T}\quad\mathrm{ Fold ~
2:T\otimes~T\otimesT=(T\otimes~T)\otimesT Unfold \otimes-ass
3:(T\otimes~T)\otimesT=(l)\otimesT Unfold Theorem T\otimes~T=\imath
4:(l)\otimesT=T l-id
5:(T\otimes~T)\otimesT=T Derived Rule =trans 3,4
6:T\otimes~T\otimesT=T Derived Rule =trans 2,5
7:T\otimesl=T Derived Rule =trans 1,6
```

[^0]$\triangleright$ It also relies on a stylized concise form of reporting of "folds" and "unfolds"

|  |  | Unfold Theorem $T \otimes \sim T=\iota$ $(T \otimes \sim T) \otimes T=(\iota) \otimes T$ | $\begin{gathered} \overline{\iota-\mathrm{id}} \\ (\iota) \otimes T=T \end{gathered}$ |
| :---: | :---: | :---: | :---: |
|  | Unfold $\otimes$-ass | Derived Rule =trans |  |
|  | $T \otimes \sim T \otimes T=(T \otimes \sim T) \otimes T$ | $(T \otimes \sim T) \otimes T=T$ |  |
| Fold ~ | Derived Rule = trans |  |  |
| $T \otimes \iota=T \otimes \sim T \otimes T$ | $T \otimes \sim T \otimes T=T$ |  |  |
| Derived Rule $=$ trans |  |  |  |
| $T \otimes \iota=T$ |  |  |  |
| Theorem $T \otimes \sim T=\iota$ |  |  |  |
| $T \otimes \sim T=1$ |  |  |  |
|  |  | $\begin{array}{cc} \overline{\text { rewriteLR }} & \overline{\iota \text {-id }} \\ (T \otimes \sim T) \otimes T=(\iota) \otimes T & (\iota) \otimes T=T \end{array}$ |  |
|  |  |  |  |
| $\sim$ | $\otimes$-ass | Derived Rule =trans |  |
| $\sim T \otimes T=\iota$ | $T \otimes \sim T \otimes T=(T \otimes \sim T) \otimes T$ | $(T \otimes \sim T) \otimes T=T$ |  |
| rewriteRL | Derived Rule =trans |  |  |
| $T \otimes \iota=T \otimes \sim T \otimes T$ | $T \quad T \otimes \sim T \otimes T=T$ |  |  |
| Derived Rule =trans |  |  |  |
| $T \otimes \iota=T$ |  |  |  |

$\triangleright$ Inverses are unique

| 1: | $\mathrm{Ti} \otimes \mathrm{T}=\iota$ | assumption |
| :---: | :---: | :---: |
| 2: | Ti |  |
| 3: | $=\mathrm{Ti} \otimes \iota$ | Fold Theorem $T \otimes \iota=T$ |
| 4: | $=T i \otimes T \otimes \sim T$ | Fold Theorem $T \otimes \sim T=\iota$ |
| 5: | $=(T i \otimes T) \otimes \sim T$ | Unfold $\otimes$-ass |
| 6: | $=(\iota) \otimes \sim T$ | Unfold hyp |
| 7: | $=\sim T$ | Unfold 1 -id |

$\triangleright$ Completely formal proofs using associativity can be ... tedious, for example:

| $2:=1 \otimes T \otimes \sim T$ | Fold 1 -id |
| :---: | :---: |
| $3:=(\sim(T \otimes \sim T) \otimes T \otimes \sim T) \otimes T \otimes \sim T$ | Fold ~ |
| 4: $=\sim(T \otimes \sim T) \otimes((T \otimes \sim T) \otimes T \otimes \sim T)$ | Fold $\otimes$-ass |
| $5: \quad=\sim(T \otimes \sim T) \otimes(T \otimes(\sim T \otimes T \otimes \sim T))$ | Fold $\otimes$-ass |
| 6: $=\sim(T \otimes \sim T) \otimes((T \otimes \sim T) \otimes T \otimes \sim T)$ | Unfold $\otimes$-ass |
| $7:=\sim(T \otimes \sim T) \otimes(((T \otimes \sim T) \otimes T) \otimes \sim T)$ | Unfold $\otimes$-ass |
| $8:=\sim(T \otimes \sim T) \otimes((T \otimes(\sim T \otimes T)) \otimes \sim T)$ | Fold $\otimes$-ass |
| $9:=\sim(T \otimes \sim T) \otimes((T \otimes(l)) \otimes \sim T)$ | Unfold ~ |
| 10: $=\sim(T \otimes \sim T) \otimes(T \otimes(l \otimes \sim T))$ | Fold $\otimes$-ass |
| 11: $=\sim(T \otimes \sim T) \otimes(T \otimes(\sim T))$ | Unfold 1 -id |
| 12: | Unfold |

Here lines 3-8 could be summarised as: "by associativity of $\otimes$ ", and interactive proof assistants should provide some sort of interface that gets on with the details of "flattening, then rebracketing" under the direction of the user.

## Example: theory of Natural Numbers

$\triangleright$ Constants: 0
$\triangleright$ Functions: succ $\cdot, \cdot+\cdot, \cdot \times \cdot, \ldots$
$\triangleright$ Laws: ${ }^{2}$

$$
\begin{aligned}
& \overline{\Gamma \vdash \operatorname{succ}(T) \neq 0} \mathrm{P} 3(0 \text { is the beginning }) \quad \overline{\Gamma \vdash \operatorname{succ}\left(T_{1}\right)=\operatorname{succ}\left(T_{2}\right) \rightarrow T_{1}=T_{2}} \mathrm{P} 4 \text { (injectivity) } \\
& \frac{\Gamma \vdash \phi(0) \quad \Gamma, \phi(m) \vdash \phi(\operatorname{succ}(m))}{\Gamma \vdash \phi(T)} \mathrm{P} 5 \text { natinduction }(m \text { fresh })
\end{aligned}
$$

$\triangleright$ Nat induction is a schema parameterized by $\phi(\cdot)$ - a formula in which • may appear.
$\triangleright$ The "intended model" is the natural numbers.
$\triangleright$ The even numbers also constitute a model; indeed there are infinitely many models! (why?)

[^1]$\triangleright$ Axiom schemas with parameters $T_{1}, T_{2}$ (terms)
\[

$$
\begin{array}{ll}
\overline{0+T_{2}=T_{2}}+.0 & \overline{\operatorname{succ}\left(T_{1}\right)+T_{2}=\operatorname{succ}\left(T_{1}+T_{2}\right)}+.1 \\
\overline{0 \times T_{2}=0} \times .0 & \overline{\operatorname{succ}\left(T_{1}\right) \times T_{2}=T_{2}+\left(T_{1} \times T_{2}\right)} \times .1
\end{array}
$$
\]

$\triangleright$ These schemas characterize addition and multiplication (almost) uniquely (but this needs to be proved)
$\triangleright$ Consequences: commutativity and associativity of,$+ \times$ distributivity of $\times$ through + , etc., etc.

An inductive proof using substitution (equals-elimination) to rewrite equal subterms within successive formulae

| 1: | $0+(T 2+T 3)=T 2+T 3$ | $+' 0$ |
| :--- | :--- | :--- |
| 2: | $0+T 2=T 2$ | $+' 0$ |
| 3: $T 2+T 3=(T 2)+T 3$ | $=-i$ |  |
| 4: $T 2+T 3=(0+T 2)+T 3$ | Derived Rule $=-e \leftarrow 2,3$ |  |
| 5: | $0+(T 2+T 3)=(0+T 2)+T 3$ | Derived Rule $=-e \leftarrow 1,4$ |
| 6: | $m+(T 2+T 3)=(m+T 2)+T 3$ | assumption |
| 7: | $\operatorname{succ}(m)+(T 2+T 3)=\operatorname{succ}(m+T 2+T 3)$ | +1 |
| 8: | $\operatorname{succ}(m)+T 2=\operatorname{succ}(m+T 2)$ | $+' 1$ |
| 9: | $(\operatorname{succ}(m+T 2))+T 3=\operatorname{succ}((m+T 2)+T 3)$ | $+' 1$ |
| 10: | $m+T 2+T 3=(m+T 2)+T 3$ | hyp 6 |
| 11: | $\operatorname{succ}((m+T 2)+T 3)=\operatorname{succ}((m+T 2)+T 3)$ | $=-i$ |
| 12: | $\operatorname{succ}(m+T 2+T 3)=\operatorname{succ}((m+T 2)+T 3)$ | Derived Rule $=-e \leftarrow 10,11$ |
| 13: | $\operatorname{succ}(m+T 2+T 3)=(\operatorname{succ}(m+T 2))+T 3$ | Derived Rule $=-e \leftarrow 9,12$ |
| 14: | $\operatorname{succ}(m+T 2+T 3)=(\operatorname{succ}(m)+T 2)+T 3$ | Derived Rule $=-e \leftarrow 8,13$ |
| 15: | $\operatorname{succ}(m)+(T 2+T 3)=(\operatorname{succ}(m)+T 2)+T 3$ | Derived Rule $=-e \leftarrow 7,14$ |
| 16: $T 1+(T 2+T 3)=(T 1+T 2)+T 3$ | natinduction $5,6-15$ |  |

The proof consists of successive formulae that are equivalent up to substitution of equal terms

The same proof: using folds and unfolds, and presented in the transformational style

| 1: | $0+(T 2+T 3)$ |  |
| ---: | :--- | :--- |
| $2:$ | $=T 2+T 3$ | Unfold +' 0 |
| $3:$ | $=(0+T 2)+T 3$ | Fold +' 0 |
| $4:$ | $m+(T 2+T 3)=(m+T 2)+T 3$ | assumption |
| $5:$ | $\operatorname{succ}(m)+(T 2+T 3)$ |  |
| $6:$ | $=\operatorname{succ}(m+T 2+T 3)$ | Unfold +'1 |
| $7:$ | $=\operatorname{succ}((m+T 2)+T 3)$ | Unfold hyp |
| $8:$ | $=(\operatorname{succ}(m+T 2))+T 3$ | Fold +'1 |
| $9:$ | $=(\operatorname{succ}(m)+T 2)+T 3$ | Fold +'1 |
| $10:$ | $T 1+(T 2+T 3)=(T 1+T 2)+T 3$ | natinduction $1-3,4-9$ |

This is not exactly the same as the substitutivity proof, but all the "essential" steps in it are the same.

Comparison between the transformational proof and (a compact form) of the substitutive proof

| 1: $0+(T 2+T 3)$ |  |  |
| :---: | :---: | :---: |
| 2 : | $=T 2+T 3$ | Unfold + ' 0 |
| 3: | $=(0+T 2)+T 3$ | Fold + ${ }^{0}$ |
| 4: | $m+(T 2+T 3)=(m+T 2)+T 3$ | assumption |
| 5: | $\operatorname{succ}(m)+(T 2+T 3)$ |  |
| 6: | $=\operatorname{succ}(m+T 2+T 3)$ | Unfold + '1 |
| 7: | $=\operatorname{succ}((m+T 2)+T 3)$ | Unfold hyp |
| 8: | $=(\operatorname{succ}(m+T 2))+T 3$ | Fold + '1 |
| 9: | $=(\operatorname{succ}(\mathrm{m})+\mathrm{T} 2)+\mathrm{T} 3$ | Fold + '1 |


| 1: $T 2+T 3=(T 2)+T 3$ | $=-i$ | $+' 01$ |
| :--- | :--- | :--- |
| 2: $T 2+T 3=(0+T 2)+T 3$ | $+' 02$ |  |
| 3: $0+(T 2+T 3)=(0+T 2)+T 3$ | assumption |  |
| 4: | $m+(T 2+T 3)=(m+T 2)+T 3$ | n |
| 5: | $\operatorname{succ}((m+T 2)+T 3)=\operatorname{succ}((m+T 2)+T 3)$ | $=-i$ |
| 6: | $\operatorname{succ}(m+T 2+T 3)=\operatorname{succ}((m+T 2)+T 3)$ | hyp 5 |
| 7: | $\operatorname{succ}(m+T 2+T 3)=(\operatorname{succ}(m+T 2))+T 3$ | +16 |
| 8: | $\operatorname{succ}(m+T 2+T 3)=(\operatorname{succ}(m)+T 2)+T 3$ | +17 |
| 9: | $\operatorname{succ}(m)+(T 2+T 3)=(\operatorname{succ}(m)+T 2)+T 3$ | +18 |
| 10: $T 1+(T 2+T 3)=(T 1+T 2)+T 3$ | natinduction $3,4-9$ |  |

(in the compact form, the uses of "=-e $\leftarrow "$ are left implicit)

## Theories with several types

In which we indicate how to formalize a (rather weak) notion of types thereby supporting proofs in theories in which several "types" are used
$\triangleright$ For example suppose we want to build a theory of lists of numbers?

- We expect to be able to prove things about all numbers
- We expect to be able to prove things about all lists
- We expect to be able to characterize functions recursively on lists and on numbers
- The "untyped" induction and definition rules are no longer quite enough


## Example: typed theory of natural numbers

$\triangleright$ The main idea: supplement signatures with "typing predicates"

- Constants: 0
- Functions: succ $\cdot, \cdot+\cdot, \cdot \times \cdot, \ldots$
- Predicates: $\mathbb{N}(\cdot)$ meaning ". is a natural number"
- Laws:

$$
\begin{aligned}
& \frac{\mathbb{N}(T)}{\mathbb{N}(0)} \text { N0 } \quad \frac{\mathbb{N}(\operatorname{succ}(T))}{} \text { Nsucc } \\
& \frac{\mathbb{N}(T)}{\operatorname{succ}(T) \neq 0} \mathrm{P} 3 \quad \frac{\mathbb{N}\left(T_{1}\right) \quad \mathbb{N}\left(T_{2}\right)}{\operatorname{succ}\left(T_{1}\right)=\operatorname{succ}\left(T_{2}\right) \rightarrow T_{1}=T_{2}} \mathrm{P} 4 \\
& \frac{\Gamma \vdash \mathbb{N}(T) \quad \Gamma \vdash \phi(0) \quad \Gamma, \mathbb{N}(m), \phi(m) \vdash \phi(\operatorname{succ}(m))}{\Gamma \vdash \phi(T)} \text { (nat induction)(fresh } m \text { ) } \\
& \text { (Here } \phi(.) \text { is - as usual - a "formula abstraction") }
\end{aligned}
$$

$\triangleright$ Arithmetic expressions are also typed:

$$
\frac{\mathbb{N}\left(T_{1}\right) \quad \mathbb{N}\left(T_{2}\right)}{\mathbb{N}\left(T_{1}+T_{2}\right)} \mathrm{N}+\quad \frac{\mathbb{N}\left(T_{1}\right) \quad \mathbb{N}\left(T_{2}\right)}{\mathbb{N}\left(T_{1} \times T_{2}\right)} \mathrm{N} \times
$$

$\triangleright$ Arithmetic operator definitions get the expected typing antecedents, for example:

$$
\frac{\mathbb{N}\left(T_{1}\right) \mathbb{N}\left(T_{2}\right)}{0+T_{2}=T_{2}}+.0 \quad \frac{\mathbb{N}\left(T_{1}\right) \quad \mathbb{N}\left(T_{2}\right)}{\operatorname{succ}\left(T_{1}\right)+T_{2}=\operatorname{succ}\left(T_{1}+T_{2}\right)}+.1
$$

$\triangleright$ Theorems now have type premisses, for example

$$
\overline{\mathbb{N}\left(T_{1}\right), \mathbb{N}\left(T_{2}\right), \mathbb{N}\left(T_{3}\right) \vdash T_{1}+\left(T_{2}+T_{3}\right)=\left(T_{1}+T_{2}\right)+T_{3}}+\text {-assoc }
$$

$\triangleright$ The typing antecedents of rules needed in the proof of a theorem are trivial to prove from the typing premisses

## Example: typed theory of heterogeneous lists

- Constants: nil
- Functions: •: $\cdot, \cdot+\cdot \ldots$
- Predicates: $\mathbb{L}(\cdot)$
- Laws: (the elements of a heterogeneous list need not have the same type)

$$
\begin{aligned}
& \frac{\mathbb{L}(\text { nil })}{} \text { Lnil } \quad \frac{\mathbb{L}(T S)}{\mathbb{L}(T: T S)} \mathrm{L} \text { : } \\
& \frac{\mathbb{L}(T S)}{T: T S \neq \text { nil }}:-\quad \frac{\mathbb{L}(T S) \quad \mathbb{L}\left(T S^{\prime}\right)}{T: T S=T^{\prime}: T S^{\prime} \rightarrow T=T^{\prime} \wedge T S=T S^{\prime}}:- \text { inj } \\
& \left.\frac{\Gamma \vdash \mathbb{L}(T) \quad \Gamma \vdash \phi(n i l) \quad \Gamma, \mathbb{L}(x s), \phi(x s) \vdash \phi(x: x s)}{\Gamma \vdash \phi(T)} \text { (list induction)(fresh } x, x s\right) \\
& \text { (Here } \phi(.) \text { is - as usual - a "formula abstraction") }
\end{aligned}
$$

Catenation and reverse defined in the usual way but with typing information added

$$
\begin{aligned}
& \frac{\mathbb{L}(T)}{\mathbb{L}(\operatorname{rev}(T))} \text { Lrev } \\
& \overline{\operatorname{rev}(n i l)=n i l} \text { rev. } 0 \\
& \frac{\mathbb{L}(T S)}{\operatorname{rev}(T: T S)=\operatorname{rev}(T)+(T: n i l)} \text { rev. } 1 \\
& \frac{\mathbb{L}(T S)}{\mathbb{L}\left(T S+T S^{\prime}\right)} \mathrm{L}+ \\
& \frac{\mathbb{L}(T S)}{\text { nil }+T S=T S}+.0
\end{aligned}
$$

$\triangleright$ "Typed" theories can be mixed: antecedents stop us inferring nonsense, e.g. nil $+0=0$
$\triangleright$ Theorems (as expected) have typing premisses, for example:

$$
\overline{\mathbb{L}\left(T_{1}\right), \mathbb{L}\left(T_{2}\right), \mathbb{L}\left(T_{3}\right) \vdash T_{1}+\left(T_{2}+T_{3}\right)=\left(T_{1}+T_{2}\right)+T_{3}}+\text {-assoc }
$$

$\triangleright$ The formal proofs of these theorems are a lot like those we know and love from FP

Some additional, but trivial, work is needed to satisfy the typing antecedents: compare

| 1: | $L(T 1)$ | assumption |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 : | L(T2) | assumption |  |  |  |
| 3: | L(T3) | assumption |  |  |  |
| 4: | $L(T 2++T 3)$ | L++ 2,3 |  |  |  |
| 5: | nil + T2=T2 | ++'02 |  |  |  |
| 6: | nil++(T2++T3) |  |  |  |  |
| 7: | $=T 2+$ T3 | ++'0 4 | 1: | $L(T 1)$ | assumption |
| 8 : | $=($ nil ++ T2) + + T3 | rewriteRL 5 | 2: | $L(T 2)$ | assumption |
| 9: | $L(x s)$ | assumption | 3: | $L(T 3)$ | assumption |
| 10: | $x s^{++}(T 2++T 3)=(x s++T 2)++T 3$ | assumption | 4: | nil++(T2++T3) |  |
| 11: | L(T2++T3) | L++ 2,3 | 5: | $=T 2+$ T3 | Unfold ++'0 |
| 12: | $L\left(x s^{++} T 2\right)$ | L++ 9,2 | 6: | $=($ nil + +T2) + + T3 | Fold ++'0,hyp |
| 13: | ( $x:(x s++T 2))+$ + $3=x:((x s++T 2)++T 3)$ | ++'112,3 | 7: | $L(x s)$ | assumption |
| 14: | $x: x s++T 2=x:(x s++T 2)$ | ++'19,2 | 8: | $x s^{++}(T 2++T 3)=\left(x s^{++} T 2\right)+$ T3 | assumption |
| 15: | $x: x s^{++}(T 2++T 3)$ |  | 9: | $x: x s^{++}(T 2++T 3)$ |  |
| 16: | $=x:(x s++T 2++T 3)$ | ++'19,11 | 10: | $=x:(x s++T 2++T 3)$ | Unfold ++'1 |
| 17: | $=x:((x s++T 2)++T 3)$ | rewriteLR 10 | 11: | $=x:((x s++T 2)++T 3)$ | Unfold 8 |
| 18: | $=(x:(x s++T 2))+$ + 3 | rewriteRL 13 | 12: | $=(x:(x s++T 2))+$ + 3 | Fold ++'1,L++,hyp,hyp,hyp |
| 19: | $=(x: x s++T 2)+$ T3 | rewriteRL 14 | 13: | $=(x: x s++T 2)++T 3$ | Fold ++' 1, hyp,hyp |
| 20: | $T 1++(T 2++T 3)=(T 1++T 2)+$ T3 | listinduction 6-8,9-19,1 | 14: | $T 1++(T 2++T 3)=(T 1++T 2)++T 3$ | listinduction 4-6,7-13,1 |

## Formal treatment of generalised induction hypotheses

$\triangleright$ Notice that we have not used the logical quantifiers in the inductive proof of +-assoc.
$\triangleright$ Consider the "catenate-reverse-to" function $+<+$ defined by

$$
\frac{\mathbb{L}\left(T_{1}\right) \mathbb{L}\left(T_{2}\right)}{\mathbb{L}\left(T_{1}+<+T_{2}\right)} \mathbb{L}+<+\quad \frac{\mathbb{L}\left(T_{2}\right)}{n i l+<+T_{2}=T_{2}}+<+.0 \quad \frac{\mathbb{L}\left(T_{1}\right) \quad \mathbb{L}\left(T_{2}\right)}{\left(T: T_{1}\right)+<+T_{2}=T_{1}+<+\left(T: T_{2}\right)}+<+.1
$$

$\triangleright$ We want to prove (by induction on $T_{1}$ ) that

$$
\mathbb{L}\left(T_{1}\right), \mathbb{L}\left(T_{2}\right) \vdash T_{1}+<+T_{2}=\operatorname{rev}\left(T_{1}\right)+T_{2}
$$

$\triangleright$ Using the list induction recipe blindly we start the proof as follows:

$\triangleright$ But the proof stalls (why?) at the crux - on attempting use the induction hypothesis (8) to bridge 10 and 11
$\triangleright$ At this point a lecturer or tutor usually uses the mantra: "there is nothing special about $T_{2}$ "
$\triangleright$ This appears to allow the proof to be completed, but the result is highly informal.

This problem can (only) be overcome by proving a more general result.

| 1: | $L(x s)$ | assumption |
| :---: | :---: | :---: |
| $2:$ | L(ys) | assumption |
| $3:$ | nil+<+ys |  |
| 4: | = ys | Unfold +<+'0 |
| 5: | = nil+ ys | Fold ++'0,hyp |
| 6: | = rev nil+ + y | Fold rev'0 |
| 7: | $\mathrm{L}(\mathrm{ys}) \rightarrow$ nil+<+ys=rev nil++ys | $\vdash \rightarrow$ 2-6 |
| 8: | $\forall y s . L(y s) \rightarrow$ nil $+<+y s=r e v$ nil + ys | $\vdash \forall 7$ |
| 9: | $L(x s 1)$ | assumption |
| 10: | $\forall y s . L(y s) \rightarrow x s 1+<+y s=r e v ~ x s 1++y s$ | assumption |
| 11: | L(ys) | assumption |
| 12: | $L(x:(y s)) \rightarrow x s 1+\alpha+x:(y s)=$ rev $x$ s $1++x:(y s)$ | assumption |
| 13: | $L(x:(y s))$ | L: 11 |
| 14: | $x s 1+<+x:(y s)=r e v x s 1++x:(y s)$ | assumption |
| 15: | xs $1+<+(x: y s)=r e v x s 1++(x:(y s))$ | $\rightarrow \vdash 12,13,14-14$ |
| 16: | $x: x s 1+<+y s$ |  |
| 17: | $=x s 1+<+(x: y s)$ | Unfold +<+'1 |
| 18: | $=\operatorname{rev} x 1^{1++(x:(y s))}$ | $\forall \vdash$ 10,12-15 |
| 19: | $=\operatorname{rev} x \mathrm{~s}^{1++(x:(n i l++y s))}$ | Fold ++'0,hyp |
| 20: | $=$ rev $x$ s1++(x:nil++ys) | Fold ++'1, Lnil, hyp |
| 21: | $=(r e v x s 1++(x: n i l))++y s$ | Unfold Theorem L(T1), L(T2), L(T3) $-\mathrm{T} 1++(\mathrm{T} 2++\mathrm{T} 3)=(\mathrm{T} 1++\mathrm{T} 2)++\mathrm{T} 3$, Lrev, hyp, L: , Lnil, hyp |
| 22: | $=\operatorname{rev}(x: x s 1)+$ +ys | Fold rev' 1 ,hyp,rev' 1,hyp,rev ' 1 ,hyp,rev' 1, hyp |
| 23: | $L(y s) \rightarrow x: x s 1+<+y s=r e v(x: x s 1)++y s$ | $\vdash \rightarrow$ 11-22 |
| 24: | $\forall y s . L(y s) \rightarrow x: x s 1+<+y s=r e v(x: x s 1)++y s$ | $\vdash \forall 23$ |
| 25: | $\forall y s . L(y s) \rightarrow x s+<+y s=r e v ~ x s++y s$ | listinduction 8,9-24,1 |
|  | $L(x s) \rightarrow(\forall y s . L(y s) \rightarrow x s+<+y s=r e v x s++y s)$ | $\vdash \rightarrow$ 1-25 |
|  | $\forall x s . L(x s) \rightarrow(\forall y s . L(y s) \rightarrow x s+<+y s=r e v \times s++y s)$ | $\vdash \forall 26$ |

$\triangleright$ The technique we use is to arrange to prove a more general result by induction on $x s$, namely:

$$
\mathbb{L}(x s) \vdash \forall y s \cdot \mathbb{L}(y s) \rightarrow x s+<+y s=r e v(x s)+y s
$$

which will give us the more general induction hypothesis we were seeking in the stalled proof.
$\triangleright$ This is done by setting up a proof of the more general theorem

$$
\vdash \forall x s \cdot \mathbb{L}(x s) \rightarrow \forall y s \cdot \mathbb{L}(y s) \rightarrow x s+<+y s=\operatorname{rev}(x s)+y s
$$

$\triangleright$ The result we originally set out to prove, namely:

$$
\mathbb{L}\left(T_{1}\right), \mathbb{L}\left(T_{2}\right) \vdash T_{1}+<+T_{2}=\operatorname{rev}\left(T_{1}\right)+T_{2}
$$

can now be established (by $\forall$-e followed by $\rightarrow$-e) from this theorem.

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Note 1：
The proof trees shown here were made with Jape，using a system including derived equational＂rewrite＂rules

$$
\begin{aligned}
& \frac{\Gamma \vdash T_{1}}{}=T_{2} \\
& \Gamma \vdash T\left[T_{1} / \chi\right]=T\left[T_{2} / \chi\right] \\
& \text { rewriteLR } \\
& \frac{\Gamma \vdash T_{1}}{}=T_{2} \\
& \Gamma \vdash T\left[T_{2} / \chi\right]=T\left[T_{1} / \chi\right]
\end{aligned} \text { rewriteRL }
$$

These rules enable the direct use of（equational）laws to rewrite one side or the other of an equation while conducting a goal－directed proof search in an equational theory．

The rule rewriteLR is used when we make an＂Unfold＂goal transformation，such as the move at the at the top right of the tree where we use the theorem $(T \otimes \sim T)=\iota$ to transform the proof goal $(T \otimes \sim T) \otimes T=T$ into the proof goal $\iota \otimes T=T$－whence it is closed by application of the $\iota$－id axiom．

The rule rewrite $R L$ is used when we make a＂Fold＂goal transformation，such as the move at the top left of the tree where the rule the goal $T \otimes \iota=T \otimes(\sim T \otimes T)$ is transformed by using the $\sim$ axiom $\sim T \otimes T=\iota$ to rewrite $T \otimes \iota$ as $T \otimes(\sim T \otimes T)$ ．

## Note 2：

By convention we may omit the＂$\Gamma \vdash$＂in presenting P3 and P4；because they are free of antecedents．It should be clear that we cannot do so in presenting the induction rule，since one of its antecedents transforms the collection of hypotheses．

## Note 3：

Here，and in future，we apply the convention of omitting the $\Gamma \vdash$ when presenting antecedent－free rules．
Note 4：A derived equality rule

In our inductive proof of associativity of + we used the derived rule

$$
\frac{T_{1}=T_{2} \quad \varphi\left[T_{2} / \chi\right]}{\varphi\left[T_{1} / \chi\right]}=-\mathrm{e} \leftarrow
$$

to simplify the backward proof-search we did in Jape.

## Note 5:

There are two different views of a single proof shown here. The proof was made with Jape set up to satisfy the typing laws automatically.


[^0]:    Jape treats $\otimes$ as right-associative and doesn't fully bracket $T_{1} \otimes\left(T_{2} \otimes T_{3}\right)$ in displays

[^1]:    These were first listed by Dedekind - but are usually attributed to Peano.

