

# Journal of Hepatology

## PREDICT identifies Precipitating Events with Impact on Clinical Course and Outcome of Acutely Decompensated Cirrhosis.

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| <b>Abstract:</b>          | <p>Introduction: Acute decompensation (AD) of cirrhosis may present without acute-on-chronic liver failure (ACLF) (AD-No ACLF), or with ACLF-phenotype (AD-ACLF) defined by organ failure(s). Precipitating events (PEs) may induce AD. This multicenter, prospective, observational PREDICT Study analyzes and characterizes the PEs leading to both AD-phenotypes.</p> <p>Patients and Methods: The PREDICT study included 1273 non-electively hospitalized patients with AD (No-ACLF=1071; ACLF=202). Medical history, clinical and laboratory data were carefully collected at enrolment and during 90-days follow up, focused on the characteristics of PEs, specifically induction of organ dysfunction/failure and/or systemic inflammation, chronology, intensity, and relationship to outcome in both AD phenotypes.</p> <p>Results: Among 16 events explored as potential PEs, four types of events were PEs consistently related to AD, including proven bacterial infections, severe alcoholic hepatitis, gastrointestinal (GI) bleeding with shock and toxic encephalopathy. Among patients in the AD-No ACLF cohort and the AD-ACLF cohort with PEs (38% and 71%, respectively), almost all (96% and 97%, respectively) showed proven bacterial infection and severe alcoholic hepatitis, either alone or in combination with other PEs. Interestingly, in both AD-phenotypes, proven bacterial infections and severe alcoholic hepatitis had a similar effect on survival, and the number of PEs was associated with significantly increased 90-day mortality, in parallel with surrogates of systemic inflammation proving the validity of the definition of PEs.</p> <p>Conclusions: This study identified PEs that significantly impact the clinical course and prognosis of patients with AD and specific preventive and therapeutic strategies to these events are required to improve outcome in decompensated cirrhosis.</p> |
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Dear Professor Paolo Angeli,

We are delighted to submit the second investigation of our European international multicenter observational prospective study PREDICT entitled: **“PREDICT identifies Precipitating Events with Impact on Clinical Course and Outcome of Acutely Decompensated Cirrhosis.”** for your kind consideration in the most prestigious **Journal of Hepatology**.

This study identified precipitating events that significantly impact the clinical course and prognosis of patients with acutely decompensated cirrhosis. This paper may pave the path for specific preventive and therapeutic strategies to these events in order to improve outcome in decompensated cirrhosis.

Since this paper addresses an important and practical issue, we hope that it will be found suitable for publication in the most prestigious **Journal of Hepatology**.

Sincerely yours

Jonel Trebicka on behalf of the authors

# Trebicka et al.    **PRECIPITANTS OF AD AND ACLF**

## Title page

### **PREDICT identifies Precipitating Events with Impact on Clinical Course and Outcome of Acutely Decompensated Cirrhosis. (117/120)**

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**Keywords:** Chronic liver disease, Non-elective admission, acute complications, Outcome, Risk factors.

**Data availability:** The data of this paper will be partly available upon request, but the majority of the data are unsuitable to post and partly data confidential.

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**Abbreviations:** AD (acute decompensation), ACLF (acute-on-chronic liver failure), AST (aspartate aminotransferase), ALT (alanine aminotransferase), IQR (Interquartile Range), CLIF (chronic liver failure), CRP (C reactive protein), GI (gastrointestinal), OF (organ failure), CIF (cumulative incidence of function), MELD (model of end-stage liver disease), PE (precipitating event), SD (Standard Deviation), SDC (stable decompensated cirrhosis), UDC (unstable decompensated cirrhosis), WBC (white blood cell count)

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**Author contributions:** JT, JF, WL, JC, RJ, RM, PG, PA, VA: study concept and design, acquisition of data, analysis and interpretation of data, drafting of the manuscript, funding recipient, administrative, technical and material support, study supervision; EG, AA, AC, CP, MP, CS, AC, AM, FA: acquisition of data, analysis of data, technical and material support; TT, MB, PA, CA, FEU, CJ, MST, TG, AA, WL, ES, RB, MJ, CS, TR, JA, PG, WB, SZ, CR, TB, AS, LLG, MC, OR, RS, HZ, AC, GSP, AdG, HG, FS, CT, OCÖ, FS, SR, RA, MRG, HVV, CF, MM, MP, PC, SP, IG, MP, VV, RM, ZV, MB, EB: acquisition of data, interpretation of data, critical revision of the manuscript regarding important intellectual content

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# PRECIPITANTS OF AD AND ACLF

## ABSTRACT

*Introduction:* Acute decompensation (AD) of cirrhosis may present without acute-on-chronic liver failure (ACLF) (AD-No ACLF), or with ACLF-phenotype (AD-ACLF) defined by organ failure(s). Precipitating events (PEs) may induce AD. This multicenter, prospective, observational PREDICT Study (NCT03056612) analyzes and characterizes the PEs leading to both AD-phenotypes.

*Patients and Methods:* The PREDICT study included 1273 non-electively hospitalized patients with AD (No-ACLF=1071; ACLF=202). Medical history, clinical and laboratory data were carefully collected at enrolment and during 90-days follow up, focused on the characteristics of PEs, specifically induction of organ dysfunction/failure and/or systemic inflammation, chronology, intensity, and relationship to outcome in both AD phenotypes.

*Results:* Among 16 events explored as potential PEs, four types of events were PEs consistently related to AD, including proven bacterial infections, severe alcoholic hepatitis, gastrointestinal (GI) bleeding with shock and toxic encephalopathy. Among patients in the AD-No ACLF cohort and the AD-ACLF cohort with PEs (38% and 71%, respectively), almost all (96% and 97%, respectively) showed proven bacterial infection and severe alcoholic hepatitis, either alone or in combination with other PEs. Interestingly, in both AD-phenotypes, proven bacterial infections and severe alcoholic hepatitis had a similar effect on survival, and the number of PEs was associated with significantly increased 90-day mortality, in parallel with surrogates of systemic inflammation proving the validity of the definition of PEs.

*Conclusions:* This study identified PEs that significantly impact the clinical course and prognosis of patients with AD and specific preventive and therapeutic strategies to these events are required to improve outcome in decompensated cirrhosis.

**word count (max. 250): 250**

# PRECIPITANTS OF AD AND ACLF

## INTRODUCTION

1  
2 Acute decompensation of cirrhosis (hereafter called AD) defines the acute  
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4 development of ascites, hepatic encephalopathy, gastrointestinal hemorrhage or  
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6 bacterial infections, or any combination of these. In 2013, the CANONIC study  
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8 identified the syndrome of acute-on-chronic liver failure (ACLF), the most severe  
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10 phenotype of AD, in 20% of 1343 consecutive patients non-electively hospitalized for  
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12 the treatment of an episode of AD [1]. ACLF was characterized by single or multiple  
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14 organ failure and high 28-day mortality rate (30%).  
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19 In 2020, the PREDICT study, the second largest prospective observational  
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21 investigation in 1273 hospitalized patients with AD, showed that patients without  
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23 ACLF (AD-No ACLF phenotype) comprised 3 distinct sub-phenotypes defined  
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25 according to ACLF development and readmission within 3 months after AD [2]. In  
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27 brief, pre-ACLF patients developed ACLF and showed high short-term (90-day)  
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29 mortality (67%); unstable decompensated cirrhosis (UDC) patients did not develop  
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31 ACLF, but required readmission(s) and showed significant short-term mortality rate  
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33 (35%); while stable decompensated cirrhosis (SDC) patients presented an  
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35 uncomplicated course during the 3-month follow-up period and showed low 1-year  
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37 mortality (9%).  
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44 In the traditional view, the development of AD is initiated by an acute  
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46 worsening of stable cirrhosis through different pathophysiological mechanisms  
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48 considered as precipitating events (PEs). The evidence from the CANONIC and the  
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50 PREDICT studies challenges this view [1, 2], and suggests that AD manifests mainly  
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52 as a result of systemic inflammation, inducing multiple organ dysfunction and  
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54 presents with different clinical phenotypes [3, 4]. Indeed, systemic inflammation  
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56 increases across the sub-phenotypes of AD-no ACLF (SDC, UDC and pre-ACLF),  
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58 and reaches its maximum in patients with AD-ACLF [5, 6]. Moreover, in AD-ACLF  
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## PRECIPITANTS OF AD AND ACLF

1 phenotype, the grade of systemic inflammation correlated with the number of organ  
2 failures, clinical course severity and prognosis [3, 4]. Therefore, for a PE to be of  
3 importance, it should have the ability to impair end-organ function.  
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7 Despite that AD-ACLF phenotype frequently develops in close chronological  
8 relationship with PEs, the critical time-period prior to AD-ACLF has not yet been  
9 explored in detail. Moreover, so far, there are no specific criteria for the diagnosis of  
10 PEs. Consequently, many clinical relevant aspects of PEs remain ill-defined.  
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16 The current study is the second investigation derived from the PREDICT  
17 study. It was aimed to provide the rationale for the diagnosis of PEs and to  
18 investigate the impact of the type and number of PEs on early clinical course and  
19 prognosis in patients hospitalized with AD-No ACLF and AD-ACLF phenotypes.  
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# PRECIPITANTS OF AD AND ACLF

## PATIENTS AND METHODS

### Patients

The PREDICT study (ClinicalTrials.gov number, NCT03056612) is a European, investigator-initiated, multicenter, prospective, observational study performed in 48 university hospitals from 15 countries and promoted by the European Foundation for the Study of Chronic Liver Failure. The design of the study has been reported in detail elsewhere [2]. Briefly, 1071 patients with AD-No ACLF phenotype and 202 with AD-ACLF phenotype non-electively hospitalized for treatment were enrolled from March 2017 to July 2018. The diagnosis of cirrhosis was based on previous liver biopsy findings or a composite of clinical signs and laboratory test results and imaging. Diagnostic criteria of AD were based on the presence of ascites, encephalopathy, gastrointestinal hemorrhage or infections (the latter only in patients with prior decompensation) or any combination of these at non-elective hospital admission. Diagnosis of ACLF at enrolment or during follow-up was performed according to the EASL-CLIF criteria [1, 7]. Organ failure and organ dysfunction were defined according to the Chronic Liver Failure (CLIF)- Consortium organ failure (OF) score [8].

The stratification of patients who had the AD-No ACLF phenotype into the AD-pre-ACLF, AD-UDC and AD-SDC sub-phenotypes was performed using previously described criteria [2]. Therefore, patients included in the PREDICT study were stratified into four different groups (**Fig. 1**). 1. AD-ACLF: included 202 patients with ACLF at enrolment; 2. AD-Pre ACLF: included 218 patients without ACLF at enrolment that developed the ACLF during a 3-month follow-up period after enrolment; 3. AD-UDC: included 233 patients who did not develop ACLF during the 3-month follow-up period, but required at least one hospital readmission; 4. AD-SDC:

## PRECIPITANTS OF AD AND ACLF

Included 620 patients who did not develop ACLF or required hospital readmissions during the 3-month follow-up period.

### Study Design

The PREDICT study [2] was designed to explore in detail two important time-periods during the clinical course of AD. The first period covered the first 90-day prior to hospital admission, paying particular attention to the first two weeks prior to admission, which is the period in which most PEs can develop. The second period, the “follow-up period”, covered the first 3 months after admission, and was the period in which the early clinical course of patients with ACLF-phenotype and AD-No ACLF sub-phenotypes was assessed.

Pre-specified clinical and standard laboratory data were obtained at enrolment and during follow-up visits. The design of the PREDICT study is described in detail elsewhere [2].

#### *Data obtained at enrolment.*

Most patients were enrolled within the first or second day of hospital admission. Two categories of pre-specified information were obtained at enrolment. The first category included the general characteristics and demographic data, specific data related to the AD episode, physical examination, standard laboratory analysis at enrolment, and results from the bacteriological cultures routinely performed in patients with suspected bacterial infections.

The second category of pre-specified data obtained at enrolment were related to the past medical history. The electronic Case Report Form (eCRF) of the PREDICT study was specifically designed to capture the characteristics of any potential PE prior to enrolment, including severity and temporal relationship to the

## PRECIPITANTS OF AD AND ACLF

onset of the AD.

### *Data obtained during follow-up*

After enrolment, patients were closely followed-up for a period of 3 months with frequent pre-specified sequential visits and laboratory determinations. Data on liver transplantation or death and causes of death were prospectively collected 3, 6 and 12 months after enrolment in all patients.

### **Identification of PEs of AD-No ACLF and AD-ACLF**

In order to identify the PE a Adjudication Committee of the PREDICT study, which included JT, JF, RM and VA was nominated to elaborate the list of potential PEs, and the general principles and specific criteria for diagnosis. This Committee identified relevant and “true” PEs (hereafter just called as PEs), highly probable of precipitating both phenotypes of AD according to the criteria defined below. The Adjudication Committee proposed the following events as potential precipitants according to prior experience by the CANONIC study and other investigation: bacterial infections, alcoholic hepatitis, GI bleeding, drug-induced organ injury, therapeutic interventions.

### *General principles for PE identification*

To provide the PREDICT study with a reliable method to identify PEs, the following general principles were agreed: of AD-ACLF, specific diagnostic criteria were developed based on the following principles:

1. PEs should consist of events that have the potential to induce impairment in the function of the liver and/or other organs, either by direct organ injury (e.g., tissue

## PRECIPITANTS OF AD AND ACLF

hypoperfusion) or, indirectly, through significant dysregulation of important pathophysiological mechanisms (e.g., immune responses to microbial or endogenous cause).

2. When assessing the potential of hepatotoxic, nephrotoxic or neurotoxic drugs as being PEs, the lack of liver, kidney or brain dysfunction or failure, respectively, as defined by the CLIF-C OF score [8] rule out drug-induced organ toxicity as a PE.
3. As suggested by the results of the CANONIC study [1, 7], clinically identifiable, relevant and true PEs should have a higher prevalence among patients with AD-ACLF than among those with AD-no ACLF.
4. PEs should precede or coincide with the onset of AD-ACLF. The time period between the PE and the onset of AD-ACLF, however, is heterogeneous, depending on the PE.
5. Any event developing after the onset of AD-ACLF is a complication or a coincidental event but not a PE.

*Specific criteria for the identification of PEs from the list proposed by the adjudication committee*

**Bacterial infections.** Infections were considered potential PEs if they were diagnosed at the time of or solved within the 48-hour period that preceded the onset of AD. Infections occurring before AD but solved before this 48-hour time frame were considered as unrelated events. Previous data have shown that the cytokine response to bacterial infections, even efficiently treated, may last up to 48 hours and

## PRECIPITANTS OF AD AND ACLF

1 may induce the onset of AD [9]. When infections were diagnosed between the first  
2 and the 10<sup>th</sup> day after the onset of AD, they were considered as complications of AD  
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4 [10]. Proven bacterial infections were defined as previously described [10] and in  
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6 accordance with the EASL guidelines [7].  
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11 **Alcohol-related liver injury.** Alcoholic hepatitis was diagnosed according to  
12 the clinical criteria of the National Institute on Alcohol Abuse and Alcoholism (NIAAA)  
13 [11], which includes the presence of at least 3 of the following: 1. Active alcoholism,  
14 as defined by more than 3 consecutive months of an alcohol intake higher than 60  
15 g/day for males and 40 g/day for females; 2. Serum bilirubin >3 mg/dl; 3. AST >50 IU/  
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1. Active alcoholism, as defined by more than 3 consecutive months of an alcohol intake higher than 60 g/day for males and 40 g/day for females; 2. Serum bilirubin >3 mg/dl; 3. AST >50 IU; 4. AST/ALT >1.5 (maximal value of AST or ALT not exceeding 400 U/l). These criteria are in line with the clinical diagnosis of alcoholic hepatitis according to the existing EASL guidelines [12]. Alcoholic hepatitis was considered severe if patients showed CLIF-Consortium AD score  $\geq$  50 points [13], or presence of ACLF (**Table 1**).

1. Active alcoholism, as defined by more than 3 consecutive months of an alcohol intake higher than 60 g/day for males and 40 g/day for females; 2. Serum bilirubin >3 mg/dl; 3. AST >50 IU; 4. AST/ALT >1.5 (maximal value of AST or ALT not exceeding 400 U/l). These criteria are in line with the clinical diagnosis of alcoholic hepatitis according to the existing EASL guidelines [12]. Alcoholic hepatitis was considered severe if patients showed CLIF-Consortium AD score  $\geq$  50 points [13], or presence of ACLF (**Table 1**).

**Gastrointestinal bleeding.** Gastrointestinal bleeding was considered a PE if occurring within 7 days prior to the onset of AD-ACLF. Moreover, because hemorrhagic shock, which is a potential cause of organ damage, had not been previously analyzed as a PE [1], hemorrhagic shock was included in our list of candidates for PEs (**Table.1**).

**Drug-induced organ injury.** 1. Drug-induced liver injury was considered a potential PE when the hepatotoxic drug was administered within 1 month prior to the onset of AD-ACLF and the patient presented with hepatocellular (serum AST or ALT exceeding 3-fold the upper limit of normal), cholestatic (serum alkaline phosphatase exceeding 2-fold the upper limit of normal) or mixed liver injury as defined by Hy's

## PRECIPITANTS OF AD AND ACLF

1 law and FDA guidance also described in the recent EASL guidelines [14]; as well as  
2 liver dysfunction (for patients with AD-No ACLF, bilirubin > 6 mg/dl) or liver failure (for  
3 patients with AD-ACLF, bilirubin > 12 mg/dl). Potential hepatotoxic drugs were  
4 classified as described elsewhere [15]. Only drugs from groups A and B of this  
5 classification were considered potential candidates for liver toxicity. 2. Drug-induced  
6 kidney injury was considered a potential PE when the nephrotoxic drug was  
7 administered within 7 days prior to the onset of AD-ACLF and patients presented with  
8 either renal dysfunction or renal failure according to the CLIF-C OF score. Diuretic-  
9 induced renal dysfunction or renal failure was not considered as a nephrotoxic  
10 condition. 3. Toxic encephalopathy was considered a potential PE when the  
11 neurotoxic drug was administered within 48 hours prior the onset of AD-ACLF and  
12 the patient presented with encephalopathy, with a severity similar to brain  
13 dysfunction or brain failure according to the CLIF-C OF score.

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34 **Therapeutic interventions.** These including transjugular intrahepatic  
35 portosystemic shunting (TIPS), major surgical procedures and large volume  
36 paracentesis without albumin administration, were considered as potential PEs if  
37 performed within 7 days prior to the onset of AD-ACLF.

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46 *Other potential PEs identified by the investigators in the individual patients eCRF*

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48 The Adjudication Committee assessed nine additional, infrequent conditions  
49 (viral hepatitis and other viral infections, decompensated cardiopulmonary diseases,  
50 dehydration, large hematomas, acute pancreatitis, acute portomesenteric vein  
51 thrombosis, autoimmune diseases, cerebrovascular accident and intestinal  
52 occlusion) that were considered by the attending investigators as potential PEs.  
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# PRECIPITANTS OF AD AND ACLF

## Statistical analysis

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2 Discrete variables are shown as counts (percentage) and continuous variables  
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4 as mean  $\pm$  standard deviation (SD). Non-normally distributed variables are  
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6 summarized by the median (interquartile range [IQR]). In univariate statistical  
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8 comparisons, the chi-square test or Fisher exact test, when at least 25% of expected  
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10 counts were under 5, were used for categorical variables, whereas the Student t-test  
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12 or analysis of variance were used for normally distributed continuous variables and  
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14 the Wilcoxon rank-sum test or the Kruskal-Wallis test for continuous variables not  
15  
16 normally distributed. For comparisons at different time-points in the same patients,  
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18 paired tests were used: McNemar test was applied for dichotomic variables and a  
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20 test of symmetry was performed for variables with 3 categories. In all statistical  
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22 analyses, significance was set at  $p < 0.05$  and an Available-Data-Only approach was  
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24 adopted.  
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31 The proportional-hazards model for the subdistributions of competing risks  
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33 proposed by Fine and Gray was the base to estimate the cumulative incidence  
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35 functions (CIF) of mortality [16]. This model was chosen in order to account for liver  
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37 transplantation as an event “competing” with mortality, based on the consideration  
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39 that transplantation clearly modifies the probability of mortality of a specific patient at  
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41 each subsequent time-point. The equality of CIFs across groups was evaluated by  
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43 means of the Gray's Test [17]. Statistical analysis was performed using SAS v9.4 and  
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45 plots were performed with RStudio v1.2.5042 and GraphPad Prism v5 software.  
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# PRECIPITANTS OF AD AND ACLF

## RESULTS

### Identification of PEs for AD at enrolment in the PREDICT Study Cohort.

The Predict Study Cohort includes 1273 patients, 202 patients with AD-ACLF and 1071 patients with AD-No ACLF (**Fig. 1**). There were four types of main PEs: bacterial infections, alcohol-related liver injury, gastrointestinal (GI) bleeding and toxic encephalopathy (**Table 1**).

Prevalence of patients with proven bacterial infections but not of suspected bacterial infections was significantly higher in AD-ACLF than in AD-No ACLF. Moreover, prevalence of suspected bacterial infections was very low and similar in both groups. Therefore, only proven bacterial infections were considered as PE of AD-ACLF. Proven bacterial infections were the most common PE, present in 44.0% of patients with AD-ACLF and in 22.3% of patients with AD-No ACLF ( $P < 0.0001$ ).

Prevalence of alcoholic hepatitis and particularly of severe alcoholic hepatitis (alcoholic hepatitis associated with CLIF-C AD score  $\geq 50$  or ACLF) was significantly higher in patients with AD-ACLF (43.6%) than in patients with AD-No ACLF (18.7%) ( $P < 0.0001$ ). Yet, the overall alcoholic hepatitis in AD-No ACLF patients was not always associated with organ dysfunction, therefore, only severe alcoholic hepatitis was identified as PE, and was the second most frequent.

Severe GI-bleeding associated with hypovolemic shock was the third most frequent PE, although its prevalence in the AD-ACLF group and AD-No ACLF group (5.9% and 1.2%, respectively,  $P < 0.0001$ ) was low.

Finally, among the three types of drug-induced organ injury examined, only the prevalence of toxic encephalopathy was significantly higher in the AD-ACLF group than in the AD-No ACLF group (5.9% and 1.2%, respectively,  $P < 0.0001$ ) and qualified as PE. All drugs associated with severe toxic encephalopathy were opioids



## PRECIPITANTS OF AD AND ACLF

or benzodiazepines.

Therapeutic paracentesis without intravenous albumin and TIPS did not qualify as PEs, since their prevalence was not significantly higher in patients with AD-ACLF. Other extremely infrequent events proposed by the investigators showed similar frequency in patients with AD-No ACLF and AD-ACLF and, therefore, were also not considered PEs.

Overall 721 patients (56.6%) included in the PREDICT Study Cohort did not present identifiable PEs (indeterminate PE), 447 (35.1%) presented one PE, and 105 (8.2%) presented two PEs or more.

The clinical characteristics, laboratory data, prognostic scores, and 90-day mortality rate of patients with AD-No ACLF and AD-ACLF are presented in **Table S1**.

### **Prevalence and impact of PEs on the characteristics, clinical course and prognosis of patients included in the AD-No ACLF Cohort.**

The AD-No ACLF cohort includes the 1071 patients with AD-No ACLF at enrolment (**Fig. 1**).

#### *Prevalence of PE and their combinations*

In 409 patients, AD-No ACLF was associated with one PE in 354 patients (33.0%) and with two or more PEs in 55 patients (5.1%), while in 662 patients (61.8%) from the AD-No ACLF Cohort no PE was identified (**Table 1**).

**Fig. 2A** illustrates the prevalence of combinations of PEs in the 409 patients of the AD-No ACLF cohort who had PEs. Most patients (354 [86.5%]) had one PE (proven bacterial infections in 188 patients; severe alcoholic hepatitis in 151; GI bleeding with shock in 9 and toxic encephalopathy in 6). In 54 patients (13.4%), there were five combinations of two PEs, including proven bacterial infections associated

## PRECIPITANTS OF AD AND ACLF

1 with either severe alcoholic hepatitis, toxic encephalopathy or GI bleeding (in 44, 4  
2 and 2 patients, respectively); and severe alcoholic hepatitis associated with toxic  
3 encephalopathy or with GI bleeding with shock in 2 patients each. Finally, there was  
4 only one patient with 3 PEs (bacterial infection, alcoholic hepatitis and toxic  
5 encephalopathy). Therefore, among the 409 patients with PEs, AD-No ACLF was  
6 related with proven bacterial infections or severe acute alcoholic hepatitis, either  
7 alone, in combination, or in association with other PEs, in 394 patients (96.3%)  
8 (either proven bacterial infections or severe alcoholic hepatitis in 339 patients, both  
9 precipitants in 44 and other combinations that included proven bacterial infections or  
10 severe alcoholic hepatitis in 11). In only 15 (3.7%) patients (9 patients with GI  
11 bleeding with shock and 6 with toxic encephalopathy alone), AD-No ACLF was  
12 unrelated with bacterial infections or alcoholic hepatitis.  
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31 *PEs impact the clinical course and survival of patients with AD-No ACLF.*

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34 Prevalence of patients with proven bacterial infections and severe alcoholic  
35 hepatitis at enrolment was higher in AD-pre ACLF (29.4% and 26.6%, respectively)  
36 than in AD-UDC (21.0% and 19.3%) or AD-SDC (20.3% and 15.6%) phenotypes.  
37 Moreover, the number of patients without PEs was significantly lower (50.9%) and  
38 the number of patients with one or two or more PEs higher (40.4% and 8.7%) in  
39 patients with AD-pre-ACLF than in those with AD-UDC (60.9%, 35.6% and 3.4%,  
40 respectively) and AD-SDC (66.0%, 29.5% and 4.5%). Moreover, these differences  
41 aggravate when compared at the time-point of ACLF development in AD-pre-ACLF  
42 group. These observations suggest that both the presence and number of PEs at  
43 enrolment are important determinants in the development of AD-pre-ACLF, the most  
44 severe sub-phenotype among patients with AD-No ACLF (**Table 2**). The difference in  
45 presence and number of PEs is even more pronounced at ACLF diagnosis (**Table 2**).  
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Interestingly, patients with single PE of the two major groups (proven bacterial infection and severe alcoholic hepatitis) showed comparable 90-days mortality (**Fig. 2B**). This is striking, especially since there were important differences between the two groups of patients (**Table S2**), showing higher levels of WBC, liver failures and CLIF-C AD scores in severe alcoholic hepatitis, but higher CRP in patients with proven bacterial infection, underlining that the type PE is not crucial for outcome if correctly defined.

Despite the event precipitating AD playing a similar role in mortality, the number of PEs observed simultaneously at AD played a role in the outcome of the patients, with the highest 90-day mortality in patients with two or more PEs and the lowest mortality in patients without identifiable PE (**Fig. 2C**). This finding is confirmed by the activation of systemic inflammation assessed by surrogates at enrolment, since the number of PEs increased with higher levels of leukocytes, neutrophils, monocytes and CRP (**Fig. 2D-G**), organ dysfunction and failures and scores overall (**Table S3**).

### Results derived from the Integrated ACLF Cohort

This cohort included a total of 420 patients. Of those, 202 had AD-ACLF at the time of enrolment in the PREDICT study (AD-ACLF phenotype group), while the other 218 patients developed AD-ACLF from the AD-pre-ACLF (at enrolment) during the study, and were included in the integrated cohort at the time of the development of ACLF (**Fig. 1**). The Integrated AD-ACLF Cohort was developed with two objectives: 1. the comprehensive characterization of the AD-ACLF phenotype, including patients with community acquired and hospital acquired ACLF; 2. sufficiently sized AD-ACLF cohort to analyze the differences in PE.

## PRECIPITANTS OF AD AND ACLF

### *Prevalence of PEs and of its combinations*

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2 Among the 420 patients included in the Integrated AD-ACLF Cohort, 273  
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4 patients, AD-ACLF was triggered by one (191 patients, 45.5%) or two or more (82  
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6 patients, 19.5%) PEs, while 147 patients (35.0%) did not show PEs at diagnosis  
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9 **(Table 3)**.

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11 **Fig. 3A** shows the different combinations of PEs in the Integrated AD-ACLF  
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13 Cohort. Among the 191 patients with one PE, proven bacterial infection, severe  
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15 alcoholic hepatitis, and GI bleeding with shock were identified in 111, 73 and 6  
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17 patients, respectively. Among the 70 patients with two PEs, proven bacterial infection  
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19 was associated with severe alcoholic hepatitis in 55, toxic encephalopathy in 4 or GI  
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21 bleeding with shock in 4 patients, while severe alcoholic hepatitis was associated  
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23 with GI bleeding with shock in 4 patients. Finally, among the 12 patients with three  
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25 PEs, proven bacterial infection was associated with severe alcoholic hepatitis and  
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27 toxic encephalopathy in 6, with severe alcoholic hepatitis and GI bleeding with shock  
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29 in 4, and with toxic encephalopathy and GI bleeding with shock in 2 patients.  
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31 Therefore, out of the 273 patients in the Integrated AD-ACLF Cohort with PEs, 266  
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33 (97.4%) had proven bacterial infections or severe acute alcoholic hepatitis as either  
34  
35 alone or combined PEs. The relative prevalence of the different combinations of PEs  
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37 was similar in the Integrated AD-ACLF Cohort and in AD-No ACLF cohort (**Fig. 2A**  
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39 **and 3A**).

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51 *The type of PE significantly impacts clinical characteristics, but not clinical course*  
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53 *and mortality of patients with AD-ACLF in the integrated cohort.*

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56 There were significant differences between patients with AD-ACLF triggered  
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58 by proven bacterial infections or severe alcoholic hepatitis as single PEs (**Table 4**).  
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60 Patients with AD-ACLF and proven bacterial infections were significantly older,  
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## PRECIPITANTS OF AD AND ACLF

1 presented significantly lower mean arterial pressure and heart rate, indicative of  
2 arterial vasodilation and of cardiac chronotropic dysfunction, higher prevalence of  
3 circulatory, renal and respiratory failure and of vasopressors requirements, and lower  
4 prevalence of liver and coagulation failure than patients with severe alcoholic  
5 hepatitis. Serum levels of CRP were also higher in patients with infections. These  
6 differences, however, did not impact the clinical course and prognosis, since there  
7 were no significant differences between groups in the rate of intensive care unit  
8 admission, liver transplantation or 90-day mortality rate. **Fig. 3B** shows that there  
9 were also no significant differences in 90-day cumulative incidence of mortality  
10 between these two groups.  
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26 *Number of PEs significantly impacts the clinical course and mortality of patients with*  
27 *AD-ACLF.*  
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31 The number of PEs in patients included in the Integrated AD-ACLF Cohort  
32 (no PE, one PE, and two or three PEs) correlated positively with the prevalence of  
33 liver, brain, coagulation and cardio-circulatory failure and inversely with the  
34 prevalence of renal failure. These findings were due to differences in the  
35 predominance of specific organ failures among patients with distinct number of PEs.  
36 While the predominant organ failure in patients with no PE or with only one PE was  
37 kidney, liver failure was the predominant organ failure in patients with two or three  
38 PEs. Moreover the prevalence of other organ failures was also higher in patients with  
39 two or three PEs. Consistent with these results, the number of PEs at diagnosis also  
40 correlated directly with the grade of severity of ACLF (I, II or III), the severity of  
41 prognostic scores, the need of intensive care, the frequency of treatment with  
42 mechanical ventilation or renal replacement therapy, and the 90-day cumulative  
43 incidence of mortality (**Table 5, Fig. 3C**). Systemic inflammation, as estimated by the  
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## PRECIPITANTS OF AD AND ACLF

1 WBC and blood levels of neutrophils and monocytes, increased in parallel with the  
2 number of PEs (**Table 5, Fig. 3D-G**). The serum levels of CRP were also significantly  
3 higher in patients with one or two or more PEs than in patients with no PEs. Overall,  
4 these findings suggest that PEs at diagnosis impact the severity of systemic  
5 inflammation and of the ACLF grade at diagnosis, clinical course severity and  
6 mortality in patients with AD-ACLF.  
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# PRECIPITANTS OF AD AND ACLF

## DISCUSSION

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5 The relationship between clinical events precipitating extra-hepatic organ  
6 failures in patients with cirrhosis (e.g. spontaneous bacterial peritonitis, hepatorenal  
7 syndrome) has been well established for decades, and traditional treatments  
8 currently used (e.g. norfloxacin or albumin), were developed more than 30 years ago  
9 to prevent organ failures with high mortality [18, 19]. Yet unified and comprehensive  
10 investigations to elaborate on characteristics and impact of PEs are missing. The  
11 second investigation of the PREDICT Study fills this gap and offers PEs based on  
12 prospective data.  
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26 The CANONIC and the PREDICT studies are complementary, large-scale,  
27 prospective, observational investigations consecutively performed to investigate AD  
28 in cirrhosis. The CANONIC study was the first investigation stratifying patients with  
29 AD based on the presence (AD-ACLF phenotype) or absence (AD-No ACLF  
30 phenotype) of organ failure(s). Moreover, it suggested that PEs play an important  
31 role in the pathogenesis and clinical course in patients with AD-ACLF. However,  
32 since this study was restricted to the time frame of hospitalization, PEs developing  
33 prior to admission and the clinical course after hospitalization were insufficiently  
34 assessed. In contrast, the PREDICT study was specifically designed to explore  
35 patients with AD enrolled at non-elective hospital admission, and assess the 90-day  
36 period prior to and the 90-day period following enrolment [2]. The first investigation  
37 derived from the PREDICT study identified three different clinical courses in patients  
38 admitted with the AD-No ACLF phenotype: the pre-ACLF, the AD-UDC and the AD-  
39 SDC sub-phenotypes. The current article reports the results of the second PREDICT  
40 study investigation, which was aimed to assess if the type and number of PEs  
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## PRECIPITANTS OF AD AND ACLF

influence clinical course and prognosis in patients with AD-No ACLF and AD-ACLF.

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5 The PREDICT study is an observational study in highly complex patients,  
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7 which were prospectively enrolled and followed for 90 days. At enrolment, data  
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9 related to PEs (e.g. time between PEs and AD) were also obtained, although  
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11 retrospectively. More importantly, during this extensive prospective observation, the  
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13 patients PEs leading to ACLF are assessed and characterized. Based on those data,  
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15 this study offers for the first-time diagnostic criteria for PEs and constitutes the first  
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17 attempt to rationalize the identification of PEs in patients with cirrhosis and AD.  
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24 The PREDICT design took into account inherited limitations of observational  
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26 studies. First, the extremely detailed eCRF was able to capture all potentially  
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28 important events prior to and at the time of enrolment. Second, patients were  
29  
30 carefully controlled within a 90-day follow-up period after enrolment by frequent visits  
31  
32 and laboratory assessments. Third, we enrolled a large series of 1273 non-elective  
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34 patients with AD hospitalized for treatment; 202 with AD-ACLF and 1071 with AD-No  
35  
36 ACLF. Fourth, in order to increase the power analyzing PEs in AD-ACLF, the visits of  
37  
38 patients with AD-Pre ACLF at the time of development of AD-ACLF were included to  
39  
40 form the Integrated ACLF Cohort (420 patients). Finally, the criteria used for the  
41  
42 diagnosis of PEs considered the severity of the PE, the time interval between the  
43  
44 onset/resolution of the PE and the onset of the AD episode, and the concept that any  
45  
46 PE should be significantly more prevalent in patients with AD-ACLF than in patients  
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48 with AD-No ACLF. These criteria are more objective than the traditional principles of  
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50 chronology and vague possibility for inducing organ injury of a specific event at the  
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52 discretion of attending physicians.  
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## PRECIPITANTS OF AD AND ACLF

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Despite their limitations, large-scale prospective observational studies may give rise to important pathophysiological insight. As such, the CANONIC study showed close association between surrogates of systemic inflammation (WBC, CRP) and the presence and severity of AD-ACLF at enrolment and on follow-up, suggesting that systemic inflammation is the most likely mechanism underpinning ACLF [1, 20], a concept confirmed with sophisticated cytokines, lipidomic and metabolomics studies [5, 6, 21, 22]. Therefore, PEs should occur more frequently in patients with AD-ACLF than in patients with AD-No ACLF, as shown in the CANONIC study with bacterial infections and active alcoholism (surrogate of alcoholic hepatitis) both correlating with the severity of systemic inflammation and number of organ failures.

Among the 16 events recorded and evaluated in the current study, only four fulfilled the properties of PEs (chronology, severe organ injury or higher prevalence in the AD-ACLF phenotype): proven bacterial infections, severe alcoholic hepatitis, GI bleeding with shock and toxic encephalopathy. While paracentesis without intravenous albumin administration and TIPS (even improves survival in GI bleeding and ACLF [23, 24]) did not induce organ impairment, the prevalence of drug-induced liver or renal injury and of other potential PEs proposed by the investigators was extremely low, frequently below 1%, suggesting that they could be coincidental events rather than PEs.

Proven bacterial infections and severe alcoholic hepatitis were by far the most prevalent PEs observed in the AD-No ACLF and the AD-ACLF cohorts within the 1273 patients included in the PREDICT study. Prevalence of GI bleeding associated with shock and toxic encephalopathy was very much lower in both groups. Among

## PRECIPITANTS OF AD AND ACLF

1 patients with PEs, 409 in the AD-No ACLF Cohort and 273 in the Integrated AD-  
2 ACLF Cohort, almost all (96.3% and 97.4%, respectively) showed proven bacterial  
3 infection and severe alcoholic hepatitis, either alone or in combination with other  
4 PEs. This overwhelming prevalence of proven bacterial infections and/or severe  
5 alcoholic hepatitis as PEs of AD-No ACLF and AD-ACLF suggests that preventing  
6 these PEs, or if not possible diagnosing and treating them as early as possible after  
7 onset, is paramount to improving the prognosis in decompensated cirrhosis.  
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19 Importantly, the majority of the 1071 patients in the AD-No ACLF Cohort,  
20 61.8% did not present PEs at enrolment. In contrast the rate of patients with no PEs  
21 at enrolment in the 420 patients with AD-ACLF from the Integrated ACLF Cohort was  
22 only 35%. The prevalence of patients with one or multiple PEs were 33.0% and  
23 5.1%, respectively, in the AD-No ACLF Cohort, and 45.5% and 19.5% in the  
24 Integrated AD-ACLF Cohort. These data suggest that AD-No ACLF develops in the  
25 context of endogenous mechanisms (e.g. progressing liver disease, bacterial  
26 translocation) than AD-ACLF. These observations using the PEs support the  
27 CANONIC study, which underlines the solidity of the present investigation. Moreover,  
28 whilst multiple (two or more) PEs trigger AD-ACLF (1 in 5 patients), it is exceptional  
29 (1 in 20 patients) in AD-No ACLF.  
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49 In patients with AD-No ACLF, the prevalence of proven bacterial infections or  
50 severe alcoholic hepatitis and the number of PEs present at enrolment were higher in  
51 patients with AD-Pre ACLF than in patients with AD-UDC and AD-SDC. These  
52 findings suggest that PEs are determinants of the development of the AD-Pre ACLF  
53 sub-phenotype, which is associated with a worse clinical course and prognosis in  
54 patients with AD-No ACLF. In contrast, no differences were found in the prevalence  
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## PRECIPITANTS OF AD AND ACLF

1 of these PEs between patients with UDC and SDC. It is known that AD-Pre ACLF  
2 develops in the setting of severe systemic inflammation, while the grade of systemic  
3 inflammation associated with the UDC and the SDC is moderate [2]. Therefore, it is  
4 likely that proven bacterial infections or severe acute liver injury impact clinical  
5 course and prognosis in patients with AD-No ACLF by acting as inducers of systemic  
6 inflammation.  
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17 This study describes for the first time that the type of PE differentially impacted  
18 the clinical characteristics of AD-ACLF patients. AD-ACLF triggered by severe  
19 alcoholic hepatitis was associated with less systemic inflammation, higher prevalence  
20 of liver and coagulation failure and lower prevalence of renal and circulatory failure  
21 than AD-ACLF triggered by proven bacterial infections. Importantly, the type of PE  
22 did not impact clinical course severity and the 90-day cumulative incidence of  
23 mortality. This finding is not surprising, since Shi et al [25] showed that other hepatic  
24 PEs (hepatitis B reactivation or superimposed hepatitis A and E) led to higher  
25 prevalence of liver and coagulation failure and lower prevalence of renal and  
26 circulatory failure than AD-ACLF triggered by extra-hepatic precipitants (bacterial  
27 infections or GI bleeding). Therefore, each of the major types of PEs likely promotes  
28 specific organs failures in AD-ACLF [6]. Bacterial infections would induce systemic  
29 inflammation as the primary mechanism, leading to predominantly circulatory and  
30 renal dysfunction or failure. In contrast, the direct insult of alcohol toxicity induces  
31 hepatic inflammation and cell death as primary mechanisms culminating in liver and  
32 coagulation dysfunction or failure. Yet in both cases systemic inflammation  
33 aggravates and leads to an identical syndrome through distinct pathophysiological  
34 pathways. For this reason the criterion of the severity (either systemic inflammation  
35 or organ injury) of PE is crucial to identify PE.  
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## PRECIPITANTS OF AD AND ACLF

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2 Our results finally showed that the number of PEs was an important  
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4 determinant of the characteristics, clinical course severity and 90-day cumulative  
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6 incidence of mortality of patients included in the Integrated AD-ACLF Cohort. The  
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8 intensity of systemic inflammation, the prevalence of liver, brain, coagulation, cardio-  
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10 circulatory and respiratory failures; the ACLF grade; and the prognostic scores  
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12 increased progressively from patients with no PEs to patients with one and multiple  
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14 PEs. Moreover, the need for intensive care, mechanical ventilation, renal  
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16 replacement therapy or treatment with vasoconstrictors and the 90-day cumulative  
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18 incidence of mortality rate also increased in parallel with the number of PEs in these  
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20 patients. Therefore, when PEs are defined according to these criteria, they are  
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22 synergistic and additive in the worsening of outcome, despite different clinical  
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24 characteristics.  
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33 In summary, among the 16 events explored as potential PEs in the Predict  
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35 study only four (proven bacterial infections, severe acute alcoholic hepatitis, GI  
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37 bleeding associated with shock and toxic encephalopathy) fulfilled the diagnostic  
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39 criteria of PEs. Proven bacterial infections and severe alcoholic hepatitis were  
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41 present in more than 95% of patients. However, it is important to remark that no PE  
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43 could be identified in 2/3 of AD-No ACLF patients and in 1/3 AD-ACLF patients. The  
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45 prevalence and number of PEs increased with severity of the AD-sub-phenotype  
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47 form SDC/UDC to Pre-ACLF and ACLF, which were also directly related with clinical  
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49 course severity and short-term mortality in patients with AD. Our data, therefore,  
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51 strongly suggest that PEs significantly influence the clinical course and prognosis of  
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53 patients with AD and specific preventive and therapeutic strategies for these PEs are  
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55 required to improve outcomes in decompensated cirrhosis.  
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# PRECIPITANTS OF AD AND ACLF

## LEGEND OF FIGURES

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4 **Figure 1.** AD phenotype groups and subgroups included in each of the AD cohorts  
5 used for the study analysis. For more explanation see the text.  
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11 **Figure 2.** Combinations of PEs in the AD-No ACLF Cohort shown in four-set circle  
12 Venn's diagram (Panel A). Cumulative incidence of mortality in patients with AD-No  
13 ACLF according to the type of PE (proven infections alone versus severe alcoholic  
14 hepatitis alone; panel B) and the number of PEs (no PE, one PE, and two or more  
15 PEs; Panel C); p-values were obtained from Gray's Test. Blood levels of leukocytes  
16 (panel D), neutrophils (panel E), monocytes (panel F) and the serum concentration of  
17 CRP (panel G) in patients with AD-No ACLF and indeterminate PE (no PEs), one PE  
18 and two or more PEs. Boxes show median and IQR and whiskers show 10-90  
19 percentiles. Kruskal-Wallis test was performed with all values in each comparison.  
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21 Differences were statistically significant ( $P < 0.0001$ ) for all biomarkers.  
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38 **Figure 3.** Combinations of PEs in the Integrated AD-ACLF Cohort shown in four-set  
39 circle Venn's diagram (Panel A). Cumulative incidence of mortality in patients with  
40 AD-ACLF according to the type of PE (proven infections alone versus severe  
41 alcoholic hepatitis alone; panel B) and the number of PEs (no PE, one PE, and two  
42 or more PEs; Panel C); p-values were obtained form Gray's Test. Blood levels of  
43 leukocytes (panel D), neutrophils (panel E), monocytes (panel F) and the serum  
44 concentration of CRP (panel G) in patients with AD-ACLF and indeterminate PE (no  
45 PEs), one PE and two or more PEs. Boxes show median and IQR and whiskers  
46 show 10-90 percentiles. Kruskal-Wallis test was performed with all values in each  
47 comparison. Differences were statistically significant ( $P < 0.0001$ ) for all biomarkers.  
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# PRECIPITANTS OF AD AND ACLF

## TABLES

**Table 1. Candidates for Precipitating Events (PEs), PEs, and the Combination of PEs in patients with AD-No ACLF and with AD-ACLF.**

|   | AD-No ACLF<br>(n = 1071) | AD-ACLF<br>(n = 202) | p value <sup>a</sup> |
|---|--------------------------|----------------------|----------------------|
| <b>Candidates for PEs n (%)</b>                       |                          |                      |                      |
| <i>Bacterial infections</i>                           |                          |                      |                      |
| Any infection   | 314 (29.32)              | 101 (50.00)          | <.0001               |
| Suspected Bacterial Infection                         | 74 (6.91)                | 12 (5.94)            | 0.6148               |
| <u>Proven Bacterial Infections<sup>b</sup></u>        | <u>239 (22.32)</u>       | <u>89 (44.06)</u>    | <u>&lt;.0001</u>     |
| <i>Alcohol-related liver injury</i>                   |                          |                      |                      |
| Alcoholic Hepatitis                                   | 275 (25.68)              | 88 (43.56)           | <.0001               |
| <u>Severe Alcoholic Hepatitis<sup>b</sup></u>         | <u>200 (18.67)</u>       | <u>88 (43.56)</u>    | <u>&lt;.0001</u>     |
| <i>GI Bleeding</i>                                    |                          |                      |                      |
| Any GI Bleeding                                       | 176 (16.43)              | 40 (19.80)           | 0.2420               |
| <u>GI Bleeding with hypovolemic shock<sup>b</sup></u> | <u>13 (1.21)</u>         | <u>12 (5.94)</u>     | <u>&lt;.0001</u>     |
| <i>Drug-induced brain injury</i>                      |                          |                      |                      |
| Patients treated with neurotoxic drugs                | 84 (7.84)                | 17 (8.42)            | 0.7824               |
| <u>Toxic Encephalopathy<sup>b</sup></u>               | <u>13 (1.21)</u>         | <u>12 (5.94)</u>     | <u>&lt;.0001</u>     |
| <b>Other candidates n (%)</b>                         |                          |                      |                      |
| Paracentesis without albumin                          | 110 (10.28)              | 21 (10.40)           | 0.9604               |
| TIPS  | 49 (4.58)                | 8 (3.96)             | 0.6965               |
| Drug-induced liver injury                             | 16 (1.49)                | 4 (1.98)             | 0.5431               |
| Viral hepatitis or other viral Infections             | 13 (1.21)                | 3 (1.49)             | 0.7299               |
| Drug-induced kidney injury                            | 3 (0.28)                 | 1 (0.50)             | -                    |
| Surgery   | 3 (0.28)                 | 0 (0.00)             | -                    |
| Decompensated cardiopulmonary disease                 | 4 (0.37)                 | 3 (1.49)             | -                    |
| Dehydration   | 3 (0.28)                 | 1 (0.50)             | -                    |
| Large hematomas                                       | 3 (0.28)                 | 0 (0.00)             | -                    |
| Acute pancreatitis                                    | 1 (0.09)                 | 1 (0.50)             | -                    |
| Portomesenteric vein thrombosis                       | 2 (0.19)                 | 1 (0.50)             | -                    |
| Extra-hepatic autoimmune disease                      | 2 (0.19)                 | 0 (0.00)             | -                    |
| Cerebrovascular accident                              | 0 (0.00)                 | 1 (0.50)             | -                    |
| Bowel occlusion                                       | 1 (0.09)                 | 0 (0.00)             | -                    |
| <b>Number of PEs</b>                                  |                          |                      |                      |
| Indeterminate PE (No PEs)                             | 662 (61.81)              | 59 (29.21)           | <.0001               |
| One PEs   | 354 (33.05)              | 93 (46.04)           |                      |
| Two or more PEs                                       | 55 (5.14)                | 50 (24.75)           |                      |

<sup>a</sup> Certain p value were not determined because of the low number of patients.

<sup>b</sup> Underlined precipitating events are those considered as precipitating events of AD-ACLF  
Chi-square or Fisher tests performed in percentages comparisons.



## PRECIPITANTS OF AD AND ACLF

**Table 2. Type and Number of Precipitating Events (PEs) in Patients with Pre-ACLF, Unstable Decompensated Cirrhosis (UDC) and Stable Decompensated Cirrhosis (SDC)**

|                             | Pre-ACLF<br>(n=218) |                     | UDC<br>(n=233) | SDC<br>(n=620)  |
|-----------------------------|---------------------|---------------------|----------------|-----------------|
|                             | At enrolment        | At ACLF development |                |                 |
| <i>Type of PEs, n (%)</i>   |                     |                     |                |                 |
| Proven Bacterial Infections | 64 (29.4)           | 97 (44.5)**         | 49 (21.0) *##  | 126 (20.3) **## |
| Severe Alcoholic Hepatitis  | 58 (26.6)           | 57 (26.1)           | 45 (19.3) *    | 97 (15.6) **#   |
| GI Bleeding with Shock §    | 2 (0.9)             | 8 (3.7)             | 2 (0.9)        | 9 (1.5)         |
| Toxic Encephalopathy §      | 3 (1.4)             | 4 (1.8)             | 3 (1.3)        | 7 (1.1)         |
| <i>Number of PEs, n (%)</i> |                     |                     |                |                 |
| Indeterminate PE (No PEs)   | 111 (50.9)          | 88 (40.4)**         | 142 (60.9) *## | 409 (66.0) **## |
| One PE                      | 88 (40.4)           | 98 (45.0)**         | 83 (35.6)##    | 183 (29.5)##    |
| Two or more PEs             | 19 (8.7)            | 32 (14.7)**         | 8 (3.4)##      | 28 (4.5)##      |

+ p < 0.07, \* p < 0.05 and \*\* p < 0.01 versus the Pre-ACLF group at enrolment

# p < 0.001 and ## p < 0.0001 vs Pre-ACLF group at ACLF development

§ p value not determined due to the low number of patients

Chi-square or Fisher tests performed in percentages comparisons among groups.

McNemar test used in paired comparisons for the types of PEs between the 2 time-points in Pre-ACLF group

Symmetry test used in paired comparisons for the number of PEs between the 2 time-points in Pre-ACLF group

## PRECIPITANTS OF AD AND ACLF

**Table 3. Demographic Data and Etiology, Types and Number of Precipitating Events (PEs), Clinical and Laboratory Data at Diagnosis, Special Treatments during Follow-up and Mortality in the Integrated ACLF cohort (n = 420).**

|  |                        |
|--|------------------------|
| <b>Demographic data and etiology</b>                     |                        |
| Age, yr, mean $\pm$ SD                                   | 59.1 +/- 11.74         |
| Male sex, n (%)  | 288 (68.6)             |
| Alcoholic cirrhosis, n (%)                               | 302 (71.9)             |
| <b>Precipitating events at diagnosis*</b>                |                        |
| <i>Type of PEs, n (%)</i>                                |                        |
| Proven Bacterial Infections                              | 186 (44.3)             |
| Severe Alcoholic Hepatitis                               | 145 (34.5)             |
| GI Bleeding with Shock                                   | 20 (4.8)               |
| Toxic Encephalopathy                                     | 16 (3.8)               |
| <i>Number of PEs, n (%)</i>                              |                        |
| Indeterminate PE (No PEs)                                | 147 (35.0)             |
| One PE   | 191 (45.5)             |
| Two or more PEs  | 82 (19.5)              |
| <b>Clinical and laboratory data</b>                      |                        |
| <i>Systemic hemodynamics, mean <math>\pm</math> SD</i>   |                        |
| Mean arterial pressure (mmHg)                            | 79.0 +/- 13.08         |
| Heart rate (bpm)   | 83.4 +/- 18.06         |
| <i>Complications, n (%)</i>                              |                        |
| Ascites  | 295 (77.2)             |
| Hepatic Encephalopathy                                   | 235 (61.5)             |
| Gastrointestinal bleeding                                | 51 (13.4)              |
| <i>Organ failures, n (%)</i>                             |                        |
| Liver failure  | 138 (36.1)             |
| Renal failure  | 215 (56.3)             |
| Brain failure  | 71 (18.6)              |
| Coagulation failure                                      | 94 (24.7)              |
| Cardiovascular failure                                   | 58 (15.3)              |
| Respiratory failure                                      | 37 (9.7)               |
| <i>Biomarkers of systemic inflammation, median (IQR)</i> |                        |
| White-cell count, x109/L                                 | 8.69 (6.10 - 13.14)    |
| Neutrophil count, x109/L                                 | 6.74 (4.12 - 10.45)    |
| Lymphocyte count, x109/L                                 | 0.96 (0.59 - 1.50)     |
| Monocyte count, x109/L                                   | 0.83 (0.51 - 1.24)     |
| Serum C-reactive protein, mg/L                           | 26.75 (12.40 - 52.00)  |
| <i>Measurements estimating organ function</i>            |                        |
| Serum bilirubin, mg/dL, median (IQR)                     | 5.65 (2.00 - 15.95)    |
| Serum albumin, g/dL, mean $\pm$ SD                       | 2.9 +/- 0.72           |
| Total cholesterol, mg/dL, median (IQR)                   | 75.49 (50.19 - 107.34) |
| International normalized ratio, median (IQR)             | 1.78 (1.44 - 2.40)     |
| Serum creatinine, mg/dL, median (IQR)                    | 2.04 (1.05 - 2.61)     |
| Serum sodium, mEq/L, mean $\pm$ SD                       | 133.8 +/- 7.03         |
| <b>Scores at diagnosis</b>                               |                        |
| <i>Prognostic scores, mean <math>\pm</math> SD</i>       |                        |
| Child-Pugh score   | 10.5 +/- 2.28          |
| MELD score*  | 26.0 +/- 6.58          |
| MELD-Na score*   | 28.2 +/- 6.13          |
| CLIF-C Organ Failure score**                             | 9.8 +/- 2.12           |
| CLIF-C ACLF score**                                      | 49.5 +/- 9.05          |
| <b>ACLF Grade</b>  |                        |
| ACLF-Grade I   | 222 (58.7)             |
| ACLF-Grade II  | 110 (29.1)             |
| ACLF-Grade III   | 46 (12.2)              |
| <b>Special treatments and mortality</b>                  |                        |
| <i>Special treatments from ACLF, n (%)</i>               |                        |

## PRECIPITANTS OF AD AND ACLF

|   |                                 |             |
|---|---------------------------------|-------------|
|   | Intensive Care                  | 88 (21.0)   |
| 1 | Renal replacement therapy       | 35 (8.3)    |
| 2 | Mechanical ventilation          | 47 (12.3)   |
| 3 | Vasopressors                    | 159 (37.9)  |
| 4 | 90-day liver transplantation    | 49 (11.67)  |
| 5 | <b>Mortality from diagnosis</b> |             |
| 6 | 90-day mortality, n (%)         | 209 (49.76) |

\* MELD: Model for End-Stage Liver Disease score

\*\* CLIF-C: Chronic Liver Failure Consortium

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## PRECIPITANTS OF AD AND ACLF

**Table 4. Demographic Data and Etiology, Clinical and Laboratory Data at Diagnosis, Specific Treatments during Follow-up and Mortality in Patients included in the Integrated AD-ACLF cohort with Proven Bacterial Infection or Severe Alcoholic Hepatitis as Unique Precipitating Events**

|  | Proven Bacterial<br>Infections<br>(n = 111) | Severe Alcoholic<br>Hepatitis<br>(n = 73) | p value |
|--|---|---|---------|
| <b>Demographic data and etiology</b>                     |   |   |         |
| Age, yr, mean ± SD                                       | 63.5 +/- 10.08                              | 56.3 +/- 11.21                            | <.0001  |
| Male sex, n (%)  | 81 (73.0)                                   | 50(68.5)                                  | 0.5115  |
| Alcoholic cirrhosis, n (%)                               | 68 (61.3)                                   | 73 (100.0)                                | <.0001  |
| <b>Clinical and laboratory data</b>                      |   |   |         |
| <i>Systemic hemodynamics, mean ± SD</i>                  |   |   |         |
| Mean arterial pressure (mmHg)                            | 77.2 +/- 12.89                              | 82.6 +/- 12.56                            | 0.0070  |
| Heart rate (bpm)   | 79.7 +/- 16.72                              | 84.8 +/- 17.17                            | 0.0546  |
| <i>Complications, n (%)</i>                              |   |   |         |
| Ascites  | 78 (75.0)                                   | 54 (79.4)                                 | 0.5031  |
| Hepatic Encephalopathy                                   | 63 (60.6)                                   | 44 (64.7)                                 | 0.5850  |
| Gastrointestinal bleeding                                | 8 (7.7)                                     | 2 (2.9)                                   | 0.3187  |
| <i>Organ failures, n (%)</i>                             |   |   |         |
| Liver failure  | 22 (21.2)                                   | 38 (55.9)                                 | <.0001  |
| Renal failure  | 68 (65.4)                                   | 26 (38.2)                                 | 0.0005  |
| Brain failure  | 20 (19.2)                                   | 8 (11.8)                                  | 0.1947  |
| Coagulation failure                                      | 18 (17.5)                                   | 23 (33.8)                                 | 0.0143  |
| Cardiovascular failure                                   | 20 (19.4)                                   | 2 (2.9)                                   | 0.0016  |
| Respiratory failure                                      | 17 (16.5)                                   | 3 (4.5)                                   | 0.0174  |
| <i>Biomarkers of systemic inflammation, median (IQR)</i> |   |   |         |
| White-cell count, x10 <sup>9</sup> /L                    | 9.21 (6.33 - 13.35)                         | 10.36 (7.61 - 13.60)                      | 0.1606  |
| Neutrophil count, x10 <sup>9</sup> /L                    | 7.15 (4.67 - 10.74)                         | 7.70 (5.08 - 9.35)                        | 0.8042  |
| Lymphocyte count, x10 <sup>9</sup> /L                    | 0.70 (0.43 - 1.24)                          | 1.21 (0.78 - 1.80)                        | 0.0005  |
| Monocyte count, x10 <sup>9</sup> /L                      | 0.80 (0.60 - 1.20)                          | 1.00 (0.67 - 1.32)                        | 0.0860  |
| Serum C-reactive protein, mg/L                           | 40.50 (18.00 - 83.50)                       | 24.46 (11.00 - 41.60)                     | 0.0025  |
| <i>Measurements estimating organ function</i>            |   |   |         |
| Serum bilirubin, mg/dL, median (IQR)                     | 3.24 (1.89 - 9.87)                          | 13.30 (4.71 - 20.82)                      | <.0001  |
| Serum albumin, g/dL, mean ± SD                           | 3.0 +/- 0.67                                | 2.8 +/- 0.72                              | 0.1874  |
| Total cholesterol, mg/dL, median (IQR)                   | 54.00 (39.77 - 92.66)                       | 91.00 (67.00 - 120.00)                    | 0.0033  |
| International normalized ratio, median (IQR)             | 1.70 (1.40 - 2.18)                          | 1.98 (1.52 - 2.67)                        | 0.0231  |
| Serum creatinine, mg/dL, median (IQR)                    | 2.15 (1.29 - 2.68)                          | 1.39 (0.79 - 2.13)                        | 0.0002  |
| Serum sodium, mEq/L, mean ± SD                           | 134.0 +/- 6.41                              | 132.6 +/- 6.03                            | 0.1421  |
| <i>Prognostic scores, mean ± SD</i>                      |   |   |         |
| Child-Pugh score   | 10.2 +/- 2.23                               | 11.1 +/- 1.96                             | 0.0094  |
| MELD score*  | 24.8 +/- 6.72                               | 27.2 +/- 5.39                             | 0.0142  |
| MELD-Na score*   | 27.3 +/- 5.93                               | 29.5 +/- 5.19                             | 0.0150  |
| CLIF-C Organ Failure score**                             | 9.8 +/- 2.21                                | 9.7 +/- 1.55                              | 0.7228  |
| CLIF-C ACLF score**                                      | 51.2 +/- 8.75                               | 48.6 +/- 6.54                             | 0.0316  |
| <i>ACLF grades, n (%)</i>                                |   |   |         |
| ACLF grade I   | 61 (59.8)                                   | 41 (61.2)                                 | 0.2391  |
| ACLF grade II  | 27 (26.5)                                   | 22 (32.8)                                 |         |
| ACLF Grade III   | 14 (13.7)                                   | 4 (6.0)                                   |         |
| <b>Special treatments and mortality</b>                  |   |   |         |
| <i>Special treatments from ACLF, n (%)</i>               |   |   |         |
| Intensive care   | 26 (23.4)                                   | 11 (15.1)                                 | 0.1666  |
| Renal replacement  | 10 (9.0)                                    | 3 (4.1)                                   | 0.2045  |
| Mechanical ventilation                                   | 17 (16.3)                                   | 4 (5.9)                                   | 0.0404  |
| Vasopressors   | 47 (42.3)                                   | 19 (26.0)                                 | 0.0240  |
| 90-day Liver transplantation                             | 15 (13.89)                                  | 9 (12.68)                                 | 0.8158  |
| <i>Mortality from ACLF diagnosis, n (%)</i>              |   |   |         |
| 90-day Mortality   | 58 (52.25)                                  | 36 (49.32)                                | 0.6966  |

\* MELD: Model for End-Stage Liver Disease score; \*\* CLIF-C: Chronic Liver Failure Consortium

Chi-square or Fisher tests performed in percentages comparisons. For continuous variables comparisons, Student T-test for normally distributed variables or Wilcoxon Rank-Sum Test for not-normally distributed variables were used.

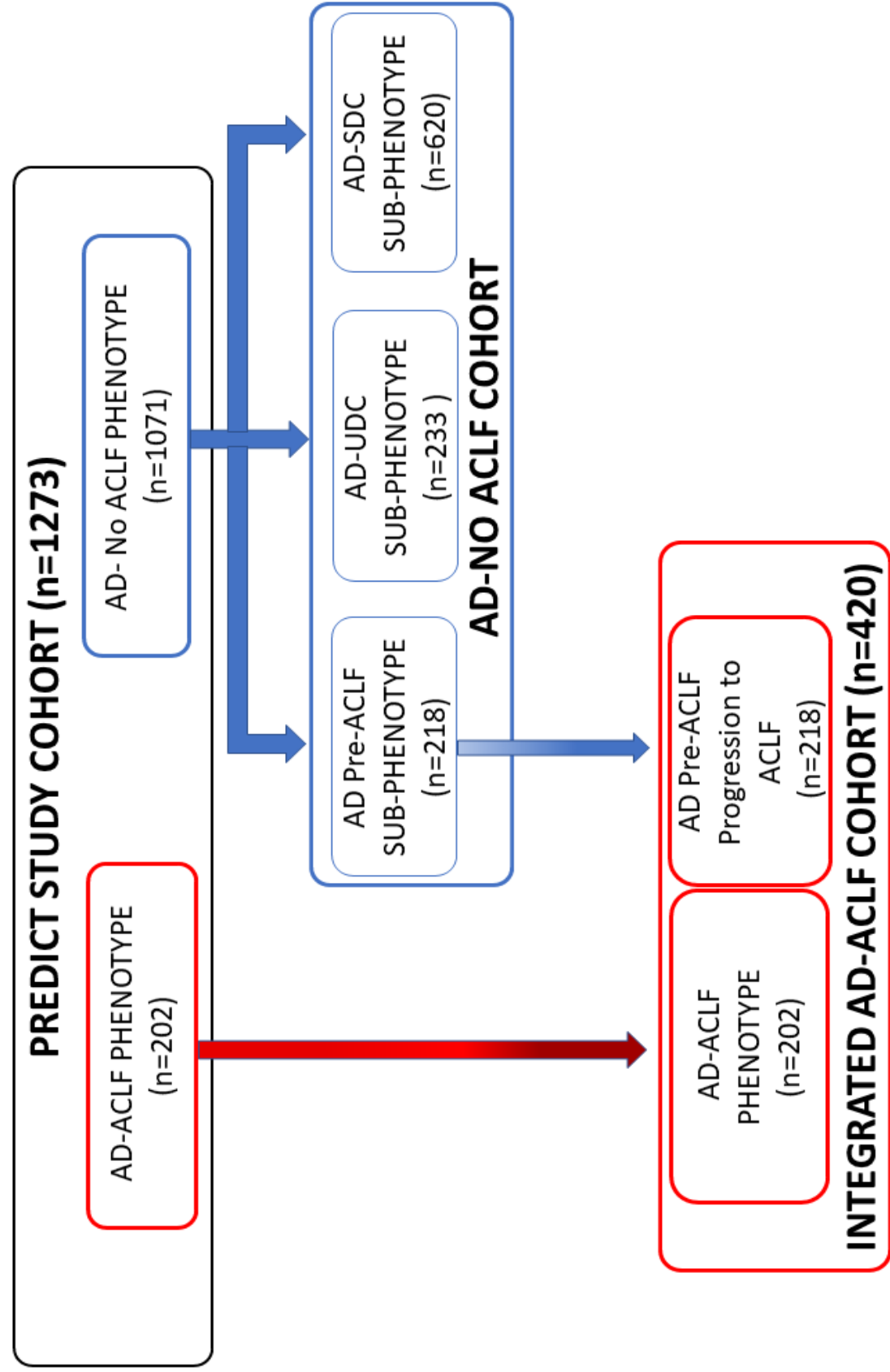
## PRECIPITANTS OF AD AND ACLF

**Table 5. Demographic Data and Etiology, Clinical and Laboratory Data at Diagnosis, Special Treatments during Follow-up and Mortality in Patients included in the Integrated AD-ACLF cohort according to the Number of Precipitating Events.**

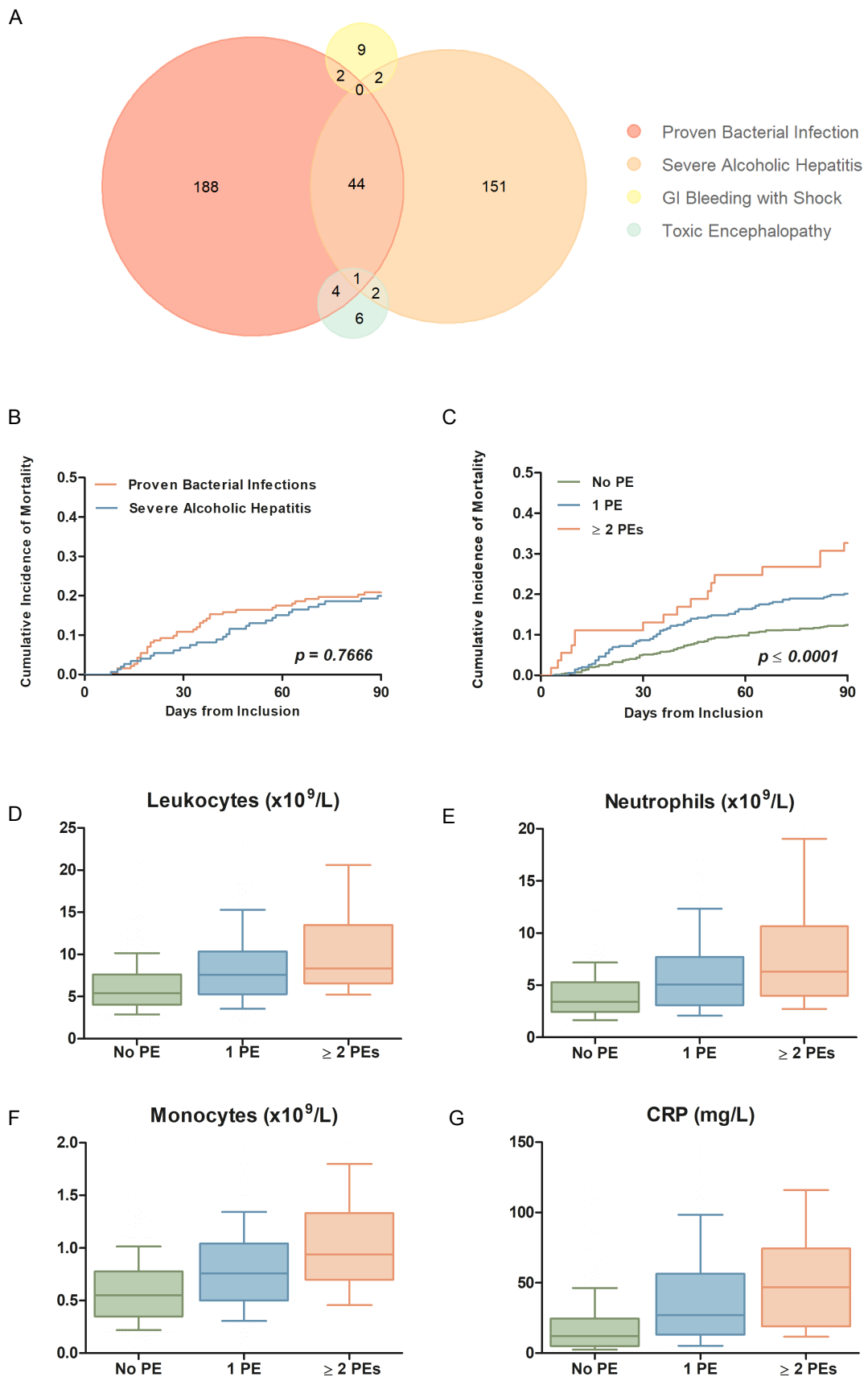
|  | No PEs<br>(n=147)      | One PE<br>(n=191)                   | Two or more PEs<br>(n=82)          | p value |
|--|------------------------|-------------------------------------|------------------------------------|---------|
| <b>Demographic data and etiology of cirrhosis</b>        |                        |                                     |                                    |         |
| Age, yr, mean ± SD                                       | 61.2 +/- 11.38         | 60.5 +/- 11.06                      | 52.1 +/- 11.41 <sup>a</sup>        | <.0001  |
| Male sex, n (%)  | 99 (67.3)              | 137 (71.7)                          | 52 (63.4)                          | 0.3684  |
| Alcoholic cirrhosis, n (%)                               | 81 (55.1)              | 144 (75.4) <sup>b</sup>             | 77 (93.9) <sup>a</sup>             | <.0001  |
| <b>Data at ACLF diagnosis</b>                            |                        |                                     |                                    |         |
| <i>Systemic hemodynamics, mean ± SD</i>                  |                        |                                     |                                    |         |
| Mean arterial pressure (mmHg)                            | 80.8 +/- 12.51         | 79.0 +/- 13.05                      | 76.1 +/- 13.65 <sup>b</sup>        | 0.0419  |
| Heart rate (bpm)   | 79.4 +/- 15.80         | 82.0 +/- 17.26                      | 92.9 +/- 19.93 <sup>a</sup>        | <.0001  |
| <i>Complications, n (%)</i>                              |                        |                                     |                                    |         |
| Ascites  | 90 (73.2)              | 134 (74.9)                          | 71 (88.8) <sup>a</sup>             | 0.0206  |
| Hepatic encephalopathy                                   | 61 (49.6)              | 112 (62.6) <sup>b</sup>             | 62 (77.5) <sup>a</sup>             | 0.0003  |
| Gastrointestinal bleeding                                | 16 (13.1)              | 16 (8.9)                            | 19 (23.8) <sup>c</sup>             | 0.0053  |
| <i>Organ failures, n (%)</i>                             |                        |                                     |                                    |         |
| Liver failure  | 29 (23.6)              | 60 (33.5)                           | 49 (61.3) <sup>a</sup>             | <.0001  |
| Renal failure  | 84 (68.3)              | 98 (54.7) <sup>b</sup>              | 33 (41.3) <sup>a</sup>             | 0.0006  |
| Brain failure  | 13 (10.6)              | 31 (17.3)                           | 27 (33.8) <sup>a</sup>             | 0.0002  |
| Coagulation failure                                      | 25 (20.3)              | 41 (23.0)                           | 28 (35.0) <sup>a</sup>             | 0.0474  |
| Cardiovascular failure                                   | 6 (4.9)                | 25 (14.0) <sup>b</sup>              | 27 (33.8) <sup>a</sup>             | <.0001  |
| Respiratory failure                                      | 3 (2.4)                | 21 (11.9) <sup>b</sup>              | 13 (16.3) <sup>b</sup>             | 0.0022  |
| <i>Biomarkers of systemic inflammation, median (IQR)</i> |                        |                                     |                                    |         |
| White-cell count, x10 <sup>9</sup> /L                    | 7.19 (5.03 - 9.40)     | 9.72 (6.39 - 13.50) <sup>b</sup>    | 12.14 (8.57 - 18.10) <sup>a</sup>  | <.0001  |
| Neutrophil count, x10 <sup>9</sup> /L                    | 4.44 (2.72 - 6.71)     | 7.22 (4.70 - 10.52) <sup>b</sup>    | 9.15 (6.42 - 15.75) <sup>a</sup>   | <.0001  |
| Lymphocyte count, x10 <sup>9</sup> /L                    | 0.85 (0.60 - 1.32)     | 0.90 (0.52 - 1.47)                  | 1.10 (0.70 - 1.90) <sup>b</sup>    | 0.0794  |
| Monocyte count, x10 <sup>9</sup> /L                      | 0.58 (0.39 - 0.89)     | 0.87 (0.60 - 1.21) <sup>b</sup>     | 1.21 (0.90 - 1.72) <sup>a</sup>    | <.0001  |
| Serum C-reactive protein, mg/L                           | 17.60 (8.80 - 32.00)   | 32.30 (15.00 - 58.90) <sup>b</sup>  | 36.15 (18.00 - 75.00) <sup>b</sup> | <.0001  |
| <i>Measurements estimating organ function</i>            |                        |                                     |                                    |         |
| Serum bilirubin, mg/dL, median (IQR)                     | 2.29 (1.12 - 11.04)    | 5.70 (2.12 - 14.80) <sup>b</sup>    | 14.53 (6.55 - 23.08) <sup>a</sup>  | <.0001  |
| Serum albumin, g/dL, mean ± SD                           | 3.0 +/- 0.82           | 2.9 +/- 0.68                        | 2.9 +/- 0.65                       | 0.4571  |
| Total cholesterol, mg/dL, median (IQR)                   | 86.50 (57.73 - 122.78) | 70.25 (48.52 - 104.13) <sup>b</sup> | 63.39 (42.00 - 83.01) <sup>b</sup> | 0.0145  |
| International normalized ratio, median (IQR)             | 1.53 (1.32 - 2.13)     | 1.75 (1.45 - 2.34) <sup>b</sup>     | 2.18 (1.80 - 2.78) <sup>a</sup>    | <.0001  |
| Serum creatinine, mg/dL, median (IQR)                    | 2.15 (1.54 - 2.80)     | 2.00 (1.04 - 2.50) <sup>b</sup>     | 1.55 (0.82 - 2.81) <sup>b</sup>    | 0.0024  |
| Serum sodium, mEq/L, mean ± SD                           | 133.6 +/- 6.77         | 133.6 +/- 6.36                      | 134.4 +/- 8.71                     | 0.7078  |
| <i>Prognostic scores, mean ± SD</i>                      |                        |                                     |                                    |         |
| Child-Pugh score   | 9.5 +/- 2.41           | 10.5 +/- 2.18 <sup>b</sup>          | 11.8 +/- 1.50 <sup>a</sup>         | <.0001  |
| MELD score*  | 24.3 +/- 6.21          | 25.6 +/- 6.41                       | 29.8 +/- 6.13 <sup>a</sup>         | <.0001  |
| MELD-Na score*   | 26.6 +/- 6.11          | 27.9 +/- 5.81                       | 31.2 +/- 5.83 <sup>a</sup>         | <.0001  |
| CLIF-C organ failure score**                             | 8.9 +/- 1.70           | 9.7 +/- 1.97 <sup>b</sup>           | 11.3 +/- 2.20 <sup>a</sup>         | <.0001  |
| CLIF-C ACLF score**                                      | 45.7 +/- 7.45          | 50.1 +/- 8.05 <sup>b</sup>          | 54.1 +/- 10.86 <sup>a</sup>        | <.0001  |
| <i>ACLF grades, n (%)</i>                                |                        |                                     |                                    |         |
| ACLF grade I   | 93 (76.2)              | 105 (59.7) <sup>b</sup>             | 24 (30.0) <sup>a</sup>             | <.0001  |
| ACLF grade II  | 23 (18.9)              | 53 (30.1) <sup>b</sup>              | 34 (42.5) <sup>a</sup>             |         |
| ACLF grade III   | 6 (4.9)                | 18 (10.2) <sup>b</sup>              | 22 (27.5) <sup>a</sup>             |         |
| <b>Specific treatments and mortality</b>                 |                        |                                     |                                    |         |
| <i>Specific treatments from ACLF, n (%)</i>              |                        |                                     |                                    |         |
| Intensive care   | 15 (10.2)              | 41 (21.5) <sup>b</sup>              | 32 (39.0) <sup>a</sup>             | <.0001  |
| Renal replacement  | 8 (5.4)                | 13 (6.8)                            | 14 (17.1) <sup>a</sup>             | 0.0055  |
| Mechanical ventilation                                   | 3 (2.4)                | 22 (12.3) <sup>b</sup>              | 22 (27.5) <sup>a</sup>             | <.0001  |
| Vasopressors   | 35 (23.8)              | 72 (37.7) <sup>b</sup>              | 52 (63.4) <sup>a</sup>             | <.0001  |
| 90-day Liver Transplantation                             | 19 (13.1)              | 25 (13.4)                           | 5 (6.3)                            | 0.2290  |
| <i>Mortality after ACLF diagnosis, n (%)</i>             |                        |                                     |                                    |         |
| 90-day Mortality   | 62 (42.2)              | 95 (49.7)                           | 52 (63.4) <sup>a</sup>             | 0.0087  |

\* MELD: Model for End-Stage Liver Disease score; \*\* CLIF-C: Chronic Liver Failure Consortium; <sup>a</sup> p<0.05 versus No PE and 1 PE; <sup>b</sup> p<0.05 versus No PE; <sup>c</sup> p<0.05 versus 1 PE. Chi-square or Fisher tests performed in percentages comparisons. For continuous variables comparisons, Analysis of Variance for normally distributed variables or Kruskal-Wallis test for not-normally distributed variables were used.

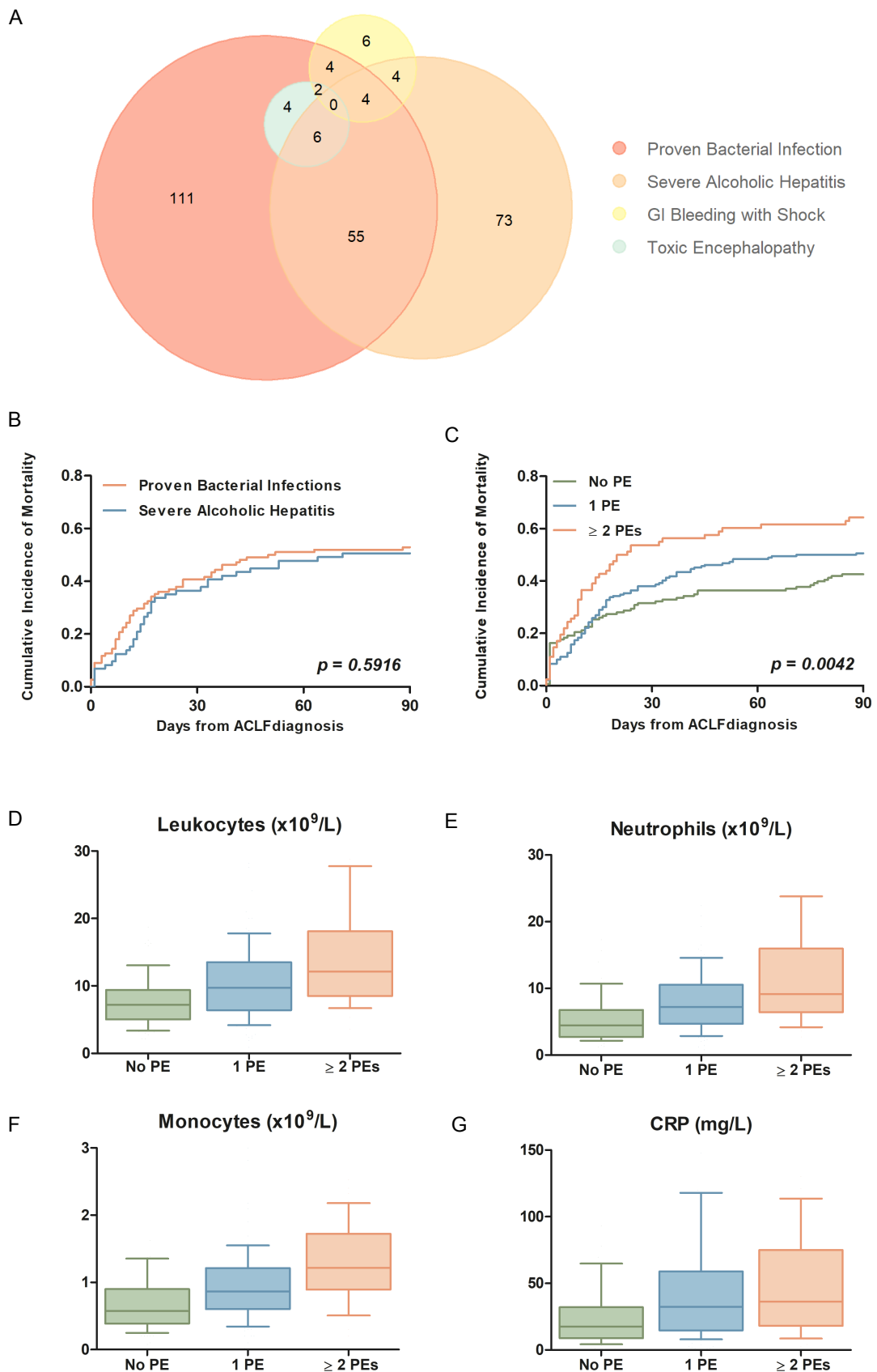
Figure 1



**Figure 2**



**Figure 3**







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**Supplementary material**

3 PREDICT PE supplementary appendix 14072020.docx

