#### Math 365 – Monday 2/11/19 Section 2.5: Cardinality of Sets

**Exercise 15.** Show that each of the following sets are countably infinite by giving a bijective function between that set and the positive integers.

- (a) the integers greater than 10.
- (b) the odd negative integers
- (c) the set  $A \times \mathbb{Z}^+$ , where  $A = \{2, 3\}$
- (d) the integers that are multiples of 10

#### Exercise 16.

- (a) Determine whether each of these sets is finite, countable, or uncountable. For those that are countably infinite, exhibit a bijective correspondence between the set of positive integers and that set.
  - (i) The integers that are multiples of 10.
  - (ii) Integers not divisible by 3.
  - (iii) The real numbers with decimal representations consisting of all 1s.
  - (iv) The real numbers with decimal representations of all 1s or 9s.
  - (v) The integers with absolute value less than 1,000.
  - (vi) The real numbers between 0 and 2
- (b) Give an example of two uncountable sets A and B such that A B is
  - (i) finite;
  - (ii) countably infinite;
  - (iii) uncountable.
- (c) Explain why the power set of  $\mathbb{Z}_{\geq 1}$  is not countable as follows:

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(i) First, for each subset A ⊂ Z≥1, represent A as an infinite bit string (a sequence of 1's and 0's with no end to the right) with *i*th bit 1 if *i* belongs to the subset and 0 otherwise. For example, we represent

$$\begin{array}{ll} \{3\} & \text{as } 001000000000\dots, \\ \{1,3,4\} & \text{as } 101100000000\dots, \text{ and} \\ 2x \mid x \in \mathbb{Z}_{\geq 1}\} & \text{as } 010101010101\dots. \end{array}$$

Give the bit-string expansions for the sets  $\{2, 4, 6, 7\}$  and  $\{3x \mid x \in \mathbb{Z}_{\geq 1}\}$  (i.e. the positive multiples of 3); and give the set corresponding to the bitstring expansions 000000000000... and 111111111.... Finally, explain why this coding of sets as bit strings is actually a bijection between {infinite bit strings} and  $\mathcal{P}(\mathbb{Z}_{\geq 1})$ .

- (ii) Suppose that you can list these infinite strings in a list labeled by the positive integers (as we saw, this is the same as saying that there is some bijective map f: {infinite bit strings}  $\rightarrow \mathbb{Z}_{\geq 1}$ ). Construct a new bit string one bit at a time, so that it doesn't match the *i*th string in the *i*th bit. Conclude that your new string can't be in the list, so that the list wasn't actually complete.
- (iii) Finally, explain how to use (i) and (ii) together to show that the sets in  $\mathcal{P}(\mathbb{Z}_{\geq 1})$  aren't listable (and therefore aren't countable).
- (d) Show that if A and B are sets and  $A \subset B$ , then  $|A| \leq |B|$ . [Hint: Start with thinking about the definition of what it means for  $|A| \leq |B|$ .]
- (e) Show that a subset of a countable set is also countable. [Hint: Start with "Suppose A is a countable set, and that  $B \subseteq A$ . Since A is countable, there is a bijective function...".]
- (f) Use the Schröder-Bernstein theorem to show that (0,1) and [0,1] have the same cardinality.

# Getting to know

(Section 2.5)

## How do we count?



Match numbers to groundhogs. Every groundhog gets **exactly** one number; every number gets **exactly** one groundhog.

**Defn.** Two sets *A* and *B* have the same **cardinality** if there is a bijection  $f: A \rightarrow B$ .



2 <u>555558</u> 88 Ð B and so on... 8 8  $\mathbb{C}$  $\mathbb{C}$ Counting numbers never run out, because there are an *infinite* number of them.  1.

2.

## **Countably infinite** *a*.

Capable of being matched bijectively with the natural numbers.



## Example 1:

The counting numbers are countably infinite.



## Example 2:

The integers from -2 and above are countably infinite.



6.

## Example 3:

The integers from **any** fixed number (like -11) and above are countably infinite.

## Being precise

**Recall:** A bijection is a function that is both injective and surjetive. We also showed that a function is bijective if and only if it is invertible.

Example 1: The sets  $\mathbb{Z}_{\geq 1}$  and  $\mathbb{Z}_{\geq 1}$  have the same cardinality since  $f: \mathbb{Z}_{\geq 1} \to \mathbb{Z}_{\geq 1}$ 

is a bijective map.

Example 2: The sets  $\mathbb{Z}_{\geq -2}$  and  $\mathbb{Z}_{\geq 1}$  have the same cardinality since  $f: \mathbb{Z}_{\geq -2} \rightarrow \mathbb{Z}_{\geq 1}$ 

 $x \mapsto x+3$ 

is a bijective map.

Example 3: The sets  $\mathbb{Z}_{\ge -11}$  and  $\mathbb{Z}_{\ge 1}$  have the same cardinality since

$$\begin{array}{rccc} f: \mathbb{Z}_{\geq -11} & \to & \mathbb{Z}_{\geq 1} \\ x & \mapsto & x+12 \end{array}$$

is a bijective map.

Example 4: The sets  $\mathbb{Z}$  and  $\mathbb{Z}_{\geq 1}$  have the same cardinality since...

is a bijective map.

Alternatively, turning that bijective function around: the sets  $\mathbb{Z}$  and  $\mathbb{Z}_{\ge 1}$  have the same cardinality since

is a bijective map.

## **Countably infinite** *a*.

Capable of being matched bijectively with the natural numbers.

## **Examples:**

The counting numbers and the integers are both countably infinite sets.

## **Rational numbers** *n*. **Can't list in order of size!**

Those numbers that can be expressed as integer fractions, i.e. 2/5, -17/3, 0/1, ...



	1	2	3	4	5	6	7	8	9	••
1	1	2	3	4	5	6	7	8	9	_
2	1/2	2/2	3/2	4/2	5/2	6/2	7/2	8/2	9/2	
3	1/3	2/3	3/3	4/3	5/3	6/3	7/3	8/3	9/3	
4	1/4	2/4	3/4	4/4	5/4	6/4	7/4	8/4	9/4	
5	1/5	2/5	3/5	4/5	5/5	6/5	7/5	8/5	9/5	
6	1/6	2/6	3/6	4/6	5/6	6/6	7/6	8/6	9/6	
7	1/7	2/7	3/7	4/7	5/7	6/7	7/7	8/7	9/7	
8	1/8	2/8	3/8	4/8	5/8	6/8	7/8	8/8	9/8	
9	1/9	2/9	3/9	4/9	5/9	6/9	7/9	8/9	9/9	
:										•.



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## **Countably infinite** *a*.

Capable of being matched bijectively with the natural numbers.

## Is there anything that **isn't** countable?

(Spoiler: yes!)

## **Real numbers** *n*.

Numbers obtained by appending an infinite decimal expansion to an integer.

## **Examples:**

#### **Includes:**

Integers, rational numbers.

-2.12121212121...

3.1415926535...

1.00000000...

**Excludes:**  $\sqrt{-1}$ 

Starting with *any* list of real numbers...

1.	12.	321	5601	L 9
2.	0.	121	2121	L 2
3.	-5.	0 0 0	0000	0 0
4.	95.	333	<mark>3333</mark> 33	33
5.	1.	123	000 (	0 0
6.	0.	000	0 0 0 0	0
7.	3.	141	592	55
Q •	1 \ 1	1 ^ 1	0010	

we can build a number that's *not* on that list!



Starting with *any* list of real numbers...

- 0.00101101... 1.
- 2. **12. 321 5 6 0 1 9** ...
- 0.12121212... 3.
- 4. 5 . 0 0 0 0 0 0 0 ...
- 95.3333<mark>3</mark>333... 5.
- 1.12300000... 6.
- 7. **0.000000**...

Q

we can build a number that's *not* on that list!

0.00101101...

Any list of real numbers is incomplete. So the real numbers are not countable!

**Cantor's Diagonal Argument** 



## Infinite sets

A set is countable if it is either finite or the same cardinality as the natural numbers  $(\mathbb{N} = \mathbb{Z}_{\geq 0})$ . If a set A is not finite but is countable, we say A is "countably infinite" and write  $|A| = \aleph_0$  (pronounced "aleph naught" or "aleph null"). To show that  $|A| = \aleph_0$ : show A is not finite, and give a bijection  $f : \mathbb{Z}_{\geq 1} \to A$ .

A set A is not countable if there is **no** bijection between  $\mathbb{Z}_{\geq 0}$  and A. To show that, start with "Suppose  $f : \mathbb{Z}_{\geq 1} \to A$  is a bijection" and find a universal problem—that means that no such bijection could possibly exist!

#### **Other cardinalities:**

- The real numbers  $\mathbb{R}$  have size  $\aleph_1$ ;
- the power set of the real numbers  $\mathcal{P}(\mathbb{R})$  has size  $\aleph_2$ ;
- the power set of the power set of the real numbers P(P(ℝ)) has size ℵ<sub>3</sub>;

and so on...



**Independence of the continuum hypothesis:** You can't prove or disprove that there are or aren't others.

### More facts

#### Unions

If A and B are countable, then so is  $A \cup B$ . Therefore, if  $A_1, A_2, \ldots, A_n$  are all countable, then so is  $\bigcup_{i=1}^n A_i$ . In fact, if  $\{A_x \mid x \in C\}$  is a countable collection of countable sets (i.e. C is a countable set), then so is  $\bigcup_{x \in C} A_x$ . Example: Let  $A_x = \{y/x \mid y \in \mathbb{Z}\}$  for each  $x \in \mathbb{Z}_{>0}$ . Then

 $\bigcup_{x\in\mathbb{Z}_{>0}}A_x=\mathbb{Q},\quad\text{which is countable.}$ 

However, if  $\{A_x \mid x \in U\}$  is an uncountable collection of countable sets, then  $\bigcup_{x \in U} A_x$  could be countable or uncountable (we can't tell).

Countable:  $\bigcup_{x \in [0,1)} A_x$  where  $A_x = \mathbb{Q}$ . Uncountable:  $\bigcup_{x \in [0,1)} A_x$  where  $A_x = \{x\}$ .

## Containment

If A is not countable and  $A \subseteq B$ , then B is not countable.

Ex: Any subset of  $\mathbb{Z}$  is countable.

If B is countable and  $A \subseteq B$ , then A is countable (prove on HW). Ex. Since  $\mathbb{R}$  is not countable, then neither is  $\mathbb{C}$ .

## Comparing sizes

If there is an injective function  $f : A \to B$  then we write  $|A| \leq |B|$ . (Makes sense for finite sets; take as a definition for infinite sets.)

Theorem (Schröder-Bernstein Theorem) If  $|A| \leq |B|$  and  $|B| \leq |A|$ , then |A| = |B|.

#### Example

We can show |(0,1)| = |(0,1]| by using the fact that

$$f:(0,1)\to (0,1] \qquad x\mapsto x$$

 $\mathsf{and}$ 

$$g:(0,1] \to (0,1) \qquad x \mapsto x/2$$

are both injective, even though they are not surjective nor are they inverses of each other.

You try: Exercise 16