# **GROUPS OF ORDER** $p^3$

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# 1. INTRODUCTION

For each prime p, we will describe all groups of order  $p^3$  up to isomorphism. This was done for p = 2 by Cayley [3, 4] in 1859 and 1889 and Kempe [8, pp. 38–39, 45] in 1886, and for odd p by Cole and Glover [5, pp. 196–201], Hölder [7, pp. 371–373] and Young [13, pp. 133–139] independently in 1893. The groups were described by them using generators and relations, which sometimes leads to unconvincing arguments that the groups constructed to be of order  $p^3$  really have that order.<sup>1</sup>

From the cyclic decomposition of finite abelian groups, there are three abelian groups of order  $p^3$  up to isomorphism:  $\mathbf{Z}/(p^3)$ ,  $\mathbf{Z}/(p^2) \times \mathbf{Z}/(p)$ , and  $\mathbf{Z}/(p) \times \mathbf{Z}/(p) \times \mathbf{Z}/(p)$ .<sup>2</sup> These are nonisomorphic since they have different maximal orders for their elements:  $p^3$ ,  $p^2$ , and p respectively. We will show there are two nonabelian groups of order  $p^3$  up to isomorphism. That number is the same for all p, but the actual description of the two nonabelian groups of order  $p^3$  will be different for p = 2 and  $p \neq 2$ , so we will treat these cases separately.

## 2. Groups of order 8

**Theorem 2.1.** A nonabelian group of order 8 is isomorphic to  $D_4$  or to  $Q_8$ .

The groups  $D_4$  and  $Q_8$  are not isomorphic since there are 5 elements of order 2 in  $D_4$  and only one element of order 2 in  $Q_8$ .

*Proof.* Let G be nonabelian of order 8. The nonidentity elements in G have order 2 or 4. If  $g^2 = 1$  for all  $g \in G$  then G is abelian, so some  $x \in G$  must have order 4.

Let  $y \in G - \langle x \rangle$ . The subgroup  $\langle x, y \rangle$  properly contains  $\langle x \rangle$ , so  $\langle x, y \rangle = G$ . Since G is nonabelian, x and y do not commute.

Since  $\langle x \rangle$  has index 2 in G, it is a normal subgroup. Therefore  $yxy^{-1} \in \langle x \rangle$ :

$$yxy^{-1} \in \{1, x, x^2, x^3\}.$$

Since  $yxy^{-1}$  has order 4,  $yxy^{-1} = x$  or  $yxy^{-1} = x^3 = x^{-1}$ . The first option is not possible, since it says x and y commute, but they don't. Therefore

$$yxy^{-1} = x^{-1}.$$

The group  $G/\langle x \rangle$  has order 2, so  $y^2 \in \langle x \rangle$ :

$$y^2 \in \{1, x, x^2, x^3\}$$

Since y has order 2 or 4,  $y^2$  has order 1 or 2. Thus  $y^2 = 1$  or  $y^2 = x^2$ .

<sup>&</sup>lt;sup>1</sup>The page https://math.stackexchange.com/questions/1023341 gives a nonobvious description of the trivial group by generators and relations.

<sup>&</sup>lt;sup>2</sup>See https://kconrad.math.uconn.edu/blurbs/grouptheory/finite-abelian.pdf.

#### KEITH CONRAD

Putting this together,  $G = \langle x, y \rangle$  where either

(2.1) 
$$x^4 = 1, y^2 = 1, yxy^{-1} = x^{-1}$$

or

(2.2) 
$$x^4 = 1, y^2 = x^2, yxy^{-1} = x^{-1}.$$

The relations in (2.1) resemble  $D_4$ , using  $x \leftrightarrow r$  and  $y \leftrightarrow s$ , while the relations in (2.2) resemble  $Q_8$  using  $x \leftrightarrow i$  and  $y \leftrightarrow j$ . We will construct isomorphisms  $D_4 \to G$  in the first case and  $Q_8 \to G$  in the second case.<sup>3</sup>

First suppose (2.1) is true. Each element of  $D_4$  has the form  $r^m s^n$  for unique  $m \in \mathbb{Z}/(4)$ and  $n \in \mathbb{Z}/(2)$ . Set  $f: D_4 \to G$  by  $f(r^m s^n) = x^m y^n$ .

<u>f is well-defined</u>. The product  $r^m s^n$  determines  $m \mod 4$  and  $n \mod 2$ , which makes  $x^m y^n$  sensible since  $x^4 = 1$  and  $y^2 = 1$ . Note f(r) = x and f(s) = y, which was suggested by (2.1) originally. It remains to show f is a homomorphism and a bijection.

<u>f</u> is a homomorphism. For general elements  $g = r^m s^n$  and  $g' = r^m' s^{n'}$  in  $D_4$ , we want to show f(gg') = f(g)f(g'). On the left side,  $gg' = r^m s^n r^{m'} s^{n'}$ . To rewrite this as a power of r times a power of s, from  $srs^{-1} = r^{-1}$  we have  $s^n rs^{-n} = r^{(-1)^n}$  for  $n \in \mathbb{Z}/(2)$ , so (raise both sides to the m'-power)  $s^n r^{m'} s^{-n} = r^{(-1)^n m'}$ . Thus

(2.3) 
$$gg' = r^m s^n r^{m'} s^{n'} = r^m r^{(-1)^n m'} s^n s^{n'} = r^{m+(-1)^n m'} s^{n+n'},$$

so  $f(gg') = x^{m+(-1)^n m'} y^{n+n'}$ . Also

(2.4) 
$$f(g)f(g') = f(r^m s^n)f(r^{m'} s^{n'}) = x^m y^n x^{m'} x^{n'}.$$

The rewriting of  $r^m s^n r^{m'} s^{n'}$  in (2.3) was based only on the relations  $srs^{-1} = r^{-1}$  and  $s^2 = 1$ , so from the similar relations  $yxy^{-1} = x^{-1}$  and  $y^2 = 1$  in (2.1), the right side of (2.4) is  $x^{m+(-1)^n m'} y^{n+n'}$ , which is f(gg'). So f is a homomorphism.

<u>f is a bijection</u>. Since f is a homomorphism to G and its image includes x = f(r) and y = f(s), the image of f contains  $\langle x, y \rangle$ , which is all of G. Thus f is onto. Since  $|D_4| = |G|$ , a surjection  $D_4 \to G$  is a bijection, so f is a bijection.

Now suppose (2.2) is true. We want to build an isomorphism  $Q_8 \to G$  mapping i to xand j to y. Every element of  $Q_8$  looks like  $i^m j^n$  where  $m, n \in \mathbb{Z}/(4)$ . Set  $f: Q_8 \to G$  by  $f(i^m j^n) = x^m y^n$ .

<u>f</u> is well-defined. A representation of an element of  $Q_8$  as  $i^m j^n$  is not unique: if  $i^m j^n = i^{m'} j^{n'}$  then  $i^{m-m'} = j^{n'-n}$ , so m-m' = 2a and n'-n = 2b where  $a \equiv b \mod 2$  (why?). Then  $x^{m-m'} = (x^2)^a = (y^2)^a = (y^2)^b = y^{n'-n}$  by the first two relations in (2.2), so  $x^m y^n = x^{m'} y^{n'}$ . f is a homomorphism. Since  $jij^{-1} = i^{-1}$  and  $j^2$  commutes with i, check  $j^n i j^{-n} = i^{(-1)^n}$ 

for all  $n \in \mathbb{Z}/(4)$ . This and the first two relations in (2.2) imply  $f: Q_8 \to G$  is a homomorphism for reasons similar to the previous mapping  $D_4 \to G$  being a homomorphism.

<u>*f*</u> is a bijection. This follows for the same reasons as before, since the image of *f* includes f(i) = x and f(j) = y and  $\langle x, y \rangle = G$ .

<sup>&</sup>lt;sup>3</sup>We map from  $D_4$  or  $Q_8$  to G rather than in the other direction because  $D_4$  and  $Q_8$  are known groups, so it is better to start there.

## 3. The case of odd p

From now,  $p \neq 2$ . We'll show the two nonabelian groups of order  $p^3$ , up to isomorphism, are

$$\text{Heis}(\mathbf{Z}/(p)) = \left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbf{Z}/(p) \right\}$$

and

$$G_p = \left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} : a, b \in \mathbf{Z}/(p^2), a \equiv 1 \mod p \right\} = \left\{ \begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix} : m, b \in \mathbf{Z}/(p^2) \right\},$$

where m actually only matters modulo p.<sup>4</sup> These two constructions both make sense at the prime 2, but in that case the two groups are isomorphic to each other, as we'll see below.

We can distinguish between  $\text{Heis}(\mathbf{Z}/(p))$  and  $G_p$  for  $p \neq 2$  by counting elements of order p. In  $\operatorname{Heis}(\mathbf{Z}/(p))$ ,

(3.1) 
$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}^n = \begin{pmatrix} 1 & na & nb + \frac{n(n-1)}{2}ac \\ 0 & 1 & nc \\ 0 & 0 & 1 \end{pmatrix}$$

for  $n \in \mathbf{Z}$ , so

$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}^p = \begin{pmatrix} 1 & 0 & \frac{p(p-1)}{2}ac \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

When  $p \neq 2$ ,  $\frac{p(p-1)}{2} \equiv 0 \mod p$ , so all nonidentity elements of  $\text{Heis}(\mathbf{Z}/(p))$  have order p. On the other hand,  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  in  $G_p$  has order  $p^2$  since  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^n = \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$ . So  $\text{Heis}(\mathbf{Z}/(p)) \ncong G_p$ . At the prime 2,  $\text{Heis}(\mathbf{Z}/(2))$  and  $G_2$  each contain more than one element of order 2, so

 $\text{Heis}(\mathbf{Z}/(2))$  and  $G_2$  are both isomorphic to  $D_4$  (Theorem 2.1).

Let's look at how matrices combine and decompose in  $\text{Heis}(\mathbf{Z}/(p))$  and  $G_p$  when  $p \neq 2$ , since this will inform some of our computations later when we classify the nonabelian group of order  $p^3$ . In Heis( $\mathbf{Z}/(p)$ ),

(3.2) 
$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a' & b' \\ 0 & 1 & c' \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & a+a' & b+b'+ac' \\ 0 & 1 & c+c' \\ 0 & 0 & 1 \end{pmatrix}$$

and in  $G_p$ 

(3.3) 
$$\begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1+pm' & b' \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1+p(m+m') & b+b'+pmb' \\ 0 & 1 \end{pmatrix}.$$

In  $\operatorname{Heis}(\mathbf{Z}/(p))$ ,

$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{c} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{a} \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{b}$$
by (3.1)

<sup>&</sup>lt;sup>4</sup>The notation  $G_p$  for this group is not standard. I don't know a standard "matrix group" notation for it.

and a particular commutator is

$$\begin{bmatrix} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

So if we set

$$x = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \text{ and } y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

then

(3.4) 
$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} = y^c x^a [x, y]^b.$$

In  $G_p \subset \operatorname{Aff}(\mathbf{Z}/(p^2)),$ 

$$\begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1+pm & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^b \begin{pmatrix} 1+p & 0 \\ 0 & 1 \end{pmatrix}^m$$

If we set

$$x = \begin{pmatrix} 1+p & 0\\ 0 & 1 \end{pmatrix}$$
 and  $y = \begin{pmatrix} 1 & 1\\ 0 & 1 \end{pmatrix}$ 

then

$$\begin{pmatrix} 1+pm & b\\ 0 & 1 \end{pmatrix} = y^b x^m$$

and

$$[x,y] = \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix} = y^p.$$

**Lemma 3.1.** In a group G, if g and h commute with [g,h] then  $[g^m,h^n] = [g,h]^{mn}$  for all m and n in **Z**, and  $g^nh^n = (gh)^n[g,h]^{\binom{n}{2}}$ .

*Proof.* Exercise.

**Lemma 3.2.** Let p be prime and G be a nonabelian group of order  $p^3$  with center Z. Then  $|Z| = p, G/Z \cong (\mathbb{Z}/(p)) \times (\mathbb{Z}/(p)), and [G,G] = Z.$ 

*Proof.* Since G is a nontrivial group of p-power order, its center is nontrivial. Therefore  $|Z| = p, p^2$ , or  $p^3$ . Since G is nonabelian,  $|Z| \neq p^3$ . For a group G, if G/Z is cyclic then G is abelian. So G being nonabelian forces G/Z to be noncyclic. Therefore  $|G/Z| \neq p$ , so  $|Z| \neq p^2$ . The only choice left is |Z| = p, so G/Z has order  $p^2$ .

Up to isomorphism the only groups of order  $p^2$  are  $\mathbf{Z}/(p^2)$  and  $\mathbf{Z}/(p) \times \mathbf{Z}/(p)$ . Since G/Z is noncyclic,  $G/Z \cong \mathbf{Z}/(p) \times \mathbf{Z}/(p)$ .

Since G/Z is abelian, we have  $[G,G] \subset Z$ . Because |Z| = p and [G,G] is nontrivial, necessarily [G,G] = Z.

**Theorem 3.3.** For  $p \neq 2$ , a nonabelian group of order  $p^3$  is isomorphic to  $\text{Heis}(\mathbf{Z}/(p))$  or  $G_p$ .

### GROUPS OF ORDER $p^3$

*Proof.* Let G be a nonabelian group of order  $p^3$ . Each  $q \neq 1$  in G has order p or  $p^2$ .

By Lemma 3.2, we can write  $G/Z = \langle \overline{x}, \overline{y} \rangle$  and  $Z = \langle z \rangle$ . For  $g \in G$ ,  $g \equiv x^i y^j \mod Z$  for some integers *i* and *j*, so  $g = x^i y^j z^k = z^k x^i y^j$  for some  $k \in \mathbb{Z}$ . If *x* and *y* commute then *G* is abelian (since  $z^k$  commutes with *x* and *y*), which is a contradiction. Thus *x* and *y* do not commute. Therefore  $[x, y] = xyx^{-1}y^{-1} \in Z$  is nontrivial, so  $Z = \langle [x, y] \rangle$ . Therefore we can use [x, y] for *z*, showing  $G = \langle x, y \rangle$ .

Let's see what the product of two elements of G looks like. Using Lemma 3.1,

(3.5) 
$$x^{i}y^{j} = y^{j}x^{i}[x,y]^{ij}, \quad y^{j}x^{i} = x^{i}y^{j}[x,y]^{-ij}.$$

This shows we can move every power of y past every power of x on either side, at the cost of introducing a (commuting) power of [x, y]. So every element of  $G = \langle x, y \rangle$  has the form  $y^j x^i [x, y]^k$ . (We write in this order because of (3.4).) A product of two such terms is

$$y^{c}x^{a}[x,y]^{b} \cdot y^{c'}x^{a'}[x,y]^{b'} = y^{c}(x^{a}y^{c'})x^{a'}[x,y]^{b+b'}$$
  
=  $y^{c}(y^{c'}x^{a}[x,y]^{ac'})x^{a'}[x,y]^{b+b'}$  by (3.5)  
=  $y^{c+c'}x^{a+a'}[x,y]^{b+b'+ac'}$ .

Here the exponents are all integers. Comparing this with (3.2), it appears we have a homomorphism  $\text{Heis}(\mathbf{Z}/(p)) \to G$  by

(3.6) 
$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \mapsto y^{c} x^{a} [x, y]^{b}.$$

After all, we just showed multiplication of such triples  $y^c x^a [x, y]^b$  behaves like multiplication in Heis( $\mathbf{Z}/(p)$ ). But there is a catch: the matrix entries a, b, and c in Heis( $\mathbf{Z}/(p)$ ) are integers modulo p, so the "function" (3.6) from Heis( $\mathbf{Z}/(p)$ ) to G is only well-defined if x, y, and [x, y] all have p-th power 1 (so exponents on them only matter mod p). Since [x, y] is in the center of G, a subgroup of order p, its exponents only matter modulo p. But maybe x or ycould have order  $p^2$ .

Well, if x and y both have order p, then there is no problem with (3.6). It is a well-defined function  $\text{Heis}(\mathbf{Z}/(p)) \to G$  that is a homomorphism. Since its image contains x and y, the image contains  $\langle x, y \rangle = G$ , so the function is onto. Both  $\text{Heis}(\mathbf{Z}/(p))$  and G have order  $p^3$ , so our surjective homomorphism is an isomorphism:  $G \cong \text{Heis}(\mathbf{Z}/(p))$ .

What happens if x or y has order  $p^2$ ? In this case we anticipate that  $G \cong G_p$ . In  $G_p$ , two generators are  $g = \begin{pmatrix} 1+p & 0 \\ 0 & 1 \end{pmatrix}$  and  $h = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , where g has order p, h has order  $p^2$ , and  $[g,h] = h^p$ . We want to show our abstract G also has a pair of generators like this.

Starting with  $G = \langle x, y \rangle$  where x or y has order  $p^2$ , without loss of generality let y have order  $p^2$ . It may or may not be the case that x has order p. To show we can change generators to make x have order p, we will look at the p-th power function on G. For all  $g \in G$ ,  $g^p \in Z$  since  $G/Z \cong \mathbb{Z}/(p) \times \mathbb{Z}/(p)$ . Moreover, the p-th power function on G is a homomorphism: by Lemma 3.1,  $(gh)^p = g^p h^p [g, h]^{p(p-1)/2}$  and  $[g, h]^p = 1$  since [G, G] = Zhas order p, so

$$(gh)^p = g^p h^p.$$

Since  $y^p$  has order p and  $y^p \in Z$ ,  $Z = \langle y^p \rangle$ . Therefore  $x^p = (y^p)^r$  for some  $r \in \mathbb{Z}$ , and since the p-th power function on G is a homomorphism we get  $(xy^{-r})^p = 1$ , with  $xy^{-r} \neq 1$  since  $x \notin \langle y \rangle$ . So  $xy^{-r}$  has order p and  $G = \langle x, y \rangle = \langle xy^{-r}, y \rangle$ . We now rename  $xy^{-r}$  as x, so  $G = \langle x, y \rangle$  where x has order p and y has order  $p^2$ .

### KEITH CONRAD

We are not guaranteed that  $[x, y] = y^p$ , which is one of the relations for the two generators of  $G_p$ . How can we force this relation to occur? Well, since [x, y] is a nontrivial element of  $[G, G] = Z, Z = \langle [x, y] \rangle = \langle y^p \rangle$ , so

(3.7) 
$$[x,y] = (y^p)^k,$$

where  $k \not\equiv 0 \mod p$ . Let  $\ell$  be a multiplicative inverse for  $k \mod p$  and raise both sides of (3.7) to the  $\ell$ th power: using Lemma 3.1,

$$[x,y]^{\ell} = (y^{pk})^{\ell} \Longrightarrow [x^{\ell},y] = y^{p}.$$

Since  $\ell \neq 0 \mod p$ ,  $\langle x \rangle = \langle x^{\ell} \rangle$ , so we can rename  $x^{\ell}$  as x: now  $G = \langle x, y \rangle$  where x has order p, y has order  $p^2$ , and  $[x, y] = y^p$ .

Because [x, y] commutes with x and y and  $G = \langle x, y \rangle$ , every element of G has the form  $y^j x^i [x, y]^k = [x, y]^k y^j x^i = y^{pk+j} x^i$ . Let's see how such products multiply:

$$y^{b}x^{m} \cdot y^{b'}x^{m'} = y^{b}(x^{m}y^{b'})x^{m'}$$
  
=  $y^{b}(y^{b'}x^{m}[x, y]^{mb'})x^{m}$   
=  $y^{b+b'}x^{m}(y^{p})^{mb'}x^{m'}$   
=  $y^{b+b'+pmb'}x^{m+m'}$ .

Comparing this with (3.3), we have a homomorphism  $G_p \to G$  by

$$\left(\begin{array}{cc} 1+pm & b\\ 0 & 1 \end{array}\right) \mapsto y^b x^m.$$

(This function is well-defined since on the left side m matters mod p and b matters mod  $p^2$  while  $x^p = 1$  and  $y^{p^2} = 1$ .) This homomorphism is onto since x and y are in the image, so it is an isomorphism since  $G_p$  and G have equal order:  $G \cong G_p$ .

# 4. Nonisomorphic groups with the same subgroup lattice

When p = 2, the five groups of order 8 have different subgroup lattices. This is almost entirely explained by counting subgroups of order 2 (equivalently, counting elements of order 2): 1 for  $\mathbf{Z}/(8)$ , 3 for  $\mathbf{Z}/(2) \times \mathbf{Z}/(4)$ , 7 for  $(\mathbf{Z}/(2))^3$ , 5 for  $D_4$ , and 1 for  $Q_8$ . While the count is the same for  $\mathbf{Z}/(8)$  and  $Q_8$ , these groups have different numbers of subgroups of order 4: 1 for  $\mathbf{Z}/(8)$  and 3 for  $Q_8$ .

For  $p \neq 2$ , we'll show the subgroup lattices of  $G_p$  and  $\mathbf{Z}/(p) \times \mathbf{Z}/(p^2)$  are the same.

**Theorem 4.1.** For odd prime p, both  $G_p$  and  $\mathbf{Z}/(p) \times \mathbf{Z}/(p^2)$  have the same subgroup lattice:

- p+1 subgroups of order p and p+1 subgroups of order  $p^2$ ,
- a unique subgroup  $H_0$  of order  $p^2$  that contains all subgroups of order p,
- a unique subgroup  $K_0$  of order p that is contained in all subgroups of order  $p^2$ ,
- each subgroup of order  $p^2$  besides  $H_0$  contains  $K_0$  as its only subgroup of order p,
- each subgroup of order p besides  $K_0$  has  $H_0$  as the only subgroup of order  $p^2$  containing it.

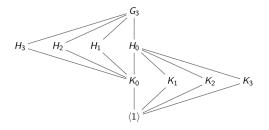


FIGURE 1. Subgroup lattice for  $G_3$ .

Figure 1 is the subgroup lattice for  $G_3$ . It reflects all 5 properties of Theorem 4.1.

Theorem 4.1 is false for p = 2:  $G_2 \cong D_4$  has 5 subgroups of order 2 and 3 subgroups of order 4 while  $\mathbf{Z}/(2) \times \mathbf{Z}/(4)$  has 3 subgroups of order 2 and 3 subgroups of order 4. All nonisomorphic groups of order 8 have different subgroup lattices.

*Proof.* <u>Case 1</u>: subgroups of  $\mathbf{Z}/(p) \times \mathbf{Z}/(p^2)$ . Elements of order 1 or p are (a, b) where  $b \in p\mathbf{Z}/(p^2)$ , so there are  $p^2 - 1$  elements of order p. Different subgroups of order p intersect trivially, so the number of subgroups of order p is  $(p^2 - 1)/(p - 1) = p + 1$ .

The elements of order 1 or p fill up the subgroup  $H_0 := \{(a, b) : b \in p\mathbb{Z}/(p^2)\}$ , which has order  $p^2$  and is not cyclic. Since  $H_0$  contains all the subgroups of order p, other subgroups of order  $p^2$  must have an element of order  $p^2$  and are therefore cyclic. Elements of order  $p^2$ are (a, b) where  $b \in (\mathbb{Z}/(p^2))^{\times}$ , and the subgroup  $\langle (a, b) \rangle$  has a generator of the form (c, 1). As c varies in  $\mathbb{Z}/(p)$ , the p subgroups  $\langle (c,1) \rangle$  have order  $p^2$  and are distinct, so the number of subgroups of order  $p^2$  is p+1.

In each cyclic subgroup  $\langle (c,1) \rangle$  of order  $p^2$ , the subgroup of order p is  $K_0 = \langle p(c,1) \rangle =$  $\langle (p,0) \rangle$ , which is independent of c. So  $K_0$  is the only subgroup of order p in subgroups of order  $p^2$  besides  $H_0$ .

<u>Case 2</u>: subgroups of  $G_p$ . Check by induction that for integers  $n \ge 0$ ,

$$\begin{pmatrix} 1+pm & b\\ 0 & 1 \end{pmatrix}^n = \begin{pmatrix} 1+npm & (n+\frac{n(n-1)}{2}pm)b\\ 0 & 1 \end{pmatrix}$$

Since p is odd, p(p-1)/2 is divisible by p, so

$$\begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix}^p = \begin{pmatrix} 1 & pb \\ 0 & 1 \end{pmatrix}.$$

Therefore  $\begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix}^p$  is trivial if and only if  $b \in p\mathbf{Z}/(p^2)$ . Writing  $b \equiv p\ell \mod p^2$ ,

$$\begin{pmatrix} 1+pm & b\\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1+pm & p\ell\\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & p\ell\\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1+pm & 0\\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & p\\ 0 & 1 \end{pmatrix}^{\ell} \begin{pmatrix} 1+p & 0\\ 0 & 1 \end{pmatrix}^{m}$$

for  $\ell, m \in \mathbb{Z}/(p)$ . So there are  $p^2 - 1$  elements of order p. Check  $\begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1+p & 0 \\ 0 & 1 \end{pmatrix}$  commute, so the elements of  $G_p$  with order p are the nontrivial elements of the subgroup  $H_0 := \langle \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix} \rangle$ , which has order  $p^2$  and is not cyclic. A subgroup of  $G_p$  with order  $p^2$  besides  $H_0$  must have an element of order  $p^2$ , so subgroups of order  $p^2$  besides  $H_0$  are cyclic. Elements of  $G_p$  with order  $p^2$  are  $\begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix}$  where  $b \in (\mathbf{Z}/(p^2))^{\times}$  and  $\langle \begin{pmatrix} 1+pm & b \\ 0 & 1 \end{pmatrix} \rangle$  has a generator of the form  $\begin{pmatrix} 1+pc & 1 \\ 0 & 1 \end{pmatrix}$  for  $c \in \mathbf{Z}/(p)$ . These subgroups for different c are distinct, so the number of subgroups of order  $p^2$  is p+1. In  $\langle \begin{pmatrix} 1+pc & 1 \\ 0 & 1 \end{pmatrix} \rangle$ , the subgroup of order p is  $K_0 = \langle \begin{pmatrix} 1+pc & 1 \\ 0 & 1 \end{pmatrix} \rangle = \langle \begin{pmatrix} 1 & p \\ 0 & 1 \end{pmatrix} \rangle$ , which is independent of

#### KEITH CONRAD

c. Therefore  $K_0$  is the only subgroup of  $G_p$  with order p that is contained in subgroups of order  $p^2$  other than  $H_0$ .

# 5. Counting *p*-groups beyond order $p^3$

Let's summarize what is known about the count of groups of small *p*-power order.

- There is one group of order p up to isomorphism.
- There are two groups of order  $p^2$  up to isomorphism:  $\mathbf{Z}/(p^2)$  and  $\mathbf{Z}/(p) \times \mathbf{Z}/(p)$ .
- There are five groups of order  $p^3$  up to isomorphism, but our explicit description of them is not uniform in p since the case p = 2 used a separate treatment.

For groups of order  $p^4$ , the count is no longer uniform in p: there are 14 groups of order  $2^4$ and 15 groups of order  $p^4$  for  $p \neq 2$ . This is due to Hölder [7] and Young [13]. A recent account of this result by Adler, Garlow, and Wheland is on the arXiv [1]. For groups of order  $p^5$ , the count depends on  $p \mod 12$  as shown in the table below. This is due to Miller [9] for p = 2 and Bagnera [2] for p > 2. Tables listing groups of order 32 and 243 are available at Tim Dokchitser's site [6]. The first count of groups of order  $p^6$  is due to Potron [12], with a modern count being made by Newman, O'Brien, and Vaughan-Lee [10]. A count of groups of order  $p^7$  is due to O'Brien and Vaughan-Lee [11].

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