

KluwerArbitration

Participant Training Guide

August, 2012



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Introduction

Welcome to training for KluwerArbitration, the most comprehensive, fully-searchable database of world-wide primary and secondary materials in the field of international commercial arbitration.

KluwerArbitration is brought to you by **Kluwer Law International**, in association with the **International Council for Commercial Arbitration (ICCA)** and the **Institute for Transnational Arbitration (ITA)**.

This Guide is intended for use during a training session conducted by a Wolters Kluwer Legal Training Consultant. It will also serve as a helpful reference tool once the training has been completed.

Objectives

Upon completion of this course, you will be able to:

- Access KluwerArbitration
- Access newly added information and blog articles
- Browse to locate Books, Journals, and other materials
- Conduct searches to find selected information
- Navigate through search results
- Use customizable charts
- Save your findings

Logging In

~~Direct access with User ID/password users:~~

- ~~1. Go to: <http://www.kluwerarbitration.com>~~
- ~~2. Log in: ~~
- ~~3. Enter **User ID and Password.**~~

~~IP authenticated subscribers need to login to **IntelliConnect** and use the referral link to access Kluwer Arbitration:~~

- ~~1. Go to: <http://intelliconnect.cch.com>~~
- ~~2. Click Practice Tools~~
- ~~3. Click International Securities & Business~~
- ~~4. Click Kluwer Arbitration~~

Login Status. If logged in, icon appears as:
[CCH user](#) | [Log off](#)

Home Page

The screenshot shows the KluwerArbitration website home page with several callout boxes and arrows:

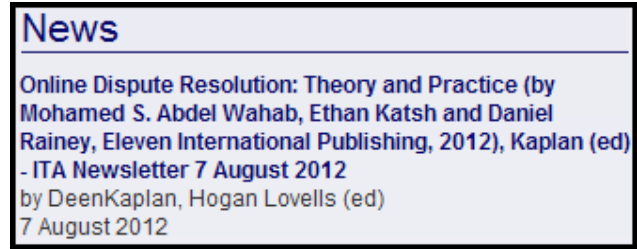
- Access IAI Tool and Smart Charts:** Points to the 'Practice Tools' menu item and the 'IAI Arbitrator Tool' link in the 'Practice Tools' dropdown.
- Search options:** Points to the search bar and 'advanced search' link.
- Browse through contents:** Points to the 'Categories' menu and the 'Comparative International Law' book link.
- Access news articles:** Points to the 'News' section.
- Access internet Blog articles:** Points to the 'Blog' section.
- ITA Home Page:** Points to the 'ITA Arbitration Report' section.
- ICCAs Home Page:** Points to the 'ICCAs' section.
- Sign up for Newsletter email:** Points to the 'SUBSCRIBE' button in the 'ITA Arbitration Report' section.

News

News service



You can read the latest news as covered by the Institute of Transnational Arbitration (ITA) Reporters. The News tab at the top of the Home page and the All News link on the left side of the Home page will take you to a list that combines all the new cases, awards, blog postings, and latest material added to the system.



Click here to subscribe to an RSS feed of the updates.

Blogs



Visit the KluwerArbitrationBlog to enjoy thought-provoking posts from expert contributors and take part in the discussion by adding your comments. The Blog is searchable. The Blog tab at the top of the Home page and the Blog link at the bottom

left will take you to the Blog postings. Once on the Blog, you can sign up for an RSS feed for Articles Summary or the Full Article. Note: Access the **Kluwer Mediation Blog** by using the Related Sites command within Kluwer Arbitration Blog.



Click Read More to read the top story and leave a Reply

ITA Monthly Report

Stay on top of recent developments. Read the most recent newsletter found on the right side of the Home page. Sign up for a monthly email of the ITA Arbitration Report containing recent developments, cases, awards, and events.



Click Subscribe to set up monthly email.

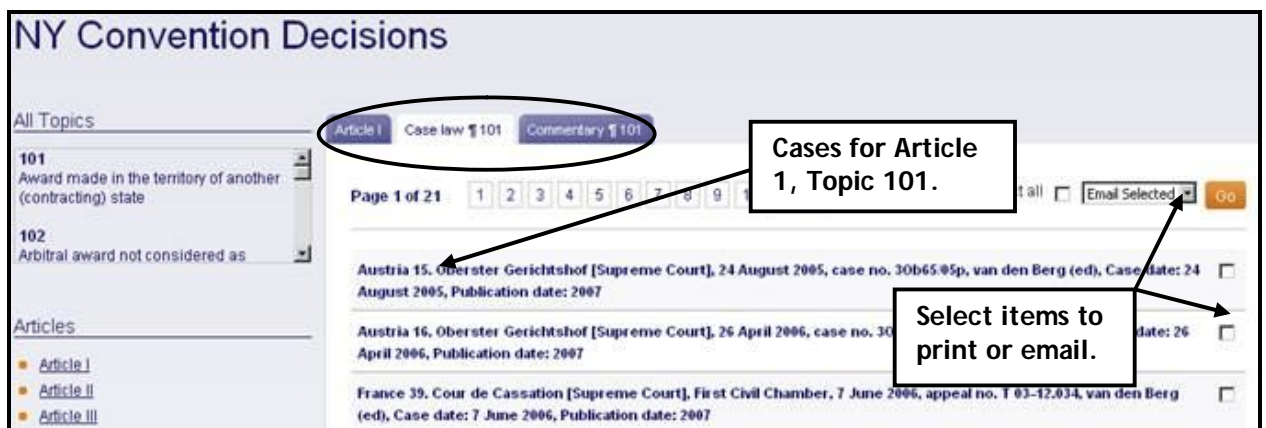


At the bottom right on every screen, there is an RSS link for users to sign up for RSS feeds for All News, News, Updates, and Supplements.

Browsing Categories



- **BITs** - The full text of almost 1700 Bilateral Investment Treaties. The full text of more than 20 Multilateral Investment Treaties, organized by country
- **Conventions** - Contains important conventions dealing with International Commercial Arbitration.
- **Jurisdictions** - Organizations, court decisions, BITs, awards, national arbitration legislation, rules, and full-text commentary for each country.
- **NY Convention Decisions** - Locate cases and commentary dealing with specific Article and Topic of the NY Convention. Find the Article and topic and then click Case Law or Commentary tab.

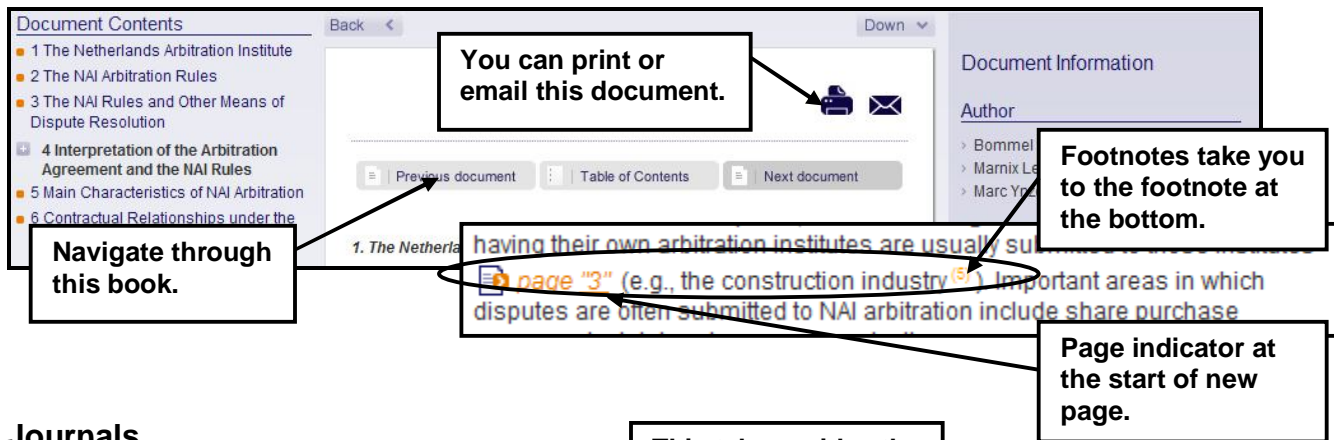


- **Legislation** -300+ national laws from 100+ nations; the UNCITRAL Model Law; and country-specific laws, organized by country.
- **Rules** - List of Most Significant Arbitral Institutions with links to their rules. For more country specific organizations' rules, select the jurisdiction on the drop down on the left.

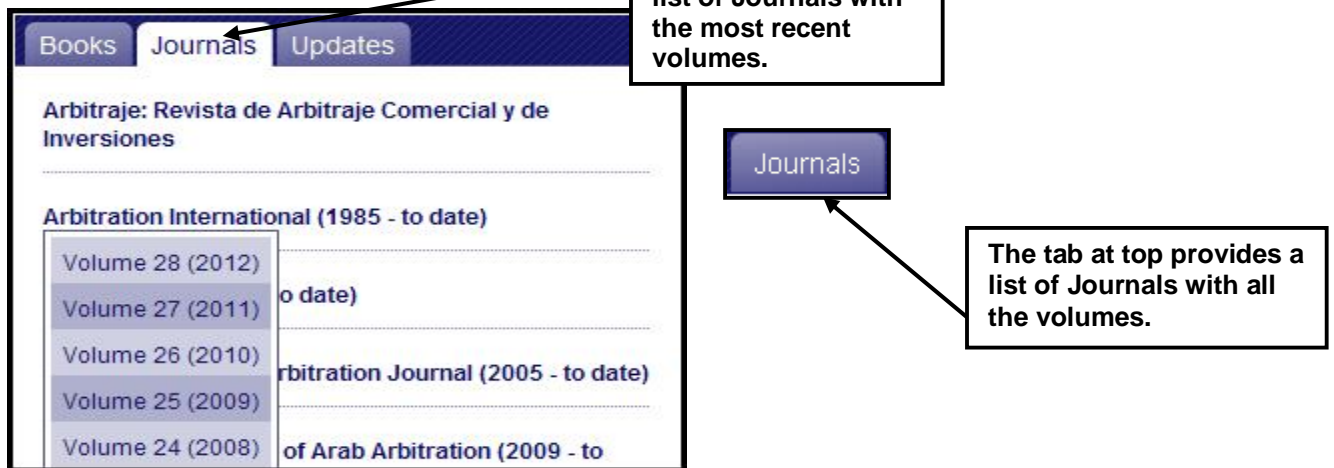
Browsing Books and Journals

Browse through commentary published in several of the foremost treatises in the area of arbitration as well as leading journals, the ICCA Yearbook Commercial Arbitration, Handbook on Commercial Arbitration and Congress Series.

Books – list of top 6 books with links to All books.



Journals

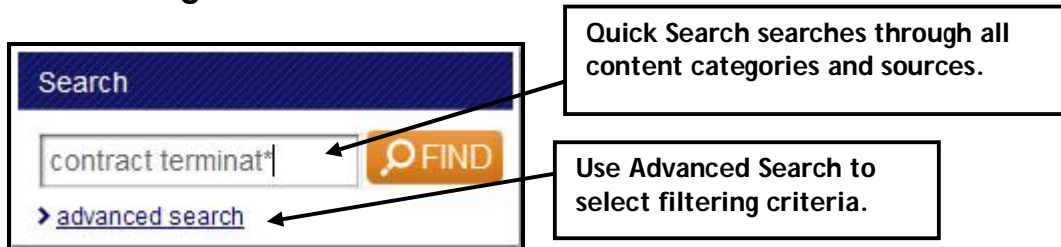


Updates

See a listing of the most recent updates to Books and Journals.



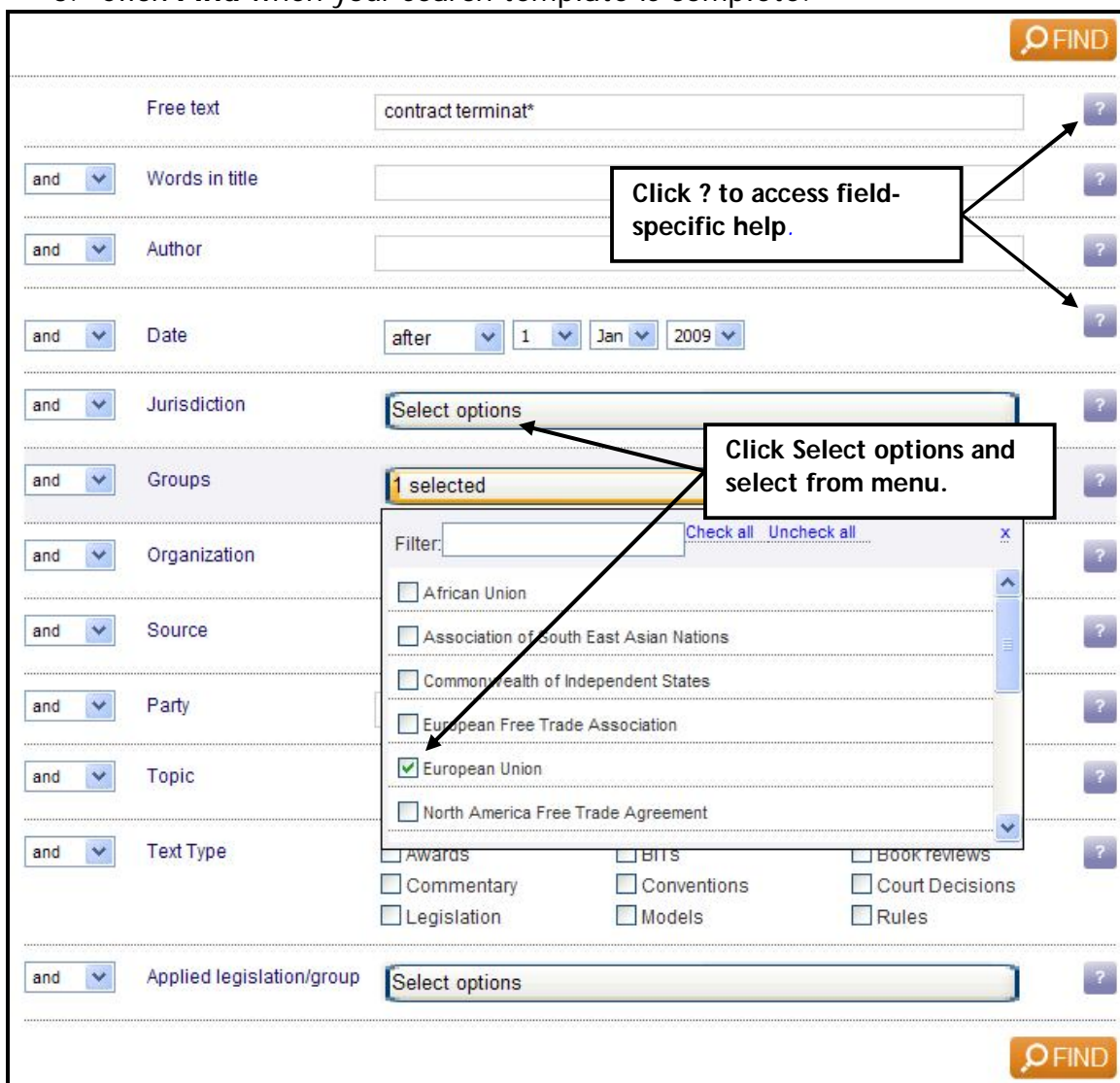
Searching



Quick Search searches through all content categories and sources.

Use Advanced Search to select filtering criteria.

1. To retrieve the Advanced Search template, click **advanced search**
2. Enter **terms** and select **criteria**. See Appendix A for **Search Tips**.
3. Click **Find** when your search template is complete.



Click ? to access field-specific help.

Click Select options and select from menu.

Search Results

- Search results are displayed in reverse chronological order using the publication date. You can navigate through the list by selecting the next page of results.
- You can filter the results using the tabs at the top or the filters in the left panel.

You can filter the list various ways.

Click show all to get full list.

Click here to go through the pages.

Select items to print or email.

See list of all Parties.

You can add a date restriction to the search results

Click here to go through the pages.

Viewing a Document

- Click on a Search Result to open the document in the same pane, or you can right click on a result to open it in a new window and still have your results list available.



The screenshot shows a document viewer for the title "Summary Arbitration Proceedings: A Comparison Between the English and Dutch Regimes". The interface includes a "Document Contents" sidebar on the left, a main text area with an "Abstract" section, and a "Document Information" sidebar on the right. Annotations with callout boxes provide the following information:

- Document Contents:** A callout box states, "Enables you to quickly access sections of this document." The sidebar lists sections: Introduction, Procedures Available in English Litigation, Historic Position Regarding Summary Proceedings in Arbitration, Procedures Available in Dutch Litigation, Procedures Available in Arbitration, Arbitral Summary Proceedings, Recognition and Enforcement under the New York Convention, and Conclusion.
- Document Information:** A callout box states, "Option to print or email." The sidebar lists Author (Ned Beale, Lisa Bench Nieuweld, Matthijs Nieuweld), Jurisdiction (United Kingdom, Netherlands), and Source (Beale, Lisa Bench Nieuweld, et al., Summary Arbitration Proceedings: A Comparison Between the English and Dutch Regimes, Arbitration International, (Kluwer Law International 2010 Volume 26 Issue 1) pp. 139- 160).
- Navigation:** A callout box states, "These selections appear on Commentary and Legislation to navigate through the Source." It points to "Previous document", "Table of Contents", and "Next document" buttons.
- Page Indication:** A callout box states, "Indicates start of a new page. (Available for ICCA documents, but not IntADR documents.)" It points to a page number "243" in the text.
- Keyword Navigation:** A callout box states, "Click the key word to go to the next highlighted keyword." It points to the word "terminate" in the text.
- Footnote:** A callout box states, "David W. Rivkin, 'Towards a New Paradigm in International Arbitration: the Town Elder Model Revisited' in (2008) 24(3) Arb. Int'l 380." It points to a footnote reference "(79)" in the text.

- Footnotes** will pop up when you place your cursor on it. Click footnote to take you to the bottom of the document at that referenced footnote. Clicking the footnote number at the bottom, or using the back button on your browser, will return you to the location in the document where the footnote appeared.
- Document Information** indicates Author, Jurisdiction, Court, Case date, Parties, Key words, Applicable Legislation, Publication Date, NY Convention Article/Topic codes, and the Source of this information.

Tip: To locate initial search term, use Browser Edit > Find (Ctrl/F in MS IE)

Saving your Research

-  Use the **Printer icon** to open a text-only version of the document. This will include the Document Information, page numbers and footnote links, but no graphics and no table of contents menu. *Note: If a PDF printer is available on your network, you can use File>Print from browser command to save to a PDF file.*
- To print only a portion of a web page, highlight desired content, use Browser commands File>Print, choose Selection, and click Print.
- Once you have opened the print preview, you could click **File>Save as** to download the document or copy/paste desired selection(s).
-  Use the email icon to open a page for entering the email address and your message. The email function will send only the title and a “Read More” link which directs the recipient to KluwerArbitration.com.



- Print/Email selected documents from a list:**



Page 1 of 2 1 2 Next

Select all Email Selection
 Email Selection
 Print Selection

UNCITRAL Arbitration Rules (as revised in 2010), Public source materials, Legislation date: 2010
 ...terms of the **contract**, if any, **and** shall take...grounds for **termination** 1. If, before...5. After a **termination** order or
 final...deposits received **and** return any...relating to this **contract**, or the breach, **termination** or invalidity...

CCIR Arbitration Rules 2009 English, Public source materials, Legislation date: 1 September 2009
 ...Where only **termination** or confirmation of **termination** of a **contract** is claimed...arbitral fee **and**, if the
 value...connection with this **Contract**, or regarding...of Commerce **and**
 Industry of...

Check the desired document(s) and click either Email or Print.

Practice Tools

Practice Tools includes the *IAI Arbitrator Tool*, a search-for-an-arbitrator tool developed with the International Arbitration Institute (IAI) and 5 easy-to-use question and answer *Smart Charts*, edited by Clifford Chance LLP.



IAI Arbitrator Tool

The *IAI Arbitrator Tool* allows users to search for and compare profiles of arbitration specialists from the International Arbitration Institute directory. Searches can be run based on name or a number of criteria: country of residence; nationality; languages spoken; experience - both as arbitrator or as counsel. Plus, where available, links will be included to the text of publications and awards on *KluwerArbitration*.



Smart Charts

These charts allow users to quickly examine and compare specific content by particular jurisdiction or arbitral institution. The charts include:

- ### Kluwer Arbitral Institutions

An at-a-glance comparative summary of the procedural rules of the major international Arbitral Institutions. This tool provides key questions and focused answers, offering practical guidance on specific aspects of an arbitral institution, on an institution-by-institution basis.

Introduction > Written Communications and Timing >
What is the address of the Arbitral Institution?

Organization	Answer	Up to date as of	Contributor
International Chamber of Commerce (ICC)	The Secretariat is located at the headquarters of the ICC: Secretariat of the ICC International Court of Arbitration (Headquarters) 38 Cours Albert 1er 75008 Paris, France. Branches of the Secretariat may be: Secretariat of the ICC International Suite 2 12/F, Fairmont House 8 Cotton Tree Drive Central Hong Kong	January 2012	Peter Rosher , Sandrine Colletier & Céline Lachmann , Clifford Chance LLP

Question, Answer, As of Date

- ### Kluwer Drafting Arbitration Agreements

This chart provides key questions and focused answers, offering practical guidance on specific aspects of drafting agreements, on a jurisdiction-by-jurisdiction basis. It assists with the drafting of valid and binding arbitration agreements and helps ensure that awards rendered in those jurisdictions are not subject to challenge or have difficulty being enforced.

Format and Content of an Arbitration Agreement >
What matters are commonly dealt with in an arbitration agreement governed by the laws of this country?

Jurisdiction	Answer	Up to date as of	Contributor
France	Although the legal requirement that the parties should designate the arbitrator(s) or the mechanism for their designation is applicable to domestic arbitration only (French Civil Procedure Code, Art. 1444), parties in international arbitration usually provide for the constitution of the arbitral tribunal and agree upon the place of arbitration, rules of procedure, the language of the arbitration, and sometimes interim relief, joinder or consolidation.	June 2012	Peter Rosher , Sandrine Colletier & Céline Lachmann , Clifford Chance LLP

Format and Content of an Arbitration Agreement >
What is a specimen clause of such an agreement?

Jurisdiction	Answer	Up to date as of	Contributor
France	The following is a typical example of an arbitration clause: 'All disputes arising out of or in connection with the present contract shall be finally settled under the rules of arbitration of	June 2012	Peter Rosher , Sandrine Colletier &

- ### Kluwer Sovereign Immunity

A comparative summary of the circumstances in which, and legal basis on which, immunity from enforcement may be claimed by States and State entities across key jurisdictions. It assists with dispute management and planning with enforcement once a favorable Award has been rendered.

Are foreign sovereign states and connected persons entitled to assert immunity from jurisdiction in civil court proceedings?

Jurisdiction	Answer	Up to date as of	Contributor
Hong Kong	Yes, based on the customary international law principle of restrictive immunity that has been incorporated into the common law. UPDATE: Following the recent case of <i>FG Hemisphere Associates LLC v Democratic Republic of Congo and Ors</i> before the Hong Kong Court of Final Appeal ('HKCFCA') (FACV Nos 5, 6 & 7 of 2010), the HKCFCA indicated in its preliminary decision that absolute immunity will now apply in Hong Kong, bringing it in line with Mainland China. This reverses the previous common law position, in which Hong Kong applied the customary international law principle of restrictive immunity. The preliminary decision is subject to confirmation of the HKCFCA's reference to the standing committee of the China National People's Congress (the 'Standing Committee') for confirmation on the interpretation of the Basic Law. The Smartchart will be updated once the Standing Committee has ruled on the reference.	June 2012	Cameron Hassall & Chris Yates , Clifford Chance LLP

- Kluwer Appointment and Challenge of Arbitrators**

Appointment and Challenge of Arbitrators Questions and Answers provide key questions and focused answers, offering practical guidance on specific aspects of appointment and challenge on a jurisdiction-by-jurisdiction basis.

Revocation / Challenge / Resignation / Replacement > Revocation of Authority >
Can an arbitrator's authority be revoked, and in what circumstances?

Jurisdiction	Answer	Up to date as of	Contributor
Russian Federation	Yes. According to Article 12(2) of the Law on ICA, an arbitrator may be challenged only if circumstances exist that give rise to justifiable doubts as to his impartiality or independence, or if he does not possess qualifications agreed to by the parties. A party may challenge an arbitrator appointed by him, or in whose appointment he has participated, only for reasons of which he becomes aware after the appointment has been made. According to § 19 of the ICAC Rules, where an arbitrator is legally or actually incapable of fulfilling his duties, or does not, for any other reasons, fulfill such duties without unjustified delay, his powers may be terminated in response to his application for voluntary withdrawal or by agreement between the parties. In all other cases, where differences remain over any of the reasons referred to above, each party may request the ICAC Presidium to make a decision on the termination of the powers of an arbitrator. The ICAC Presidium may, in its discretion, make the decision to terminate the powers of an arbitrator for the reasons referred to above.	June 2012	Timur Aitkulov & Dmitry Malukevich, Clifford Chance CIS Limited

Revocation / Challenge / Resignation / Replacement > Challenge >
Can a party challenge / remove an arbitrator through the courts and, if so, in what circumstances?

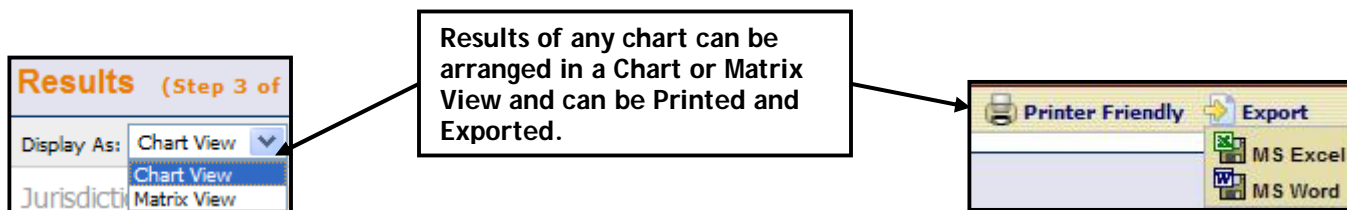
Jurisdiction	Answer	Up to date as of	Contributor
Russian Federation	No.	June 2012	Timur Aitkulov & Dmitry Malukevich, Clifford Chance CIS Limited

- Kluwer Privacy and Confidentiality of Arbitration**

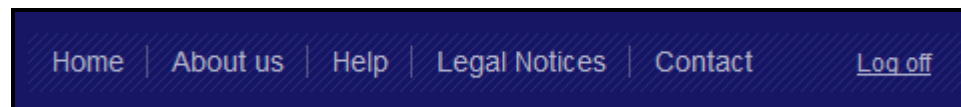
Privacy and Confidentiality in Arbitration Questions and Answers provide key questions and focused answers, offering practical guidance on specific aspects of privacy and confidentiality on a jurisdiction-by-jurisdiction basis.



Are the rules relating to privacy / confidentiality found in the applicable arbitration legislation?

Jurisdiction	Answer	Up to date as of	Contributor
England & Wales	No. The Arbitration Act 1996 does not contain any rules relating to privacy/confidentiality of arbitration proceedings. (The rules relating to court applications are found in the CPR.) The reason for this is that the Departmental Advisory Committee which drafted the Act concluded that 'grave difficulties arose over the myriad exceptions' to privacy and confidentiality, making it too difficult to formulate a statutory rule.	June 2012	Audley Sheppard & James Dingley, Clifford Chance LLP
France	Yes, but only in respect of domestic arbitration (article 1464 of the French civil procedure code). The French civil procedure code does not provide for any confidentiality rule in respect of international arbitration proceedings. There has been no case law holding that international arbitration was confidential by default since the entry into force of the 2011 decree on arbitration on 1 May 2011.	June 2012	Sandrine Colletier & Céline Lachmann, Clifford Chance LLP
Germany	No, the applicable arbitration legislation, sections 1025-1066 ZPO, do not contain any explicit rules on privacy or confidentiality.	June 2012	Tim Schreiber & Arne Kuder, Clifford Chance LLP



General Information & Support



- **Home** brings you back to the home page from any location in the Kluwer Arbitration site. It can also be accessed from the tab at the top of the page. 
- **About us** provides information about:
 - Kluwer Arbitration - product and its contents
 - Other online products - you will be redirected to the "other products" page of the KluwerLaw.com site.
- **Help** provides access to specific functions including explanations of search fields. It can also be accessed from the tab at the top. 
- **Legal Notices:** Under this section you will find Copyright notice, Disclaimer, General terms of use, Privacy policy, and Terms and conditions for subscribers.
- **Contact:** Select Feedback form to send an email to the product support staff.

Contact

To send an email,
select Feedback form.



- ~~**Telephone Support :**~~
 - ~~Wolters Kluwer Technical Support North America: 1 877 471 5632 available Monday through Friday 3:00am - 10:00pm CST.~~
 - ~~Wolters Kluwer Technical Support Europe and rest of the world: +1 773 866 6335 (toll free) available Monday through Friday, 9:00am to 3:00am GMT~~

Appendix - Search Tips

BOOLEAN OPERATORS	
OPERATOR	EXAMPLE
AND Search for documents containing each term at least once.	computer AND software Will find hits in which both 'computer' and 'software' occur either or not combined.
OR Search for documents containing at least one of the terms.	software OR programs Will find hits in which either one of the terms 'software' or 'programs' or both terms occur at least once.
AND NOT Search for documents containing the first term but not the second. Please note that the system will automatically change NOT into AND NOT.	Software AND NOT programs Will find hits in which only the term 'software' occurs and not the term 'programs.' Computer AND software AND NOT programs. Will find hits in which both the terms 'computer' and 'software' occur, separately or combined, but not the term 'programs.'
NEAR	First word within 4 words of second word, e.g., <i>contract near terminat*</i>
SYMBOLS	
SYMBOL	EXAMPLE
" " To define a phrase rather than a simple collection of terms.	"computer software" Will find occurrences of the phrase 'computer software', i.e. the combination of 'computer' with software, but not each of the two words separately and on their own. Other examples: "article 3(1)(b)" "demand and supply"
* Wildcard to replace one or more characters at the end of a word. Use for plurals.	Engine* Will find occurrences of 'engines', 'engineering', etc. Ira* Will find occurrences of 'Iran' and/or Iraq.
BANNED SYMBOLS	
Some symbols cannot be used in any of the search fields - they will cause the search to return an error message. These symbols mostly comprise of non-English characters like: 硯, ©, 餘, й, д, س, ر, د, ف, ن, ل	
Also banned: Forward slash / Comma , Semi colon ; Colon : Round brackets/parentheses () Square brackets [].	
However, you can use some of the banned symbols if you enclose the phrase in quotes. Example: looking for cases dealing with section V(2)(b) of the NY Convention - in free text field put the "V(2)(b)" in quotes.	
ACCENTS/DIACRITICS	
Include accents/diacritic in your search term(s), e.g., with Numlock on, Alt+130 give é. To find these codes, use the "?" next to the Free Text field, click "accents or diacritic marks", then click "Alt Code." Example of correct entry for author: <i>Kroll</i> may retrieve no results but <i>Kröll</i> will retrieve 178.	