

# Libera's split-shortwave irradiance inversion: concept and initial analysis



**Jake Gristey**



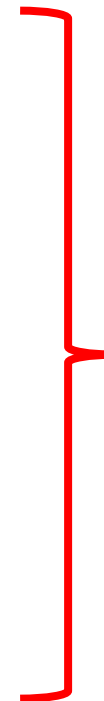
Cooperative Institute for Research in Environmental Sciences  
NOAA Chemical Sciences Laboratory

***Thanks to: Sebastian Schmidt, Maria Hakuba, Bruce Kindel, Dan Feldman, Xianglei Huang + extended Libera science team***

- Background
  - Shortwave Angular Distribution Model (ADM) basics
  - The challenge of split-shortwave ADMs for Libera
- Concept
  - Proposed approach
  - Utilizing the Libera camera
- Initial analysis
  - Wavelength-to-split-shortwave relationships
  - Scene property dependence
- Machine learning for imager-independent split-shortwave fluxes

# Outline

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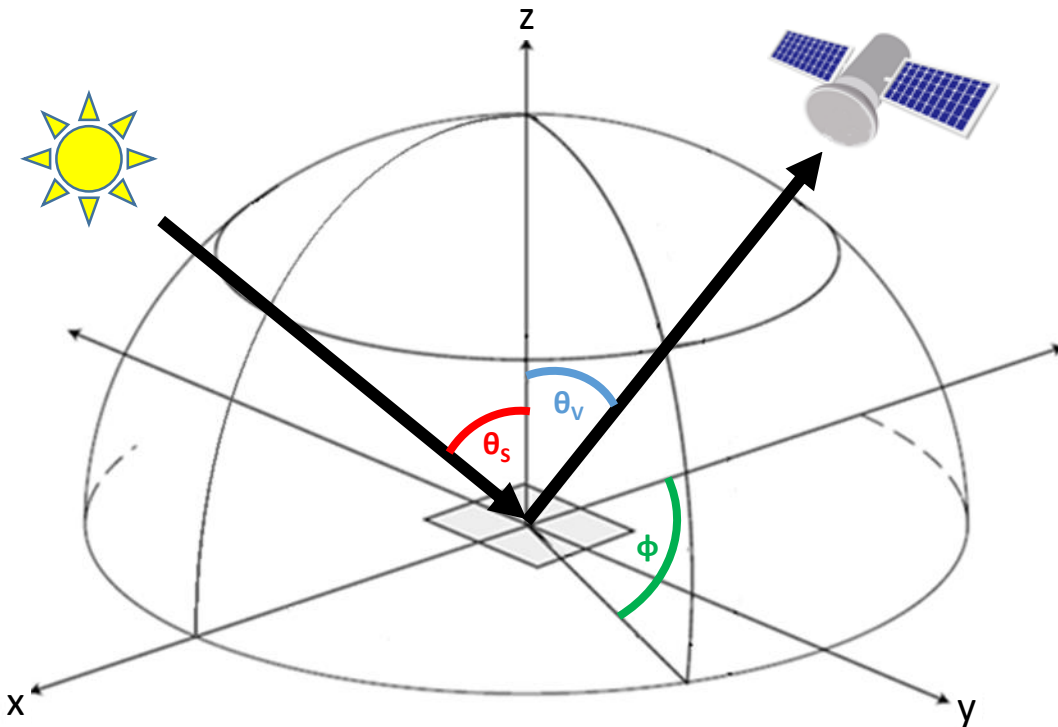
Work-in-progress

# Shortwave radiance-to-flux conversion: the basics

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## Solar-viewing geometry

- Solar zenith angle ( $\theta_s$ )
- Viewing zenith angle ( $\theta_v$ )
- Relative azimuth angle ( $\phi$ )



Radiance,  
 $I(\theta_s, \theta_v, \phi)$

Scene type

Angular Distribution  
Model (ADM)

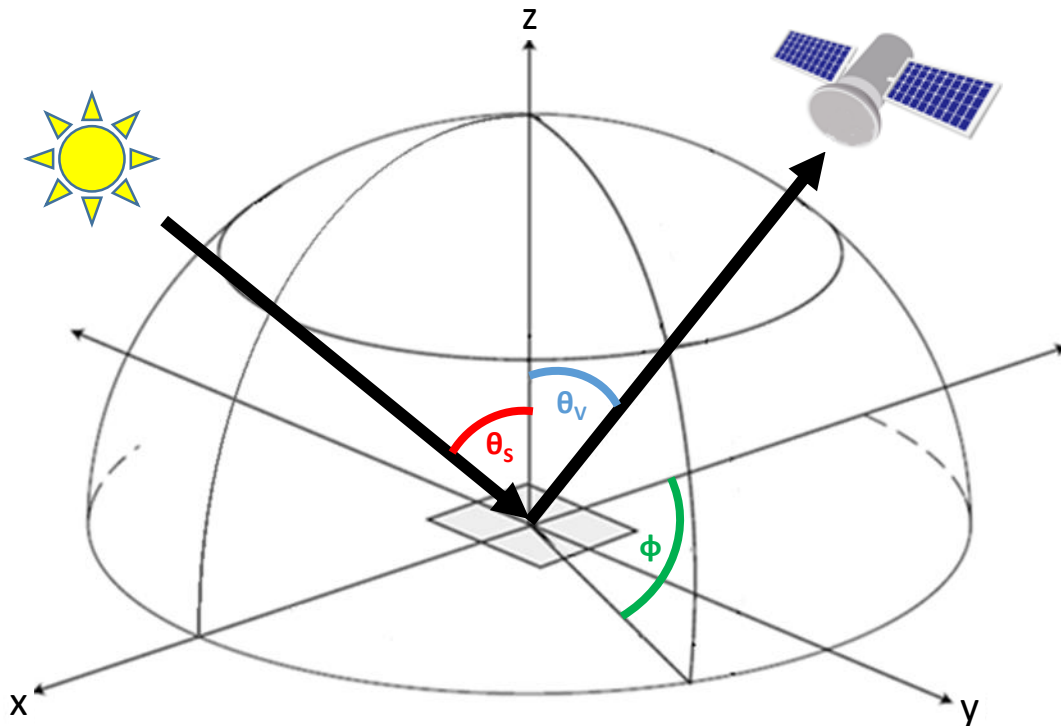
Flux,  $F(\theta_s)$

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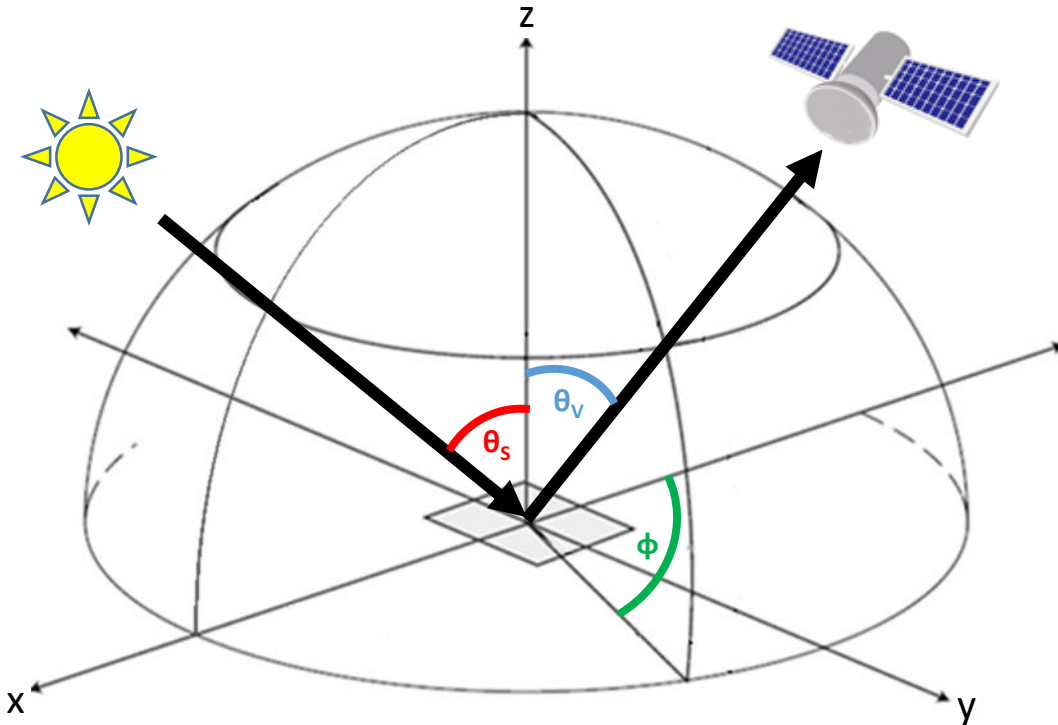
Flux,  $F(\theta_s)$

$$F(\theta_s) = \int_0^{2\pi} \int_0^{\pi/2} I(\theta_s, \theta_v, \phi) \cos \theta_v \sin \theta_v d\theta_v d\phi$$

# Shortwave radiance-to-flux conversion: the basics

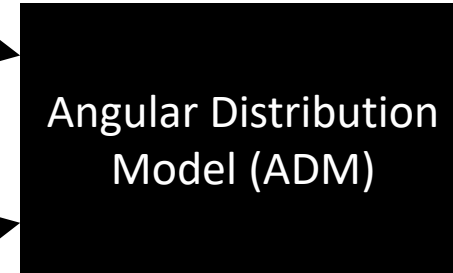
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isotropic:  $= \pi I(\theta_s, \theta_v, \phi)$

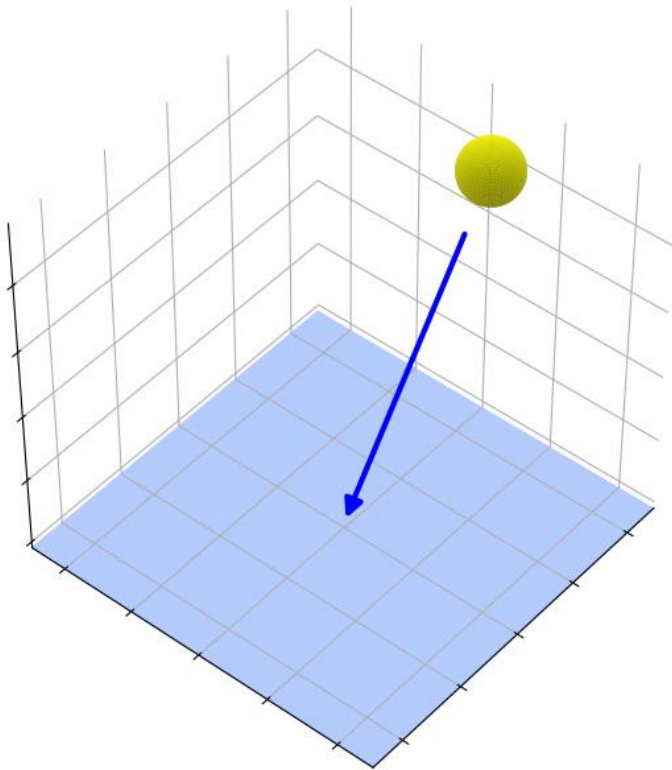
anisotropic:  $= \frac{\pi I(\theta_s, \theta_v, \phi)}{R(\theta_s, \theta_v, \phi)}$  ← anisotropic factor

ADMs are the set of anisotropic factors  
 $R(\theta_s, \theta_v, \phi)$  for each scene type

# Generating anisotropic factors

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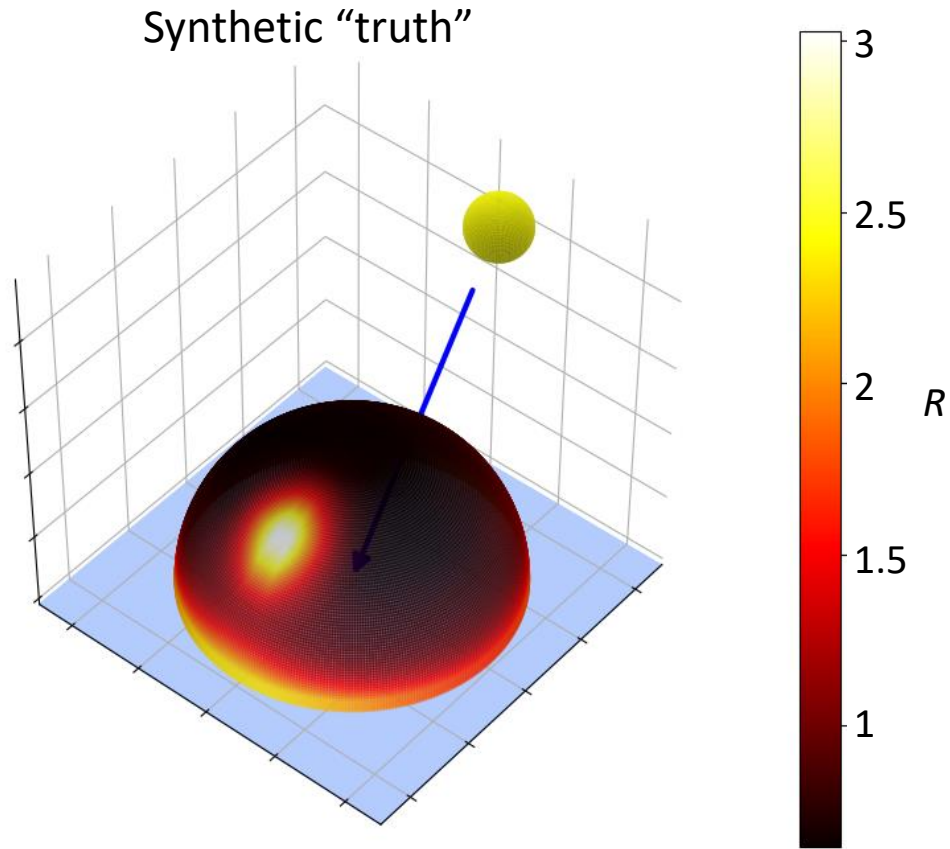
Example:  $\theta_s$  30-40°, ocean, clear-sky, wind speed  $<3.5 \text{ m s}^{-1}$



From CERES TRMM ADMs: *Loeb et al., JAM, 2003a,b*

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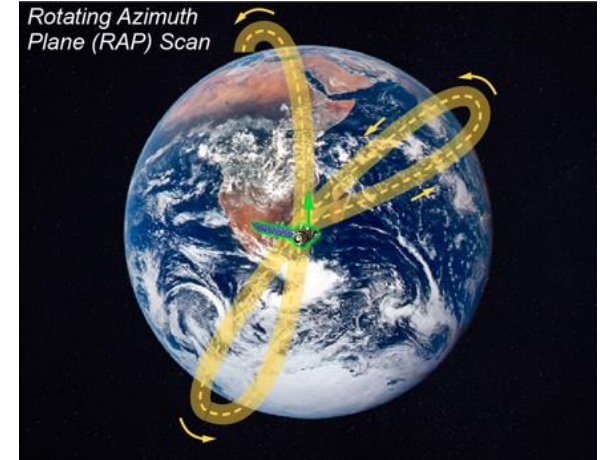
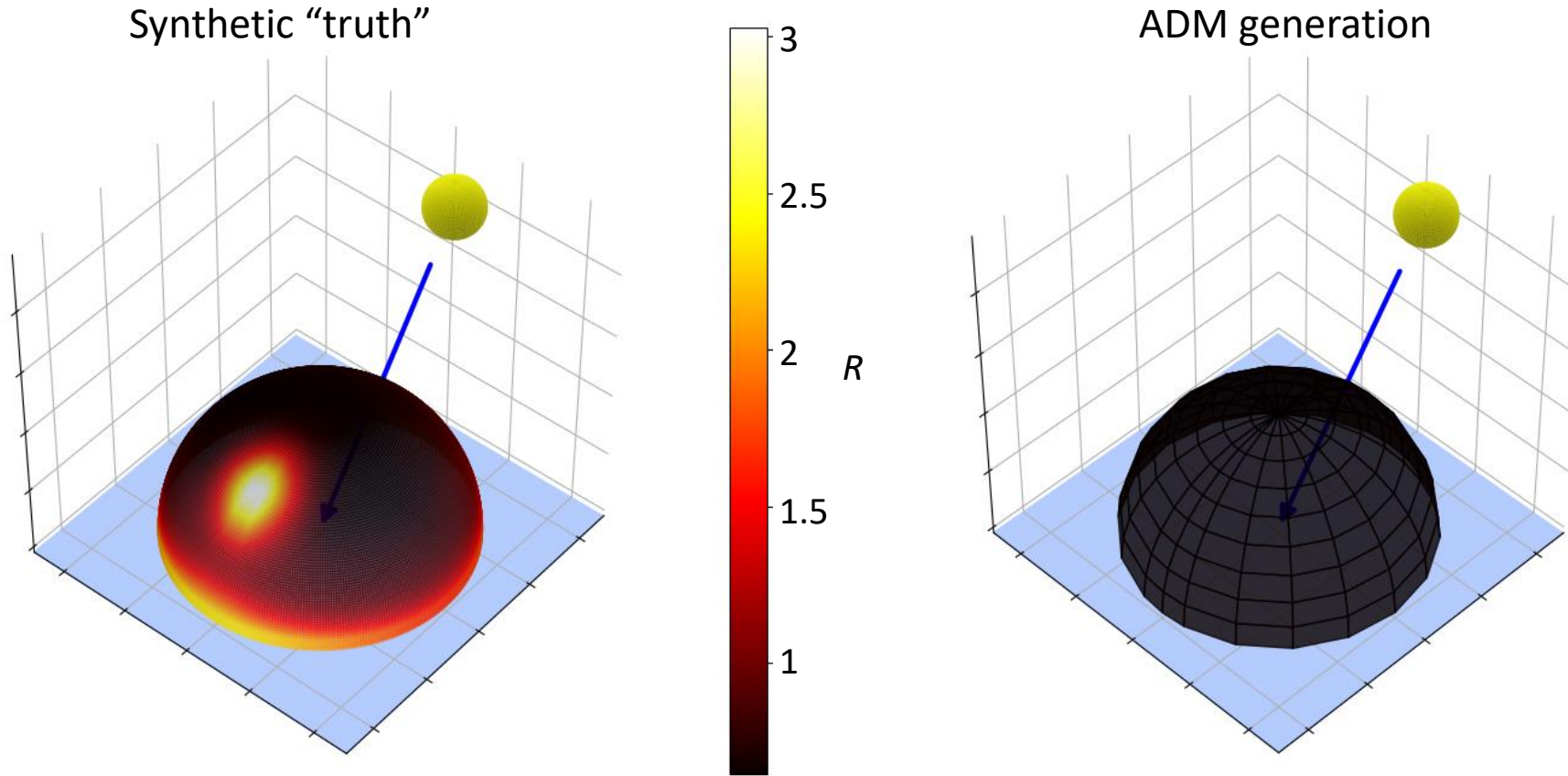


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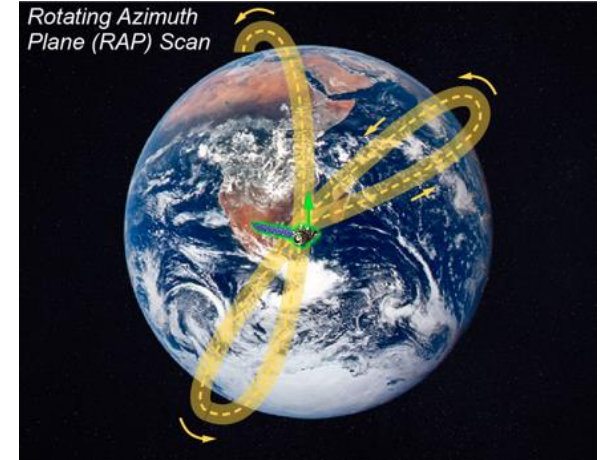
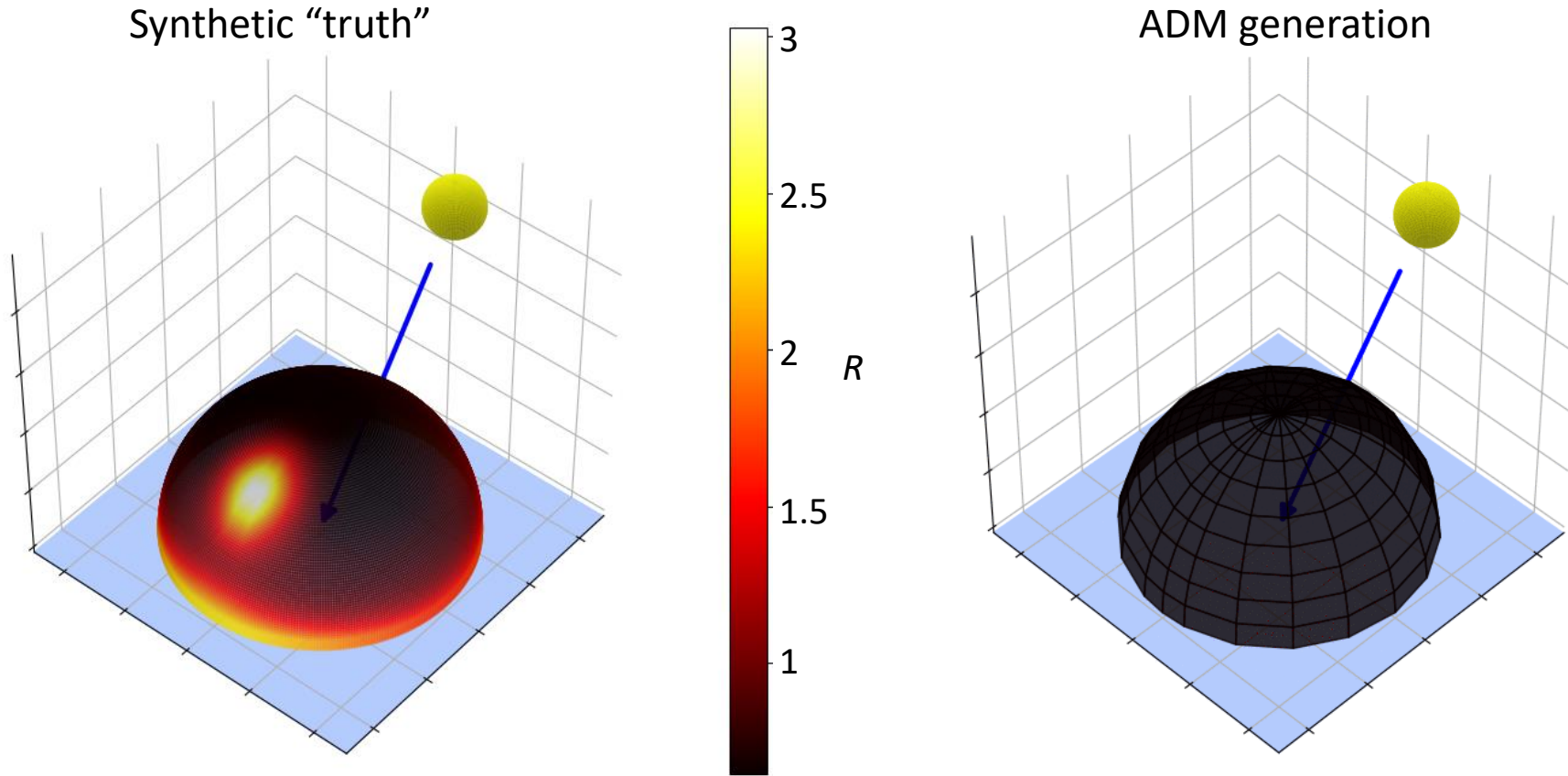
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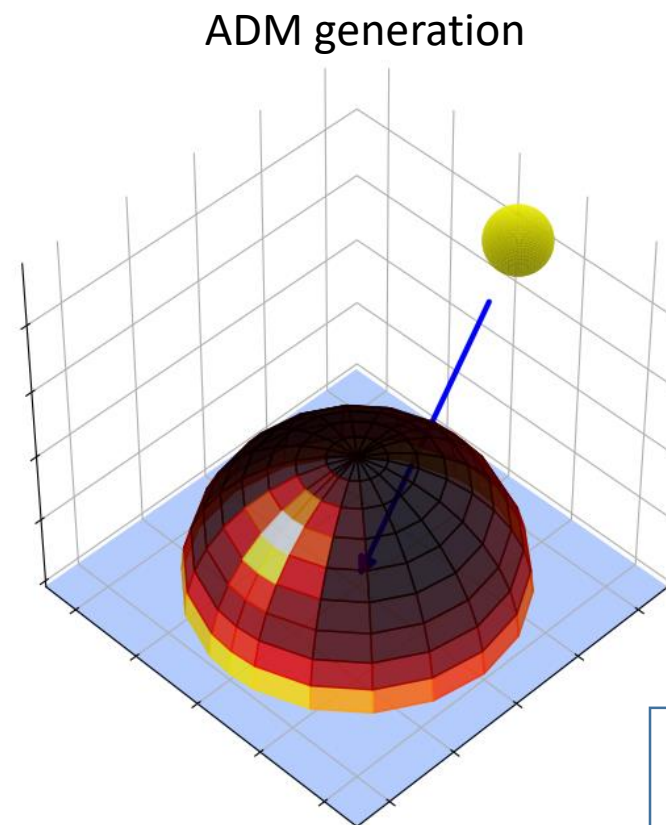
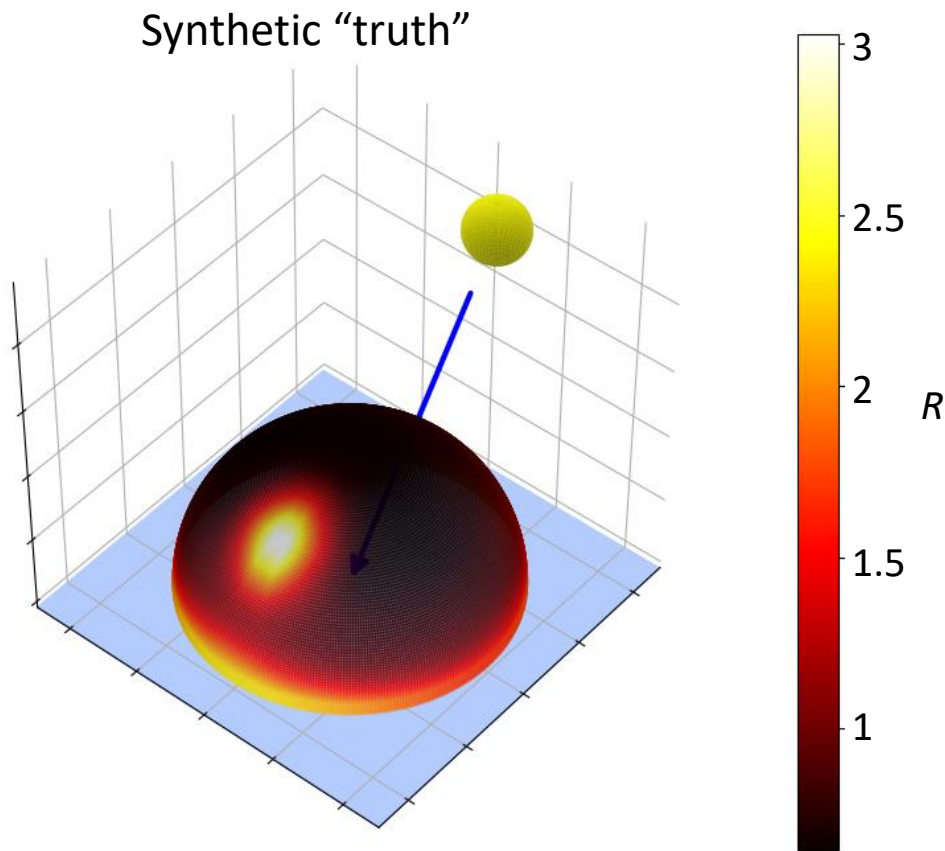
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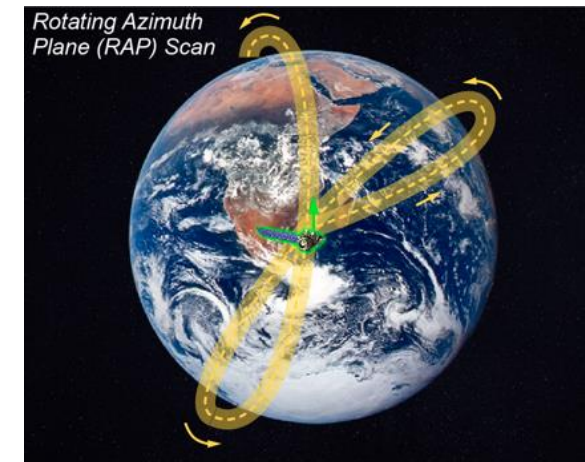
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$$R(\theta_s, \theta_v, \phi) = \frac{\pi I(\theta_s, \theta_v, \phi)}{F(\theta_s)}$$

For  $\theta_v$  bin  $i$  and  $\phi$  bin  $j$ :

$$R_{i,j} = \frac{\pi \overline{I_{i,j}}}{F}$$

$$F = \int_0^{2\pi} \int_0^{\pi/2} I(\theta_v, \phi) \cos \theta_v \sin \theta_v d\theta_v d\phi$$

$$\approx \sum_{i=1}^{N_i} w_i \sum_{j=1}^{N_j} w_j \overline{I_{i,j}} \quad (\text{or similar functional form})$$

# Challenge for Libera split-shortwave ADMs

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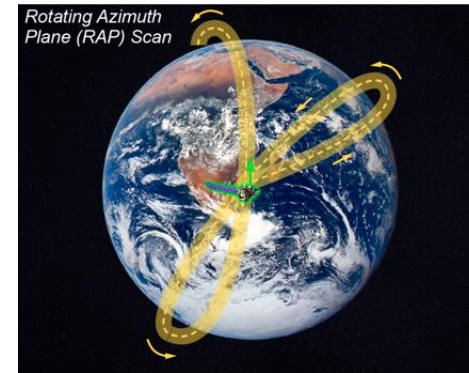
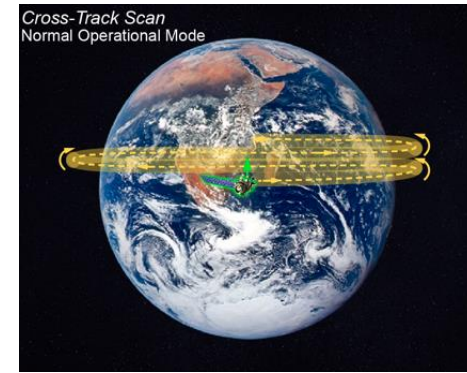
12

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  - Repeat RAPS mode with new split-shortwave radiometer?
    - ✗ Takes a long time. e.g. ADMs from Terra/Aqua use 6 years and 8 months of RAPS data *Su et al, AMT, 2015a,b*
    - ✗ Continuity best served by cross-track sampling.



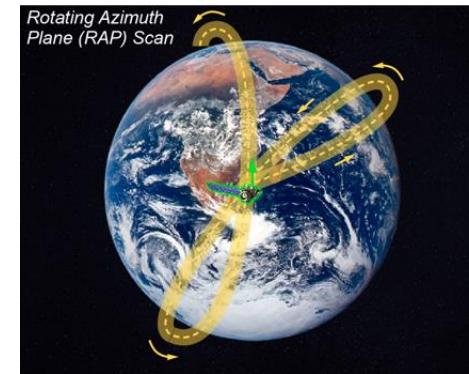
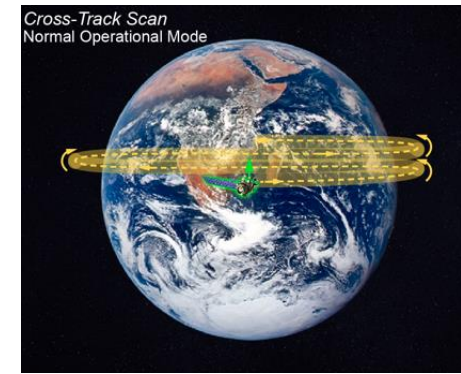
**OG1:** Provide seamless continuity of the Clouds and the Earth's Radiant Energy System (CERES) ERB Climate data record (CDR).

**OG2:** Advance the development of a self-contained, innovative & affordable observing system.

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  - Spectrally adjust existing total SW ADMs?
    - ✗ **Concerns relying on RTM.** e.g., 3D cloud radiative effects *Ham et al., 2014* and their spectral structure *Song et al, ACP, 2016*
    - ✗ **Need detailed scene information to apply latest ADMs.**



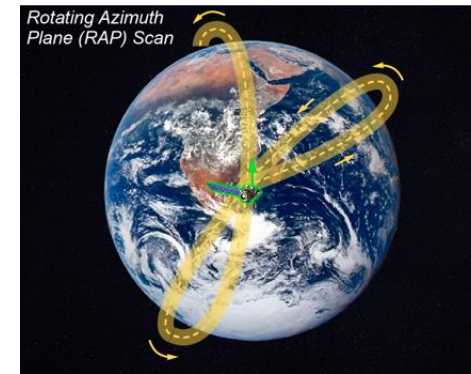
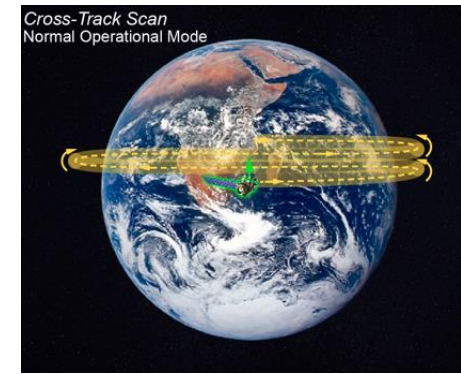
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    - ✗ **Need detailed scene information to apply latest ADMs.**
  - Wide field-of-view camera for new split-shortwave ADM development with simpler scene ID.
    - ✓ **addresses above issues. To be demonstrated in practice..**



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# Libera's split-shortwave ADM approach

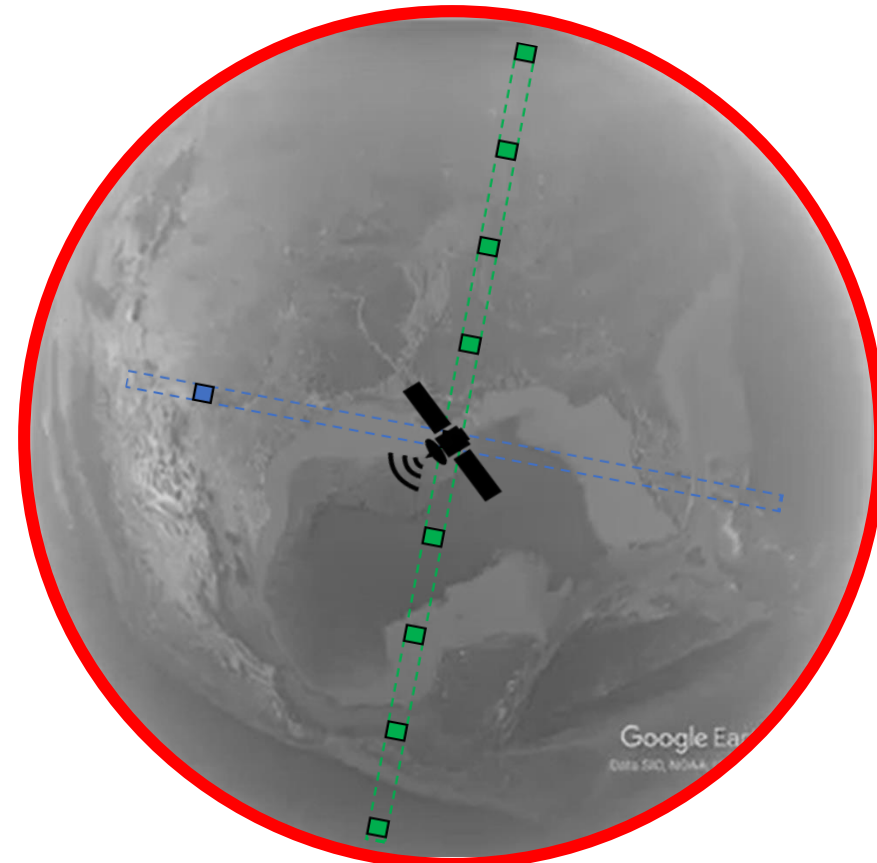
16

1. OSSE “*prior*” split-shortwave ADMs [Daniel Feldman]
2. **Wide-field-of-view camera will provide dense angular sampling for observational basis**
3. Ultimately, constrain with azimuthal scans whenever available e.g. calibration maneuvers [Bruce Kindel]

## Instantaneous angular sampling

MODIS/VIIRS (cross track scan)  
MISR (9 fixed angles)

Libera WFOV camera  
(entire Earth disk)



Credit: Stephane Beland

Jake.J.Gristey@noaa.gov



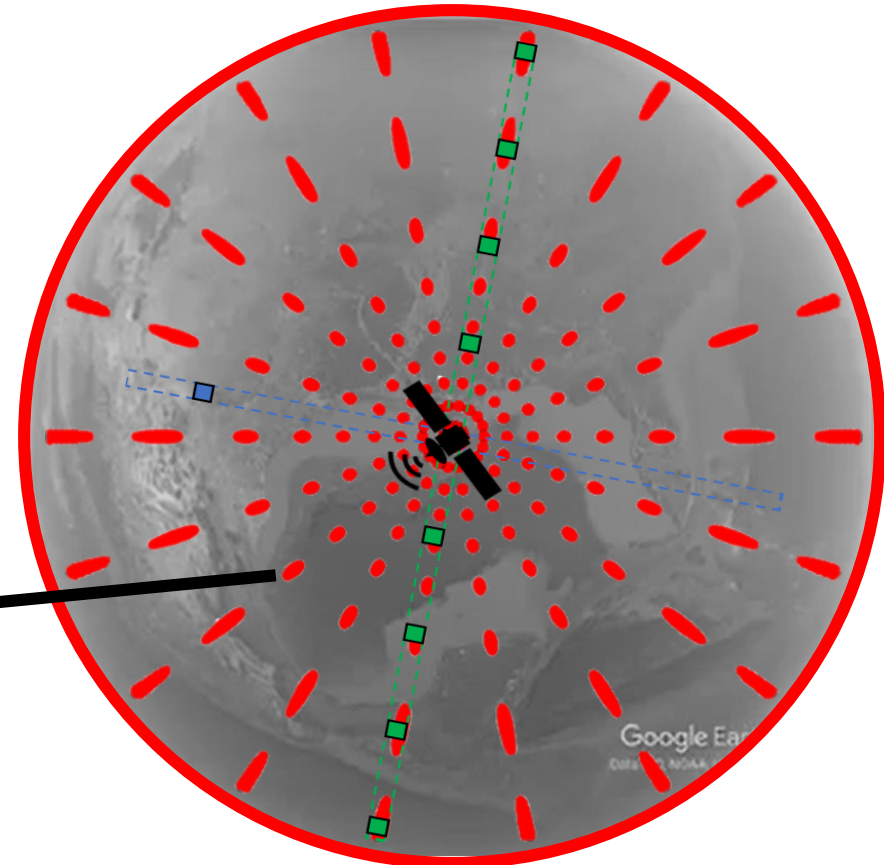
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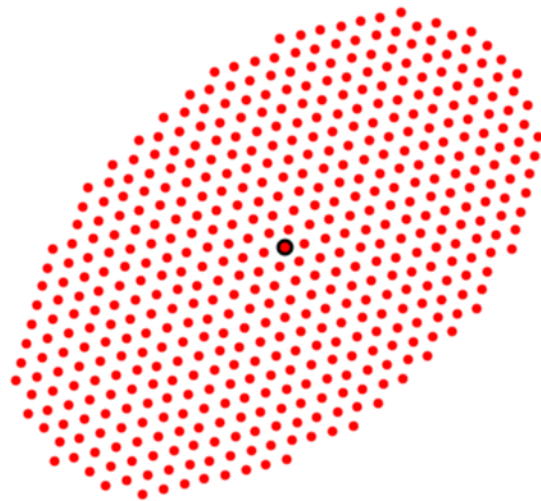
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Convolve with  
Libera Point  
Spread Function



# Compromise: focus on ERBE-like ADMs (initially)

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	Cloud fraction	Surface type
1	Clear-sky (0-5%)	Ocean
2	↓	Land
3		Snow
4		Desert
5		Land-ocean mix
6		Partly cloudy (5-50%)
7	↓	Land or desert
8		Land-ocean mix
9	Mostly cloudy (50-95%)	Ocean
10	↓	Land or desert
11		Land-ocean mix
12	Overcast	All

- A key motivation for camera is to “develop self-contained system”
  - 12 scene types: appropriate for scene ID from a single wavelength
  - Based on imaging at CERES/Libera scales; not ERBE approach
  - Could be extended in future “ERBE+” e.g., cloud optical depth retrieval  
*Nataraja et al., in prep. 2021*

*Suttles et al., NASA Tech Rep, 1988*

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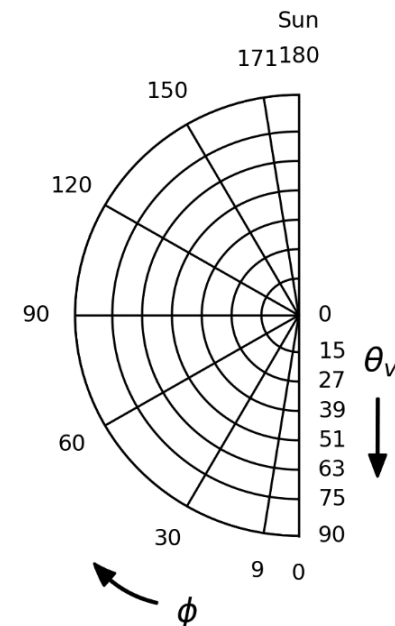
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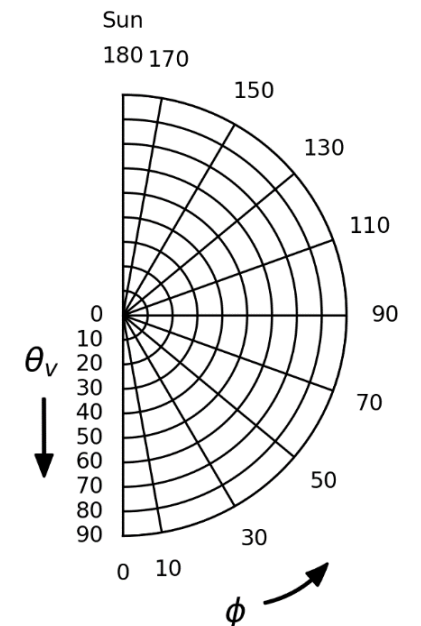
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- Solar-viewing geometry
  - 10  $\theta_s$  bins
  - 7  $\theta_v$  bins
  - 8  $\phi$  bins
  - Anticipate finer resolution bins in future

ERBE angular bins

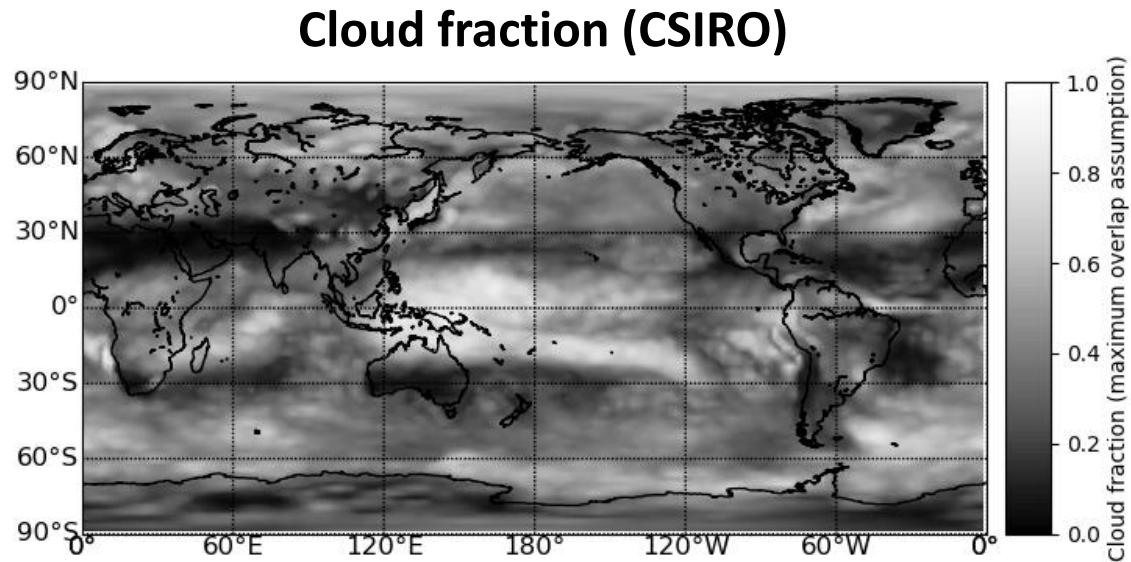


CERES-TRMM angular bins



# Optimizing the Libera camera for ADMs: OSSE data

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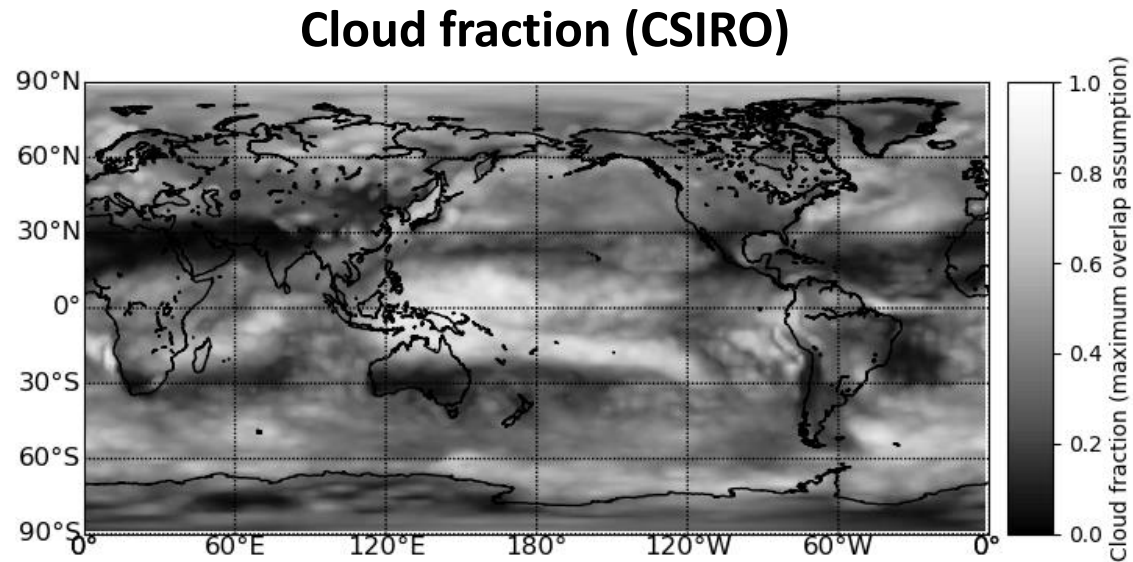
- Climate model output
  - Monthly mean
  - Jan 2040
  - 96 lat × 192 lon = 18,432 columns

*Credit: Dan Feldman*

*Feldman et al., JGR, 2011a&b;  
J. Clim., 2013;  
Geosci. Mod. Dev., 2015;  
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# Optimizing the Libera camera for ADMs: OSSE data

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- Climate model output
  - Monthly mean
  - Jan 2040
  - 96 lat × 192 lon = 18,432 columns
- Column and surface properties ingested into offline radiative transfer
  - Output TOA nadir spectral radiance from 300-2500 nm at 5 nm spectral resolution

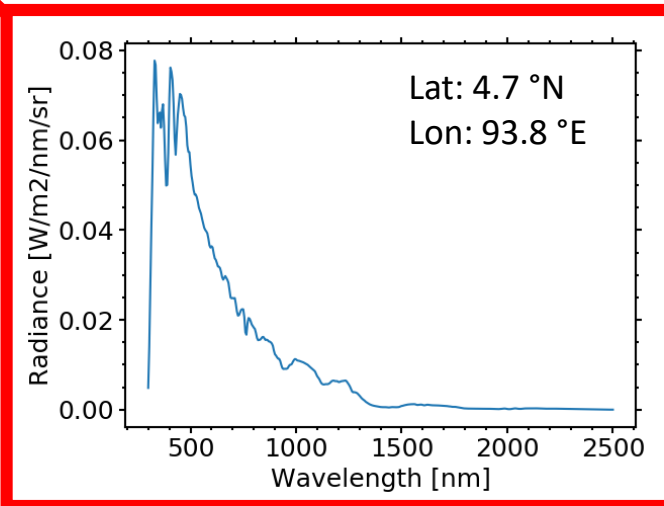
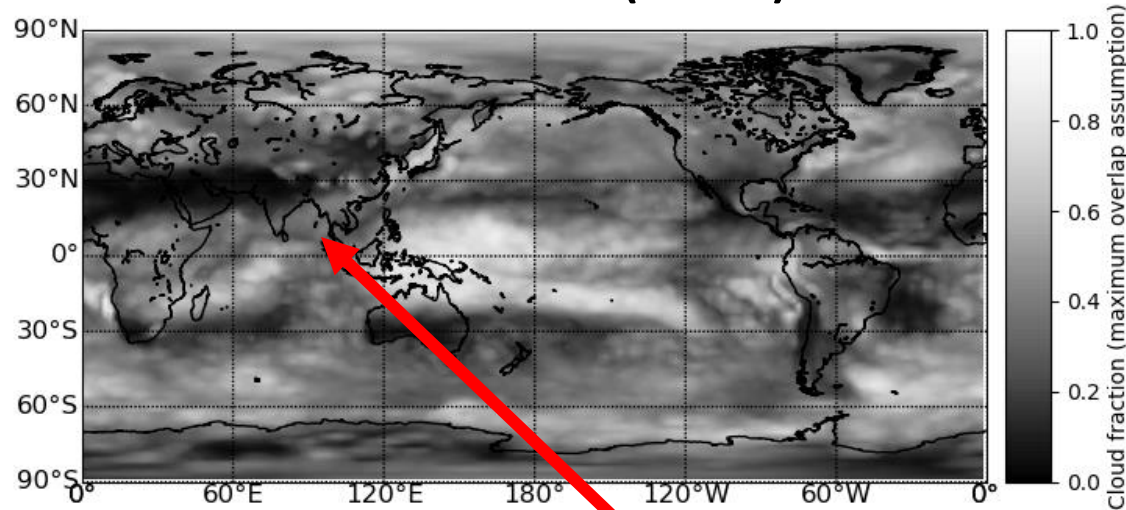
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## Cloud fraction (CSIRO)



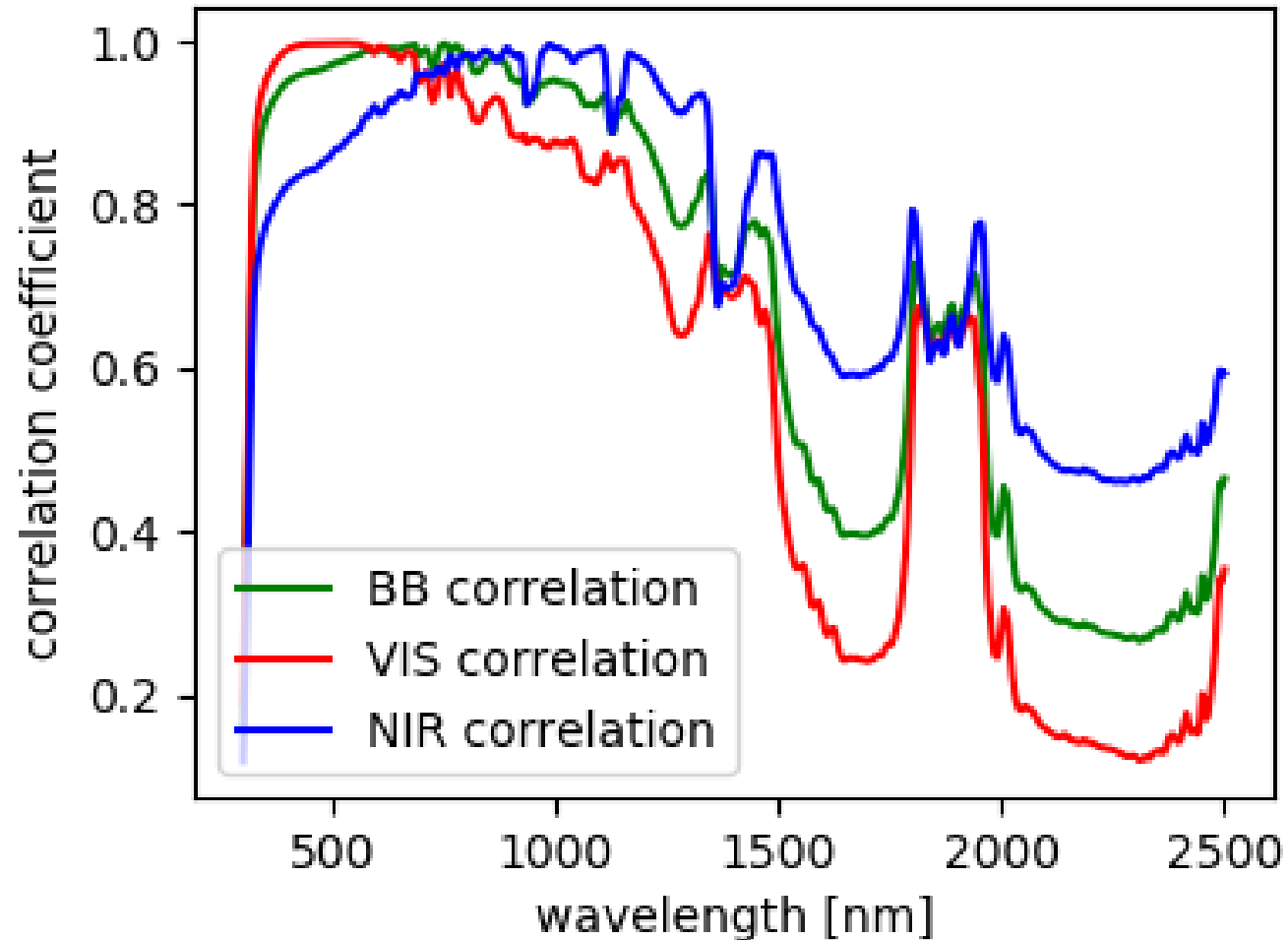
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# Camera wavelength: high correlation with sub-band 23

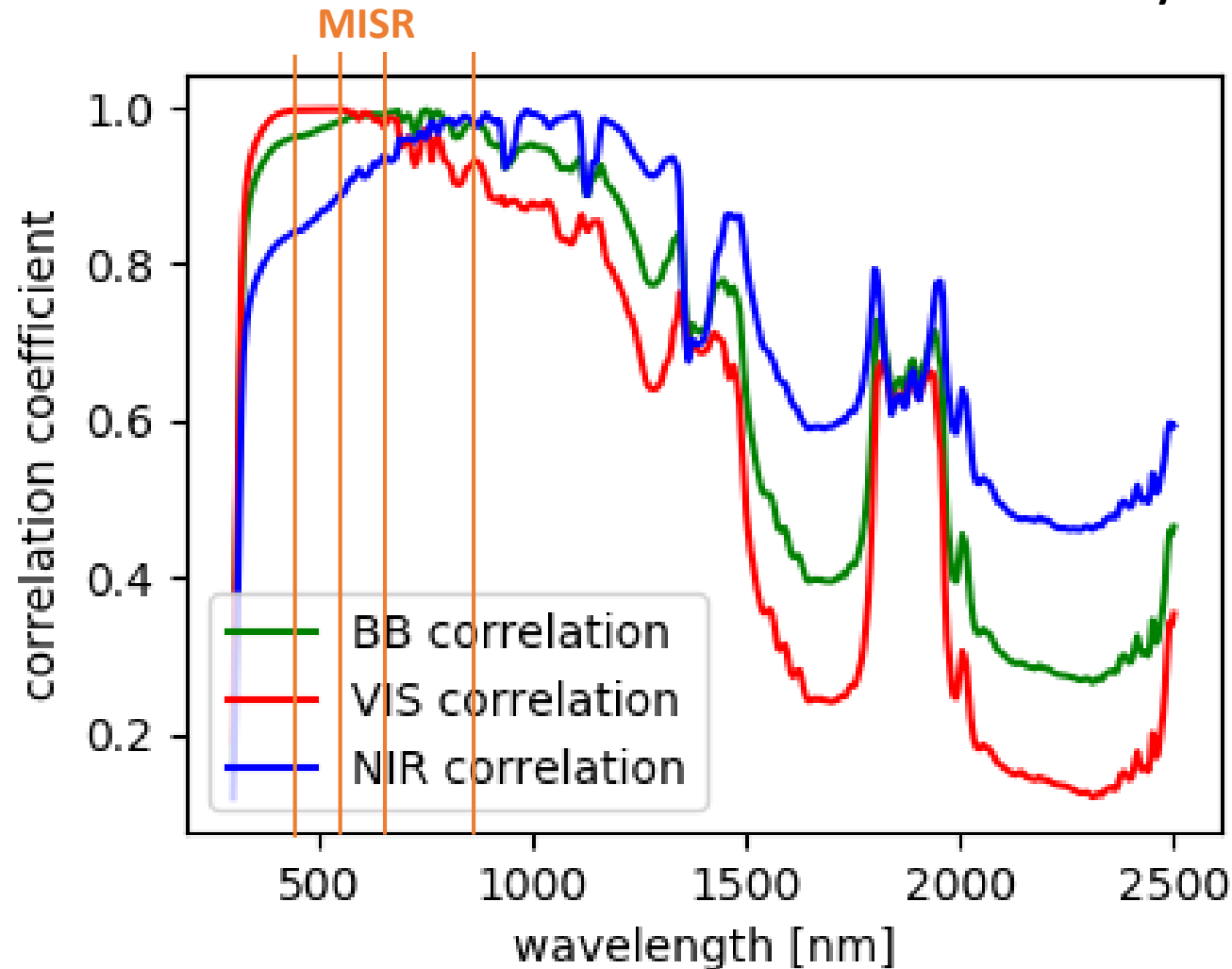
Note: nadir only



- Single wavelength camera acts as a proxy for one of the split channels
  - Need high correlation between single wavelength and NIR or VIS

# Camera wavelength: high correlation with sub-band 24

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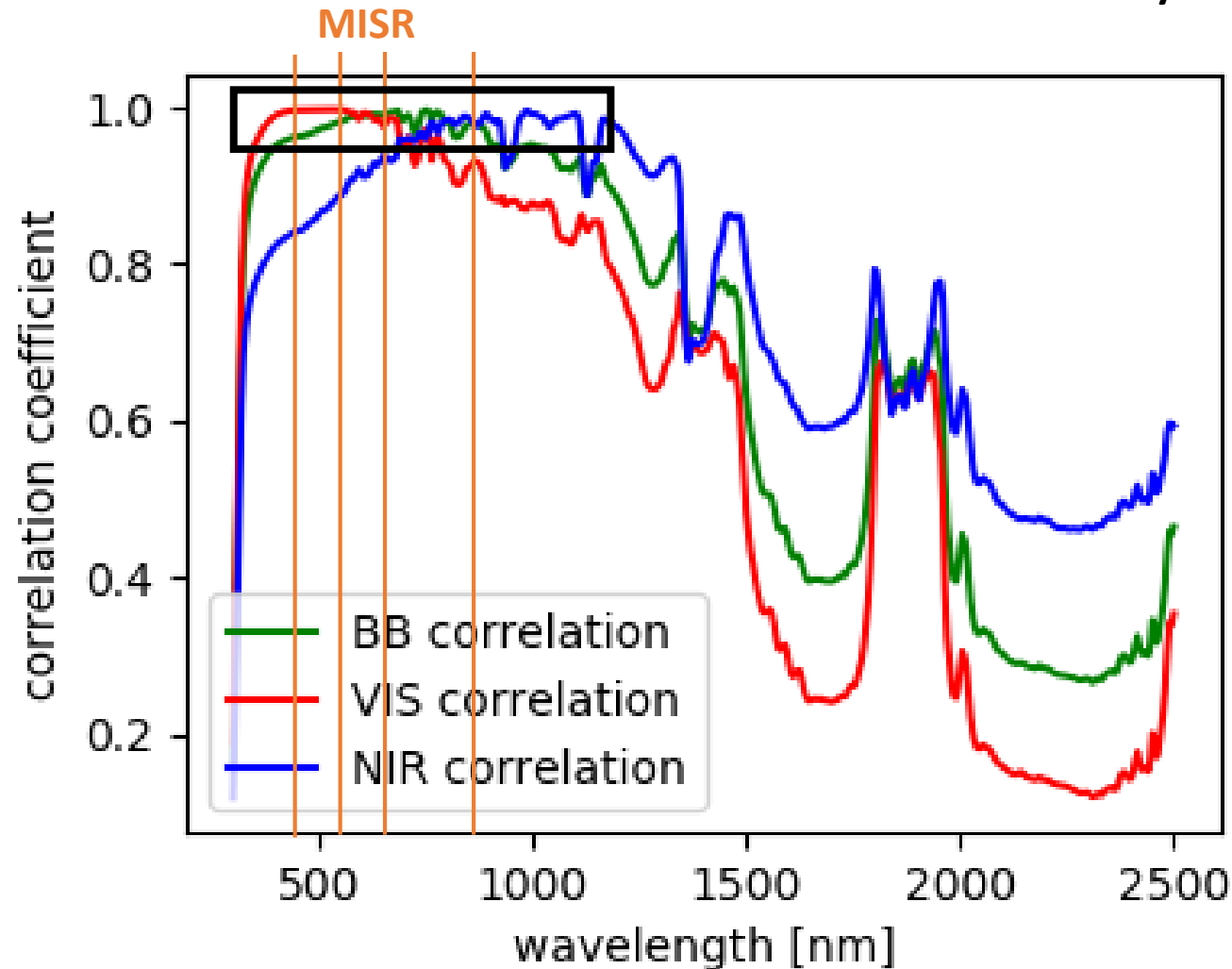


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- MISR 865 nm correlates well with CERES total SW  
*Corbett and Su, AMT, 2015*



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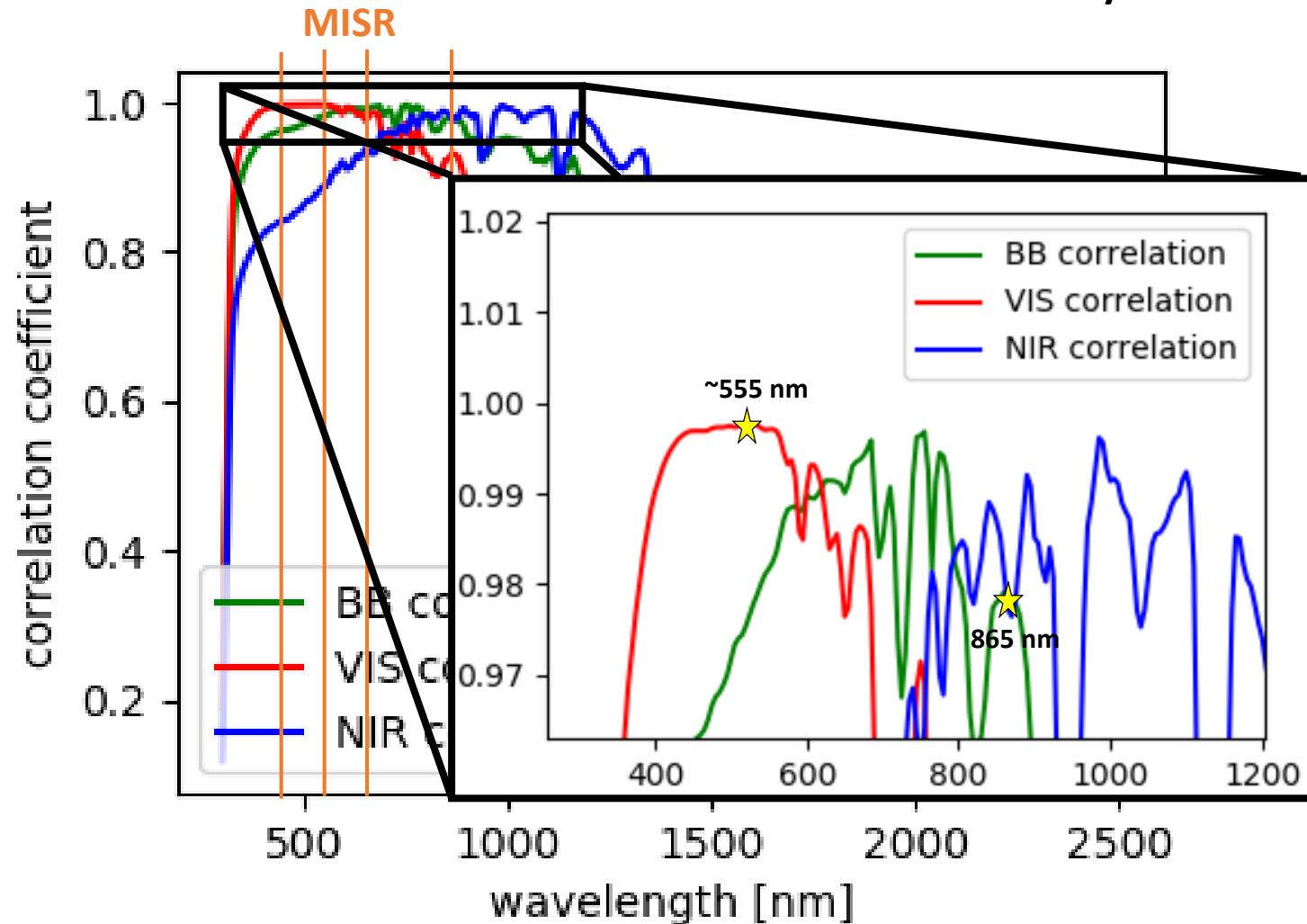
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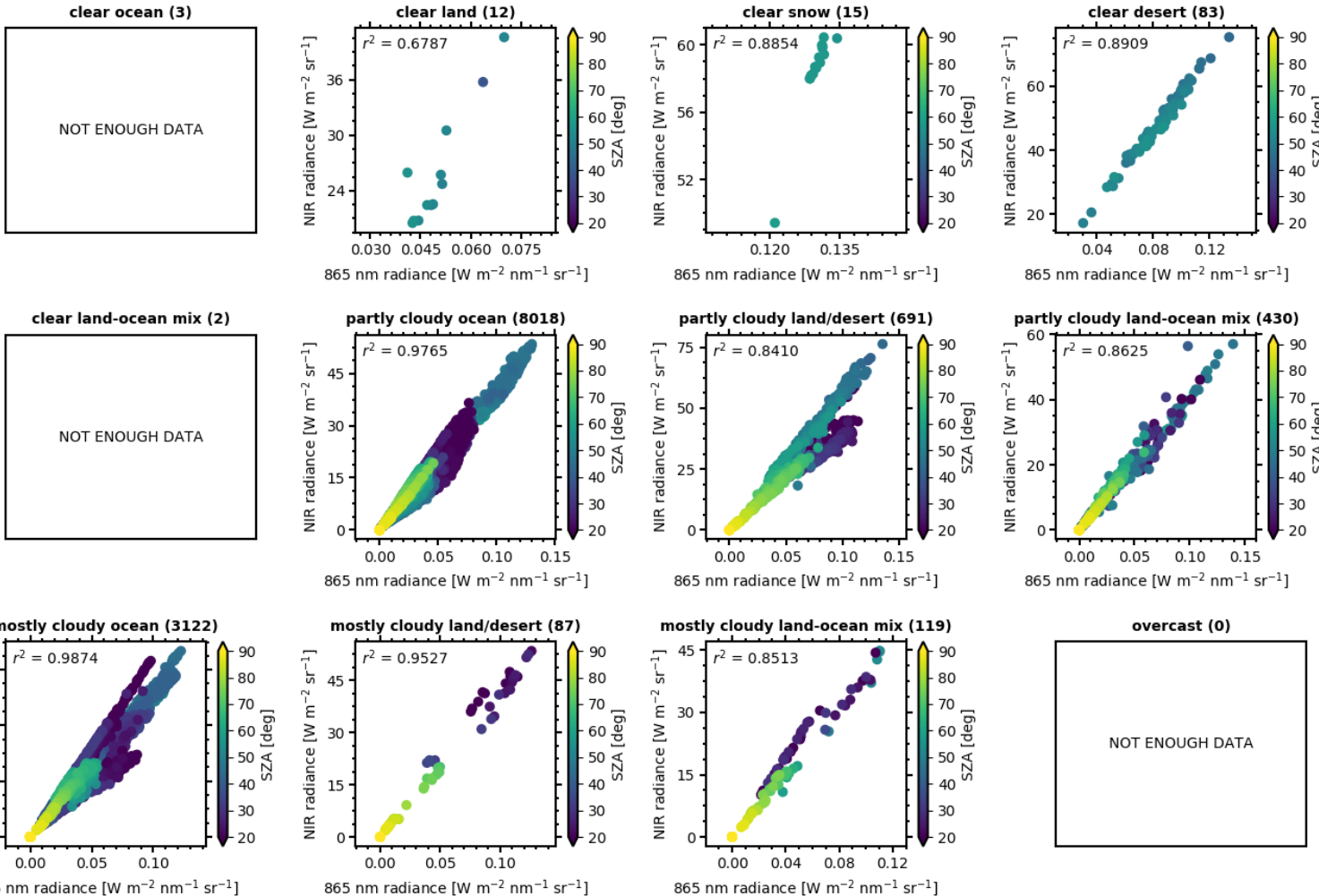


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  - Need high correlation between single wavelength and NIR or VIS
- MISR 865 nm correlates well with CERES total SW  
*Corbett and Su, AMT, 2015*
- Initial OSSE data here suggests 865 nm may not be optimal for NIR
  - Highest correlation is ~555 nm with VIS

# Correlations by scene type: 865 nm vs. NIR

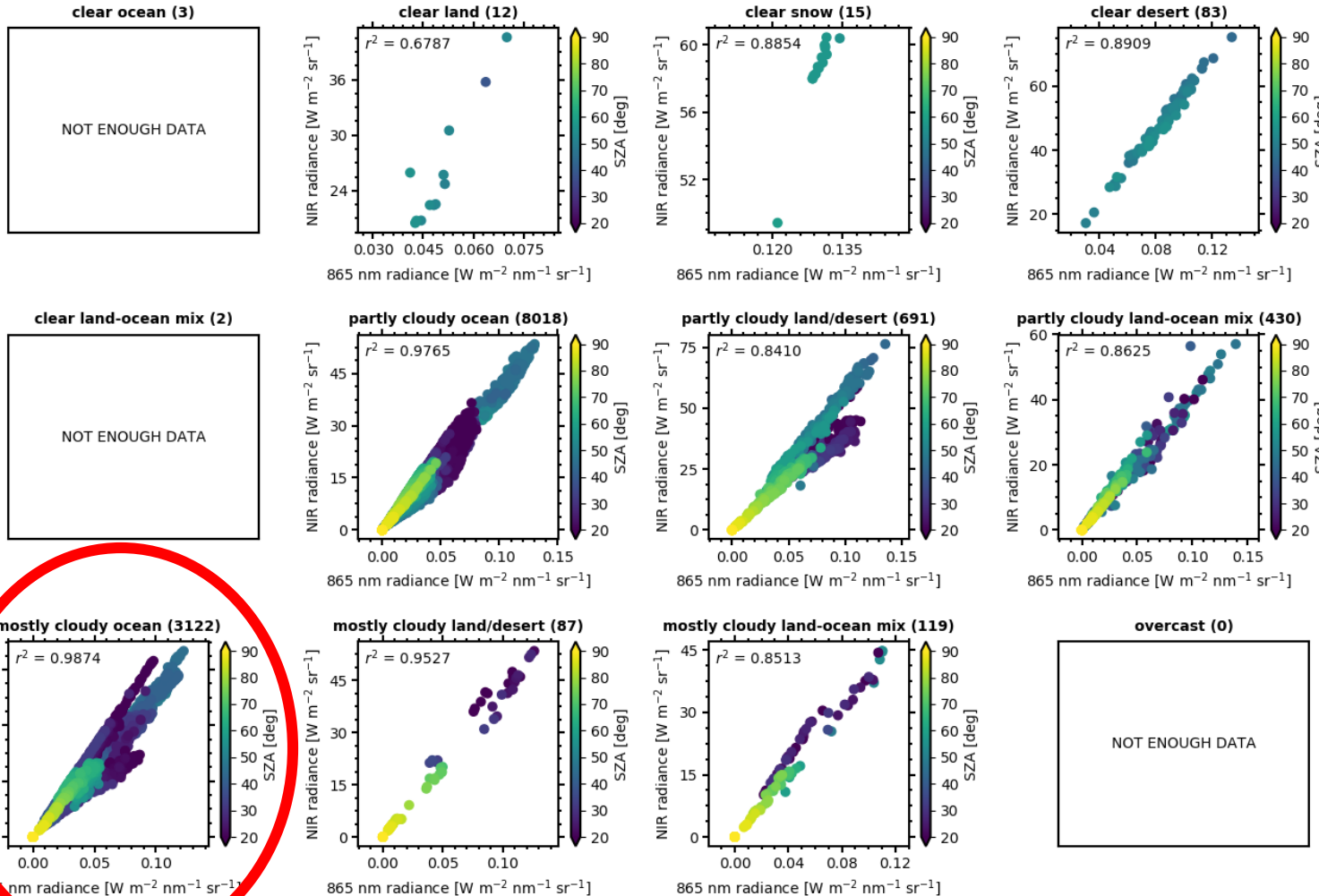
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- Sub-band correlations do not hold equally well across all scene types
- Conversion between camera wavelength -> sub-band should be a function of scene type and solar geometry



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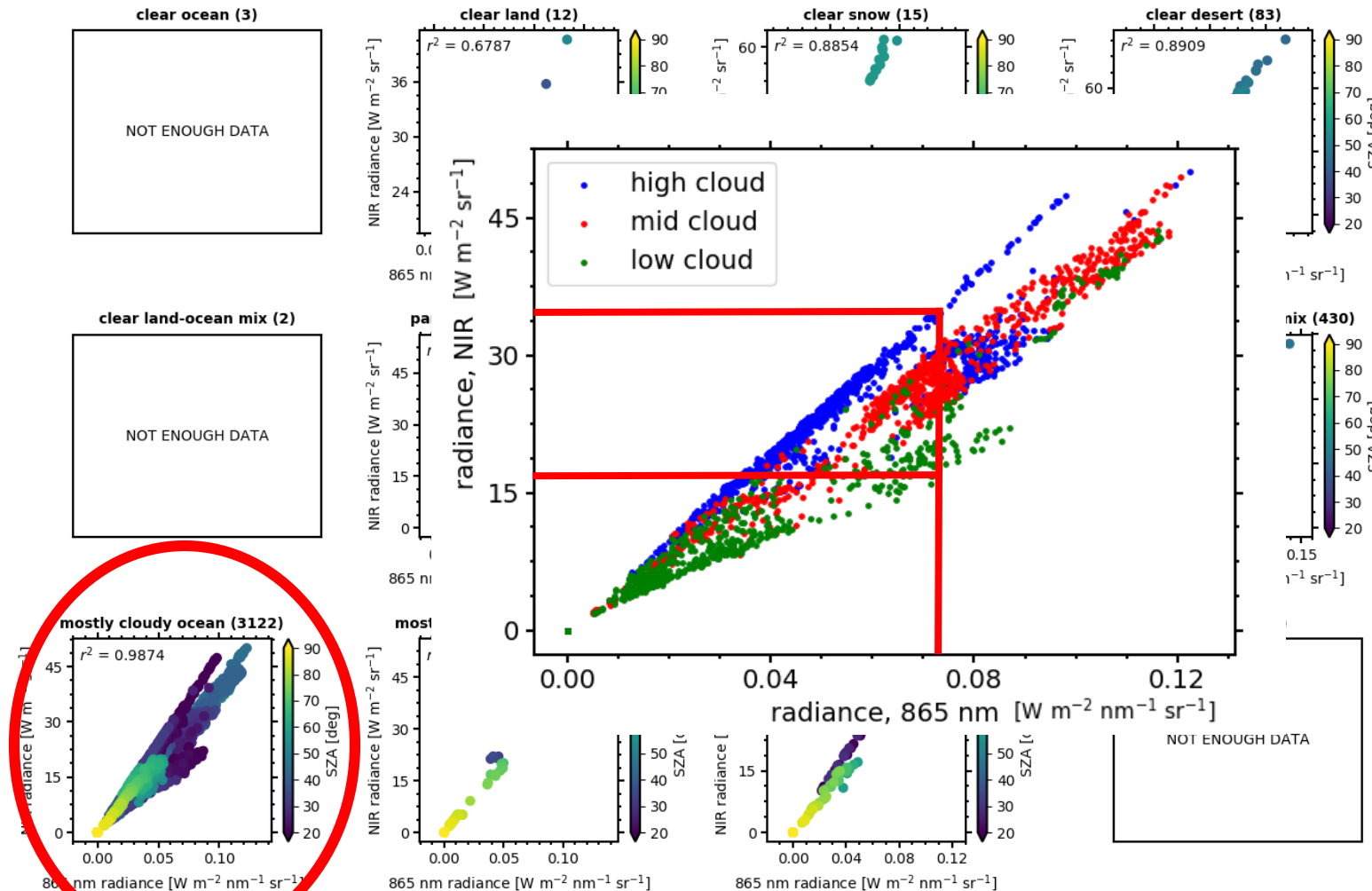
Note: nadir only



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- Within scene differences persist at similar SZA

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Note: nadir only

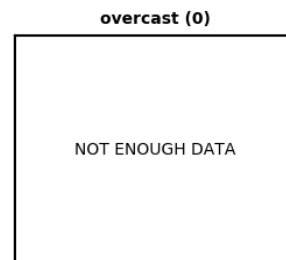
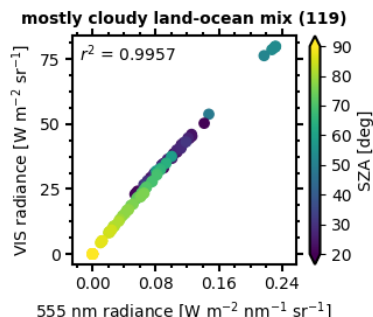
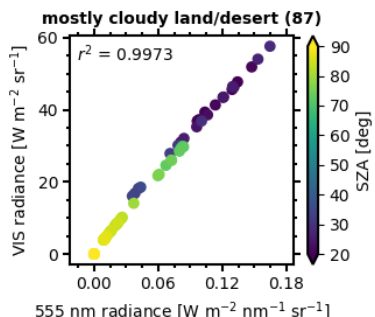
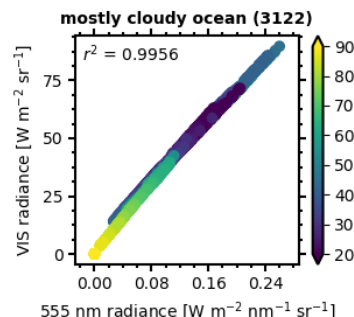
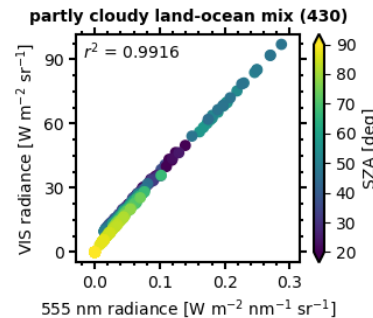
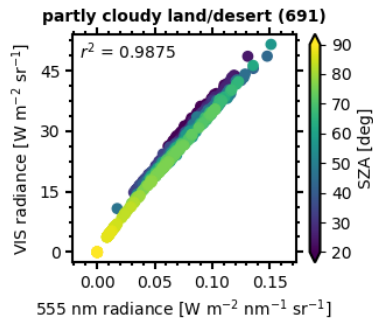
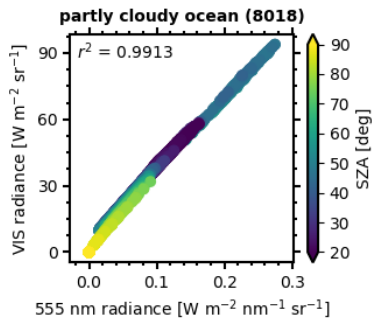
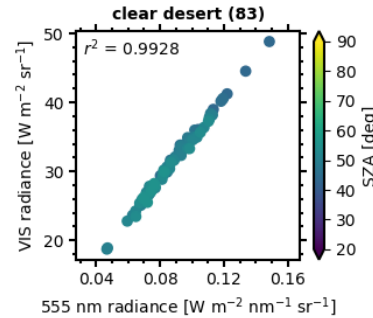
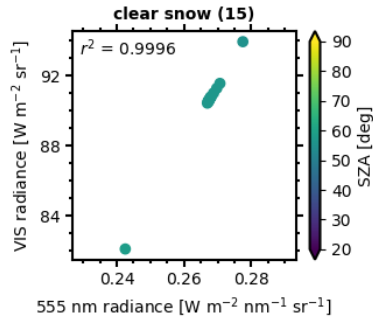
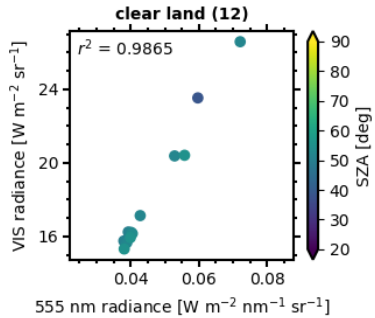
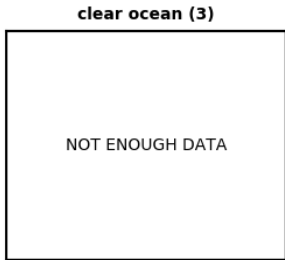


- Sub-band correlations do not hold equally well across all scene types
- Conversion between camera wavelength -> sub-band should be a function of scene type and solar geometry
- Within scene differences persist at similar SZA
  - Above cloud water vapor
  - Cloud height (phase)

# Correlations by scene type: 555 nm vs. VIS

Note: nadir only!

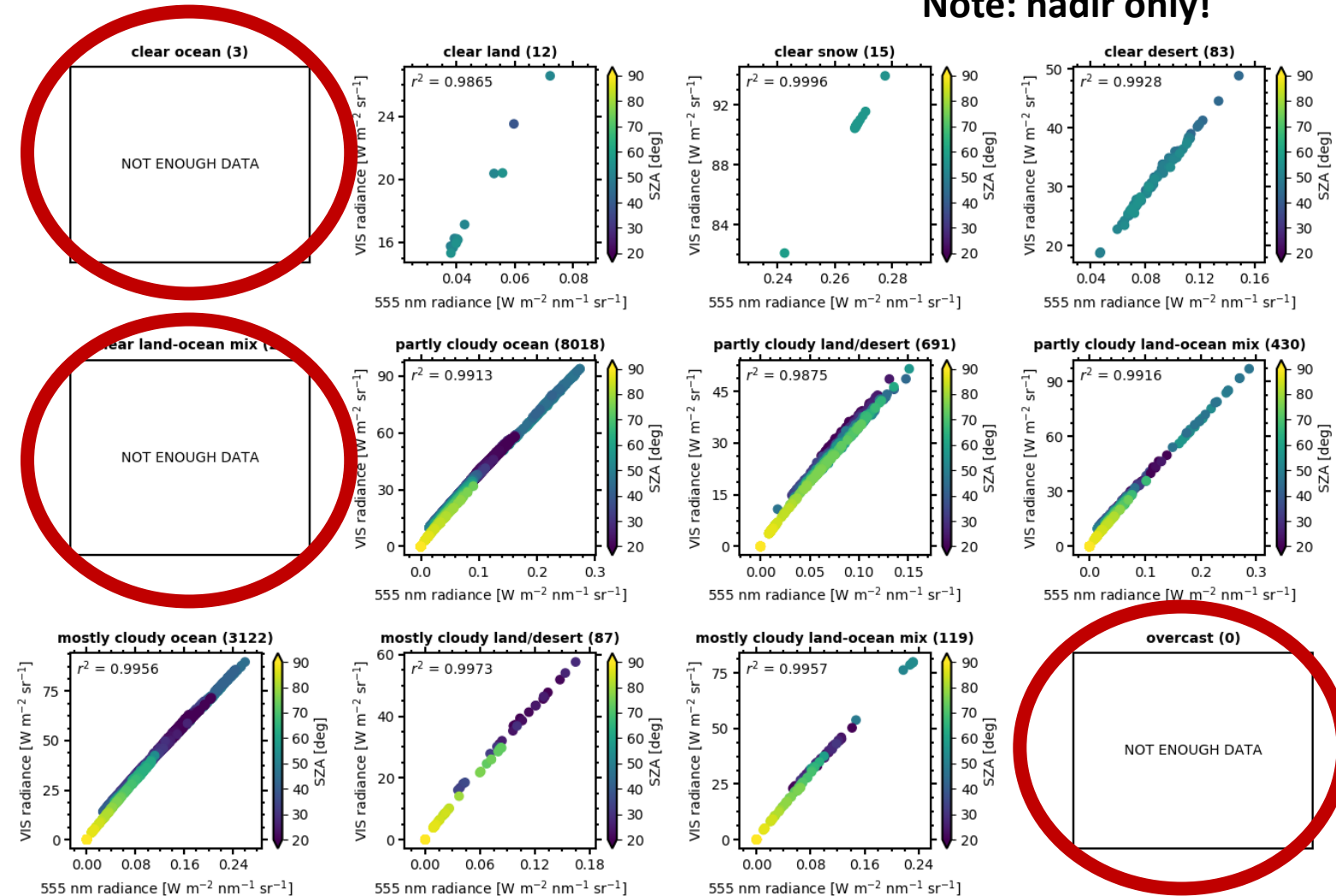
- Much tighter relationship between 555 nm and VIS
- No “break down” for any scene types



# Correlations by scene type: 555 nm vs. VIS

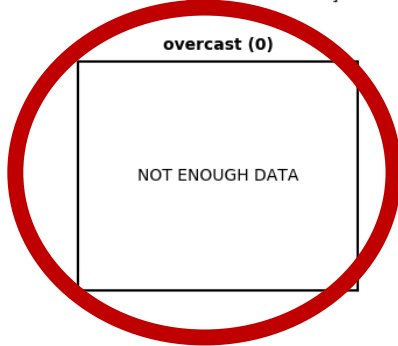
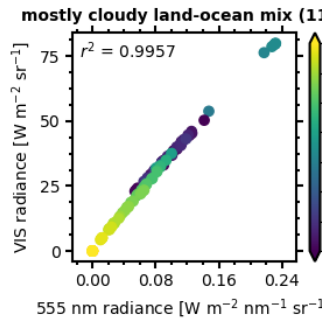
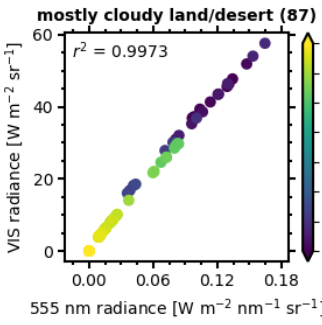
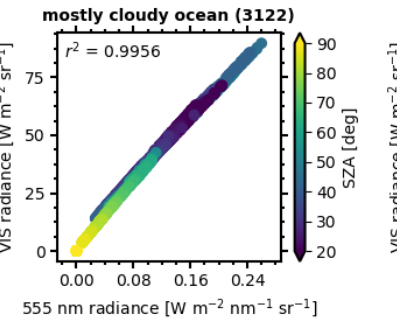
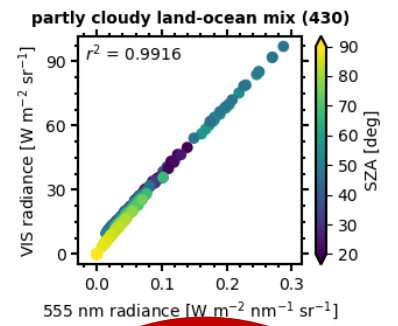
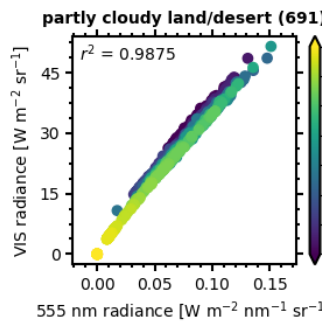
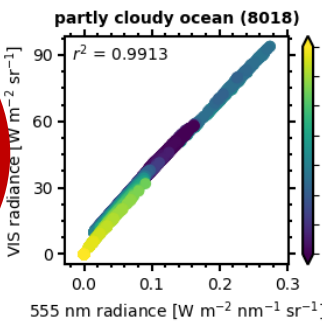
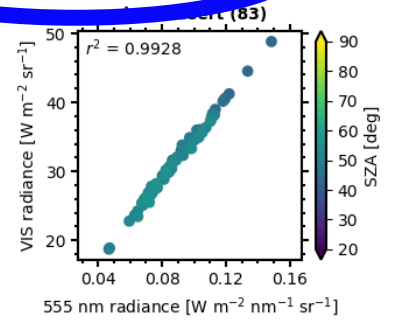
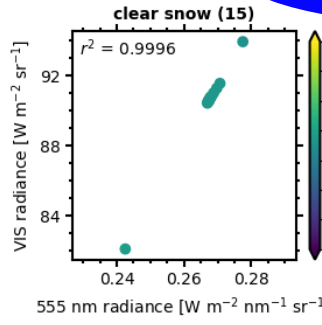
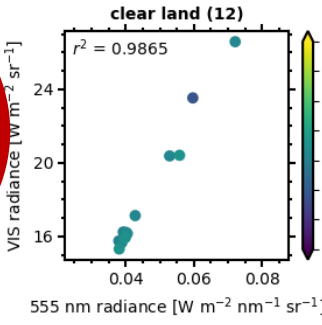
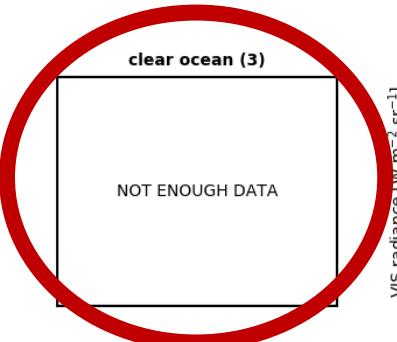
Note: nadir only!

- Much tighter relationship between 555 nm and VIS
- No “break down” for any scene types
- **Issue 1:** lack extremes of cloud fraction
  - Monthly-mean, ~1 deg

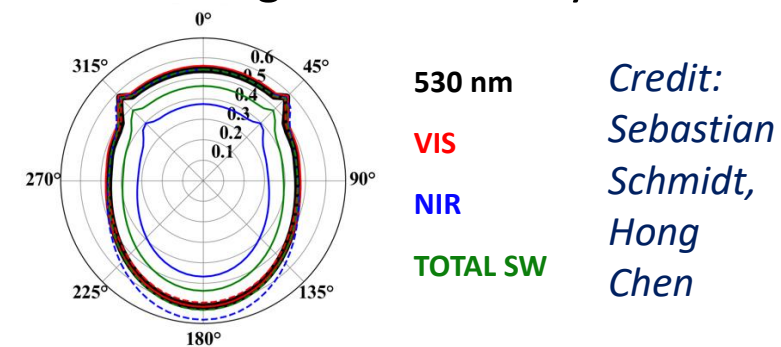


# Correlations by scene type: 555 nm vs. VIS

**Note: nadir only!**



- Much tighter relationship between 555 nm and VIS
- No “break down” for any scene types
- **Issue 1:** lack extremes of cloud fraction
  - Monthly-mean, ~1 deg
- **Issue 2:** angular variability



*Credit: Sebastian Schmidt, Hong Chen*



# Independent Libera split-shortwave fluxes

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33

- For a single wavelength camera, a visible wavelength is most appropriate to generate VIS sub-band ADMs with ERBE scene types
  - Additional scene segregation e.g. CERES is expected to be more important for NIR sub-band
- How to derive a self-contained Libera NIR flux?

# Independent Libera split-shortwave fluxes

- For a single wavelength camera, a visible wavelength is most appropriate to generate VIS sub-band ADMs with ERBE scene types
  - Additional scene segregation e.g. CERES is expected to be more important for NIR sub-band
- How to derive a self-contained Libera NIR flux?

$$\text{NIR flux} = \text{SW flux} - \text{VIS flux}$$

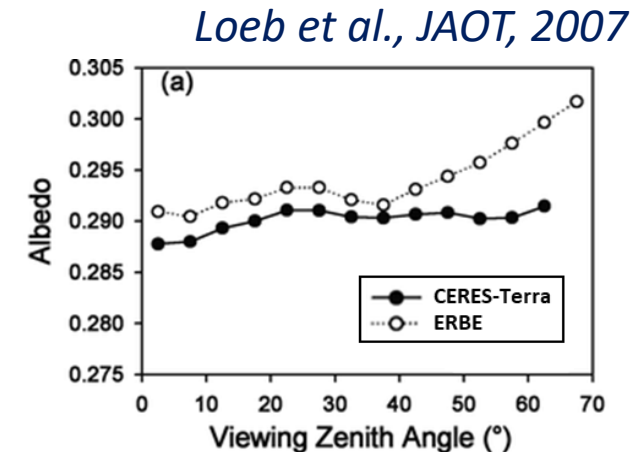
← Directly derived with new ADMs

↗ Wait for RBSP SW flux? **X**relies on imager

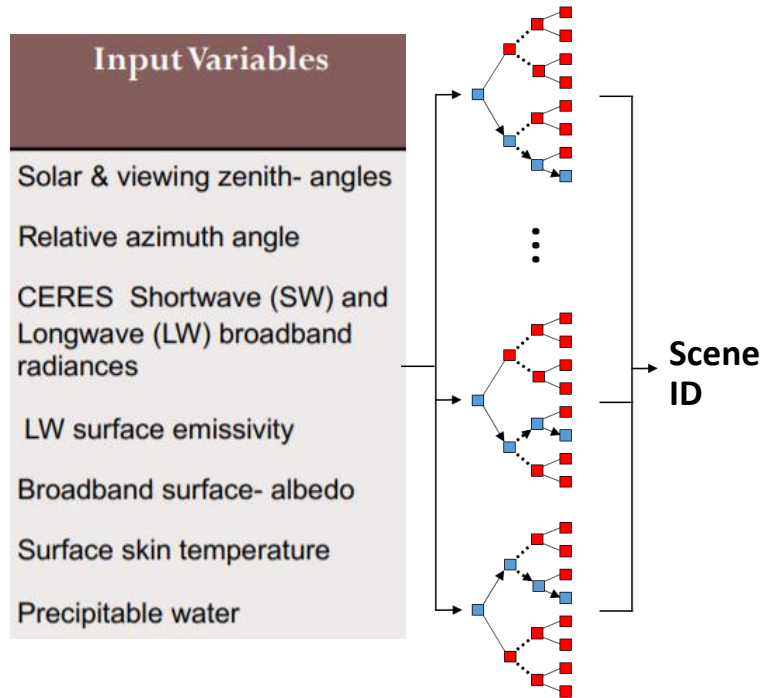
Calculate new ERBE-like SW or NIR ADMs from camera? **X**camera not a good proxy

Use existing ERBE SW ADMs? **✓**yes, but expect larger uncertainty →

Determine CERES-like scene type using machine learning? **✓**maybe..



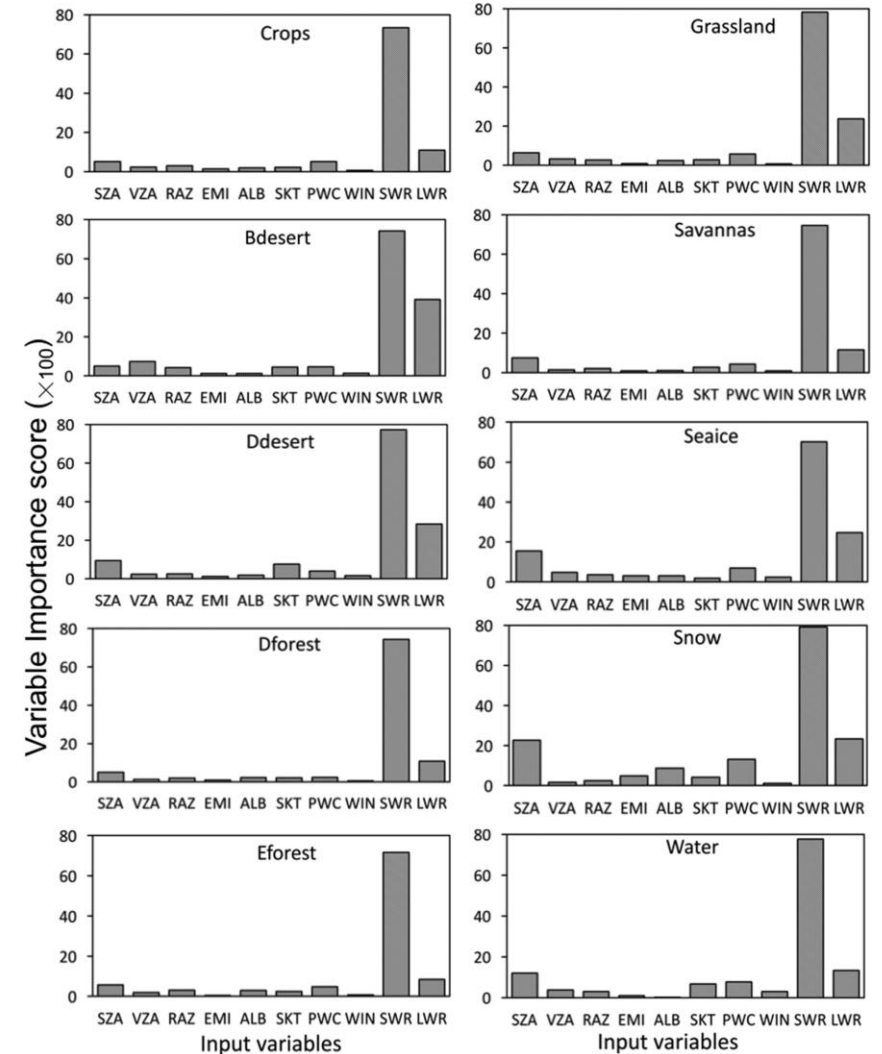
# Machine learning CERES-like scene type



Thampi et al., JAOT, 2017

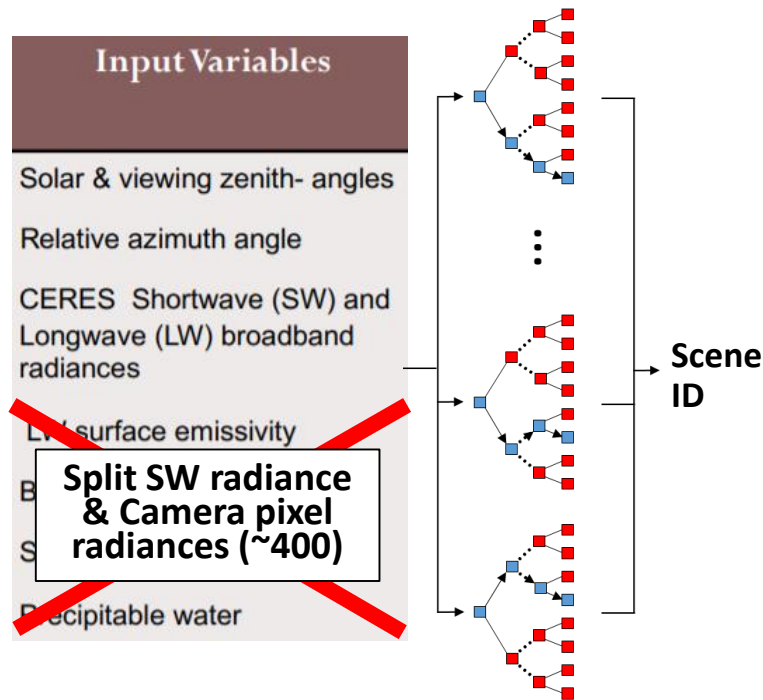
TABLE 4a. RF (daytime) modified misclassification rate (%) estimated for the calendar months of January and July (2013–14).

Surface type	January		July	
	Clear	Cloud	Clear	Cloud
bdesert	5.0	5.1	4.2	5.1
crops	0.2	0.5	0.2	0.2
ddesert	1.4	2.4	1.1	2.4
dforest	0.3	0.1	1.8	0.2
eforest	0.1	0.0	0.7	0.0
grass	1.4	2.9	0.4	1.6
savannas	0.6	0.4	0.1	0.2
seaice	0.4	2.5	3.1	1.1
snow	0.4	7.0	0.2	2.5
water	0.0	0.0	0.0	0.0



- Scene type predicted with ~95% accuracy for almost all scenes, many scenes >99% (excludes very thin cloud)

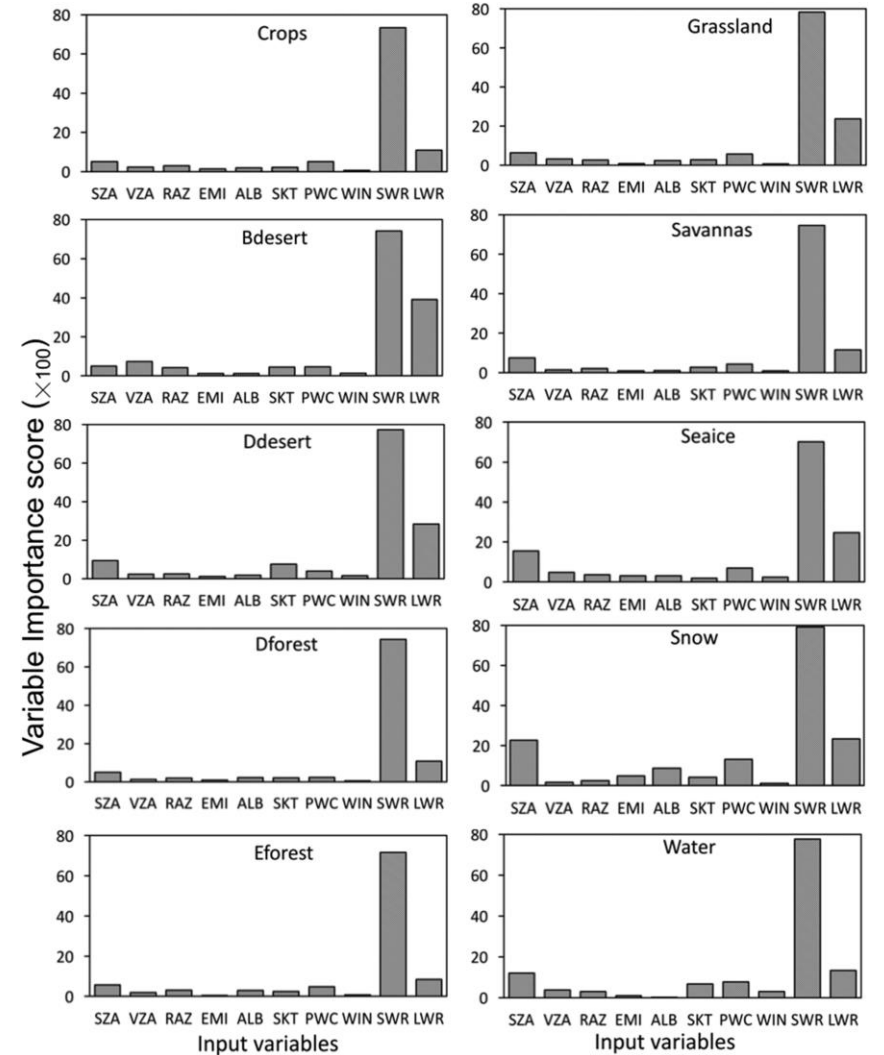
# Machine learning CERES-like scene type



Thampi et al., JAOT, 2017

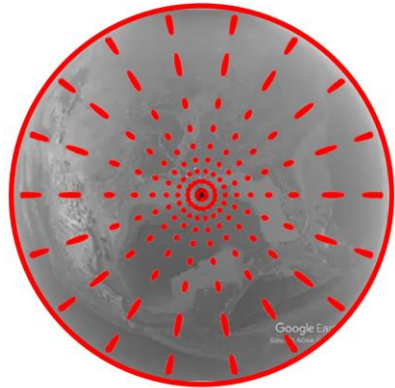
TABLE 4a. RF (daytime) modified misclassification rate (%) estimated for the calendar months of January and July (2013–14).

Surface type	January		July	
	Clear	Cloud	Clear	Cloud
?				

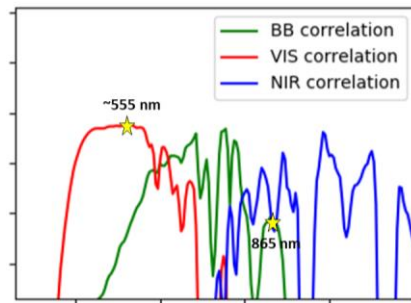


- Scene type predicted with ~95% accuracy for almost all scenes, many scenes >99% (excludes very thin cloud)
- Footprint radiances are most important; adding camera radiances (ie. imaging of the footprint) should yield further improvements

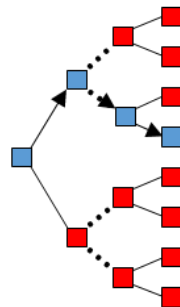
# Summary and conclusions



- New split-shortwave ADMs are required for Libera, which will be generated from the wide-field-of-view camera



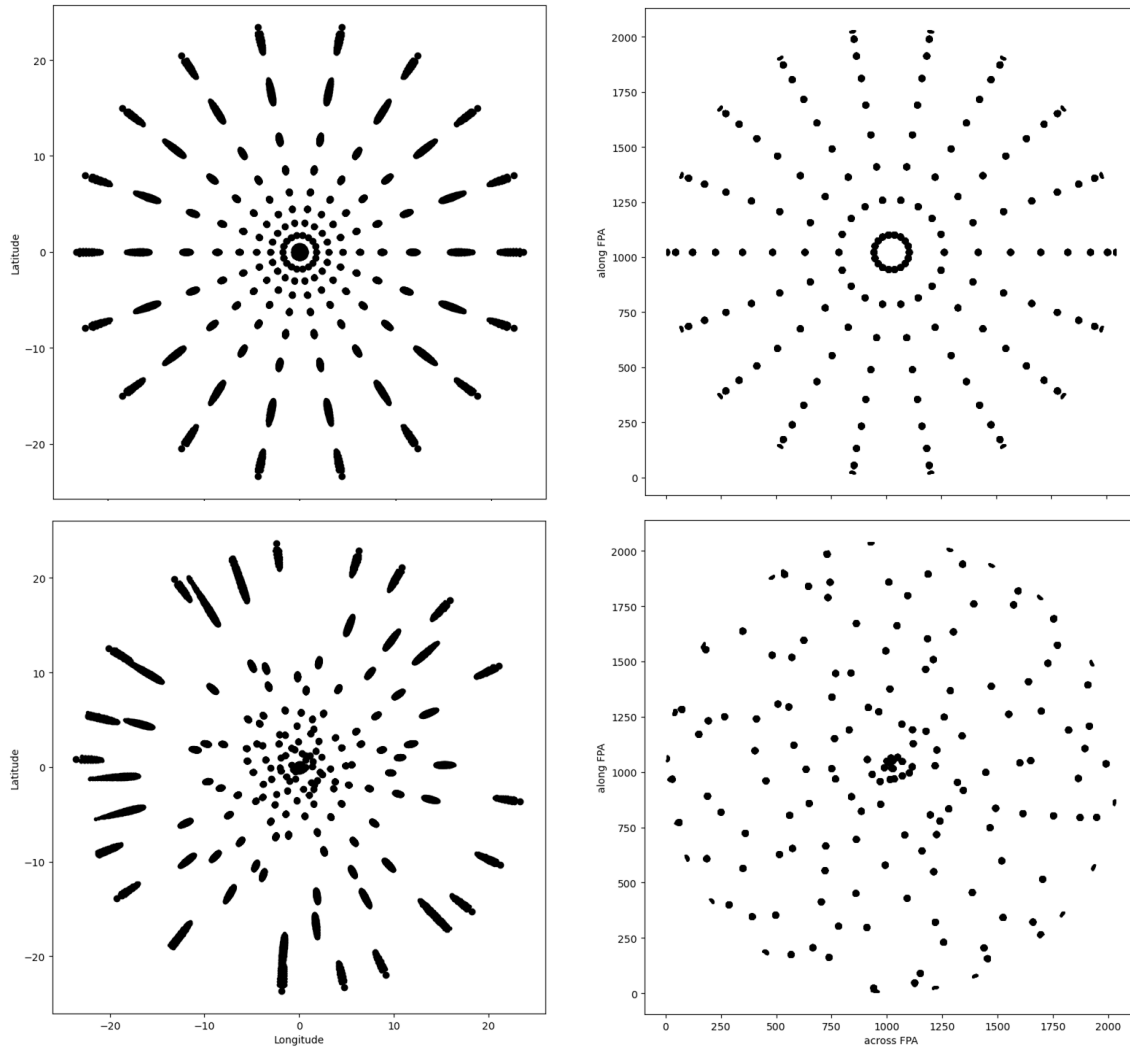
- A camera wavelength of 555 nm is optimal for VIS ADMs, which are well suited to simpler ERBE-like scene types



- One promising approach to determine NIR flux is machine learning of CERES-like scene type

# Camera angular sampling

extra



- Preliminary Libera camera sampling pattern at center of CERES-TRMM angular bins

- An example of randomization to sample angular variability within angular bins

# Appropriateness of a camera for generating ADMs

extra

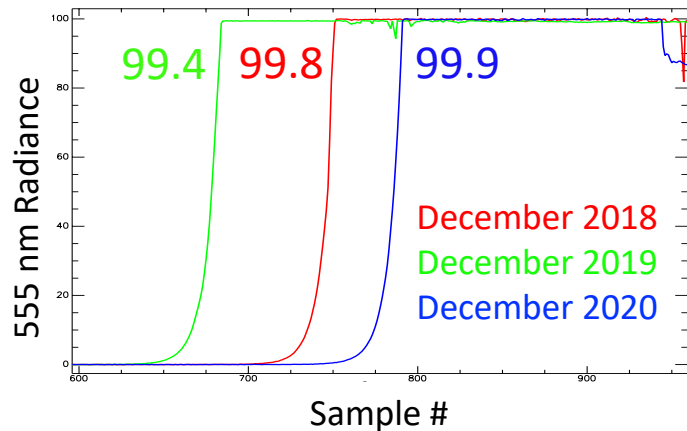
- Is a single wavelength sufficient to capture angular distribution?

- Cloud ( $\tau=10$ ) over ocean

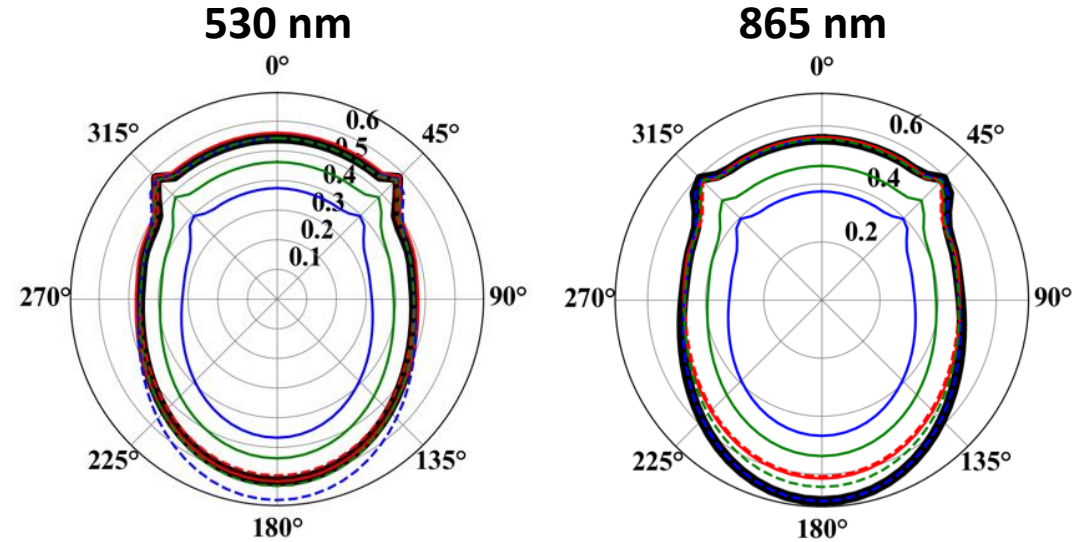
- $\theta_s=20^\circ$

- $\theta_v=45^\circ$

*Credit: Sebastian Schmidt, Hong Chen*



VIS  
NIR  
TOTAL SW



- Can a camera obtain data with sufficient quality?

- Radiometric accuracy requirement: 5 %

- **Uniformity requirement: 1.5 %**

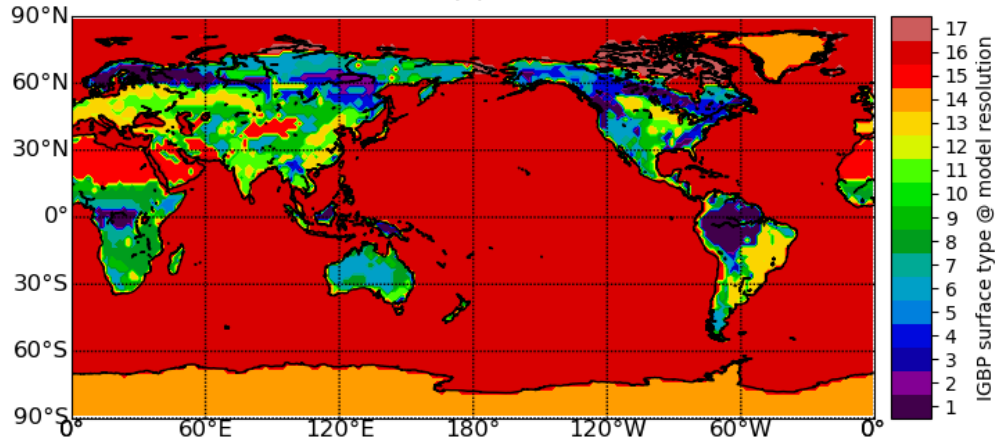
$$R_{i,j} = \frac{\overline{\pi I_{i,j}}}{F}$$

*Credit: Bruce Kindel*

# ERBE scene type from OSSEs

extra

## Surface type (IGBP)



## Cloud fraction (CSIRO)

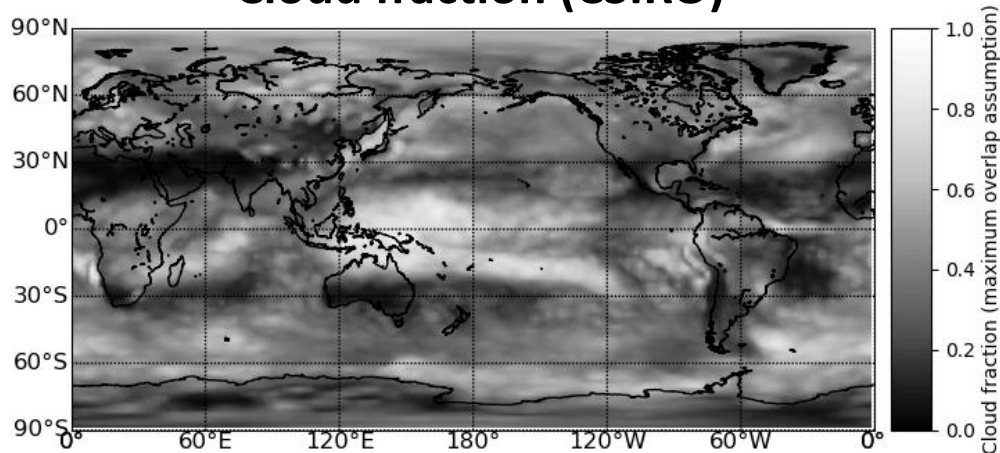


Table 1. Scene Types for Angular Models

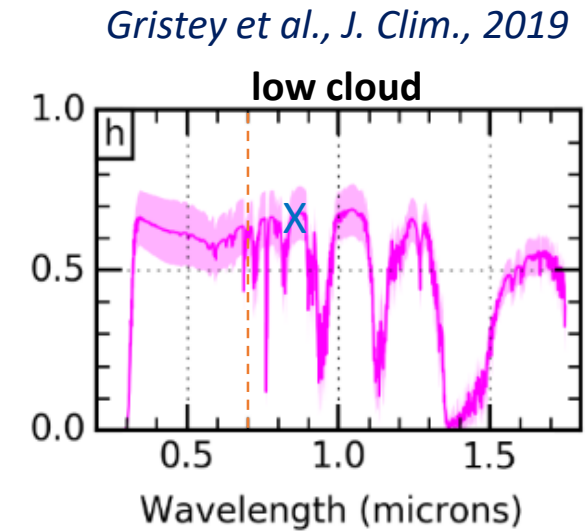
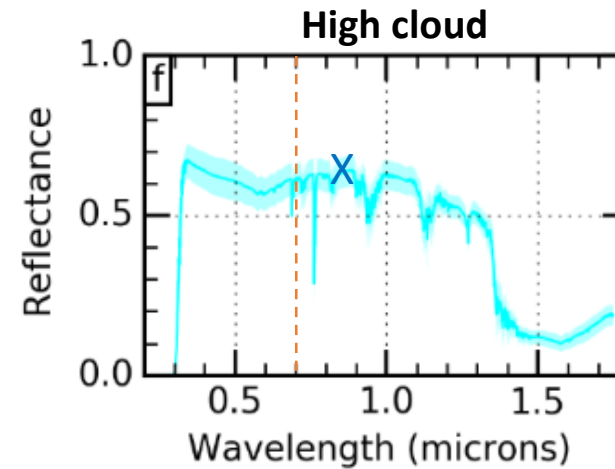
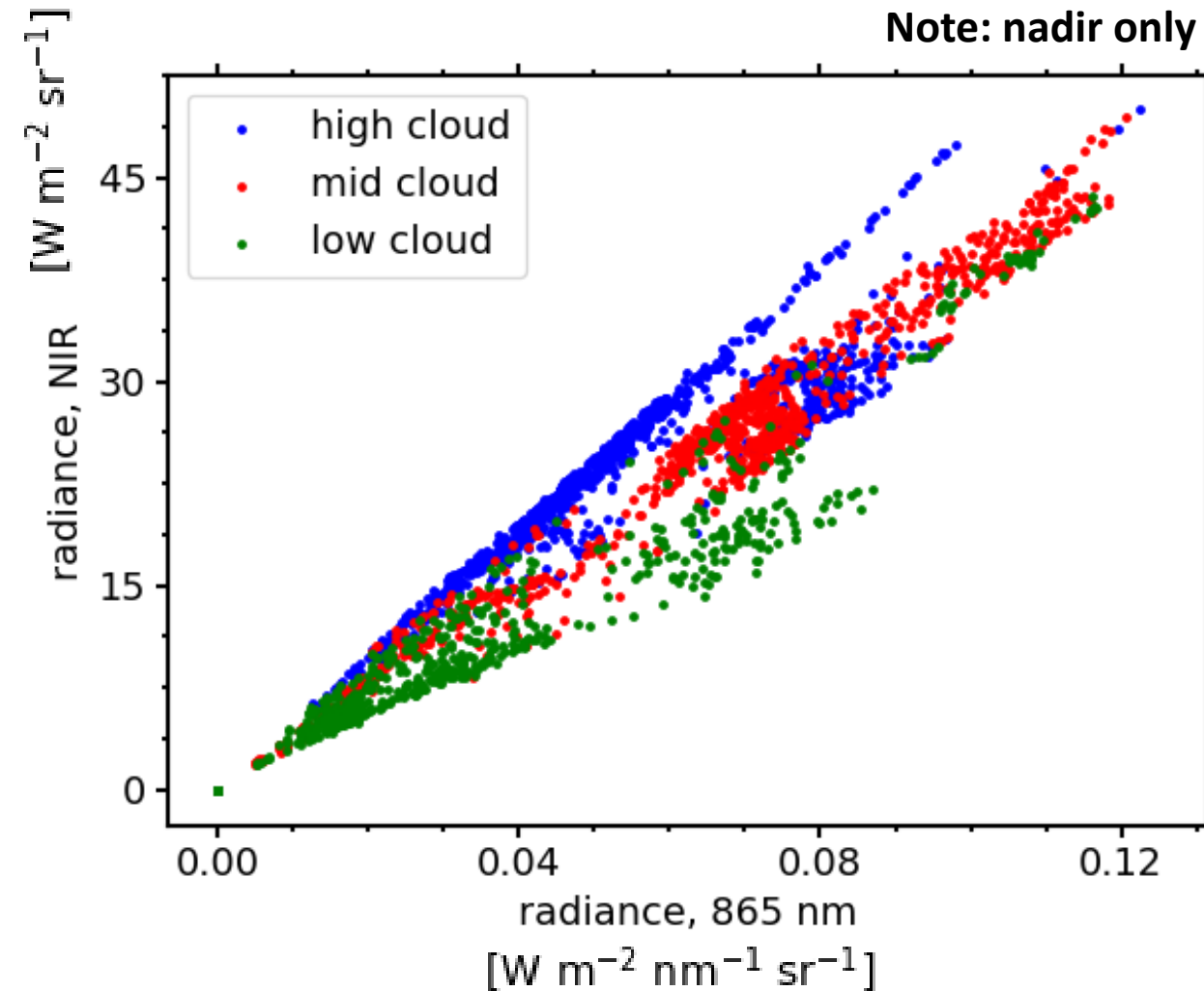
Scene	Cloud coverage, percent
Clear over ocean	0 to 5
Clear over land	↓
Clear over snow	
Clear over desert	
Clear over land-ocean mix	5 to 50
Partly cloudy over ocean	5 to 50
Partly cloudy over land or desert	5 to 50
Partly cloudy over land-ocean mix	5 to 50
Mostly cloudy over ocean	50 to 95
Mostly cloudy over land or desert	50 to 95
Mostly cloudy over land-ocean mix	50 to 95
Overcast	95 to 100

- All surfaces considered “land” except ocean, snow, desert, land-ocean mix
- Only select surface type with >90% in model grid
  - For land-ocean mix only select 30-70% ocean



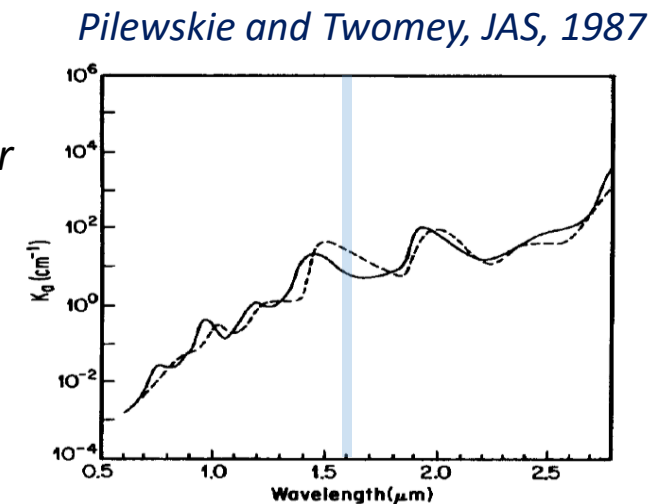
# Cloud height separates “arms” very well

extra

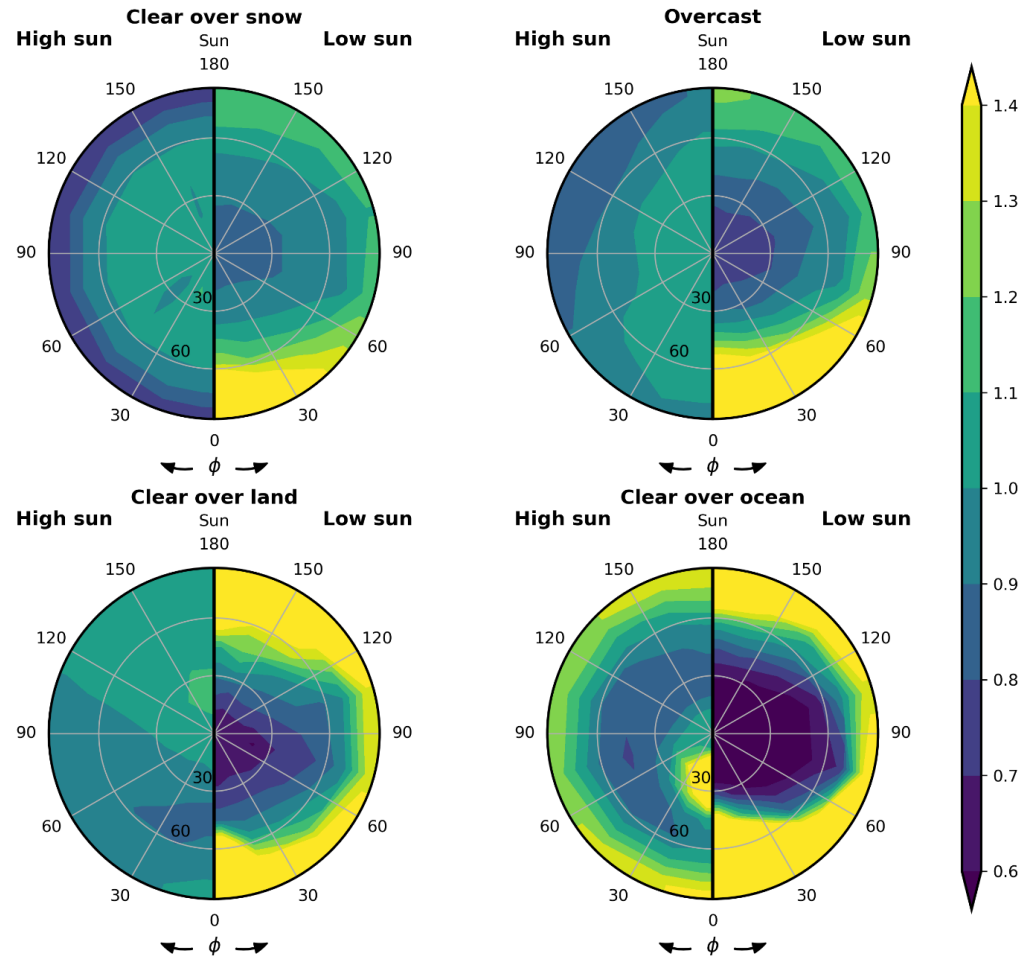


Two reasons:

1. Above cloud water vapor
2. Cloud phase



# ERBE SW ADM examples



# CERES-TRMM SW ADM examples

